# CHANGES OF SIGN OF THE ERROR TERM IN THE PRIME NUMBER THEOREM ${ }^{1}$ 

Hugh L. Montgomery \& Ulrike M.A. Vorhauer
Dedicated to Professor Eduard Wirsing on the occasion of his 75th birthday

Abstract: We assume the Riemann Hypothesis (RH). It is classical that there is an absolute constant $C>1$ such that $\psi(x)-x$ changes sign in every interval $[x, C x]$ for $x \geqslant 1$. We prove that $\psi(x)-x$ changes sign in $[x, 19 x]$ for all $x \geqslant 1$, and also that for $x \geqslant x_{0}, \psi(x)-x$ changes sign in the interval $[x, C x]$ where $C=2.02$.
Keywords: Prime Number Theorem, Riemann Hypothesis.

## 1. Introduction

Ingham [1] showed (assuming RH) that there is a $C$ such that $\pi(x)-\operatorname{li}(x)$ changes sign in every interval $[x, C x]$ for which $x \geqslant 1$. This $C$ is very large, possibly as large as $10^{1000}$ or even more. Ingham argued by elaborating on the method that Littlewood [4] devised to show that $\pi(x)-\operatorname{li}(x)$ has infinitely many sign changes. Let $\vartheta(x)=\sum_{p \leqslant x} \log p$. Ingham's method applies equally to sign changes of $\vartheta(x)-x$, but for $\psi(x)-x$ or $\Pi(x)-\operatorname{li}(x)$ the easier method of Littlewood [5] or Pólya [7] suffices. In this paper we consider $\psi(x)-x$ assuming the Riemann Hypothesis (RH).

Theorem 1. (Assume RH) For every $x \geqslant 1$, the function $\psi(x)-x$ takes both positive and negative values in the interval $[x, 19 x]$.

The constant 19 is best possible, since $\psi(x)<x$ for $1 \leqslant x<19$.
We turn now to the problem of finding $C$ such that $\psi(x)-x$ changes sign in the interval $[x, C x]$ for every $x \geqslant x_{0}$.

For $x>1, x$ not a prime power, we know that

$$
\begin{equation*}
\psi(x)=x-\sum_{\rho} \frac{x^{\rho}}{\rho}+\log 2 \pi-\frac{1}{2} \log \left(1-1 / x^{2}\right) . \tag{1}
\end{equation*}
$$

## 2001 Mathematics Subject Classification: 11N05.

1 The authors were supported in part by NSF FRG grant DMS-0244660.

Here the sum over the zeros is uniformly convergent in closed subintervals of $(1, \infty)$ not containing a prime power. Put

$$
\begin{equation*}
f(y)=-\sum_{\rho} \frac{e^{i \gamma y}}{\rho} \tag{2}
\end{equation*}
$$

Thus under RH,

$$
\psi\left(e^{y}\right)-e^{y}=f(y) e^{y / 2}+O(1)
$$

and our object is to find $c$ so that $f(y)$ changes sign in any interval of the form $[Y, Y+c]$. The most familiar argument in this direction involves putting

$$
f_{k}(y)=-\sum_{\rho} \frac{e^{i \gamma y}}{\rho(i \gamma)^{k}}
$$

Thus $f_{0}(y)=f(y)$ and $f_{k}(y)^{\prime}=f_{k-1}(y)$ for $k>0$. Let $0<\gamma_{1} \leqslant \gamma_{2} \leqslant \cdots$ denote the ordinates of the zeros of the zeta function in the upper half-plane, and put $y_{r}=\pi r / \gamma_{1}+\phi$ where $\phi$ is chosen, $0 \leqslant \phi<2 \pi$, so that

$$
\begin{equation*}
e^{i \gamma_{1} \phi}=-\frac{\rho_{1}}{\left|\rho_{1}\right|} \tag{3}
\end{equation*}
$$

Thus the combined contribution of $\pm \gamma_{1}= \pm 14.134725141734693790 \ldots$ to $f(y)$ is largest when $y=y_{2 r}$ for some $r$, and is smallest (i.e., most negative) when $y=y_{2 r-1}$. If

$$
\begin{equation*}
\frac{1}{\left|\rho_{1}\right| \gamma_{1}^{k}}>\sum_{j=2}^{\infty} \frac{1}{\left|\rho_{j}\right| \gamma_{j}^{k}}, \tag{4}
\end{equation*}
$$

then $(-1)^{r} f_{k}\left(y_{r}+\pi k / 2\right)>0$. Given $k+2$ consecutive such points, we have at least $k+1$ changes of sign of $f_{k}$. This gives at least $k$ changes of sign of $f_{k-1}$, and so on, until we have at least one change of sign of $f_{0}=f$. Since any interval of length $(k+2) \pi / \gamma_{1}$ contains at least $k+2$ of these points, it follows that we can take $c=(k+2) \pi / \gamma_{1}$. It is clear that (4) holds for all sufficiently large $k$. In $\S 2$ we show that it fails for $k=2$, but holds for $k=3$. Thus we can take $c=5 \pi / \gamma_{1}=1.111303 \ldots$. It is also clear that there is an effectively computable constant $a>0$ such that

$$
\max _{y \in I} f(y) \geqslant a, \quad \min _{y \in I} f(y) \leqslant-a
$$

provided that $I$ is an interval of length at least $c$. Hence any $C>e^{c}=3.0381149 \ldots$ is admissible for $x \geqslant x_{0}$.

A somewhat more efficient variant of this approach involves setting

$$
F_{1}(y)=\int_{y-\pi /\left(2 \gamma_{1}\right)}^{y+\pi /\left(2 \gamma_{1}\right)} f(u) d u=-2 \sum_{\rho} \frac{e^{i \gamma y} \sin \frac{\pi \gamma}{2 \gamma_{1}}}{\rho \gamma},
$$

and in general

$$
F_{k}(y)=\int_{y-\pi /\left(2 \gamma_{1}\right)}^{y+\pi /\left(2 \gamma_{1}\right)} F_{k-1}(u) d u=-2^{k} \sum_{\rho} \frac{e^{i \gamma y}}{\rho}\left(\frac{\sin \frac{\pi \gamma}{2 \gamma_{1}}}{\gamma}\right)^{k}
$$

If

$$
\begin{equation*}
\frac{1}{\left|\rho_{1}\right| \gamma_{1}^{k}}>\sum_{j=2}^{\infty} \frac{\left|\sin \frac{\pi \gamma_{j}}{2 \gamma_{1}}\right|^{k}}{|\rho| \gamma_{j}^{k}} \tag{5}
\end{equation*}
$$

then $(-1)^{r} F_{k}\left(y_{r}\right)>0$. Thus $f$ takes positive values in any interval of the form

$$
\left[y_{2 r}-k \pi /\left(2 \gamma_{1}\right), y_{2 r}+k \pi /\left(2 \gamma_{1}\right)\right]
$$

and negative values in any interval

$$
\left[y_{2 r-1}-k \pi /\left(2 \gamma_{1}\right), y_{2 r-1}+k \pi /\left(2 \gamma_{1}\right)\right]
$$

If $c=(k+2) \pi / \gamma_{1}$, then any interval $[y, y+c]$ contains subintervals of both these sorts. In $\S 2$ we show that (5) fails for $k=1$, but holds for $k=2$. Thus we can take $c=4 \pi / \gamma_{1}=0.8890 \ldots$, and $C>e^{c}=2.43799 \ldots$ for $x \geqslant x_{0}$.

With these classical arguments acknowledged, we propose a better method. Suppose that $K \in L^{1}(\mathbb{R})$ is a nonnegative function with support in $[-\alpha, \alpha]$. Then

$$
\int_{-\alpha}^{\alpha} f(Y+y) K(y) d y=-\sum_{\rho} \frac{e^{i \gamma Y}}{\rho} \int_{-\alpha}^{\alpha} e^{i \gamma y} K(y) d y=-\sum_{\rho} \frac{e^{i \gamma Y}}{\rho} \widehat{K}\left(\frac{-\gamma}{2 \pi}\right)
$$

where $\widehat{K}(t)$ denotes the Fourier transform of $K, \widehat{K}(t)=\int_{-\alpha}^{\alpha} K(y) e(-t y) d y$. Here $e(\theta)=e^{2 \pi i \theta}$ is the complex exponential with period 1 . If $K$ can be chosen so that

$$
\begin{equation*}
-\Re\left(\frac{e^{i \gamma_{1} Y}}{\rho_{1}} \widehat{K}\left(\frac{-\gamma_{1}}{2 \pi}\right)\right)-\sum_{j=2}^{\infty} \frac{\left|\widehat{K}\left(\frac{-\gamma_{j}}{2 \pi}\right)\right|}{\left|\rho_{j}\right|}>0 \tag{6}
\end{equation*}
$$

then $f(y)>0$ for some $y \in[Y-\alpha, Y+\alpha]$. Similarly, if

$$
\begin{equation*}
-\Re\left(\frac{e^{i \gamma_{1} Y}}{\rho_{1}} \widehat{K}\left(\frac{-\gamma_{1}}{2 \pi}\right)\right)+\sum_{j=2}^{\infty} \frac{\left|\widehat{K}\left(\frac{-\gamma_{j}}{2 \pi}\right)\right|}{\left|\rho_{j}\right|}<0 \tag{7}
\end{equation*}
$$

then $f(y)<0$ for some $y \in[Y-\alpha, Y+\alpha]$. By simple choices of $K$ of the form

$$
\begin{equation*}
K(y)=\max (0,1-|y| / \alpha)\left(1 \pm \cos \left(\gamma_{1} y+\theta\right)\right) \tag{8}
\end{equation*}
$$

we obtain

Theorem 2. (Assume RH) Let $f(y)$ be defined as in (2). If $Y \geqslant 0$, then the function $f(y)$ takes values of both signs in the interval $[Y, Y+c]$ where $c=0.7$, and for $x \geqslant x_{0}, \psi(x)-x$ changes sign in the interval $[x, C x]$ where $C=2.02$.

We obtain the above by arguing rather crudely. We claim that by taking more care in verifying (6) and (7), we could reduce 0.7 to 0.62 .

To optimize our approach we would need kernels $K(y)$ that depend on $Y$ modulo $2 \pi / \gamma_{1}$, which is to say a continuum of kernels. In the case that $Y$ is of the form $Y=y_{r}$, we define carefully chosen kernels that seem to be close to optimal, and thus obtain the following special results.

Theorem 3. (Assume RH) Let $\delta_{+}=0.1375$, and $\delta_{-}=0.28495$. There is a $y \in\left[y_{2 r}-\delta_{+}, y_{2 r}+\delta_{+}\right]$, such that $f(y)>0$. There is a $y \in\left[y_{2 r}-\delta_{-}, y_{2 r}+\delta_{-}\right]$ such that $f(y)<0$. Similarly, there is a $y \in\left[y_{2 r-1}-\delta_{+}, y_{2 r-1}+\delta_{+}\right]$such that $f(y)<0$, and a $y \in\left[y_{2 r-1}-\delta_{-}, y_{2 r-1}+\delta_{-}\right]$such that $f(y)>0$.

If $c=2 \pi / \gamma_{1}+2 \delta_{+}$, then any interval $[y, y+c]$ contains subintervals of the form $\left[y_{2 r}-\delta_{+}, y_{2 r}+\delta_{+}\right]$and of the form $\left[y_{2 r-1}-\delta_{+}, y_{2 r-1}+\delta_{+}\right]$, and hence $f(y)$ takes values of both signs in such an interval. However, $2 \pi / \gamma_{1}+2 \delta_{+}=0.7195$, which is larger than the constant we obtained already in Theorem 2.

Let $J$ be a positive integer. Our method applies to any sum of the form

$$
F(y)=\sum_{j=1}^{J} \frac{\cos \left(\gamma_{j} y+\phi_{j}\right)}{\left|\rho_{j}\right|}
$$

for arbitrary real $\phi_{j}$. Thus the following result provides lower bounds for the constants that can be obtained by our method.

Theorem 4. Let $\tau_{+}=0.0953$ and $\tau_{-}=0.2431$. There exist functions $F_{ \pm}$of the form

$$
F_{ \pm}(y)=\sum_{j=1}^{J} \varepsilon_{ \pm}(j) \frac{\cos \gamma_{j} y}{\left|\rho_{j}\right|}
$$

with $\varepsilon_{ \pm}(j)= \pm 1$ for all $j, \varepsilon_{+}(1)=1$, and $\varepsilon_{-}(1)=-1$ such that $F_{+}(y)<0$ for $-\tau_{+} \leqslant y \leqslant \tau_{+}$and $F_{-}(y)<0$ for $-\tau_{-} \leqslant y \leqslant \tau_{-}$.

It seems plausible that with enough work one could prove Theorem 2 with $c$ replaced by $2 \delta_{-}$. Thus it seems likely that the optimal constant $c$ in Theorem 2 lies between $2 \tau_{-}=0.4862$ and $2 \delta_{-}=0.5699$.

Let $V(x)$ denote the number of sign changes of $\psi(u)-u$ for $1 \leqslant u \leqslant x$. Assuming RH, our results imply that $V(x) \gg \log x$. Indeed, Kaczorowski [2] has shown unconditionally that

$$
\liminf _{x \rightarrow \infty} \frac{V(x)}{\log x} \geqslant \frac{\gamma_{1}}{\pi}
$$

and later Kaczorowski [3] showed that the constant can be improved slightly. On the other hand, we expect that $V(x)$ is closer to the order of $\sqrt{x}$; possibly even

$$
0<\liminf _{x \rightarrow \infty} \frac{V(x)}{\sqrt{x}}<\limsup _{x \rightarrow \infty} \frac{V(x)}{\sqrt{x}}<\infty .
$$

From a calculation of sign changes out to $10^{8}$ we extract the following values.
Table 1: Values of $V(x)$.

| $x$ | $V(x)$ | $V(x) / \sqrt{x}$ |
| :---: | ---: | :---: |
| $10^{2}$ | 24 | 2.4 |
| $10^{3}$ | 162 | 5.12 |
| $10^{4}$ | 701 | 7.01 |
| $10^{5}$ | 2351 | 7.43 |
| $10^{6}$ | 7314 | 7.31 |
| $10^{7}$ | 20,432 | 6.46 |
| $10^{8}$ | 64,694 | 6.47 |

## 2. Numerical scrutiny of classical arguments

The ordinates of the first 100,000 zeros have been computed to within $10^{-10}$ by Odlyzko [6]. We set $J=32,767$, and use the computed values of the first $J$ ordinates to derive the first three columns of the following table.

Table 2: Test of relation (4) for $k=1,2,3$.

| $k$ | $\frac{1}{\left\|\rho_{1}\right\| \gamma_{1}^{k}}$ | $\sum_{j=2}^{J} \frac{1}{\left\|\rho_{j}\right\| \gamma_{j}^{k}}$ | $\sum_{j=J+1}^{\infty} \frac{1}{\left\|\rho_{j}\right\| \gamma_{j}^{k}}$ |
| :---: | :---: | :---: | :--- |
| 1 | 0.0050021155 | 0.0180445096 | $<0.0000537248$ |
| 2 | 0.0003538884 | 0.0003753803 | $<0.0000000020$ |
| 3 | 0.0000250368 | 0.0000121178 | $<10^{-10}$ |

The fourth column of the above table is computed by means of the following reasoning. The zeta function and its derivatives are easily calculated, when $|s|$ is not too large, by means of the Euler-Maclaurin summation formula. The gamma function and its derivatives are likewise easily computed. Hence we can quickly compute

$$
\xi(s)=\frac{1}{2} s(s-1) \zeta(s) \Gamma(s / 2) \pi^{-s / 2}
$$

and its derivatives. But

$$
\frac{\xi^{\prime}}{\xi}(s)=\sum_{\rho} \frac{1}{s-\rho}
$$

where the sum is conditionally convergent. On differentiating $k-1$ times, we deduce that

$$
\left(\frac{\xi^{\prime}}{\xi}\right)^{(k-1)}(s)=(-1)^{k-1}(k-1)!\sum_{\rho} \frac{1}{(s-\rho)^{k}} .
$$

Here the sums are absolutely convergent for $k \geqslant 2$. We take $s=1 / 2$ in the above. For $k$ odd the sum vanishes, due to the symmetry of the zeros. However, for even $k$ this gives the second column of the following table.

Table 3: Sums over zeros.

| $k$ | $\sum_{\gamma} \frac{1}{\gamma^{k}}$ | $\sum_{j=1}^{J} \frac{1}{\gamma_{j}^{k}}$ | $\sum_{j=J+1}^{\infty} \frac{1}{\gamma_{j}^{k}}$ |
| :--- | :---: | :---: | :--- |
| 2 | 0.04620998623084 | 0.0230512683 | 0.0000537248 |
| 4 | 0.00007434519893 | 0.0000371726 | $<10^{-10}$ |
| 6 | 0.00000028834786 | 0.0000001442 | $<10^{-10}$ |

After computing the third column above, we can difference to obtain the fourth column. For odd $k$ we employ the crude inequality

$$
\sum_{j=J+1}^{\infty} \frac{1}{\gamma_{j}^{k}}<\frac{1}{\gamma_{J}} \sum_{j=J+1}^{\infty} \frac{1}{\gamma_{j}^{k-1}}
$$

Thus we obtain the entries in the last column of Table 2. In a similar manner we construct the following table, from which we see that (5) fails for $k=1$, but holds for $k=2$.

Table 4: Test of relation (5) for $k=1,2$.

| $k$ | $\frac{1}{\left\|\rho_{1}\right\| \gamma_{1}^{k}}$ | $\sum_{j=2}^{J} \frac{\left\|\sin \frac{\pi \gamma_{j}}{2 \gamma_{1}}\right\|^{k}}{\left\|\rho_{j}\right\| \gamma_{j}^{k}}$ | $\sum_{j=J+1}^{\infty} \frac{1}{\left\|\rho_{j}\right\| \gamma_{j}^{k}}$ |
| :---: | :---: | :---: | :---: |
| 1 | 0.0050021155 | 0.0110557294 | $<0.0000537248$ |
| 2 | 0.0003538884 | 0.0001566074 | $<0.0000000020$ |

## 3. Proof of Theorem 1

Put $g(y)=\left(\log 2 \pi-\frac{1}{2} \log \left(1-e^{-2 y}\right)\right) e^{-y / 2}$. Thus by (1) and (2) we see that

$$
\frac{\psi\left(e^{y}\right)-e^{y}}{e^{y / 2}}=f(y)+g(y)
$$

Put

$$
\begin{aligned}
& G_{1}(y)=\int_{y-\pi /\left(2 \gamma_{1}\right)}^{y+\pi /\left(2 \gamma_{1}\right)} g(u) d u \\
& G_{2}(y)=\int_{y-\pi /\left(2 \gamma_{1}\right)}^{y+\pi /\left(2 \gamma_{1}\right)} G_{1}(u) d u .
\end{aligned}
$$

From Table 2 we deduce that when $k=2$, the left hand side of (5) minus the right hand side is $>0.000195$. Hence $(-1)^{r} F_{2}\left(y_{r}\right)>0.00156$. On the other hand, if $y \geqslant 10$, then $|g(y)|<0.012385$. Hence if $y>10+\pi / \gamma_{1}$, then $\left|G_{2}(y)\right|<$ $0.012385 \cdot\left(\pi / \gamma_{1}\right)^{2}<0.0007$. Thus $\psi(x)-x$ takes both positive and negative values in every interval $[x, 2.44 x$ ], for all $x \geqslant 28,283$. To complete the proof it suffices to note that $\psi(x)-x$ changes sign at 19 , at 359 , at 6803 , and at 128,981 , not to mention many other sign changes in between.

## 4. Proof of Theorem 2

By an easy calculation we find that if $K(y)$ is defined as in (8), then

$$
\widehat{K}(t)=\alpha\left(\frac{\sin \pi \alpha t}{\pi \alpha t}\right)^{2} \pm \frac{\alpha}{2} e^{i \theta}\left(\frac{\sin \pi \alpha\left(t-\frac{\gamma_{1}}{2 \pi}\right)}{\pi \alpha\left(t-\frac{\gamma_{1}}{2 \pi}\right)}\right)^{2} \pm \frac{\alpha}{2} e^{-i \theta\left(\frac{\sin \pi \alpha\left(t+\frac{\gamma_{1}}{2 \pi}\right)}{\pi \alpha\left(t+\frac{\gamma_{1}}{2 \pi}\right)}\right)^{2} .}
$$

Hence

$$
\begin{align*}
\widehat{K}\left(-\frac{\gamma}{2 \pi}\right)= & \alpha\left(\frac{\sin \alpha \gamma / 2}{\alpha \gamma / 2}\right)^{2} \pm \frac{\alpha}{2} e^{i \theta}\left(\frac{\sin \alpha\left(\gamma+\gamma_{1}\right) / 2}{\alpha\left(\gamma+\gamma_{1}\right) / 2}\right)^{2}  \tag{9}\\
& \pm \frac{\alpha}{2} e^{-i \theta}\left(\frac{\sin \alpha\left(\gamma-\gamma_{1}\right) / 2}{\alpha\left(\gamma-\gamma_{1}\right) / 2}\right)^{2}
\end{align*}
$$

In particular, it follows that

$$
-\frac{e^{i \gamma_{1} Y}}{\rho_{1}} \widehat{K}\left(-\frac{\gamma_{1}}{2 \pi}\right)=-\alpha \frac{e^{i \gamma_{1} Y}}{\rho_{1}}\left(\frac{\sin \alpha \gamma_{1} / 2}{\alpha \gamma_{1} / 2}\right)^{2} \mp \alpha \frac{e^{i\left(\gamma_{1} Y+\theta\right)}}{2 \rho_{1}}\left(\frac{\sin \alpha \gamma_{1}}{\alpha \gamma_{1}}\right)^{2} \mp \alpha \frac{e^{i\left(\gamma_{1} Y-\theta\right)}}{2 \rho_{1}} .
$$

Let $\phi$ be defined as in (3), and set $\theta=\gamma_{1}(Y-\phi)$. Then the last term above is $\pm \alpha /\left(2\left|\rho_{1}\right|\right)$. Put

$$
\Delta=2\left(\frac{\sin \alpha \gamma_{1} / 2}{\alpha \gamma_{1} / 2}\right)^{2}+\left(\frac{\sin \alpha \gamma_{1}}{\alpha \gamma_{1}}\right)^{2}
$$

Then we find that

$$
\begin{equation*}
\frac{( \pm 1-\Delta) \alpha}{2\left|\rho_{1}\right|} \leqslant-\Re \frac{e^{i \gamma_{1} Y}}{\rho_{1}} \widehat{K}\left(-\frac{\gamma_{1}}{2 \pi}\right) \leqslant \frac{( \pm 1+\Delta) \alpha}{2\left|\rho_{1}\right|} \tag{10}
\end{equation*}
$$

From (9) we see that

$$
\begin{equation*}
\sum_{j=2}^{\infty} \frac{\left|\widehat{K}\left(\frac{-\gamma_{j}}{2 \pi}\right)\right|}{\left|\rho_{j}\right|} \leqslant \alpha \sum_{j=2}^{\infty} E_{j} \tag{11}
\end{equation*}
$$

where

$$
E_{j}=\frac{1}{\left|\rho_{j}\right|}\left(\left(\frac{\sin \alpha \gamma_{j} / 2}{\alpha \gamma_{j} / 2}\right)^{2}+\frac{1}{2}\left(\frac{\sin \alpha\left(\gamma_{j}+\gamma_{1}\right) / 2}{\alpha\left(\gamma_{j}+\gamma_{1}\right) / 2}\right)^{2}+\frac{1}{2}\left(\frac{\sin \alpha\left(\gamma_{j}-\gamma_{1}\right) / 2}{\alpha\left(\gamma_{j}-\gamma_{1}\right) / 2}\right)^{2}\right)
$$

In particular $E_{1}=\frac{1}{2\left|\rho_{1}\right|}(\Delta+1)$. From (10) and (11) we see that if

$$
\begin{equation*}
\sum_{j=1}^{\infty} E_{j}<\frac{1}{\left|\rho_{1}\right|} \tag{12}
\end{equation*}
$$

then (6) holds if we take the plus sign, and (7) holds if we take the minus sign. With $\alpha=0.35$, we compute that $\sum_{j=1}^{J} E_{j}=0.0703064889$. Also, since $E_{j}<$ $\left.74 /\left(\left|\rho_{j}\right| \gamma_{j}^{2}\right)\right)$ for $j>J$, it follows from the second line of Table 2 that $\sum_{j>J} E_{j}<$ 0.00000015 . On the other hand, $1 /\left|\rho_{1}\right|=0.0707035277$, so (12) holds and the proof is complete.

In deriving (10) and (11) we used the triangle inequality, which is somewhat wasteful. If we kept strictly to (6) and (7), then a numerical check would have to be made for each $Y$ modulo $2 \pi / \gamma_{1}$. Such checks for closely spaced $Y$ suggest that (6) and (7) hold when $\alpha$ is smaller, say close to 0.31 . However, it seems that a rigorous argument along these lines would involve a lot of work.

## 5. Proof of Theorem 3

We employ (6) and (7) where $K(y)$ is of the form

$$
\begin{equation*}
K(y)=\sum_{m=-M}^{M} a_{m} \max (0,1-|y-m \delta| / \delta) \tag{13}
\end{equation*}
$$

where the $a_{m}$ are nonnegative real numbers with $a_{-m}=a_{m}$. Thus $K(y)$ is an even nonnegative piecewise linear function with $K(m \delta)=a_{m}$. Moreover, the support of $K$ is contained in $(-(M+1) \delta,(M+1) \delta)$. For such $K$ we find that

$$
\widehat{K}(t)=\left(a_{0}+2 \sum_{m=1}^{M} a_{m} \cos 2 \pi m t \delta\right) \frac{(\sin \pi t \delta)^{2}}{\pi^{2} t^{2} \delta} .
$$

Let $K_{+}$be of this type, with $a_{0}=1, M=10$, and the $a_{m}$ as in Table 5 .

Table 5: Choice of $a_{m}$ for $K_{+}(y)$.

| $m$ | $a_{m}$ | $m$ | $a_{m}$ |
| :---: | :---: | :---: | :---: |
| 1 | 0.94400 | 6 | 0.57043 |
| 2 | 0.90721 | 7 | 0.46654 |
| 3 | 0.84179 | 8 | 0.36138 |
| 4 | 0.76204 | 9 | 0.25600 |
| 5 | 0.67160 | 10 | 0.17640 |

We take $\delta=0.0125$, with the result that $K_{+}$has support in $\left(-\delta_{+}, \delta_{+}\right)$. We compute that

$$
\sum_{j=2}^{J} \frac{\left|\widehat{K}_{+}\left(\frac{-\gamma_{j}}{2 \pi}\right)\right|}{\left|\rho_{j}\right|}=0.0073809339
$$

Also, we note that

$$
(\sin \pi u)^{2}\left|1+2 \sum_{m=1}^{M} a_{m} \cos 2 \pi m u\right|<0.2
$$

uniformly in $u$. Hence $\left|\widehat{K}_{+}(t)\right| \leqslant a / t^{2}$ with $a=16 / \pi^{2}$, and consequently $\left|\widehat{K}_{+}(t /(2 \pi))\right| \leqslant$ $64 / t^{2}$. Thus by the second line of Table 2 we conclude that

$$
\sum_{j=J+1}^{\infty} \frac{\left|\widehat{K}_{+}\left(\frac{-\gamma_{j}}{2 \pi}\right)\right|}{\left|\rho_{j}\right|}<0.0000002
$$

On the other hand,

$$
\frac{\widehat{K}_{+}\left(\frac{-\gamma_{1}}{2 \pi}\right)}{\left|\rho_{1}\right|}=0.0078655340
$$

so we have (6) when $Y=y_{2 r}$, and (7) when $Y=y_{2 r-1}$.

Similarly, let $K_{-}$be of the form (13), with $a_{0}=0, M=40$, and the $a_{m}$ as in Table 6.

Table 6: Choice of $a_{m}$ for $K_{-}(y)$.

| $m$ | $a_{m}$ | $m$ | $a_{m}$ | $m$ | $a_{m}$ | $m$ | $a_{m}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 0.00000 | 11 | 0.02329 | 21 | 0.31653 | 31 | 0.38884 |
| 2 | 0.00000 | 12 | 0.04391 | 22 | 0.34228 | 32 | 0.36259 |
| 3 | 0.00000 | 13 | 0.06627 | 23 | 0.36906 | 33 | 0.33571 |
| 4 | 0.00000 | 14 | 0.09174 | 24 | 0.39403 | 34 | 0.30907 |
| 5 | 0.00000 | 15 | 0.12148 | 25 | 0.41247 | 35 | 0.27871 |
| 6 | 0.00000 | 16 | 0.15803 | 26 | 0.42705 | 36 | 0.23867 |
| 7 | 0.00000 | 17 | 0.18862 | 27 | 0.43328 | 37 | 0.20743 |
| 8 | 0.00000 | 18 | 0.22393 | 28 | 0.42587 | 38 | 0.16867 |
| 9 | 0.00000 | 19 | 0.25615 | 29 | 0.41624 | 39 | 0.12862 |
| 10 | 0.00361 | 20 | 0.28579 | 30 | 0.40593 | 40 | 0.09620 |

We take $\delta=0.00695$, with the result that $K_{-}$has support in $\left(-\delta_{-}, \delta_{-}\right)$. We compute that

$$
\sum_{j=2}^{J} \frac{\left|\widehat{K}_{-}\left(\frac{-\gamma_{j}}{2 \pi}\right)\right|}{\left|\rho_{j}\right|}=0.0054491548
$$

Also, we note that

$$
2(\sin \pi u)^{2}\left|\sum_{m=1}^{M} a_{m} \cos 2 \pi m u\right|<0.1
$$

uniformly in $u$. Hence $\left|\widehat{K}_{-}(t /(2 \pi))\right| \leqslant 60 / t^{2}$. Thus by the second line of Table 2 we conclude that

$$
\sum_{j=J+1}^{\infty} \frac{\left|\widehat{K}_{-}\left(\frac{-\gamma_{j}}{2 \pi}\right)\right|}{\left|\rho_{j}\right|}<0.0000002
$$

On the other hand,

$$
\frac{\widehat{K}_{-}\left(\frac{-\gamma_{1}}{2 \pi}\right)}{\left|\rho_{1}\right|}=-0.0055318015
$$

so we have (7) when $Y=y_{2 r}$, and (6) when $Y=y_{2 r-1}$.

## 6. Proof of Theorem 4

It suffices to take $J=36$ and $\varepsilon_{ \pm}(j)$ as in Table 7.

Table 7: Choices of $\varepsilon_{ \pm}(j)$.

| $j$ | $\varepsilon_{+}(j)$ | $\widehat{K}_{+}\left(\frac{\gamma_{j}}{2 \pi}\right)$ | $\varepsilon_{-}(j)$ | $\widehat{K}_{-}\left(\frac{\gamma_{j}}{2 \pi}\right)$ | $j$ | $\varepsilon_{+}(j)$ | $\widehat{K}_{+}\left(\frac{\gamma_{j}}{2 \pi}\right)$ | $\varepsilon_{-}(j)$ | $\widehat{K}_{-}\left(\frac{\gamma_{j}}{2 \pi}\right)$ |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 1 | 1 | 0.11125 | -1 | -0.07824 | 19 | -1 | 0.00095 | -1 | 0.00192 |
| 2 | -1 | 0.06692 | 1 | -0.04652 | 20 | -1 | 0.00024 | 1 | 0.00139 |
| 3 | -1 | 0.04282 | 1 | 0.00000 | 21 | -1 | -0.00073 | -1 | -0.00001 |
| 4 | -1 | 0.01663 | -1 | 0.03139 | 22 | 1 | -0.00187 | -1 | -0.00243 |
| 5 | -1 | 0.00780 | -1 | 0.02861 | 23 | -1 | -0.00219 | -1 | -0.00309 |
| 6 | 1 | -0.00269 | -1 | 0.00959 | 24 | 1 | -0.00232 | 1 | -0.00292 |
| 7 | 1 | -0.00594 | 1 | -0.00154 | 25 | -1 | -0.00223 | 1 | -0.00234 |
| 8 | 1 | -0.00648 | 1 | -0.00443 | 26 | 1 | -0.00155 | 1 | -0.00001 |
| 9 | 1 | -0.00453 | 1 | -0.00036 | 27 | 1 | -0.00093 | -1 | 0.00118 |
| 10 | -1 | -0.00318 | -1 | 0.00212 | 28 | 1 | -0.00054 | 1 | 0.00159 |
| 11 | 1 | -0.00046 | -1 | 0.00457 | 29 | 1 | 0.00046 | -1 | 0.00164 |
| 12 | 1 | 0.00225 | -1 | 0.00311 | 30 | 1 | 0.00124 | -1 | 0.00080 |
| 13 | 1 | 0.00392 | -1 | -0.00000 | 31 | -1 | 0.00186 | -1 | -0.00031 |
| 14 | -1 | 0.00449 | 1 | -0.00149 | 32 | 1 | 0.00220 | 1 | -0.00098 |
| 15 | 1 | 0.00497 | 1 | -0.00304 | 33 | 1 | 0.00242 | 1 | -0.00136 |
| 16 | -1 | 0.00466 | 1 | -0.00219 | 34 | -1 | 0.00248 | -1 | -0.00097 |
| 17 | -1 | 0.00388 | -1 | -0.00038 | 35 | -1 | 0.00241 | 1 | -0.00069 |
| 18 | -1 | 0.00277 | 1 | 0.00135 | 36 | -1 | 0.00208 | 1 | 0.00022 |

If the $\varepsilon_{+}(j)$ and $K_{+}(y)$ are both chosen optimally, then we would expect that $\varepsilon_{+}(j)=-\operatorname{sgn} \widehat{K}_{+}\left(\gamma_{j} /(2 \pi)\right)$ for all $j>1$. Similarly, if the $\varepsilon_{-}(j)$ and $K_{-}(y)$ are chosen optimally, then we would expect that $\varepsilon_{-}(j)=-\operatorname{sgn} \widehat{K}_{-}\left(\gamma_{j} /(2 \pi)\right)$ for all $j>1$. In Table 7 we see that these relations hold for most of the smaller $j$, which suggests that our choices are at least moderately close to optimal. It is to be expected that better choices of functions $K_{ \pm}(y)$ can be found by using larger values of $M$, and that better $F_{ \pm}(y)$ can be constructed by using more zeros.

Graphs of $F_{ \pm}(y)$ can be found in Figures 1 and 2.


Figure 1. Graph of $F_{+}(y)$ for $-0.096 \leqslant y \leqslant 0.096$.


Figure 2. Graph of $F_{-}(y)$ for $-0.245 \leqslant y \leqslant 0.245$.

## References

[1] A. E. Ingham, A note on the distribution of primes, Acta Arith. 1 (1936), 201-211.
[2] J. Kaczorowski, On sign-changes in the remainder term of the prime-number formula, I, Acta Arith. 44 (1984), 365-377.
[3] J. Kaczorowski, The $k$ functions in multiplicative number theory, V. Changes of sign of some arithmetical error terms, Acta Arith. 59 (1991), 37-58.
[4] J. E. Littlewood, Sur la distribution des nombres premiers, Comptes Rendus Acad. Sci. Paris 158 (1914), 1869-1872; Collected Papers, Vol. 2, Oxford University Press (Oxford), 1982, pp. 829-932.
[5] J. E. Littlewood, Mathematical notes (3): On a theorem concerning the distribution of prime numbers, J. London Math. Soc. 2 (1927), 41-45; Collected Papers, Vol. 2, Oxford University Press (Oxford), 1982, pp. 833-837.
[6] A.M. Odlyzko, On the distribution between zeros of the zeta function, Math. Comp. 48 (1987), 273-308; http://www.dtc.umn.edu/~odlyzko/.
[7] G. Pólya, Uber das Vorzeichen des Restgliedes im Primzahlsatz, Nachr. Akad. Wiss. Göttingen, 1930, 19-27.

Addresses: Hugh L. Montgomery, Department of Mathematics, University of Michigan, Ann Arbor, MI 48109-1043
Ulrike M.A. Vorhauer, Department of Mathematical Sciences, Kent State University, Kent, OH 44242
E-mail: hlm@umich.edu; vorhauer@math.kent.edu
Received: 8 November 2005; revised: 6 March 2006

