

On a Beck-Putnam-Rehder Theorem

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Abstract

We give a simple and easy proof of a result obtained by W. Rehder who generalized, in his turn, a famous result by Beck and Putnam. We then give a generalization to unbounded operators.

1 Introduction

W. A. Beck and C. R. Putnam proved in [1] the following result:

Theorem 1. *Let A be a bounded operator on a Hilbert space. Let N be a normal operator such that $AN = N^*A$. If, whenever z is not real, either z or its conjugate \bar{z} does not lie in the spectrum of N , then $AN = NA$.*

W. Rehder [5] gave a generalization of this theorem and proved:

Theorem 2. *Assume that A is a bounded operator on a Hilbert space. Let N and M be two normal operators such that $AN = MA$. Assume further that*

1. $\sigma(M^*) \subset \sigma(N)$, and
2. *whenever z is not real, either z or its conjugate \bar{z} does not lie in the spectrum of N .*

*Then $AN = M^*A$.*

*Supported in part by "Laboratoire de Mathématiques, Géométrie, Analyse, Contrôle & Applications".

Received by the editors February 2009.

Communicated by A. Valette.

2000 *Mathematics Subject Classification* : Primary 47A05, 47A62. Secondary 47A10.

Key words and phrases : Beck-Putnam theorem. Normal Operators. Spectrum. Adjoints. Operator's Matrices.

Rehder's proof was interesting, however, not a straightforward one. In this short paper, we reduce Theorem 2 to Theorem 1 by means of an operator's matrix trick with also a slight weakening of Rehder Theorem's hypotheses. The last result in this paper is a generalization of Theorem 3 (see below) to unbounded operators.

Finally we assume the reader is familiar with notions and results on linear operators on a Hilbert space (see e.g. [2, 3, 4]).

2 Main Results

The first main result is the following theorem:

Theorem 3. *Assume that A is a bounded operator on a Hilbert space. Let N and M be two normal operators such that $AN = MA$. Assume further that, whenever z is not real, either z or its conjugate \bar{z} does not lie in $\sigma(M^*) \cup \sigma(N)$. Then $AN = M^*A$.*

Proof. Let M and N be two bounded normal operators and let A be a bounded operator all defined on a Hilbert space \mathcal{H} . Define on $\mathcal{H} \oplus \mathcal{H}$ the following operators

$$\tilde{N} = \begin{pmatrix} M^* & 0 \\ 0 & N \end{pmatrix} \text{ and } \tilde{A} = \begin{pmatrix} 0 & A \\ 0 & 0 \end{pmatrix}.$$

Since M and N are normal, so is \tilde{N} (as one can easily check). Besides one has

$$\tilde{A}\tilde{N} = \begin{pmatrix} 0 & A \\ 0 & 0 \end{pmatrix} \begin{pmatrix} M^* & 0 \\ 0 & N \end{pmatrix} = \begin{pmatrix} 0 & AN \\ 0 & 0 \end{pmatrix}$$

and

$$\tilde{N}^*\tilde{A} = \begin{pmatrix} M & 0 \\ 0 & N^* \end{pmatrix} \begin{pmatrix} 0 & A \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & MA \\ 0 & 0 \end{pmatrix}.$$

Since $AN = MA$, $\tilde{A}\tilde{N} = \tilde{N}^*\tilde{A}$. We also know that $\sigma(\tilde{N}) = \sigma(M^*) \cup \sigma(N)$. But by hypothesis whenever z is not real either $z \notin \sigma(M^*) \cup \sigma(N)$ or $\bar{z} \notin \sigma(M^*) \cup \sigma(N)$ and hence whenever z is not real either $z \notin \sigma(\tilde{N})$ or $\bar{z} \notin \sigma(\tilde{N})$. Thus Theorem 1 applies and gives

$$\tilde{A}\tilde{N} = \tilde{N}\tilde{A} \text{ or } AN = M^*A. \quad \blacksquare$$

Theorem 2 has become now a corollary to the previous theorem. We have

Corollary 1. *Assume that A is a bounded operator on a Hilbert space. Let N and M be two normal operators such that $AN = MA$. Assume further that*

1. $\sigma(M^*) \subset \sigma(N)$, and
2. whenever z is not real, either z or its conjugate \bar{z} does not lie in the spectrum of N .

*Then $AN = M^*A$.*

Proof. The proof follows from the observation

$$\sigma(M^*) \subset \sigma(N) \implies \sigma(\tilde{N}) = \sigma(M^*) \cup \sigma(N) = \sigma(N). \quad \blacksquare$$

Theorem 3 has an analog for unbounded operators which is the following theorem:

Theorem 4. Assume that A is a bounded operator on a Hilbert space. Let N and M be two unbounded normal operators (with domains $D(N)$ and $D(M)$ respectively) such that $AN \subset MA$. Assume further that, whenever z is not real, either z or its conjugate \bar{z} does not lie in $\sigma(M^*) \cup \sigma(N)$. Then $AN \subset M^*A$.

Proof. Let m and n be both in \mathbb{N} . Consider the two closed balls

$$B_n = \{z \in \mathbb{C} : |z| \leq n\} \text{ and } B_m = \{z \in \mathbb{C} : |z| \leq m\}.$$

Let $P_{B_n}(N)$ and $P_{B_m}(M)$ be the spectral projections associated with N and M respectively. Then $N_n := NP_{B_n}(N)$ and $M_m := MP_{B_m}(M)$ are bounded normal operators.

As $AN \subset MA$, then AN and MA coincide on $D(AN) = D(N)$. Since $\text{ran} P_{B_n}(N) \subset D(N)$, one can say that $ANP_{B_n}(N) = MAP_{B_n}(N)$.

Now known properties about the spectral measures and theorem yield

$$[P_{B_m}(M)AP_{B_n}(N)]N_n = M_m[P_{B_m}(M)AP_{B_n}(N)].$$

Let z be a non-real number. Since $z \notin \sigma(M^*) \cup \sigma(N)$ or $\bar{z} \notin \sigma(M^*) \cup \sigma(N)$, $z \notin \sigma(M_m^*) \cup \sigma(N_n)$ or $\bar{z} \notin \sigma(M_m^*) \cup \sigma(N_n)$ for all n and all m . Hence Theorem 3 applies and yields

$$[P_{B_m}(M)AP_{B_n}(N)]NP_{B_n}(N) = P_{B_m}(M)M^*[P_{B_m}(M)AP_{B_n}(N)].$$

Thus

$$P_{B_m}(M)ANP_{B_n}(N)f = P_{B_m}(M)M^*AP_{B_n}(N)f, \forall f \in D(N) = D(N^*)$$

(and hence $Af \in D(M) = D(M^*)$). Sending both n and m to ∞ we get $P_{B_n}(N) \rightarrow I$ and $P_{B_m}(M) \rightarrow I$ respectively (and both in strong operator topology).

Therefore

$$ANf = M^*Af, \forall f \in D(N) (\subset D(M^*A) = D(MA)).$$

Thus $AN \subset M^*A$. ■

Acknowledgment

The author thanks the referee for his/her comments.

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