

## Finiteness of von Neumann algebras and non-commutative $L^p$ -spaces

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### 0. Introduction

Murray and von Neumann introduced their equivalence relation among projections in a von Neumann algebra and proved that a factor is finite (i. e. every projection is finite) if and only if it has a finite trace. In [2], Cuntz and Pedersen defined another equivalence relation among all positive elements in a  $C^*$ -algebra, and proved that the algebra is finite if and only if there is a separating family of finite traces.

In this paper, we introduce an equivalence relation among the positive elements of a non-commutative  $L^p$ -space associated with an arbitrary von Neumann algebra, and we study the finiteness of non-commutative  $L^p$ -spaces with respect to it.

In §1, we recall the definition of non-commutative  $L^p$ -spaces associated with an arbitrary von Neumann algebra defined by Haagerup [4]. For non-commutative  $L^p$ -spaces  $L^p(N, \tau)$  arising from a semifinite von Neumann algebra  $N$  and its trace  $\tau$ , the intersection  $N \cap L^p(N, \tau)$  is  $L^p$ -norm dense in  $L^p(N, \tau)$ . Therefore one may naturally expect some similarity of their order structures between  $N$  and  $L^p(N, \tau)$  even if there are significant differences, for example, the existence of an order unit. On the other hand, for non-commutative  $L^p$ -spaces  $L^p(M)$  associated with an arbitrary von Neumann algebra  $M$ , it is well-known that any non-zero element in  $L^p(M)$  is not bounded and that  $M \cap L^p(M) = \{0\}$ . Therefore we need some care to deal with them throughout the paper. In §2, we study the monotone order completeness of  $L^p(M)$ . Applying the result, we show in §3 that  $L^p(M)$  has the asymmetric Riesz decomposition property, and we introduce an equivalence relation among the positive elements in  $L^p(M)$ . In §4, using the equivalence relation introduced in §3, we define a notion of finiteness of  $L^p(M)$ . Considering bounded linear functionals on  $L^p(M)$  which satisfy the property as traces, we show that the finiteness of  $L^p(M)$  agrees with that of  $M$  for the case of  $1 < p < \infty$ .

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## 1. Preliminaries

In this section, we will collect definitions and basic facts on the theory of non-commutative  $L^p$ -spaces associated with an arbitrary von Neumann algebra. Full details are found in [4] and [12].

Let  $M$  be an arbitrary von Neumann algebra. Let  $N$  be the crossed product of  $M$  by the modular automorphism group  $\{\sigma_t\}_{t \in \mathbf{R}}$  of a fixed faithful normal semifinite weight on  $M$ . Then  $N$  admits the dual action  $\{\theta_s\}_{s \in \mathbf{R}}$  and the faithful normal semifinite trace  $\tau$  satisfying  $\tau \circ \theta_s = e^{-s} \tau$ ,  $s \in \mathbf{R}$ . We denote by  $\tilde{N}$  the set of all  $\tau$ -measurable operators (affiliated with  $N$ ). For  $0 < p \leq \infty$ , the Haagerup  $L^p$ -space  $L^p(M)$  is defined by

$$L^p(M) = \{a \in \tilde{N}; \theta_s(a) = e^{-s/p} a, s \in \mathbf{R}\}.$$

It is well-known that there exists a linear order isomorphism  $\varphi \rightarrow h_\varphi$  from the predual  $M_*$  onto  $L^1(M)$ . We thus get a positive linear functional  $tr$  on  $L^1(M)$  defined by  $tr(h_\varphi) = \varphi(1)$ ,  $\varphi \in M_*$ . The (quasi-)norm of  $L^p(M)$  for  $0 < p < \infty$  is defined by  $\|a\|_p = tr(|a|^p)^{1/p}$ ,  $a \in L^p(M)$ . When  $1 \leq p < \infty$ ,  $L^p(M)$  is a Banach space, and its dual space is  $L^q(M)$ , where  $\frac{1}{p} + \frac{1}{q} = 1$ .

The duality is given by the following bilinear form:

$$(a, b) \rightarrow tr(ab) (= tr(ba)), a \in L^p(M), b \in L^q(M).$$

The space  $L^p(M)$  is independent of the choice of a faithful normal semifinite weight on  $M$  up to isomorphism. Furthermore, when  $M$  has a faithful normal semifinite trace  $\tau_0$ ,  $L^p(M)$  can be identified with the non-commutative  $L^p$ -space  $L^p(M, \tau_0)$  introduced in [9].

## 2. Monotone order completeness of measure topology

In this section we study the monotone order completeness of measure topology associated with a semifinite von Neumann algebra. The result does not seem to have been pointed out in the literature, though it may be well-known probably. As an immediate consequence, we also have the monotone order completeness of non-commutative  $L^p$ -spaces to introduce an equivalence relation in  $L^p$ -spaces. It may be useful to state these results in the form of a theorem and its corollaries.

Suppose that  $N$  is a semifinite von Neumann algebra with a faithful normal semifinite trace  $\tau$ . We denote by  $\tilde{N}$  the set of all  $\tau$ -measurable operators, which becomes a complete Hausdorff topological  $*$ -algebra with

the measure topology (cf. [7], [12]). For  $\epsilon, \delta > 0$ , we set

$$N(\epsilon, \delta) = \{a \in \tilde{N}; \text{ there exists a projection } e \text{ in } N \\ \text{with } \|ae\| \leq \epsilon, \tau(1-e) \leq \delta\}.$$

Then the family  $\{N(\epsilon, \delta); \epsilon, \delta > 0\}$  is a fundamental system of neighborhoods around 0 with respect to the measure topology. We also denote by  $\tilde{N}_+$  the set of all positive self-adjoint elements in  $\tilde{N}$ . Recall that an operator  $a$  in  $\tilde{N}$  is to be defined  $\tau$ -compact if  $a$  satisfies that  $\tau(E_{(s, \infty)}(|a|)) < \infty$  for all  $s > 0$ , where  $E_{(s, \infty)}(|a|)$  is the spectral projection of  $|a|$  corresponding to the interval  $(s, \infty)$ . This definition of  $\tau$ -compactness is equivalent to that the generalized  $s$ -number  $\mu_t(a)$  of  $a$  converges to 0 as  $t \rightarrow \infty$  (cf. [3; Proposition 3.2]).

LEMMA 2. 1. *Let  $a$  be a  $\tau$ -compact operator. Let  $\{y_n\}_{n=1}^\infty$  be a sequence in  $N$  which converges to 0 strongly. Then the sequence  $\{y_n a\}_{n=1}^\infty$  converges to 0 in the measure topology.*

PROOF. Considering the polar decomposition of  $a$ , we may assume that  $a$  is positive self-adjoint. Let  $a = \int_{(0, \infty)} \lambda de_\lambda$  be the spectral decomposition of  $a$ . Fix any positive numbers  $\epsilon$  and  $\delta$ . Let  $\gamma = \sup \|y_n\| (< \infty)$  and  $\alpha = \frac{\epsilon}{\gamma}$ . Since  $a$  is  $\tau$ -measurable, we can take a  $\beta (> \alpha)$  such that  $\tau\left(\int_{(\beta, \infty)} de_\lambda\right) \leq \delta$ . We write  $y_n a = y_n \int_{(0, \alpha]} \lambda de_\lambda + y_n \int_{(\alpha, \beta]} \lambda de_\lambda + y_n \int_{(\beta, \infty)} \lambda de_\lambda$ . Then the first and the last terms are in  $N(\epsilon, \delta)$ . For the second term, since  $a$  is  $\tau$ -compact and  $\int_{(\alpha, \beta]} \lambda de_\lambda \leq \beta \int_{(\alpha, \infty)} de_\lambda$ , it follows that  $\int_{(\alpha, \beta]} \lambda de_\lambda \in L^2(N, \tau)$ . Hence, representing  $N$  on  $L^2(N, \tau)$ , we have  $\|y_n \int_{(\alpha, \beta]} \lambda de_\lambda\|_2 \rightarrow 0$  as  $y_n \rightarrow 0$  strongly. This completes the proof.  $\square$

THEOREM 2. 2. *Let  $\{a_n\}_{n=1}^\infty$  be an increasing sequence in  $\tilde{N}_+$ . Assume that there is a  $\tau$ -compact operator  $a$  in  $\tilde{N}$  satisfying  $a_n \leq a$  for all  $n \in N$ . Then there exists a unique element  $a_\infty$  in  $\tilde{N}$  such that  $a_n$  converges to  $a_\infty$  in the measure topology.*

PROOF. By [8; Lemma 2. 2], for each  $n \in N$ , there is a unique  $x_n \in N$  such that  $0 \leq x_n \leq s(a)$  and  $a_n = a^{1/2} x_n a^{1/2}$ . The same lemma shows that the sequence  $\{x_n\}_{n=1}^\infty$  is increasing. The  $x_n$  converges strongly to an element  $x$  in  $N$ . We put  $a_\infty = a^{1/2} x a^{1/2}$ . Since  $x - x_n$  converges to 0 strongly,

we conclude from the previous lemma that  $x_n a^{1/2}$  converges to  $x a^{1/2}$  in the measure topology. This yields the result and completes the proof.  $\square$

REMARK 2. 3. In the preceding theorem, we can not drop the condition that  $a$  is  $\tau$ -compact.

Let  $l^2$  be the usual sequence space. We denote an increasing sequence  $\{a_n\}_{n=1}^\infty$  of bounded operators on  $l^2$  by matrices with respect to its canonical basis  $e_n = (0, \dots, 0, \overset{n}{1}, 0, \dots)$  as follows;

$$a_n = \begin{bmatrix} E_n & 0 \\ 0 & 0 \end{bmatrix}, \text{ where } E_n \text{ is the identity matrix of degree } n. \text{ Then}$$

$\{a_n\}_{n=1}^\infty$  is dominated by the identity operator. However, it is impossible that  $\{a_n\}_{n=1}^\infty$  forms a Cauchy sequence in the measure topology.

We assume that  $0 < p < \infty$  throughout the rest of this section. It is well-known that non-commutative  $L^p$ -spaces  $L^p(N, \tau)$  associated with a semifinite von Neumann algebra  $N$  and its trace  $\tau$  are included in the class of  $\tau$ -compact operators (cf. [3; Remark 3.3]). From Theorem 2.2 and [3; Theorem 3.6], we have the following result.

COROLLARY 2. 4. *Let  $\{a_n\}_{n=1}^\infty$  be an increasing sequence in  $L^p(N, \tau)_+$ . Assume that there is an element  $a$  in  $L^p(N, \tau)$  satisfying  $a_n \leq a$  for all  $n \in \mathbf{N}$ . Then there exists a unique element  $a_\infty$  in  $L^p(N, \tau)$  such that  $\|a_n - a_\infty\|_p \rightarrow 0$ .*

Moreover, we can also obtain a corresponding result for non-commutative  $L^p$ -spaces  $L^p(M)$  associated with an arbitrary von Neumann algebra  $M$ . For any  $a$  in  $L^p(M)$ , it is known that  $\mu_t(a) = t^{-1/p} \|a\|_p$  for all  $t > 0$ , where  $\mu_t(a)$  is the generalized  $s$ -number relative to the canonical trace on the crossed product (cf. [3; Lemma 4.8]). This implies that  $L^p(M)$  is included in the class of  $\tau$ -compact operators.

COROLLARY 2. 5. *Let  $\{a_n\}_{n=1}^\infty$  be an increasing sequence in  $L^p(M)_+$ . Assume that there is an element  $a$  in  $L^p(M)$  satisfying  $a_n \leq a$  for all  $n \in \mathbf{N}$ . Then there exists a unique element  $a_\infty$  in  $L^p(M)$  such that  $\|a_n - a_\infty\|_p \rightarrow 0$ .*

PROOF. From the assumption, we conclude by Theorem 2.2 that  $a_n$  converges to an element  $a_\infty$  in the measure topology. Since  $L^p(M)$  is closed in the measure topology (cf. [4; Definition 1.7]),  $a_\infty$  is included in  $L^p(M)$ . Moreover, the norm topology of  $L^p(M)$  is exactly the induced measure topology (cf. [4; Proposition 1.17] or [12; Chapter II, Proposition 26]), we conclude that  $\|a_n - a_\infty\|_p \rightarrow 0$ .  $\square$

### 3. Asymmetric decomposition and equivalence relation in $L^p$ -spaces

Let  $M$  be an arbitrary von Neumann algebra. We introduce an equivalence relation in  $L^p(M)_+$  as in the theory of  $C^*$ -algebra to study a functional on  $L^p$ -spaces which satisfies the property as a trace. For  $a, b$  in  $L^p(M)_+$ , we define  $a \sim b$  if there exists a sequence  $\{x_n\}_{n=1}^\infty$  in  $L^{2p}(M)$  such that  $a = \sum_{n=1}^\infty x_n^* x_n$ ,  $b = \sum_{n=1}^\infty x_n x_n^*$  in the sense of  $L^p$ -(quasi-)norm convergence. Also, we define  $a < b$  if there exists an element  $c$  in  $L^p(M)_+$  such that  $a \sim c \leq b$ . Then we have the countably asymmetric decomposition for  $L^p(M)$ .

PROPOSITION 3. 1. *Let  $0 < p < \infty$ . If  $\{x_i\}_{i=1}^\infty, \{y_j\}_{j=1}^\infty$  are sequences in  $L^{2p}(M)$  such that  $\sum_{i=1}^\infty x_i^* x_i = \sum_{j=1}^\infty y_j y_j^*$ . Then there exists a double sequence  $\{z_{i,j}\}_{i,j=1}^\infty$  in  $L^{2p}(M)$  such that  $x_i x_i^* = \sum_{j=1}^\infty z_{i,j} z_{i,j}^*$  and  $y_j^* y_j = \sum_{i=1}^\infty z_{i,j}^* z_{i,j}$ .*

PROOF. Put  $a = \sum x_i^* x_i = \sum y_j y_j^*$ . As in the proof of [8; Lemma 2. 21], we can find a unique operator  $s_i$  in  $N$  satisfying the following conditions;  $0 \leq s_i^* s_i \leq s(|x_i|) \leq s(a)$ ,  $x_i = s_i a^{1/2}$  in  $\tilde{N}$ . It follows from the uniqueness that  $s_i$  is fixed under the dual action and that  $s_i \in M$ . Similarly, there exists an element  $t_j$  in  $M$  such that  $y_j^* = t_j^* a^{1/2}$ . Since  $\sum_{i=1}^n x_i^* x_i = a^{1/2} (\sum_{i=1}^n s_i^* s_i) a^{1/2}$  increases to  $a$  in the measure topology, we can conclude by the uniqueness part of [8; Lemma 2. 2] that the sequence  $\{\sum_{i=1}^n s_i^* s_i\}_{n=1}^\infty$  increases strongly to the range projection of  $a$ . Then the sequence  $\{t_j^* a^{1/2} (\sum_{i=1}^n s_i^* s_i) a^{1/2} t_j\}_{n=1}^\infty$  increases to  $t_j^* a t_j = y_j^* y_j$  in  $L^p$ -norm topology. Putting  $z_{i,j} = s_i a^{1/2} t_j$ , we complete the proof.  $\square$

By deleting some of the  $x_i$  and corresponding  $z_{i,j}$ , we immediately conclude the following corollary.

COROLLARY 3. 2. *Let  $0 < p < \infty$ . If  $\{x_i\}_{i=1}^\infty, \{y_j\}_{j=1}^\infty$  are sequences in  $L^{2p}(M)$  such that  $\sum_{i=1}^\infty x_i^* x_i \leq \sum_{j=1}^\infty y_j y_j^*$ . Then there exists a double sequence  $\{z_{i,j}\}_{i,j=1}^\infty$  in  $L^{2p}(M)$  such that  $x_i x_i^* = \sum_{j=1}^\infty z_{i,j} z_{i,j}^*$  and  $\sum_{i=1}^\infty z_{i,j}^* z_{i,j} \leq y_j^* y_j$ .*

THEOREM 3. 3. *Let  $0 < p < \infty$ . The relation “  $\sim$  ” becomes an equivalence relation in  $L^p(M)_+$ . It is countably additive in the sense that  $\sum_{i=1}^\infty a_i \sim \sum_{i=1}^\infty b_i$  when the sum exists and  $a_i \sim b_i$  in  $L^p(M)_+$ . The relation “  $<$  ” satisfies the transitivity and the Riesz decomposition property: if  $\sum_{i=1}^\infty a_i < \sum_{j=1}^\infty b_j$  then there exists a double sequence  $\{c_{i,j}\}_{i,j=1}^\infty$  in  $L^p(M)_+$  such that  $a_i = \sum_{j=1}^\infty c_{i,j}$  and  $\sum_{i=1}^\infty c_{i,j} < b_j$ .*

PROOF. To see that the relation “  $\sim$  ” is an equivalence relation,

it is enough to show the transitivity. For elements  $a, b$  and  $c$  in  $L^p(M)_+$ , suppose that  $a \sim b$  and  $b \sim c$ . From the above proposition there is a double sequence  $\{z_{i,j}\}_{i,j=1}^\infty$  in  $L^{2p}(M)$  such that

$$a = \sum_{i=1}^\infty \left( \sum_{j=1}^\infty z_{i,j} z_{i,j}^* \right) \text{ and } c = \sum_{j=1}^\infty \left( \sum_{i=1}^\infty z_{i,j}^* z_{i,j} \right).$$

Suppose that  $K$  is any bijective map  $K: N \ni n \longrightarrow (i(n), j(n)) \in N \times N$ . By the monotone order completeness, it is straightforward to see that the sequence  $\{\sum_{n=1}^N z_{K(n)} z_{K(n)}^*\}_{N=1}^\infty$  converges to  $a$  in the  $L^p$ -norm topology. Moreover, the series  $\sum_{j=1}^\infty (\sum_{i=1}^\infty z_{i,j} z_{i,j}^*)$  also converges to  $a$ . Thus we have  $a = \sum_{n=1}^\infty z_{K(n)} z_{K(n)}^*$  and  $c = \sum_{n=1}^\infty z_{K(n)}^* z_{K(n)}$ , hence the relation “ $\sim$ ” becomes an equivalence relation in  $L^p(M)_+$ .

To show the Riesz decomposition property, suppose that  $\sum_{i=1}^\infty a_i \sim c \leq \sum_{j=1}^\infty b_j$  for some  $c$  in  $L^p(M)$ . Then there exists a sequence  $\{u_n\}_{n=1}^\infty$  in  $L^{2p}(M)$  such that  $\sum_{i=1}^\infty a_i = \sum_{n=1}^\infty u_n^* u_n$  and  $\sum_{n=1}^\infty u_n u_n^* = c \leq \sum_{j=1}^\infty b_j$ . By the first equation, we can take a double sequence  $\{v_{i,n}\}_{i,n=1}^\infty$  in  $L^{2p}(M)$  such that  $a_i = \sum_{n=1}^\infty v_{i,n} v_{i,n}^*$  and  $\sum_{i=1}^\infty v_{i,n}^* v_{i,n} = u_n u_n^*$ . Then we have  $\sum_{i,n=1}^\infty v_{i,n}^* v_{i,n} \leq \sum_{j=1}^\infty b_j$ , hence there is a triple sequence  $\{w_{i,j,n}\}_{i,j,n=1}^\infty$  in  $L^{2p}(M)$  such that  $v_{i,n} v_{i,n}^* = \sum_{j=1}^\infty w_{i,j,n}^* w_{i,j,n}$  and  $\sum_{i,n=1}^\infty w_{i,j,n}^* w_{i,j,n} \sim \sum_{i,n=1}^\infty w_{i,j,n} w_{i,j,n}^* \leq b_j$ . Putting  $c_{i,j} = \sum_{n=1}^\infty w_{i,j,n}^* w_{i,j,n}$ , we have  $a_i = \sum_{j=1}^\infty c_{i,j}$  and  $\sum_{i=1}^\infty c_{i,j} \leq b_j$ . It is easy to establish the rest of the theorem, and the proof is omitted.  $\square$

#### 4. Finiteness of $L^p$ -spaces

As an application of the preceding results, we study a certain finiteness of non-commutative  $L^p$ -spaces associated with an arbitrary von Neumann algebra, and we shall see that the notion of finiteness of  $L^p$ -spaces for  $1 < p < \infty$  coincides with that of von Neumann algebras. Let  $M$  be an arbitrary (not necessarily semifinite) von Neumann algebra. Once Theorem 3.3 has been established, we can consider a quotient space of  $L^p$ -space with respect to the relation “ $\sim$ ”. We denote by  $L_{sa}^p$  the set of all self-adjoint elements in  $L^p(M)$  and denote by  $L_0^p$  the real linear subspace of  $L_{sa}^p$  consisting of elements of the form  $a - b$ , where  $a, b \in L^p(M)_+$  and  $a \sim b$ . Moreover, we denote by  $Q$  the quotient map  $Q: L_{sa}^p \longrightarrow L_{sa}^p / L_0^p$ . As in the proof of [2; Theorem 2.6], it is straightforward to verify that the subspace  $L_0^p$  is closed in  $L_{sa}^p$ . Therefore, there is a canonical linear isometry between the dual of the quotient space  $Q(L_{sa}^p)$  and the space  $(L_0^p)^\perp$  consisting of elements  $f$  in  $(L_{sa}^p)^*$  such that  $f(a) = 0$  for all  $a$  in  $L_0^p$ . Note that  $f \in (L_0^p)^\perp$  if and only if  $f(x^*x) = f(xx^*)$  for all  $x \in L^{2p}(M)$ .

LEMMA 4. 1.      Let  $1 \leq p < \infty$  and  $\frac{1}{p} + \frac{1}{q} = 1$ . Suppose that  $f \in (L^p_0)^\perp$ . Let  $b$  be a unique element in  $L^q_{sa}$  corresponding to  $f$  such that  $f = tr(b \cdot)$ . If  $b = b_+ - b_-$  is the Jordan decomposition of  $b$ , then  $tr(b_+ \cdot)$  and  $tr(b_- \cdot)$  are elements of  $(L^p_0)^\perp$ .

PROOF.      Note that  $b$  satisfies  $tr(bx^*x) = tr(bxx^*)$ ,  $x \in L^{2p}(M)$ . Putting  $x = ua^{1/2}$ , we have  $tr(ba) = tr(u^*bua)$  for any unitary  $u \in M$  and any  $a \in L^p_+$ . This implies that  $b$  is affiliated with the commutant  $M'$ . By the uniqueness of the Jordan decomposition, it follows that  $b_+$ ,  $b_-$  are also affiliated with  $M'$ . Denote by  $e_1$  (resp.  $e_2$ ) the support projection of  $b_+$  (resp.  $b_-$ ). Then we have  $b_+ = be_1$ ,  $b_- = -be_2$ , and  $e_1, e_2$  are orthogonal projections in the center of  $M$ . Hence we have  $tr(b_+x^*x) = tr(be_1x^*x) = tr(bx^*e_1x) = tr(be_1xx^*e_1) = tr(b_+xx^*)$  and  $tr(b_-x^*x) = tr(b_-xx^*)$ . This completes the proof.  $\square$

THEOREM 4. 2.      Let  $1 < p < \infty$  and  $\frac{1}{p} + \frac{1}{q} = 1$ . If  $a \in L^p(M)_+$ , then the following four constants are equal ;

$$\alpha = \inf \{ \|a - c\|_p ; c \in L^p_0 \},$$

$$\beta = \inf \{ \|b\|_p ; b > a, b \in L^p_+ \},$$

$$\gamma = \sup \{ f(a) ; f \in (L^p_{sa})^*, \|f\| = 1, f(x^*x) = f(xx^*) \geq 0, x \in L^{2p}(M) \}, \text{ and}$$

$$\delta = \sup \{ tr(h_\varphi^{1/q} a) ; \varphi \text{ is a normal tracial state on } M \}.$$

PROOF.      A similar argument as in the proof of [2 ; Theorem 2.9] shows that  $\alpha \geq \beta \geq \gamma$ . Suppose  $\alpha > 0$  to show that  $\alpha \leq \gamma$ . Since  $\alpha$  is the quotient norm of  $a$  in  $Q(L^p_{sa})$ , there is by Hahn-Banach's theorem an element  $\tilde{f}$  in  $Q(L^p_{sa})^*$  with  $\|\tilde{f}\| = 1$  such that  $\tilde{f}(Q(a)) = \alpha$ . Let  $b$  be a unique element in  $L^q_{sa}$  corresponding to  $\tilde{f}(Q(\cdot))$  such that  $\tilde{f}(Q(\cdot)) = tr(b \cdot)$ . If  $b = b_+ - b_-$  is the Jordan decomposition of  $b$ , then we have  $tr(b_+ \cdot) \in (L^p_0)^\perp$  by Lemma 4.1. Since  $\|b\|_q^q = \|b_+\|_q^q + \|b_-\|_q^q$ , we have  $\|b_+\|_q \leq 1$  and  $tr(b_+a) \geq \alpha$ . It follows that  $\|b_+\|_q = 1$ . Hence we have  $b_- = 0$  and  $b \geq 0$ . Put  $f = \tilde{f}(Q(\cdot))$ . Then we have  $f \in (L^p_{sa})^*$ ,  $\|f\| = 1$ , and  $f$  satisfies that  $f(x^*x) = f(xx^*) \geq 0$  for any  $x \in L^{2p}(M)$ . Thus  $\alpha \leq \gamma$ . To see that  $\gamma = \delta$ , suppose that  $f$  is an element in  $(L^p_{sa})^*$  satisfying  $f(x^*x) = f(xx^*) \geq 0$  for any  $x \in L^{2p}(M)$ . Let  $b$  be a unique element in  $L^q_+$  corresponding to  $f$  such that  $f = tr(b \cdot)$ . Then  $b$  is affiliated with  $M'$ . Taking a unique positive element  $\varphi \in M_*$  such that  $b = h_\varphi^{1/q}$ ,  $h_\varphi$  is affiliated with  $M'$ . It follows from [5 ; Théorème 2] or [12 ; Chapter IV, Proposition 4] that the Connes' spatial derivative  $\frac{d\varphi}{d\psi_0}$  is affiliated with  $M'$ , where  $\psi_0$  is a faithful normal

semifinite weight on  $M'$ . Due to [1; Theorem 9] or [12; Chapter III, Corollary 27], we conclude that  $\varphi$  is a trace on  $M$ . Conversely, for each normal finite trace  $\varphi$  on  $M$ , the element  $h_\varphi$  is affiliated with  $M'$ . Hence the element  $tr(h_\varphi^{1/q}\cdot)$  in  $(L^p_{sa})^*$  satisfies that  $tr(h_\varphi^{1/q}x^*x) = tr(h_\varphi^{1/q}xx^*) \geq 0$ ,  $x \in L^{2p}(M)$ . Thus we get the desired isometric bijective correspondence which implies that  $\gamma = \delta$ . This completes the proof.  $\square$

DEFINITION 4. 3. *A positive element  $a$  in  $L^p(M)$  is said to be finite if for each  $a' \in L^p(M)_+$  such that  $a' \leq a$  and  $a' \sim a$  implies that  $a' = a$ . We say that  $L^p(M)$  is finite if every element in  $L^p(M)_+$  is finite.*

REMARK 4. 4. For the case of  $p=1$ , the above definition is vacuous. Let  $a, b$  be elements in  $L^1(M)_+$ . Suppose that  $a \sim b \leq a$ . Then we have  $tr(a) = tr(b)$ . It follows that  $\|a - b\|_1 = tr(a - b) = 0$ , i. e.  $a = b$ . Therefore, the space  $L^1(M)$  is always finite in the sense defined above for an arbitrary von Neumann algebra.

It is easy to verify the following lemmas.

LEMMA 4. 5 (cf. [2; Lemma 3. 3]).  *$L^p(M)$  is finite if and only if  $L^p(M)_+ \cap L^p_0 = \{0\}$ .*

LEMMA 4. 6. *Suppose that  $\{\varphi_\lambda\}_{\lambda \in \Lambda}$  is a family of positive normal functionals on  $M$ . Then the following conditions are equivalent.*

- (1) *The supremum of the support projections of  $\varphi_\lambda$  equals to 1.*
- (2)  *$\{tr(h_{\varphi_\lambda}\cdot); \lambda \in \Lambda\}$  is separating for  $M_+$ .*
- (3)  *$\{tr(h_{\varphi_\lambda}^{1/q}\cdot); \lambda \in \Lambda\}$  is separating for  $L^p(M)_+$ .*

The following theorem shows that our notion of finiteness of non-commutative  $L^p$ -spaces for  $1 < p < \infty$  precisely agrees with that of von Neumann algebras.

THEOREM 4. 7. *Let  $1 < p < \infty$ . The  $L^p(M)$  is finite if and only if  $M$  is a finite von Neumann algebra.*

PROOF. Suppose that  $L^p(M)$  is finite. Let  $a$  be an element in  $L^p(M)_+$ . If  $tr(h_\varphi^{1/q}a) = 0$  for any normal finite trace  $\varphi$  on  $M$ , then  $Q(a) = 0$  by Theorem 4. 2, where  $Q$  denotes the quotient map. Since  $Q$  is faithful on  $L^p(M)_+$  by Lemma 4. 5, we have  $a = 0$ . Thus the set  $\{tr(h_\varphi^{1/q}\cdot); \varphi \text{ is a normal finite trace on } M\}$  is separating for  $L^p(M)_+$ . It follows from the previous lemma that  $M$  has a sufficient family of normal finite traces. Conversely, if  $M$  has a sufficient family  $\{\varphi_\lambda\}_{\lambda \in \Lambda}$  of normal tracial states, then  $\{tr(h_{\varphi_\lambda}^{1/q}\cdot); \lambda \in \Lambda\}$  is separating for  $L^p(M)_+$  by Lemma 4. 6. For  $a \in$

$L^p(M)_+ \cap L^p_0$ , we have by Theorem 4.2,

$$0 = \|Q(a)\| \geq \sup\{\operatorname{tr}(h_\lambda^{1/q} a) ; \lambda \in \Lambda\}0.$$

Thus  $a=0$ , hence the result follows from Lemma 4.5.  $\square$

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