# THE LARGE SIEVE WITH QUADRATIC AMPLITUDE

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Abstract: We establish a large sieve bound for expressions of the form

$$\sum_{r=1}^{R} \left| \sum_{M < n \leqslant M+N} a_n e\left(\alpha_r f(n)\right) \right|^2,$$

where  $f(x) = \alpha x^2 + \beta x + \theta \in \mathbb{R}[x]$  is a quadratic polynomial with  $\alpha > 0$  and  $\beta \ge 0$ . We also consider the case when  $f(x) = x^d$  with  $d \in \mathbb{N}$ ,  $d \ge 3$ . **Keywords:** large sieve, quadratic amplitude, double large sieve, exponential sums.

#### 1. Introduction

Throughout this paper, we suppose that Q, R, M, N are integers with  $Q \ge 1$ ,  $R \ge 1$ ,  $N \ge 1$  and  $M \ge 0$ . As usual, by  $\varepsilon$  we denote a fixed but arbitrary (small) positive real number. Further, we suppose that  $(a_n)$  and  $(\alpha_r)$  are sequences of complex numbers. We set

$$S(\alpha) := \sum_{M < n \leqslant M + N} a_n e(\alpha n)$$

and

$$Z := \int_{0}^{1} |S(\alpha)|^2 \mathrm{d}\alpha = \sum_{M < n \leqslant M + N} |a_n|^2.$$

By ||x|| we denote the distance of a real number x to its closest integer.

In its modern form, the large sieve is an inequality connecting a discrete and the continuous mean value Z of the trigometrical polynomial  $S(\alpha)$ , *i.e.* an inequality of the form

$$\sum_{r=1}^{R} |S(\alpha_r)|^2 \leq \Delta(N; \alpha_1, ..., \alpha_r) Z.$$
(1)

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Montgomery and Vaughan [9] proved that (1) holds with

$$\Delta(N;\alpha_1,...,\alpha_r) = N + \delta^{-1},$$

where

$$\delta := \min_{\substack{r,s \leqslant R \\ r \neq s}} ||\alpha_r - \alpha_s||.$$
<sup>(2)</sup>

In many applications, the sequence  $\alpha_1, ..., \alpha_R$  consists of Farey fractions. If  $\alpha_1, ..., \alpha_R$  is the sequence of all fractions a/q with  $1 \leq a \leq q$ , (a,q) = 1 and  $q \leq Q$ , then the above results implies that

$$\sum_{q \leqslant Q} \sum_{\substack{a=1\\(a,q)=1}}^{q} \left| S\left(\frac{a}{q}\right) \right|^2 \leqslant (N+Q^2)Z,$$

which is a sharpened version of the classical large sieve inequality of Bombieri [2]. In [11] L. Zhao dealt with sums of the form

$$\sum_{q \leqslant Q} \sum_{\substack{a=1\\(a,q)=1}}^{q} \left| \sum_{M < n \leqslant M+N} a_n e\left(\frac{af(n)}{q}\right) \right|^2,$$

where

$$f(x) = \alpha x^2 + \beta x + \theta \in \mathbb{R}[x]$$

is a *quadratic* polynomial with  $\alpha \neq 0$ . Without loss of generality, we can assume that  $\alpha > 0$  (if  $\alpha < 0$ , then we just need to replace f(x) by -f(x)), which we suppose from now on.

For the case when  $\beta/\alpha$  is rational, Zhao established the following bound (Theorem 2. in [11]): If  $\beta/\alpha = u/v$  with  $u, v \in \mathbb{Z}$ , v > 0 and (u, v) = 1, then

$$\sum_{q \leqslant Q} \sum_{\substack{a=1\\(a,q)=1}}^{q} \left| \sum_{M < n \leqslant M+N} a_n e\left(\frac{af(n)}{q}\right) \right|^2$$

$$\ll \left( Q^2 + Q\sqrt{\alpha N(M+N+u/v)+1} \right) \Pi Z,$$
(3)

where

$$\Pi = \left(\frac{v}{\alpha} + 1\right)^{1/2+\varepsilon} [Nv(M+N) + |u| + v/\alpha]^{\varepsilon}.$$

We recall that we here suppose  $M \ge 0$ .

Zhao also dealt with the case when  $\beta/\alpha$  is a general real number (see Proposition 1 in [11]). However, for irrational  $\beta/\alpha$  his result is weaker than (3) unless  $\beta/\alpha$  is in a sense well-approximable by rational numbers.

In many applications, the quantity

$$Z^* := N \max_{M < n \le M+N} |a_n|^2 \tag{4}$$

does not exceed the quantity  $Z = \sum_{M < n \leq M+N} |a_n|^2$  much. In the present paper we are concerned with large sieve inequalities of the form

$$\sum_{r=1}^{R} \left| \sum_{M < n \leq M+N} a_n e\left(\alpha_r f(n)\right) \right|^2 \ll \Delta(M, N; \alpha_1, ..., \alpha_r) Z^*.$$

To avoid technical complications, we confine ourselves to the case when  $\beta \ge 0$ . Though, our method should lead to the same result for  $\beta < 0$ . We shall prove

**Theorem 1.** Define  $\delta$  as in (2) and  $Z^*$  as in (4). Let  $f(x) = \alpha x^2 + \beta x + \theta \in \mathbb{R}[x]$ , where  $\alpha > 0$  and  $\beta \ge 0$ . Then we have, with an absolute  $\ll$ -constant,

$$\sum_{r=1}^{R} \left| \sum_{M < n \leq M+N} a_n e\left(\alpha_r f(n)\right) \right|^2$$

$$\ll (1 + \alpha^{-1/2}) R^{1/2} \left( N^{1/2} (M+N)^{1/2} + \delta^{-1/2} \right) Z^* \times \log^{1/2} (2 + \alpha^{-1}) \log 2N$$
(5)

if  $N > N_0$ , where  $N_0$  is a non-negative constant which depends only on  $\alpha$  and  $\beta$ .

An immediate consequence of Theorem 1 is

**Corollary 1.** Define  $Z^*$  as in (4). Let  $f(x) = \alpha x^2 + \beta x + \theta \in \mathbb{R}[x]$ , where  $\alpha > 0$  and  $\beta \ge 0$ . Then we have, with an absolute  $\ll$ -constant,

$$\sum_{q \leqslant Q} \sum_{\substack{a=1\\(a,q)=1}}^{q} \left| \sum_{M < n \leqslant M+N} a_n e\left(\frac{af(n)}{q}\right) \right|^2$$

$$\ll (1 + \alpha^{-1/2}) \left( QN^{1/2} (M+N)^{1/2} + Q^2 \right) Z^* \times \log^{1/2} (2 + \alpha^{-1}) \log 2N$$
(6)

if  $N > N_0$ , where  $N_0$  is a non-negative constant which depends only on  $\alpha$  and  $\beta$ .

In the following two sections we shall prove Theorem 1. In the last section we shall touch the case of polynomials f(x) of degree  $\ge 3$ .

# 2. Preliminaries

Like Zhao's method in [11], our method relies on the double large sieve of Bombieri and Iwaniec (Lemma 5.2 in [1]). Here we state only the one-dimensional version of the double large sieve. **Proposition 1.** Suppose that  $x_1, ..., x_R$  and  $y_1, ..., y_S$  are real numbers with

$$-\frac{X}{2} \leqslant x_r \leqslant \frac{X}{2}, \qquad -\frac{Y}{2} \leqslant y_s \leqslant \frac{Y}{2}$$

for r = 1, ..., R and s = 1, ..., S. Put  $\Lambda(x) := \max(1 - |x|, 0)$ . Then we have

$$\sum_{r=1}^{R} \sum_{s=1}^{S} c_r d_s e(x_r y_s) \bigg|^2 \le \left(\frac{\pi}{2}\right)^4 AB(XY+1), \tag{7}$$

where

$$A := \sum_{r=1}^{R} \sum_{\rho=1}^{R} c_r c_\rho \Lambda((x_r - x_\rho)Y)$$

and

$$B := \sum_{s=1}^{S} \sum_{\sigma=1}^{S} d_s d_\sigma \Lambda((y_s - y_\sigma)X))$$

Using Proposition 1, we shall reduce the problem in question to estimating the number of solutions  $k, l, u, v \in \mathbb{Z}$  of a Diophantine inequality of the form

$$|l(v+\gamma) - k(u+\gamma)| \leqslant h, \tag{8}$$

where h and  $\gamma$  are fixed real numbers, and the variables k, l, u, v lie in certain intervals. We shall employ the following bound which is essentially due to G. Harman.

**Proposition 2.** Let  $\gamma \in \mathbb{R}$  and  $h, K, L, U, V \ge 1$  be given. Then the number of solutions  $k, l, u, v \in \mathbb{Z}$  with  $K \le k \le 2K$ ,  $L \le l \le 2L$ ,  $U \le u \le 2U$ ,  $V \le v \le 2V$  of the inequality (8) is

$$\ll \left(\min\{K, L\} \max\{U, V\}(1 + |\log K/L|) + (K + L)^{3/2 + \varepsilon}\right)$$
(9)  
  $\times h \log 2h \ \log 2(K + L),$ 

where the implied  $\ll$ -constant depends only on  $\varepsilon$ .

G. Harman stated and used the bound (9) for U = V in the proof of Lemma 3 in [4] (note that our notations differ from those in [4]). He did not prove this bound in [4] but refered to his paper [3] in which he established a similar bound, Lemma 7, for irrational real  $\gamma$ 's which satisfy the condition

$$||q\gamma|| > A^{-q}, \quad \text{all } q \in \mathbb{N}, \tag{10}$$

for some A. Proposition 2 can also be established by the method used to prove Lemma 7 in [3]. Instead of the estimate (5.6) in [3] one here uses the slightly weaker estimate  $\ll hTl^{-1}$  (see the remark at the beginning of the proof of Lemma 8 in [3]) which is satisfied for all real  $\gamma$ . We also note that the term  $h^2$  in (5.3) in [3] can be replaced by  $h \log 2h$  (however, for the application in [3] it was sufficient to use (5.3) with  $h^2$ ). The term  $h^2$  arose from the crude estimate  $1 + \log h \ll h$  at the end of the proof of Lemma 7 in [3].

We shall also need the following slightly modified version of Proposition 2, which can be established by the same method.

**Proposition 3.** Let  $\gamma \in \mathbb{R}$  and  $h, K, L, U, Z \ge 1$  be given. Suppose that  $Z \le U$ . Then the number of solutions  $k, l, u, v \in \mathbb{Z}$  with  $K \le k \le 2K$ ,  $L \le l \le 2L$ ,  $U \le u \le U + Z$ ,  $U \le v \le U + Z$  of the inequality (8) is

$$\ll \left(\min\{K, L\}Z(1+|\log K/L|) + (K+L)^{3/2+\varepsilon}\right)$$

$$h \log 2h \ \log(K+L),$$
(11)

where the implied  $\ll$ -constant depends only on  $\varepsilon$ .

### 3. Proof of Theorem 1

We are now ready to prove Theorem 1, our main result. As in [11], we begin with applying the double large sieve.

Multiplying out the square, we get

$$\sum_{r=1}^{R} \left| \sum_{M < n \leqslant M+N} a_n e\left(\alpha_r f(n)\right) \right|^2$$

$$= \sum_{r=1}^{R} \sum_{M < m \leqslant M+N} \sum_{M < n \leqslant M+N} a_m \overline{a_n} e\left(\alpha_r (f(m) - f(n))\right)$$

$$= \sum_{r=1}^{R} \sum_{M < m \leqslant M+N} \sum_{M < n \leqslant M+N} a_m \overline{a_n} e\left(\alpha_r \alpha (m - n)(m + n + \beta/\alpha)\right).$$
(12)

In the remaining part of this paper, we assume without loss of generality that

$$-1/2 \leqslant \alpha_r \leqslant 1/2$$

for r = 1, ..., R, and we put  $\gamma := \beta / \alpha$ . Then, applying Proposition 1 with

$$\begin{split} (x_r)_{1\leqslant r\leqslant R} &= (\alpha\alpha_r)_{1\leqslant r\leqslant R}, \quad (y_s)_{1\leqslant s\leqslant S} = ((m-n)(m+n+\gamma))_{M< m,n\leqslant M+N}, \\ (c_r) &\equiv 1, \quad (d_s)_{1\leqslant s\leqslant S} = (a_m\overline{a_n})_{M< m,n\leqslant M+N}, \quad X = \alpha, \quad Y = 2N(M+N+\gamma), \\ \text{we obtain} \end{split}$$

$$\left|\sum_{r=1}^{R}\sum_{M < m \leqslant M+N}\sum_{M < n \leqslant M+N} a_m \overline{a_n} e\left(\alpha_r \alpha(m-n)(m+n+\gamma)\right)\right|^2$$
(13)  
  $\ll AB(\alpha N(M+N+\gamma)+1) \max_{M < n \leqslant M+N} |a_n|^4,$ 

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where A is the number of solutions  $\alpha_r, \alpha_\rho$  with  $1 \leq r, \rho \leq R$  of the inequality

$$|\alpha_r - \alpha_\rho| \leqslant \frac{1}{2\alpha N(M+N+\gamma)},$$

and B is the number of solutions  $m_1,n_1,m_2,n_2\in\mathbb{Z}$  with  $M< m_1,n_1,m_2,$   $n_2\leqslant M+N$  of the inequality

$$|(m_1 - n_1)(m_1 + n_1 + \gamma) - (m_2 - n_2)(m_2 + n_2 + \gamma)| \leq 1/\alpha.$$

Since the sequence  $\alpha_1, ..., \alpha_R$  is well-spaced with spacing  $\delta$ , we have

$$A \leqslant R\left(1 + \frac{1}{\delta\alpha N(M+N+\gamma)}\right).$$
(14)

Obviously, B is  $\leq$  the number B' of solutions  $k, l, u, v \in \mathbb{Z}$  with

$$2N \leqslant k, l \leqslant 2N, \quad 2M < u, v \leqslant 2(M+N) \tag{15}$$

of the inequality

$$|l(v+\gamma) - k(u+\gamma)| \leq 1 + 1/\alpha.$$
(16)

In the following, we derive an estimate for B'. We always suppose that the conditions in (15) are satisfied.

Case 1: If k = 0, then (16) has

$$\ll N \sum_{2M < v \leq 2(M+N)} \left( 1 + \frac{1 + \alpha^{-1}}{v + \gamma} \right) \ll N^2 + N(1 + \alpha^{-1}) \log 2N$$

solutions (l, u, v).

Case 2: Similarly, if l=0 , then (16) has  $\ll N^2 + N(1+\alpha^{-1})\log 2N$  solutions (k,u,v) .

Case 3: Suppose that k < 0 and l > 0. Then a crude bound for the number of solutions k, l, u, v of (16) is

$$\ll \left(\sum_{1 \leqslant t \leqslant 1 + 1/\alpha} d(t)\right)^2 \ll (1 + \alpha^{-1})^2 \log^2 2(1 + \alpha^{-1}),$$

where d(t) is the number of divisors of t.

Case 4: Suppose that k > 0 and l < 0. Then, like in Case 3, there are  $\ll (1 + \alpha^{-1})^2 \log^2 2(1 + \alpha^{-1})$  solutions k, l, u, v of (16).

Case 5: Suppose that k > 0, l > 0 and  $M \ge N$ . Put  $J := [\log_2 N] + 1$ . Then, by Proposition 3, the number of solutions k, l, u, v of (16) is

$$\ll \sum_{i=0}^{J} \sum_{j=0}^{J} \left( \min\left\{\frac{N}{2^{i}}, \frac{N}{2^{j}}\right\} N(1 + |\log(2^{j}/2^{i})|) + N^{3/2+\varepsilon} \right)$$
(17)  
 
$$\times (1 + \alpha^{-1}) \log 2(1 + \alpha^{-1}) \log 2N$$
  
 
$$\ll \left( N^{3/2+2\varepsilon} + N^{2} \sum_{i=0}^{J} \sum_{j=0}^{J} \min\left\{2^{-i}, 2^{-j}\right\} (1 + |j - i|) \right)$$
  
 
$$\times (1 + \alpha^{-1}) \log 2(1 + \alpha^{-1}) \log 2N.$$

The double sum in the last line of (17) can be estimated by

$$\sum_{i=0}^{J} \sum_{j=0}^{J} \min\left\{2^{-i}, 2^{-j}\right\} (1+|j-i|)$$
(18)  
$$\ll \sum_{i=0}^{J} \sum_{j=i}^{J} 2^{-j} (1+j-i)$$
$$\ll \sum_{i=0}^{J} \sum_{j=i}^{J} \left(\frac{2}{3}\right)^{j}$$
$$\ll \sum_{i=0}^{J} \left(\frac{2}{3}\right)^{i},$$

and the sum in the last line of (18) is bounded by a constant. So the number of solutions in question is

$$\ll N^2 (1 + \alpha^{-1}) \log 2(1 + \alpha^{-1}) \log 2N.$$

Case 6: Suppose that k > 0, l > 0 and M < N. Put  $J := [\log_2 N] + 1$ . Then, by Proposition 2, the number of solutions k, l, u, v of (16) is

$$\ll (1 + \alpha^{-1}) \log 2(1 + \alpha^{-1}) \log 2N \sum_{i=0}^{J} \sum_{j=0}^{J} \sum_{f=0}^{J+1} \sum_{g=0}^{J+1} \sum_{g=0}^{J+1} \left( 19 \right) \\ \left( \min\left\{ \frac{N}{2^{i}}, \frac{N}{2^{j}} \right\} \max\left\{ \frac{M+N}{2^{f}}, \frac{M+N}{2^{g}} \right\} (1 + |\log(2^{j}/2^{i})|) + N^{3/2+\varepsilon} \right).$$

In a similar manner like in Case 5 one proves that the expression in (19) is

$$\ll N^2 (1 + \alpha^{-1}) \log 2(1 + \alpha^{-1}) \log^2 2N.$$

Case 7: Suppose that  $k<0,\ l<0$  and  $M\geqslant N.$  Then we get the same bound like in Case 5.

Case 8: Suppose that  $k < 0, \ l < 0$  and M < N. Then we get the same bound like in Case 6.

Collecting all contributions together, we find that the total number of solutions k, l, u, v of (16) is

$$\ll N^2 (1 + \alpha^{-1}) \log 2(1 + \alpha^{-1}) \, \log^2 2N \tag{20}$$

if  $N > N_0(\alpha)$ , where  $N_0(\alpha)$  is a non-negative constant which depends only on  $\alpha$ .

Now, combining (12), (13), (14) and the bound (20) for the term B, we obtain the result of Theorem 1.

## 4. Polynomials of higher degree

In this section we deal with the simplest polynomials of higher degree, namely the polynomials  $f(x) = x^d$  with  $d \ge 3$ . Our aim is to estimate the expression

$$\sum_{r=1}^{R} \left| \sum_{M < n \leqslant M + N} a_n e\left(\alpha_r n^d\right) \right|^2$$

For simplicity, we confine ourselves to the case when M = 0. In what follows, we allow the implied  $\ll$ -constants to depend on d and on some parameter k which we introduce below.

Using Hölder's inequality, we get for  $k \ge 2$ 

$$\sum_{r=1}^{R} \left| \sum_{n=1}^{N} a_n e\left(\alpha_r n^d\right) \right|^2 \leqslant R^{1-2/k} \left( \sum_{r=1}^{R} \left| \sum_{n=1}^{N} a_n e\left(\alpha_r n^d\right) \right|^k \right)^{2/k}.$$
 (21)

.

If  $k \in \mathbb{N}$ , then

$$\sum_{r=1}^{R} \left| \sum_{n=1}^{N} a_n e\left(\alpha_r n^d\right) \right|^k$$

$$= \sum_{r=1}^{R} \left| \sum_{n_1=1}^{N} \dots \sum_{n_k=1}^{N} a_{n_1} \cdots a_{n_k} e\left(\alpha_r \left(n_1^d + \dots + n_k^d\right)\right) \right|$$

$$= \sum_{r=1}^{R} \sum_{n_1=1}^{N} \dots \sum_{n_k=1}^{N} \epsilon_r a_{n_1} \cdots a_{n_k} e\left(\alpha_r \left(n_1^d + \dots + n_k^d\right)\right)$$
(22)

for suitable complex  $\epsilon_r$  with  $|\epsilon_r| = 1$ .

Applying Proposition 1 with

$$\begin{aligned} (x_r)_{1\leqslant r\leqslant R} &= (\alpha_r)_{1\leqslant r\leqslant R}, \quad (y_s)_{1\leqslant s\leqslant S} &= \left(n_1^d + \ldots + n_k^d\right)_{0< n_1, \ldots, n_k\leqslant N}, \\ (c_r)_{1\leqslant r\leqslant R} &= (\epsilon_r)_{1\leqslant r\leqslant R}, \quad (d_s)_{1\leqslant s\leqslant S} &= (a_{n_1}\cdots a_{n_k})_{0< n_1, \ldots, n_k\leqslant N}, \\ X &= 1, \quad Y = 2kN^d, \end{aligned}$$

we obtain

$$\left| \sum_{r=1}^{R} \sum_{n_1=1}^{N} \dots \sum_{n_k=1}^{N} \epsilon_r a_{n_1} \cdots a_{n_k} e\left(\alpha_r \left(n_1^d + \dots + n_k^d\right)\right) \right|^2$$

$$\ll ABN^d \max_{n \le N} |a_n|^{2k},$$
(23)

where A is the number of solutions  $\alpha_r, \alpha_\rho$  with  $1 \leq r, \rho \leq R$  of the inequality

$$|\alpha_r - \alpha_\rho| \leqslant \frac{1}{2kN^d},$$

and B is the number of solutions  $(m_1, ..., m_k, n_1, ..., n_k) \in \mathbb{N}^{2k}$  with  $m_1, ..., m_k$ ,  $n_1, ..., n_k \leq N$  of the equation

$$m_1^d + \ldots + m_k^d - (n_1^d + \ldots + n_k^d) = 0.$$

Since the sequence  $\alpha_1, ..., \alpha_R$  is well-spaced with spacing  $\delta$ , we have

$$A \leqslant R\left(1 + \frac{1}{\delta k N^d}\right). \tag{24}$$

Combining (21), (22), (23) and (24), we obtain

**Theorem 2.** Define  $\delta$  as in (2). Suppose that  $d, k \in \mathbb{N}$ ,  $d \ge 3$  and  $k \ge 2$ . Then we have

$$\sum_{r=1}^{R} \left| \sum_{n=1}^{N} a_n e\left(\alpha_r n^d\right) \right|^2 \ll R^{1-1/k} \left( N^{d/k} + \delta^{-1/k} \right) B_{d,k}^{1/k}(N) \max_{n \leqslant N} |a_n|^2,$$
(25)

where

$$B_{d,k}(N) := |\{(m_1, ..., m_k, n_1, ..., n_k) \in \mathbb{N}^{2k} : m_1, ..., m_k, n_1, ..., n_k \leqslant N, m_1^d + ... + m_k^d = n_1^d + ... + n_k^d\}|.$$

The term  $B_{d,k}(N)$  can be expressed in the form

$$B_{d,k}(N) = \int_{0}^{1} \left| \sum_{n=1}^{N} e\left(\alpha n^{d}\right) \right|^{2k} \mathrm{d}\alpha,$$

and this integral can be estimated by using Hua's inequality (see [7]). In particular, for d = 3 = k Hua's inequality yields (see [5])

$$B_{3,3}(N) \ll N^{7/2+\varepsilon}.$$

Hooley [6] and Heath-Brown [5] established independently the much sharper bound

$$B_{3,3}(N) \ll N^{3+\varepsilon}$$

under the Riemann hypothesis for certain Hasse-Weil L-functions. Thus, Theorem 2 implies

**Theorem 3.** Define  $\delta$  as in (2) and  $Z^*$  as in (4). Then we have

$$\sum_{r=1}^{R} \left| \sum_{n=1}^{N} a_n e\left( \alpha_r n^3 \right) \right|^2 \ll R^{2/3} \left( N + \delta^{-1/3} \right) N^{1/6 + \varepsilon} Z^*.$$
(26)

If the Riemann hypothesis for Hasse-Weil L-functions holds true, then the left-hand side of (26) is

$$\ll R^{2/3} \left( N + \delta^{-1/3} \right) N^{\varepsilon} Z^*.$$

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In particular, for the special case of Farey fractions we obtain

**Corollary 2.** Define  $Z^*$  as in (4). Then we have

$$\sum_{q \leqslant Q} \sum_{\substack{a=1\\(a,q)=1}}^{q} \left| \sum_{n=1}^{N} a_n e\left(\frac{an^3}{q}\right) \right|^2 \ll \left(Q^{4/3}N + Q^2\right) N^{1/6+\varepsilon} Z^*.$$
(27)

If the Riemann hypothesis for Hasse-Weil L-functions holds true, then the left-hand side of (27) is

$$\ll \left(Q^{4/3}N + Q^2\right)N^{\varepsilon}Z^*.$$

Heuristicly, one may expect that

$$B_{d,k}(N) \ll N^{\max\{k,2k-d\}+\varepsilon}.$$
(28)

If this inequality holds, then for large N the optimal choice of the parameter k in Theorem 4 is k = d. In this case (k = d) the bound (28) follows from Hooley's hypothesis  $K^*$  in Waring's problem (see [6]) which asserts that

$$\sum_{n\leqslant X}R^2_{d,d}(n)\ll X^{1+\varepsilon}$$

where  $R_{d,d}(n)$  is the number of solutions  $(n_1, ..., n_d) \in \mathbb{N}^d$  of the equation

$$n_1^d + \dots + n_d^d = n.$$

Thus, Theorem 2 implies

**Theorem 4.** Define  $\delta$  as in (2) and  $Z^*$  as in (4). Let  $d \ge 3$  be a natural number. Assume that hypothesis  $K^*$  holds. Then we have

$$\sum_{r=1}^{R} \left| \sum_{n=1}^{N} a_n e\left(\alpha_r n^d\right) \right|^2 \ll R^{1-1/d} \left( N + \delta^{-1/d} \right) N^{\varepsilon} Z^*.$$
(29)

In particular, for the special case of Farey fractions we obtain

**Corollary 3.** Define  $Z^*$  as in (4). Let  $d \ge 3$  be a natural number. Assume that hypothesis  $K^*$  holds. Then we have

$$\sum_{q \leqslant Q} \sum_{\substack{a=1\\(a,q)=1}}^{q} \left| \sum_{n=1}^{N} a_n e\left(\frac{an^d}{q}\right) \right|^2 \ll \left(Q^{2(1-1/d)}N + Q^2\right) N^{\varepsilon} Z^*.$$
(30)

Actually, Hooley [6] and Heath-Brown [5] proved the hypothesis  $K^*$  for d = 3 under the Riemann hypothesis for certain Hasse-Weil *L*-functions.

We note that for d = 2 the bounds (29) and (30) with  $\log 2N$  in place of  $N^{\varepsilon}$  follow from Theorem 1 and Corollary 1. For d = 1 the bounds (29) and (30) with the term  $N^{\varepsilon}$  omitted follow from the ordinary large sieve inequalities given at the beginning of this paper.

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