

## ON CERTAIN EQUIVALENT NORMS ON TSIRELSON'S SPACE

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ABSTRACT. Tsirelson's space  $T$  is known to be distortable but it is open as to whether or not  $T$  is arbitrarily distortable. For  $n \in \mathbb{N}$  the norm  $\|\cdot\|_n$  of the Tsirelson space  $T(S_n, 2^{-n})$  is equivalent to the standard norm on  $T$ . We prove there exists  $K < \infty$  so that for all  $n$ ,  $\|\cdot\|_n$  does not  $K$  distort any subspace  $Y$  of  $T$ .

### Introduction

An important and still open question is whether or not there exists a distortable Banach space which is not arbitrarily distortable. The primary candidate for such a space is Tsirelson's space  $T$ . While it is not difficult to directly define, for every  $1 < \lambda < 2$ , an equivalent norm on  $T$  which is a  $\lambda$ -distortion,  $T$  does not belong to any general class of Banach spaces known to be arbitrarily distortable. In fact (see below) if there does exist a distortable not arbitrarily distortable Banach space  $X$  then  $X$  must contain a subspace which is very Tsirelson-like in appearance. Thus it is of interest, in particular, to examine all known equivalent norms on  $T$  to see if they can arbitrarily distort  $T$  (or a subspace of  $T$ ). We do so in this paper for a previously unstudied fascinating class of renormings.

The renormings we consider here are "natural" in that they pertain to the deep combinatorial nature of the norm of  $T$ . Namely, for each  $n$  we denote by  $\|\cdot\|_n$  the norm of the Tsirelson space  $T(S_n, 2^{-n})$ , which can easily be seen to be equivalent to the original norm on  $T$ . Our main result (Theorem 2.1) is that this family of equivalent norms does not arbitrarily distort  $T$  or even any subspace of  $T$ . The proof actually introduces a larger family of equivalent norms  $(\|\cdot\|_{j,n}^n)$  and  $(|\cdot|_{j,n}^n)$  which are shown to not arbitrarily distort any subspace of  $T$ . Quantitative estimates for the stabilizations of these norms are given in Theorem 2.5. It is shown that (up to absolute constants) for all  $n$  and subspaces  $X \subseteq T$ , there is a subspace  $Y \subseteq X$  such that  $\|y\|_n \sim \frac{1}{n}$  if  $y \in Y$  with  $\|y\| = 1$ .

Some stabilization results for more general norms on  $T$  of various classes are also given in Section 3. In Section 4 we raise some problems.

Section 1 contains the relevant terminology and background material. Otherwise our notation is standard as may be found in [LT].

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More detailed information about Tsirelson's space and Tsirelson type spaces can be found in [CS], [OTW], [AD], [AO] and the references therein.

## 1. Preliminaries

$X, Y, Z, \dots$  will denote separable infinite-dimensional real Banach spaces. If  $(x_i)$  is a basic sequence,  $(y_i) \prec (x_i)$  shall mean that  $(y_i)$  is a block basis of  $(x_i)$ .  $X = [(x_i)]$  is the closed linear span of  $(x_i)$ . If  $X$  has a basis  $(x_i)$ ,  $Y \prec X$  denotes  $Y = [(y_i)]$  where  $(y_i) \prec (x_i)$ . The terminology is imprecise in that " $\prec$ " refers to a fixed basis for  $X$  but no confusion shall arise.  $S_X = \{x \in X: \|x\| = 1\}$ .

A space  $(X, \|\cdot\|)$  is *arbitrarily distortable* if, for all  $\lambda > 1$ , there exists an equivalent norm  $|\cdot|$  on  $X$  such that

$$\sup \left\{ \frac{|y|}{|z|}: y, z \in S_Y \right\} > \lambda \quad \text{for all } Y \subseteq X. \quad (1.1)$$

The norm  $|\cdot|$  satisfying (1.1) is said to  $\lambda$ -distort  $X$ .  $X$  is  $\lambda$ -*distortable* if some norm  $\lambda$ -distorts  $X$ .  $X$  is *distortable* if it is  $\lambda$ -distortable for some  $\lambda > 1$ . If  $X$  has a basis then "for all  $Y \subseteq X$ " in (1.1) can be replaced by "for all  $Y \prec X$ ".

Tsirelson's space  $T$  (defined below) is known to be  $2 - \varepsilon$  distortable for all  $\varepsilon > 0$  (e.g., see [OTW]). If a space  $X$  exists which is distortable but not arbitrarily distortable then  $X$  can be assumed to have an unconditional basis [T], to be asymptotic  $c_0$  or  $\ell_p$  for some  $1 \leq p < \infty$  [MT] and to contain  $\ell_1^n$ 's uniformly [M]. These characteristics in conjunction with others developed in [OTW] show that  $T$  is the prime candidate for such a space.

For  $n \in \mathbb{N}$ , the Schreier class  $S_n$  is a pointwise compact hereditary collection of finite subsets of  $\mathbb{N}$  [AA]. For  $E, F \subseteq \mathbb{N}$ , we write  $E < F$  (resp.  $E \leq F$ ) if  $\max E < \min F$  (resp.  $\max E \leq \min F$ ) or if either one is empty.

$$S_0 = \{\{n\}: n \in \mathbb{N}\} \cup \{\emptyset\}.$$

We inductively define

$$S_{k+1} = \left\{ \bigcup_{p=1}^{\ell} E_p: \{\ell\} \leq E_1 < \dots < E_\ell \text{ and } E_p \in S_k \text{ for } 1 \leq p \leq \ell \right\}.$$

$(E_i)_{i=1}^{\ell}$  is  $k$ -admissible if  $E_1 < \dots < E_\ell$  and  $(\min E_i)_{i=1}^{\ell} \in S_k$ . It is easy to see that

$$\begin{aligned} S_k[S_n] &\equiv \left\{ \bigcup_{i=1}^{\ell} E_i: (E_i)_1^{\ell} \text{ is } k\text{-admissible and } E_i \in S_n \text{ for } 1 \leq i \leq \ell \right\} \\ &= S_{n+k}. \end{aligned}$$

If  $(y_i)$  is a basis then  $(x_i)_1^{\ell} \prec (y_i)$  is  $k$ -admissible (w.r.t.  $(y_i)$ ) if  $(\text{supp } x_i)_{i=1}^{\ell}$  is  $k$ -admissible. Here, if  $x = \sum_{i \in A} a_i y_i$  and  $a_i \neq 0$  for  $i \in A$ , then  $\text{supp } x = A$ .

$c_{00}$  denotes the linear space of finitely supported real sequences and  $(e_i)$  is the unit vector basis for  $c_{00}$ . If  $x = \sum_i x(i)e_i \in c_{00}$  and  $E \subseteq \mathbb{N}$  then  $Ex \in c_{00}$  is defined by  $Ex = \sum_{i \in E} x(i)e_i$ . Let  $\mathcal{F}$  be a pointwise compact *hereditary* (that is,  $G \subseteq F \in \mathcal{F} \Rightarrow G \in \mathcal{F}$ ) family of finite subsets of  $\mathbb{N}$  containing  $S_0$  and let  $0 < \lambda < 1$ . The Tsirelson space  $T(\mathcal{F}, \lambda)$  is the completion of  $c_{00}$  under the implicit norm

$$\|x\| = \|x\|_\infty \vee \sup \left\{ \lambda \sum_{i=1}^\ell \|E_i x\| : E_1 < \dots < E_\ell \text{ and } (\min E_i)_1^\ell \in \mathcal{F} \right\} \quad (1.2)$$

Then  $(e_i)$  is a normalized unconditional basis for  $T(\mathcal{F}, \lambda)$ . Furthermore if  $\mathcal{F} \supseteq S_1$  then  $T(\mathcal{F}, \lambda)$  does not contain an isomorph of  $\ell_1$  but is *asymptotically*  $\ell_1$  (that is, if  $(x_i)_1^\ell$  is 1-admissible then  $\|\sum_1^\ell x_i\| \geq \lambda \sum_1^\ell \|x_i\|$ ). The existence of such a norm (1.2) can be found in [AD].

The classical Tsirelson's space is  $T \equiv T(S_1, 2^{-1})$  and we write  $\|\cdot\| (= \|\cdot\|_1)$  for the norm of  $T$ . We also consider the space  $T(S_n, 2^{-n})$ , for a fixed  $n \in \mathbb{N}$ , and we denote its norm by  $\|\cdot\|_n$ . These norms are all equivalent on  $c_{00}$  and thus the spaces coincide. Indeed,

$$\|x\|_n \leq \|x\| \leq 2^{n-1} \|x\|_n \quad \text{for } x \in T. \quad (1.3)$$

We explain (1.3) and set some terminology for later use.  $\|x\|$  is calculated as follows. If  $\|x\| \neq \|x\|_\infty$  then  $\|x\| = \frac{1}{2} \sum_1^\ell \|E_i^1 x\|$  for some 1-admissible collection  $(E_i^1)_1^\ell$ . For  $i \leq \ell$  either  $\|E_i^1 x\| = \|E_i^1 x\|_\infty$  or  $\|E_i^1 x\|$  is calculated by means of a similar decomposition. Ultimately, for some finite  $A \subseteq \mathbb{N}$ , one obtains

$$\|x\| = \sum_{i \in A} 2^{-n(i)} |x(i)|,$$

where  $n(i)$  is the number of decompositions necessary before obtaining a set  $E_j^{n(i)}$  for which  $\|E_j^{n(i)} x\| = \|E_j^{n(i)} x\|_\infty = |x(i)|$ .

Thus the norm in  $T$  can be described as follows in terms of trees of sets. By an *admissible tree*  $\mathcal{T}$  of sets we shall mean  $\mathcal{T} = (E_i^n)$  for  $1 \leq i \leq i(n)$ ,  $0 \leq n \leq k$  is a tree of finite subsets of  $\mathbb{N}$  partially ordered by reverse inclusion with the following properties.  $E_i^n$  is said to have level  $n$ .  $i(0) = 1$ ,  $E_i^n < E_j^n$  if  $i < j$ , all successors of any  $E_i^n$  form a 1-admissible partition of  $E_i^n$  and every set  $E_i^{n+1}$  is a successor of some  $E_j^n$ . Thus all sets of level  $n$  form an  $n$ -admissible collection.  $E_i^n$  is a *terminal* set of  $\mathcal{T}$  if it has no successors.

Thus, for  $x \in T$ , one has

$$\|x\| = \sup \left\{ \sum_{i \in A} 2^{-n(i)} \|E_i x\|_\infty : (E_i)_{i \in A} \text{ are terminal sets of an admissible tree with level } E_i = n(i) \right\}. \quad (1.4)$$

Also, (1.4) holds if  $\|E_i x\|_\infty$  is replaced by  $\|E_i x\|$ .

The norm  $\|\cdot\|_n$  is calculated in a similar fashion except that terminal sets are allowed only to have levels  $kn$  for some  $k = 0, 1, 2, \dots$ :

$$\|x\|_n = \sup \left\{ \sum_{i \in A} 2^{-nk(i)} \|E_i x\|_\infty : (E_i)_{i \in A} \text{ are terminal sets of an admissible tree where } E_i \text{ has level } nk(i) \text{ for some } k(i) = 0, 1, 2, \dots \right\}. \quad (1.5)$$

From these formulas we see that  $\|x\|_n \leq \|x\|$ . Furthermore if  $\mathcal{T}$  is an admissible tree, terminal sets not having levels  $0, n, 2n, \dots$  can be continued to the next such level, an increase of at most  $n - 1$  levels, yielding  $\|x\| \leq 2^{n-1} \|x\|_n$ .

More exotic *mixed Tsirelson spaces* were introduced in [AD]. We shall not discuss a general definition, but we shall give a formula for the norm in a special case of interest here. For  $j \geq 0$  and  $n \in \mathbb{N}$  we let  $\|\cdot\|_j^n$  be the norm of the mixed Tsirelson space  $T((S_{j+kn}, 2^{-(j+kn)})_{k=0}^\infty)$ . One obtains a formula for the norm similar to that in (1.4), except that terminal sets may only have levels  $j, j + n, j + 2n, \dots$ :

$$\|x\|_j^n = \|x\|_\infty \vee \sup \left\{ \sum_{i \in A} 2^{-(j+nk(i))} \|E_i x\|_\infty : (E_i)_{i \in A} \text{ are terminal sets of an admissible tree having level } E_i = j + nk(i) \text{ for some } k(i) = 0, 1, 2, \dots \right\}. \quad (1.6)$$

Thus  $\|\cdot\|_0^n = \|\cdot\|_n$ . Furthermore  $\|\cdot\|_j^n$  is an equivalent norm on  $T$ .

We prove in Section 2 that the family of norms  $(\|\cdot\|_{n,j}^n)$  cannot arbitrarily distort any subspace of  $T$ . We do this by introducing a slight variation of  $\|\cdot\|_j^n$  (which omits the first term in (1.6)):

$$|x|_j^n = \sup \left\{ \sum_{i \in A} 2^{-(j+nk(i))} \|E_i x\|_\infty : (E_i)_{i \in A} \text{ are terminal sets of an admissible tree having level } E_i = j + nk(i), k(i) \geq 0 \right\}. \quad (1.7)$$

Thus  $|\cdot|_0^n = \|\cdot\|_n$ ,  $|\cdot|_j^n$  is an equivalent norm on  $T$  and  $|\cdot|_j^n \leq \|\cdot\|_j^n$ . Our next proposition shows some simple facts about  $|\cdot|_j^n$ . Statements (a) and (b) are the reason we work with  $|\cdot|_j^n$  rather than directly with  $\|\cdot\|_j^n$ . Moreover (d) shows that  $\|\cdot\|_j^n$  and  $|\cdot|_j^n$  are nearly the same on some subspace of any given  $Y \prec T$ . First recall the Schreier space  $X_m$  (see [AA], also [CS], for  $m = 1$ ).  $X_m$  is the completion of  $c_{00}$  under

$$|x|_m = \sup \left\{ \left| \sum_{i \in E} x(i) \right| : E \in S_m \right\}.$$

$X_m$  is isometric to a subspace of  $C(\omega^{\omega^m})$  and hence is  $c_0$ -saturated: if  $Y \subseteq X$  then  $Y$  contains an isomorph of  $c_0$ . For  $Z \subseteq T$ ,  $S_Z$  is the unit sphere w.r.t. the Tsirelson norm  $\|\cdot\|$ .

PROPOSITION 1.1. (a) Let  $j \geq 0$  and  $n \in \mathbb{N}$ . For  $x \in T$ ,

$$|x|_j^n = \frac{1}{2^j} \sup \left\{ \sum_{\ell=1}^r \|E_\ell x\|_n : (E_\ell)_1^r \text{ is } j\text{-admissible} \right\}$$

(b) Let  $j \geq 0$  and  $k, n \in \mathbb{N}$ . For  $x \in T$ ,

$$|x|_{j+k}^n = \frac{1}{2^k} \sup \left\{ \sum_{\ell=1}^r |E_\ell x|_j^n : (E_\ell)_1^r \text{ is } k\text{-admissible} \right\}$$

(c) Let  $\varepsilon > 0$ ,  $n, k \in \mathbb{N}$  and  $0 \leq j < n$ . Let  $Y \prec T$ . Then there exists  $Z \prec Y$  so that for all  $z \in S_Z$ ,

$$\left| |z|_j^n - |z|_{j+np}^n \right| < \varepsilon \text{ if } 1 \leq p \leq k. \quad (1.8)$$

(d) For  $n, j \in \mathbb{N}$ ,  $\varepsilon > 0$  and  $Y \prec T$  there exists  $Z \prec Y$  so that for all  $z \in S_Z$ ,

$$\left| |z|_j^n - \|z\|_j^n \right| < \varepsilon \quad \text{and} \quad \left| |z|_n^n - \|z\|_n^n \right| < \varepsilon.$$

*Proof.* (a) and (b) follow easily from (1.5)–(1.7) and the fact that  $S_{k+j} = S_k[S_j]$ . (c) is proved by choosing  $Z$  so that the first few levels of the admissible tree used to compute  $|z|_{j+nk}^n$  will contribute only a negligible amount. More precisely, we first note that

$$|z|_j^n \geq |z|_{j+np}^n \geq |z|_{j+nk}^n \text{ for } 1 \leq p \leq k.$$

Thus we need only achieve (1.8) for  $p = k$ . Let  $|\cdot|_{j+nk}$  be the norm of the Schreier space  $X_{j+nk}$ . For  $z \in T$  let

$$|z|_j^n = \sum_{\ell \in A} 2^{-(j+nk(\ell))} |z(\ell)|$$

be obtained from (1.7). Thus if

$$E = \{\ell \in A : k(\ell) < k\}$$

then  $E \in S_{j+nk}$  and so

$$|z|_j^n \leq |Ez|_{j+nk} + |z|_{j+k}^n \leq |z|_{j+nk} + |z|_{j+k}^n.$$

Also  $|z|_{j+nk} \leq 2^{j+nk} \|z\|$  for  $z \in T$ . Since  $X_{j+nk}$  is  $c_0$ -saturated and  $T$  does not contain  $c_0$  it follows that given  $Y \prec T$  there exists  $Z \prec Y$  so that if  $z \in S_Z$  then  $|z|_{j+nk} < \varepsilon$ . This proves (c), and (d) is proved similarly. The norms in question differ only in that the terminal sets of an admissible tree can differ only in a finite number of levels.  $\square$

We shall need a generalized notion of  $n$  admissible. For  $k, n \in \mathbb{N}$ ,  $(E_r)_1^s$  is  $n$  admissible ( $k$ ) if  $(kE_r)_1^s$  is  $n$  admissible where  $kE \equiv \{ke : e \in E\}$ . Similarly we say  $(y_i)_1^\ell \prec (e_i)$  is  $n$  admissible ( $k$ ) if  $(\text{supp } y_i)_1^\ell$  is  $n$  admissible ( $k$ ). Also we say a tree  $T$  is admissible ( $k$ ) if  $(kE)_{E \in \mathcal{T}}$  is an admissible tree.

**PROPOSITION 1.2.** *There exists  $K_1 < \infty$  so that if  $n, k \in \mathbb{N}, 1 > \varepsilon > 0$  and  $(y_i) \prec (e_i)$  is normalized (in  $T$ ), then there exists a finite set  $A \subseteq \mathbb{N}$  and  $(\alpha_\ell)_{\ell \in A} \subset (0, 1]$  so that  $(y_\ell)_{\ell \in A}$  is  $n$  admissible, and setting  $z = \sum_{\ell \in A} \alpha_\ell y_\ell$  we have the following:*

- (i)  $\sum_{\ell \in A} \alpha_\ell = 2^n$ .
- (ii) If  $B \subseteq A$  and  $(y_\ell)_{\ell \in B}$  is  $n - 1$  admissible ( $k$ ) then  $\sum_{i \in B} \alpha_i < \varepsilon$ .
- (iii)  $1 \leq \|z\| \leq K_1$ .

We call such a  $z$  an  $(n, \varepsilon)$  average ( $k$ ) of  $(y_\ell)$ . This was proved in [OTW] for  $k = 1$ . The proof uses the following fact (e.g., see [CS], Prop. II.4).

**PROPOSITION 1.3.** *There exists  $K_2 < \infty$  so that if  $(y_i)$  is a normalized block basis of  $(e_i)$  in  $T$  then for all  $(a_i)$ , if  $m_i = \min \text{supp } y_i$  then*

$$\left\| \sum a_i e_{m_i} \right\| \leq \left\| \sum a_i y_i \right\| \leq K_2 \left\| \sum a_i e_{m_i} \right\|.$$

*Proof of Proposition 1.2* By passing to a subsequence of  $(y_i)$  we may assume that  $m_{i+1} > km_i$  where  $m_i = \min \text{supp } y_i$ . By [OTW], we can find  $z = \sum_{\ell \in A} \alpha_\ell y_\ell$ ,  $(\alpha_\ell)_{\ell \in A} \subseteq \mathbb{R}^+$ ,  $\sum_{\ell \in A} \alpha_\ell = 2^n$  and  $\sum_{\ell \in B} \alpha_\ell < \varepsilon/2$  if  $(m_\ell)_{\ell \in B} \in S_{n-1}$ . Furthermore  $1 \leq \|z\| \leq K_1$ . It remains to check that (ii) holds. Suppose that  $B \subseteq A$  so that  $(km_i)_{i \in B} \in S_{n-1}$ . Since  $m_{i+1} > km_i$ , this shows that  $(m_{i+1})_{i \in B} \in S_{n-1}$  and hence  $(m_i)_{i \in B \setminus \min B} \in S_{n-1}$ . Thus  $\sum_{\ell \in B \setminus \min B} \alpha_\ell < \varepsilon/2$ . Also  $\alpha_{\min B} < \varepsilon/2$  and so (ii) holds.  $\square$

## 2. Stabilizing the norms $(\|\cdot\|_n)$

Our goal is to prove that the norms  $(\|\cdot\|_j^n)$  and hence in particular the norms  $(\|\cdot\|_n)$  do not arbitrarily distort any subspace of  $T$ . In light of Proposition 1.1 it suffices to prove the following:

**THEOREM 2.1.** *There exists  $K > 1$  so that for all  $Y \prec T$  and  $n \in \mathbb{N}$  there exist  $Z \prec Y$  and  $d > 0$  such that for all  $0 \leq j < n$  and  $z \in S_Z$ ,*

$$d \leq |z|_j^n \leq Kd.$$

Before beginning the proof we recall that there exists  $K_3 < \infty$  so that  $\|\sum b_i e_{3i}\| \leq K_3 \|\sum b_i e_i\|$  [CS, Prop. I.12].

LEMMA 2.2. *Let  $(w_i)$  be a normalized block basis of  $(e_i)$  in  $T$ . Suppose that for some  $c > 0$  and  $L \geq 1$ , for all  $i$  we have*

$$L^{-1}c \leq |w_i|_j^n \leq Lc \text{ for } 0 \leq j \leq n.$$

*Let  $w = \sum a_i w_i$ ,  $\|w\| = 1$ . Then for  $0 \leq j < n$ ,  $c(LK_2)^{-1} \leq |w|_j^n \leq 2LK_3c$ .*

*Proof.* From Proposition 1.3 there exists an admissible tree  $\mathcal{T}$  whose terminal sets are all equal to  $\text{supp } w_i$  for some  $i$ , yielding

$$\|w\| \geq \sum_{i \in A} |a_i| 2^{-n(i)} \|w_i\| = \sum_{i \in A} |a_i| 2^{-n(i)} \geq K_2^{-1}.$$

Let  $1 \leq j \leq n$  be fixed. We shall produce a lower estimate for  $|w|_j^n$  by extending  $\mathcal{T}$  as follows. Fix  $i \in A$  and consider the term  $|a_i| 2^{-n(i)} \|w_i\|$ . Suppose this term resulted from  $E = \text{supp } w_i$  where  $E$  was terminal in  $\mathcal{T}$  of level  $n(i)$ . First suppose that  $n(i) \geq j$  so that  $n(i) = j + kn + p$  for some  $0 \leq p < n$  and  $k \geq 0$ ; then let  $q = n - p$ . If  $n(i) < j$ , let  $q = j - n(i)$ . If  $q \geq 1$  extend  $\mathcal{T}$   $q$ -levels below  $E$  via the  $q$ -admissible family of sets which, by Proposition 1.1, yields

$$|w_i|_q^n = \frac{1}{2^q} \sum_{s=1}^r \|E_s^i w_i\|_n \geq cL^{-1}.$$

The new tree has terminal sets only at levels  $(j + kn)_{k=0}^\infty$ . When used in (1.7) it yields

$$|w|_j^n \geq \sum |a_i| 2^{-n(i)} cL^{-1} \geq c(LK_2)^{-1}.$$

For the upper estimate let  $\mathcal{T}$  be the admissible tree having terminal sets (which we may assume to be singletons) of levels  $j, j + n, j + 2n, \dots$  which produces  $|w|_j^n$  in (1.7). We say  $w_i$  is *badly split* by some level of  $\mathcal{T}$  if there exists  $E \neq F$  in  $\mathcal{T}$  having the same level with  $Ew_i \neq 0$ ,  $Ew_s \neq 0$  for some  $s \neq i$  and  $Fw_i \neq 0$ . If no  $w_i$  is badly split by some level of  $\mathcal{T}$  then if for some  $i$ ,  $\text{supp } w_i$  contains a terminal set in  $\mathcal{T}$  then there exists a 1-admissible family  $(E_s^i)_{s=1}^{\ell(i)}$  in  $\mathcal{T}$  of minimal level having the property that  $\bigcup_{s=1}^{\ell(i)} E_s^i \subseteq \text{supp } w_i$  and  $F \cap \text{supp } w_i = \emptyset$  for all other  $F \in \mathcal{T}$  of the same level as the  $E_s^i$ 's. Thus for some set  $A$ ,

$$|w|_j^n = \sum_{i \in A} 2^{-n(i)} |a_i| \sum_{s=1}^{\ell(i)} |E_s^i w_i|_{j(i)}^n \quad (2.1)$$

where  $E_s^i$  has level  $n(i)$  and  $j(i) < n$  satisfies  $n(i) + j(i) \in \{j, j + n, j + 2n, \dots\}$ . Since  $\|w\| = \|w_i\| = 1$ ,  $\sum_{i \in A} 2^{-n(i)} |a_i| \leq 1$ . Also,

$$\frac{1}{2} \sum_{s=1}^{\ell(i)} |E_s^i w_i|_{j(i)}^n \leq |w_i|_{j(i)+1}^n \leq Lc$$

by our hypothesis. Hence

$$|w|_j^n \leq 2Lc.$$

Of course  $\mathcal{T}$  may badly split some  $w_i$ 's. In this case we alter  $\mathcal{T}$  as follows. Starting with the smallest level we check to see if a given level badly splits any  $w_i$ 's. If it does we split the offending sets at  $\min \text{supp } w_i$  and  $\max \text{supp } w_i$ . Thus, a given  $E \in \mathcal{T}$  could be split into at most 3 pieces at this stage. We intersect successors of split sets with each of the at most three new pieces maintaining a tree, but losing admissibility. Then proceed to the next level of the new tree and repeat. We now have a tree  $\mathcal{T}'$  that does not badly split any  $w_i$ . If we replace each set  $E$  in this tree by  $3E$  we obtain an admissible tree. Thus  $\mathcal{T}'$  is admissible (3). Furthermore, we obtain an expression like (2.1), except that the equality is replaced by the inequality

$$|w|_j^n \leq \sum_{i \in A} 2^{-n(i)} |a_i| \sum_{s=1}^{\ell(i)} |E_s^i w_i|_{j(i)}^n,$$

where the sets  $(E_s^i)$  come from our altered tree just as (2.1) was obtained from  $\mathcal{T}$ .

Letting  $m_i = \min \text{supp } w_i$  we have  $\|\sum a_i e_{3m_i}\| \leq K_3 \|\sum a_i e_{m_i}\| \leq K_3 \|w\| = K_3$ . Since  $\mathcal{T}'$  is an admissible (3) tree we have

$$\sum_{i \in A} 2^{-n(i)} |a_i| \leq K_3.$$

Thus  $|w|_j^n \leq 2K_3Lc$ .  $\square$

*Proof of Theorem 2.1.* Fix  $0 < \varepsilon < 1$  to be specified later. By Proposition 1.1 we may assume

$$\left| |y|_j^n - |y|_{j+n}^n \right| < \varepsilon \quad \text{for } 0 \leq j \leq n \text{ and } y \in S_Y. \quad (2.2)$$

Also we may assume  $(y_\ell) \prec (e_\ell)$  is a normalized (in  $T$ ) basis for  $Y$  and that for some  $(c_j)_0^{2n} \subseteq (0, 1]$ ,

$$\left| |y_\ell|_j^n - c_j \right| < \varepsilon \quad \text{for all } \ell, 0 \leq j \leq 2n. \quad (2.3)$$

Hence, from (2.2) and (2.3), we also have

$$|c_j - c_{j+n}| < 3\varepsilon \quad \text{if } 0 \leq j \leq n. \quad (2.4)$$

**LEMMA 2.3.** *Let  $0 < i \leq n$  and let  $z = \sum_{\ell \in A} \alpha_\ell y_\ell$  be an  $(i, \varepsilon)$  average (3) of  $(y_\ell)$ ,  $\alpha_\ell > 0$  for  $\ell \in A$ . Thus  $(y_\ell)_{\ell \in A}$  is  $i$  admissible and  $\sum_{\ell \in A} \alpha_\ell = 2^i$ . Then*

$$c_{j-i} - \varepsilon \leq |z|_j^n \leq 2c_{j-i+1} + (K_3 + 1)2\varepsilon, \quad 0 < i \leq j \leq n \quad (2.5)$$

$$c_{n+j-i} - \varepsilon \leq |z|_j^n \leq 2c_{n+j-i+1} + (K_3 + 1)3\varepsilon, \quad 0 \leq j < i \leq n \quad (2.6)$$

*Proof.* For the first inequality in (2.5), let  $k = j - i$ . From Proposition 1.1, (2.3) and the fact that  $S_k[S_i] = S_j$  we have

$$\begin{aligned} |z|_j^n &\geq \frac{1}{2^j} \sum_{\ell \in A} \alpha_\ell \sup \left\{ \sum_{s=1}^r \|E_s y_\ell\|_n : (E_s)_1^r \text{ is } k \text{ admissible} \right\} \\ &= \frac{1}{2^i} \sum_{\ell \in A} \alpha_\ell |y_\ell|_k^n \geq \frac{1}{2^i} \sum_{\ell \in A} \alpha_\ell (c_k - \varepsilon) = c_{j-i} - \varepsilon. \end{aligned}$$

The second inequality in (2.5) is more difficult. By Proposition 1.1, there exist  $j$  admissible sets  $(E_s)_1^r$  with

$$|z|_j^n = \frac{1}{2^j} \sum_{s=1}^r \|E_s z\|_n. \quad (2.7)$$

The sets  $(E_s)_1^r$  are the terminal sets of an admissible tree  $T$ , all having level  $j$ , and we may assume each  $E_s \subseteq \bigcup_{\ell \in A} \text{supp } y_\ell$ . We adjust the tree  $T$  by splitting some sets if necessary, as we did in the proof of Lemma 2.2, to obtain a tree  $T'$  which is admissible (3) and which does not badly split any  $y_\ell$ ,  $\ell \in A$ . It may be that for some  $E \in T'$  we have  $E \subseteq \text{supp } y_\ell$  for some  $\ell$  and level  $E < i$ . We remove all such sets from the tree  $T'$  (replace each  $F$  by  $F \setminus \bigcup$  such sets and throw out the empty sets thus obtained). This gives us a tree  $T''$  which does not badly split any  $y_\ell$  and for which no set of level  $< i$  is contained in  $\text{supp } y_\ell$  for any  $\ell \in A$ .  $T''$  is admissible (3).

Let  $(E'_s)_{s=1}^{r'}$  and  $(E''_s)_{s=1}^{r''}$  be the terminal sets of  $T'$  and  $T''$  respectively. Then (2.7) yields

$$\begin{aligned} |z|_j^n &\leq \frac{1}{2^j} \sum_{s=1}^{r'} \|E'_s z\|_n \\ &= \frac{1}{2^j} \sum_{s=1}^{r''} \|E''_s z\|_n + \frac{1}{2^j} \sum_{s \in D} \|E'_s z\|_n \end{aligned} \quad (2.8)$$

where  $D = \{1 \leq s \leq r' : E'_s \text{ was discarded from } T' \text{ in forming } T''\}$ . Let

$$\begin{aligned} B &\equiv \{\ell \in A : E'_s \subseteq \text{supp } y_\ell \text{ for some } s \in D\} \\ &= \{\ell \in A : E \subseteq \text{supp } y_\ell \text{ for some } E \in T' \text{ with level } E \leq i - 1\}. \end{aligned}$$

Thus if  $B' \equiv B \setminus \min B$  then  $(y_\ell)_{\ell \in B'}$  is  $i - 1$  admissible (3). Hence  $\sum_{\ell \in B} \alpha_\ell \leq \alpha_{\min B} + \sum_{\ell \in B'} \alpha_\ell < \varepsilon + \varepsilon = 2\varepsilon$ . Now  $(E'_s)_{s \in D}$  is  $j$  admissible (3) and so for  $\ell \in B$ ,

$$\frac{1}{2^j} \sum_{s \in D} \|E'_s y_\ell\|_n \leq \|\tilde{y}\| \leq K_3$$

where  $\tilde{y} = \sum a_i e_{3i}$  if  $y = \sum a_i e_i$ . Thus

$$\frac{1}{2^j} \sum_{s \in D} \|E'_s z\|_n \leq K_3 \sum_{\ell \in B} \alpha_\ell < 2K_3 \varepsilon.$$

From this and (2.8) we obtain

$$|z|_j^n \leq \frac{1}{2^j} \sum_{s=1}^{r''} \|E_s'' z\|_n + 2K_3\varepsilon. \quad (2.9)$$

Recall that  $k = j - i$ . For  $\ell \in A$ ,  $\{E_s'' : E_s'' \subseteq \text{supp } y_\ell\}$  is  $k + 1$  admissible. Indeed  $y_\ell$  could first be split into a 1-admissible family only at level  $i$  or later by  $T''$ . The tree  $T''$  continues from this point in an admissible fashion up to level  $j$ . Thus from (2.9),

$$\begin{aligned} |z|_j^n &\leq \frac{1}{2^j} \sum_{\ell \in A} \alpha_\ell \sup \left\{ \sum_{s=1}^p \|F_s y_\ell\|_n : (F_s)_1^p \text{ is } k + 1 \text{ admissible} \right\} + 2K_3\varepsilon \\ &\leq \frac{1}{2^i} \sum_{\ell \in A} \alpha_\ell 2|y_\ell|_{k+1}^n + 2K_3\varepsilon \\ &\leq 2c_{k+1} + 2K_3\varepsilon + 2\varepsilon. \end{aligned}$$

This completes the proof of (2.5).

For the lower estimate in (2.6) note that

$$\begin{aligned} |z|_j^n &\geq |z|_{j+n}^n \geq \frac{1}{2^{j+n}} \sum_{\ell \in A} \alpha_\ell \sup \left\{ \sum_{s=1}^r \|E_s y_\ell\|_n : (E_s)_1^r \text{ is } n + j - i \text{ admissible} \right\} \\ &\geq \frac{1}{2^i} \sum_{\ell \in A} \alpha_\ell (c_{n+j-i} - \varepsilon) = c_{n+j-i} - \varepsilon. \end{aligned} \quad (2.10)$$

Furthermore the argument in proving the upper estimate of (2.5) yields that  $|z|_{j+n}^n \leq 2c_{n+j-i+1} + (K_3 + 1)2\varepsilon$  and since  $|z|_j^n \leq |z|_{j+n}^n + \varepsilon$  we obtain (2.6).  $\square$

We continue the proof of Theorem 2.1 by using Proposition 1.2 to construct a block basis  $(z_i)_{i=1}^n$  of  $(y_\ell)$  so that each  $z_i$  is an  $(i, \varepsilon)$  average (3) of  $(y_\ell)_{\ell=1}^\infty$ . Let  $z = \frac{1}{n} \sum_{i=1}^n z_i$ . Let  $c = \frac{1}{n} \sum_{i=1}^n c_i$ .

LEMMA 2.4. For  $0 \leq j \leq n$ ,

$$\frac{1}{2}c - \frac{n+3}{2n}\varepsilon \leq |z|_j^n \leq 2c + 3\varepsilon(K_3 + 1).$$

*Proof.* If  $1 \leq j < n$  then by Lemma 2.3,

$$\begin{aligned} |z|_j^n &\leq \frac{1}{n} \sum_{i=1}^n |z_i|_j^n \\ &\leq \frac{1}{n} [2(c_j + c_{j-1} + \cdots + c_1 + c_n + c_{n-1} + \cdots + c_{j+1}) + (K_3 + 1)3n\varepsilon] \\ &\leq 2c + 3\varepsilon(K_3 + 1). \end{aligned}$$

Similarly if  $j = 0$  or  $n$ ,

$$|z|_j^n \leq \frac{1}{n} [2(c_n + c_{n-1} + \dots + c_1) + (K_3 + 1)3n\varepsilon].$$

Hence the upper estimate is established.

To obtain the lower estimate we note that  $(z_i)_1^n$  is 1 admissible hence by Proposition 1.1(b), if  $1 \leq j \leq n$

$$\begin{aligned} |z|_j^n &= \frac{1}{n} \left| \sum_{i=1}^n z_i \right|_j^n \geq \frac{1}{2} \frac{1}{n} \sum_{i=1}^n |z_i|_{j-1}^n \\ &\geq \frac{1}{2n} [c_0 + \dots + c_{n-1} - n\varepsilon]. \end{aligned}$$

Since  $c_n > c_0 - 3\varepsilon$ ,

$$|z|_j^n \geq \frac{1}{2n} [c_1 + \dots + c_n - (n + 3)\varepsilon] = \frac{1}{2}c - \frac{n + 3}{2n}\varepsilon.$$

Also  $|z|_0^n \geq |z|_n^n$  and so the lemma is proved.  $\square$

Note that, by Proposition 1.2,  $z$  satisfies  $\|z\| \leq \max_{1 \leq i \leq n} \|z_i\| \leq K_1$  and  $\|z\| \geq \frac{1}{2n} \sum_{i=1}^n \|z_i\| \geq \frac{1}{2}$ .

Furthermore, for an arbitrary  $y \in T$ ,  $\|y\| = 1$  implies that  $|y|_j^n \geq 2^{-n}$  for  $0 \leq j \leq n$  and thus we could have chosen  $c_j \geq 2^{-n}$  for  $0 \leq j \leq n$  and so in particular  $c \geq 2^{-n}$ . Thus (using Lemma 2.4) we can choose  $\varepsilon$  above to show that the element  $z$  satisfies

$$\frac{1}{3}c \leq |z|_j^n \leq 3c \text{ for } 0 \leq j \leq n.$$

These remarks in conjunction with Lemma 2.2 complete the proof of Theorem 2.1.  $\square$

Theorem 2.1 can be restated as saying that there exists an absolute constant  $K$  such that for all  $Y \prec T$  and  $n \in \mathbb{N}$  there exists  $Z \prec Y$  such that

$$d = \inf_{0 \leq j < n} \inf_{z \in S_Z} |z|_j^n \leq \sup_{0 \leq j < n} \sup_{z \in S_Z} |z|_j^n \leq Kd. \quad (2.11)$$

It is natural to say that  $Z \prec T$  is  $n$ -stable at  $d$  if  $Z$  satisfies (2.11). Obvious questions then arise. How does  $d$  depend upon  $Z$ , how does it depend upon  $n$ ? Our next result answers these questions.

**THEOREM 2.5.** *There exists an absolute constant  $L$  so that if  $Z \prec Y$  is  $n$ -stable at  $d$  then  $(Kn)^{-1} \leq d \leq Ln^{-1}$ .*

*Proof.* The lower estimate is relatively easy. Let  $Z \prec Y$  be  $n$  stable at  $d$  and let  $z \in S_Z$ .  $\|z\|$  is calculated by a tree ultimately yielding  $\|z\| = 1 = \sum_{i \in A} 2^{-n(i)} |z(i)|$  as explained previously. The sets in the tree are permitted to stop at any level. If we gather together those which stop at levels  $j, j+n, j+2n, \dots$  for  $j = 0, 1, \dots, n-1$ , we obtain  $1 \leq \sum_{j=0}^{n-1} |z_j^n|$ . Hence for some  $j < n$ ,  $|z_j^n| \geq \frac{1}{n}$ , and thus  $d \geq \frac{1}{Kn}$ , by (2.11).

Let  $y \in Z \cap [(e_m)]_n^\infty$  with  $\|y\| = 1$  and  $y = \sum a_j e_j$ . For  $0 \leq j < n-1$ , choose  $y_j^*$  in the unit ball  $B_{T^*}$  of  $T^*$  so that

$$y_j^*(y) = |y_j^n| = \sum_{s \in A_j} 2^{-(j+k_j(s)n)} |a_s| \geq d.$$

We may assume that  $A_j \subseteq \text{supp } y$ . Note that  $\sum_{j=0}^{n-1} y_j^*(y) \geq nd$ . Partition  $\bigcup_{i=0}^{n-1} A_i$  into sets  $(E_0, \dots, E_{n-1})$  as follows.  $s \in E_j$  if and only if for all  $i \neq j$  either  $s \notin A_i$  or  $j + k_j(s)n < i + k_i(s)n$ . Then  $(E_j y_j^*)_{j=0}^{n-1}$  is a collection of  $n$  disjointly supported vectors in  $B_{T^*}$  all having support contained in  $[(e_m)]_n^\infty$ . Since  $T$  and the modified Tsirelson space  $T_M$  are naturally isomorphic [CS] there exists an absolute constant  $L'$  so that

$$\left\| \sum_{j=0}^{n-1} E_j y_j^* \right\| \leq L' \max_{0 \leq j < n} \|E_j y_j^*\|_{T^*} \leq L'.$$

Furthermore

$$\left( \sum_{j=0}^{n-1} E_j y_j^* \right) (y) \geq \frac{nd}{2}.$$

Indeed, for  $s \in \bigcup_{j=0}^{n-1} E_j$  pick  $j_0$  such that  $s \in E_{j_0}$  and denote by  $F_s$  the set of all  $0 \leq i < n$ ,  $i \neq j_0$ , such that  $s \in A_i$ . Then  $\{i + k_i(s)n : i \in F_s\}$  is a subset of  $\{j_0 + k_{j_0}(s)n + 1, j_0 + k_{j_0}(s)n + 2, \dots\}$ . Thus

$$\begin{aligned} nd &\leq \sum_{j=0}^{n-1} y_j^*(y) = \sum_{j=0}^{n-1} \sum_{s \in E_j} |a_s| \left( 2^{-(j+k_j(s)n)} + \sum_{i \in F_s} 2^{-(i+k_i(s)n)} \right) \\ &\leq \sum_{j=0}^{n-1} \sum_{s \in E_j} |a_s| (2^{-(j+k_j(s)n)} + 2^{-(j+k_j(s)n)}) = 2 \sum_{j=0}^{n-1} E_j y_j^*(y). \end{aligned}$$

Hence  $nd/2 \leq L'$  so  $d \leq 2L'/n$ .  $\square$

As an immediate consequence of Theorems 2.1 and 2.5 we get the following.

**COROLLARY 2.6.** *There exists an absolute constant  $C$  so that for every  $Y \prec T$  and  $n \in \mathbb{N}$  there exists  $Z \prec Y$  and  $d > 0$  so that  $Z \prec Y$  is  $n$ -stable at  $d$  and  $(Cn)^{-1} \leq d \leq Cn^{-1}$ .*

### 3. Further results

We now turn to some stabilization results for more general norms on  $T$ . Given an arbitrary equivalent norm  $|\cdot|$  on  $Y \prec T$ , we describe some procedures on  $|\cdot|$ , natural in the context of Tsirelson space, which lead to new norms that cannot distort  $T$  by too much.

Recall [OTW] that if  $(y_i)$  is a basis for  $Y$  and  $n \in \mathbb{N}$  then

$$\delta_n(y_i) = \inf \left\{ \delta \geq 0: \left\| \sum_1^k x_i \right\| \geq \delta \sum_1^k \|x_i\| \right. \\ \left. \text{whenever } (x_i)_1^k \text{ is } n\text{-admissible w.r.t. } (y_j) \right\}. \quad (3.1)$$

A result of the type we pursue and which we shall need later was proved in [OTW], Theorem 6.2 (in stronger form).

**PROPOSITION 3.1.** *There exists  $D < \infty$  so that if  $(y_i)$  is a normalized block basis of  $(e_i)$  for  $Y \prec T$  and  $|\cdot|$  is an equivalent norm on  $Y$  with  $\delta_1((y_i), |\cdot|) = \frac{1}{2}$  then  $|\cdot|$  does not  $D$  distort  $Y$ .*

*Remark 3.2.* It was shown in [OTW] that for a block basis  $(y_i)$  of  $(e_i)$  and any equivalent norm  $|\cdot|$  on  $Y = [(y_i)]$ ,

$$\delta_n((y_i), |\cdot|) \leq 2^{-n} \text{ for all } n.$$

If  $|\cdot|$  is an equivalent norm on  $Y = [(y_j)] \prec T$ , for  $j \geq 0$  and  $x \in Y$ , we set

$$|x|_j = \frac{1}{2^j} \sup \left\{ \sum_1^\ell |E_i x|: (E_i)_1^\ell \text{ is } j \text{ admissible} \right\},$$

(If  $x = \sum a_i y_i$ ,  $E x = \sum_{i \in E} a_i y_i$ .) Thus  $|z|_0 = |z|$  and  $|\cdot|_j$  is an equivalent norm on  $Y$  for all  $j$ . For  $n \in \mathbb{N}$  we let

$$|z|^{(n)} = \frac{1}{n} \sum_{j=0}^{n-1} |z|_j.$$

**PROPOSITION 3.3.** *There exists  $D < \infty$  so that if  $n \in \mathbb{N}$  and  $|\cdot|$  is an equivalent norm on  $Y \prec T$  having basis  $(y_i) \prec (e_i)$  and satisfying*

$$\left| \sum_1^k x_i \right| \geq \frac{1}{2} \sum_1^k |x_i|_{n-1}$$

*for all 1 admissible  $(x_i)_1^k \prec (y_j)$  then  $|\cdot|^{(n)}$  cannot  $D$  distort  $Y$ .*

*Proof.* Let  $(x_i)_1^k$  be 1 admissible w.r.t.  $(y_\ell)$ . Then for  $j \geq 1$ ,

$$\left| \sum_{i=1}^k x_i \right|_j \geq \frac{1}{2} \sum_{i=1}^k |x_i|_{j-1}$$

since  $S_1[S_{j-1}] = S_j$ . Thus using the hypothesis,

$$\begin{aligned} \left| \sum_{i=1}^k x_i \right|^{(n)} &= \frac{1}{n} \sum_{j=1}^{n-1} \left| \sum_{i=1}^k x_i \right|_j + \frac{1}{n} \left| \sum_{i=1}^k x_i \right| \\ &\geq \frac{1}{2} \frac{1}{n} \sum_{j=0}^{n-2} \sum_{i=1}^k |x_i|_j + \frac{1}{2} \frac{1}{n} \sum_{i=1}^k |x_i|_{n-1} \\ &= \frac{1}{2} \sum_{i=1}^k \left( \frac{1}{n} \sum_{j=0}^{n-1} |x_i|_j \right) = \frac{1}{2} \sum_{i=1}^k |x_i|^{(n)}. \end{aligned}$$

Thus  $\delta_1((y_i), |\cdot|^{(n)}) = \frac{1}{2}$ . The proposition follows from Proposition 3.1.  $\square$

*Remark 3.4.* The hypothesis of Proposition 3.3 is satisfied if  $\delta_n(|\cdot|) = 2^{-n}$ .

If  $|\cdot|$  is an equivalent norm on  $Y = [(y_i)] \prec T$ , we define an equivalent norm on  $Y$  by

$$|x|_{\text{Tr}} = \sup \left\{ \sum_{i \in A} 2^{-n(i)} |E_i x| : (E_i)_{i \in A} \text{ are the terminal sets} \right. \\ \left. \text{of an admissible tree with level } E_i = n(i) \right\}.$$

Clearly  $|\cdot| \leq |\cdot|_{\text{Tr}}$  and if  $|\cdot| \leq \|\cdot\|$  then  $|\cdot|_{\text{Tr}} \leq \|\cdot\|$ . Note that  $\|\cdot\| = \|\cdot\|_{\text{Tr}}$  if  $(y_i) = (e_i)$ .

The constant  $K_2$  appearing in several arguments below is the constant from Proposition 1.3.

**PROPOSITION 3.5.** *There exists  $K (= 2K_2M)$  so that if  $|\cdot|$  is any equivalent norm on  $Y = [(y_i)] \prec T$  then  $|\cdot|_{\text{Tr}}$  does not  $K$  distort  $Y$ .*

*Proof.* By multiplying  $|\cdot|$  by a scalar and passing to  $Z \prec Y$  we may assume that  $\|\cdot\| \geq |\cdot|$  on  $Z$  and  $Z$  has a basis  $(z_i)_i^\infty$  with  $\|z_i\| = 1$  and  $|z_i| > \frac{1}{2}$  for all  $i$ . Furthermore, by [AO], we may assume that for all  $j$  if  $(z_i)_{i \in E}$  is  $j$ -admissible w.r.t.  $(e_i)$  then  $(z_{i+1})_{i \in E}$  is  $j$ -admissible w.r.t.  $(y_i)$ .

Let  $z = \sum_1^\ell a_i z_i$  with  $\|z\| = 1$ . Then  $\|\sum_1^\ell a_i z_{i-1}\| \geq M^{-1}$  for some absolute constant  $M$  [CS]. By Proposition 1.3 there exists an admissible tree w.r.t.  $(e_i)$  having terminal sets of the form  $\text{supp } z_{i-1}$  and level  $n(i)$  for all  $i$  in some set  $A$  so that

$$\sum_{i \in A} 2^{-n(i)} |a_i| \geq K_2^{-1} \|\sum a_i z_{i-1}\| \geq (K_2 M)^{-1}.$$

It follows that

$$|z|_{\text{Tr}} \geq \sum_{i \in A} 2^{-n(i)} |a_i| |z_i| > (2K_2 M)^{-1},$$

completing the proof.  $\square$

*Remark 3.6.* It follows from Proposition 3.5 that if  $|\cdot|$  is an equivalent norm on  $Y = [(y_i)] \prec T$  satisfying  $|y|_{\text{Tr}} \leq \gamma|y|$  for all  $y \in Y$ , then  $|\cdot|$  does not  $K\gamma$  distort  $Y$ .

**PROPOSITION 3.7.** *For all  $\gamma > 0$  there exists  $D(\gamma) < \infty$  with the following property. Let  $Y = [(y_i)] \prec T$ . If  $|\cdot|$  is an equivalent norm on  $Y$  and  $n \in \mathbb{N}$  is such that  $\delta_n((y_i), |\cdot|) = 2^{-n}$  and  $|y|_j \geq \gamma|y|$  for all  $y \in Y$  and  $j < n$ , then  $|\cdot|$  does not  $D(\gamma)$  distort  $Y$ .*

*Proof.* By Theorem 2.1 we may choose  $(z_i) \prec (y_i)$ ,  $Z = [(z_i)]$ , so that for some  $d > 0$ ,

$$d \leq \|z\|_n \leq Kd \text{ for all } z \in S_Z.$$

Furthermore, by passing to a block basis of  $Z$  and scaling  $|\cdot|$  as necessary, we may assume that  $\|z\|_n \geq |z|$  for all  $z \in Z$  and  $1 = \|z_i\| \geq \|z_i\|_n \geq |z_i| \geq \frac{1}{2} \|z_i\|_n$  for all  $i$ . Finally, again by [AO] we may assume that if  $(z_i)_{i \in E}$  is  $j$ -admissible w.r.t.  $(e_i)$  then  $(z_{i+1})_{i \in E}$  is  $j$ -admissible w.r.t.  $(y_i)$ .

Let  $z = \sum a_i z_i$  with  $\|z\| = 1$ .

As in the proof of Proposition 3.5 there exists an admissible tree w.r.t  $(y_i)$  having terminal sets of the form  $\text{supp } z_i$  and level  $n(i)$ ,  $i \in A$ , yielding

$$\sum_{i \in A} 2^{-n(i)} |a_i| \|z_i\| \geq (K_2 M)^{-1}.$$

Choose  $0 \leq j(i) < n$  so that  $n(i) + j(i) \in \{0, n, 2n, \dots\}$ . Since  $\delta_n((y_i), |\cdot|) = 2^{-n}$  we obtain

$$\begin{aligned} |z| &\geq \sum_{i \in A} 2^{-n(i)} |a_i| |z_i|_{j(i)} \geq \gamma \sum_{i \in A} 2^{-n(i)} |a_i| |z_i| \\ &\geq \frac{\gamma}{2} \sum_{i \in A} 2^{-n(i)} |a_i| \|z_i\|_n \geq \frac{\gamma d}{2K_2 M}. \end{aligned}$$

Thus

$$\|z\|_n \geq |z| \geq \frac{\gamma}{2K_2KM} \|y\|_n.$$

Hence  $Kd \geq |z| \geq \frac{\gamma}{2K_2KM} d$ . The theorem is proved with  $D(\gamma) = 2\gamma^{-1}K_2K^2M$ .  $\square$

Our next result combines the proofs of Proposition 3.7 and the main theorem.

**PROPOSITION 3.8.** *For  $\gamma > 0$  there exists  $D(\gamma) < \infty$  so that the following holds. Let  $n \in \mathbb{N}$  and let  $|\cdot|$  be an equivalent norm on  $Y = [(y_i)] \prec T$  with  $\delta_n(|\cdot|) = 2^{-n}$ . Suppose that for all  $y \in Y$ ,  $|y|_n \geq \gamma|y|$  and  $|y| \geq \gamma|y|_j$  for  $1 \leq j \leq n$ . Then  $|\cdot|$  does not  $D(\gamma)$  distort  $Y$ .*

*Proof.* As in the proof of Proposition 3.7 we may assume that  $\|\cdot\|_n \geq |\cdot|$  on  $Z$ ,  $Z$  has a normalized (in  $T$ ) basis  $(z_i) \prec (y_i)$  with  $|z_i| \geq \frac{1}{2}\|z_i\|_n$  for all  $i$ . In addition, from Theorem 2.1 we may assume

$$d \leq |z|_j^n \leq Kd \quad \text{for } 0 \leq j \leq n \text{ and } z \in S_Z.$$

Finally, we again assume that if  $(z_i)_E$  is  $j$ -admissible w.r.t.  $(e_i)$  then  $(z_{i+1})_E$  is  $j$ -admissible w.r.t.  $(y_i)$ .

Note that the hypothesis  $\delta_n(|\cdot|) = 2^{-n}$  implies  $|\cdot| \geq |\cdot|_n$  and more generally  $|\cdot|_j \geq |\cdot|_{n+j}$ .  $|\cdot|_n \geq \gamma|\cdot|$  implies that (on  $Y$ )  $|\cdot|_j \leq \gamma^{-1}|\cdot|_{n+j}$ .

Furthermore we may assume that, for a suitably small  $\varepsilon > 0$ ,  $||z_\ell|_j - c_j| < \varepsilon$  for all  $\ell \in \mathbb{N}$  and  $0 \leq j \leq n$  for some  $(c_j)_0^n \subseteq \mathbb{R}^+$ .

Fix  $1 \leq i \leq n$  and let  $z = \sum \alpha_\ell z_\ell$  be an  $(i, \varepsilon)$  average (3) of  $(z_\ell)$ . Note that  $|z|_i \geq \frac{1}{2^i} \sum_{\ell \in A} \alpha_\ell |z_\ell| \geq \frac{d}{2}$  hence

$$(i) \quad |z| \geq \gamma|z|_i \geq \frac{d\gamma}{2}.$$

The argument of Lemma 2.3 remains valid for estimates on  $|z|_j$ . The proof of the upper estimate of (2.6) yields

$$|z|_{j+n} \leq 2c_{n+j-i+1} + 2\varepsilon(K_3 + 1),$$

hence

$$|z|_j \leq \gamma^{-1} \left( 2c_{n+j-i+1} + 2\varepsilon(K_3 + 1) \right).$$

If we set  $w = \frac{1}{n} \sum_1^n w_i$  where  $(w_i)_1^n \prec (z_\ell)_n^\infty$  and each  $w_i$  is an  $(i, \varepsilon)$  average (3) of  $(z_i)$  then, as in Lemma 2.4 (taking  $\varepsilon$  suitably small),

$$(ii) \quad \frac{1}{3}c \leq |w|_j \leq 3\gamma^{-1}c \quad (0 \leq j \leq n) \text{ where } c = \frac{1}{n} \sum_1^n c_i.$$

Also

$$|w|_1 \geq \frac{1}{2n} \sum_1^n |w_i| \geq \frac{d\gamma}{4}$$

from (i) and so

$$(iii) \quad |w| \geq \gamma |w|_1 \geq \frac{d}{4} \gamma^2.$$

From (ii) and (iii) we have  $\frac{d}{4} \gamma^2 \leq 3\gamma^{-1}c$  and so  $c \geq \frac{d\gamma^3}{12}$ . Thus, from (ii)

$$(iv) \quad |w|_j \geq \frac{d\gamma^3}{36} \text{ for } 0 \leq j \leq n.$$

We are ready to apply the proof of Proposition 3.7. Let  $(w_i) \prec (z_\ell)$  be such that each  $w_i$  is constructed as was  $w$  above. Let  $w = \sum a_i w_i$  with  $\|w\| = 1$ . Choose an admissible tree having terminal sets  $\text{supp } w_i$  for  $i \in A$  yielding  $\sum 2^{-n(i)} |a_i| \|w_i\| \geq (K_2 M)^{-1}$ . It follows that if  $0 \leq j(i) < n$  satisfies  $n(i) + j(i) \in \{0, n, 2n, \dots\}$  then

$$Kd \geq \|w\|_n \geq |w| \geq \sum 2^{-n(i)} |a_i| |w_i|_{j(i)} \geq \frac{d\gamma^3}{36K_2M}.$$

The theorem is proved with  $D(\gamma) = \frac{36K_2K_2M}{\gamma^3}$ .  $\square$

In comparison with (1.3), it is of interest to consider the mixed Tsirelson space (see [AD])  $T((S_k, c_k 2^{-k})_k)$ , where  $c_k \uparrow 1$ . We then ask whether it also coincides with  $T$ , or, at least, whether its norm,  $|\cdot|$  say, is an equivalent norm on a subspace of  $T$ . The following result gives the positive answer to the latter question. It also indicates that the answer to the former question probably depends upon the asymptotic behavior of  $(c_k)$ . Finally, it should be compared with Example 5.12 from [OTW] which implies that if  $c_k < \delta < 1$  then no subspace of  $T((S_k, c_k 2^{-k})_k)$  is isomorphic to a subspace of  $T$ .

**PROPOSITION 3.9.** *There exists a block subspace  $X \prec T$  such that  $c\|x\| \leq |x| \leq \|x\|$  for  $x \in X$ , where  $c > 0$  is an absolute constant, independent of the choice of  $c_k \uparrow 1$ .*

*Outline of the proof.* Clearly,  $|x| \leq \|x\|$  for all  $x \in T$ . Choose  $n(i) \uparrow \infty$  such that  $\prod_1^\infty c_{n(i)} > \frac{1}{2}$  and  $\sum_1^\infty 2^{-n(i)} < 1/4K_2$ . Let  $m(1) = n(1)$  and inductively choose  $m(i) \uparrow \infty$  so that  $m(i+1) \geq 2(m(i) + n(i))$  for all  $i = 1, 2, \dots$

Choose  $(x_i) \prec T$  to be a block basis of  $(e_i)$  such that each  $x_i$  is an  $(m(i), 1)$  average (1) of  $(e_i)$ . In particular,  $x_i = \sum_{j \in F_i} \alpha_j^i e_j$ , where  $\alpha_j^i > 0$  for  $j \in F_i$ ,  $F_i \in S_{m(i)}$  and  $\sum_{j \in F_i} \alpha_j^i = 2^{m(i)}$ . It is easy to check that  $1 \leq \|x_i\| \leq 2$ .

Let  $x = \sum_1^\ell a_i x_i$  with  $\|x\| = 1$ . By Proposition 1.3, there exists an admissible tree  $\mathcal{T}$  having terminal sets of the form  $\text{supp } x_i$  and level  $p(i)$ , yielding

$$\sum_{i \in S} 2^{-p(i)} |a_i| \|x_i\| \geq 1/K_2$$

for some  $S \subseteq \{1, \dots, \ell\}$ . Set  $G = \{i \in S: p(i) \leq n(i)\}$ . Note that if  $B = S \setminus G$  then  $\sum_B 2^{-p(i)} \leq \sum_B 2^{-n(i)} < 1/4K_2$ , and so

$$\sum_{i \in B} 2^{-p(i)} |a_i| \|x_i\| < 2/4K_2 = 1/2K_2.$$

Thus

$$\sum_{i \in G} 2^{-p(i)} |a_i| \|x_i\| > 1/2K_2.$$

Prune the tree  $\mathcal{T}$  so as to only admit terminal sets of the form  $\text{supp } x_i$  for  $i \in G$ . Extend each of these sets  $m(i)$  levels in an admissible fashion, ending at the singletons which form  $\text{supp } x_i$ , ultimately obtaining an admissible tree  $\mathcal{T}'$ . Since  $\|x_i\| \leq 2$ , it follows that

$$\sum_{i \in G} 2^{-p(i)} |a_i| \sum_{j \in F_i} 2^{-m(i)} \alpha_j^i > 1/4K_2,$$

which can be rewritten as

$$\sum_{i \in G} \sum_{j \in F_i} 2^{-p(i)-m(i)} |a_i| \alpha_j^i > 1/4K_2.$$

For  $i \in G$ , all elements in the support of  $x_i$  are terminal sets of  $\mathcal{T}'$  having level  $j(i) \equiv p(i) + m(i)$ . Note that for  $i' \in G$ ,  $i' > i$ , the definition of  $G$  and the growth condition on  $m(i)$  imply that

$$j(i') - j(i) = p(i') + m(i') - p(i) - m(i) \geq m(i') - n(i) - m(i) \geq n(i).$$

Let  $G = \{i_1, \dots, i_s\}$  written in the increasing order. The admissible tree  $\mathcal{T}'$  has terminal sets of level  $j(i_1)$  which together equal the support of  $x_{i_1}$ , of level  $j(i_2)$  which together equal the support of  $x_{i_2}$ , and so on. Also,  $j(i_{k+1}) - j(i_k) \geq n(i_k) \geq n(k)$ .

By considering all the sets of  $\mathcal{T}'$  of level  $j(i_1)$  we obtain

$$\|x\| \geq c_{j(i_1)} \left( 2^{j(i_1)} |a_{i_1}| \sum_{j \in F_{i_1}} \alpha_j^{i_1} + 2^{j(i_1)} \sum_{r=1}^{r(1)} |E_r^{i_1} x| \right),$$

where  $(E_r^{i_1})$  are the remaining sets in  $\mathcal{T}'$  of level  $j(i_1)$  which are disjoint from the support of  $x_{i_1}$ .

We iterate this estimate next continuing the sets  $(E_r^{i_1})$  to level  $j(i_2)$  and so on. Ultimately we obtain

$$|x| \geq c_{j(i_1)} \left( 2^{j(i_1)} |a_{i_1}| \sum_{j \in F_{i_1}} \alpha_j^{i_1} + c_{j(i_2)-j(i_1)} \left( 2^{j(i_2)} |a_{i_2}| \sum_{j \in F_{i_2}} \alpha_j^{i_2} + c_{j(i_3)-j(i_2)} \left( 2^{j(i_3)} |a_{i_3}| \sum_{j \in F_{i_3}} \alpha_j^{i_3} + \dots \right) \right) \right)$$

Since  $j(i_{k+1}) - j(i_k) \geq n(k)$ , this yields

$$|x| \geq \prod_{k=1}^{\infty} c_{n(k)} \left( \sum_{r=1}^s 2^{-j(i_r)} |a_{i_r}| \sum_{j \in F_{i_r}} \alpha_j^{i_r} \right) \geq \frac{1}{2} (1/4K_2) = 1/8K_2,$$

completing the proof.  $\square$

Until now we considered the Tsirelson space  $T \equiv T(S_1, 2^{-1})$ , its subspaces and renormings. Analogous results also hold for Tsirelson spaces  $T_\theta \equiv T(S_1, \theta)$ , where  $0 < \theta < 1$ . It should be noted, however, that absolute constants will change to functions depending on  $\theta$  (typically of the form  $c\theta^{-1}$  where  $c$  is an absolute constant). In particular, let us recall that the space  $T_\theta$  admits a  $\theta^{-1} - \varepsilon$  distorted norm for every  $\varepsilon > 0$  (the proof is exactly the same as for  $T$ ). In this context a distortion property of the renorming  $T(S_n, \theta^n)$  of  $T_\theta$  might be also of interest.

**PROPOSITION 3.10.** *Let  $n \in \mathbb{N}$  and  $0 < \theta < 1$ . Let  $X = T(S_n, \theta^n)$ . Every  $Y \prec X$  contains  $Z \prec Y$  such that  $Z$  is  $\theta^{-1} - \varepsilon$  distortable for every  $\varepsilon > 0$ .*

*Outline of the proof.* First note that the modulus  $\delta_m$  defined in (3.1) has the following property: For all  $Y \prec X$  and  $k \in \mathbb{N}$ ,  $\delta_{nk}(Y) \leq \theta^{n(k-1)+1}$ . Indeed, let  $Y \prec X$  and let  $(y_i)$  be a normalized basis in  $Y$ . Let  $0 < \varepsilon < 1$  and  $y = \sum_{i \in A} \alpha_i y_i$  be an  $(nk, \varepsilon)$  average (1), satisfying conditions (i) and (ii) of Proposition 1.2. (Observe that these two conditions have a purely combinatorial character, and their validity does not depend on the underlying Banach space.) In particular,  $\sum_{i \in A} \alpha_i = 2^{nk}$ . Then  $\|y\| \geq \delta_{nk}(Y) 2^{nk}$ . Iterating the definition of the norm  $k - 1$  times we obtain

$$\|y\| \leq \theta^{n(k-1)} \sum_{j=1}^{\ell} \|E_j y\| + \sum_{i \in B} \alpha_i,$$

where  $i \in B$  if  $\text{supp } y_i$  is split by some set in the tree of sets obtained by iterating the norm definition. Thus  $B \in S_{n(k-1)}$  and  $E_1 < \dots < E_\ell$  is  $n(k - 1)$ -admissible, and

for  $s \leq \ell$  and  $i \in A$  one has  $E_s \cap \text{supp } y_i = \emptyset$  or  $E_j \supseteq \text{supp } y_i$ . Thus

$$\|y\| \leq \theta^{n(k-1)} \sum_{i \in A} \alpha_i + \varepsilon \leq \theta^{n(k-1)} 2^{nk} + \varepsilon.$$

Comparing this with the lower estimate for  $\|y\|$  yields the required bound for  $\delta_{nk}(Y)$ .

The supermultiplicativity property  $\delta_{nk}(Y) \geq (\delta_1(Y))^{nk}$  ([OTW], Prop. 4.11) and the previous estimate immediately imply that for all  $Y \prec X$ ,  $\delta_1(Y) \leq \theta$ .

This in turn implies that for every  $Y \prec X$  there exists  $Z \prec Y$  such that for every  $\varepsilon > 0$  there is  $k \in \mathbb{N}$  satisfying the following: For all  $W \prec Z$  there exist  $w_1 < \dots < w_k$  in  $W$  such that  $\|\sum_{i=1}^k w_i\| = 1$  and  $\sum_{i=1}^k \|w_i\| \geq \theta^{-1} - \varepsilon$ . If not, then stabilizing suitable quantities for  $k = 1, 2, \dots$  by passing to appropriate subspaces, and using a diagonal argument and the definition of  $S_1$ , we would get a subspace  $Y'$  with  $\delta_1(Y') > \theta$ .

Now, given  $\varepsilon > 0$ , define  $|\cdot|$  on  $Z$  by

$$|z| = \sup_{E_1 < \dots < E_k} \sum_{i=1}^k \|E_i z\|,$$

where  $E_i z$  is the projection with respect to the basis of  $Z$ . Clearly,  $\|z\| \leq |z| \leq k\|z\|$  for  $z \in Z$ . Let  $W \prec Z$ . By the previous claim, there exists  $w \in W$  with  $\|w\| = 1$  and  $|w| \geq \theta^{-1} - \varepsilon$ . On the other hand, a standard argument involving long  $\ell_1^m$  averages implies that there exists  $x \in W$  with  $\|x\| = 1$  and  $|x| \leq 1 + \varepsilon$  (e.g., see [OTW], Prop. 2.7).  $\square$

#### 4. Problems

Of course the main problem is the following.

**PROBLEM 4.1.** *Is  $T$  arbitrarily distortable? Is any subspace of  $T$  arbitrarily distortable?*

Our work in Section 3 suggests the following problems.

**PROBLEM 4.2.** *Prove that the class of equivalent norms on  $T$  for which  $\delta_n(|\cdot|) = 2^{-n}$  for some  $n > 1$  do not arbitrarily distort  $T$  or any  $Y \prec T$ .*

**PROBLEM 4.3.** *Prove that for  $\gamma > 0$  there exists  $K(\gamma) < \infty$  so that if  $|\cdot|$  is an equivalent norm on  $T$  satisfying  $\delta_n(|\cdot|) \geq \gamma 2^{-n}$  for all  $n$  then  $|\cdot|$  does not  $K(\gamma)$  distort any  $Y \prec T$ .*

**PROBLEM 4.4.** *Prove there exists  $K < \infty$  so that if  $|\cdot|$  is an equivalent norm on  $T$  and  $Y \prec T$  then for some  $n$ ,  $|\cdot|^{(n)}$  does not  $K$  distort  $Y$ .*

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