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# On glueings of prime ideals

Dedicated to Professor Yoshikazu Nakai on his sixtieth birthday

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In the article [11] Traverso defined the notion of seminormal subrings of a commutative ring and developed an interesting theory on such subrings. In particular, to study the structure of seminormal subrings of a noetherian ring, he used a nice method by which a subring A' is constructed from a ring B by glueing prime ideals  $p_1, \ldots, p_n$  of B lying over a prime ideal p of a subring A of B (for the precise definition see § 1). Such a subring A' is called the ring obtained from B by glueing over p, or simply a glueing of prime ideals  $p_1, \ldots, p_n$ . Traverso showed that any seminormal subring A in a noetherian ring B is obtained from B by a finite number of glueings, if B is a finite A-module (cf. Theorem 2.1 in [11]).

The aim of this paper is to show some results on glueings of prime ideals in the above sense. In §1 we give a necessary and sufficient condition for a finite number of prime ideals  $p_1, \dots, p_n$  of a noetherian ring B to be glued. In other words, we give a condition under which there is a subring A of B such that B is a finite A-module and that  $p_1, \dots, p_n$  are the prime ideals of B lying over a prime ideal p of A. Moreover we investigate when the ring A' obtained from B by glueing over p coincides with A. Although Pedrini [8] and Tamone [10] have already attacked the same problem, the rings treated by them are very special ones. So, in §2, we apply our results in §1 to these special cases and show how our results work there in a unified way. Next, in § 3, we show that Serre's property  $(S_2)$  goes down from a noetherian ring B to a glueing A of prime ideals of height 1. This result also has been shown by Pedrini [8] in very special cases of integral domains, but we give a complete proof of this without any assumption. Moreover we show that if A is a glueing of prime ideals  $p_1, ..., p_n$  of a noetherian ring B one of which has height >1 and if any  $p_i$  contains a regular element of B, then A does not have the property  $(S_2)$  or A coincides with B. In the last section we study local rings which are glueings of maximal ideals of semilocal rings. When A is a local subring of a semilocal ring B which is finite over A, we give several conditions for A to be a glueing of maximal ideals of B. In particular a condition for A to be such a glueing of a regular semilocal ring B will be given in terms of multiplicity of A and the conductor of A in B in the case where A is the locality of a closed point of an algebraic variety.

All the rings in this paper are commutative with unit.

## §1. A condition for prime ideals to be glued

First we shall recall some basic results on glueing of a noetherian ring B over a prime ideal of a subring of B. Let A be a noetherian ring and B an overring of A such that B is a finite A-module. Let p be a prime ideal of A, and denote by  $\kappa(p)$  the quotient field of A/p. Then Traverso [11] proved the existence of the largest subring A' of B containing A satisfying the following conditions:

- i) There is exactly one prime ideal p' of A' lying over p.
- ii) The quotient field  $\kappa(\mathfrak{p}')$  of  $A'/\mathfrak{p}'$  is isomorphic to  $\kappa(\mathfrak{p})$  by the canonical homomorphism  $\kappa(\mathfrak{p}) \rightarrow \kappa(\mathfrak{p}')$ .

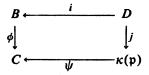
This largest subring A' of B is called the ring obtained from B by glueing over p.

LEMMA 1. Let A, B and p be as above, and let  $p_1,..., p_n$  be the prime ideals of B lying over p. Let  $\kappa(p_i)$  be the quotient field of  $B/p_i$ , and let  $\pi_i$  and  $w_i$  be the canonical homomorphisms  $B \rightarrow B/p_i$  and  $\kappa(p) \rightarrow \kappa(p_i)$ , respectively, for each i. If A' is a subring of B, then the followings are equivalent:

- (i) A' is the ring obtained from B by glueing over p.
- (ii) A' is the subring of B consisting of all  $b \in B$  satisfying the followings:
  - a)  $\pi_i(b)$  belongs to  $w_i(\kappa(p))$  for each *i*.
  - b)  $w_i^{-1}(\pi_i(b)) = w_j^{-1}(\pi_j(b))$  for any *i* and *j*.

The proof is easy and given in [11], p. 588.

Next we give another characterization of A'. For this purpose put  $C = \kappa(\mathfrak{p}_1) \times \cdots \times \kappa(\mathfrak{p}_n)$  and let  $\rho_i$  be the canonical projection of C to  $\kappa(\mathfrak{p}_i)$ . Let  $\phi$  be the homomorphism of B to C such that  $\rho_i \phi$  is the canonical homomorphism  $B \rightarrow B/\mathfrak{p}_i \rightarrow \kappa(\mathfrak{p}_i)$ , and let  $\psi$  be the homomorphism of  $\kappa(\mathfrak{p})$  to C such that  $\rho_i \psi$  is  $w_i$ . Let the following diagram be the pullback one of commutative rings with respect to  $\phi$  and  $\psi$ :



Then we have the following

LEMMA 2. The homomorphism i is injective, and the image i(D) is the ring A' obtained from B by glueing over p.

**PROOF.** Since  $\psi$  is injective, so is *i* clearly. Now, by definition, *D* consists of the elements  $(b, \alpha)$  of  $B \times \kappa(p)$  such that  $\phi(b) = \psi(\alpha)$ . If *b* is an element of *A'*, then  $\pi_i(b)$  is an element of  $w_i(\kappa(p))$  and  $\alpha = w_i^{-1}(\pi_i(b))$  is independent of *i* by

Lemma 1. Then it is easy to see that  $\phi(b) = \psi(\alpha)$ , and hence A' is contained in i(D). Conversely let b be an element of i(D). If  $i((b, \alpha)) = b$ , then we have  $\phi(b) = \psi(\alpha)$ . This means that  $w_i(\alpha) = \pi_i(b)$  for each i, and hence b belongs to A' by Lemma 1. q.e.d.

In the following we may identify A' with D by the injection i.

Now let B be a noetherian ring and let  $p_1,..., p_n$  be prime ideals of B. Then we say that  $(B; p_1,..., p_n)$  can be glued over a subring A of B, if B is a finite A-module and if there is a prime ideal p of A such that  $p_1,..., p_n$  are the prime ideals of B lying over p. By Nagata-Eakin's Theorem (cf. [3]) A is necessarily noetherian. The following proposition gives a condition for  $(B; p_1,..., p_n)$  to be glued.

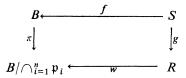
**PROPOSITION 1.** Let B be a noetherian ring of finite dimension and let  $\mathfrak{p}_1, \ldots, \mathfrak{p}_n$  be prime ideals of B. Then  $(B; \mathfrak{p}_1, \ldots, \mathfrak{p}_n)$  can be glued over a subring of B if and only if the followings are satisfied:

(i) dim  $B/\mathfrak{p}_i = \dim B/\mathfrak{p}_i$  for any *i* and *j*.

(ii) There is an injective homomorphism w from an integral domain R to the residue ring  $B | \bigcap_{i=1}^{n} \mathfrak{p}_i$  such that  $B | \bigcap_{i=1}^{n} \mathfrak{p}_i$  is a finite R-module.

**PROOF.** First assume that  $(B; p_1, ..., p_n)$  can be glued over a subring A of B. If  $\mathfrak{p}=A\cap\mathfrak{p}_i$ , then we see  $\mathfrak{p}=(\bigcap_{i=1}^n\mathfrak{p}_i)\cap A$ . Put  $R=A/\mathfrak{p}$  and let w be the canonical homomorphism  $R = A/\mathfrak{p} \rightarrow B/ \bigcap_{i=1}^{n} \mathfrak{p}_{i}$ . Then it is clear that R and w satisfy the assertion (ii) of Proposition 1. On the other hand, we see dim  $B/p_i$ =dim R, because we have  $p_i \cap A = p$  and B is a finite A-module. This means that the assertion (i) of Proposition 1 is satisfied. Conversely assume that the assertions (i) and (ii) are satisfied. Let  $\pi$  be the canonical homomorphism  $B \rightarrow B / \bigcap_{i=1}^{n} \mathfrak{p}_{i}$ . Denote by A the inverse image  $\pi^{-1}(w(R))$  of w(R). Then it is easy to see that A is a subring of B with a prime ideal  $\bigcap_{i=1}^{n} \mathfrak{p}_{i}$ , and that  $A / \bigcap_{i=1}^{n} \mathfrak{p}_{i}$ is isomorphic to R. Since  $B/\bigcap_{i=1}^{n} \mathfrak{p}_i$  is a finite  $A/\bigcap_{i=1}^{n} \mathfrak{p}_i$ -module, B is a finite A-module. If q is a prime ideal of B such that  $q \cap A = \bigcap_{i=1}^{n} p_i$ , we have  $q \supset p_i$ for some j. Since  $\bigcap_{i=1}^{n} \mathfrak{p}_i = \mathfrak{q} \cap A \supset \mathfrak{p}_i \cap A \supset \bigcap_{i=1}^{n} \mathfrak{p}_i$ , we see  $\mathfrak{q} \supset A = \mathfrak{p}_i \cap A$ . This means  $q = p_i$ , because B is integral over A. Since  $B / \bigcap_{i=1}^{n} p_i$  is integral over  $A/\bigcap_{i=1}^{n}\mathfrak{p}_{i}$ , we have dim  $A/\bigcap_{i=1}^{n}\mathfrak{p}_{i} = \dim B/\mathfrak{p}_{i}$  for some j, and hence dim  $A/\bigcap_{i=1}^{n} \mathfrak{p}_i = \dim B/\mathfrak{p}_h$  for any h by our assumption (i). Therefore we see dim  $A/A \cap p_h = \dim B/p_h = \dim A/\bigcap_{i=1}^n p_i$  and hence we have  $p_h \cap A = \bigcap_{i=1}^n p_i$  for any h. This means that  $p_1, ..., p_n$  are the prime ideals of B lying over the prime ideal  $\bigcap_{i=1}^{n} \mathfrak{p}_i$  of A. q.e.d.

**REMARK** 1. Let B,  $p_1, ..., p_n$ , R, w and  $\pi$  be as above and consider the pullback diagram of commutative rings with respect to  $\pi$  and w:



Then we see easily that  $A = \pi^{-1}(w(R))$  is isomorphic to S by the homomorphism f.

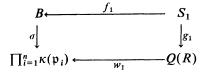
REMARK 2. If there is a subring C of B such that  $\pi(C) = w(R)$ , then  $(B;\mathfrak{p}_1,...,\mathfrak{p}_n)$  can be glued over  $C + \bigcap_{i=1}^n \mathfrak{p}_i$  of B. In fact it is clear that  $A = \pi^{-1}(w(R))$  is equal to  $C + \bigcap_{i=1}^n \mathfrak{p}_i$ . In particular if  $(B;\mathfrak{p}_1,...,\mathfrak{p}_n)$  can be glued over a subring C of B, then it can be also glued over  $C + \bigcap_{i=1}^n \mathfrak{p}_i$ .

In the proof of Proposition 1 we have obtained a subring  $A = \pi^{-1}(w(R))$  of *B* from given *R* and *w*, which we shall denote by  $A_R$  in the following. Moreover let  $A'_R$  be the ring obtained from *B* by glueing over  $\mathfrak{p}_i \cap A_R$ . We call  $A'_R$  a glueing of  $(B; \mathfrak{p}_1, \ldots, \mathfrak{p}_n)$ . Assuming that the assertions (i) and (ii) of Proposition 1 are satisfied, we denote by  $\sigma$  the composition of canonical homomorphisms

$$B \xrightarrow{\kappa} B/ \bigcap_{i=1}^{n} \mathfrak{p}_i \longrightarrow \prod_{i=1}^{n} B/\mathfrak{p}_i \longrightarrow \prod_{i=1}^{n} \kappa(\mathfrak{p}_i).$$

On the other hand we may identify the quotient field Q(R) of R with a subfield of the total quotient ring  $Q(B/\bigcap_{i=1}^{n}\mathfrak{p}_i)=\prod_{i=1}^{n}\kappa(\mathfrak{p}_i)$  by a canonical homomorphism  $w_1$  obtained from w, because the image of a non-zero element of R by w is not a zero-divisor of  $B/\bigcap_{i=1}^{n}\mathfrak{p}_i$ .

**PROPOSITION 2.** Assume that the assertions (i) and (ii) of Proposition 1 are satisfied and let the following diagram



be the pullback one with respect to  $\sigma$  and  $w_1$ . Then  $A'_R$  is isomorphic to  $S_1$  by the homomorphism  $f_1$ .

**PROOF.** Since R is isomorphic to  $\pi(A_R) = A_R / \bigcap_{i=1}^n \mathfrak{p}_i$  as seen in the proof of **Proposition 1**, this is a direct consequence of Lemma 2. q.e.d.

THEOREM 1. Let the notations be as above and assume that the assertions (i) and (ii) of Proposition 1 are satisfied. Then  $A_R$  coincides with  $A'_R$  if and only if we have  $w_1(R) = \sigma(B) \cap w_1(Q(R))$ . In particular if R is a normal domain,  $A_R$  is a glueing of  $(B; p_1, ..., p_n)$ .

**PROOF.** First assume that  $A_R = A'_R$ . Then it is sufficient to show that  $w_1(R)$ 

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 $\supset \sigma(B) \cap w_1(Q(R))$ . Let x be an element of  $\sigma(B) \cap w_1(Q(R))$ . Since  $S_1$  is isomorphic to the subring of  $B \times Q(R)$  consisting of the elements (b, r) satisfying  $\sigma(b) = w_1(r)$ , there exists an element y in  $S_1$  such that  $x = \sigma f_1(y) = w_1g_1(y)$ . By our assumption,  $f_1(y)$  is an element of  $A_R = A'_R = f_1(S_1)$  and hence we see easily by Remark 1 that  $g_1(y) = g(y)$  is in R. This means that  $x = w_1g_1(y)$  is contained in  $w_1(R)$ .

Conversely assume that  $w_1(R) = \sigma(B) \cap w_1(Q(R))$ . If y is an element of  $S_1$ ,  $x = \sigma f_1(y) = w_1 g_1(y)$  is in  $\sigma(B) \cap w_1(Q(R))$  and hence in  $w_1(R)$ . Therefore from definition of  $\pi$ ,  $\sigma$ , w and  $w_1$  it is easy to see that there is an element z in  $B/\bigcap_{i=1}^{n} \mathfrak{p}_i$ whose image in  $\prod_{i=1}^{n} \kappa(\mathfrak{p}_i)$  by the canonical injection  $B/\bigcap_{i=1}^{n} \mathfrak{p}_i \to \prod_{i=1}^{n} \kappa(\mathfrak{p}_i)$  is x, and that z is in  $\pi(B) \cap w(R)$ . This means that there is an element s in S such that  $wg(s) = \pi f(s) = z$  in the diagram of Remark 1. Since  $\pi f(s) = \pi f_1(y) = z$ ,  $f(s) - f_1(y)$  is in  $\bigcap_{i=1}^{n} \mathfrak{p}_i$ . Since  $A_R$  contains  $\bigcap_{i=1}^{n} \mathfrak{p}_i$ , this means, from Lemma 2 and Proposition 2, that  $A_R$  coincides with  $A'_R$ . Lastly assume that R is an integral domain. Since  $B/\bigcap_{i=1}^{n} \mathfrak{p}_i$  is integral over w(R),  $\sigma(B)$  is integral over  $w_1(R)$ . Therefore  $\sigma(B) \cap w_1(Q(R))$  must be  $w_1(R)$  and hence we have  $A_R = A'_R$ . q.e.d.

#### §2. Examples

(1) Let k be a field and let B be a finitely generated k-algebra. Let  $\mathfrak{p}_1, \ldots, \mathfrak{p}_n$  be prime ideals of B. Then  $(B; \mathfrak{p}_1, \ldots, \mathfrak{p}_n)$  can be glued over a subring of B if and only if we have dim  $B/p_i = \dim B/p_i$  for any i and j. In fact  $B/\bigcap_{i=1}^{n} \mathfrak{p}_{i}$  is also a finitely generated k-algebra, and hence, from Noether's normalization lemma (cf. [1], Chap. 5, § 3, Th. 1), there is a subring R of  $B/\bigcap_{i=1}^{n} \mathfrak{p}_i$ isomorphic to a polynomial ring over k such that  $B/\bigcap_{i=1}^{n}\mathfrak{p}_{i}$  is a finite R-module. Therefore  $(B; p_1, ..., p_n)$  can be glued over a subring  $A_R$  of B by Proposition 1, and moreover we have  $A_R = A'_R$  by Theorem 1. In particular if we choose algebraically independent elements  $z_1, ..., z_d$  of B over k such that B is integral over  $k[z_1,...,z_d]$  and that we have  $(\bigcap_{i=1}^n \mathfrak{p}_i) \cap k[z_1,...,z_d] = (z_1,...,z_s)$ , then  $A = k'[z_1, ..., z_s] + \bigcap_{i=1}^n \mathfrak{p}_i$  for any subfield k' of k such that  $[k: k'] < \infty$  is a glueing of  $(B; p_1, ..., p_n)$ . This is the main result of Tamone [10] and follows easily from Proposition 1, Remark 2 and Theorem 1 by using the fact that  $B/\bigcap_{i=1}^{n}\mathfrak{p}_{i}$ is integral over a subring isomorphic to  $k'[z_1,...,z_d]/(z_1,...,z_s) \cong k'[z_{s+1},...,z_d]$ . Moreover A is a finitely generated k'-algebra by Lemma 9, in [1], Chap. 5, §1, no. 9.

(2) Let k be a valued field and let B be an analytic k-algebra. Let  $\mathfrak{p}_1, \ldots, \mathfrak{p}_n$  be prime ideals of B. Then  $(B; \mathfrak{p}_1, \ldots, \mathfrak{p}_n)$  can be glued over a subring of B if and only if we have dim  $B/\mathfrak{p}_i = \dim B/\mathfrak{p}_j$  for any i and j. This follows also from an analogous result for analytic k-algebras to Noether's normalization lemma for finitely generated algebras over a field. Precisely,  $B/\bigcap_{i=1}^n \mathfrak{p}_i$  contains a regular local ring over which it is a finite module (cf. Sätze 3, 4 in Kap. II, §5)

of [5]).

(3) Let B be a noetherian ring, and let  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$  be prime ideals of B which may be equal to each other. Let  $\pi_i$  be the canonical homomorphism  $B \rightarrow B/\mathfrak{p}_i$  for i=1, 2. Let  $\phi$  be an isomorphism of  $B/\mathfrak{p}_1$  onto  $B/\mathfrak{p}_2$  and let A be the subring of B consisting of the elements b in B such that  $\phi\pi_1(b) = \pi_2(b)$ . Assume that  $B/\mathfrak{p}_1$  is a finite A-module by the canonical homomorphism  $A \subseteq B$  $\rightarrow B/\mathfrak{p}_1$ . Then A is a glueing of  $(B; \mathfrak{p}_1, \mathfrak{p}_2)$ .

**PROOF.** It is easy to see  $A \cap p_1 = A \cap p_2 = p_1 \cap p_2$ , which we denote by p. Since  $B/p_1$  is a finite A-module, so is  $B/p_2$ . Therefore we have

$$B = Ab_1 + \dots + Ab_s + \mathfrak{p}_1 = Ab'_1 + \dots + Ab'_s + \mathfrak{p}_2$$

for some elements  $b_i$  and  $b'_j$  in B. Now let  $p_1$  be generated by  $x_1, \dots, x_i$ . If b is an element of B, we see

$$b = a_1b_1 + \dots + a_sb_s + c_1x_1 + \dots + c_tx_t$$

for some elements  $a_i$  in A and  $c_j$  in B. Moreover there are elements  $a_{ij}$  in A and  $y_i$  in  $\mathfrak{p}_2$  for  $1 \le i \le t$  and  $1 \le j \le s$  such that

$$c_i = a_{i1}b'_1 + \dots + a_{is}b'_s + y_i.$$

This means that we have

$$b = a_1 b_1 + \dots + a_s b_s + \sum_{i,j} a_{ij} b'_j x_i + \sum_i y_i x_i$$

and hence

$$B = A + Ab_1 + \dots + Ab_s + \sum_{i,i} Ab'_i x_i,$$

because  $\sum_i y_i x_i$  is an element of  $\mathfrak{p}_1 \cap \mathfrak{p}_2$  which is contained in A. In particular if we put  $R = A/\mathfrak{p}$ , then  $B/\mathfrak{p}_1 \cap \mathfrak{p}_2$  contains a subring isomorphic to R over which it is a finite module. Therefore  $(B; \mathfrak{p}_1, \mathfrak{p}_2)$  can be glued over A by Proposition 1 and Remark 2. Next let  $\phi^*$  be the isomorphism of  $\kappa(\mathfrak{p}_1)$  onto  $\kappa(\mathfrak{p}_2)$  obtained from  $\phi$  naturally and  $h_i$  the canonical injection of  $\kappa(\mathfrak{p}) = Q(A/\mathfrak{p}) = Q(R)$  into  $\kappa(\mathfrak{p}_i)$ for i=1, 2. Let  $\sigma$  and  $w_1$  be as in Proposition 2 and Theorem 1, and let  $\rho_i$  be the canonical projection of  $\kappa(\mathfrak{p}_1) \times \kappa(\mathfrak{p}_2)$  for i=1, 2. Then if we have  $\sigma(b) = w_1(x)$ for  $b \in B$  and  $x \in Q(R)$ , we see, from  $h_2 = \phi^* h_1$ ,  $\pi_i = \rho_i \sigma$  and  $h_i = \rho_i w_1$ ,

$$\phi \pi_1(b) = \phi^* \pi_1(b) = \phi^* \pi_1 \sigma(b) = \phi^* \rho_1 w_1(x)$$
$$= \phi^* h_1(x) = h_2(x) = \rho_2 w_1(x) = \rho_2 \sigma(b) = \pi_2(b)$$

and hence b is an element of A. This means that  $\sigma(b) = w_1(x)$  belongs to  $\sigma(A) = w_1(R)$  and hence that we have  $w_1(R) = \sigma(B) \cap w_1(Q(R))$ . By Theorem 1 and

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Remark 2 our assertion follows from this and the fact that A contains  $p = p_1 \cap p_2$ . q.e.d.

**REMARK 3.** Pedrini treated some special cases of the above example (3) in Theorems 1 and 3 of his paper [8].

#### §3. Property $(S_2)$ and glueings

Let A be a noetherian ring. Then we recall that A has Serre's property  $(S_2)$  if and only if Ass(A) and Ass(A/fA) for any regular element f of A have no embedded prime ideals. First we show a lemma giving a condition for a noetherian ring with  $(S_1)$  to have  $(S_2)$ , which is due to S. Itoh and essential in later discussion.

LEMMA 3. Let A be a noetherian ring whose prime ideals of height  $\geq 1$  contain regular elements. Let  $A^{(1)}$  be the set of elements z in the total quotient ring Q(A) of A such that any prime ideal of A containing the ideal  $A:_A z$  is of height  $\geq 2$ . Then A has  $(S_2)$  if any only if  $A = A^{(1)}$ .

**PROOF.** First assume that A has  $(S_2)$ . By definition A is contained in  $A^{(1)}$ . If  $A^{(1)}$  contains an element z = a/b not belonging to A where a and b are in A and b is regular, then any minimal prime divisor p of  $A_{:A}z = bA_{:A}a$  is of height  $\geq 2$ . Moreover we see depth  $A_p = 1$ , because  $pA_p$  is the radical ideal of  $(bA_{:A}a)A_p$ . On the other hand we have depth  $A_p \geq \inf (2, \operatorname{ht} A_p) \geq 2$  from  $(S_2)$ . This is a contradiction. Therefore we see that  $A = A^{(1)}$ . Conversely assume that A does not have  $(S_2)$ . Then there exists a prime ideal p of A such that depth  $A_p \leq 1$  and  $\operatorname{ht} A_p \geq 2$ , because A has  $(S_1)$ . If b is a regular element in p, the image  $\overline{b}$  of b in  $A_p$  is also regular in  $A_p$ . Therefore we see depth  $A_p = 1$ . This means easily that  $pA_p$  is an element of  $\operatorname{Ass}_{Ap}(A_p/\overline{b}A_p)$  and hence that p is an element of  $\operatorname{Ass}_A(A/bA)$  by Lemma (7.C) in [6]. Therefore there exists an element a in A such that  $bA_{:A}a = A_{:A}a/b = p$ . Then a/b belongs to  $A^{(1)}$  from the fact that  $\operatorname{ht}(p) \geq 2$ . Moreover a/b is not an element of A by  $p = A_{:A}a/b$ . So A does not coincides with  $A^{(1)}$ .

Now we give the following theorem which includes Theorems 2 and 4 of Pedrini [8] as special cases.

THEOREM 2. Let B be a noetherian ring and let  $\mathfrak{p}_1,...,\mathfrak{p}_n$  be prime ideals of B. Let A be a glueing of  $(B; \mathfrak{p}_1,...,\mathfrak{p}_n)$  and put  $\mathfrak{p} = A \cap \mathfrak{p}_i$ . Then we have the followings:

(i) If any  $\mathfrak{p}_i$  is of height 1 for i=1,...,n and if B has  $(S_2)$ , then A has also  $(S_2)$ . (ii) Assume that A is not equal to B. If any  $\mathfrak{p}_i$  contains a regular element of B for i=1,...,n, then  $A_\mathfrak{p}$  is of depth 1. Furthermore if some  $\mathfrak{p}_i$  is of height >1, then A does not have  $(S_2)$ .

**PROOF.** (i) First we show that ht(p)=1. It is clear that  $ht(p) \ge 1$ , because ht  $(\mathfrak{p}_i)=1$ . If ht  $(\mathfrak{p})\geq 2$ , there are prime ideals  $\mathfrak{q}_1$  and  $\mathfrak{q}_2$  of A such that  $\mathfrak{p} \supseteq \mathfrak{q}_1$  $\supseteq q_2$ . Then, by Going-up theorem, there are three prime ideals  $\mathfrak{P}$ ,  $\mathfrak{Q}_1$  and  $\mathfrak{Q}_2$ of B such that  $\mathfrak{P} \supseteq \mathfrak{Q}_1 \subseteq \mathfrak{Q}_2$ ,  $\mathfrak{P} \cap A = \mathfrak{p}$ ,  $\mathfrak{Q}_1 \cap A = \mathfrak{q}_1$  and  $\mathfrak{Q}_2 \cap A = \mathfrak{q}_2$ . Since  $\mathfrak{P}$ is one of  $\mathfrak{p}_1, \ldots, \mathfrak{p}_n, \mathfrak{P}$  is of height 1. This is a contradiction. Now let  $\mathfrak{Q}$  be a prime ideal of B and put  $q = \mathfrak{Q} \cap A$ . Then we see that  $ht(\mathfrak{Q}) \ge 2$  if any only if ht  $(q) \ge 2$ . In fact if  $\mathfrak{Q}$  contains  $\mathfrak{p} = \bigcap_{i=1}^{n} \mathfrak{p}_{i}$ , so does q. Then we see that ht  $(\mathfrak{Q}) \ge 2 \Leftrightarrow Q \supseteq \mathfrak{p}_i$  for some  $i \Leftrightarrow \mathfrak{q} \supseteq \mathfrak{p} \Leftrightarrow ht(\mathfrak{q}) \ge 2$ . If  $\mathfrak{Q}$  does not contains  $\mathfrak{p}$ , we have  $\mathfrak{q} \supseteq \mathfrak{p}$ . Then we see  $B_{\mathfrak{Q}} = A_{\mathfrak{q}}$  by Lemma 1.6 of [11] and hence ht ( $\mathfrak{Q}$ ) = ht ( $\mathfrak{q}$ ). Since we have ht  $(p) = ht(p_i) = 1$  for i = 1, ..., n, and  $p = \bigcap_{i=1}^{n} p_i$  contains a regular element of B, we see easily that the total quotient ring of A may be considered to coincides with that of B. Let z be an element of  $A^{(1)}$  and let a be the ideal A:<sub>4</sub>z. Then aB is contained in B:<sub>B</sub>z. Therefore if  $\mathfrak{P}$  is any prime ideal of B containing  $B_{:BZ}$ ,  $\mathfrak{P} \cap A$  is a prime ideal of A containing a and hence is of height  $\geq 2$ . This means from the above that  $\mathfrak{P}$  is of height  $\geq 2$ , and so z is an element of  $B^{(1)}$ . Therefore we see that  $A^{(1)} \subset B^{(1)} = B$  by Lemma 3, because B has  $(S_2)$ and hence  $(S_1)$ . Let x be an element of  $A^{(1)}$ . Then x belongs to B, but p does not contains  $A:_A x$ , because ht  $(\mathfrak{p})=1$ . If s is an element of  $(A:_A x) \supset \mathfrak{p}$ , a=sx is an element of A. Let  $w_i$  be the canonical homomorphism  $\kappa(\mathfrak{p}) \rightarrow \kappa(\mathfrak{p}_i)$ , and  $\pi_i$ the composition of the canonical homomorphisms  $B \rightarrow B/\mathfrak{p}_i \rightarrow \kappa(\mathfrak{p}_i)$  for i=1,...,n. Then we see easily that  $\pi_i(x) \in w_i(\kappa(p))$  and  $w_i^{-1}(\pi_i(x)) = w_i^{-1}(\pi_i(x)) = \bar{a}/\bar{s}$  for i, j = 1, ..., n, where  $\bar{a}$  and  $\bar{s}$  are the classes of a and s in  $A/\mathfrak{p} \subset \kappa(\mathfrak{p})$ . This means by Lemma 1 that x belongs to A, since A is a glueing of  $(B; p_1, ..., p_n)$ . Therefore we see  $A = A^{(1)}$ . Since B has  $(S_2)$  and we see easily ht  $(\mathfrak{Q} \cap A) = 0$  for any prime ideal  $\mathfrak{Q}$  of B of height 0, A has also  $(S_1)$ . Therefore A has  $(S_2)$  by Lemma 3.

(ii) Let S be the multiplicatively closed subset  $A \ p$  of B. Then  $A_p = A_S$  is seminormal in  $B_S$  by Corollary 2.2 in [11] and the conductor  $A_S:_{B_S}B_S$  is  $pA_p = \bigcap_{i=1}^n p_i B_S$ , because the conductor  $A:_B B$  is equal to  $p = \bigcap_{i=1}^n p_i$  by Proposition 1.2 in [10]. Then we see easily from the proof of Theorem 2.1 in [11] that  $A_p$ is a glueing of  $(B_S; p_1 B_S, ..., p_n B_S)$ . Moreover if an element a in  $p_i$  is regular in B, the image of a in  $B_S$  is regular in  $B_S$ . Therefore we may assume that B is a semilocal ring with the maximal ideals  $p_1, ..., p_n$  and that A is a local ring with the maximal ideal p. Since p and  $p_i$  contain regular elements of B and we see easily from Lemma 1.6 in [11] that any regular element of A is also regular in B, we may consider that the total quotient ring Q(A) of A is a subring of the total quotient ring Q(B) of B. Then we see that Q(A) = Q(B), because  $p = A:_B B$  contains a regular element of B. Therefore if z is an element of B which does not belongs to A, there are a regular element b of A and an element a of A such that z = a/b

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in Q(A) = Q(B). Then we see that  $z\mathfrak{p} \subset B(A:_BB) \subset A$  and hence that  $\mathfrak{p} = A:_A z$ , since  $zA \subset A$ . This means that  $bA:_A a = \mathfrak{p}$ , and so  $\mathfrak{p}$  is contained in Ass<sub>A</sub> (A/bA). In other words we have depth A = 1. In particular if some  $\mathfrak{p}_i$  is of height >1, so is  $\mathfrak{p}$ . Therefore A does not have  $(S_2)$ . q.e.d.

COROLLARY. Let B be a noetherian ring, and let A be a subring of B which is seminormal in B. Assume that B is a finite A-module. If a prime divisor in A of the conductor c of A in B is of height >1 and if c contains a regular element of B, then A does not have  $(S_2)$ .

**PROOF.** If p is a prime divisor in A of c of height >1, let S be the multiplicatively closed subset  $A \sim p$  of A. Then  $A_S$  is seminormal in  $B_S$  and  $c_S$  is the conductor of  $A_S$  in  $B_S$  as seen in the proof of Theorem 2, (ii). Since c is a radical ideal by Lemma 1.3 in [11],  $c_S$  is the maximal ideal of  $A_S = A_p$ . Therefore we see, from the proof of Theorem 2.1 in [11], that  $A_S$  is obtained from  $B_S$  by glueing over the prime ideal  $pA_S$  of  $A_S$  of height >1. This means by Theorem 2, (ii) that  $A_S$  does not have  $(S_2)$ . Therefore, from the definition of  $(S_2)$ , it is easy to see that A does not have  $(S_2)$ .

REMARK 4. Let B and A be as in Corollary to Theorem 2. Assume that B has property  $(S_2)$ . Then even if any prime divisor in B of the conductor of A in B is of height 1, A does not necessarily have property  $(S_2)$ . In fact let B be a finitely generated algebra over an algebraically closed field k. Assume that B is a normal domain and the dimension of B is larger than 1, and let  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$  be two prime ideals of B such that  $\operatorname{ht}(\mathfrak{p}_1)=\operatorname{ht}(\mathfrak{p}_2)=1$ . Then we see dim  $B/\mathfrak{p}_1=$ dim  $B/\mathfrak{p}_2=\dim B-1\geq 1$  and hence there is a glueing  $A_1$  of  $(B; \mathfrak{p}_1, \mathfrak{p}_2)$  as seen in §2, (1). If  $\mathfrak{p}$  is the prime ideal  $A_1 \cap \mathfrak{p}_1 = A_1 \cap \mathfrak{p}_2$  of  $A_1$ , we have dim  $A_1/\mathfrak{p} =$ dim  $B/\mathfrak{p}_i\geq 1$  and hence  $\mathfrak{p}$  is not a maximal ideal of  $A_1$ . Since  $A_1$  is also a finitely generated k-algebra as seen in §2, (1), there are infinitely many maximal ideals of  $A_1$  containing  $\mathfrak{p}$ . Let  $\mathfrak{m}_1$  and  $\mathfrak{m}_2$  be such two different maximal ideals of  $A_1$  and let A be a glueing of  $(A_1; \mathfrak{m}_1, \mathfrak{m}_2)$ . Then we see easily that A is seminormal in B and that the conductor of A in B is the ideal  $\mathfrak{p}_1 \cap \mathfrak{p}_2$ . Then A does not have property  $(S_2)$ , although B does.

REMARK 5. The author proved originally Theorem 2 and its Corollary for noetherian domains using a well known criterion for a noetherian domain A to have  $(S_2)$ : A has  $(S_2)$  if and only if  $A = \bigcap_{\mathfrak{p}} A_{\mathfrak{p}}$  where  $\mathfrak{p}$  runs over all prime ideals of A of height 1. However S. Itoh pointed that if we use Lemma 3 instead of this criterion, the same proof can work in the more general cases stated in the above results. On the other hand S. Goto obtained in [4] the following result holding a close similarity to ours: Let B be a semilocal Cohen-Macaulay ring with maximal ideals  $\mathfrak{n}_1, \ldots, \mathfrak{n}_n$ . Assume that we have dim  $B = \dim B_{\mathfrak{n}_i}$  for any i,

and let A be a glueing of  $(B; n_1, ..., n_n)$  different from B. Then A is a Cohen-Macaulay ring if and only if dim B = 1.

## §4. Glueings of semilocal rings

Let A be a noetherian local ring with maximal ideal m and residue field k. Denote the multiplicity  $e_A(m)$  of m, the embedded dimension  $\dim_k m/m^2$  and the graded ring  $\sum_{i=0}^{\infty} m^i/m^{i+1}$  associated to A by e(A), embdim (A) and G(A), respectively. Furthermore let  $G(A)^+$  be the ideal  $\sum_{i=1}^{\infty} m^i/m^{i+1}$  of G(A). Then we have the following

LEMMA 4. Let B be a noetherian semilocal local ring, and let  $n_1, ..., n_n$ be the maximal ideals of B. If A is a glueing of  $(B; n_1, ..., n_n)$ , then A is a local ring and G(A) is isomorphic to a graded subring G of  $G(B_{n_1}) + \cdots + G(B_{n_n})$ such that  $G^+ = G(B_{n_1})^+ + \cdots + G(B_{n_n})^+$ . In particular we have

embdim 
$$(A) = \sum_{i=1}^{n} [\kappa(n_i): \kappa(m)]$$
 embdim  $(B_{n_i})$ ,

where m is the maximal ideal  $n_i \cap \cdots \cap n_n$  of A. Moreover suppose that ht  $(n_i)$  = ht (m) for  $1 \le i \le s$  and ht  $(n_i) <$  ht (m) for  $s+1 \le j \le n$ . Then we have

$$e(A) = \sum_{i=1}^{s} [\kappa(\mathfrak{n}_i):\kappa(\mathfrak{m})]e(B_{\mathfrak{n}_i}).$$

**PROOF.** It is easy to see that A is a local ring and that m coincides with the Jacobson radical  $n_1 \cap \cdots \cap n_n = n_1 \cdots n_n$  of B, because A is a glueing of  $(B; n_1, \ldots, n_n)$ . Hence  $\mathfrak{m}^s$  is equal to  $\mathfrak{n}_1^s \cap \cdots \cap \mathfrak{n}_n^s$  for  $s=1, 2, \ldots$ . Let  $f_s$  be the canonical homomorphism  $B/\mathfrak{n}_1^s \cap \cdots \cap \mathfrak{n}_n^s \to B/\mathfrak{n}_1^s \oplus \cdots \oplus B/\mathfrak{n}_n^s$  for each s > 1. Since  $\mathfrak{n}_i^s$  and  $\mathfrak{n}_j^s$  are coprime for any  $i \neq j$ ,  $f_s$  is an isomorphism by Chinese remainder theorem. Therefore we see easily that  $f_s$  induces an isomorphism between  $\mathfrak{m}^s/\mathfrak{m}^{s+1} = \mathfrak{n}_1^s \cap \cdots \cap \mathfrak{n}_n^s/\mathfrak{n}_1^{s+1} \cap \cdots \cap \mathfrak{n}_n^{s+1}$  and  $\mathfrak{n}_1^s/\mathfrak{n}_1^{s+1} \oplus \cdots \oplus \mathfrak{n}_n^s/\mathfrak{n}_n^{s+1}$  for each  $s \ge 1$ , and hence that  $G(A) \simeq A/\mathfrak{m} \oplus G(B_{n_1})^+ \oplus \cdots \oplus G(B_{n_n})^+$  as graded rings. Since  $A/\mathfrak{m}$ is isomorphic to a subring of  $B/\mathfrak{n}_1 \cap \cdots \cap \mathfrak{n}_n = B/\mathfrak{n}_1 \oplus \cdots \oplus B/\mathfrak{n}_n$ , the first assertion is proved. The second one is a direct consequence of the above isomorphism. Lastly we see also from the above that

$$\operatorname{length}_{A}(B/\mathfrak{m}^{s}) = \sum_{i=1}^{n} \operatorname{length}_{A}(B/\mathfrak{n}^{s}_{i}) = \sum_{i=1}^{n} \operatorname{length}_{B}(B/\mathfrak{n}^{s}_{i}) \left[\kappa(\mathfrak{n}_{i}):\kappa(\mathfrak{m})\right].$$

Since we have length<sub>A</sub>  $(A/m^s)$  = length<sub>A</sub>  $(B/m^s)$  - length<sub>A</sub> (B/A) and length<sub>A</sub> (B/A)= length<sub>A</sub>  $((B/\bigcap_{i=1}^{n} n_i)/(A/m)) < \infty$ , we see, from the definition of multiplicity and our assumption on heights of maximal ideals  $n_1, ..., n_n$ ,

$$e(A) = \sum_{i=1}^{s} [\kappa(\mathfrak{n}_i):\kappa(\mathfrak{m})]e(B_{\mathfrak{n}_i}). \qquad q. e. d.$$

**PROPOSITION 3.** Let B be a noetherian semilocal ring with maximal

ideals  $n_1, ..., n_n$ , and let A be a local subring of B with maximal ideal m such that B is a finite A-module. Then the followings are equivalent if B is not equal to A:

(i) A is seminormal in B and the conductor of A in B is m-primary.

(ii) A is a glueing of  $(B; \mathfrak{n}_1, ..., \mathfrak{n}_n)$ .

(iii) m is equal to the Jacobson radical  $n = n_1 \cap \cdots \cap n_n$  of B.

(iv) The canonical homomorphism  $f: m/m^2 \rightarrow n/n^2$  induced by the injection  $A \subseteq B$  is surjective.

(v) The homomorphism f is bijective.

(vi) We have  $\mathfrak{n}^2 \cap \mathfrak{m} = \mathfrak{m}^2$  and embdim  $(A) = \sum_{i=1}^{n} [\kappa(\mathfrak{n}_i): \kappa(\mathfrak{m})]$  embdim  $(B_{\mathfrak{n}_i})$ .

(vii) The homomorphism f induces a bijection between the ideals  $G(A)^+$  and  $G(B_{n_1})^+ \oplus \cdots \oplus G(B_{n_m})^+$ .

**PROOF.** (i) $\Rightarrow$ (ii). Assume that *A* is seminormal in *B*. Then the conductor c of *A* in *B* must be a radical ideal by Lemma 1.3 of [11]. Therefore if c is mprimary, we see c=m. Then we see from the proof of Theorem 2.1 of [11] that *A* is the ring obtained from *B* by glueing over m. In other words *A* is a glueing of (*B*;  $n_1, ..., n_n$ ).

(ii) $\Rightarrow$ (vii). This is a direct consequence of Lemma 4.

 $(vii) \Rightarrow (v) \Rightarrow (iv)$ . Trivial.

(iv) $\Rightarrow$ (iii). Let A' be the ring obtained from B by glueing over m. Then A' is a local ring with maximal ideal  $n = n_1 \cap \cdots \cap n_n$ . If f is surjective, we have  $n = m + n^2$  and hence n = mA' + nn. Therefore we have n = mA' by Nakayama's lemma. This means that we have  $mA' = m + (mA')^2 = m + m(mA')$ . Since B is noetherian and a finite A-module, A is also noetherian by Nagata-Eakin's theorem (cf. [3]). Therefore A' and m' are both finite A-modules and so we see m = mA' again by Nakayama's lemma. This means that m = n.

(iii) $\Rightarrow$ (ii). Let A' be as above. Then we see A'/n is isomorphic to A/m by the induced homomorphism of the injection  $A \subseteq A'$ . Therefore we have A' = A + n = A + m = A from the assumption that m = n.

(ii) $\Rightarrow$ (i). This is well known. (Cf. §1 in [11].)

(v) $\Leftrightarrow$ (vi). Since the kernel of f is  $n^2 \cap m/m^2$ , f is injective if and only if  $n^2 \cap m = m^2$ . Moreover the right hand side of the second equality in (vi) is equal to the dimension of the vector space  $n/n^2$  over k = A/m as seen in the proof of Lemma 4. From these facts our assertion follows easily. q.e.d.

**PROPOSITION 4.** Let k be an algebraically closed field, and let A be a local ring of a closed point of an algebraic variety defined over k. Let B be the integral closure of A in the quotient field of A, and let  $n_1, ..., n_n$  be the maximal ideals of B. Then the followings are equivalent:

(i) B is regular and A is a glueing of  $(B; n_1, ..., n_n)$ .

(ii) The multiplicity e(A) of A coincides with the number n of the maximal ideals of B and the conductor of A in B is the maximal ideal m if e(A) > 1.

**PROOF.** First notice that we have ht  $(n_i) = \dim B = \dim A$  for any i and that  $B_{n_i}$  is unmixed in the sense of §25 in [7] as seen easily from analytically unramifiedness of  $B_{n_i}$  (cf. (36.4) in [7] or Theorem 31 of Chap. VIII in [12]). Now assume that the assertion (i) is true. Then  $B_{n_i}$  is a regular local ring and hence we see  $e(B_{n,i}) = 1$ . If m is the maximal ideal of A, then  $B/n_i$  is isomorphic to  $A/m_i$ for each i by the assumption that k is algebraically closed. Therefore we have  $e(A) = \sum_{j=1}^{n} e(B_{n_j}) = n$  by Lemma 4. On the other hand we see  $\mathfrak{m} = \mathfrak{n}_1 \cap \cdots \cap \mathfrak{n}_n$ by Proposition 3. This means that the conductor of A in B is equal to m if e(A) = n > 1. Conversely assume that e(A) is equal to n and that  $B \supseteq A$ . Then, since ht  $(n_i) = \dim A$  for each *i*, we see  $n = \sum_{i=1}^{n} e(mB_{n_i})$  by a similar argument in the proof of Lemma 4 or by Corollary 1 to Theorem 24 of Chap. VIII in [12], where we denote by  $e(mB_n)$  the multiplicity of an  $n_i B_n$ -primary ideal  $mB_n$ . This means that  $e(mB_{n_i})=1$  for any *i*. Since  $mB_{n_i}$  is  $n_i B_{n_i}$ -primary, we see easily  $1 \leq e(\mathfrak{n}_i B_{\mathfrak{n}_i}) \leq e(\mathfrak{m} B_{\mathfrak{n}_i}) = 1$  by the definition of multiplicities of  $\mathfrak{n}_i B_{\mathfrak{n}_i}$ -primary ideals. Then we have  $e(B_{n_i}) = e(n_i B_{n_i}) = 1$  and hence  $B_{n_i}$  is a regular local ring by Theorem (40.6) in [7], because  $B_{n_i}$  is unmixed as noticed in the above. Therefore B is regular. Moreover there is a system of parameters  $x_1, ..., x_d$  of  $\mathfrak{m}B_{\mathfrak{n}_i}$  such that  $e(\mathfrak{m}B_{\mathfrak{n}_i}) = e((x_1, \dots, x_d)B_{\mathfrak{n}_i})$  by Theorem (24.1) in [7], because  $B_{\mathfrak{n}_i}/\mathfrak{n}_i B_{\mathfrak{n}_i} \cong B/\mathfrak{n}_i$  is an algebraically closed field. Since  $B_{n_i}$  is regular, any system of parameters of  $B_{n_i}$  is distinct by Theorem (25.7) in [7] and hence we see length  $B_{n_i}/(x_1,...,x_d)B_{n_i}$  $= e((x_1,...,x_d)B_{n_i}) = e(mB_{n_i}) = 1$ . This means that  $(x_1,...,x_d)B_{n_i}$  coincides with the maximal ideal  $n_i B_{n_i}$  and hence we have  $m B_{n_i} = n_i B_{n_i}$ . Since  $n_1, \ldots, n_n$  are the prime divisors of mB, we see  $mB = n_1 \cap \cdots \cap n_n$ . On the other hand if m is the conductor of A in B, then mB is an ideal of A containing m and so coincides with m. Therefore A is a glueing of  $(B; n_1, ..., n_n)$  by the equivalence (ii) $\Leftrightarrow$ (iii) in Proposition 3. q. e. d.

REMARK 6. Let A and B be as in Proposition 4. From the proof of Proposition 4 we see that if e(A) coincides with the number of the maximal ideals of B, then B is regular. However A is not necessarily a glueing of  $(B; n_1, ..., n_n)$ . For example let A be a local ring of an ordinary triple point of a plane curve. Then we have e(A)=3 and the derived normal ring B of A in the quotient field of A has exactly three maximal ideals  $n_1$ ,  $n_2$ ,  $n_3$ . But A is not seminormal and hence not a glueing of  $(B; n_1, n_2, n_3)$ , because singular seminormal points on a plane curve are only ordinary double points (cf. [9]). Therefore the condition that the conductor of A in B is the maximal ideal of A is indispensable in the assertion (ii) of Proposition 4.

REMARK 7. Let A and B be as above, and assume that they satisfy the

conditions (i) and (ii) of Proposition 4. Then we see embdim  $(A) = e(A) \times \dim A$ by Lemma 4. On the other hand  $G(B_{\pi_i})$  is isomorphic to a polynomial ring over k for each i and hence G(A) is reduced, because it is isomorphic to a subring of a reduced ring  $G(B_{\pi_1}) + \dots + G(B_{\pi_n})$  again by Lemma 4. If dim A = 1, these two properties means, conversely, that A is seminormal (cf. Theorem 1 in [2]). However the author does not know whether a similar result holds for higher dimensional cases.

REMARK 8. Let A be a reduced noetherian local ring with maximal ideal m and infinite residue field A/m, and let B be the integral closure of A in its total quotient ring. Assume that B is a Cohen-Macaulay ring and a finite A-module, and that we have ht  $(n_i) = \dim B$  for each maximal ideal  $n_i$  of B (i=1,..., n). Then if we have  $e(A) = \sum_{i=1}^{n} [B/n_i: A/m]$  and m is the conductor of A in B, B is regular and A is a glueing of  $(B; n_1,..., n_n)$ . In fact this can be shown in a similar way to the proof of Proposition 4. But we omit the proof.

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