

ON ARITHMETIC INVARIANTS OF BINARY  
CUBIC AND BINARY TRILINEAR FORMS\*

BY RUFUS OLDENBURGER

1. *Introduction.* It is the purpose of this note to show that binary cubic and binary trilinear forms can be completely and very simply characterized by *arithmetic rank invariants* for non-singular linear transformations in the complex field. We define the factorization rank † of a matrix  $A = (a_{ijk})$  of order  $n$  and its associated trilinear form to be the minimum value of  $\epsilon$  such that  $A$  can be “factored” into the form

$$(1) \quad A = \left( \sum_{\alpha=1}^{\epsilon} a_{\alpha i} b_{\alpha j} c_{\alpha k} \right), \quad (i, j, k = 1, 2, \dots, n).$$

Hitchcock ‡ obtained minimum values of  $\epsilon$  for certain polyadics. The number  $\epsilon$  is invariant under non-singular linear transformations on the variables in the trilinear form

$$\sum a_{ijk} x_i y_j z_k$$

associated with  $A$ . In a paper which appeared in this Bulletin, § I classified binary trilinear forms by means of ranks and a property of invariant factors, which are invariant under non-singular linear transformations in the complex field. *The ranks of that paper and the rank defined above form a complete invariant system for these forms. For binary cubic forms the factorization rank alone forms a complete invariant system.*

2. *Factorization Ranks.* The canonical binary trilinear forms are

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† This rank was used in developing a general theory of non-singular  $p$ -way matrices in the paper *Non-singular multilinear forms and certain  $p$ -way matrix factorizations*, Transactions of this Society, vol. 39 (1936), pp. 422–455. If factorization rank is similarly defined for 2-way matrices, it is found that the factorization rank of a 2-way matrix is  $n$  if and only if its ordinary rank is  $n$ .

‡ F. L. Hitchcock, *The expression of a tensor or polyadic as a sum of products*, Journal of Mathematics and Physics, Massachusetts Institute of Technology, vol. 6 (1927), pp. 164–189.

§ *On canonical binary trilinear forms*, vol. 38 (1932), pp. 385–387.

$$\begin{aligned} R &= x_1y_1z_1 + x_2y_2z_2, \\ L &= x_1y_1z_1 + x_2y_2z_1 + x_2y_1z_2, \\ H &= x_1y_1z_1 + x_1y_2z_2, \\ K &= x_1y_1z_1. \end{aligned}$$

The forms  $H, K$  can be distinguished from each other and from  $L, R$  by the ranks of my Bulletin paper referred to above. Since the  $i$ -,  $j$ -, and  $k$ -ranks\* of  $L$  equal 2, the factorization rank  $\epsilon$  of  $L$  is  $\geq 2$ . If  $\epsilon$  were equal to 2 for  $L$ , the form  $L$  would be equivalent to  $R$ , which is impossible. For then the matrix  $A$  of  $L$  is of the form (1), where  $A = (a_{\alpha i}), B = (b_{\alpha j}), C = (c_{\alpha k})$  are non-singular, whence transformations on  $L$  with  $A^{-1}, B^{-1}, C^{-1}$  give  $R$ . Since the matrix of  $L$  can be written in the form (1), where

$$A = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{pmatrix}, \quad B = \begin{pmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad C = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 0 \end{pmatrix},$$

it follows that  $\epsilon = 3$ .

The factorization rank  $\epsilon$  for  $H$  is  $\geq 2$ , since the  $j$ - and  $k$ -ranks of  $H$  equal 2. That  $\epsilon = 2$  is evident since the matrix of  $H$  can be written in the form (1), where

$$A = \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}, \quad B = C = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

The matrix of  $K$  can be written in the form (1), where

$$A = B = C = (1, 0),$$

whence  $\epsilon = 1$ .

The canonical binary cubic forms for the complex field are well known.† They are

$$P = x^3 + y^3, \quad Q = x^2y, \quad S = x^3.$$

Since the matrix of  $P$  can be taken to be the same as the matrix of  $R$ , its factorization rank is 2. The matrix of  $3Q$  can be taken to be the matrix  $A$  whose only non-vanishing elements are

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\* The  $i$ -rank of  $A = (a_{ijk}), (i, j, k = 1, 2)$ , is 2 if the minors  $(a_{1jk})$  and  $(a_{2jk})$  are linearly independent.

† L. E. Dickson, *Modern Algebraic Theories*, pp. 7-9.

$a_{112} = a_{121} = a_{212} = 1$ . The trilinear form associated with this matrix is  $x_1y_1z_2 + x_1y_2z_1 + x_2y_1z_1$ , which is equivalent to  $L$  under the transformations  $x_1 = x_2'$ ,  $x_2 = x_1'$ . Since the matrices of  $S$  and  $K$  can be taken to be the same, the factorization rank of  $S$  is 1. We have proved the following result.

**THEOREM.** *The factorization ranks of the forms in the sets  $(K, S)$ ,  $(R, P, H)$ , and  $(L, Q)$  are 1, 2, and 3, respectively.*

The equivalence of cubics to  $P, Q, S$  can be recognized very simply without the use of factorization rank from the theory of my previous Bulletin paper.

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## A NOTE ON THE DEGREE OF POLYNOMIAL APPROXIMATION\*

BY J. H. CURTISS

Let  $C$  be a rectifiable Jordan curve of the finite  $z$  plane. We shall say that a function  $f(z)$  belongs to the class  $\text{Lip}(C, j, \alpha)$  if  $f(z)$  is regular in the limited region bounded by  $C$  (which we shall call the interior of  $C$ ), if  $f(z)$  is continuous in the corresponding closed region, and if the  $j$ th derivative of  $f(z)$  is also continuous in this closed region and satisfies a Lipschitz condition with exponent  $\alpha$  on  $C$ :

$$|f^{(j)}(z_1) - f^{(j)}(z_2)| \leq M |z_1 - z_2|^\alpha,$$

$z_1, z_2$  on  $C$ . The number  $\alpha$  will be positive and not greater than unity. The number  $j$  will be a positive integer or zero; we define  $f^{(0)}(z)$  to be identically  $f(z)$ . The object of this note is to establish the following existence theorem.

**THEOREM.** *Let the point set  $S$  consist of a finite number of closed limited Jordan regions of the  $z$  plane bounded by the mutually exterior analytic curves  $C_1, C_2, \dots, C_\lambda$ . Let the functions  $f_1(z), f_2(z), \dots, f_\lambda(z)$  belong respectively to the classes*

$$\text{Lip}(C_1, j, \alpha), \quad \text{Lip}(C_2, j, \alpha), \quad \dots, \quad \text{Lip}(C_\lambda, j, \alpha),$$

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