On *n*-dimensional Lorentz manifolds admitting an isometry group of dimension n(n-1)/2+1 for $n \ge 4$

Hiroo Matsuda (Received August 5, 1985 Revised February 12, 1986)

1. Introduction.

A connected n-dimensional Riemannian manifold admitting a connected closed isometry group of dimension $n(n-1)/2+1(n\ge 4)$ was completely determined by Yano [8], Ishihara [1] and Obata [6] (cf. Kobayashi [2]). The result of Obata (Theorem 10 in [6]) is as follows: Let G be a connected Lie group of dimension r and H a compact subgroup of dimension r-n. Assume that n(n-1)/2 < r < n(n+1)/2, $n\ge 3$, $n\ne 4$ and G is almost effective on G/H as a transformation group. Then G is of dimension n(n-1)/2+1 and G/H is one of the spaces $C_0^1 \times C_+^{n-1}$, $C_0^1 \times C_-^{n-1}$, C_0^n , C_-^n as a Riemannian manifold. Here we denote by C_+^m , C_-^m and C_0^m an m-dimensional Riemannian manifold of positive and negative constant curvature and a locally flat Riemannian manifold respectively. We consider the classification problem of Lorentz manifolds. Each of the following examples is a connected n-dimensional Lorentz manifold M admitting a connected isometry group G of dimension n(n-1)/2+1.

Example.

- (i) $M = \mathbf{R} \times N$ with metric $-dt^2 + ds_N^2$ and $G = \mathbf{R} \times I^0(N)$.
- (ii) $M = S^1 \times N$ with metric $-d\theta^2 + ds_N^2$ and $G = S^1 \times I^0(N)$.
- (iii) $M = \mathbf{R} \times P^{n-1}$ with metric $-dt^2 + ds_P^2$ and $G = \mathbf{R} \times I^0(P^{n-1})$.
- (iv) $M = S^1 \times P^{n-1}$ with metric $-d\theta^2 + ds_P^2$ and $G = S^1 \times I^0(P^{n-1})$.
- (v) $M = U_n^+ = \{(u_1, \dots, u_n); u_n > 0\}$ with metric $ds_+^2 = (du_1^2 + \dots + du_{n-1}^2 du_n^2)/(cu_n)^2(c \neq 0)$ and $G = I^0(U_n^+)$ (see Nomizu [5]).
- (vi) $M = U_n^- = \{(u_1, \ldots, u_n); u_n > 0\}$ with metric $ds_-^2 = (-du_1^2 + du_2^2 + \ldots + du_n^2)/(cu_n)^2 (c \neq 0)$ and $G = I^0(U_n^-)$ (see Matsuda [3]).

Here N is a simply connected (n-1)-dimensional Riemannian manifold with metric ds_N^2 of constant curvature and P^{n-1} is an (n-1)-dimensional real projective space with standard metric ds_P^2 . A real line and a circle of certain radius are denoted by \mathbf{R} and \mathbf{S}^1 respectively. $I^0(\bullet)$ denotes the identity component of the full isometry group of (\bullet) .

The purpose of this note is to prove the following theorem.

THEOREM. Let M be a connected n-dimensional Lorentz manifold admitting a connected isometry group G of dimension $n(n-1)/2+1(n \ge 4)$ whose isotropy subgroup is compact. Then M must be one of spaces $(i) \sim (v)$.

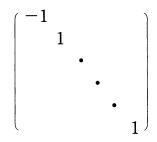
REMARK 1. This theorem was proved for the case $n \ge 5$ (see Matsuda [4]). But the method in this paper partly differs from [4].

Remark 2. The isotropy subgroup of G in the above example is compact except (vi).

Remark 3. The spaces of (v) and (vi) are not geodesically complete.

2. Preliminaries.

Let (M, <, >) be a connected n-dimensional Lorentz manifold with signature (-, +, ..., +) $(n \ge 2)$. Let G be a connected isometry group of (M, <, >) and H the isotropy subgroup of G at a point $o \in M$. Then the linear isotropy group $\tilde{H} = \{d\tau_h; h \in H\}$ acting on T_oM is a closed subgroup of $O(1, n-1) = \{A \in GL(n, \mathbb{R}); {}^tASA = S\}$ where S is the matrix



Throughout this note, we assume that H is compact.

Lemma 1. Every compact subgroup of O(1, n-1) is conjugate to a subgroup of $O(1) \times O(n-1)$ (cf. Wolf [7]). Especially if K is a compact subgroup of O(1, n-1) whose dimension is (n-1)(n-2)/2, then K leaves invariant one and only one 1-dimensional subspace in an n-dimensional vector space (cf. Obata [6]).

From Lemma 1, we can see that for $n(n+1)/2 \ge r > n(n-1)/2+1$ the full isometry group of M contains no subgroup of dimension r whose isotropy subgroup is compact. Furthermore, we can also have the following proposition from Lemma 1.

PROPOSITION. If M admits a connected isometry group G of dimension n(n-1)/2+1, then G is transitive on M.

Hereafter, let G be a connected isometry group of dimension n(n-1)/2+1. From Proposition, dim H=(n-1)(n-2)/2. Therefore, the linear isotropy group \tilde{H} leaves one and only one 1-dimensional subspace T of T_oM which is timelike. Let e_0 be a unit timelike vector belonging to T.

Lemma 2. If M is time orientable, then the vector field ξ defined by $\xi(p) := d\tau_g(e_0)(\tau_g o = p, g \in G)$ is well-defined on M and G-invariant timelike vector field.

PROOF. We will show that for each $p \in M$, $\xi(p) = d\tau_g(e_0)$ is independent of the choice of $g \in G$ such that $\tau_g o = p$.

Let $\tau_{g_i}o = \tau_{g_2}o = p(g_1, g_2 \in G)$. G being connected, there exist curves \tilde{g}_i : $[0,1] \rightarrow G$ such that $\tilde{g}_i(0) = \text{identity}$ and $\tilde{g}_i(1) = g_i(i=1,2)$. Set $c_i(t) = \tau_{\tilde{g}_i(t)}o$ (i=1,2). M being time orientable, there exists a unit timelike vector field X on M. Then we can see that

$$\langle X(c_i(t)), d\tau_{\tilde{q}_i(t)}\xi(o)\rangle \neq 0$$

for any $t \in [0,1]$. The map: $t \longrightarrow \langle X(c_i(t)), d\tau_{g_i(t)}\xi(0) \rangle$ being continuous, if $\langle X(o), \xi(o) \rangle \langle 0 \text{(resp.} \rangle 0)$, then $\langle X(p), d\tau_{g_i}\xi(o) \rangle \langle 0 \text{(resp.} \rangle 0)$. Thus $d\tau_{g_i}\xi(o)$ and $d\tau_{g_i}\xi(o)$ belong to the same connected component of the time cone in T_pM . On the other hand, let H_p be the isotropy subgroup of G at $p \in M$. Then $H_p = g_i H g_i^{-1}$ so that $d\tau_{g_i}\xi(o)$'s belong to the one and only one 1-dimensional subspace of T_pM which is invariant by the linear isotropy group H_p . Therefore $d\tau_{g_i}\xi(o) = d\tau_{g_i}\xi(o)$.

If M is time orientable, the 1-form ω can be defined by $\omega(X) = \langle X, \xi \rangle$. Hereafter, we assume that $n \ge 4$. Then the existence of linear maps A and B in the proof of the following Lemma 3 is guaranteed.

LEMMA 3. ω is G-invariant closed form.

PROOF. It is clear that ω is G-invariant. Let $\{\xi(o) = e_0, e_1, \ldots, e_{n-1}\}$ be a Lorentz basis of T_oM , i. e.,

$$< e_0, e_0> = -1, < e_0, e_j> = 0, < e_j, e_j> = 1,$$

 $< e_i, e_j> = 0, (1 \le i \ne j \le n-1).$

We will prove that

(1)
$$d\omega(e_0, e_j) = 0 \ (1 \le j \le n-1)$$

and

(2)
$$d\omega(e_i, e_j) = 0 \ (1 \le i < j \le n-1).$$

For a fixed j, let $A: T_oM \longrightarrow T_oM$ be the linear map defined by

$$A(e_0) = e_0$$
, $A(e_j) = -e_j$, $A(e_k) = -e_k$ (for some $k \neq 0, j$)
 $A(e_s) = e_s$ (for any $s \neq 0, j, k$).

312 H. Matsuda

Then $A \in SO(1) \times SO(n-1)$ so that there exists $h \in H$ such that $d\tau_h = A$ on $T_o M$. Therefore, $d\omega(e_0, e_j) = d(\tau_h^*\omega)(e_0, e_j) = d\omega(A(e_0), A(e_j)) = -d\omega(e_0, e_j)$. Thus $d\omega(e_0, e_j) = 0$. For fixed i and j, we define the linear map $B: T_o M \longrightarrow T_o M$ by

$$B(e_0) = e_0$$
, $B(e_i) = e_j$, $B(e_j) = e_i$,
 $B(e_k) = -e_k$ (for some $k \neq 0, i, j$),
 $B(e_s) = e_s$ (for any $s \neq 0, j, k$).

Then $B \in SO(1) \times SO(n-1)$ so that there exists $h \in H$ such that $d\tau_h = B$ on $T_o M$. Therefore $d\omega(e_i, e_j) = d(\tau_h^*\omega)(e_i, e_j) = d\omega(B(e_i), B(e_j)) = -d\omega(e_i, e_j)$ so that $d\omega(e_i, e_j) = 0$.

3. Proof of theorem.

In the first, we assume that M is simply connected (therefore M is time orientable). Since ω is closed by Lemma 3, there exists a differentiable function $f: M \longrightarrow \mathbb{R}$ such that $df = \omega$. Let $c_p(t)$ be an integral curve of ξ such that $c_p(0) = p$. Then we have easily that $f(c_p(t)) = -t + f(p)$.

Lemma 4. Each integral curve of ξ is a complete geodesic.

PROOF. Let A be the linear map as in the proof of Lemma 3. Then there exists $h \in H$ such that $d\tau_h = A$ on T_oM . We have

$$<\mathcal{V}_{\varepsilon}\xi, e_{j}> = < d\tau_{h}(\mathcal{V}_{\varepsilon}\xi), d\tau_{h}(e_{j})> = <\mathcal{V}_{\varepsilon}\xi, -e_{j}>$$

so that we have $\langle \mathcal{V}_{\xi}\xi, e_{j}\rangle = 0$. It is evident that $\langle \mathcal{V}_{\xi}\xi, \xi \rangle = 0$. Therefore we have $\mathcal{V}_{\xi}\xi = 0$ at $o \in M$. Since ξ is G-invariant, $\mathcal{V}_{\xi}\xi$ vanishes on M so that each integral curve of ξ is geodesic. Furthermore, this geodesic is complete, because ξ is G-invariant.

From Lemma 4, we have $f(M) = \mathbb{R}$. Let $N := f^{-1}(0)(0 \in \mathbb{R})$. Then N is a closed spacelike hypersurface of M. Let N_0 be a connected component of N.

LEMMA 5([4]). $F: \mathbf{R} \times N_0 \rightarrow M$ defined by $F(t, x) := c_x(t) = \exp(t\xi(x))$ for $(t, x) \in \mathbf{R} \times N_0$ is onto diffeomorphism; furthermore, $N = N_0$.

PROOF. Assume that F(t, x) = F(t', x'). We have $t = -f(c_x(t)) = -f(F(t, x)) = -f(F(t', x')) = t'$. Since $c_x(t) = c_{x'}(t')$ and t = t', we have x = x'. Thus F is one to one. It is evident that F is differentiable. Set M_0 : $= F(\mathbf{R} \times N_0)$. Then M_0 is open in M. It remains to be shown that M is closed in M. Suppose that $F(t_k, x_k) = p_k$ is a sequence approaching some point g in M. Let $\tilde{f}: \mathbf{R} \to \mathbf{R}$ be the function defined by $\tilde{f}(t) := f(F(t, x))$ for

some $x \in N_0$. Then \tilde{f} is independent of the choice $x \in N_0$, for $\tilde{f}(t) = -t$. Since $\tilde{f}^{-1}(f(p_k)) = t_k$, and $\tilde{f}^{-1}(f(p_k))$ approaches $\tilde{f}^{-1}(f(q))$, we have $t_k \to t_0$: $= \tilde{f}^{-1}(f(q))$ as $k \to \infty$. Letting $x_0 := c_q(-t_0) = \operatorname{Exp}(-t_0 \xi(q))$, we have $x_k = c_{p_k}(-t_k) = \operatorname{Exp}(-t_k \xi(p_k)) \to c_q(-t_0)$. Since N_0 is closed, x_0 belongs to N_0 so that $q = F(t_0, x_0)$ belongs to M_0 . Thus $M = M_0$; furthermore, $N = N_0$.

REMARK 4. For each $a \in \mathbb{R}$, $f^{-1}(a)$ is a connected closed spacelike hypersurface of M.

LEMMA 6. For each $a \in \mathbb{R}$, N and $f^{-1}(a)$ are rigid in M.

PROOF. Since G acts transitively on M, for some point p of $f^{-1}(a)$ there exists $g \in G$ such that $\tau_g o = p(o \in N)$. Then $\tau_g N \subset f^{-1}(a)$. Because, for any $q \in \tau_g N$, there exists C^{∞} curve $\tilde{c}: [0,1] \to \tau_g N$ such that $\tilde{c}(0) = p$ and $\tilde{c}(1) = q$. Put $c := \tau_{g-1}\tilde{c}$. Then c is C^{∞} curve on N so that f(c(s)) = 0 for any $s \in [0,1]$. We have

Therefore $f(\tau_g N) = f(p) = a$, that is, $\tau_g N \subset f^{-1}(a)$.

Since $f^{-1}(a)$ is connected and $\tau_g N$ is open and closed in $f^{-1}(a)$, we have $\tau_g N = f^{-1}(a)$.

LEMMA 7. N is homogeneous Riemannian manifold.

PROOF. For any p, $q \in N$, there exists $g \in G$ such that $\tau_g p = q$. By the same discussion as in the proof of Lemma 6, we can see that $\tau_g|_N$ is an isometric transformation of N.

Let $G' := \{g \in G \; ; \; \tau_g N = N \}$. Then G' is the Lie subgroup of G. We can verify that H is included in G' by the same discussion as in the proof of Lemma 6. G' acts effectively on N. In fact, if $g \in G'$ acts trivially on N, then $d\tau_g \, \xi(x) = \xi(x) \; (x \in N)$ so that $d\tau_g = \mathrm{id}$. on $T_x M = R\{\xi(x)\} + T_x N$. Thus we have $g = \mathrm{id}$. Furthermore we have $\dim G' = \dim N + \dim H = n(n-1)/2$. Therefore the simply connected (n-1)-dimensional homogeneous Riemannian manifold N admitting an isometry group G' of maximal dimension n(n-1)/2 is isometric to S^{n-1} , H^{n-1} or E^{n-1} .

Lemma 8. $\nabla_X \xi = -cX$ for any X such that $\langle X, \xi \rangle = 0$ where c is a constant.

PROOF. For $X \in T_oM$ such that $\langle X, \xi(o) \rangle = 0$, $\nabla_X \xi(o)$ is expressed by

$$\nabla_X \xi(o) = c(X)X + b(X)X^{\perp}$$

for some X^{\perp} such that $\langle X^{\perp}, \, \xi(o) \rangle = 0 = \langle X, \, X^{\perp} \rangle$ and for scalars c(X), b(X) depending on X. Because $\langle \nabla_X \xi, \, \xi \rangle = 0$. Therefore $\langle \nabla_X \xi, \, X \rangle = c(X) \langle X, \, X \rangle$. Since the linear isometry group \tilde{H} acts transitively on U: $= \{Z \in T_oM \; ; \langle \xi(o), \, Z \rangle = 0, \, \langle Z, \, Z \rangle = 1\}$, c is constant on U. Furthermore we have $c(\alpha X) = c(X)$ for any non-zero $\alpha \in \mathbb{R}$. Thus we have $\langle \nabla_X \xi, \, X \rangle = -c \langle X, \, X \rangle$ for any X orthogonal to $\xi(o)$. Since M is homogeneous, we can see that $\langle \nabla_X \xi, \, X \rangle = -c \langle X, \, X \rangle$ for any X orthogonal to ξ . Therefore, after polarization, we have $\langle \nabla_X \xi, \, Y \rangle = -c \langle X, \, Y \rangle$ for any Y orthogonal to ξ . Thus we have $\nabla_X \xi = -c X$.

Remark 5. Taking $-\xi$ instead of ξ (if necessary), we may assume that c>0.

Lemma 9([4]). $F: (\mathbf{R} \times \mathbf{N}, -dt^2 + \exp(-2ct) ds_N^2) \rightarrow (\mathbf{M}, <, >)$ is isometry, where ds_N^2 is the metric of N.

PROOF. Let $(V, \phi = (t_1, \ldots, t_{n-1}))$ be a local coordinate around a point p in N. Then $(\mathbf{R} \times V, \mathrm{id} \times \phi = (t, t_1, \ldots, t_{n-1}))$ is a local coordinate around (a, p) in $\mathbf{R} \times N$. Let $\tilde{V} := F(\mathbf{R} \times V)$ and define $\tilde{\phi} : \tilde{V} \to \mathbf{R}^n$ by $(\mathrm{id} \times \phi) \circ F^{-1}$. Then $(\tilde{V}, \tilde{\phi} = (x_0, x_1, \ldots, x_{n-1}))$ is a local coordinate around $\tilde{p} = F(a, p)$ in M. We can see that $dF(\partial/\partial t) = \xi = \partial/\partial x_0$ and $dF(\partial/\partial t_i) = \partial/\partial x_i$ $(i=1,\ldots,n-1)$. We can also verify that $(\partial/\partial x_0, \partial/\partial x_i) = \partial/\partial x_i$ $(i=1,\ldots,n-1)$. Because

$$<\partial/\partial x_0$$
, $\partial/\partial x_i>=<\xi$, $\partial/\partial x_i>=<\nabla f$, $\partial/\partial x_i>$
= $dF(\partial/\partial t_i)(f)=(\partial f/\partial t_i)(F(t, x))$
= $(\partial/\partial t_i)(-t)=0$.

Since $\partial/\partial x_0 < \partial/\partial x_j$, $\partial/\partial x_i > = -2c < \partial/\partial x_j$, $\partial/\partial x_i >$ by Lemma 8, we have $<\partial/\partial x_j$, $\partial/\partial x_i > = \exp(-2cx_0)g_{ji}(x_1, \ldots, x_{n-1})$ for $i, j=1, \ldots, n-1$. Thus we have $F^* < , > = -dt^2 + \exp(-2ct)ds_N^2$.

LEMMA 10. If $N = S^{n-1}$ or H^{n-1} , then c = 0, i. e., the metric of $R \times N$ is product metric.

PROOF. Since $f^{-1}(a)$ $(a \in \mathbb{R})$ is isometric to N by Lemma 6, the scalar curvature S_a of $f^{-1}(a)$ coincides with the scalar curvature S_0 of N. The facts that $S_a = S_0$ is nonzero and $S_a = \exp(-2ca)S_0$ by Lemma 9 imply c = 0.

In the case $N = \mathbf{E}^{n-1}$ and c = 0, (M, <, >) is isometric to $(\mathbf{R} \times \mathbf{E}^{n-1}, -dt^2 + ds_E^2)$ which is the Lorentz-Minkowski space. In the case $N = E^{n-1}$

and $c \neq 0$, (M, <, >) is isometric to $(\mathbf{R} \times \mathbf{E}^{n-1}, -dt^2 + \exp(-2ct) \sum_{j=1}^{n-1} dt_j^2)$ which is isometric to (U_n^+, ds_+^2) by the transformation

$$R \times E^{n-1} \ni (t, t_1, ..., t_{n-1})$$

 $\rightarrow (u_1, ..., u_{n-1}, u_n) = (t_1, ..., t_{n-1}, e^{ct}/c) \in U_n^+.$

Thus if M is simply connected, then M is isometric to the space (i) or (v) in the Example.

To find non-simply connected M, we use the same procedure as in Kobayashi [2], p. 52. Thus we complete the proof of the theorem.

Acknowledgement. The author would like to thank Professors H. Kitahara, S. Yorozu for their helpfull advice and encouragement. The author also would like to thank the referee for kind and helpfull advice.

References

- [1] S. ISHIHARA, Homogeneous Riemannian spaces of four dimensions, J. Math. Soc. Japan 7 (1955), 345–370.
- [2] S. KOBAYASHI, Transformation Groups in Differential Geometry, Springer-Verlag, Berlin, 1972.
- [3] H. MATSUDA, A note on an isometric imbedding of upper half-space into anti de Sitter space, Hokkaido Math. J. 13 (1983), 123-132.
- [4] H. MATSUDA, On *n*-dimensional Lorentz manifolds admitting an isometry group of dimension n(n-1)/2+1, preprint.
- [5] K. NOMIZU, The Lorentz-Poincaré metric on upper half-space and its extension, Hokkaido Math. J. 11 (1982), 253–261.
- [6] M. OBATA, On *n*-dimensional homogeneous spaces of Lie groups of dimension greater than n(n-1)/2, J. Math. Soc. Japan 7 (1955), 371-388.
- [7] J. WOLF, Spaces of Constant Curvature, Publish or Perish, Boston, 1984.
- [8] K. YANO, On *n*-dimensional Riemannian space admitting a group of motions of order n (n-1)/2+1, Trans. Amer. Math. Soc. 74 (1953), 260–279.

Department of Mathematics Kanazawa Medical University