

HOMOTOPY RIGIDITY OF LINEAR ACTIONS: CHARACTERS TELL ALL

BY ARUNAS LIULEVICIUS¹

Our aim is to present a striking rigidity phenomenon in unitary representations of compact groups. Let $U = U(n)$ be a unitary group and H a closed subgroup of U . The homogeneous space U/H is a smooth manifold with a smooth action λ of U induced by left multiplication. If $\alpha: G \rightarrow U$ is a representation of the compact group G , then $\lambda \circ (\alpha \times 1): G \times U/H \rightarrow U/H$ is an action of G on U/H , and we denote this G -structure by $(U/H, \alpha)$. Such actions of G on U/H are called *linear actions*. We shall give a complete description of the G -homotopy types of linear actions on U/H for a certain class of H . To motivate our results we shall first examine some obvious G -equivalences of linear actions.

If X is a U -space, then the set of U -maps $\text{Map}_U(U/H, X)$ is in one-to-one correspondence with elements $x \in X$ such that $U_x \supset H$, where $U_x = \{u \in U \mid ux = x\}$ is the isotropy group of the action at x . For example, if $a \in U$ then the element aH in U/H has isotropy group aHa^{-1} and the U -map $f: U/aHa^{-1} \rightarrow U/H$ given by $f(uaHa^{-1}) = uaH$ is a U -equivalence. Indeed if H and K are closed subgroups of U then U/H and U/K are U -equivalent if and only if $K = aHa^{-1}$ for a suitable $a \in U$. Suppose $\alpha, \gamma: G \rightarrow U$ are representations such that there exists an $a \in U$ such that $\gamma(g) = a\alpha(g)a^{-1}$ for all $g \in G$ (we say that γ is *similar* to α). The map $k: (U/H, \alpha) \rightarrow (U/H, \gamma)$ given by $k(uH) = auH$ is a G -equivalence. Indeed, k is the composition of the G -equivalence $(U/H, \alpha) \rightarrow (U/aHa^{-1}, \gamma)$ induced by conjugation with a in U and the U -equivalence (hence G -equivalence!) $f: (U/aHa^{-1}, \gamma) \rightarrow (U/H, \gamma)$. Thus similarity of representations gives us G -equivalences of the associated linear actions on U/H . Here is another obvious way of obtaining G -equivalences: let $c: U \rightarrow U$ be conjugation by unitary matrices $c(a) = \bar{a}$; then if $c(H) = H$, we obtain a G -equivalence $c: (U/H, \alpha) \rightarrow (U/H, \bar{\alpha})$ where $\bar{\alpha} = c \circ \alpha$ is the representation conjugate to α .

It is too much to hope that $(U/H, \alpha)$ is G -homotopy equivalent to $(U/H, \beta)$ if and only if β or $\bar{\beta}$ is similar to α . For example, if H is a subgroup of maximal rank in U and C is the center of U then $C \subset H$ and C acts trivially on U/H , so if we let $P(U) = U/C$ be the projective unitary group (with $q: U \rightarrow P(U)$ the quotient map), then the standard left action λ of U on U/H induces an action of $P(U)$ on U/H , and it is the similarity class of the projective representation $q \circ \alpha: G \rightarrow P(U)$ which matters. We have: if $\alpha, \beta: G \rightarrow U$ are representations and $\chi: G \rightarrow S^1 = C$ is a homomorphism such that β or $\bar{\beta}$ is similar to $\chi\alpha$ then $(U/H, \alpha)$ is G -equiva-

An invited address presented at the 745th meeting of the American Mathematical Society, Evanston, Illinois, April 16, 1977; received by the editors July 18, 1977.

AMS (MOS) subject classifications (1970). Primary 57E10, 57E25, 55D15; Secondary 55B15, 57D20, 22C05.

Key words and phrases. Representation, linear action, G -homotopy, cohomology, Picard group.

¹Work supported in part by NSF grant MCS 75-08280.

lent to $(U/H, \beta)$, and indeed through a map which is induced by an R -linear map of R^{2n} (the underlying real vector space of the complex vector space C^n on which $U = U(n)$ acts in the standard way). The reader would expect to find more G -equivalences of linear actions if we drop linearity, and yet more G -homotopy equivalences. The surprise is that if we make a mild restriction on H then we find that linear actions of G on U/H are *rigid under homotopy*: $(U/H, \alpha)$ is G -homotopy equivalent to $(U/H, \beta)$ if and only if they are G -equivalent through an R -linear map. Here is a sample result:

THEOREM 1 (HOMOTOPY RIGIDITY OF LINEAR ACTIONS). *If H is a subgroup of $U = U(n)$ conjugate to $U(n - k) \times T^k$, where T^k is the k -torus and $n \geq 2k$, $\alpha, \beta: G \rightarrow U$ representations of a compact group G , then a G -map $f: (U/H, \alpha) \rightarrow (U/H, \beta)$ exists with $f: U/H \rightarrow U/H$ a homotopy equivalence if and only if there is a linear character $\chi: G \rightarrow S^1$ and β or $\bar{\beta}$ is similar to $\chi\alpha$.*

We should point out that the condition $n \geq 2k$ is not necessary: for example, homotopy rigidity of linear actions holds for $U(5)/U(2) \times T^3$ and for $U(6)/U(2) \times T^4$, but the proof is much more involved. Similarly, the condition that H be conjugate to $U(n - k) \times T^k$ is too strong: in [13] we show homotopy rigidity of linear actions on $U(m + n + 1)/U(m) \times U(n) \times U(1)$ for $mn \geq m + n + 1$. The right level of generality for our current approach seems to be the following: let us call a subgroup H of U *friendly* if H is closed, connected, of maximal rank in $U = U(n)$ and there exists a nonzero vector $v \in C^n$ such that $hv = \lambda(h)v$ for some linear character $\lambda: h \rightarrow S^1$; indeed we assume H is conjugate to a subgroup $U(n_1) \times \cdots \times U(n_k) \subset U(n)$ with $n_1 \geq \cdots \geq n_k = 1$ and $n_1 + \cdots + n_k = n$ (see Borel and Siebenthal [7]). We shall outline a strategy for proving

CONJECTURE A. *If H is a friendly subgroup of U then linear actions of a compact group G on U/H are rigid under homotopy.*

Indeed one can conjecture that linear actions of G are rigid for U/H where H is connected of maximal rank. This is work in progress with Wu-Yi Hsiang.

An immediate consequence of our homotopy rigidity result is that the G -homotopy type of $(U/H, \alpha)$ can be read off from the character table of G (characters tell all). For example, if $\alpha, \beta: G \rightarrow U$ are representations and $|\text{Trace } \alpha(g)| \neq |\text{Trace } \beta(g)|$ for some element $g \in G$, then $(U/H, \alpha)$ and $(U/H, \beta)$ have distinct G -homotopy types. An example of such a situation is given by the alternating group on five letters A_5 : let α and β be the distinct irreducible 3-dimensional unitary representations, $g = (12345)$, then

$$\text{Tr } \alpha(g) = \frac{1 + \sqrt{5}}{2} \quad \text{and} \quad \text{Tr } \beta(g) = \frac{1 - \sqrt{5}}{2},$$

so $(U/H, \alpha)$ and $(U/H, \beta)$ are not A_5 -homotopy equivalent for any friendly subgroup H of $U = U(3)$. Here, of course, there are no nontrivial linear characters and all characters of A_5 take real values, so two linear actions $(U/H, \gamma), (U/H, \delta)$ of A_5 on U/H (with H a friendly subgroup of U) are A_5 -homotopy equivalent if and only if γ is similar to δ . The case of α and β is especially interesting since there is an outer automorphism $\varphi: A_5 \rightarrow A_5$ with $\varphi^*\alpha = \beta$. Even the cyclic group of order two $G = Z/2Z$ gives entertaining examples: if we let 1 denote the trivial representation of G then there exist linear actions $\alpha, \beta, \gamma, \delta$ on CP^n such that $(CP^n, \alpha) \approx (CP^n, \beta)$ but $(CP^n, \alpha$

$+1) \not\approx (CP^n, \beta + 1)$, and $(CP^n, \gamma + 1) \approx (CP^n, \delta + 1)$ but $(CP^n, \gamma) \not\approx (CP^n, \delta)$, where we have used \approx to indicate $Z/2Z$ -homotopy equivalence.

In Theorem 1, f is not assumed to be a G -homotopy equivalence, that is, although there is a homotopy inverse $f': U/H \rightarrow U/H$, we are not saying that such an f' can be found which is a G -map $f': (U/H, \beta) \rightarrow (U/H, \alpha)$. Indeed, Petrie [15] exhibits a G -space Y , a linear action γ on $U/H = CP^k$ and a G -map $h: Y \rightarrow (CP^k, \gamma)$ which is a homotopy equivalence such that the induced map is equivariant K -theory

$$h^!: K_G(CP^k, \gamma) \rightarrow K_G(Y)$$

is not an isomorphism—this means that although h is a homotopy equivalence it is *not* a G -homotopy equivalence. Our approach is based on the fact that this sort of pathology cannot occur if Y is a complex projective space with a linear action (see [11]): given a G -map $h: (CP^n, \alpha) \rightarrow (CP^n, \beta)$ such that $h: CP^n \rightarrow CP^n$ is a homotopy equivalence, there exists an R -linear G -equivalence $k: (CP^n, \alpha) \rightarrow (CP^n, \beta)$ such that $h^! = k^!$ (so, in particular, $h^!$ is an isomorphism).

This report is organized as follows: in the second section, we present an exact sequence on Picard groups of G -line bundles and popularize some work of Graeme Segal [19] on cohomology of topological groups. In the third section we examine the case $U/H = CP^n$ and show how equivariant K -theory allows us to prove the homotopy rigidity theorem for this case. We also examine the general case of H a friendly subgroup of U and show how a result on cohomology automorphisms of U/H implies the homotopy rigidity theorem. The fourth section is devoted to proving the result on automorphisms of $H^*(U/H, Z)$, where H is as in Theorem 1.

A few words about the background of the problem. There is an extensive literature about G -maps of spheres with linear action: de Rham [16], Atiyah and Tall [5], Lee and Wasserman [10], Meyerhoff and Petrie [14]. The current project is the result of numerous consultations with Ted Petrie. Thanks also go to J. F. Adams, J. Dupont, H. Glover, W.-Y. Hsiang, P. Landrock, I. Madsen, G. Segal, R. Stong and J. Tornehave for their helpful comments.

2. An exact sequence of Picard groups. Let X be a G -space, $\text{Pic}_G(X)$ the set of isomorphism classes of complex G -line bundles over X . We give $\text{Pic}_G(X)$ the structure of a group by using the tensor product of line bundles as multiplication. If X is a CW complex, then $H^1(X; Z) \cong [X, S^1]$ and

$$H^2(X; Z) \cong [X, CP^\infty] \cong \text{Pic}_E(X),$$

where $E \subset G$ is the subgroup consisting of the identity element.

THEOREM 2. *If X is a nonempty connected G -space and $H^1(X; Z) = 0$ then the following sequence is exact:*

$$\text{Pic}_G(*) \xrightarrow{c^!} \text{Pic}_G(X) \xrightarrow{i^!} \text{Pic}_E(X),$$

where $c: X \rightarrow *$ is the collapsing map onto a point, $i: E \subset G$ the inclusion of the identity subgroup.

PROOF. We shall use the technique of Segal's cohomology of groups [19]: if A is an abelian G -group (G compact, A has the compactly generated

topology) then cohomology groups $H_G^i(A)$ are defined for all $i \geq 0$. The group $H_G^1(A)$ is the quotient of the group of all crossed homomorphisms $\varphi: G \rightarrow A$ (functions which satisfy $\varphi(gg') = \varphi(g) + g \cdot \varphi(g')$ for all g, g' in G) modulo principal crossed homomorphisms (those which have the form $\varphi(g) = g \cdot a - a$ for a fixed a in A). The pleasant thing about Segal's cohomology is that a short exact sequence $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$ (meaning that A is a principal A' -bundle with A'' as base) produces a long exact sequence

$$\dots \rightarrow H_G^i(A) \rightarrow H_G^i(A'') \xrightarrow{\delta} H_G^{i+1}(A') \rightarrow H_G^{i+1}(A) \rightarrow \dots$$

If V is a vector space over R then $H_G^i(V) = 0$ for all $i > 0$. Given our CW space X we first notice that $H_G^1(\text{Map}(X, S^1))$ is precisely the set of isomorphism classes of $G \times S^1$ -structures on the projection $\pi_1: X \times S^1 \rightarrow X$, that is, $H_G^1(\text{Map}(X, S^1)) = \text{Ker } i^!$. Since $H^1(X; Z) = 0$ we obtain an exact sequence

$$0 \rightarrow \text{Map}(X, Z) \rightarrow \text{Map}(X, R) \rightarrow \text{Map}(X, S^1) \rightarrow 1,$$

$\text{Map}(X, Z) = Z$ since X is connected, and the collapsing map $c: X \rightarrow *$ induces a map of exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & Z & \longrightarrow & R & \longrightarrow & S^1 \longrightarrow 1 \\ & & \downarrow = & & \downarrow & & \downarrow \\ 0 & \longrightarrow & Z & \longrightarrow & \text{Map}(X, R) & \longrightarrow & \text{Map}(X, S^1) \longrightarrow 1 \end{array}$$

which in turn induces maps of long exact sequences of cohomology groups. We have

$$\begin{array}{ccccccc} H_G^1(R) & \longrightarrow & H_G^1(S^1) & \xrightarrow{\delta} & H_G^2(Z) & \longrightarrow & H_G^2(R) \\ \downarrow & & \downarrow c^* & & \downarrow = & & \downarrow \\ H_G^1(V) & \longrightarrow & H_G^1(\text{Map}(X, S^1)) & \xrightarrow{\delta'} & H_G^2(Z) & \longrightarrow & H_G^2(V) \end{array}$$

where $V = \text{Map}(X, R)$ is a vector space over R , so in both exact sequences the extreme terms are zero, hence δ and δ' are isomorphisms; thus $c^*: H_G^1(S^1) \rightarrow H_G^1(\text{Map}(X, S^1)) = \text{Ker } i^!$ is an isomorphism, but $H_G^1(S^1) \cong \text{Pic}_G(*) \cong \text{Hom}(G, S^1)$, and under the isomorphism c^* corresponds to $c^!$, so Theorem 2 is proved.

Notice that $\text{Pic}_E(Y) \cong H^2(Y; Z)$ under the isomorphism which assigns to a line bundle λ its first Chern class $c_1(\lambda)$.

COROLLARY 3. *Let $f: X \rightarrow Y$ be a G -map, X connected, $H^1(X; Z) = 0$, s a G -line bundle over X , t a G -line bundle over Y . Suppose $f^*c_1(i^!t) = c_1(i^!s)$, then there exists a homomorphism $\chi: G \rightarrow S^1$ such that $f^!t = \chi s$.*

PROOF. Contemplate $s^{-1} \cdot f^!t$. We have

$$c_1(i^!(s^{-1} \cdot f^!t)) = -c_1(i^!s) + c_1(i^!f^!t) = -c_1(i^!s) + f^*c_1(i^!t) = 0,$$

so $s^{-1} \cdot f^!t$ is in the kernel of $i^!$, hence in the image of $c^!$ —there exists a linear character $\chi: G \rightarrow S^1$ with $c^!\chi = \chi \cdot 1 = s^{-1} \cdot f^!t$, or $f^!t = \chi s$, as claimed.

3. The strategy of proof. Let CP^{n-1} be a complex projective $(n-1)$ -dimensional space, $s: S^{2n-1} \rightarrow CP^{n-1}$ the Hopf bundle over CP^{n-1} . If $\gamma: G \rightarrow U = U(n)$ is a representation, $s = s(\gamma): (S^{2n-1}, \gamma) \rightarrow (CP^{n-1}, \gamma)$ defines an element in $\text{Pic}_G(CP^{n-1}, \gamma)$, hence an element in $K_G(CP^{n-1}, \gamma)$ which we still call s . Let $R(G) = K_G(*)$ be the complex representation ring of G , then [3], [18] $K_G(CP^{n-1}, \gamma)$ is a free $R(G)$ -module with $1, \dots, s^{n-1}$ as basis and

$$s^n - \gamma s^{n-1} + (\Lambda^2 \gamma) s^{n-2} - \dots + (-1)^n \Lambda^n \gamma = 0,$$

where $\Lambda^i \gamma$ denotes the i th exterior power of γ .

PROPOSITION 4. *Let $\varphi: K_G(CP^{n-1}, \beta) \rightarrow K_G(CP^{n-1}, \alpha)$ be a homomorphism of $R(G)$ -algebras with $\varphi(s(\beta)) = \chi s(\alpha)$ for some linear character $\chi: G \rightarrow S^1$. Then β is similar to $\chi\alpha$.*

PROOF. Let $s(\alpha) = s$, $s(\beta) = t$. Then t satisfies

$$t^n - \beta t^{n-1} + \dots + (-1)^n \Lambda^n \beta = 0.$$

Hence applying φ we have

$$\chi^n s^n - \beta \chi^{n-1} s^{n-1} + \dots + (-1)^n \Lambda^n \beta = 0,$$

and multiplying with χ^{-n} we obtain

$$s^n - \beta \chi^{-1} s^{n-1} + \dots + (-1)^n \Lambda^n (\beta \chi^{-1}) = 0.$$

But

$$s^n - \alpha s^{n-1} + \dots + (-1)^n \Lambda^n \alpha = 0$$

and $K_G(CP^{n-1}, \alpha)$ is $R(G)$ -free on $1, \dots, s^{n-1}$. Comparing the coefficients of s^{n-1} we obtain $\beta \chi^{-1} = \alpha$ in $R(G)$ as claimed.

We shall now show how homotopy rigidity of linear actions on CP^{n-1} follows (compare [11], [12]). Let $f: (CP^{n-1}, \alpha) \rightarrow (CP^{n-1}, \beta)$ be a G -map so that $f^*: H^*(CP^{n-1}; \mathbb{Z}) \rightarrow H^*(CP^{n-1}; \mathbb{Z})$ is an isomorphism. Let $u = c_1(s) = c_1(i^!s(\alpha))$, the first Chern class of the Hopf bundle s . Then $f^*u = u$ or $-u$ since f^* is an isomorphism and $H^2(CP^{n-1}; \mathbb{Z})$ is generated by u . If $f^*u = -u$, we replace f by $c \circ f$ and β by $\bar{\beta}$ (where $c: CP^{n-1} \rightarrow CP^{n-1}$ is induced by conjugation in $U = U(n)$), so we may assume $f^*u = u$, that is $f^*c_1(i^!t) = c_1(i^!s)$. We apply Corollary 3: there exists a linear character $\chi: G \rightarrow S^1$ such that $f^!t = \chi s$. Applying Proposition 4 to $\varphi = f^!$ we obtain that β is similar to $\chi\alpha$. Recalling that we may have had to replace our original β by $\bar{\beta}$ to obtain $f^*u = u$ we obtain the homotopy rigidity result for linear actions on CP^{n-1} .

We build our approach to linear actions on U/H on this special case of CP^{n-1} . Suppose H is a friendly subgroup of $U = U(n)$; there exists a nonzero vector $v \in \mathbb{C}^n$ such that $h v = \lambda(h) v$ for all $h \in H$ for some linear character λ . We define a map $\pi: U/H \rightarrow CP^{n-1}$ by $\pi(uH) = [uv]$. If $\alpha: G \rightarrow U$ is a representation then π is a G -map $\pi_\alpha: (U/H, \alpha) \rightarrow (CP^{n-1}, \alpha)$.

PROPOSITION 5. *If H is a friendly subgroup of $U = U(n)$ and π_α is as above, then $\pi_\alpha^!: K_G(CP^{n-1}, \alpha) \rightarrow K_G(U/H, \alpha)$ is a monomorphism.*

PROOF. We may as well assume $H = U(n_1) \times U(n_2) \times \cdots \times U(n_k)$ with $n_k = 1$ and $v = e_n$, the last vector in the standard basis of C^n , then π is induced by the inclusion $H \subset U(n-1) \times U(1)$. Let $T = U(1) \times \cdots \times U(1)$ be the standard n -torus of U consisting of diagonal matrices, then $T \subset H \subset U$ induces a commutative diagram of projections

$$\begin{array}{ccc} (U/T, \alpha) & \xrightarrow{\quad} & (U/H, \alpha) \\ & \searrow \rho & \downarrow \pi_\alpha \\ & & (CP^{n-1}, \alpha) \end{array}$$

and since $\rho^!$ is a monomorphism (see [18]), so is $\pi_\alpha^!$.

Now let $\alpha, \beta: G \rightarrow U$ be representations, $s = s(\alpha)$, $t = s(\beta)$ the G -Hopf bundles on (CP^{n-1}, α) and (CP^{n-1}, β) , respectively. Let $f: (U/H, \alpha) \rightarrow (U/H, \beta)$ be a G -map such that $f: U/H \rightarrow U/H$ is a homotopy equivalence. Let $u = c_1(i^! \pi_\alpha^! s) = c_1(i^! \pi_\beta^! t)$. If $f^* u = u$, then as before Corollary 3 says that there exists a linear character $\chi: G \rightarrow S^1$ such that $f^! \pi_\beta^! t = \chi \pi_\alpha^! s = \pi_\alpha^! (\chi s)$. Thus $f^!$ maps the image of $\pi_\beta^!$ into the image of $\pi_\alpha^!$. Since $\pi_\alpha^!$ is a monomorphism, we may define

$$\varphi = (\pi_\alpha^!)^{-1} f^! \pi_\beta^!: K_G(CP^{n-1}, \beta) \rightarrow K_G(CP^{n-1}, \alpha)$$

which, of course, is a map of $R(G)$ -algebras and $\varphi(t) = \chi s$, so Proposition 4 says that β is similar to $\chi \alpha$. The catch, of course, is that there is no reason to expect that $f^* u$ is equal to u , so we have to do more work.

The group of U -maps $\text{Map}_U(U/H, U/H)$ is isomorphic to $N_U(H)/H$, where $N_U(H) = \{a \in U | aHa^{-1} = H\}$ is the normalizer of H in U (see Bredon [8], Samelson [17]). If $\gamma: G \rightarrow U$ is a representation and $k: U/H \rightarrow U/H$ is a U -map, then $k: (U/H, \gamma) \rightarrow (U/H, \gamma)$ is a G -map. Let $c: U \rightarrow U$ be given by $c(u) = \bar{u}$, the matrix with complex conjugate entries. We have chosen H in its conjugacy class so that $c(H) = H$, hence $c: (U/H, \gamma) \rightarrow (U/H, \bar{\gamma})$ is a G -map. We have a homomorphism

$$\psi: N_U(H)/H \times Z/2Z \rightarrow \text{Aut}(H^*(U/H; Z))$$

given by $\psi(k, t) = k^* \circ c^! t^*$. Stated in another way: the homomorphism ψ defines an action of $N_U(H)/H \times Z/2Z$ on $H^*(U/H; Z)$. Of course the group $\text{Homeq}(U/H)$ of all homotopy classes of homotopy equivalences of U/H also acts on $H^*(U/H; Z)$ by taking induced homomorphisms in cohomology. We now state several related conjectures.

CONJECTURE B. Let H be a friendly subgroup of $U = U(n)$, $\pi: U/H \rightarrow CP^{n-1}$ the standard map, $u = \pi^* c_1(s)$, where s is the Hopf bundle on CP^{n-1} , then the orbit of u under $N_U(H)/H \times Z/2Z$ is the same as the orbit of u under $\text{Homeq}(U/H)$.

PROPOSITION 6. Conjecture B implies Theorem 1 (homotopy rigidity of linear actions on U/H).

PROOF. We keep the notation of our earlier discussion: let $\alpha, \beta: G \rightarrow U = U(n)$ be representations, $f: (U/H, \alpha) \rightarrow (U/H, \beta)$ a G -map such that f :

$U/H \rightarrow U/H$ is a homotopy equivalence, $u = \pi^* c_1(s)$. According to Conjecture B there exists an element k of $N_U(H)/H \times \mathbb{Z}/2\mathbb{Z}$ such that $k^* f^* u = u$. Replace f by $f \circ k$ (here we may have to replace α by $\bar{\alpha}$ if conjugation is involved). Then $f^* u = u$, so $i^! f^! \pi_\beta^! t = i^! \pi_\alpha^! s$, and since U/H is connected and simply connected, we obtain from Corollary 3 a linear character $\chi: G \rightarrow S^1$ such that $f^! \pi_\beta^! t = \chi \pi_\alpha^! s$. So now letting $\varphi = \pi_\alpha^{1-!} f^! \pi_\beta^!$ we can apply Proposition 4 to conclude that β is similar to $\chi\alpha$.

We shall prove an even stronger result for a multitude of subgroups of U :

CONJECTURE C. The map ψ is an isomorphism of $N_U(H)/H \times \mathbb{Z}/2\mathbb{Z}$ onto the group of all algebra isomorphisms of $H^*(U/H; \mathbb{Z})$ if H is a friendly subgroup of $U = U(n)$ and $n \geq 3$.

Notice that $U(2)/T^2 \approx S^2$, and in this case ψ has a cyclic group of order 2 as a kernel. If $n \geq 3$, ψ is a monomorphism.

Let us boldly walk even further on the limb: the following algebraic conjecture implies Conjecture B (and Conjecture C in a lot of cases).

CONJECTURE D. Let T be the standard torus of $U = U(n)$, $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$ the standard basis for C^n , let $\pi_i: U/T \rightarrow CP^{n-1}$ for $i = 1, \dots, n$ be given by $\pi_i(uT) = [u\varepsilon_i]$, s the Hopf bundle on CP^{n-1} , let $x_i = \pi_i^* c_1(s)$. If $x \in H^2(U/T; \mathbb{Z})$ and $x^n = 0$ then there exists an integer a and an i in $\{1, 2, \dots, n\}$ such that $x = ax_i$.

The algebraic data are easy to state: $H^*(U/T; \mathbb{Z}) = \mathbb{Z}[x_1, \dots, x_{n-1}]$ modulo the ideal $I_n = (h_2, \dots, h_n)$, where h_i is the sum of all monomials of degree i in x_1, \dots, x_{n-1} (see Borel [6])—for example, for $n = 4$, $h_2 = x_1^2 + x_1x_2 + x_1x_3 + x_2^2 + x_2x_3 + x_3^2$. It is important to notice that $n - 1$ appears above, not n —indeed $x_n = -x_1 - x_2 - \dots - x_{n-1}$. The group $N_U(T)/T$ is S_n , the symmetric group on n letters which acts on $H^*(U/T; \mathbb{Z})$ by permuting the x_1, \dots, x_n . Conjecture D is trivial to prove for $n = 3$. For $n = 4$, the algebra is already delightfully complicated and a hint is helpful: examine the solutions of $x^4 = 0$ first over $\mathbb{Z}/3\mathbb{Z}$ and then exploit the fact that multiplication by x_1 from $H^6(U(4)/T^4; \mathbb{Z}/3\mathbb{Z})$ to $H^8(U(4)/T^4; \mathbb{Z}/3\mathbb{Z})$ has kernel of dimension one to show that if $x_1 + y$ is a solution of $x^4 = 0$ over \mathbb{Z} and $y = bx_2 + cx_3$ then for all natural numbers k we have $3^k | y$ implies $3^{k+1} | y$, so $y = 0$.

The limb is beginning to creak ominously, but let's take one more step:

CONJECTURE E. If H is a connected subgroup of maximal rank of $U = U(n)$ and $\text{Homeq}(U/H)$ is the group of homotopy classes of homotopy equivalences of U/H then $N_U(H)/H \times \mathbb{Z}/2\mathbb{Z}$ is a normal subgroup of $\text{Homeq}(U/H)$ if $n \geq 3$.

One reason for thinking wishfully about Conjecture E is that it would give a beautifully simple proof of Conjecture C for $H = T$, the maximal torus of $U(n)$ and $\text{Homeq}(U/H)$ is the group of homotopy classes of homotopy equivalences of U/H then $N_U(H)/H \times \mathbb{Z}/2\mathbb{Z}$ is a normal subgroup of $\text{Homeq}(U/H)$ if $n \geq 3$.

4. Algebra automorphisms of $H^*(U/H; \mathbb{Z})$. We shall prove Conjecture C for $U = U(n)$, $H = U(n - k) \times T^k$, $n \geq \max\{2k, k + 2\}$. As before, let $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$ be the standard basis of C^n and let $\pi_i: U/H \rightarrow CP^{n-1}$ be the projection $\pi_i(uH) = [u\varepsilon_{n-k+i}]$ for $i = 1, \dots, k$. Let $y \in H^2(CP^{n-1}; \mathbb{Z})$ be the Chern class of the Hopf bundle and let $x_i = \pi_i^*(y)$; then $H^*(U/H;$

$Z) = Z[x_1, \dots, x_k]/I$, where the ideal $I = (h_{n-k+1}, \dots, h_n)$ and h_j is the sum of all monomials of degree j in x_1, \dots, x_k . A free basis for H^* is given by $x^E = x_1^{e_1} x_2^{e_2} \dots x_k^{e_k}$, where $0 \leq e_i < n - k + i$ (see Borel [6]). The group $N_U(H)/H$ is S_k , the symmetric group on k letters, and it acts on $H^*(U/H; Z)$ by permuting x_1, \dots, x_k . We examine the case of $k = 2$ more closely.

LEMMA 7. *If $u = ax_1 + bx_2$ is an element in $H^2(U(m+2)/U(m) \times T^2; Z)$ with $u^{2m} = 0$, then either $a = 0$ or $b = 0$.*

PROOF. We first claim that if both a and b are nonzero and $u^{2m+1} = 0$ then $a = b$. Notice that $x_1^{m+2} = x_2^{m+2} = 0$ (since both come from CP^{m+1}) and H^{4m+2} has $x_1^m x_2^{m+1}$ as basis. Moreover, $x_1^{m+1} x_2^m = -x_1^m x_2^{m+1}$. We have

$$\begin{aligned} 0 &= u^{2m+1} = (ax_1 + bx_2)^{2m+1} \\ &= \binom{2m+1}{m+1} a^{m+1} b^m x_1^{m+1} x_2^m + \binom{2m+1}{m} a^m b^{m+1} x_1^m x_2^{m+1}, \end{aligned}$$

so $a \neq 0, b \neq 0$ implies $a = b$. If now, in addition, $u^{2m} = 0$, then we have

$$\begin{aligned} 0 &= \binom{2m}{m+1} a^{2m} x_1^{m+1} x_2^{m-1} + \binom{2m}{m} a^{2m} x_1^m x_2^m \\ &\quad + \binom{2m}{m-1} a^{2m} x_1^{m-1} x_2^{m+1}, \end{aligned}$$

but H^{4m} has $\{x_1^m x_2^m, x_1^{m-1} x_2^{m+1}\}$ as basis and

$$x_1^{m+1} x_2^{m-1} = -x_1^m x_2^m - x_1^{m-1} x_2^{m+1},$$

so the above sum reduces to

$$0 = \left\{ \binom{2m}{m} - \binom{2m}{m+1} \right\} a^{2m} x_1^m x_2^m,$$

so since $\binom{2m}{m} \neq \binom{2m}{m+1}$ for all m it follows that $a = 0$, a contradiction to our temporary hypothesis that $a \neq 0$ and $b \neq 0$.

COROLLARY 8. *Let $v \in H^2(U(m+k)/U(m) \times T^k; Z)$ be an element such that $v^{m+k} = 0$. If $m \geq k$ then $v = ax_i$ for some i in $\{1, \dots, k\}$.*

PROOF. By applying a suitable element of S_k we can assume that the coefficient of x_1 is nonzero. We wish to show that the coefficient of x_i for $i \neq 1$ is zero—and, of course, it is sufficient to prove this for $i = 2$. Consider the standard map

$$j: U(m+2)/U(m) \times T^2 \rightarrow U(m+k)/U(m) \times T^k$$

induced by the standard inclusion $C^{m+2} \subset C^{m+k}$ under which $j^*x_1 = x_1$, $j^*x_2 = x_2$, $j^*x_i = 0$ for $i > 2$. Inspect $u = j^*v$; then $u^{m+k} = 0$, $m+k \leq 2m$; hence $a \neq 0$ implies that the coefficient of x_2 is zero.

We are now ready to prove Conjecture C for $U(m+k)/U(m) \times T^k$.

THEOREM 9. *If $n \geq \max\{2k, k+2\}$, $U = U(n)$, $H = U(n-k) \times T$, then the map ψ is an isomorphism of $N_U(H)/H \times Z/2Z$ onto the group of all algebra isomorphisms of $H^*(U/H; Z)$.*

PROOF. We first prove that ψ is onto. Let $\varphi: H^*(U/H; Z) \rightarrow H^*(U/H; Z)$

be an algebra automorphism; then $\varphi(x_1) = u$ is an element with $u^n = 0$ (this since $x_1^n = 0$) and because $n \geq 2k$, Corollary 8 is applicable, so $u = ax_i$ for some i and $a = 1$ or -1 . By using elements of $N_U(H)/H = S_k$ we can normalize φ (using c^* if necessary) to have $\varphi(x_1) = x_1$. We claim φ is the identity. If not, use S_k to arrange $\varphi(x_2) = -x_2$. Now consider φ as an automorphism of $Z[x_1, \dots, x_k]$ (remember: there are no relations among the generators in H^2). The relations in grading $2n - 2k + 2$ are generated by h_{n-k+1} so we must have $\varphi h_{n-k+1} = \pm h_{n-k+1}$, but $\varphi(x_1^{n-k+1}) = x_1^{n-k+1}$ and $\varphi(x_1^{n-k}x_2) = -x_1^{n-k}x_2$, so $\varphi(x_2) = -x_2$ is impossible, and we have shown that ψ is onto.

To prove that ψ is one-to-one is even easier: since $m \geq 2$ each $\sigma \in N_U(H)/H = S_k$ maps x_1 into some x_i , $c^*x_1 = -x_1$, so the kernel of ψ is contained in $S_k \times 0$, but we have already noticed that $\psi|_{S_k \times 0}$ is faithful, so ψ is one-to-one, as claimed.

BIBLIOGRAPHY

1. J. F. Adams, *Lectures on Lie groups*, Benjamin, New York, 1969.
2. M. F. Atiyah, *Characters and cohomology of finite groups*, Inst. Hautes Études Sci. Publ. Math. No. 9 (1961), 23–64.
3. M. F. Atiyah and G. B. Segal, *Lectures on equivariant K-theory*, Mimeographed Notes, Oxford, 1965.
4. ———, *Equivariant K-theory and completion*, J. Differential Geometry 3 (1969), 1–18.
5. M. F. Atiyah and D. O. Tall, *Group representations, λ -rings and the J-homomorphism*, Topology 8 (1969), 253–297.
6. A. Borel, *Sur la cohomologie des espaces fibrés principaux et des espaces homogènes de groupes de Lie compacts*, Ann. of Math. (2) 57 (1953), 115–207.
7. A. Borel and J. de Siebenthal, *Les sous-groupes fermés de rang maximum des groupes de Lie clos*, Comment. Math. Helv. 23 (1949), 200–221.
8. G. Bredon, *Introduction to compact transformation groups*, Academic Press, London and New York, 1972.
9. H. Glover and W. Homer, *Endomorphisms of the cohomology ring of a finite Grassmann manifold*, Proc. Homotopy Theory Conf. (Northwestern Univ., March 1977), Springer-Verlag, Lecture Notes in Math. (to appear).
10. C. N. Lee and A. Wasserman, *On the groups $JO(G)$* , Mem. Amer. Math. Soc., no. 159 (1975).
11. A. Liulevicius, *Homotopy types of linear G-actions on complex projective spaces*, Mat. Institut, Aarhus Univ. Preprint Series 1975/76, No. 14.
12. ———, *Characters do not lie*, Transformation Groups, Cambridge Univ. Press, 1976, pp. 139–146.
13. ———, *Line bundles, cohomology automorphisms, and homotopy rigidity of linear actions*, Proc. Homotopy Theory Conf. (Northwestern Univ., March 1977), Springer-Verlag, Lecture Notes in Math. (to appear).
14. A. Meyerhoff and T. Petrie, *Quasi-equivalence of G-modules*, Topology 15 (1976), 69–75.
15. T. Petrie, *A setting for smooth S^1 -actions with applications to real algebraic actions on $P(C^{4n})$* , Topology 13 (1974), 363–374.
16. G. de Rham, *Reidemeister's torsion invariant and rotations of S^n* , Differential Analysis (Bombay Colloquium), Oxford Univ. Press, 1964.
17. H. Samelson, *Topology of Lie groups*, Bull. Amer. Math. Soc. 58 (1952), 2–37.
18. G. B. Segal, *Equivariant K-theory*, Inst. Hautes Études Sci. Publ. Math. No. 34 (1968), 129–151.
19. ———, *Cohomology of topological groups*, Symposia Mathematica, vol. IV (INDAM, Rome, 1968/69), pp. 377–387.