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# LINEAR MAPS BETWEEN OPERATOR ALGEBRAS PRESERVING CERTAIN SPECTRAL FUNCTIONS 

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#### Abstract

Let $H$ be an infinite dimensional complex Hilbert space and let $\phi$ be a surjective linear map on $B(H)$ with $\phi(I)-I \in \mathcal{K}(H)$, where $\mathcal{K}(H)$ denotes the closed ideal of all compact operators on $H$. If $\phi$ preserves the set of upper semi-Weyl operators and the set of all normal eigenvalues in both directions, then $\phi$ is an automorphism of the algebra $B(H)$. Also the relation between the linear maps preserving the set of upper semi-Weyl operators and the linear maps preserving the set of left invertible operators is considered.


## 1. Introduction and preliminaries

Let $H$ be an infinite dimensional complex Hilbert space and $B(H)$ the algebra of all bounded linear operators on $H$ and $\mathcal{K}(H) \subseteq B(H)$ be the closed ideal of all compact operators. We write $T^{*}$ for the conjugate operator of $T \in B(H)$. An operator $T \in B(H)$ is called upper semi-Fredholm if it has closed range $R(T)$ with finite dimensional null space $N(T)$ and if $R(T)$ has finite co-dimension, $T \in B(H)$ is called a lower semi-Fredholm operator. We call $T \in B(H)$ Fredholm if it has closed range with finite dimensional null space and its range of finite co-dimension. For a semi-Fredholm operator $T \in B(H)$ (upper semi-Fredholm operator or lower semi-Fredholm operator), let $n(T)=\operatorname{dim} N(T)$ and $d(T)=$ $\operatorname{dim} H / R(T)=\operatorname{codim} R(T)$. The index of a semi-Fredholm operator $T \in B(H)$ is given by $\operatorname{ind}(T)=n(T)-d(T)$. The operator $T$ is Weyl if it is Fredholm of index

[^0]zero; $T$ is called Browder if $T$ is Fredholm with finite ascent and finite descent; $T \in B(H)$ is called upper semi-Weyl if $T$ is upper semi-Fredholm with $\operatorname{ind}(T) \leq 0$. Let $S F_{+}^{-}(H)$ denote the set of all upper semi-Weyl operators and let $\sigma_{e a}(T)=$ $\left\{\lambda \in \mathbb{C}: T-\lambda I \notin S F_{+}^{-}(H)\right\}$ be the essential approximate point spectrum of T. $\sigma(T), \sigma_{e}(T), \sigma_{S F_{+}}(T), \sigma_{S F_{-}}(T), \sigma_{w}(T)$ and $\sigma_{b}(T)$ denote the spectrum, the essential spectrum, the upper semi-Fredholm spectrum, the lower semi-Fredholm spectrum, the Weyl spectrum and the Browder spectrum respectively ( $[8,9]$ ). Let $\sigma_{0}(T)=\sigma(T) \backslash \sigma_{b}(T)$ denote the set of all normal eigenvalues.

Let $\Phi(H) \subseteq B(H)$ be the set of all Fredholm operators. We denote the Calkin algebra $B(H) / \mathcal{K}(H)$ by $\mathcal{C}(H)$. Let $\pi: B(H) \rightarrow \mathcal{C}(H)$ be the quotient map. A bijective linear map $\phi: B(H) \rightarrow B(H)$ is called a Jordan isomorphism if $\phi\left(A^{2}\right)=(\phi(A))^{2}$ for every $A \in B(H)$, or equivalently $\phi(A B+B A)=\phi(A) \phi(B)+$ $\phi(B) \phi(A)$ for all $A$ and $B$ in $B(H)$. It is obvious that every isomorphism and every anti-isomorphism is a Jordan isomorphism. For further properties of Jordan homomorphisms, we refer the reader to [10] and [11].

In the last two decades there has been considerable interest in the so-called linear preserver problems (see $[1,5,16]$ ). The goal of studying linear preservers is to give structural characterizations of linear maps on algebras having some special properties such as leaving invariant a certain subset of the algebra, or leaving invariant a certain function on the algebra. One of the most famous problem in this direction is Kaplansky's problem([13]): Let $\phi$ be a surjective linear map between two semi-simple Banach algebras $\mathcal{A}$ and $\mathcal{B}$. Suppose that $\sigma(\phi(x))=\sigma(x)$ for all $x \in \mathcal{A}$. Is it true that $\phi$ is Jordan isomorphism? This problem was first solved in the finite dimensional case. J.Dieudonně ([7]) and Marcus and Purves ([15]) proved that every unital invertibility preserving linear map on a complex matrix algebra is either an inner automorphism or a linear antiautomorphism. This result was later extended to the algebra of all bounded linear operators on a Banach space by A.R.Sourour([22]) and to von Neumann algebra by B.Aupetit([1]). Many other linear preserver problems have been extended to the infinite dimensional case. For the most significant partial obtained in this direction, we refer the reader to ( $[1,18,22,23]$ ). New contributions to the study of linear preserver problem in $B(H)$ have been recently made by Mbekhta in [17], Mbekhta, Rodman and Šemrl in [18], Mbekhta and Šemrl in [16] and Bendaoud, Bourhim and Sarih in [4].

In this article, we give the characterization of automorphism on $B(H)$. We get that: Let $\phi$ be a surjective linear maps on $B(H)$ with $\phi(I)-I \in \mathcal{K}(H)$ preserving the set of upper semi-Weyl operators and the set of all normal eigenvalues in both directions, then $\phi$ is an automorphism of the algebra $B(H)$. Also the relation between the linear maps preserving the set of upper semi-Weyl operators and the linear maps preserving the set of left invertible operators is considered.

## 2. Main Results

An operator is left invertible if it has a left inverse. It turns out that an operator $T \in B(H)$ is left invertible if and only if it is bounded below, or equivalently, it is upper semi-Fredholm with $n(T)=0$. Let $\sigma_{a}(T)=\{\lambda \in \mathbb{C}: T-\lambda I$ is
not left invertible $\}$. We say that a linear map $\phi: B(H) \rightarrow B(H)$ preserves the set of upper semi-Weyl operators (left invertible operators) in both directions if $T \in S F_{+}^{-}(H)\left(T\right.$ is left invertible) $\Leftrightarrow \phi(T) \in S F_{+}^{-}(H)(\phi(T)$ is left invertible).

A linear map $\phi: B(H) \rightarrow B(H)$ is said to be surjective up to compact operators if for every $T \in B(H)$ there exists $T^{\prime} \in B(H)$ such that $T-\phi\left(T^{\prime}\right) \in \mathcal{K}(H)$. It is clear that if $\phi$ is surjective, then it is surjective up to compact operators.

Remark 2.1. (1) If a linear map $\phi: B(H) \rightarrow B(H)$ preserves the set of upper semi-Weyl operators in both directions, we can not induce that $\phi$ preserves the set of left invertible operators in both directions. For example, let $A, B \in B\left(\ell_{2}\right)$ be defined by:

$$
\begin{gathered}
A\left(x_{1}, x_{2}, x_{3}, \cdots\right)=\left(x_{2}, x_{3}, \cdots\right) \\
B\left(x_{1}, x_{2}, x_{3}, \cdots\right)=\left(0, x_{1}, x_{2}, x_{3}, \cdots\right)
\end{gathered}
$$

and let $\phi(T)=A T B, T \in B\left(\ell_{2}\right)$. We can see that both $A$ and $B$ are Fredholm operators, and $\operatorname{ind}(A)+\operatorname{ind}(B)=0$. By the properties of the index it follows that $T \in S F_{+}^{-}\left(B\left(\ell_{2}\right)\right)$ if and only if $\phi(T) \in S F_{+}^{-}\left(B\left(\ell_{2}\right)\right)$. For any $T \in B\left(\ell_{2}\right)$, let $T_{1}=B T A$, then $\phi\left(T_{1}\right)=T$. Thus $\phi: B\left(\ell_{2}\right) \rightarrow B\left(\ell_{2}\right)$ is surjective and $\phi$ preserves the set of upper semi-Weyl operators in both directions. But $\phi$ does not preserve the set of left invertible operators in both directions. In fact, for an operator $T \in B\left(\ell_{2}\right)$ defined by:

$$
T\left(x_{1}, x_{2}, x_{3}, \cdots\right)=\left(x_{2}-x_{1}, x_{2}-x_{1}, x_{3}, x_{4} \cdots\right)
$$

we can find that $\phi(T)=I$ is left invertible but $T$ is not left invertible.
(2) If a linear map $\phi: B(H) \rightarrow B(H)$ preserves the set of left invertible operators in both directions, we can not induce that $\phi$ preserves the set of upper semi-Weyl operators in both directions. For example, let $A \in B\left(\ell_{2}\right)$ be defined by:

$$
A\left(x_{1}, x_{2}, x_{3}, \cdots\right)=\left(0,0, x_{1}, x_{2}, \cdots\right)
$$

$B \in B\left(\ell_{2}\right)$ is invertible and let $\phi(T)=A T B, T \in B\left(\ell_{2}\right)$. We can see that $A$ is left invertible, there exists $A_{1} \in B\left(\ell_{2}\right)$ such that $A_{1} A=I$. Since $A \in B\left(\ell_{2}\right)$ is Fredholm, there are $A_{2} \in B\left(\ell_{2}\right)$ and a compact operator $K_{0}$ satisfying $A A_{2}=$ $I+K_{0}$. For any $T \in B\left(\ell_{2}\right)$, let $T_{0}=A_{2} T B^{-1}$ and $K=-K_{0} T$. Then $K$ is compact and $T=\phi\left(T_{0}\right)+K$, which means that $\phi$ is surjective up to compact operators. For any left invertible operator $T \in B\left(\ell_{2}\right)$, suppose that $T_{1} T=I$. Then $B^{-1} T_{1} A_{1} \phi(T)=I$, this shows that $\phi(T)$ is left invertible. For the converse, if $\phi(T)$ is left invertible and suppose $D \phi(T)=I$. Then $B D A T=B D A T B B^{-1}=$ $B D \phi(T) B^{-1}=B B^{-1}=I$, thus $T \in B\left(\ell_{2}\right)$ is left invertible. It follows that $\phi$ preserves the set of left invertible operators in both directions. But $\phi$ does not preserve the set of upper semi-Weyl operators in both directions. In fact, let $T \in B\left(\ell_{2}\right)$ be defined as $T\left(x_{1}, x_{2}, x_{3}, \cdots\right)=\left(x_{2}, x_{3}, \cdots\right)$, then $\phi(T)$ is upper semi-Weyl with $\operatorname{ind}(\phi(T))=\operatorname{ind}(A)+\operatorname{ind}(T)+\operatorname{ind}(B)=-2+1+0=-2$ but $T$ is not upper semi-Weyl.

It is well known that the set of left invertible operators is a subset of $S F_{+}^{-}(H)$, we need to study the relation between the linear maps preserving the set of upper semi-Weyl operators and the linear maps preserving the set of left invertible operators. Let's begin with a Theorem.

Theorem 2.2. Let $\phi: B(H) \rightarrow B(H)$ be a surjective linear map preserving upper semi-Weyl operators in both directions and $\phi(I)-I \in \mathcal{K}(H)$. If $\sigma_{0}(K)=$ $\sigma_{0}(\phi(K))$ for any Riesz operator $K$, then there is an invertible linear operator $A \in B(H)$ such that $\phi(T)=A T A^{-1}$ for any $T \in B(H)$.

Proof. We will prove the Theorem by seven steps:
(i) For any $T \in B(H), \sigma_{e a}(T)=\sigma_{e a}(\phi(T))$.

Let $\phi(I)=I+K$, where $K \in \mathcal{K}(H)$. Since $T-\lambda I \in S F_{+}^{-}(H) \Leftrightarrow \phi(T-\lambda I)=$ $\phi(T)-\lambda \phi(I)=\phi(T)-\lambda I-\lambda K \in S F_{+}^{-}(H) \Leftrightarrow \phi(T)-\lambda I \in S F_{+}^{-}(H)$, it follows that $\sigma_{e a}(T)=\sigma_{e a}(\phi(T))$ for any $T \in B(H)$.
(ii) $\phi$ preserves compact operators in both directions.

First we claim that

$$
\begin{gathered}
\mathcal{K}(H)=\left\{K \in B(H): K+S F_{+}^{-}(H) \in S F_{+}^{-}(H)\right\} \\
=\left\{K \in B(H): \sigma_{e a}(T+K)=\sigma_{e a}(T) \text { for all } T \in B(H)\right\} .
\end{gathered}
$$

From the stability properties of index function, it is clear that $\mathcal{K}(H) \subseteq\{K \in$ $\left.B(H): K+S F_{+}^{-}(H) \in S F_{+}^{-}(H)\right\}=\left\{K \in B(H): \sigma_{e a}(T+K)=\sigma_{e a}(T)\right.$ for all $T \in$ $B(H)\}$.

Let $\partial E$ and $\eta E$ denote the boundary and the polynomial convex hull of a compact subset $E$ of $\mathbb{C}$ respectively. For any $T \in B(H)$, since

$$
\partial \sigma_{w}(T) \subseteq \partial \sigma_{e}(T) \subseteq \sigma_{e}(T) \subseteq \sigma_{w}(T) \text { and } \partial \sigma_{w}(T) \subseteq \partial \sigma_{e a}(T) \subseteq \sigma_{e a}(T) \subseteq \sigma_{w}(T)
$$

it follows that $\eta \sigma_{e a}(T)=\eta \sigma_{w}(T)=\eta \sigma_{e}(T)$.
Now, let $K \in B(H)$ such that $\sigma_{e a}(T+K)=\sigma_{e a}(T)$ for all $T \in B(H)$. Then by Theorem 5.3.1 in [2], $\eta \sigma_{e}(T+K)=\eta \sigma_{e}(T)$ for all $T \in B(H)$. Taking into account the semisimplicity of $\mathcal{C}(H)$ and the spectral characterization of the radical, it is not difficult to prove that the $\mathcal{K}(H)=\left\{K \in B(H): K+S F_{+}^{-}(H) \in S F_{+}^{-}(H)\right\}=$ $\left\{K \in B(H): \sigma_{e a}(T+K)=\sigma_{e a}(T)\right.$ for all $\left.T \in B(H)\right\}$.

Let $K \in \mathcal{K}(H)$, for any $T \in S F_{+}^{-}(H)$, since $\phi$ preserves upper semi-Weyl operators in both directions, there exists $T^{\prime} \in S F_{+}^{-}(H)$ for which $T=\phi\left(T^{\prime}\right)$. Hence $T+\phi(K)=\phi\left(T^{\prime}\right)+\phi(K)=\phi\left(T^{\prime}+K\right) \in S F_{+}^{-}(H)$. Then $\phi(K) \in \mathcal{K}(H)$. For the converse, let $\phi(K) \in \mathcal{K}(H)$, for any $T \in S F_{+}^{-}(H), \phi(T+K)=\phi(T)+$ $\phi(K) \in S F_{+}^{-}(H)$, then $T+K \in S F_{+}^{-}(H)$. It follows that $K \in \mathcal{K}(H)$. Now we prove that $\phi$ preserves compact operators in both directions.

Since $\phi$ preserves compact operators in both directions, it follows that $\sigma(K)=$ $\{0\} \cup \sigma_{0}(K)=\{0\} \cup \sigma_{0}(\phi(K))=\sigma(\phi(K))$ for any compact operator $K$.
(iii) $N(\phi) \subseteq \mathcal{K}(H)$.

If $K \in N(\phi)$ and $T \in S F_{+}^{-}(H)$, then $\phi(T+K)=\phi(T) \in S F_{+}^{-}(H)$. Thus for all $T \in S F_{+}^{-}(H), T+K \in S F_{+}^{-}(H)$. Thus $K \in \mathcal{K}(H)$.
(iv) Let $\varphi: \mathcal{C}(H) \rightarrow \mathcal{C}(H)$ be an induced linear map such that $\phi \circ \pi=\pi \circ \phi$, then $\varphi$ is isomorphism.
$\phi$ induces a linear map $\varphi: \mathcal{C}(H) \rightarrow \mathcal{C}(H)$ such that $\varphi \circ \pi=\pi \circ \phi$. Clearly, $\varphi$ is surjective since $\phi$ is surjective. By hypothesis and (ii), $\varphi$ is $\eta \sigma$-preserving. From Corollary 2.3 in [5], $\varphi$ is injective, and by Theorem 3.1 in [5], $\varphi$ is either a homomorphism or an anti-homomorphism.

First we will prove that $\phi$ preserves upper semi-Fredholm operators in both directions. By Theorem 2.1 in [17], we know that $\phi$ preserves Fredholm operators in both directions. Let $T \in B(H)$ be an upper semi-Fredholm, there are two cases to consider: $d(T)=\infty$ and $d(T)<\infty$. If $d(T)=\infty$, using the fact that $\phi$ is a linear map preserving upper semi-Weyl operators in both directions, we know that $\phi(T)$ is upper semi-Fredholm. If $d(T)<\infty$, then $T$ is Fredholm, thus $\phi(T)$ is Fredholm since $\phi$ preserves Fredholm operators in both directions. Using the same way, we can prove that $T$ is upper semi-Fredholm if $\phi(T)$ is upper semi-Fredholm. By Corollary 3.6 in [3], $\varphi$ is an isomorphism.

As $\phi$ preserves the essential spectrum, from Theorem 3.3 in [17] we deduce that $\operatorname{ind}(\phi(T))=\operatorname{ind}(T)$ or $\operatorname{ind}(\phi(T))=-\operatorname{ind}(T)$ for every Fredholm operator $T \in$ $B(H)$. Since $\phi$ preserves upper semi-Weyl operators in both directions, it follows that $\operatorname{ind}(\phi(T)) \cdot \operatorname{ind}(T) \geq 0$ for any $T \in \Phi(H)$. Thus $\operatorname{ind}(\phi(T))=\operatorname{ind}(T)$ for any $T \in \Phi(H)$. Also we can prove that $\operatorname{ind}(\phi(T))=\operatorname{ind}(T)$ for any upper semiFredholm operator $T \in B(H)$. For lower semi-Fredholm operator $T \in B(H)$, we also have $\operatorname{ind}(\phi(T))=\operatorname{ind}(T)$. In fact, since $\varphi$ is an isomorphism, by Corollary 3.6 in [3], $\phi$ preserves lower semi-Fredholm operators in both directions. Let $T \in B(H)$ be a lower semi-Fredholm operator, then $\phi(T)$ is a lower semi-Fredholm operator. There are also two cases to consider: $n(T)=\infty$ and $n(T)<\infty$. If $n(T)=\infty$, using the fact that $\phi$ is a linear map preserving Fredholm operators in both directions, we know that $n(\phi(T))=\infty$, then $\operatorname{ind}(\phi(T))=\operatorname{ind}(T)=\infty$. If $n(T)<\infty$, then $T$ is Fredholm, thus $\phi(T)$ is Fredholm since $\phi$ preserves Fredholm operators in both directions. Then $\operatorname{ind}(\phi(T))=\operatorname{ind}(T)$ again.
(v) $\phi$ is injective.

If $\phi(T)=0$, then $T$ is compact and hence $\sigma(T)=\{0\} \cup \sigma_{0}(T)=\{0\} \cup$ $\sigma_{0}(\phi(T))=\{0\}$ since $\sigma_{0}(\phi(T))=\emptyset$. This means that $T$ is quasinipotent. Assume that $T \neq 0$, we can find $x \in H$ such that $T x=y \neq 0$. Clearly, $x$ and $y$ are linear independent. Define a nilpotent operator $N \in B(H)$ by:

$$
N x=x-y, N y=x-y, N z=0, \text { for } z \in\{x, y\}^{\perp} .
$$

Then both $N$ and $N+T$ are compact, thus $\phi(N+T)=\phi(N)$ is compact. From the condition we can find $\sigma(T+N)=\sigma(\phi(T+N))$, then $\sigma(T+N)=\sigma(\phi(T+N))=$ $\sigma(\phi(N))=\sigma(N)=\{0\}$, which means that $T+N$ is quasinilpotent. This is in contraction to the fact that $1 \in \sigma(T+N)$.
(vi) $\phi(T)$ is an idempotent of rank one if and only if $T$ is an idempotent of rank one.

Let $P \in B(H)$ be an idempotent of rank one and let $\phi(P)=Q$. Since both $P$ and $Q$ are compact operators, $\sigma(Q)=\sigma(P)=\{0,1\}$. For any $K \in F_{2}(H)$, where $F_{2}(H)$ denotes the set of all operators in $B(H)$ with rank not greater than 2, there is $S \in B(H)$ such that $K=\phi(S)$ as $\phi$ is surjective. Thus by Theorem 1 in [12] we must have that $\sigma(S+P) \cap \sigma(S+2 P) \subseteq \sigma(S)$. Since $S+P, S+2 P$ and $S$ are all compact operators, it follows that $\sigma(S+P)=\sigma(\phi(S+P))=\sigma(K+Q)$, $\sigma(S+2 P)=\sigma(\phi(S+2 P))=\sigma(K+2 Q)$ and $\sigma(S)=\sigma(\phi(S))=\sigma(K)$. Then $\sigma(K+Q) \cap \sigma(K+2 Q) \subseteq \sigma(K)$. By Lemma 2.2 in [6], we know that $\operatorname{rank} Q=1$. This implies that $Q$ satisfies a quadratic polynomial equation $p(Q)=0$ ([14]).

Using the fact that $\sigma(Q)=\{0,1\}$, we know that $p$ is of the form $p(\lambda)=\lambda(\lambda-1)$. Then $Q^{2}=Q$.

We get that $\phi$ preserves idempotent of rank one. The same must be true for $\phi^{-1}$, and consequently, $\phi$ preserves idempotents of rank one in both directions. According to Proposition 2.6 in [19] there exists either an invertible $A \in B(H)$ such that $\phi(T)=A T A^{-1}$ for all finite rank operators $T \in B(H)$, or a bounded invertible conjugate-linear operator $C$ on $H$ such that $\phi(T)=C T^{*} C^{-1}$ for every $T \in B(H)$ of finite rank.
(vii) There is an invertible linear operator $A \in B(H)$ such that $\phi(T)=$ $A T A^{-1}$ for any $T \in B(H)$.

Let $T \in B(H)$ such that $T^{2}=0$. Then $\sigma(T)=\{0\}$ and $\sigma_{0}(T)=\emptyset$. Since $T-\lambda I$ is Weyl for any $\lambda \neq 0$ and $\phi$ is a linear map preserving upper semi-Weyl operators in both directions, it follows that $\phi(T)-\lambda I$ is Weyl for any $\lambda \neq 0$. This implies that $\phi(T)$ is a Riesz operator. For every operator $U$ of rank one, we know that both $T+U$ and $\phi(T)+\phi(U)$ are Riesz operators. Then $\sigma(T+U)=$ $\sigma(\phi(T)+\phi(U))$. By assuming that $\phi(U)=A U A^{-1}$, this can be rewritten as $\sigma(T+U)=\sigma\left(A^{-1} \phi(T) A+U\right)$ for each rank one operator $U$. This gives directly that $T=A^{-1} \phi(T) A$, and hence $\phi(T)=A T A^{-1}$. Then $\phi(T)=A T A^{-1}$ for every $T \in B(H)$ by Theorem 2 in [20].

In the second case we show that similarly that $\phi(T)=C T^{*} C^{-1}$ for all $T \in$ $B(H)$. It follows from that $\operatorname{ind}(T)=\operatorname{ind}(\phi(T))$ if $T$ is Fredholm, we know that the second case cannot occur. The proof of the Theorem is complete.

In the proof of Theorem 2.2, we use P.Šemrl's method in Theorem 4 in [21], but there are many differences in two proofs.

Similar to the proof of Lemma 1 in [12], we can get that: Let $A \in B(H)$. If $\sigma_{a}(T+A) \subseteq \sigma_{a}(T)$ for every rank one operator $T$, then $A=0$.

For surjective linear map $\phi: B(H) \rightarrow B(H)$, if $\sigma_{a}(T) \subseteq \sigma_{a}(\phi(T))$ for any $T \in B(H)$ and $\sigma_{a}(T)=\sigma_{a}(\phi(T))$ for any Riesz operator $T$, then $\phi(I)=I$. In fact, suppose that $\phi(S)=I$. For any rank one operator $F$, since $\sigma_{a}(F+S-I)=$ $\sigma_{a}(F+S)-1 \subseteq \sigma_{a}(\phi(F)+\phi(S))-1=\sigma_{a}(\phi(F)+I)-1=\sigma_{a}(\phi(F))=\sigma_{a}(F)$, we know that $S-I=0$, then $S=I$, which means that $\phi(I)=I$. In the proof of Theorem 2.2, we can see that if $\phi$ preserves Riesz operators in both directions and if $\sigma_{0}(T)=\sigma_{0}(\phi(T))$ for any Riesz operator $T$, then there exists either an invertible $A \in B(H)$ such that $\phi(T)=A T A^{-1}$ for every $T \in B(H)$, or a bounded invertible conjugate-linear operator $C$ on $H$ such that $\phi(T)=C T^{*} C^{-1}$ for every $T \in B(H)$.

Corollary 2.3. Let $\phi: B(H) \rightarrow B(H)$ be a surjective linear map preserving upper semi-Weyl operators in both directions. If $\sigma_{a}(T) \subseteq \sigma_{a}(\phi(T))$ for any $T \in$ $B(H)$ and $\sigma_{a}(T)=\sigma_{a}(\phi(T))$ for any Riesz operator $T$, then there is an invertible linear operator $A \in B(H)$ such that $\phi(T)=A T A^{-1}$ for any $T \in B(H)$.

Proof. Since $\phi(I)=I$ and $\phi: B(H) \rightarrow B(H)$ preserves upper semi-Weyl operators in both directions, we can prove that $\phi$ preserves Riesz operators in both directions. Then $\sigma(T)=\sigma_{a}(T)=\sigma_{a}(\phi(T))=\sigma(\phi(T))$ for any Riesz operator $T$.

Thus $\sigma_{0}(T)=\sigma_{0}(\phi(T))$ for any Riesz operator $T$. By Theorem 2.2, the result is true.

Corollary 2.4. Let $\phi: B(H) \rightarrow B(H)$ be a surjective linear map. If $\phi(I)-I \in$ $\mathcal{K}(H)$ and $\sigma_{0}(T)=\sigma_{0}(\phi(T))$ for any Riesz operator $T \in B(H)$, then the following statements are equivalent:
(1) $\sigma_{a}(T)=\sigma_{a}(\phi(T))$ for any $T \in B(H)$;
(2) $\sigma_{e a}(T)=\sigma_{e a}(\phi(T))$ for any $T \in B(H)$;
(3) $\sigma_{e}(T)=\sigma_{e}(\phi(T))$ and ind $(T)=\operatorname{ind}(\phi(T))$ if $T$ is a Fredholm operator;
(4) $\sigma_{S F_{+}}(T)=\sigma_{S F_{+}}(\phi(T))$ and $\operatorname{ind}(T)=\operatorname{ind}(\phi(T))$ if $T$ is an upper semiFredholm operator;
(5) $\sigma_{S F_{-}}(T)=\sigma_{S F_{-}}(\phi(T))$ and $\operatorname{ind}(T)=\operatorname{ind}(\phi(T))$ if $T$ is a lower semiFredholm operator;
(6) There exists an invertible operator $A \in B(H)$ such that $\phi(T)=A T A^{-1}$ for every $T \in B(H)$.

Proof. It follows from Theorem 2.2, Theorem 2.1 in [17], Theorem 4.8 in [3] and Corollary 3.6 in [3], that (2), (3), (4), (5) and (6) are equivalent. The implication $(6) \Rightarrow(1)$ is clear, and the converse can be argued as in Theorem 4 in [21].

From the proof of Theorem 4 in [21], we know that if $\phi: B(H) \rightarrow B(H)$ be a surjective linear map and $\sigma_{a}(T)=\sigma_{a}(\phi(T))$ for any $T \in B(H)$, then (2), (3), (4) and (5) in Corollary 2.4 are true.

Remark 2.5. In Corollary 2.4, the condition " $\sigma_{0}(T)=\sigma_{0}(\phi(T))$ for any Riesz operator $T \in B(H)$ " is essential. For example, let $A, B \in B\left(\ell_{2}\right)$ and $\phi$ be defined as in (1) in Remark 2.1. Then $\phi: B(H) \rightarrow B(H)$ is a surjective linear map preserving upper semi-Weyl operators in both directions and $\phi(I)=I$, which means that $\sigma_{e a}(T)=\sigma_{e a}(\phi(T))$ for any $T \in B(H)$ (from the proof of Theorem 2.2). Let $T_{0}=B A$, then $T_{0}\left(x_{1}, x_{2}, x_{3}, \cdots\right)=\left(0, x_{2}, x_{3}, x_{4}, \cdots\right)$ and $\phi\left(T_{0}\right)=I$. Since $T_{0}=T_{0}^{2}$ and $\phi\left(T_{0}\right)$ is invertible, we can see that $0 \in \sigma_{0}\left(T_{0}\right)$ but $0 \notin \sigma_{0}\left(\phi\left(T_{0}\right)\right)$. Then we can not induce that $\phi$ preserves the set of left invertible operators in both directions from (1) in Remark 2.1.

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