

ON POLYNOMIAL EXTENSIONS OF SIMPLE RINGS

By

Kazuo KISHIMOTO ^{*})

Introduction. Let S be a simple ring, and A an extension ring of S with the common identity. If $[A : S]_r = n (> 1)$ and there exists some $y \in A$ such that $A = \sum_{i=0}^{n-1} y^i S$ and $Sy \subseteq yS + S$, then A/S is called an *n dimensional right polynomial extension* and $\{y^i; i=0, 1, \dots, n-1\}$ is called a *right polynomial S-basis for A*. Then, by $sy = ys' + s''$ ($s \in S$), we can define in S a monomorphism $\rho_y : s \rightarrow s'$ and a $(1, \rho_y)$ -derivation¹⁾ $D_y : s \rightarrow s''$. On the other hand, an extension ring A' of S (with the common identity) is called an *m dimensional left polynomial extension* over S if $[A' : S]_l = m (> 1)$, $A' = \sum_{i=0}^{m-1} Sx^i$ and $xS \subseteq Sx + S$. Finally, a right polynomial extension is called a *polynomial extension* if it is a left polynomial extension at the same time. Any right quadratic extensions and cyclic extensions (Cf. [4]) are right polynomial extensions.

The purpose of the present paper is to give some information to the study of finite dimensional right polynomial simple ring extensions. In §1, we shall give a relation between the left dimension and the right dimension of a right polynomial extension and a necessary and sufficient condition for a simple ring to have a finite dimensional right polynomial extension. §2 is devoted to determine the structure of $V = V_R(S)$, the centralizer of S in R (R is a finite dimensional right polynomial simple ring extension), under the restriction that ρ is inner or D_y is ρ_y -inner²⁾. As the result, we can see that V is a commutative semi-simple ring with minimum condition in the most of cases. In §3, we shall treat with a right polynomial simple ring extension that is Galois. Finally, in §4, a general description of right quadratic extensions of simple rings will be given, and it is closely related to that investigated in [1]. Throughout the present paper, we assume always R will mean an n dimensional right polynomial simple ring extension over S , and that $R = \sum_{i=0}^{n-1} y^i S = (\oplus_{i=0}^{n-1} y^i S^3)$ and $sy = y(s\rho_y) + sD_y$. By C and Z , we denote the respective centers of R and S , and other notations and terminologies used in this paper, we follow [4].

^{*}) Domestic Fellow in the Mathematical Institute, Hokkaido University, on leave from Hokkaido Gakugei University.

1) Cf. [3]. P. 170.

2) Unless otherwise stated, a ρ -derivation means $(1, \rho)$ -derivation.

3) \oplus means a direct sum.

§ 1. The left dimension and the construction of a right polynomial extension.

Throughout this section, we assume that A is an n dimensional (not necessary simple) right polynomial extension over S such that $A = \sum_{i=0}^{n-1} y^i S$, $sy = y(s\rho_y) + sD_y$ ($s \in S$). By $P_{k,i}$, we denote the sum of all formally different products of consisting of i ρ_y 's and $k-i$ D_y 's. (e.g. $P_{3,2} = \rho_y^2 D_y + \rho_y D_y \rho_y + D_y \rho_y^2$, and we set $\rho_y^0 = D_y^0 = 1$). Then,

Lemma 1.1. $sy^k = \sum_{i=0}^{n-1} y^i s P_{k,i}$ for each $s \in S$.

Proof. We prove the assertion by the induction on k . Obviously, $sy = y(s\rho_y) + sD_y = y s P_{1,1} + s P_{1,0}$. Assume that $sy^{k-1} = \sum_{i=0}^{k-1} y^i s P_{k-1,i}$. Then $sy^k = (\sum_{i=0}^{k-1} y^i s P_{k-1,i}) y = \sum_{i=0}^{k-1} y^{i+1} (s P_{k-1,i}) \rho_y + \sum_{i=0}^{k-1} y^i (s P_{k-1,i}) D_y = (s P_{k-1,0}) D_y + \sum_{i=1}^k y^i ((s P_{k-1,i-1}) \rho_y + (s P_{k-1,i-1}) D_y) + y^k (s P_{k-1,k-1} \rho_y)$. Noting here that the number of formally different terms of $P_{j,i}$ is $\binom{j}{i}$, $P_{k-1,i} D_y + P_{k-1,i-1} \rho_y$ coincides with $P_{k,i}$ which completes our induction.

Corollary 1.1. Let $\{x^i; i=0, 1, \dots, n-1\}$ be a right polynomial S -basis with $sx = x(s\rho_x) + sD_x$ ($s \in S$). Then $\rho_x t_i = \rho_y t_r$ for some $t \in S$ and $0 < k < n$. In particular, if ρ_y is an automorphism or S is a division ring, then $\rho_x = \rho_y \tilde{t}^{-1}$ and $D_x = \sum_{i=0}^k P_{i,0} s_{ir} - \rho_y \tilde{t}^{-1} s_{0l}$ for some $s_i \in S$ where \tilde{t}^{-1} is the inner automorphism generated by t^{-1} .

Proof. Let $x = y^k s_k + \sum_{j=0}^{k-1} y^j s_j$ ($k \geq 1$, $s_i \in S$, $s_k \neq 0$). Then we have $y^k s_k (s\rho_x) + \sum_{j=0}^{k-1} y^j s_j (s\rho_x) + sD_x = x(s\rho_x) + sD_x = sx = s(y^k s_k + \sum_{j=0}^{k-1} y^j s_j) = y^k (s\rho_y^k) s_k + \sum_{j=0}^{k-1} y^j s P_{k,j} s_k + \sum_{j=0}^{k-1} (\sum_{i=0}^j y^i s P_{j,i}) s_j$. This show that $\rho_x t_i = \rho_y t_r$ where $t = s_k$ and $D_x = \sum_{i=0}^k P_{i,0} s_{ir} - \rho_x s_{0l}$. In particular, if ρ_y is an automorphism (or S is a division ring), $s_k \in S^{*4)}$ by $Ss_k = S\rho_y^k s_k = s_k S\rho_x$. Hence we have $\rho_x = \rho_y \tilde{t}^{-1}$ and $D_x = \sum_{i=0}^k P_{i,0} s_{ir} - \rho_y \tilde{t}^{-1} s_{0l}$.

Corollary 1.2. Let R be an n dimensional right polynomial (simple ring) extension over S .

(a) If ρ_x is inner, then so is every $\rho_{x'}$, and there exists a right polynomial S -basis $\{y^i; i=0, 1, \dots, n-1\}$ such that $\rho_y = 1$.

(b) If D_x is ρ_x -inner, then ρ_x is an automorphism, every $D_{x'}$ is $\rho_{x'}$ -inner, and then there exists a right polynomial S -basis $\{y^i; i=0, 1, \dots, n-1\}$ such that $D_y = 0$ and $\rho_y = \rho_x$.

Proof. (a) Let $\rho_x = \tilde{u}$ for some $u \in S$. Then $\rho_{x'} = \rho_x \tilde{t}^{-1} = \tilde{u} \tilde{t}^{-1}$ for some $t \in S$. Further, $sxu = xus + sE$ ($s \in S$) where $E = D_x u_r$ is a derivation in S , and $\{(xu)^i; i=0, 1, \dots, n-1\}$ is a requested right polynomial S -basis.

4) S^* means the multiplicative group consisting of the regular elements of S .

(b) Let D_x be ρ_x -inner generated by $u \in S$. Then $s(x-u) = (x-u)(s\rho_x)$ ($s \in S$) and $\{(x-u)^i; i=0, 1, \dots, n-1\}$ is a requested polynomial S -basis. Further, $D_{x'} = \sum_{i=0}^k P_{i,0} s_{i,r} - \rho_{x'} s_{0,l}$ where $P_{i,j}$ is defined by ρ_y and D_y ($=0$) and $y = x-u$. Hence $P_{i,0} = 0$ if $i \neq 0$. This means that $D_{x'}$ is an inner ρ_x -derivation generated by s_0 . Now, let $\sum_i y^i t_i$ ($t_i \in S$) be an arbitrary element of R . Then $(\sum_i y^i t_i)y = y(\sum_i y^i t_i \rho_y)$ implies $R = RyR = yR$. Thus y is a regular element of R , and hence $y^{-1}Sy = S\rho_y \subseteq S$. On the other hand, since $R = \sum_{i=0}^{n-1} y^i S$, $R = y^{-1}Ry = \sum_{i=0}^{n-1} y^i (y^{-1}Sy)$ shows that $\rho_y = \widetilde{y^{-1}}|S$ is an automorphism. The rest is clear from Corollary 1.1.

Theorem 1.1. $[A : S]_l = \sum_{i=1}^n ([S : S\rho_y]_l)^i + 1$.

Proof. Let $B_0 = \{1\}$, and B_i a left $S\rho_y^i$ -basis for S ($i=1, 2, \dots$). Then one will easily see that $\#B_i = (\#B_1)^i$. Now, we shall prove that $Y = \{y^i B_i; i=0, 1, \dots, n-1\}$ is a left S -basis for A . Since $y^i(s\rho_y^i) - sy^i \in \sum_{j=0}^{i-1} y^j S$ ($i=1, 2, \dots, n-1$), we readily see that $y^i S \subseteq Sy^i B_i + \sum_{j=0}^{i-1} y^j S$, whence it follows $y^i S \subseteq \sum_{j=0}^i Sy^j B_j$, namely, Y is a left generating system of A over S . At the same time, the linear independence of Y over S will easily seen.

Corollary 1.3. *The following conditions are equivalent.*

- (a) $[A : S]_l = [A : S]_r$.
- (b) *There exists an element $x \in A \setminus S$ such that $xs = (s\tau)x + sE$ ($s \in S$) where τ is a monomorphism in S , E a $(\tau, 1)$ -derivation in S .*
- (c) ρ_y is an automorphism.

Proof. (c) \rightarrow (a). This is direct consequence of Theorem 1.1.

(a) \rightarrow (b). By Theorem 1.1, ρ_y is an automorphism, and then, $sy = y(s\rho_y) + sD_y$ ($s \in S$) implies $ys = (s\rho_y^{-1})y + s(-\rho_y^{-1}D_y)$.

(b) \rightarrow (c). If $x = y^k s_k + \sum_{j=0}^{k-1} y^j s_j$ ($s_i \in S$), then $k \geq 1$ and $s_k \neq 0$. Hence, for each $u \in S$, $y^k s_k u + \sum_{j=0}^{k-1} y^j s_j u = xu = (u\tau)x + uE = (u\tau)(y^k s_k + \sum_{j=0}^{k-1} y^j s_j) + uE$. Therefore, we obtain $s_k u = (u\tau)P_{k,k} s_k = (u\tau\rho_y^k) s_k$, whence it follows $S = Ss_k S = Ss_k$, namely, $s_k \in S^*$. Hence $\tau \cdot \rho_y^k = \widetilde{\tau}_k$, which means that ρ_y is an automorphism.

Combining Corollary 1.2 (b) with Corollary 1.3, we have

Corollary 1.4. *If D_y is ρ_y -inner, then $[R : S]_l = [R : S]_r$ ⁵⁾.*

Let ρ be a monomorphism in S and D a ρ -derivation in S . We consider the ring $\mathfrak{S} = S[X; \rho, D] = \{\sum_i X^i s_i; s_i \in S\}$, where the multiplication is defined by $sX = X(s\rho) + sD$. If S is a division ring or a simple ring (of the capacity

5) The converse is not true. For, as is shown in Theorem 4.2, a right quadratic extension R/S is Galois (and hence $[R : S]_l = [R : S]_r$) if and only if D_y is ρ_y -inner provided $\chi(S) \neq 2$. On the other hand, as was constructed in [2], there exists a non Galois quadratic extension R/S ($\chi(S) \neq 2$) such that $[R : S]_l = [R : S]_r$.

>1) and ρ is an automorphism, then \mathfrak{S} is a right principal ideal ring, that is, each right ideal of \mathfrak{S} is generated by some monic polynomial f (i.e. the leading coefficient of f is 1). Let f be a monic polynomial of \mathfrak{S} . Then f is called w -irreducible if f does not generate \mathfrak{S} but any monic proper left factor of f does \mathfrak{S} . By easy computations, we can see that an ideal M of \mathfrak{S} is maximal if and only if the monic generator⁶⁾ of M is w -irreducible.

Now, we shall give a necessary and sufficient condition for S to have an n dimensional right polynomial extension.

Theorem 1.2. (a) *In order that S have an n dimensional right polynomial extension, it is necessary and sufficient that there exist a monomorphism ρ in S , a ρ -derivation D in S and a $1 \times n$ matrix (u_0, u, \dots, u_{n-1}) with entries in S such that*

$$(1) \quad u_{i-1} - u_{i-1}\rho = u_i D + u_i(u_{n-1} - u_{n-1}\rho) \quad (i=0, 1, \dots, n-1 \text{ where we set } u_{-1} = 1).$$

$$(2) \quad P_{n,j} + \sum_{i=0}^{n-1} P_{i,j} u_{ir} \text{ is a } (\rho^j, \rho^n)\text{-inner derivation generated by } -u_j \text{ for each } j=0, 1, \dots, n-1.$$

(b) *In order that S have an n dimensional polynomial extension, it is necessary and sufficient that there exist an automorphism ρ in S , a ρ -derivation D in S and a $1 \times n$ matrix (u_0, u, \dots, u_{n-1}) with entries in S satisfying (1), (2) stated above.*

(c) *In order that S have an n dimensional polynomial simple ring extension, it is necessary and sufficient that there exist an automorphism ρ in S , a ρ -derivation D in S and a $1 \times n$ matrix $(u_0, u_1, \dots, u_{n-1})$ with entries in S satisfying (1), (2) stated above and*

$$(3) \quad X^n + \sum_{i=0}^{n-1} X^i u_i \text{ is } w\text{-irreducible in } S[X; \rho, D].$$

Proof. (a) The conditions (1) and (2) are equivalent with the statment that the right ideal M of $S[X; \rho, D]$ generated by $f(X) = X^n + \sum_{i=0}^{n-1} X^i u_i$ is a two-sided ideal. In fact, M is a two-sided ideal if and only if $Xf(X) = f(X)(X+t)$ ($t \in S$) and $sf(X) = f(X)s'$ ($s' \in S$) for every $s \in S$. The former implies $X^{n+1} + \sum_{i=0}^{n-1} X^{i+1} u_i = (X^n + \sum_{i=0}^{n-1} X^i u_i)(X+t) = X^{n+1} + \sum_{i=0}^{n-1} X^{i+1} u_i \rho + X^n t + \sum_{i=0}^{n-1} X^i (u_i D + u_i t) = X^{n+1} + X^n (u_{n-1} \rho + t) + \sum_{i=1}^{n-1} X^i (u_{i-1} \rho + u_i D + u_i t) + u_0 D + u_0 t$ which means $t = u_{n-1} - u_{n-1} \rho$, $u_{i-1} = u_{i-1} \rho + u_i D + u_i t$, $i=1, 2, \dots, n$ and $u_0 D + u_0 t = 0$. Thus, we have $u_{i-1} - u_{i-1} \rho = u_i D + u_i(u_{n-1} - u_{n-1} \rho)$ for each $i=0, 1, \dots, n-1$. Next, the latter implies $s(X^n + \sum_{i=0}^{n-1} X^i u_i) = \sum_{i=0}^{n-1} X^i s P_{n,i} + \sum_{j=0}^{n-1} (\sum_{i=j}^n X^i s P_{j,i}) u_i = X^n s \rho^n + \sum_{i=0}^{n-1} X^i s P_{n,i} + \sum_{j=0}^{n-1} (\sum_{i=j}^n X^j s P_{i,j}) u_i = X^n s' + \sum_{i=0}^{n-1} X^i u_i s'$. Hence $s \rho^n = s'$, $s P_{n,j} + \sum_{i=j}^n s P_{i,j} u_i = s P_{n,j} + \sum_{i=j+1}^n s P_{i,i} u_i + s P_{j,j} u_j = u_j s'$, and this means that $P_{n,j} + \sum_{i=j+1}^n P_{i,j} u_{ir}$ is a (ρ^j, ρ^n) -inner derivation

6) Cf. [4]. P. 75.

generated by $-u_j$ for each $j=0, 1, \dots, n-1$. Thus $S[X; \rho, D]/M = A \cong \bigoplus_{i=0}^{n-1} y^i S$ where $sy = y(s\rho) + sD$ ($s \in S$), y is the residue class of X modulo M , is a requested one. Conversely, let $A = \bigoplus_{i=0}^{n-1} y^i S$ be an n dimensional right polynomial extension with $sy = y(s\rho_y) + sD_y$ for each $s \in S$. Then the mapping $\varphi: \sum_i X^i s_i \rightarrow \sum_i y^i s_i$ is an S (ring) epimorphism of $S[X; \rho_y, D_y]$ to A . Let $y^n + \sum_{i=0}^{n-1} y^i u_i = 0$ for some $u_i \in S$. Then N , the kernel of φ , contains $M = (X^n + \sum_{i=0}^{n-1} X^i u_i)S[X; \rho_y, D_y]$. Now, we conclude that M coincides with N . For, if $g(X) = \sum_{i=0}^m X^i s_i$ ($s_i \in S$) is a polynomial of N with $m < n$, then $\sum_{i=0}^m y^i s_i = 0$ in A , and hence $g(X) = 0$. Thus, each polynomial of N has $X^n + \sum_{i=0}^{n-1} X^i u_i$ as its left factor. This means that $N = M$. Consequently, ρ_y, D_y and $(u_0, u_1, \dots, u_{n-1})$ satisfy conditions (1) and (2).

(b) By Corollary 1.3, a finite dimensional right polynomial extension is a polynomial extension if and only if ρ is an automorphism. Hence the statment is clear from (a).

(c) Recalling that (3) is equivalent with the maximality of $M = (X^n + \sum_{i=0}^{n-1} X^i u_i)S[X; \rho_y, D_y]$ by the remark stated just before our theorem the statment is clear from (a) and (b).

§ 2. The centralizer of S in R .

Let $V = V_R(S)$ be the centralizer of S in R . In this section, we shall investigate the relations between $\{\rho_y, D_y\}$ and V .

Lemma 2.1. *If $V \neq Z$, then ρ_y is an automorphism and $m = ((\rho_y) : (\rho_y)_{\cap \tilde{S}}) < n$ where \tilde{S} is the set of all inner automorphisms determined by the elements of S^* .*

Proof. Since $V \neq Z$, there exists an element $v = y^k s_k + \sum_{j=0}^{k-1} y^j s_j$ ($s_i \in S$) of V such that $s_k \neq 0$ ($0 < k < n$). Then $\sum_{i=0}^k y^i s P_{k,i} s_k + \sum_{j=0}^{k-1} (\sum_{i=0}^j y^i s P_{j,i}) s_j = sv = vs = y^k s_k s + \sum_{j=0}^{k-1} y^j s_j s$ ($s \in S$), which implies that $s P_{k,k} s_k = s \rho_y^k s_k = s_k s$, in particular, $S = S s_k S = S s_k$. Hence $s_k \in S^*$, and $\rho_y^k = \tilde{s}_k$.

Theorem 2.1. *Let D_y be an inner ρ_y -derivation.*

(a) *$V \neq Z$ if and only if ρ_y is an automorphism and $m = ((\rho_y) : (\rho_y)_{\cap \tilde{S}}) < n$, and when this is the case, m is a divisor of n .*

(b) *V is a finite dimensional commutative algebra over Z . Moreover, if $\chi(S)$, the characteristic of S , is 0 or relatively prime to n , then V is a finite direct sum of fields.*

Proof. Since D_y is ρ_y -inner, we may choose a right polynomial S -basis $\{w^i; i=0, 1, \dots, n-1\}$ with $D_w = 0$ by Corollary 1.2 (b). Therefore we may assume from the beginning $sy = y(s\rho_y)$. Thus as was shown in the proof of Corollary 1.2 (b), $y \in R^*$ and $\tilde{y}^{-1}|S = \rho_y$. For the sake of simplicity, we set $\rho = \rho_y$.

(a) The only if part is shown in Lemma 2.1. Conversely, let ρ be an automorphism and $m = ((\rho) : (\rho)_{\cap} \tilde{S}) < n$. Then $\tilde{y}^{-m}|S = \tilde{s}$ for some $s \in S$. Therefore $y^m s$ is not contained in Z but in V . Let $y^n + \sum_{i=0}^{n-1} y^i u_i = 0$ ($u_i \in S$). Then $s(y^n + \sum_{i=0}^{n-1} y^i u_i) - (y^n + \sum_{i=0}^{n-1} y^i u_i)(s\rho^n) = 0$ ($s \in S$) yields at once $su_0 = u_0(s\rho^n)$. Since $u_0 \neq 0$ by the regularity of y , the last means that u_0 is a regular element. Consequently we have $\rho^n = \tilde{u}_0^{-1}$, equivalently, m is a divisor of n .

(b) It suffices to prove the case $V \neq Z$. By (a), ρ is an automorphism and $m = ((\rho) : (\rho)_{\cap} \tilde{S})$ is a proper divisor of n : $m' = n/m$. Let $v = \sum_{i=0}^{n-1} y^i s_i$ ($s_i \in S$) be an element of V . Since $\sum_{i=0}^{n-1} y^i (t\rho^i) s_i = tv = vt = \sum_{i=0}^{n-1} y^i s_i t$ for each $t \in S$, we see that $ty^i s_i = y^i (t\rho^i) s_i = y^i s_i t$, namely, each $y^i s_i \in V$. Moreover, if $s_i \neq 0$, then $t\rho^i s_i = s_i t$ proves $s_i \in S^*$ and $\rho^i = \tilde{s}_i$. Thus $V = \{\sum_{k=0}^{m'-1} y^{mk} s^k z_k; z_k \in Z\}$, where $\tilde{s} = \rho^m$. The commutativity of V follows from the fact that $y^{mk} s^k z_k$ commutes with every element of V . Thus V is an m' dimensional commutative algebra over Z . Next, let us assume that $\chi(S) = 0$ or $(\chi(S), n) = 1$. We shall denote the extension \tilde{y}^{-1} of ρ again by ρ . Let v an element of V . Then $T_m(v; \rho) = \sum_{i=0}^{m-1} v\rho^i$ is contained in C , for $T_m(v; \rho) = T_m(v; \rho)\rho$. If v is nilpotent, then so is $v\rho$ ($v\rho \in V$) and hence $T_m(v; \rho)$ is nilpotent, and so 0. (Recall that ρ is an automorphism in S and $T_m(v; \rho)$ is in C). Thus we have proved that if $T_m(v; \rho) \neq 0$ then v is non nilpotent. Now we shall show that each (non-zero) non regular element of V is non nilpotent. If $v = \sum_{i=0}^{n-1} y^i s_i \in V \setminus Z$ ($s_i \in S$), $s_0 = 1$ (is non regular), then $T_m(v; \rho) = T_m(v-1; \rho) + m \neq 0$. For $T_m(v-1; \rho)$ is either 0 or not contained in Z . (Note that m is a divisor of n). In general, if $v = y^{mj} s^j z_j + \sum_{k>j} y^{mk} s^k z_k \in V \setminus Z$ ($z_j \neq 0$) is non regular, $u = (y^{mj} s^j z_j)^{-1} v$ ($\in V$) is non regular and its constant term is 1, and so, u is non nilpotent by the last remark. Hence u is non nilpotent in either case, which means the semi-simplicity of V .

Theorem 2.2. *Let ρ_y be an inner automorphism.*

If $\chi(S) = 0$ or $\chi(S) > n$, then V coincides with either C or Z , more precisely, if $V \neq Z$, $R = S[C]$.

Proof. Since ρ_y is an inner automorphism, we may choose a right polynomial S -basis $\{w^i; i=0, 1, \dots, n-1\}$ with $sw = ws + sD_w$ ($s \in S$) by Corollary 1.2 (a). Therefore we may assume that from the beginning that $sy = ys + sD_y$ ($s \in S$). Assume $V \neq Z$, and write $D = D_y$. Then there exists an element $v = y^k s_k + y^{k-1} s_{k-1} + \dots + s_0$ ($k \geq 1$, $s_i \in S$, $s_k \neq 0$) of V , and $\sum_{i=0}^k (y^i s P_{k,i}) s_k + \sum_{i=0}^{k-1} (y^i s P_{k-1,i}) s_{k-1} + \dots + ss_0 = sv = vs = y^k s_k s + y_{k-1} s_{k-1} s + \dots + s_0 s$ implies $s_k \in Z$. Since $\binom{k}{k-1} s D s_k + s s_{k-1} = s P_{k,k-1} s_k + s P_{k-1,k-1} s_{k-1} = s_{k-1} s$, D is an inner derivation generated by $-(1/k) s_{k-1} s_k^{-1}$. Thus, by Corollary 1.2 (b), we can choose an S -basis $\{c^i; i=0, 1, \dots, n-1\}$ such that $c \in C$.

Corollary 2.1. If $[S : Z]$ is finite, then $[R : S]_l = [R : S]_r$.

Proof. By [5. Lemma], $[R : C]$ is finite. If $V = Z$, then $Z \supseteq C$, and hence $[R : S]_l [S : C]_l = [R : C]_l = [R : C]_r = [R : S]_r [S : C]_r$ shows that $[R : S]_l = [R : S]_r$. On the other hand, if $V \neq Z$, ρ_y is an automorphism by Lemma 2.1. Hence the assertion is a direct consequence of Corollary 1.3.

§ 3. Polynomial Galois extensions.

Throughout the present section, by \mathfrak{G} , we denote the set of all S -automorphisms of R .

If σ is an arbitrary element of \mathfrak{G} , and $u_\sigma = y\sigma - y$ then $su_\sigma = u_\sigma(s\rho_y)$ ($s \in S$). For, $s(y\sigma) = (sy)\sigma = (y(s\rho_y) + sD_y)\sigma = (y\sigma)s\rho_y + sD_y$ ($s \in S$), we have $s(y\sigma - y) = (y\sigma - y)(s\rho_y)$.

Lemma 3.1. Let $\mathfrak{G} \neq 1$ and $\sigma \neq 1$ be an arbitrary element of \mathfrak{G} . Then, there exists a right polynomial S -basis $\{y^i; i = 0, 1, \dots, n-1\}$ such that $y\sigma - y \in V$ if and only if some (and so every) ρ_x is inner.

Proof. Let $v_\sigma = y\sigma - y$ be in V . Then $v_\sigma s = sv_\sigma = v_\sigma s\rho_y$. Hence $v_\sigma(s - s\rho_y) = 0$. If we note that the right annihilator of $v_\sigma (\neq 0) \in V$ in S is a two-sided ideal, we can readily obtain $s\rho_y = s$, namely, $\rho_y = 1$. Thus each ρ_x is inner by Corollary 1.2 (a). Conversely, if each ρ_x is inner, there exists a right polynomial S -basis $\{y^i; i = 0, 1, \dots, n-1\}$ with $\rho_y = 1$ by Corollary 1.2 (a). Then $y\sigma - y$ is in V .

Corollary 3.1. Let R be an n dimensional right polynomial division ring extension over S . If $\mathfrak{G} \neq 1$, then $[R : S]_l = [R : S]_r$.

Proof. For any $\sigma (\neq 1) \in \mathfrak{G}$, there exists a non zero $u_\sigma \in R$ such that $su_\sigma = u_\sigma(s\rho_y)$ for every right polynomial S -basis $\{y^i; i = 0, 1, \dots, n-1\}$. Hence $\widetilde{u_\sigma}^{-1}|S = \rho_y$, $R = R\widetilde{u_\sigma}^{-1} = \sum_{i=0}^{n-1} y^i \widetilde{u_\sigma}^{-1} (S\widetilde{u_\sigma}^{-1}) = \sum_{i=0}^{n-1} y^i \widetilde{u_\sigma}^{-1} (S\rho_y)$ and $\{y^i \widetilde{u_\sigma}^{-1}; i = 0, 1, \dots, n-1\}$ is right linearly independent over $S\rho_y$. This means that $n = [R : S]_r = [R : S\rho_y]_r$. Thus ρ_y is an automorphism in S , and then $[R : S]_l = [R : S]_r$ by Corollary 1.3.

Corollary 3.2. Let ρ_y be an inner automorphism.

- (a) Assume $V = Z$. If $\chi(S) > n$ or $\chi(S) = 0$, then $\mathfrak{G} = 1$.
- (b) Assume $\chi(S) = n$. If $V = Z \neq C$ then R/S is an inner cyclic extension, and conversely.

Proof. (a) Suppose \mathfrak{G} contains an element $\sigma \neq 1$. Then by Lemma 3.1, there exists a right polynomial S -basis $\{y^i; i = 0, 1, \dots, n-1\}$ with $sy = ys + sD_y$ ($s \in S$), and $y\sigma = y + z_\sigma$, $z_\sigma (\neq 0) \in V = Z$. Thus we may assume further $y\sigma = y + 1$. Hence if $y^n = \sum_{i=0}^{n-1} y^i s_i$ ($s_i \in S$), we have $y^n \sigma = (y + 1)^n = \sum_{i=0}^{n-1} \binom{n}{i} y^i$

$= y^n + \sum_{i=0}^{n-1} \binom{n}{i} y^i = \sum_{i=1}^{n-1} y^i \left(\binom{n}{i} + s_i \right)$ and $y^n = (\sum_{i=0}^{n-1} y^i s_i) \sigma = \sum_{i=0}^{n-1} (y+1)^i s_i = \sum_{i=0}^{n-1} \left(\sum_{j=0}^{n-1} \binom{i}{j} y^j \right) s_i$. From those, we see that $\binom{n}{n-1} + s_{n-1} = s_{n-1}$, whence it follows a contradiction $\binom{n}{n-1} = 0$.

(b) If R/S is inner Galois, then $V=Z \neq C$ by Theorem 2.2. Next, we shall prove the converse. Let $z_0 \in Z \setminus C$. Then $z_0 D_y = z_0 y - y z_0$ is a non zero element of Z . If $\sum_{i=0}^{n-1} y^i t_i$ ($t_i \in S$) is in $J(\tilde{z}_0, R)$, $\sum_{i=0}^{n-1} y^i t_i = (\sum_{i=0}^{n-1} y^i y_i) \tilde{z}_0 = \sum_{i=0}^{n-1} z_0 y^i t_i z_0^{-1} = \sum_{i=0}^{n-1} \left(\sum_{j=0}^{i-1} \binom{i}{j} y^j z_0 D^{i-j} \right) t_i z_0^{-1}$. Hence, we obtain $\binom{n-1}{n-2} z_0 D t_{n-1} z_0^{-1} = 0$, and so $t_{n-1} = 0$. Repeating the same procedures, we have $t_i = 0$, $i=1, 2, \dots, n-1$. Thus $J(\tilde{z}_0, R) = S$. Furthermore, the fact that $C = \{z \in Z; z D_y = 0\}$ and $z^k D_y = (k z^{k-1}) z D_y$ imply the order of \tilde{z}_0 is just n .

Theorem 3.1. (a) Let $\chi(S) = n$. In order that S have an n dimensional polynomial Galois extension $R = \sum_{i=0}^{n-1} y^i S$ with $sy = ys' + s''$ such that $s \rightarrow s'$ is an inner automorphism, it is necessary and sufficient that the following condition be satisfied:

(1) There exist a derivation D in S and $s \in S$ satisfying $D^n - D = I_s$, $sD = 0$ and $X^n - X - s$ is w -irreducible in $S[X; D]$.

(b) Let $\chi(S) > n$ or $\chi(S) = 0$. In order that S have an n dimensional polynomial Galois extension $R = \sum_{i=0}^{n-1} y^i S$ with $sy = ys' + s''$ such that $s \rightarrow s'$ is an inner automorphism, it is necessary and sufficient that the following condition be satisfied:

(2) There exists an n dimensional Galois extension field of Z .

Proof. (a) Let R/S be a Galois extension with the requested property. Then, by Theorem 2.2, V is either Z or C . If $V = C$, then R/S is obviously an n dimensional cyclic extension. On the other hand, if $V = Z \neq C$ then R/S is still an n dimensional cyclic extension by Corollary 3.2 (b). Hence, there holds (1) by [4. Theorem 2.1]. Conversely, if there exist D, S satisfying (1), then, by [4. Theorem 2.1], there exists an n dimensional polynomial Galois extension $R = \sum_{i=0}^{n-1} y^i S$ such that $ty = yt + tD$ ($t \in S$).

(b) Assume that there exists a Galois extension R/S with the requested property. Then $V = C \supseteq Z$ and $R = S[C]$ by Theorem 2.2 and Corollary 3.2

(a). Thus the rest of the proof will be obvious.

Theorem 3.2. Let ρ be an automorphism in S . In order that S have an n dimensional polynomial inner Galois extension $R = \sum_{i=0}^{n-1} y^i S$ with $sy = y(s\rho) + s''$ such that $s \rightarrow s''$ is an inner ρ -derivation, it is necessary and sufficient that there exist $s_0 \in S$, $z \in Z$ satisfying the following conditions:

- (1) $\rho^n = \tilde{\epsilon}_0, s_0\rho = s_0.$
- (2) $z\rho^i \neq z \ (i=1, \dots, n-1).$
- (3) $X^n - s_0$ is w -irreducible in $S[X; \rho]$.

More precisely, when this is the case, R/S has a cyclic Galois group.

Proof. Assume that there exists a Galois extension R/S with the requested property. Since V is commutative by Theorem 2.1 (b), V has to coincide with Z . Further, by Corollary 1.2 (b), we may assume $sy = y(s\rho)$ ($s \in S$). One may remark here $\rho = \tilde{y}^{-1}|S$ (Cf. the proof of Corollary 1.2 (b)). If $y^n = \sum_{i=0}^{n-1} y^i u_i$ ($u_i \in S$), then $\tilde{y}^{-n}|S = \rho^n = \tilde{u}_0^{-1}$. (By the regularity of y , $u_0 \neq 0$, and hence $u_0 \in S^*$). Hence $zy^n z^{-1} = y^n(z\rho^n)z^{-1} = y^n$ for each $z \in Z$, which implies $s_0 = y^n \in J(\tilde{Z}, R) = S$. (Obviously $s_0\rho = s_0$). Further, by the same way as in the proof of Theorem 1.2 (a), $R \cong S[X; \rho]/(X^n - s_0)S[X; \rho]$ and $X^n - s_0$ is w -irreducible in $S[X; \rho]$. Next, as $[R:S] = [V:C] = [Z:C]$ and $J(\rho|Z, Z) = C$, there exists an element $z \in Z$ such that $z\rho^i \neq z$ for $i=1, \dots, n-1$. (Take, for instance, a normal basis element of Z/C). Then $J(\tilde{z}, R) = S$. In fact, $\sum_{i=0}^{n-1} y^i t_i \in J(\tilde{z}, R)$ ($t_i \in S$) shows that $\sum_{i=0}^{n-1} y^i t_i = z(\sum_{i=0}^{n-1} y^i t_i)z^{-1} = \sum_{i=0}^{n-1} y^i t_i (z\rho^i)z^{-1}$ and hence, $t_i = 0$ for $i=1, 2, \dots, n-1$. Conversely, assume that there exist $s_0 \in S$, $z \in Z$ satisfying (1)–(3). Then (1) is equivalent with $M = (X^n - s_0)S[X; \rho]$ is a two-sided ideal, and hence $R = S[X; \rho]/M = \bigoplus_{i=0}^{n-1} y^i S$ is an n dimensional polynomial extension with $sy = y(s\rho)$ where y is the residue class of X modulo M . Now (3) is equivalent with the maximality of M . Hence R is simple. Finally by (2), we can use the above argument to prove $J(\tilde{z}, R) = S$ and then we have $V = J(\tilde{z}|V, V) = V \cap S = Z$ (a field). Thus R/S is an inner Galois extension with respect to a cyclic Galois group (\tilde{z}) .

§ 4. Right quadratic extensions.

Let $R = \bigoplus_{i=0}^1 y^i S$ be a right quadratic simple ring extension over S . Then, it is clear that $sy = y(s\rho_y) + sD_y$ ($s \in S$) where ρ_y is a monomorphism in S , D_y is a ρ_y -derivation in S .

Lemma 4.1. R is $R_i \cdot S_r$ -irreducible.

Proof. It suffices to prove $R = RxS$ for each $x \in R \setminus S$. Since $RxS + S$ is a subring of R properly containing S , $RxS + S = R = S \oplus yS$. Hence there exists $u \in S$ such that $y - u \in RxS$. Noting that $\{1, y - u\}$ is a right S -basis for R , $(R(y - u)S)R = (R(y - u)S)(S + (y - u)S) \subseteq R(y - u)S$, and hence $R = R(y - u)S = RxS$.

Lemma 4.2. Let ρ be an automorphism in S , and $f(X) = X^2 + Xu_1 + u_0$ ($u_0, u_1 \in S$) a polynomial of $S[X; \rho, D]$ where D is a ρ -derivation in S . Assume that $f(X)$ generates a proper ideal of $S[X; \rho, D]$. Then $f(X)$ is

w-irreducible if and only if S has no solution t satisfying the following conditions:

- (i) $tD + t(u_1 - t\rho) = u_0$.
- (ii) $tD = t(t\rho - t)$.
- (iii) $sD = t(s\rho) - st$ for each $s \in S$.

Moreover, $f(X)$ is irreducible⁷⁾ if and only if S has no solution t satisfying (i).

Proof. Let t be an element of S . Then $I = (X+t)S[X; \rho, D]$ is a two-sided ideal if and only if $X(X+t) = (X+t)(X+t')$ ($t' \in S$) and $s(X+t) = (X+t)s'$ ($s' \in S$) for every $s \in S$. The former implies $t' = t - t\rho$, $tD + tt' = 0$. The latter implies $sD = ts' - st$, $s' = s\rho$ for every $s \in S$. Hence I is a two-sided ideal if and only if t satisfies (ii) and (iii).

Let us assume that $f(X) = (X+t)(X+b) = X^2 + X(t\rho + b) + tD + tb$. Then $t\rho + b = u_1$, $tD + tb = u_0$, and so, we have $tD + t(u_1 - t\rho) = u_0$. Thus $f(X)$ is irreducible if and only if S has no solution t satisfying (i). Next, we assume that $f(X) = (X+t)(X+b)$ is *w*-irreducible. If we note that the right ideal $I = (X+t)S[X; \rho, D]$ does not coincide with $S[X; \rho, D]$, I can not be a two-sided ideal. Thus t does not satisfy one of the conditions (ii) and (iii) but satisfies (i). Finally, we assume that $f(X)$ is not *w*-irreducible, then, there exists $t \in S$ such that $f(X) = (X+t)(X+b)$ and the two-sided ideal $(X+t)$ generated by $X+t$ is a proper ideal of $S[X; \rho, D]$. Since the monic generator of $(X+t)$ is $X+t$ itself, $(X+t) = (X+t)S[X; \rho, D]$. Hence t satisfies all the conditions (i)–(iii).

Now, we shall give a necessary and sufficient condition for S to have a right quadratic simple ring extension.

Theorem 4.1. (a) *In order that S have a right quadratic simple ring extension, it is necessary and sufficient that there exist a monomorphism ρ in S , a ρ -derivation D in S and a 1×2 matrix (u_0, u_1) with entries in S satisfying (1), (2) of Theorem 1.2 (a) and the following condition:*

(3) *There exists a finite subset $\{s_i, t_i, v_i\}$ of S satisfying $\sum_i (-u_1(s_i\rho)a + s_iDa + s_ib + t_i\rho a)v_i = 0$, and $\sum_i (-u_0(s_i\rho)a + t_iDa + s_ib)v_i = 1$ for each pair (a, b) of $S \times S$ such that $a \neq 0$.*

(b) *In order that S have a quadratic simple ring extension, it is necessary and sufficient that there exist an automorphism ρ in S , a ρ -derivation D in S and a 1×2 matrix (u_0, u_1) with entries in S satisfying (1), (2) of Theorem 1.2 (a) and the following condition:*

(3') *S has no solution t satisfying (i), (ii) and (iii) of Lemma 4.2.*

7) A polynomial $f(X)$ of $S[X; \rho, D]$ is called *irreducible* if $f(X)$ has no left monic factor $g(X)$ such that $\deg g(X) < \deg f(X)$.

Proof. (a) As was shown in the proof of Theorem 1.2 (a), the existence of ρ , D and (u_0, u_1) satisfying (1), (2) are equivalent with the statement that S has a right quadratic (polynomial) extension $R = S[X; \rho, D]/(X^2 + Xu_1 + u_0)S[X; \rho, D]$. Let $R = S \oplus yS$ where y is the residue class of X modulo $(X^2 + Xu_1 + u_0)S[X; \rho, D]$. Then (3) yields the simplicity of R . In fact, $\sum_i (ys_i + t_i)(ya + b)v_i = \sum_i y^2 s_i a v_i + \sum_i y(s_i b + s_i Da + s_i b + t_i \rho a)v_i + \sum_i (t_i Da + t_i b)v_i = \sum_i y(-u_1(s_i \rho)a + s_i Da + s_i b + t_i \rho a)v_i + \sum_i (-u_0(s_i \rho)a + t_i Da + t_i b)v_i = 1$ for each $ya + b \in R$ ($a, b \in S$). Conversely, let R be simple. Then, R is $R_\rho \cdot S_\rho$ -irreducible by Lemma 4.1. Hence there exists a finite subset $\{s_i, t_i, v_i\}$ of S satisfying (3).

(b) The assertion is almost evident from the proof of (a) and Lemma 4.2. The proof may be left to readers.

Lemma 4.3. *Let R be a right quadratic simple ring extension over S . Then,*

(a) *V is either Z or C .*

(b) *If R/S is Galois, then either ρ_y is inner or D_y is ρ_y -inner.*

Proof. (a) Let $V \neq Z$. Then ρ_y is inner by Lemma 2.1, and hence $V = C$ (and $R = S[C]$) by Theorem 2.2.

(b) Let $\sigma (\neq 1)$ be in \mathfrak{G} and $u_\sigma = y\sigma - y$. Then $su_\sigma = u_\sigma(s\rho_y)$ ($s \in S$). We set $u_\sigma = ya + b$ ($a, b \in S$). Then $y(s\rho_y)a + sD_y a + sb = su_\sigma = u_\sigma s\rho_y = ya(s\rho_y) + b(s\rho_y)$. Hence, we obtain $(s\rho_y)a = a(s\rho_y)$ and $sD_y a = s(-b) - (-b)(s\rho_y)$. Since ρ_y is an automorphism (Corollary 1.3), the first relation implies $a \in Z$. If $a = 0$, then $b \neq 0$ and $sb = b(s\rho_y)$. Hence $b \in S^*$ and $\rho_y = \widetilde{b^{-1}}$. On the other hand, if $a \neq 0$, then D_y is ρ_y -inner generated by $(-a^{-1}b)$.

Corollary 4.1. *Let $\chi(S) \neq 2$. If $Z \neq C$, then R/S is a Galois extension. If $[S:Z] < \infty$, then R/S is a Galois extension.*

Proof. By the assumption $Z \neq C$ and Lemma 4.3 (a), we have either $V = Z \not\supseteq C$ or $V = C \not\supseteq Z$ and $R = S[C] = S \otimes_Z C$ (Theorem 2.2). The former implies $J(\widetilde{Z}, R) = S$ and the latter implies C/Z is Galois, and hence R/S is Galois. The latter assertion is a consequence of the former. In fact, if $[S:Z] < \infty$ and $Z = C$ then $[R:C] < \infty$ and $V = C$ (Lemma 4.3 (a)), we have then a contradiction $R = S$.

Theorem 4.2. *Let $\chi(S) \neq 2$. If R/S is a Galois extension then D_y is ρ_y -inner, and conversely.*

Proof. By Lemma 4.3 (a) and Corollary 4.1, it suffices to prove our theorem for the case $V = Z$. Assume that R/S is Galois. Then ρ_y is an automorphism (Corollary 1.3) and either ρ_y is inner or D_y is ρ_y -inner by Lemma 4.3 (b). If ρ_y is inner, it contradicts Corollary 3.2 (a). Conversely, assume

D_y is ρ_y -inner. Then we may assume $D_y=0$, ρ_y is an automorphism. (Corollary 1.2 (b)). Let $y^2 + yu_1 + u_0 = 0$ ($u_i \in S$). Since $s(y^2 + yu_1 + u_0) - (y^2 + yu_1 + u_0)(s\rho_y) = 0$ ($s \in S$), we have $u_1 = 0$. Otherwise, $\rho_y = u_1^{-1}$ and it contradicts $V=Z$ (Theorem 2.1 (a)). Thus the map $\sigma : s + yt \rightarrow s - yt$ ($s, t \in S$) is an automorphism of R such that $J(\sigma, R) = S$.

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Hokkaido Gakugei University

(Received July 22, 1966)