

## Lie algebras in which every soluble subalgebra is either abelian or almost-abelian

Hidekazu FURUTA  
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### Introduction

In this paper we shall investigate the structure of locally finite Lie algebras in which every soluble subalgebra is either abelian or almost-abelian.

Varea [8] has introduced the concept of  $\mathfrak{C}^*$ -algebras termed  $\mathfrak{C}$ -algebras, namely, Lie algebras in which every subalgebra of a nilpotent subalgebra  $H$  of  $L$  is an ideal in the idealizer of  $H$  in  $L$ , and he has shown for finite-dimensional Lie algebras that  $\mathfrak{C}^*$ -algebras are precisely Lie algebras in which every soluble subalgebra is either abelian or almost-abelian. Also Varea has introduced the concept of  $\mathfrak{C}$ -algebras termed  $c$ -algebras, namely, Lie algebras in which every 1-dimensional subideal is an ideal. A Lie algebra  $L$  is called a  $\mathfrak{T}$ -algebra if every subideal of  $L$  is an ideal of  $L$ . The relation among  $\mathfrak{C}^*$ -algebras,  $\mathfrak{C}$ -algebras and  $\mathfrak{T}$ -algebras, and their structure are investigated in [8]. Infinite-dimensional  $\mathfrak{C}^*$ -algebras are considered in [2]. A Lie algebra  $L$  is called an (A)-algebra in [5] if any pair of elements  $x$  and  $y$  of  $L$  such that  $[x, y, y] = 0$  satisfies  $[x, y] = 0$ . Finite-dimensional (A)-algebras are investigated in [6]. Let  $\Delta$  be one of the relations asc, wsi, wasc and  $\leq^\omega$ . Following [2] and [3], we call a Lie algebra  $L$  a  $\mathfrak{T}(\Delta)$ -algebra (resp.  $\mathfrak{C}(\Delta)$ -algebra) when we replace the relation “subideal” by  $\Delta$  in the above definition of  $\mathfrak{T}$ -algebra (resp.  $\mathfrak{C}$ -algebra). We call a Lie algebra  $L$  a  $\mathfrak{T}_0(\Delta)$ -algebra (resp.  $\mathfrak{C}_0(\Delta)$ -algebra) if every  $\Delta$ -subalgebra  $H$  of  $L$  satisfies  $[L, H] = H^2$ . For a class  $\mathfrak{X}$  we call a Lie algebra  $L$  an  $\mathfrak{X}^s$ -algebra if every subalgebra of  $L$  is an  $\mathfrak{X}$ -algebra.

In this paper we shall introduce the classes  $\mathfrak{C}_0^*$  and  $\mathfrak{C}^{(*)}$ : A Lie algebra  $L$  is a  $\mathfrak{C}_0^*$ -algebra if every soluble subalgebra of  $L$  is abelian, and  $L$  is a  $\mathfrak{C}^{(*)}$ -algebra if any pair of elements  $x$  and  $y$  of  $L$  such that  $[x, y, y] \in \langle y \rangle$  satisfies  $[x, y] \in \langle y \rangle$ .

In Section 2, we shall show characterizations of  $\mathfrak{C}_0^*$ ,  $\mathfrak{C}^{(*)}$  and (A)-algebras:  $\mathfrak{T}_0(\text{asc})^s = \mathfrak{T}_0(\text{si})^s$  (Lemma 2.1).  $\mathfrak{C}_0^* = \mathfrak{C}_0(\text{asc})^s = \mathfrak{C}_0(\text{si})^s$  (Proposition 2.2).  $\mathfrak{T}(\text{wasc})^s = \mathfrak{T}(\leq^\omega)^s$  (Lemma 2.3).  $\mathfrak{C}^{(*)} = \mathfrak{C}(\text{wasc})^s = \mathfrak{C}(\leq^\omega)^s$  (Proposition 2.4).  $\mathfrak{T}_0(\text{wasc})^s = \mathfrak{T}_0(\leq^\omega)^s$  (Lemma 2.5). (A) =  $\mathfrak{C}_0(\text{wasc})^s = \mathfrak{C}_0(\leq^\omega)^s$  (Proposition 2.6).

In Section 3, we shall show that  $L\mathfrak{F} \cap \mathfrak{C}_0^* = L\mathfrak{F} \cap \mathfrak{T}_0(\text{si})^s$  (Theorem 3.3),

$L\mathfrak{F} \cap \mathfrak{C}^{(*)} = L\mathfrak{F} \cap \mathfrak{T}(\text{wsi})^s$  (Theorem 3.4), and  $L\mathfrak{F} \cap (A) = L\mathfrak{F} \cap \mathfrak{T}_0(\text{wsi})^s$  (Theorem 3.6).

In Section 4, we shall determine the structure of locally finite  $\mathfrak{C}^*$ -algebras. If  $L$  is a locally finite  $\mathfrak{C}^*$ -algebra over a field of characteristic zero, then  $L$  is a locally finite (A)-algebra, an almost-abelian Lie algebra, or a three-dimensional split simple Lie algebra (Theorem 4.2). If  $L$  is a  $L(\text{wser})\mathfrak{F} \cap \mathfrak{C}^*$ -algebra over a field of characteristic zero, then  $L$  is a reductive (A)-algebra, a finite-dimensional almost-abelian Lie algebra, or a three-dimensional split simple Lie algebra (Theorem 4.5).

In Section 5, we shall investigate other properties of the classes  $\mathfrak{C}^*$ ,  $\mathfrak{C}_0^*$ ,  $\mathfrak{C}^{(*)}$  and (A):  $\mathfrak{C}^* \cap \{L, \acute{E}\} \mathfrak{A} = \mathfrak{A}_0$  and  $\mathfrak{C}_0^* \cap \{L, \acute{E}\} \mathfrak{A} = \mathfrak{A}$  (Corollary 5.2). Over an algebraically closed field,  $L\mathfrak{F} \cap \mathfrak{C}^{(*)} = \mathfrak{A}_0$  and  $L\mathfrak{F} \cap \mathfrak{C}_0^* = \mathfrak{A}$  (Proposition 5.3).

In Section 6, we shall give examples and show the following: (A)  $\not\leq \mathfrak{T}$  (Example 6.1). (A)  $\cup \mathfrak{A}_0 < \mathfrak{C}^{(*)}$  (Examples 6.1 and 6.2).  $\mathfrak{C}^{(*)} < \mathfrak{C}(\text{wasc})$  (Example 6.3).

### 1. Notations

Throughout the paper Lie algebras are not necessarily finite-dimensional over a field  $\mathfrak{f}$  of arbitrary characteristic unless otherwise specified. We mostly follow [1] for the use of notations and terminology.

Let  $L$  be a Lie algebra over  $\mathfrak{f}$  and let  $H$  be a subalgebra of  $L$ . For an ordinal  $\sigma$ ,  $H$  is a  $\sigma$ -step ascendant (resp. weakly ascendant) subalgebra of  $L$ , denoted by  $H \triangleleft^\sigma L$  (resp.  $H \leq^\sigma L$ ), if there exists an ascending series (resp. chain)  $(H_\alpha)_{\alpha \leq \sigma}$  of subalgebras (resp. subspaces) of  $L$  such that

- (1)  $H_0 = H$  and  $H_\sigma = L$ ,
- (2)  $H_\alpha \triangleleft H_{\alpha+1}$  (resp.  $[H_{\alpha+1}, H] \subseteq H_\alpha$ ) for any ordinal  $\alpha < \sigma$ ,
- (3)  $H_\lambda = \bigcup_{\alpha < \lambda} H_\alpha$  for any limit ordinal  $\lambda \leq \sigma$ .

$H$  is an ascendant (resp. a weakly ascendant) subalgebra of  $L$ , denoted by  $H \text{ asc } L$  (resp.  $H \text{ wasc } L$ ), if  $H \triangleleft^\sigma L$  (resp.  $H \leq^\sigma L$ ) for some ordinal  $\sigma$ . When  $\sigma$  is finite,  $H$  is a subideal (resp. weak subideal) of  $L$  and denoted by  $H \text{ si } L$  (resp.  $H \text{ wsi } L$ ). For a totally ordered set  $\Sigma$ , a series (resp. weak series) from  $H$  to  $L$  of type  $\Sigma$  is a collection  $\{A_\sigma, V_\sigma : \sigma \in \Sigma\}$  of subalgebras (resp. subspaces) of  $L$  such that

- (1)  $H \subseteq V_\sigma \subseteq A_\sigma$  for all  $\sigma \in \Sigma$ ,
- (2)  $L \setminus H = \bigcup_{\sigma \in \Sigma} (A_\sigma \setminus V_\sigma)$ ,
- (3)  $A_\tau \subseteq V_\sigma$  if  $\tau < \sigma$ ,
- (4)  $V_\sigma \triangleleft A_\sigma$  (resp.  $[A_\sigma, H] \subseteq V_\sigma$ ) for all  $\sigma \in \Sigma$ .

$H$  is a serial (resp. weakly serial) subalgebra of  $L$ , denoted by  $H \text{ ser } L$  (resp.  $H \text{ wser } L$ ), if there exists a series (resp. weak series) from  $H$  to  $L$  of type  $\Sigma$  for

some  $\Sigma$ .

Let  $\Delta$  be any of the relations si, asc, ser,  $\triangleleft^\sigma$ , wsi, wasc, wser and  $\leq^\sigma$ .  $\mathfrak{I}(\Delta)$  is the class of Lie algebras  $L$  in which every  $\Delta$ -subalgebra of  $L$  is an ideal of  $L$ .  $\mathfrak{C}(\Delta)$  is the class of Lie algebras  $L$  in which every 1-dimensional  $\Delta$ -subalgebra of  $L$  is an ideal of  $L$ . In particular we write  $\mathfrak{I}$  and  $\mathfrak{C}$  for  $\mathfrak{I}(\text{si})$  and  $\mathfrak{C}(\text{si})$  respectively.  $\mathfrak{F}$ ,  $\mathfrak{A}$  and  $\mathfrak{N}$  are the classes of Lie algebras which are finite-dimensional, abelian and nilpotent respectively.

Let  $\mathfrak{X}$  be a class of Lie algebras and let  $\Delta$  be any of the relations  $\leq, \triangleleft, \text{si}, \text{ser}, \text{wsi}$  and  $\text{wser}$ . A Lie algebra  $L$  is said to lie in  $\mathfrak{L}(\Delta)\mathfrak{X}$  if for any finite subset  $X$  of  $L$  there exists an  $\mathfrak{X}$ -subalgebra  $K$  of  $L$  such that  $X \subseteq K \Delta L$ . We write  $\mathfrak{L}\mathfrak{X}$  for  $\mathfrak{L}(\leq)\mathfrak{X}$ . When  $L \in \mathfrak{L}\mathfrak{F}$ ,  $L$  is called locally finite. For an ordinal  $\sigma$ ,  $\mathfrak{E}_\sigma(\Delta)\mathfrak{X}$  is the class of Lie algebras  $L$  having an ascending series  $(L_\alpha)_{\alpha \leq \sigma}$  of  $\Delta$ -subalgebras such that

- (1)  $L_0 = 0$  and  $L_\sigma = L$ ,
- (2)  $L_\alpha \triangleleft L_{\alpha+1}$  and  $L_{\alpha+1}/L_\alpha \in \mathfrak{X}$  for any ordinal  $\alpha < \sigma$ ,
- (3)  $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$  for any limit ordinal  $\lambda \leq \sigma$ .

We write  $\mathfrak{E}(\Delta)\mathfrak{X} = \bigcup_{\sigma > 0} \mathfrak{E}_\sigma(\Delta)\mathfrak{X}$  and  $\mathfrak{E}(\Delta)\mathfrak{X} = \bigcup_{n < \omega} \mathfrak{E}_n(\Delta)\mathfrak{X}$ . In particular we write  $\mathfrak{E}\mathfrak{X}$  and  $\mathfrak{E}\mathfrak{X}$  for  $\mathfrak{E}(\leq)\mathfrak{X}$  and  $\mathfrak{E}(\leq)\mathfrak{X}$  respectively. Thus  $\mathfrak{E}\mathfrak{A}$  is the class of soluble Lie algebras.  $\mathfrak{Q}\mathfrak{X}$  is the class of Lie algebras consisting of all homomorphic images of  $\mathfrak{X}$ -algebras.  $\mathfrak{s}\mathfrak{X}$  is the class of Lie algebras consisting of all subalgebras of  $\mathfrak{X}$ -algebras. We say that  $\mathfrak{X}$  is  $\mathfrak{A}$ -closed if  $\mathfrak{X} = \mathfrak{A}\mathfrak{X}$ , where  $\mathfrak{A}$  is  $\mathfrak{L}, \mathfrak{E}, \mathfrak{E}, \mathfrak{Q}$  or  $\mathfrak{s}$ . We denote by  $\mathfrak{X}^s$  the largest  $\mathfrak{s}$ -closed subclass of  $\mathfrak{X}$ , that is,  $L$  belongs to  $\mathfrak{X}^s$  if and only if every subalgebra of  $L$  belongs to  $\mathfrak{X}$ .

Let  $H$  be a subalgebra of  $L$ . We denote by  $C_L(H)$  (resp.  $I_L(H)$ ) the centralizer (resp. idealizer) of  $H$  in  $L$ . For  $x \in L$  we put  $H^x = \sum_{n \geq 0} [H, {}_n x]$ , where  $[H, {}_n x] = [H, \underbrace{x, x, \dots, x}_n]$ . The Hirsch-Plotkin radical  $\rho(L)$  of  $L$  is the unique maximal locally nilpotent ideal of  $L$  [1].

## 2. Characterizations

The class  $\mathfrak{C}^*$  is introduced in [8] as the class of Lie algebras  $L$  satisfying (4) of the following equivalent conditions ([2, Proposition 3.2 and Theorem 3.5]):

- (1) If  $\langle x \rangle \text{ asc } H \leq L$ , then  $\langle x \rangle \triangleleft H$ .
- (2) If  $\langle x \rangle \text{ si } H \leq L$ , then  $\langle x \rangle \triangleleft H$ .
- (3) For  $x, y \in L$ , if  $[x, {}_n y, x] \in \langle x \rangle$  for any  $n \geq 1$ , then  $\langle x \rangle \triangleleft \langle x, y \rangle$ .
- (4) If  $H$  is a nilpotent subalgebra of  $L$  and  $K$  is a subalgebra of  $H$ , then  $K \triangleleft I_L(H)$ .
- (5) Every soluble subalgebra of  $L$  is either abelian or almost-abelian.

The equivalence has been shown in [8] for finite-dimensional Lie algebras and generalized in [2] for infinite-dimensional Lie algebras.

We shall introduce the classes  $\mathfrak{C}_0^*$  and  $\mathfrak{C}^{(*)}$ : A Lie algebra  $L$  belongs to  $\mathfrak{C}_0^*$  if every soluble subalgebra of  $L$  is abelian; A Lie algebra  $L$  belongs to  $\mathfrak{C}^{(*)}$  if any pair of elements  $x$  and  $y$  of  $L$  such that  $[x, y, y] \in \langle y \rangle$  satisfies  $[x, y] \in \langle y \rangle$ . A Lie algebra  $L$  belongs to (A) if any pair of elements  $x$  and  $y$  of  $L$  such that  $[x, y, y] = 0$  satisfies  $[x, y] = 0$  [5]. It is easy to see that the classes  $\mathfrak{C}^*$ ,  $\mathfrak{C}_0^*$ ,  $\mathfrak{C}^{(*)}$  and (A) are  $s$ -closed and  $L$ -closed. We shall give characterizations of the classes  $\mathfrak{C}_0^*$ ,  $\mathfrak{C}^{(*)}$  and (A) which are similar to [2, Proposition 3.2, Lemma 3.3 and Theorem 3.5] and will be used in later sections. We define the following classes of Lie algebras. Let  $\mathcal{A}$  be any of the relations  $si$ ,  $asc$ ,  $ser$ ,  $\triangleleft^\sigma$ ,  $wsi$ ,  $wasc$ ,  $wser$  and  $\leq^\sigma$ . Let  $\mathfrak{T}_0(\mathcal{A})$  denote the class of Lie algebras  $L$  in which every  $\mathcal{A}$ -subalgebra  $H$  satisfies  $[L, H] = H^2$ . Let  $\mathfrak{C}_0(\mathcal{A})$  denote the class of Lie algebras  $L$  in which every 1-dimensional  $\mathcal{A}$ -subalgebra  $H$  satisfies  $[L, H] = 0$ .

First, we shall investigate the class  $\mathfrak{C}_0^*$ .

LEMMA 2.1. *Let  $L$  be a Lie algebra and let  $K$  be a subalgebra of  $L$ . Then the following are equivalent:*

- (1) *If  $K asc H \leq L$ , then  $[H, K] = K^2$ .*
- (2) *If  $K si H \leq L$ , then  $[H, K] = K^2$ .*
- (3) *If  $K \triangleleft^2 H \leq L$ , then  $[H, K] = K^2$ .*
- (4) *For  $x \in L$ , if  $[K, {}_n x, K] \subseteq K$  for any  $n \geq 1$ , then  $[x, K] \subseteq K^2$ .*

PROOF. (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3) is clear.

(3)  $\Rightarrow$  (4): Let  $x \in L$  such that  $[K, {}_n x, K] \subseteq K$  for all  $n \geq 1$ . Since  $K \triangleleft K^x \triangleleft \langle K, x \rangle$ , we obtain  $[x, K] \subseteq K^2$ .

(4)  $\Rightarrow$  (1): Let  $K asc H \leq L$  and let  $(A_\alpha)_{\alpha \leq \sigma}$  be an ascending series from  $K$  to  $H$ . We show by transfinite induction on  $\alpha$  that  $[A_\alpha, K] \subseteq K^2$ . Let  $\alpha > 0$  and assume that  $[A_\beta, K] \subseteq K^2$  for all  $\beta < \alpha$ . If  $\alpha$  is a limit ordinal, then  $[A_\alpha, K] = [\bigcup_{\beta < \alpha} A_\beta, K] \subseteq K^2$ . Otherwise by induction hypothesis  $K \triangleleft A_{\alpha-1} \triangleleft A_\alpha$ . Let  $x \in A_\alpha$ . Since  $[K, {}_n x, K] \subseteq K$  for any  $n \geq 1$ , it follows that  $[x, K] \subseteq K^2$ . Hence we have  $[A_\alpha, K] \subseteq K^2$ . □

By using the concept of subideals and ascendant subalgebras we can characterize  $\mathfrak{C}_0^*$ -algebras.

PROPOSITION 2.2. *Let  $L$  be a Lie algebra. Then the following are equivalent:*

- (1)  $L \in \mathfrak{C}_0(asc)^s$ .
- (2)  $L \in \mathfrak{C}_0(si)^s$ .
- (3)  $L \in \mathfrak{C}_0(\triangleleft^2)^s$ .
- (4) *For  $x, y \in L$ , if  $[x, {}_n y, x] \in \langle x \rangle$  for any  $n \geq 1$  then  $[y, x] = 0$ .*
- (5) *If  $H$  is a nilpotent subalgebra of  $L$ , then  $I_L(H) = C_L(H)$ .*

(6)  $L \in \mathfrak{C}_0^*$ .

PROOF. The equivalence of (1)–(4) can be proved by Lemma 2.1.

(2)  $\Rightarrow$  (5): Let  $H$  be a nilpotent subalgebra of  $L$  and let  $x$  be any element of  $H$ . Since  $\langle x \rangle$  is a subideal of  $I_L(H)$ , we have  $[I_L(H), x] = 0$ . Hence  $I_L(H) = C_L(H)$ .

(5)  $\Rightarrow$  (6): Let  $H$  be a soluble subalgebra of  $L$  and denote  $N$  by the Hirsch-Plotkin radical  $\rho(H)$  of  $H$ . For any  $x, y \in N$ ,  $\langle x, y \rangle$  is nilpotent since  $N$  is locally nilpotent. Since  $I_L(\langle x, y \rangle) = C_L(\langle x, y \rangle)$ ,  $\langle x, y \rangle$  is abelian. It follows that  $N$  is abelian and  $H = I_H(N) = C_H(N)$ . Furthermore by [1, Lemma 9.1.2(c)] we have  $C_H(N) \leq N$ . Therefore  $H$  is abelian.

(6)  $\Rightarrow$  (4): Suppose that  $[x, {}_n y, x] \in \langle x \rangle$  for any  $n \geq 1$ . We put  $M_n = \sum_{i=0}^n \langle [x, {}_i y] \rangle$  for any  $n \geq 0$  and  $M = \bigcup_{n=0}^{\infty} M_n$ . Then  $M_n \triangleleft M \leq L$  for all  $n \geq 0$ . Since  $M_n = M_{n-1} + \langle [x, {}_n y] \rangle$ , we obtain  $M_n^{(1)} \leq M_{n-1}$ . Therefore  $M_n^{(n+1)} = 0$ . We conclude that  $M_n$  is abelian for all  $n \geq 0$  and so  $M$  is abelian. Now we set  $K = M + \langle y \rangle$ . Then  $K$  is soluble and therefore abelian. Hence we have  $[y, x] = 0$ . □

Second, we shall investigate  $\mathfrak{C}^{(*)}$ -algebras.

LEMMA 2.3. *Let  $L$  be a Lie algebra and let  $K$  be a subalgebra of  $L$ . Then the following are equivalent:*

- (1) *If  $K$  wasc  $H \leq L$ , then  $K \triangleleft H$ .*
- (2) *If  $K \leq {}^\omega H \leq L$ , then  $K \triangleleft H$ .*
- (3) *For  $x \in L$ , if  $[x, K, K] \subseteq K$ , then  $[x, K] \subseteq K$ .*

PROOF. (1)  $\Rightarrow$  (2) is clear.

(2)  $\Rightarrow$  (3): Let  $x$  be an element of  $L$  such that  $[x, K, K] \subseteq K$ . Put  $H = \{y \in L: [y, {}_n K] \subseteq K \text{ for some integer } n \geq 1\}$ . By [7, Lemma 1] we have  $K \leq {}^\omega H \leq L$ . Hence  $[x, K] \subseteq K$  since  $x \in H$ .

(3)  $\Rightarrow$  (1): Let  $K$  wasc  $H \leq L$  and let  $(A_\alpha)_{\alpha \leq \sigma}$  be a weakly ascending series from  $K$  to  $H$ . We show by transfinite induction on  $\alpha$  that  $[A_\alpha, K] \subseteq K$ . Let  $\alpha > 0$  and assume that  $[A_\beta, K] \subseteq K$  for all  $\beta < \alpha$ . If  $\alpha$  is a limit ordinal, then  $[A_\alpha, K] = [\bigcup_{\beta < \alpha} A_\beta, K] \subseteq K$ . Otherwise by induction hypothesis  $[A_{\alpha-1}, K] \subseteq K$ . Let  $x \in A_\alpha$ . Since  $[x, K, K] \subseteq K$ , it follows that  $[x, K] \subseteq K$ . Hence we have  $[A_\alpha, K] \subseteq K$ . □

The following result can be proved by using Lemma 2.3.

PROPOSITION 2.4. *Let  $L$  be a Lie algebra. Then the following are equivalent:*

- (1)  $L \in \mathfrak{C}(\text{wasc})^s$ .
- (2)  $L \in \mathfrak{C}(\leq {}^\omega)^s$ .
- (3)  $L \in \mathfrak{C}^{(*)}$ .

Third, we consider (A)-algebras. The following results can be proved as in Lemma 2.3 and Proposition 2.4.

LEMMA 2.5. *Let  $L$  be a Lie algebra and let  $K$  be a subalgebra of  $L$ . Then the following are equivalent:*

- (1) *If  $K$  wasc  $H \leq L$ , then  $[H, K] = K^2$ .*
- (2) *If  $K \leq^{\circ} H \leq L$ , then  $[H, K] = K^2$ .*
- (3) *For  $x \in L$ , if  $[x, K, K] \subseteq K$ , then  $[x, K] \subseteq K^2$ .*

PROPOSITION 2.6. *Let  $L$  be a Lie algebra. Then the following are equivalent:*

- (1)  $L \in \mathfrak{C}_0(\text{wasc})^s$ .
- (2)  $L \in \mathfrak{C}_0(\leq^{\circ})^s$ .
- (3)  $L \in (A)$ .

A Lie algebra  $L$  is said to be almost-abelian if  $L$  is the split extension of an abelian algebra by the 1-dimensional algebra of scalar multiplications. We denote by  $\mathfrak{A}_0$  the class of abelian or almost-abelian Lie algebras. It follows from Propositions 2.2, 2.4, 2.6 and [3, Lemma 2.1] that

$$\begin{array}{ccc} \mathfrak{A}_0 & \leq & \mathfrak{C}^{(*)} \leq \mathfrak{C}^* \\ \vee & & \vee \quad \vee \\ \mathfrak{A} & \leq & (A) \leq \mathfrak{C}_0^* \end{array}$$

It is easy to see that  $\mathfrak{C}_0^* \cap \mathfrak{C}^{(*)} = (A)$ .

Almost-abelian Lie algebras belong to  $\mathfrak{C}^{(*)} \setminus \mathfrak{C}_0^*$ . A 3-dimensional simple Lie algebra  $L$  over a field  $\mathbb{f}$  is called split if  $L$  contains an element  $h$  such that  $\text{ad } h$  has a non-zero characteristic root in  $\mathbb{f}$  ([4, p. 14]). If  $\text{char } \mathbb{f} \neq 2$ , then a 3-dimensional simple Lie algebra  $L$  is split if and only if  $L$  has a basis  $\{e, f, h\}$  such that  $[h, e] = e$ ,  $[h, f] = -f$ ,  $[e, f] = h$ . Split 3-dimensional simple Lie algebras belong to  $\mathfrak{C}^* \setminus (\mathfrak{C}_0^* \cup \mathfrak{C}^{(*)})$ . Hence we have

$$(A) < \mathfrak{C}^{(*)}, \mathfrak{C}_0^* \cup \mathfrak{C}^{(*)} < \mathfrak{C}^*.$$

By [3, Lemma 4.1] a 3-dimensional simple Lie algebra is either a split 3-dimensional simple Lie algebra or an (A)-algebra.

### 3. Locally finite Lie algebras

We consider locally finite Lie algebras. By [2, Theorem 3.9],  $L\mathfrak{F} \cap \mathfrak{C}^* = L\mathfrak{F} \cap \mathfrak{I}^s$ . We shall show some results which correspond to this. It is necessary to show some obvious equalities.

- PROPOSITION 3.1.** (1)  $L\mathfrak{F} \cap \mathfrak{I}_0(\text{ser})^s = L\mathfrak{F} \cap \mathfrak{I}_0(\text{si})^s$ .  
 (2)  $L\mathfrak{F} \cap \mathfrak{C}_0(\text{ser})^s = L\mathfrak{F} \cap \mathfrak{C}_0(\text{si})^s$ .  
 (3)  $L\mathfrak{F} \cap \mathfrak{I}(\text{wser})^s = L\mathfrak{F} \cap \mathfrak{I}(\text{wsi})^s$ .  
 (4)  $L\mathfrak{F} \cap \mathfrak{C}(\text{wser})^s = L\mathfrak{F} \cap \mathfrak{C}(\text{wsi})^s$ .  
 (5)  $L\mathfrak{F} \cap \mathfrak{I}_0(\text{wser})^s = L\mathfrak{F} \cap \mathfrak{I}_0(\text{wsi})^s$ .  
 (6)  $L\mathfrak{F} \cap \mathfrak{C}_0(\text{wser})^s = L\mathfrak{F} \cap \mathfrak{C}_0(\text{wsi})^s$ .

**PROOF.** We only show (5) because the others can be proved similarly. Let  $L \in L\mathfrak{F} \cap \mathfrak{I}_0(\text{wsi})^s$  and let  $K \text{ wser } H \leq L$ . We can find a finite-dimensional subalgebra  $F$  of  $L$  which contains  $x$  and  $y$  for any  $x \in K$  and  $y \in H$ . Then  $F \cap K \text{ wsi } F \cap H$ . Hence  $[H, K] = K^2$ . This shows that  $L \in \mathfrak{I}_0(\text{wser})^s$ . The converse is clear. □

**REMARK.** Almost-abelian Lie algebras belong to the classes of (3), (4) but none of (1), (2), (5), (6) of Proposition 3.1.

We consider locally finite  $\mathfrak{C}_0^*$ -algebras.

**LEMMA 3.2.** *Let  $L$  be a locally finite  $\mathfrak{C}_0^*$ -algebra and let  $N$  be an ideal of  $L$ . Then:*

- (1)  $[L, N] = N^\omega$ .  
 (2)  $L/N$  is a  $\mathfrak{C}_0^*$ -algebra.

**PROOF.** (1) We first assume that  $L$  is finite-dimensional and  $L = N + \langle x \rangle$ . Let  $h$  be an element of  $N$ . Let  $H$  be a maximal soluble subalgebra of  $L$  containing  $h$ . Then  $H$  is abelian. We can consider the Fitting decomposition of  $L$  relative to  $\text{ad } H$ , say  $L = L_0 + L_1$ . It turns out that  $L = H + N$ , since  $H$  is a Cartan subalgebra of  $L$  and  $L_1 \subseteq L^2 \subseteq N$ . Hence  $[L, h] \subseteq N^2$  and  $[L, N] \subseteq N^2$  since  $h$  can be taken as an arbitrary element of  $N$ . It follows that

$$L^2 \subseteq N^2 + [x, N] \subseteq N^2.$$

By induction we have

$$L^{n+1} \subseteq [L, N^n] \subseteq N^{n+1}$$

for any  $n \geq 1$ . Consequently  $L = H + N^\omega$  and  $[L, h] \subseteq N^\omega$ . Hence we have  $[L, N] = N^\omega$ .

Now we go back to the general case. Let  $y \in L$  and  $z \in N$ . Since  $[(N \cap \langle y, z \rangle) + \langle y \rangle, N \cap \langle y, z \rangle] = (N \cap \langle y, z \rangle)^\omega$ , we have  $[y, z] \in N^\omega$ . Hence we have  $[L, N] = N^\omega$ .

(2) Let  $H/N$  be a nilpotent subalgebra of  $L/N$  and let  $x \in I_L(H)$ . Then we have  $[x, H] \subseteq H^\omega \subseteq N$  by (1). Therefore  $I_{L/N}(H/N) \subseteq C_{L/N}(H/N)$  and  $L/N$  satisfies the condition (5) of Proposition 2.2. Hence  $L/N$  is a  $\mathfrak{C}_0^*$ -algebra. □

The following result corresponds to [2, Theorem 3.9].

**THEOREM 3.3.**  $L\mathfrak{F} \cap \mathfrak{C}_0^* = L\mathfrak{F} \cap \mathfrak{T}_0(\text{si})^s$ .

**PROOF.** By Proposition 2.2, it suffices to prove  $L\mathfrak{F} \cap \mathfrak{C}_0^* \leq L\mathfrak{F} \cap \mathfrak{T}_0(\text{si})^s$ . Suppose that  $L \in L\mathfrak{F} \cap \mathfrak{C}_0^*$  and  $K \text{ si } H \leq L$ . Then  $K^\omega \triangleleft H$  by [1, Lemma 1.3.2] and  $K/K^\omega \text{ si } H/K^\omega$ . By using Lemma 3.2 we see that  $H/K^\omega$  is a  $\mathfrak{C}_0^*$ -algebra. For any elements  $x$  and  $y$  of  $K$ ,  $(\langle x, y \rangle + K^\omega)/K^\omega$  is nilpotent and so abelian. Therefore  $K/K^\omega$  is abelian and by Proposition 2.2

$$[H/K^\omega, (\langle x \rangle + K^\omega)/K^\omega] = 0$$

for any  $x \in K$ . Hence  $[H, K] = K^\omega$  and  $L \in \mathfrak{T}_0(\text{si})^s$ . □

For  $\mathfrak{C}^{(*)}$ -algebras we have the following

**THEOREM 3.4.**  $L\mathfrak{F} \cap \mathfrak{C}^{(*)} = L\mathfrak{F} \cap \mathfrak{T}(\text{wsi})^s$ .

**PROOF.** It suffices to prove that  $L\mathfrak{F} \cap \mathfrak{C}^{(*)} \leq L\mathfrak{F} \cap \mathfrak{T}(\text{wasc})^s$ . Let  $L \in L\mathfrak{F} \cap \mathfrak{C}^{(*)}$ , and let  $K$  be a subalgebra of  $L$ . Suppose that  $x$  is an element of  $L$  such that  $[x, K, K] \subseteq K$ . Let  $y$  be an element of  $K$ . Then  $[x, y, y] \in K$ . Since  $\langle x, y \rangle$  is finite-dimensional, there exist  $\alpha_1, \alpha_2, \dots, \alpha_n \in \mathbb{f}$  such that

$$\alpha_1[x, {}_2y] + \alpha_2[x, {}_3y] + \dots + \alpha_n[x, {}_{n+1}y] = 0$$

and at least one  $\alpha_i \neq 0$ . Since  $L \in \mathfrak{C}^{(*)}$ ,

$$\alpha_1[x, y] + \alpha_2[x, {}_2y] + \dots + \alpha_n[x, {}_ny] \in \langle y \rangle$$

and we may assume that  $\alpha_1$  is not zero. Hence  $[x, y] \in K$  and  $L \in \mathfrak{T}(\text{wasc})^s$  by Lemma 2.3. □

**COROLLARY 3.5.** *Let  $L$  be a locally finite  $\mathfrak{C}^{(*)}$ -algebra and let  $N$  be an ideal of  $L$ . Then  $L/N$  is a  $\mathfrak{C}^{(*)}$ -algebra.*

**PROOF.** Let  $K/N \text{ wsi } H/N \leq L/N$ . Then  $K \text{ wsi } H \leq L$ . It follows from Theorem 3.4 that  $K \triangleleft H$ . Hence  $K/N \triangleleft H/N$  and  $L/N$  is a  $\mathfrak{C}^{(*)}$ -algebra by Theorem 3.4. □

We also consider (A)-algebras. The following results can be proved as in Theorem 3.4 and Corollary 3.5.

**THEOREM 3.6.**  $L\mathfrak{F} \cap (\text{A}) = L\mathfrak{F} \cap \mathfrak{T}_0(\text{wsi})^s$ .

**COROLLARY 3.7.** *Let  $L$  be a locally finite (A)-algebra and let  $N$  be an ideal of  $L$ . Then  $L/N$  is an (A)-algebra.*

**REMARK.** In Theorems 3.3, 3.4 and 3.6 the ‘‘local finiteness’’ is necessary and the classes  $\mathfrak{C}_0^*$ ,  $\mathfrak{C}^{(*)}$  and (A) are not Q-closed in general (Example 6.1).



#### 4. Structure theorems

In this section we shall investigate locally finite  $\mathfrak{C}^*$ -algebras over a field of characteristic zero. The structure of  $\mathfrak{C}$ -algebras and  $\mathfrak{C}$ (wsi)-algebras are shown in [2] and [3]. By using the properties of  $\mathfrak{C}$ -algebras shown in [2] and the concept of (A)-algebras, we determine the structure of locally finite  $\mathfrak{C}^*$ -algebras and  $L(\text{wser}) \mathfrak{F} \cap \mathfrak{C}^*$ -algebras, which are main results of this paper.

We first show properties of  $\mathfrak{C}_0^*$ -algebras and (A)-algebras.

LEMMA 4.1. *Let  $L$  be a Lie algebra.*

- (1) *If  $L = \prod_{\lambda \in \Lambda} L_\lambda$  and each  $L_\lambda$  is a  $\mathfrak{C}_0^*$ -algebra, then  $L$  is a  $\mathfrak{C}_0^*$ -algebra.*
- (2) *If  $L = H \oplus K$  is a  $\mathfrak{C}^*$ -algebra,  $H \neq 0$  and  $K \neq 0$ , then  $L$  is a  $\mathfrak{C}_0^*$ -algebra.*
- (3) *If  $L = \prod_{\lambda \in \Lambda} L_\lambda$  and each  $L_\lambda$  is an (A)-algebra, then  $L$  is an (A)-algebra.*
- (4) *If  $L = H \oplus K$  is a  $\mathfrak{C}^{(*)}$ -algebra,  $H \neq 0$  and  $K \neq 0$ , then  $L$  is an (A)-algebra.*

PROOF. (1) Let  $x$  and  $y$  be elements of  $L$  such that  $[x, {}_n y, x] \in \langle x \rangle$  for any integer  $n \geq 1$ . Put  $x = (x_\lambda)_{\lambda \in \Lambda}$  and  $y = (y_\lambda)_{\lambda \in \Lambda}$ . Then for any  $\lambda \in \Lambda$ ,  $[x_\lambda, {}_n y_\lambda, x_\lambda] \in \langle x_\lambda \rangle$  for any integer  $n \geq 1$ . Since  $L_\lambda$  is a  $\mathfrak{C}_0^*$ -algebra,  $[x_\lambda, y_\lambda] = 0$  and  $[x, y] = 0$ . Therefore  $L = \mathfrak{C}_0^*$  by Proposition 2.2.

(2) Let  $M$  be a non-zero soluble subalgebra of  $H$ . Let  $x$  be a non-zero element of  $K$ . Since  $L$  is a  $\mathfrak{C}^*$ -algebra,  $N = M + \langle x \rangle$  is either abelian or almost-abelian. If  $N$  is almost-abelian, then  $\dim N/N^2 = 1$ , which is a contradiction since  $N^2 = M^2 < M$ . Therefore  $M$  must be abelian and so  $H$  is a  $\mathfrak{C}_0^*$ -algebra. We can show similarly that  $K$  is a  $\mathfrak{C}_0^*$ -algebra. Hence  $L$  is a  $\mathfrak{C}_0^*$ -algebra by (1).

(3) Clear by definition of an (A)-algebra.

(4) Let  $x$  and  $y$  be elements of  $H$  such that  $[x, y, y] = 0$ . We have  $[x, y] \in \langle y \rangle$  since  $L$  is a  $\mathfrak{C}^{(*)}$ -algebra. Let  $z$  be a non-zero element of  $K$ . Then  $[x, y + z, y + z] = 0$  and  $[x, y + z] \in \langle y + z \rangle$  since  $L$  is a  $\mathfrak{C}^{(*)}$ -algebra. Therefore  $[x, y] \in \langle y \rangle \cap \langle y + z \rangle = 0$ . Hence  $H$  is an (A)-algebra and  $L$  is an (A)-algebra. □

We shall show a characterization of the class  $\mathfrak{C}^*$  for locally finite Lie algebras.

THEOREM 4.2. *Let  $L$  be a Lie algebra over a field of characteristic zero. Then  $L$  is a locally finite  $\mathfrak{C}^*$ -algebra if and only if one of the following holds:*

- (1)  *$L$  is a locally finite (A)-algebra.*
- (2)  *$L$  is almost-abelian.*
- (3)  *$L$  is a 3-dimensional split simple Lie algebra.*

PROOF. If (1), (2) or (3) holds, then clearly  $L$  is a locally finite  $\mathfrak{C}^*$ -algebra. To show the converse, first we shall show the finite-dimensional case by induction on  $\dim L$ , and then we shall show the locally finite case.

(a) Let  $L$  be a finite-dimensional  $\mathfrak{C}^*$ -algebra and assume that every proper subalgebra of  $L$  satisfies one of (1)–(3). By [2, Theorem 2.3] we have  $L = R \oplus S$ , where  $R$  is an abelian or almost-abelian ideal of  $L$  and  $S$  is a semisimple ideal of  $L$ . If  $S = 0$ , then  $L$  satisfies (1) or (2). Assume that  $S \neq 0$ . If  $R \neq 0$  or  $S$  is not simple, then  $R$  and  $S$  belong to  $\mathfrak{C}_0^*$  by Lemma 4.1 (2). Therefore  $R$  is abelian and  $S$  is an (A)-algebra. Hence  $L$  satisfies (1) by Lemma 4.1 (3). Assume that  $L$  is simple and that  $L$  does not belong to (A). Then there are  $x$  and  $y$  in  $L$  such that  $[x, y, y] = 0$  and  $[x, y] \neq 0$ . Put  $z = [x, y]$ . Then  $\text{ad } z$  is nilpotent by [4, Lemma 4 in Chapter 2], and there are non-zero elements  $h$  and  $e$  in  $L$  such that  $[h, e] = e$  by [4, Theorem 17 in Chapter 3]. Assume that  $I_L(\langle h \rangle) \neq \langle h \rangle$  and take  $c \in I_L(\langle h \rangle) \setminus \langle h \rangle$ . For  $\alpha \in \mathbb{F}$ , put  $L_\alpha = \{v \in L: v(\text{ad } h - \alpha \cdot 1)^n = 0 \text{ for some } n\}$  and set  $H = L_1 + L_2 + L_3 + \dots$ . Since  $\langle c \rangle + H$  is soluble, it is either abelian or almost-abelian. If  $\langle c \rangle + H$  is abelian, then  $[c, e] = 0$ . If  $\langle c \rangle + H$  is almost-abelian, then  $[c, e] \in \langle e \rangle$  since  $(\langle c \rangle + H)^2 = H$ . Therefore  $\langle c \rangle + \langle h \rangle + \langle e \rangle$  is soluble and so almost-abelian. Consequently  $(\langle c \rangle + \langle h \rangle + \langle e \rangle)^2 = \langle h \rangle + \langle e \rangle$  is abelian, which is a contradiction. Hence  $\langle h \rangle$  is a Cartan subalgebra of  $L$  and  $L$  is 3-dimensional. It follows that  $L$  satisfies (3).

(b) Let  $L$  be a locally finite  $\mathfrak{C}^*$ -algebra. First assume that  $L$  includes a subalgebra  $S$  of type (3). By (a),  $\langle S, x \rangle$  is of type (3) for any  $x \in L$  and therefore  $L = S$  is of type (3). Assume that  $L$  includes no subalgebras of type (3), and assume that  $L$  includes a subalgebra of type (2). Then there are non-zero elements  $u, v \in L$  such that  $[u, v] = v$ . For any elements  $x$  and  $y$  of  $L$ ,  $\langle u, v, x, y \rangle$  is of type (2). Hence  $\langle x, y \rangle \in \mathfrak{A}_0$ . By [3, Lemma 2.1 (1)],  $L \in \mathfrak{A}_0$  and  $L$  is of type (2). Finally assume that  $L$  does not include subalgebras of type (2) or (3). Then for any elements  $x$  and  $y$  of  $L$ ,  $\langle x, y \rangle$  is of type (1). Therefore  $L$  is of type (1).  $\square$

REMARK. In Theorem 4.2 we cannot remove the condition that  $L$  is locally finite (Example 6.1). Also we cannot remove the condition that the field is of characteristic zero (Example 6.2).

By Theorem 4.2 we have characterizations of  $L\mathfrak{F} \cap \mathfrak{C}_0^*$  and  $L\mathfrak{F} \cap \mathfrak{C}^*$ .

COROLLARY 4.3. *Let  $L$  be a Lie algebra over a field of characteristic zero. Then  $L$  is a locally finite  $\mathfrak{C}^{(*)}$ -algebra if and only if either  $L$  is a locally finite (A)-algebra or  $L$  is almost-abelian.*

PROOF. Lie algebras satisfying (3) of Theorem 4.2 are not  $\mathfrak{C}^{(*)}$ -

algebras. Thus a locally finite  $\mathfrak{C}^{(*)}$ -algebra must satisfy (1) or (2). □

**COROLLARY 4.4.** *Let  $L$  be a locally finite Lie algebra over a field of characteristic zero. Then  $L$  is a  $\mathfrak{C}_0^*$ -algebra if and only if  $L$  is an (A)-algebra.*

**PROOF.** Lie algebras satisfying (2) or (3) of Theorem 4.2 are not  $\mathfrak{C}_0^*$ -algebras. Thus a locally finite  $\mathfrak{C}_0^*$ -algebra must be an (A)-algebra. □

By Theorem 4.2 and [3, Corollary 3.4] we have a structure theorem of  $\mathfrak{C}^*$ -algebras. We call a Lie algebra  $L$  reductive if  $L = R \oplus (\bigoplus_{\lambda \in \Lambda} S_\lambda)$ , where  $R$  is an abelian ideal of  $L$  and each  $S_\lambda$  is a finite-dimensional simple ideal of  $L$ .

**THEOREM 4.5.** *Let  $L$  be a Lie algebra over a field of characteristic zero. Then  $L$  belongs to  $L(wser) \mathfrak{F} \cap \mathfrak{C}^*$  if and only if one of the following holds:*

- (1)  $L$  is a reductive (A)-algebra.
- (2)  $L$  is a finite-dimensional almost-abelian Lie algebra.
- (3)  $L$  is a 3-dimensional split simple Lie algebra.

**PROOF.** By Theorem 4.2,  $L$  satisfies one of (1)–(3) of Theorem 4.2. If  $L$  satisfies (3) of Theorem 4.2, then  $L$  satisfies (3). We shall show that a Lie algebra  $L$  which satisfies (1) and (2) of Theorem 4.2 satisfies (1) and (2) respectively.

(1) By Proposition 3.1 and Theorem 3.6 we have  $L \in L(\triangleleft) \mathfrak{F}$ . It follows from [3, Corollary 3.4] that  $L = R \oplus S$ , where  $R$  is an abelian ideal of  $L$  and  $S$  is a semisimple ideal of  $L$ . By [1, Theorem 13.4.2] we have  $S = \bigoplus_{\lambda \in \Lambda} S_\lambda$ , where each  $S_\lambda$  is a finite-dimensional simple ideal of  $S$ . Hence (1) holds.

(2) By [3, Lemma 2.1 (2)] we have  $L \in L(\triangleleft) \mathfrak{F}$ . Let  $x \in L \setminus L^2$ . Then there is a finite-dimensional ideal  $H$  of  $L$  containing  $x$ . We have  $L = H$  and therefore  $L$  is finite-dimensional.

**COROLLARY 4.6.** *Let  $L$  be a Lie algebra over a field of characteristic zero. Then  $L$  belongs to  $L(wser) \mathfrak{F} \cap \mathfrak{C}^{(*)}$  if and only if either  $L$  is a reductive (A)-algebra or  $L$  is a finite-dimensional almost-abelian Lie algebra.*

**PROOF.** Lie algebras satisfying (3) of Theorem 4.5 are not  $\mathfrak{C}^{(*)}$ -algebras. Hence the assertion holds. □

Let  $L$  be a Lie algebra over a field  $\mathfrak{f}$ . An element  $x$  of  $L$  is ad-semisimple if there is a basis  $\{e_\lambda\}_{\lambda \in \Lambda}$  for  $L \otimes_{\mathfrak{f}} \mathfrak{f}$  and if there are elements  $\{\alpha_\lambda\}_{\lambda \in \Lambda}$  of  $\mathfrak{f}$  such that  $[e_\lambda, x] = \alpha_\lambda e_\lambda$  for any  $\lambda \in \Lambda$ . We call  $L$  ad-semisimple if  $x$  is ad-semisimple for any  $x \in L$ .

**COROLLARY 4.7.** *Let  $L$  be a  $L(wser) \mathfrak{F}$ -algebra over a field of characteristic zero. Then the following are equivalent:*

- (1)  $L \in \mathfrak{C}_0^*$ .

- (2)  $L \in (A)$ .
- (3)  $L$  is a reductive (A)-algebra.
- (4) Every subalgebra of  $L$  is reductive.
- (5)  $L$  is ad-semisimple.

PROOF. Implications (5)  $\Rightarrow$  (2)  $\Rightarrow$  (1), (3)  $\Rightarrow$  (2) and (4)  $\Rightarrow$  (1) are trivial. Assume (1). Then  $L$  satisfies (1) of Theorem 4.5 by Theorem 4.5. Therefore  $L$  satisfies (3) and (4). By [6, Theorem 1] each direct summand  $S_\lambda$  is ad-semisimple. Hence  $L$  satisfies (5). □

We can generalize [6, Theorems 1 and 2] in the following

COROLLARY 4.8. *Let  $L$  be a Lie algebra over a field of characteristic zero. If  $L \in L(\text{wsi}) \mathfrak{F} \cap L(\text{ser}) \mathfrak{F}$  (resp.  $L \in L(\text{ser}) \mathfrak{F}$ ), then the conditions (1)–(5) of Corollary 4.7 and the condition  $L \in \mathfrak{C}_0(\text{wsi})$  (resp.  $L \in \mathfrak{C}_0(\text{wasc})$ ) are equivalent.*

PROOF. The assertion follows from [3, Corollary 3.9] (resp. [3, Corollary 3.4]). □

REMARK. Since 3-dimensional split simple Lie algebras belong to  $\mathfrak{C}_0(\text{ser}) \setminus \mathfrak{C}_0^*$ , the above conditions are not equivalent to “ $L \in \mathfrak{C}_0(\text{si})$ ” or “ $L \in \mathfrak{C}_0(\text{asc})$ ” even if  $L$  is finite-dimensional.

### 5. Conditions to be abelian or almost-abelian

The structure of generalized soluble  $\mathfrak{C}^*$ -algebras over any field and locally finite  $\mathfrak{C}^*$ -algebras over an algebraically closed field are investigated in [2]. In this section we shall generalize them and apply to the classes  $\mathfrak{C}_0^*$ ,  $\mathfrak{C}^{(*)}$  and (A).

First we shall generalize [2, Proposition 3.11]. Let  $\mathfrak{X}$  be a class of Lie algebras. We define the class  $\{L, \acute{e}\} \mathfrak{X}$  to be the smallest  $L$ -closed and  $\acute{e}$ -closed class containing  $\mathfrak{X}$ . For any ordinal  $\alpha$ , we inductively define the class  $(L\acute{e})^\alpha \mathfrak{X}$  as follows:  $(L\acute{e})^0 \mathfrak{X} = \mathfrak{X}$ ,  $(L\acute{e})^{\alpha+1} \mathfrak{X} = L\acute{e}((L\acute{e})^\alpha \mathfrak{X})$  for an ordinal  $\alpha$ ,  $(L\acute{e})^\lambda \mathfrak{X} = \bigcup_{\alpha < \lambda} (L\acute{e})^\alpha \mathfrak{X}$  for each limit ordinal  $\lambda$ . We denote by  $(L\acute{e})^* \mathfrak{X}$  the class of Lie algebras  $L$  such that  $L \in (L\acute{e})^\alpha \mathfrak{X}$  for some ordinal  $\alpha$ . It is easy to verify that  $(L\acute{e})^* \mathfrak{X}$  is  $L$ -closed and  $\acute{e}$ -closed. Hence a Lie algebra  $L$  belongs to  $\{L, \acute{e}\} \mathfrak{X}$  if and only if  $L$  belongs to  $(L\acute{e})^\alpha \mathfrak{X}$  for some ordinal  $\alpha$ .

PROPOSITION 5.1. *Let  $\mathfrak{X}$  be a class of Lie algebras. If  $\mathfrak{C}^* \cap \mathfrak{X} = \mathfrak{A}_0$ , then  $\mathfrak{C}^* \cap \{L, \acute{e}\} \mathfrak{X} = \mathfrak{A}_0$ .*

PROOF. Assume that  $\mathfrak{C}^* \cap \mathfrak{X} = \mathfrak{A}_0$ . By the above remark it suffices to show that  $\mathfrak{C}^* \cap L\acute{e} \mathfrak{X} = \mathfrak{A}_0$ . Let  $L \in \mathfrak{C}^* \cap L\acute{e} \mathfrak{X}$ , and let  $x$  be any element of  $L^{(2)}$ . Then there is an  $\acute{e} \mathfrak{X}$ -subalgebra  $H$  of  $L$  such that  $x \in H^{(2)}$ . Let  $(H_\alpha)_{\alpha \leq \sigma}$  be an ascending  $\mathfrak{X}$ -series of  $H$ . We shall show by transfinite induction on  $\alpha$

that  $H_\alpha \in \mathfrak{A}_0$  for any ordinal  $\alpha \leq \sigma$ . Assume that  $H_\beta \in \mathfrak{A}_0$  for all  $\beta < \alpha$ . If  $\alpha$  is a limit ordinal, then  $H_\alpha = \bigcup_{\beta < \alpha} H_\beta$  is soluble. Therefore  $H_\alpha$  is either abelian or almost-abelian. Otherwise, if  $M/H_{\alpha-1}$  is a soluble subalgebra of  $H_\alpha/H_{\alpha-1}$ , then  $M$  is soluble. Hence we see that  $H_\alpha/H_{\alpha-1}$  is a  $\mathfrak{C}^*$ -algebra. Therefore  $H_\alpha/H_{\alpha-1} \in \mathfrak{C}^* \cap \mathfrak{X} = \mathfrak{A}_0$ . It follows that  $H_\alpha$  is soluble and therefore  $H_\alpha$  is either abelian or almost-abelian. Hence we have  $x \in H^{(2)} = 0$ . Therefore  $L^{(2)} = 0$ . We can conclude that  $L$  is either abelian or almost-abelian by a characterization of  $\mathfrak{C}^*$ . □

Let  $\mathfrak{X} = \mathfrak{E}\mathfrak{A}$  in Proposition 5.1. Then we obtain the following result.

- COROLLARY 5.2. (1)  $\mathfrak{C}^* \cap \{L, \acute{e}\} \mathfrak{A} = \mathfrak{C}^{(*)} \cap \{L, \acute{e}\} \mathfrak{A} = \mathfrak{A}_0$ .  
 (2)  $\mathfrak{C}_0^* \cap \{L, \acute{e}\} \mathfrak{A} = (A) \cap \{L, \acute{e}\} \mathfrak{A} = \mathfrak{A}$ .

Next we shall show the structure of  $\mathfrak{C}_0^*$ ,  $\mathfrak{C}^{(*)}$  and (A)-algebras in a locally finite case over an algebraically closed field.

PROPOSITION 5.3. *Over an algebraically closed field*

- (1)  $L \mathfrak{F} \cap \mathfrak{C}_0^* = \mathfrak{A}$ .  
 (2)  $L \mathfrak{F} \cap \mathfrak{C}^{(*)} = \mathfrak{A}_0$ .  
 (3)  $L \mathfrak{F} \cap (A) = \mathfrak{A}$ .

PROOF. By [2, Proposition 3.10] over an algebraically closed field locally finite  $\mathfrak{C}^*$ -algebras are abelian, almost-abelian or 3-dimensional split simple, but a 3-dimensional split simple Lie algebra does not belong to  $\mathfrak{C}_0^* \cup \mathfrak{C}^{(*)}$ . Therefore the assertion is clear. □

REMARK. An ad-semisimple Lie algebra over an algebraically closed field is always abelian. If  $\text{char } \mathfrak{f} = 0$ , then there is a non-abelian (A)-algebra over  $\mathfrak{f}$  (Example 6.1).

## 6. Examples

In this section we shall give examples.

EXAMPLE 6.1. Let  $W_0$  be a Witt algebra, that is, a Lie algebra over a field of characteristic zero with basis  $\{w_0, w_1, w_2, \dots\}$  and multiplication  $[w_i, w_j] = (i - j)w_{i+j}$ . Then  $W_0 \notin \mathfrak{C}_0^* \cup \mathfrak{A}_0$ . Let  $W$  be the subalgebra of  $W_0$  generated by  $w_1, w_2, \dots$ . For a non-zero element  $x = \sum_{i=0}^\infty \alpha_i w_i$  of  $W_0$ , put  $\max(x) = \max\{n : \alpha_n \neq 0\}$ . Let  $x, y \in W_0$  such that  $[x, y, y] \in \langle y \rangle$  and  $[x, y] \notin \langle y \rangle$ . Put  $m = \max([x, y])$  and  $n = \max(y)$ . Since  $W_0^2 = W$ , we have  $m \neq 0$ . Therefore we have  $m = n$ . Let  $[x, y] = \sum_{i=0}^\infty \alpha_i w_i$  and  $y = \sum_{i=0}^\infty \beta_i w_i$ . Put  $z = \beta_m [x, y] - \alpha_m y$ . Then we have  $[z, y] \in \langle y \rangle$ . We have

$\max(z) = 0$  since  $\max(z) < m$ . Consequently  $z \in \langle w_0 \rangle$  and  $y \in \langle w_m \rangle$ . We have  $[x, y] \in (\langle w_0 \rangle + \langle w_m \rangle) \cap W = \langle y \rangle$ . Hence  $W_0$  is a  $\mathfrak{C}^{(*)}$ -algebra. By [3, Example 4]  $W \in (A)$ . We easily see that  $W/\langle w_4, w_5, \dots \rangle \notin \mathfrak{C}$  and therefore  $W \notin \mathfrak{I}$ . Hence over a field of characteristic zero the classes  $\mathfrak{C}_0^*$ ,  $\mathfrak{C}^{(*)}$  and (A) are not Q-closed and

$$(A) \not\subseteq \mathfrak{I}, (A) \cup \mathfrak{A}_0 < \mathfrak{C}^{(*)}.$$

EXAMPLE 6.2. Let  $\mathbb{f}$  be a field of characteristic 2 and let  $\mathbb{f}_1$  be the field of rational functions  $\mathbb{f}(\lambda, \mu)$ . Let  $L$  be a Lie algebra over  $\mathbb{f}_1$  with basis  $\{w, x, y, z\}$  and multiplication  $[x, y] = \lambda z$ ,  $[y, z] = \mu x$ ,  $[z, x] = y$ ,  $[w, x] = 0$ ,  $[w, y] = y$ ,  $[w, z] = z$ . Clearly  $L$  does not belong to  $\mathfrak{C}_0^* \cup \mathfrak{A}_0$ . Let  $H = \langle x, y, z \rangle$  and let  $u, v \in L$  such that  $[u, v, v] \in \langle v \rangle$ . Then  $[u, v] \in H$ . We shall show that  $[u, v] \in \langle v \rangle$ . Put  $v = \alpha w + \beta x + \gamma y + \delta z$  and  $L_0 = \{t \in L: [t, {}_n v] = 0 \text{ for some integer } n\}$ . Then the characteristic polynomial of  $\text{ad } v$  is

$$X^4 + (\alpha^2 + \beta^2 \lambda + \gamma^2 \lambda \mu + \delta^2 \mu) X^2 + \alpha(\gamma^2 \lambda + \delta^2) \mu X.$$

If  $\alpha(\gamma^2 \lambda + \delta^2) \mu \neq 0$ , then  $\dim L_0 = 1$ . Hence  $u \in \langle v \rangle$ . We consider the case  $\alpha(\gamma^2 \lambda + \delta^2) \mu = 0$ . If  $\alpha = 0$ , then  $v \in H$  and the characteristic polynomial of  $\text{ad } v|_H$  is

$$X^3 + (\beta^2 \lambda + \gamma^2 \lambda \mu + \delta^2 \mu) X.$$

If  $\beta^2 \lambda + \gamma^2 \lambda \mu + \delta^2 \mu \neq 0$ , then  $\dim(L_0 \cap H) = 1$  and  $[u, v] \in \langle v \rangle$ . Otherwise, put  $\beta = \beta_1/\beta_2$ ,  $\gamma = \gamma_1/\gamma_2$  and  $\delta = \delta_1/\delta_2$ , where  $\beta_1, \beta_2, \gamma_1, \gamma_2, \delta_1, \delta_2$  are polynomials of  $\lambda$  and  $\mu$  in  $\mathbb{f}$ . Then we have

$$\beta_1^2 \gamma_2^2 \delta_2^2 \lambda + \beta_2^2 \gamma_1^2 \delta_2^2 \lambda \mu + \beta_2^2 \gamma_2^2 \delta_1^2 \mu = 0.$$

Since  $\beta_1^2 \gamma_2^2 \delta_2^2$ ,  $\beta_2^2 \gamma_1^2 \delta_2^2$  and  $\beta_2^2 \gamma_2^2 \delta_1^2$  are polynomials of  $\lambda^2$  and  $\mu^2$ , we have  $\beta = \gamma = \delta = 0$ . Hence  $v = 0$ . Finally we consider the case  $\gamma^2 \lambda + \delta^2 = 0$ . Then  $\gamma = \delta = 0$ . Therefore  $v \in \langle w, x \rangle$ . The characteristic polynomial of  $\text{ad } v$  is

$$X^4 + (\alpha^2 + \beta^2 \lambda) X^2.$$

If  $\alpha^2 + \beta^2 \lambda \neq 0$ , then  $\dim L_0 = 2$ . Hence  $u \in \langle w, x \rangle$  and  $[u, v] = 0$ . If  $\alpha^2 + \beta^2 \lambda = 0$ , then  $v = 0$ . Hence  $L$  is a  $\mathfrak{C}^{(*)}$ -algebra. Over  $\mathbb{f}_1$  we have

$$(A) \cup \mathfrak{A}_0 < \mathfrak{C}^{(*)}.$$

EXAMPLE 6.3. Let  $\mathbb{f}$  be a subfield of the field of real numbers or a field like  $\mathbb{f}_1$  in Example 6.2. Then there is a 3-dimensional non-split simple Lie algebra over  $\mathbb{f}$ . Let us construct  $L = R \oplus S$ , where  $R$  is an almost-abelian ideal of  $L$  and  $S$  is a 3-dimensional non-split simple ideal of  $L$ . By [3, Lemma 3.1],  $L$  belongs to  $\mathfrak{C}(\text{wasc})$ , and by Lemma 4.1 (4),  $L$  does not belong to  $\mathfrak{C}^{(*)}$ . Hence

over  $\mathfrak{f}$  we have

$$\mathfrak{C}^{(*)} < \mathfrak{C}(\text{wasc}).$$

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*Department of Mathematics,  
Faculty of Science,  
Hiroshima University*

