# SUMS AND DIFFERENCES OF FINITE SETS

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To Jean-Marc Deshouillers, for his 60th birthday

**Abstract:** In a given abelian group, let A and B be two finite subsets satisfying the small sumset condition  $|A + B| \leq K|A|$ . We consider the problem of estimating how large |A - B| can be in terms of |A| and K and the one of estimating the ratio |X - B|/|X| when X runs over all the non-empty subsets of A.

Keywords: sumset, difference set, Plünnecke inequality.

# 1. Introduction and statement of the results

Let A and B be two non-empty and finite subsets of an abelian group G. The cardinality of any finite set X is written |X|. As usual, we denote by A+B (resp. A-B) the set of all sums a+b (resp. differences a-b) where  $a \in A$  and  $b \in B$ . The set of all sums of h elements of B is denoted by hB. In the last fifteen years, several papers were concerning with the problem of comparing the relative sizes of A+B and A-B. We clearly have  $\max(|A|, |B|) \leq |A \pm B| \leq |A| |B|$ . The upper bound is achieved when A and B are generic sets, that is when the only solutions of a+b=a'+b',  $a,a' \in A$ ,  $b,b' \in B$  are the trivial solutions (a,b) = (a',b'). This shows that there is no non-trivial solution for a-b'=a'-b,  $a,a' \in A$ ,  $b,b' \in B$ , thus we also have |A-B| = |A| |B|. If |A+B| = |A|, then A+B-B = A, which implies |A-B| = |A|. In this paper we consider the question of comparing the size of A-B with that of A+B when  $|A+B| \leq K|A|$ .

For multiple addition or difference, sharp results have been obtained thanks to a very efficient theorem of Plünnecke. According to [4], this result known as Plünnecke inequalities, can be stated as follows:

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(i) Assume that  $|A + B| \leq K|A|$ . Then for any positive integer h, there exists a non-empty subset X of A such that

$$|X + hB| \leqslant K^h |X|. \tag{1}$$

(ii) Assume that for a positive integer j one has  $|A+jB| \leq K|A|$ . Then for any integer  $h \geq j$ , there exists a non-empty subset X of A such that

$$|X + hB| \leqslant K^{h/j}|X|. \tag{2}$$

(iii) Assume that  $|A + B| \leq K|A|$ . Then for any nonnegative integers h, j, one has

$$|hB - jB| \leqslant K^{h+j}|A|.$$

Assertion (i) is a particular case of (ii) and assertion (iii) is obtained by using (ii) and the inequality (cf. [4])

$$|X - Y| \leqslant \frac{|X + Z||Y + Z|}{|Z|},\tag{3}$$

which is valid for any finite sets X, Y, Z. It is quite clear that in general the set X in (i) and (ii) of Plünnecke inequalities cannot be reduced to a singleton (just think A = B being a large finite arithmetic progression). On the other hand, it is worth mentioning that in general one cannot take X = A (see [6] for more details on this question).

Letting j = 0 and h = 2 in assertion (iii) of Plünnecke inequality, we obtain  $|2B| \leq |A+B|^2/|A|$ . Thus we have

$$|A - B| \leqslant \frac{|A + B||2B|}{|B|} \leqslant \frac{|A + B|^3}{|A||B|} = \left(\frac{|A + B|^2}{|A||B|}\right)|A + B|, \tag{4}$$

by using inequality (3). When |A|, |B| and |A + B| are of comparable size, this inequality shows that |A-B| has also a bounded ratio with |A|. If we only assume that  $|A+B| \leq K|A|$ , it is not true that |A-B|/|A| is bounded by some constant depending on K, except in the special case K = 1. Indeed, the third-named author proved in [6] the following result: There exists a real number  $\theta > 1$  such that for any K > 1 and arbitrarily large integers n, there are two sets of integers A and B satisfying

$$|A| = n, \quad |A+B| \leqslant K|A| \quad and \quad |A-B| \geqslant c(K)|A+B|^{\theta}, \tag{5}$$

where c(K) > 0.

The discussion above shows that the only way to extend this statement to K = 1 is to let c(1) = 0.

As shown in [6], the choice  $\theta = 2 - \frac{\log 6}{\log 7} = 1.0792...$  is admissible in (5). The proof is based on a elementary construction which uses the fact that the set

 $U = \{0, 1, 3\}$  satisfies |U + U| = 6 and |U - U| = 7. In this connection and for future references we notice that (3) yields

$$|U - U| \le |U + U|^{4/3}.$$
 (6)

In [2], it is shown that for any  $\lambda < \frac{\log(1+\sqrt{2})}{\log 2} = 1.2715...$ , there exist sets A of nonnegative integers such that  $|A - A| \approx |A + A|^{\lambda}$ , but A does not fulfill the condition  $|A + A| \approx |A|$  any more. Nevertheless these sets allow us to show that the exponent  $\theta$  in (5) can be slightly improved as regards to the original result:

**Theorem 1.** Let K > 1 be a real number. There exist a real number  $\theta_0 > 1.14465$  and two sets of integers A and B with |A| arbitrarily large such that

$$|A+B| \leq K|A|$$
 and  $|A-B| \geq \left(\frac{2(K-1)}{3K}\right)^{5/4} |A+B|^{\theta_0}$ . (7)

Using similar ideas, one can show that there exists a positive real number c(K) such that for any positive integer n, there exists two sets of integers A and B for which (5) holds with  $\theta = \theta_0$ .

The easy bound  $|2B| \leq |B|^2$  and (4) imply  $|A - B| \leq |A + B| |2B|^{1/2}$ . Since  $|3B|^{1/3} \leq |2B|^{1/2}$  (see [6, Theorem 7.2] and also [7]), the following result provides a strengthened estimate.

**Theorem 2.** Let A and B two finite sets in an abelian group. Then

$$|A - B| \leq |A + B| |3B|^{1/3}.$$
(8)

In [7], the third-named author suggested that perhaps, the sequence  $(|hB|^{1/h})_{h \ge 1}$  is non-increasing. A natural problem is to find for which integers h we have

$$|A - B| \leqslant |A + B| |hB|^{1/h} \tag{9}$$

for any sets A and B. Assume that this bound holds for some  $h \ge 1$ . By Plünnecke inequality, we have  $|hB| \le K^{h-1}|A+B|$ , where K = |A+B|/|A|. Therefore  $|A-B| \le K^{1-1/h}|A+B|^{1+1/h}$ . This contradicts Theorem 1 for  $h \ge 7$  (see also the remark at the end of Section 2).

Using the trivial fact that  $|A||B| \ge |A-B|$ , the bound  $|A-B| \le |A+B|^{3/2}$  follows from (4). This estimate can be strengthened if we further assume that  $|A+B| \le K|A|$ :

**Corollary 3.** Let A and B be two finite sets such that  $|A + B| \leq K|A|$ . Then

$$|A - B| \leqslant K^{2/3} |A + B|^{4/3}.$$

Indeed, as  $|3B| \leq |A+B|^3/|A|^2$  by Plünnecke inequality, Theorem 2 gives

$$|A - B| \leqslant \frac{|A + B|^2}{|A|^{2/3}} \leqslant K^{2/3} |A + B|^{4/3}.$$

From Corollary 3 we deduce that the value of  $\theta$  in (5) and that of  $\theta_0$  in (7) cannot be larger than 4/3.

We now consider the following related question: under the same assumption  $|A+B| \leq K|A|$ , how large can be |X-B|/|X| where X runs over all the subsets of A? Using Plünnecke inequality (1), it is possible to obtain the following upper bound for this ratio:

**Theorem 4.** Let A and B be non-empty and finite subset of some abelian group such that  $|A+B| \leq K|A|$ . Then there exists some non-empty subset X of A such that

$$\frac{|X-B|}{|X|} \leqslant K \exp\left(2\sqrt{(\log K)(\log |A|)}\right).$$
(10)

We observed above that |A-B|/|A| can be very large even in the case where |A+B|/|A| is bounded. The following result shows that this fact is in some sense uniform (see [6]): There exist two sets A and B with |A| arbitrarily large and  $|A+B| \leq 3|A|$  such that for any  $X \subset A$ , one has  $|X-B| \geq \frac{1}{3}(\log |A|)|X|$ . By a modification of the argument, this result may be improved in the following way:

**Theorem 5.** Let K > 1 and  $\tau$  such that  $0 < \tau < 1 - 1/K$ , and define

$$f(\tau) = (-\tau \log \tau - (1 - \tau) \log(1 - \tau)).$$

Then for any  $c < \sqrt{\frac{2}{3}}f(\tau)$  there exist two sets A and B with |A| arbitrarily large and  $|A + B| \leq K|A|$  such that for any non-empty subset X of A, one has

$$\frac{|X - B|}{|X|} \ge \exp\left(c\sqrt{(\log((1 - \tau)K))(\log|A|)(\log\log|A|)^{-1}}\right).$$

As an immediate consequence, we obtain for K not too close to 1:

**Corollary 6.** Let K > 2. Then for any  $c < \frac{\sqrt{2} \log 2}{\sqrt{3}}$ , there exist two sets A and B with |A| arbitrarily large and  $|A + B| \leq K|A|$  such that for any non-empty subset X of A, one has

$$\frac{|X-B|}{|X|} \ge \exp\left(c\sqrt{(\log(K/2))(\log|A|)(\log\log|A|)^{-1}}\right).$$

This uniform lower bound for |X - B|/|X| can be compared to the upper bound (10) obtained in Theorem 4.

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# 2. Sumset and difference set

# Proof of Theorem 1. The result will follow from

**Lemma.** Let K > 1 be a real number and let U be a finite, non-empty set of nonnegative integers containing 0. Set s = |2U|, d = |U-U|,  $q = 2 \max U + 1$  and  $\theta = 1 + \log(d/s)/\log q$ . If d < q, then there exist pairs (A, B) of finite, non-empty integer sets with |B| arbitrarily large such that  $|A + B| \leq K|A|$  and

$$|A - B| \ge (2(K - 1)/3K)^{5/4}|A + B|^{\theta}.$$
(11)

**Proof.** We fix k any arbitrary large integer. Set

$$B = \left\{ \sum_{j=0}^{k-1} u_j q^j : u_j \in U, \ j = 0, \dots, k-1 \right\},\$$

and

$$A = [1, L] \cup \bigcup_{i=1}^{m} (a_i + B),$$

where the  $a_i$ 's are positive integers larger than  $L + q^k$  and such that  $a_i - a_j \notin (B - B) \cup 2B$  unless i = j. Since max  $B < q^k$ , we have

$$|A| \ge L+1, \quad |A+B| = ms^k + t, \quad |A-B| = md^k + t,$$

where t := |[1, L] + B| = |[1, L] - B|. Since  $B \subset [0, \frac{q^k}{2}]$ , we note that  $L \leq t \leq L + \frac{q^k}{2}$ . We choose

$$L = \left\lfloor \frac{3q^k}{2(K-1)} \right\rfloor.$$

Letting  $m = \lfloor \left(\frac{q}{s}\right)^k \rfloor$ , we obtain  $|A+B| \leq q^k + t \leq \frac{3}{2}q^k + L \leq \frac{3Kq^k}{2(K-1)} \leq K(L+1) \leq K|A|$  and  $|A-B| \geq \left(\frac{qd}{s}\right)^k - d^k + t \geq \left(\frac{qd}{s}\right)^k$  if we assume further that d < q and k is sufficiently large. Consequently

$$|A - B| \ge \left(\frac{qd}{s}\right)^k \ge \left(\frac{2(K - 1)|A + B|}{3K}\right)^{1 + \frac{\log d - \log s}{\log q}}$$

By (6), we have  $d \leq \max(q, s^{4/3})$ , thus

$$1 + \frac{\log d - \log s}{\log q} \leqslant \frac{5}{4}.$$
 (12)

We finally get (11).

**Remark.** It is worth mentioning that (12) implies that the largest exponent  $\theta$  that could be eventually obtained by this method is at most equal to 5/4.

By an exhaustive computational research, we got the set  $U = \{0, 1, 3, 6, 13, 17, 21\}$  which satisfies |U + U| = 26, |U - U| = 39 and q = 43, thus the exponent  $\theta = 1 + \frac{\log 39 - \log 26}{\log 43} = 1.1078...$  is admissible in (5) with  $c(K) = \left(\frac{2(K-1)}{3K}\right)^{5/4}$ . This set U provides the optimal value of  $\frac{\log d(U) - \log s(U)}{\log q(U)}$  when U runs over all sets of nonnegative integers of cardinality less than or equal to 11.

In order to improve the admissible exponent in (5), we will use some idea from [2]. We denote  $\mathbb{N}$  the set of all nonnegative integers. Let

$$V = V(m, L) = \{ (x_1, \dots, x_m) \in \mathbb{N}^m : x_1 + \dots + x_m \leq L \}.$$
(13)

Then by lemmas 1 and 2 of [2], we get

$$|V| = \binom{m+L}{m}, \ |2V| = \binom{m+2L}{m}, \ |V-V| = \sum_{k=0}^{\min(m,L)} \binom{m}{k}^2 \binom{L+m-k}{m}.$$
(14)

Let  $\Lambda = (L_j)_{j \ge 0}$  be the sequence defined by

$$L_0 = 1, \quad L_{j+1} = 2LL_j + 1, \ j \ge 0.$$
(15)

By projection of V on the set of nonnegative integers  $(x_1, \ldots, x_m) \mapsto x_1 + x_2L_1 + x_3L_2 + \cdots + x_mL_{m-1}$ , by which the number of sums and the number of differences are preserved, we get a set U verifying max  $U = LL_{m-1}$ . Solving the linear recurrence (15), we obtain  $L_{m-1} = \frac{(2L)^m - 1}{2L - 1}$ , thus  $q(U) = 2 \max U + 1 = \frac{(2L)^{m+1} - 1}{2L - 1}$ . The choice m = 8, L = 9 gives a set U with |U| = 24310, s(U) = 1562275, d(U) = 23301307 and q(U) = 11668193551. This yields the exponent

$$\theta = 1 + \frac{\log d(U) - \log s(U)}{\log q(U)} = 1.1165..$$

in (5).

We may observe that when projecting V on the set of integers, we only need to select a sequence  $\Lambda = (L_j)_{j=0,\dots,m-1}$  such that the number of sums (and hence also the number of differences) are preserved. For this we can argue by induction applying the following greedy algorithm: let  $L_0 = 1$ , and assume that for some  $1 \leq j \leq m-1$ ,  $L_0 < L_1 < \ldots < L_{j-1}$  have been chosen so that the mapping  $p_j: (x_1,\dots,x_j) \mapsto x_1 + x_2L_1 + x_3L_2 + \cdots + x_jL_{j-1}$  preserves the number of sums from  $S(j,L) := \{(x_1,\dots,x_j) \in \mathbb{N}^j : x_1 + \cdots + x_j \leq L\}$ . Put  $U(j,L) := p_j(S(j,L))$  and let

$$L_j := \min\{l > LL_{j-1} : l \notin U(j,L) + U(j,L) - U(j,L) - U(j,L-1)\}.$$

Then the projection  $p_{j+1}: (x_1, \ldots, x_{j+1}) \mapsto x_1 + x_2L_1 + x_3L_2 + \cdots + x_jL_{j-1} + x_{j+1}L_j$  preserves the number of sums from S(j+1,L). Indeed let  $x, y, z, t \in S(j+1,L)$  such that

$$p_{j+1}(x) + p_{j+1}(y) = p_{j+1}(z) + p_{j+1}(t).$$
(16)

If  $x_{j+1} = y_{j+1} = z_{j+1} = t_{j+1} = 0$ , then

$$p_j(x_1, \dots, x_j) + p_j(y_1, \dots, y_j) = p_j(z_1, \dots, z_j) + p_j(t_1, \dots, t_j),$$
(17)

hence by induction hypothesis x + y = z + t. Otherwise, we may assume that  $x_{j+1} + y_{j+1} - z_{j+1} - t_{j+1} \ge 0$  and  $x_{j+1} \ge 1$ . Then  $(x_1, \ldots, x_j) \in S(j, L-1)$  and by (16), one has  $(x_{j+1} + y_{j+1} - z_{j+1} - t_{j+1})L_j = p_j(t_1, \ldots, t_j) + p_j(z_1, \ldots, z_j) - p_j(y_1, \ldots, y_j) - p_j(x_1, \ldots, x_j) \in U(j, L) + U(j, L) - U(j, L) - U(j, L-1)$ . Since  $\max(U(j, L) + U(j, L) - U(j, L) - U(j, L-1)) < 2L_j$  and  $L_j \notin U(j, L) + U(j, L) - U(j, L) + U(j, L) - U(j, L) + (t_j, L) + (t_$ 

#### $\Lambda = (1, 15, 211, 1590, 14976, 109870, 788046, 5535439, 38772709)$

yielding by projection a sequence U of integers such that  $q(U) = 2 \max U + 1 = 542817927$ . Since sums and differences are preserved in cardinality, of course by (14) we have  $s(U) = \binom{23}{9} = 817190$  and  $d(U) = \sum_{k=0}^{6} \binom{9}{k}^2 \binom{16-k}{9} = 12494233$ . We thus get  $\theta = 1.135596$  as an admissible exponent.

It is still possible to improve it by relaxing the definition of the sequence  $\Lambda = (L_j)_{j=0,\dots,m-1}$  by removing the condition  $L_j > LL_{j-1}, j \ge 1$ . We thus obtain a new sequence  $\Lambda$  for which the projection  $p_j : (x_1, \dots, x_j) \mapsto x_1 + x_2L_1 + x_3L_2 + \dots + x_jL_{j-1}$  does not necessary preserve the number of sums nor the number of differences. However only a few number of sums and differences are lost through the projection  $p_j$ . This gives for m = 11, L = 7 and

# $\Lambda = (1, 15, 211, 1590, 14976, 109870, 605315, 3362489, 17767138, 80137194, 408850463)$

a set U verifying

$$s(U) = 4455634, \quad d(U) = 110205905, \quad q(U) = 2 \max U + 1 = 5723906483.$$

This yields the admissible exponent  $\theta = 1.144655$ .

**Proof of Theorem 2.** The Plünnecke inequality (i) given in the introduction has the disadvantage not to give any information on the size of the subset X of A. However by repeated application of it, it has been shown by the third-named author that an analogue result holds with a large subset X of A (see [7, Theorem 3.3]). In a weaker but more convenient form, it can be stated as follows:

**Lemma.** Let K and  $\delta$  be positive real numbers, h be a positive integer and A, B be finite and non-empty subsets of an abelian group such that  $|A+B| \leq K|A|$ .

Then there exists a subset X of A with  $|X| \ge (1-\delta)|A|$  such that  $|X + hB| \le 2K^h \delta^{1-h}|A|$ .

We now complete the proof of Theorem 2. We use the following notation: |A| = m,  $|jB| = n_j$ ,  $|B| = n = n_1$ , |A + B| = s and |A - B| = d. We obviously have

$$d \leqslant mn. \tag{18}$$

We also use several instances of (3). First we put X = A, Y = B, Z = B to obtain

$$d \leqslant \frac{sn_2}{n}.\tag{19}$$

Next we put Y = B, Z = 2B to obtain

$$|X - B| \leq |X + 2B| \frac{n_3}{n_2}.$$
 (20)

We will use this for a large subset X of A for which X + 2B is small and in view of (20) we will then estimate A - B by

$$|A - B| \le |X - B| + |(A \setminus X) - B| \le |X + 2B| \frac{n_3}{n_2} + n(m - |X|).$$

For the set X given in the lemma with h = 2, we deduce

$$|A - B| \leqslant \frac{2n_3 s^2}{n_2 \delta m} + \delta nm.$$
<sup>(21)</sup>

Choosing  $\delta = \frac{s}{m} \left(\frac{2n_3}{nn_2}\right)^{1/2}$  in this inequality, we find

$$|A - B|^2 \leqslant (2s)^2 \left(\frac{2nn_3}{n_2}\right).$$

Multiplying this inequality with (19) and taking the cube root, we obtain  $d \leq 2sn_3^{1/3}$ , which is the requested inequality apart from the factor 2. We can remove it as follows. Take our sets A, B and apply the result to the k-fold Cartesian products  $A^k$  and  $B^k$ . Every quantity is then raised to the k-th power, and by taking k-th root we have our theorem with the factor  $2^{1/k}$ . By taking the limit we derive the theorem with the factor 1.

**Remark.** We saw in the introduction that the bound (9) is not true in general for  $h \ge 7$ . Let A = B = V(m, m/2) be the set defined in (13) with L = m/2. We have by (14) the estimates  $\log |2A| = (2\log 2 + o(1))m$ ,  $\log |A - A| = (2\log(1 + \sqrt{2}) + o(1))m$  as m tends to infinity (see [2] for more details). Moreover 6A = V(m, 3m), thus, by Stirling's formula, we have  $|6A| = (4\log 4 - 3\log 3 + o(1))m$  as m tends to infinity. Since  $2\log(1 + \sqrt{2}) - 2\log 2 > \frac{4\log 4 - 3\log 3}{4}$ , we obtain that  $|A - A| > |2A| |6A|^{1/6}$  for m sufficiently large, disproving the bound (9) for h = 6. For h = 4 or 5, it is an open question to decide whether or not (9) holds for any sets A and B.

# 3. How large can |X - B| be for $X \subset A$ ?

**Proof of Theorem 4.** For an integer  $N \ge 1$  (to be specified later) put

$$\lambda = \min_{1 \le j \le N} \frac{|(j+1)B|}{|jB|}.$$

Then by Plünnecke inequality,  $\lambda^N |B| \leq |(N+1)B| \leq K^{N+1} |A|$ , thus

$$\lambda \leqslant K^{1+1/N} \left(\frac{|A|}{|B|}\right)^{1/N}$$

Together with the trivial bound  $\lambda \leq |B|$ , we get  $\lambda \leq K|A|^{1/(N+1)}$ . Therefore there exists  $j, 1 \leq j \leq N$ , such that

$$|jB + B| \leq K|A|^{1/(N+1)}|jB|.$$

Inequality (3) yields for any  $X \subset A$ ,

$$|X - B| \leqslant \frac{|X + jB||(j+1)B|}{|jB|}.$$

By Plünnecke's theorem, there exists a non-empty subset  $X \subset A$  such that  $|X + jB| \leq K^j |X|$ , thus

$$|X - B| \leqslant K^{j+1} |A|^{1/(N+1)} |X| \leqslant K^{N+1} |A|^{1/(N+1)} |X|.$$

Taking

$$N = \left\lceil \left(\frac{\log|A|}{\log K}\right)^{1/2} \right\rceil - 1,$$

we finally obtain the bound (10).

**Proof of Theorem 5.** Let  $d \ge 1$  be an integer. We will construct a pair of sets A and B in  $\mathbb{Z}^d$  satisfying the conclusion of Theorem 5. Then by projection on  $\mathbb{Z}$ , using for instance the mapping  $(x_1, \ldots, x_d) \mapsto x_1 + qx_2 + \cdots + q^{d-1}x_d$  where q is sufficiently large to have the number of sums and that of differences unchanged, we may obtain the same result with A and B being sets of integers.

For a given *d*-tuple  $\underline{x} = (x_1, x_2, \ldots, x_d) \in \mathbb{N}^d$ , we denote by  $\nu(\underline{x})$  the number of its non-zero coordinates, and by  $\sigma(\underline{x})$  the sum of all its coordinates:

$$\nu(\underline{x}) = \sum_{\substack{1 \leqslant i \leqslant d \\ x_i \neq 0}} 1, \quad \sigma(\underline{x}) = \sum_{1 \leqslant i \leqslant d} x_i.$$

Let  $(e_i)_{1 \leq i \leq d}$  be the canonical basis of  $\mathbb{Z}^d$  and  $u \in [1, d]$  be an integer. We let

$$A = \{ \underline{x} = (x_1, x_2, \dots, x_d) \in \mathbb{N}^d : \nu(\underline{x}) = J \text{ and } \sigma(\underline{x}) = k \},\$$

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and

$$B = \{e_{i_1} + e_{i_2} + \dots + e_{i_u} : 1 \leq i_1 < i_2 < \dots < i_u \leq d\}$$

The set A is formed with integral points of certain J-dimensional edges of a simplex and the set B by some vertices of an hypercube. The sumset A + B has the same structure than A and its size is controlled by the parameters k and u: large k and small u make |A+B| close to |A|. Now each element of A-B having exactly u negative coordinates (all are equal to -1) belongs to a certain a-B, for an unique  $a \in A$ . It follows that choosing the parameter d-J as large as possible, in relation with k and u, will imply a large lower bound for |X - B|/|X|, for any  $\emptyset \neq X \subset A$ .

We have by easy combinatorial considerations

$$|A| = \binom{d}{J} \binom{k-1}{J-1}.$$
(22)

Put for i = 0, 1, ..., u

$$C_i = \{ \underline{x} = (x_1, x_2, \dots, x_d) \in \mathbb{N}^d : \nu(\underline{x}) = J + i \text{ and } \sigma(\underline{x}) = k + u \}$$

Then  $A + B \subset \bigcup_{i=0}^{u} C_i$ . We also have

$$|C_i| = \binom{d}{J+i} \binom{k+u-1}{J+i-1}.$$

From this and (22) we get

$$\begin{aligned} \frac{|C_i|}{|A|} &= \frac{(d-J)(d-J-1)\dots(d-J+i-1)}{(J+1)(J+2)\dots(J+i)} \cdot \frac{(k+u-1)(k+u-2)\dots(k+u-i)}{(J+i-1)(J+i-2)\dots J} \\ &\cdot \frac{(k+u-i-1)(k+u-i-2)\dots k}{(k-J+u-i)(k-J+u-i-1)\dots(k-J+1)} \\ &\leq \left(\frac{d-J}{J}\right)^i \frac{(k+u)^u}{J^i(k-J)^{u-i}}. \end{aligned}$$

Thus

$$\sum_{i=0}^{u} \frac{|C_i|}{|A|} \le \left(\frac{k+u}{k-J}\right)^u \sum_{i=0}^{u} \left(\frac{(d-J)(k-J)}{J^2}\right)^i.$$

If we assume

$$\frac{(d-J)(k-J)}{J^2} \leqslant \tau, \tag{23}$$

we get

$$\frac{|A+B|}{|A|} \le \sum_{i=0}^{u} \frac{|C_i|}{|A|} \le (1-\tau)^{-1} \left(\frac{k+u}{k-J}\right)^u.$$
(24)

For each  $\underline{x} \in A$ , there are (d - J) zero coordinates  $x_i$ , thus there are at least  $\binom{d-J}{u}$  elements in  $\underline{x} - B$  which are uniquely determined by  $\underline{x}$  in A - B. This gives for any  $X \subset A$ 

$$|X - B| \ge \binom{d - J}{u} |X|.$$

We now come to the choice of the parameters. Let  $\varepsilon > 0$  such that  $(1-\tau)K^{1-\varepsilon} > 1$ . We introduce  $\theta = \left(\frac{\log((1-\tau)K^{1-\varepsilon})}{J}\right)^{1/2}$ ,  $\lambda = \frac{\tau}{\theta}$  and put

$$u = \lfloor \tau \theta J \rfloor, \quad d = \lfloor (1 + \theta) J \rfloor, \quad k = \lfloor (1 + \lambda) J \rfloor.$$

Condition (23) is clearly fulfilled thus (24) holds. A short calculation yields

$$(1-\tau)^{-1}\left(\frac{k+u}{k-J}\right)^u \le (1-\tau)^{-1}(1-\tau)K^{1-\varepsilon}(1+o(1)) \le K$$

as J tend to infinity, thus  $|A + B| \leq K|A|$  can be achieved by taking J large enough.

Stirling's formula gives

$$\binom{d-J}{u} = \binom{\lfloor \theta J \rfloor}{\lfloor \tau \theta J \rfloor} \ge \exp\left((f(\tau) + o(1))\theta J\right),$$

as J tends to infinity. Thus we have

$$\frac{|X-B|}{|X|} \geqslant \exp\left((f(\tau) + o(1))\sqrt{J\log((1-\tau)K^{1-\varepsilon})}\right)$$

By (22), we obtain  $|A| \leq J^{\frac{3}{2}J(1+o(1))}$  as J tends to infinity, hence

$$\log|A| \leqslant \left(\frac{3}{2} + o(1)\right) J \log J,\tag{25}$$

giving  $J \ge \frac{2+o(1)}{3} \frac{\log |A|}{\log \log |A|}$ . Theorem 5 follows easily by choosing  $\varepsilon > 0$  sufficiently small so that  $(1-\varepsilon)^{1/2} f(\tau) \sqrt{\frac{2}{3}} > c$  and then by taking J large enough.

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