

## Basis properties and complements of complex exponential systems

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**Abstract.** In this note, we show that some families of complex exponentials are either Riesz sequences or not basic sequences in  $L^2[-\pi, \pi]$ . Besides, we show that every incomplete complex exponential system satisfying some condition can be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$ .

*Key words:* basis, Riesz basis, Riesz sequence, complete and minimal sequence.

### 1. Introduction

Let  $\lambda = \{\lambda_n\}$ ,  $-\infty < n < \infty$ , be a sequence of distinct complex numbers, then a system  $e(\lambda) \equiv \{e^{i\lambda_n t}\}$  is said to be a *basis* for  $L^2[-\pi, \pi]$  if any function  $f(t)$  in  $L^2[-\pi, \pi]$  has a unique expansion

$$f(t) = \sum_n c_n e^{i\lambda_n t} \text{ (in the mean)}$$

for some sequence  $\{c_n\}$ . Also,  $e(\lambda)$  is said to be a *basic sequence* if it is a basis of the closure of the space spanned by the distinct elements  $e^{i\lambda_n t}$ . Next  $e(\lambda)$  is said to be a *Riesz basis* if there exists an isomorphism

$$T: L^2[-\pi, \pi] \longrightarrow L^2[-\pi, \pi]$$

and

$$T(e^{int}) = e^{i\lambda_n t}$$

for any  $n$ . Moreover,  $e(\lambda)$  is said to be a *Riesz sequence* if it is a Riesz basis of the closure of the space spanned by the distinct elements  $e^{i\lambda_n t}$ .  $e(\lambda)$  is said to be *complete* in  $L^2[-\pi, \pi]$  if the linear subspace spanned by the distinct elements  $e^{i\lambda_n t}$  is dense in  $L^2[-\pi, \pi]$ . And  $e(\lambda)$  is said to be *minimal* in  $L^2[-\pi, \pi]$  if each element of  $e(\lambda)$  lies outside the closed linear span of the others. Obviously, we see that if  $e(\lambda)$  is a Riesz basis, then it is a basis and if it is a basis, then it is complete and minimal. We say the system  $\{e^{i\lambda_n t}\}$

has *excess*  $N$  if it remains complete and becomes minimal when  $N$  terms  $e^{i\lambda_n t}$  are removed and we define

$$E(\lambda) = N.$$

Conversely we define the excess

$$E(\lambda) = -N$$

if it becomes complete and minimal when  $N$  terms

$$e^{i\mu_1 t}, \dots, e^{i\mu_N t}$$

are adjoined. By convention we define  $E(\lambda) = \infty$  if arbitrarily many terms can be removed without losing completeness and  $E(\lambda) = -\infty$  if arbitrarily many terms can be adjoined without getting completeness. It is obvious that  $\{e^{i\lambda_n t}\}$  is to be complete and minimal if and only if  $E(\lambda) = 0$ .

We refer to N. Levinson [L], R.M. Young [Y4] and R.M. Redheffer [R] on the theory of nonharmonic Fourier series which we take up in this note.

R.M. Young showed in the proof of [Y2, Theorem 2] that if

$$\lambda_n = \begin{cases} n - \frac{1}{4}, & n > 0, \\ n + \frac{1}{4}, & n < 0, \end{cases} \quad (1.1)$$

then  $e(\lambda)$  was not a basis. Besides he showed in [Y3, Theorem 2] that if

$$\mu_n = \begin{cases} n + \frac{1}{4}, & n > 0, \\ 0, & n = 0, \\ n - \frac{1}{4}, & n < 0, \end{cases} \quad (1.2)$$

then  $e(\mu)$  was not also a basis. In this note, we first show that if

$$\lambda_n = \begin{cases} n - \alpha, & n > 0, \\ n + \alpha, & n < 0, \end{cases}$$

and

$$\mu_n = \begin{cases} n + \alpha, & n > 0, \\ 0, & n = 0, \\ n - \alpha, & n < 0, \end{cases}$$

then  $e(\lambda)$  and  $e(\mu)$  are either Riesz sequences or not basic sequences in  $L^2[-\pi, \pi]$  for  $0 < \alpha < 1$ .

Next let

$$\lambda_n = \begin{cases} n - \alpha_n, & n > 0, \\ n + \alpha_n, & n < 0 \end{cases}$$

for  $0 < \alpha_n < 1$ , then we consider whether  $e(\lambda)$  is a Riesz basis or not, and moreover it is a basis or not. One of the problems is whether  $e(\lambda)$  is a basis or not in the case of which

$$\varepsilon_n \rightarrow 0 \quad \text{as } n \rightarrow \pm\infty \quad \text{and} \quad \sum_n |\varepsilon_n| = \infty$$

for  $\alpha_n = 3/4 + \varepsilon_n$ .

In this note, we need the following “stability results”.

**Theorem A** (see [Y4, p. 161, Corollary]) *If  $e(\lambda)$  is a Riesz basis for  $L^2[-\pi, \pi]$ , then there is a positive constant  $L$  with the property that  $e(\mu)$  is also a Riesz basis for  $L^2[-\pi, \pi]$  whenever  $|\lambda_n - \mu_n| \leq L$  for every  $n$ .*

**Theorem B** (see [Y4, p. 165, Prob. 2]) *Let  $e(\lambda)$  be a basis for  $L^2[-\pi, \pi]$  and suppose that  $\sup_n |\operatorname{Im} \lambda_n| < \infty$ . If  $\mu = \{\mu_n\}$  satisfies*

$$\sum_n |\lambda_n - \mu_n| < \infty,$$

*then,  $e(\mu)$  is also a basis for  $L^2[-\pi, \pi]$ .*

The following result follows from Theorem B immediately. We see also Lemma II.4.11 of S.A. Avdonin and S.A. Ivanov [AI] about the same result.

**Corollary 1.1** *We suppose that  $\sup_n |\operatorname{Im} \lambda_n| < \infty$  and  $e(\lambda)$  is a basis. If we replace finitely many points  $\lambda_n$  by the same number of points  $\mu_n \notin \{\lambda_n\}$ ,  $\mu_n \neq \mu_m$ ,  $n \neq m$ , then the basis property of  $e(\lambda)$  is not violated. Consequently the same applies to any Riesz basis.*

**Remark 1.1** Theorem A holds even if “Riesz sequence” that excess is finite is taken. So far as we know, it is unknown whether Theorem A holds or not if a Riesz basis is replaced with a basis. However, it is also unknown whether such a basis which is conditional exists or not.

In §4, we show that every incomplete complex exponential system sat-

isfying some condition can be complemented up to a complete and minimal system of complex exponentials. It is unknown, so far as we know, whether every incomplete complex exponential system can be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$  or not. This problem is originated in [Y1, Remark]. On the other hand, K. Seip has shown in [S, Theorem 2.8] that there exists a Riesz sequence of complex exponentials which cannot be complemented up to a Riesz basis. He has given a sequence

$$e(\lambda) = \{e^{\pm i(n+\sqrt{n})t}\}_{n>1}$$

as an example of such a Riesz sequence.

And he raised the next question personally:

**Question** *Can every Riesz sequence of complex exponentials be complemented up to a complete and minimal system of complex exponentials?*

In this section, we show that it is possible for some families of complex exponential systems which include many Riesz sequences of  $E(\lambda) = -\infty$ . Let  $e(\lambda)$  be a complex exponential system which has the excess  $E(\lambda) = -\infty$ . Our method is to construct a sequence  $\mu = \{\mu_n\}$  such that  $\lambda \subset \mu$  and the system  $e(\mu)$  has a finite excess. If we can construct such a sequence  $\mu$ , then we see that the system  $e(\lambda)$  can be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$ . For this purpose, we use the next theorem:

**Theorem C** ([R, Theorem 47]) *For  $-\infty < n < \infty$ , let  $\lambda \equiv \{\lambda_n\}$  be a sequence of complex numbers satisfying  $|\lambda_n - n| \leq h$  where  $h$  is a positive constant. Then  $E(\lambda)$  satisfies*

$$-\left(4h + \frac{1}{2}\right) < E(\lambda) \leq 4h + \frac{1}{2}.$$

## 2. Basis properties of complex exponential systems

We first consider the system  $e(\lambda)$ ,

$$\lambda_n = \begin{cases} n - \alpha, & n > 0, \\ n + \alpha, & n < 0, \end{cases} \quad (2.1)$$

for  $0 < \alpha < 1$ . We see from Kadec's 1/4-theorem (M.I. Kadec, 1964; see [Y4, p. 36]) that  $e(\lambda)$  is a Riesz sequence for  $0 < \alpha < 1/4$ . It has been shown in

[Y2, Theorem 2] that  $e(\lambda)$  is not a basis for  $L^2[-\pi, \pi]$  for  $\alpha = 1/4$ . Besides, it has been also known that  $e(\lambda)$  is a Riesz basis for  $L^2[-\pi, \pi]$  for  $1/4 < \alpha < 3/4$  by using the isometric isomorphism

$$\phi(t) \mapsto e^{it/2}\phi(t)$$

on  $L^2[-\pi, \pi]$  and Kadec's 1/4-theorem.

**Proposition 2.1** *Let  $\lambda = \{\lambda_n\}$  be a sequence given by (2.1).*

- (i) *Let  $\alpha = 3/4$ . If we remove any element  $\lambda_{n_0}$  in  $\lambda$ , then  $e(\lambda')$  for  $\lambda' = \lambda - \{\lambda_{n_0}\}$  is complete and minimal, but it is not a basis for  $L^2[-\pi, \pi]$ ;*
- (ii)  *$e(\lambda')$  of (i) is a Riesz basis for  $L^2[-\pi, \pi]$  for  $3/4 < \alpha < 1$ .*

*Proof.* We see that  $e(\lambda')$  is complete and minimal by [N, Theorem 1.1]. But if we write

$$n - \frac{3}{4} = (n - 1) + \frac{1}{4}, \quad -n + \frac{3}{4} = -(n - 1) - \frac{1}{4}$$

for  $n \geq 1$ , then we see that  $e(\lambda')$  is not a basis for  $L^2[-\pi, \pi]$  because  $e(\mu)$ , where the  $\mu_n$  are given by (1.2), is not a basis. This prove (i).

Now if we write

$$n - \alpha = (n - 1) + (1 - \alpha), \quad -n + \alpha = -(n - 1) - (1 - \alpha)$$

for  $n \geq 1$ , then (ii) is trivial by Kadec's 1/4-theorem.  $\square$

Next we consider the system  $e(\mu)$ ,

$$\mu_n = \begin{cases} n + \alpha, & n > 0, \\ 0, & n = 0, \\ n - \alpha, & n < 0, \end{cases} \quad (2.2)$$

for  $0 < \alpha < 1$ .

It has already been known by Kadec's 1/4-theorem that  $e(\mu)$  is a Riesz basis for  $0 < \alpha < 1/4$ . It has also been shown in [Y3, Theorem 2] that  $e(\mu)$  is not a basis for  $L^2[-\pi, \pi]$  for  $\alpha = 1/4$ .

**Proposition 2.2** *Let  $\mu = \{\mu_n\}$  be a sequence given by (2.2).*

- (i)  *$e(\mu)$  is not a basic sequence for  $\alpha = 3/4$ ;*
- (ii)  *$e(\mu)$  is a Riesz sequence for  $1/4 < \alpha < 3/4$  or  $3/4 < \alpha < 1$ .*

*Proof.* If we write

$$n + \frac{3}{4} = (n + 1) - \frac{1}{4}, \quad -n - \frac{3}{4} = -(n + 1) + \frac{1}{4}$$

for  $n \geq 1$ , then (i) is an immediate consequence from the fact that  $e(\lambda)$ , where the  $\lambda_n$  are given by (1.1), is not a basis for  $L^2[-\pi, \pi]$ . Next we write

$$n + \alpha = (n + 1) - (1 - \alpha), \quad -n - \alpha = -(n + 1) + (1 - \alpha) \quad (2.3)$$

for  $n \geq 1$ . We see that  $e(\mu)$  is a Riesz sequence from (2.3) and the known result which  $e(\lambda)$  given by (2.1) is a Riesz basis for  $1/4 < \alpha < 3/4$ . Moreover, it is a Riesz sequence by (2.3) and Kadec's 1/4-theorem for  $3/4 < \alpha < 1$ .  $\square$

From the above results, we have obtained the following results:

**Corollary 2.1** *Let  $e(\gamma)$  be a system given by (2.1) or (2.2), then  $e(\gamma)$  is either a Riesz sequence or not a basic sequence in  $L^2[-\pi, \pi]$ .*

Now we next consider the system  $e(\lambda)$ ,

$$\lambda_n = \begin{cases} n - \alpha_n, & n > 0, \\ n + \alpha_n, & n < 0, \end{cases} \quad (2.4)$$

for  $0 < \alpha_n < 1$ . The cases of  $\sup_n \alpha_n < 1/4$  and  $1/4 < \inf_n \alpha_n \leq \sup_n \alpha_n < 3/4$ ,  $3/4 < \inf_n \alpha_n$  are trivial by Kadec's 1/4-theorem, and so we deal with the case which the numbers  $\alpha_n$  behave the neighborhood of  $1/4$  or  $3/4$ .

**Theorem 2.1** *Let  $\alpha_n = 3/4 + \varepsilon_n$  or  $\alpha_n = 1/4 + \varepsilon_n$ . Then we obtain the following results for  $e(\lambda)$  given by (2.4):*

- (1) *If  $\varepsilon_n \rightarrow 0$  as  $n \rightarrow \pm\infty$ , then  $e(\lambda)$  is not a Riesz basis for  $L^2[-\pi, \pi]$ .*
- (2) *Furthermore, if  $\sum_n |\varepsilon_n| < \infty$ , then  $e(\lambda)$  is not a basis for  $L^2[-\pi, \pi]$ .*

*Proof.* First we consider the case of  $\alpha_n = 3/4 + \varepsilon_n$  in (2.4). Then

$$\lambda_n = \begin{cases} n - \frac{3}{4} - \varepsilon_n, & n > 0, \\ n + \frac{3}{4} + \varepsilon_n, & n < 0. \end{cases}$$

Now, if we take

$$\gamma_n = \begin{cases} n - \frac{3}{4}, & n > 0, \\ n + \frac{3}{4}, & n < 0, \end{cases}$$

$e(\gamma)$  is not a basis for  $L^2[-\pi, \pi]$  by (i) of Proposition 2.1.

We suppose  $\varepsilon_n \rightarrow 0$  as  $n \rightarrow \pm\infty$ . We refer to [RY, p. 107, Corollary] about the next argument. If  $e(\lambda)$  is a Riesz basis for  $L^2[-\pi, \pi]$ , then there exists a positive constant  $L$  by Theorem A such that if

$$|\lambda_n - \delta_n| \leq L \text{ for } \forall n,$$

$e(\delta)$  is also a Riesz basis for  $L^2[-\pi, \pi]$ . By the hypothesis, we can choose a positive integer  $n_0$  such that

$$|\lambda_n - \gamma_n| = |\varepsilon_n| \leq L \text{ for } \forall |n| \geq n_0.$$

Hence,

$$\{e^{i\lambda_n t}\}_{|n| < n_0} \cup \{e^{i\gamma_n t}\}_{|n| \geq n_0}$$

is a Riesz basis for  $L^2[-\pi, \pi]$ . Consequently, by Corollary 1.1,  $e(\gamma)$  is also a Riesz basis for  $L^2[-\pi, \pi]$ . This contradicts, hence  $e(\lambda)$  is not a Riesz basis for  $L^2[-\pi, \pi]$ .

Next we suppose  $\sum_n |\varepsilon_n| < \infty$ . If  $e(\lambda)$  is a basis for  $L^2[-\pi, \pi]$ , then  $e(\gamma)$  is also a basis for  $L^2[-\pi, \pi]$  by Theorem B. This contradicts, hence  $e(\lambda)$  is not a basis.

Second we consider the case of  $\alpha_n = 1/4 + \varepsilon_n$  in (2.4). Then

$$\lambda_n = \begin{cases} n - \frac{1}{4} - \varepsilon_n, & n > 0, \\ n + \frac{1}{4} + \varepsilon_n, & n < 0. \end{cases}$$

We suppose that  $e(\lambda)$  is a Riesz basis for  $L^2[-\pi, \pi]$ . Considering the isometric isomorphism

$$\phi(t) \mapsto e^{it/2}\phi(t),$$

it follows that  $e(\lambda^{(1)})$  is also a Riesz basis for  $L^2[-\pi, \pi]$ , where

$$\lambda_n^{(1)} = \begin{cases} n + \frac{1}{4} - \varepsilon_n, & n > 0, \\ n + \frac{3}{4} + \varepsilon_n, & n < 0. \end{cases}$$

Moreover, we rewrite

$$\lambda_n^{(1)} = (n+1) - \frac{1}{4} + \varepsilon_n, \quad n < 0,$$

and if we substitute 0 for  $\lambda_{-1}^{(1)}$ , we see that  $e(\lambda^{(2)})$  is also a Riesz basis for  $L^2[-\pi, \pi]$ , where

$$\lambda_n^{(2)} = \begin{cases} n + \frac{1}{4} - \varepsilon_n, & n > 0, \\ 0, & n = 0, \\ n - \frac{1}{4} + \varepsilon_{n-1}, & n < 0, \end{cases}$$

by Corollary 1.1. By the way, we know that  $e(\mu)$  is not a basis for the sequence  $\{\mu_n\}$  given by (1.2). Following the argument of the proof of the case  $\alpha_n = 3/4 + \varepsilon_n$  if  $\varepsilon_n \rightarrow 0$  as  $n \rightarrow \pm\infty$ , we see  $e(\mu)$  is a Riesz basis for  $L^2[-\pi, \pi]$ . This contradicts, hence  $e(\lambda)$  is not a Riesz basis for  $L^2[-\pi, \pi]$ . Next we suppose  $\sum_n |\varepsilon_n| < \infty$ . If  $e(\lambda)$  is a basis, then  $e(\lambda^{(2)})$  is also a basis. Now, by the same argument as the one used in the case  $\alpha_n = 3/4 + \varepsilon_n$ , it follows that  $e(\mu)$  is also a basis. This contradicts too, hence  $e(\lambda)$  is not a basis.  $\square$

### 3. Some problems

From the examination until now, we have some problems. We suppose that

$$\varepsilon_n \rightarrow 0 \text{ as } n \rightarrow \pm\infty \quad \text{and} \quad \sum_n |\varepsilon_n| = \infty$$

for  $\alpha_n = 3/4 + \varepsilon_n$  in (2.4). Then, we have some questions, i.e., does  $e(\lambda)$  become a basis for  $L^2[-\pi, \pi]$  or a basic sequence?

We write

$$\lambda_n = \begin{cases} n - \alpha_n = (n-1) + \frac{1}{4} - \varepsilon_n, & n > 0, \\ n + \alpha_n = (n+1) - \frac{1}{4} + \varepsilon_n, & n < 0. \end{cases}$$

And let  $\lambda' = \{\lambda'_n\}$ ,



$$\lambda'_n = \begin{cases} n + \frac{1}{4} - \varepsilon_n, & n > 0, \\ 0, & n = 0, \\ -\lambda'_{-n}, & n < 0, \end{cases} \quad (3.1)$$

then, we have  $E(\lambda - \{\lambda_1\}) = E(\lambda')$  and the basis property of  $e(\lambda - \{\lambda_1\})$  is same as the one of  $e(\lambda')$  by Corollary 1.1.

1. The case that  $e(\lambda')$  is complete.

As

$$E(\lambda - \{\lambda_1\}) = E(\lambda') \geq 0,$$

we have  $E(\lambda) \geq 1$ , hence  $e(\lambda)$  is not a basis for  $L^2[-\pi, \pi]$ . This can happen if

$$\sum_n \frac{|\varepsilon_n|}{|n| + 1} < \infty \quad (3.2)$$

by [R, p. 45].

2. The case that  $e(\lambda')$  is not complete.

Redheffer and Young have given the next example of the sequence  $\{\varepsilon_n\}$  which does not satisfy (3.2):

**Theorem D** (see [RY, Theorem 3]) *Let*

$$\mu_n = \begin{cases} 0, & n = 0, \\ 1, & n = 1, \\ n + \frac{1}{4} + \frac{\beta}{\log n}, & n \geq 2 \\ -\mu_{-n}, & n < 0, \end{cases} \quad (3.3)$$

*then  $e(\mu)$  is complete in  $L^2[-\pi, \pi]$  if  $0 \leq \beta \leq 1/4$  and not if  $\beta > 1/4$ .*

More precisely, by [R, Theorem 47] and [FNR], we have  $E(\mu) = 0$  for  $0 \leq \beta \leq 1/4$  and  $E(\mu) = -1$  for  $\beta > 1/4$ .

**Problem 3.1** We raise the next problems:

- (i) Is the system  $e(\mu)$  in Theorem D basis for  $0 < \beta \leq 1/4$ ?
- (ii) Is the system  $e(\mu)$  in Theorem D basic sequence for  $\beta > 1/4$ ?

Moreover we have the problem which is equivalent to the above problem (ii):

Let

$$\gamma_1 = \frac{1}{4}, \quad \gamma_{-1} = -\gamma_1$$

and

$$\gamma_n = \begin{cases} n - \frac{3}{4} + \frac{\beta}{\log n}, & n \geq 2, \\ -\gamma_{-n}, & n \leq -2, \end{cases}$$

then is the system  $e(\gamma)$  basis for  $L^2[-\pi, \pi]$  for  $\beta > 1/4$ ?

In (3.3), if we replace “ $n + 1/4$ ” with “ $n + 1/4 - \varepsilon$ ”, where  $\varepsilon$  is any small positive number, then the above problems are trivial by Kadec’s  $1/4$ -theorem.

#### 4. Complements of complex exponential systems

In this section, we show that every incomplete complex exponential system satisfying some condition can be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$ . We have the following result.

**Theorem 4.1** *Let  $\{\delta_n\}$  be a real sequence such that*

$$1 \leq \delta_1, \quad \delta_n \leq \delta_{n+1}$$

and

$$\lim_{n \rightarrow \infty} \delta_n = \infty.$$

If  $\lambda \equiv \{\lambda_n\}$  is a sequence where

$$\lambda_0 = 0, \quad \lambda_n = n + \delta_n, \quad \lambda_{-n} = -\lambda_n \quad (n = 1, 2, \dots),$$

then the system  $e(\lambda) \equiv \{e^{i\lambda_n t}\}$  has the excess  $E(\lambda) = -\infty$  in  $L^2[-\pi, \pi]$  and  $e(\lambda)$  can be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$ .

*Proof.* We may choose  $\mu = \{\mu_n\}$  such that  $\lambda \subset \mu$  and  $e(\mu) \equiv \{e^{i\mu_n t}\}$  is complete and it has a finite excess in  $L^2[-\pi, \pi]$ .

Firstly, we choose a positive integer  $k_1$  such that

$$k_1 \leq \delta_1 < k_1 + 1.$$

Then we take

$$\mu_0 = 0, \mu_1 = 1, \dots, \mu_{k_1+1} = k_1 + 1.$$

Moreover, we define

$$\mu_{k_1+2} = \begin{cases} k_1 + 2, & \delta_1 = k_1, \\ \lambda_1, & \delta_1 \neq k_1. \end{cases}$$

Generally we choose a positive integer  $k_j$  for  $j \geq 2$  such that

$$k_j \leq \delta_j < k_j + 1.$$

If  $k_j = k_{j-1} + \ell$  ( $1 \leq \ell \leq k_j - k_{j-1}$ ), we take

$$\begin{aligned} \mu_{k_j+j} &= k_j + j \\ \mu_{k_j+(j-1)} &= k_j + (j-1) \\ &\vdots \\ \mu_{k_j+(j+1-\ell)} &= k_j + (j+1-\ell), \end{aligned}$$

and

$$\mu_{k_j+(j+1)} = \begin{cases} k_j + (j+1), & \delta_j = k_j, \\ \lambda_j, & \delta_j \neq k_j. \end{cases}$$

Next if  $k_{j-1} = k_j$ , we take

$$\mu_{k_j+(j+1)} = \mu_{k_{j-1}+(j+1)} = \begin{cases} k_j + (j+1), & \delta_j = k_j, \\ \lambda_j, & \delta_j \neq k_j. \end{cases}$$

Finally let  $\mu_{-n} = -\mu_n$ . Thus we choose the sequence  $\mu = \{\mu_n\}$ .

For  $t > 1$ , we denote by  $n(t)$  and  $n_1(t)$  the number of integers  $n$  inside the interval  $|x| \leq t$  and the number of points  $\mu_n$  inside the interval  $|x| \leq t$ , respectively. From the definition of the sequence  $\{\mu_n\}$ , we have

$$n_1(t) \geq n(t),$$

and hence, we see by [Y4, pp. 99~100, Theorem 3, 4] that  $e(\mu)$  is complete in  $L^2[-\pi, \pi]$ , i.e.  $E(\mu) \geq 0$ . Besides, since  $k_j \leq \delta_j < k_j + 1$ ,  $\lambda_j = j + \delta_j$ , we have

$$k_j + j \leq \lambda_j < k_j + (j+1).$$

Therefore we see that

$$n - 1 \leq \mu_n \leq n \quad \text{for } \forall n \geq 1$$

hold. Since  $\mu_{-n} = -\mu_n$ , the inequalities

$$|\mu_n - n| \leq 1 \quad \text{for } \forall n$$

hold. Applying Theorem C, we conclude that

$$E(\mu) \leq 4,$$

consequently

$$0 \leq E(\mu) \leq 4.$$

Hence we can reduce  $e(\mu)$  to a complete and minimal system. Thus  $e(\lambda)$  has the excess  $E(\lambda) = -\infty$  in  $L^2[-\pi, \pi]$  and it can be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$ .  $\square$

**Remark 4.1** The author does not know whether the system  $e(\lambda)$  in Theorem 4.1 is always a Riesz sequence or not. But some examples of Riesz sequences for  $L^2[-\pi, \pi]$  seen so far satisfy the condition in Theorem 4.1 as shown by the examples in the next section.

## 5. Examples and remark

The first example is given in [S, Theorem 2.8] as an example of a Riesz sequence of complex exponentials which it cannot be complemented up to a Riesz basis of complex exponentials.

**Example 5.1** Let  $\lambda = \{\pm(n + \sqrt{n})\}_{n>1}$  and  $e(\lambda) \equiv \{e^{\pm i(n+\sqrt{n})t}\}_{n>1}$ .

If we take  $\delta_n = \sqrt{n}$  in Theorem 4.1, then we see that the system  $e(\lambda)$  can be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$ .

Next we deal with the next example. We may refer to [Y4, p. 136, Theorem 5 and p. 138, Theorem 6].

**Example 5.2** Let  $\lambda = \{\lambda_n\}$  be a sequence of real numbers such that

$$\begin{aligned} \lambda_{n+1} - \lambda_n &\geq \gamma > 1 \quad (n = 0, 1, 2, \dots), \\ \lambda_{-n} &= -\lambda_n \quad (n = 0, 1, 2, \dots). \end{aligned}$$

Then  $e(\lambda) \equiv \{e^{i\lambda_n t}\}$  is a Riesz sequence which has the excess  $E(\lambda) = -\infty$  in  $L^2[-\pi, \pi]$ . Now we can write

$$\lambda_{n+1} - \lambda_n = 1 + \varepsilon_n, \quad \varepsilon_n \geq \varepsilon > 0 \quad (n = 0, 1, 2, \dots).$$

So we have

$$\lambda_n = n + \sum_{k=0}^{n-1} \varepsilon_k, \quad n \geq 1.$$

If we take

$$\delta_n = \sum_{k=0}^{n-1} \varepsilon_k, \quad n \geq 1,$$

there exists a positive integer  $n_0$  such that  $\delta_{n_0} \geq 1$ . We see by Theorem 4.1 that  $e(\lambda') \equiv \{e^{i\lambda_n t}\}_{|n| \geq n_0}$  can be complemented up to a complete and minimal system of complex exponentials. Consequently, by [L, p. 7, Theorem 6], the system  $e(\lambda)$  can also be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$ .

**Remark 5.1** Let  $n^+(r)$  denote the largest number of points from  $\lambda$  to be found in an interval of length of  $r$  (see [S, p. 133]) and we define

$$D^+(\lambda) = \lim_{r \rightarrow \infty} \frac{n^+(r)}{r}.$$

Then K. Seip has proved in [S, Theorem 2.2] that if  $e(\lambda)$  is a Riesz sequence, we have  $D^+(\lambda) \leq 1$ . Moreover, he has proved in [S, Theorem 2.4] that if  $\lambda$  satisfies  $D^+(\lambda) < 1$ ,  $e(\lambda)$  can be complemented up to a Riesz basis of complex exponentials in  $L^2[-\pi, \pi]$ . Consequently, the problem is whether every Riesz sequence  $e(\lambda)$  satisfying  $D^+(\lambda) = 1$  can be complemented up to a complete and minimal system of complex exponentials in  $L^2[-\pi, \pi]$ . If, in Theorem 4.1,

$$\lim_{n \rightarrow \infty} \frac{\delta_n}{n} = 0,$$

then we obtain  $D^+(\lambda) = 1$ .

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