

## Harmonic Functions with Growth Conditions on a Manifold of Asymptotically Nonnegative Curvature II

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### §0. Introduction

According to a theorem due to Greene-Wu [13], a complete connected noncompact Riemannian manifold  $M$  abounds harmonic functions so that  $M$  can be imbedded properly into some Euclidean space by them. However various problems on harmonic functions on  $M$  with specific conditions (e.g., boundedness, positivity,  $L^p$  integrability, etc.) arise in connection with the geometry of  $M$  and in fact they have been investigated by many authors (cf. e.g., [11: Section 11], [23], [29: Section 4,6.4] and the references therein). In the previous paper [21], we have discussed bounded or positive harmonic functions on a manifold of asymptotically nonnegative curvature (which will be defined later), and extended all of the results by Li-Tam [24;25] to such manifolds. The purpose of the present paper is to study harmonic functions with finite growth on a manifold of asymptotically nonnegative curvature and then to verify the results stated in [21] without proofs. To state the main results of the paper, we need some definitions. For a harmonic function  $h$  on a complete connected noncompact Riemannian manifold  $M$ , we denote by  $m_x(h, t)$  the maximum of  $|h|$  on the metric sphere  $S_t(x)$  around a point  $x$  with radius  $t$ . In this note,  $h$  is said to be of *finite growth*, if  $\limsup_{t \rightarrow \infty} m_x(h, t)/t^p$  is finite for some constant  $p > 0$ . After Abresch [1], we call  $M$  a *manifold of asymptotically nonnegative curvature*, if the sectional curvature  $K_M$  of  $M$  satisfies:

$$(H.1) \quad K_M \geq -K \circ r,$$

where  $r$  denotes the distance to a fixed point, say  $o$ , of  $M$  and  $k(t)$  is a nonnegative, monotone nonincreasing continuous function on  $[0, \infty)$  such that *the integral  $\int_0^\infty tk(t)dt$  is finite*. In [19], we have constructed

a metric space  $M(\infty)$  associated with a manifold  $M$  of asymptotically nonnegative curvature. Let us here explain it briefly (see [19] for details). We say two rays  $\sigma$  and  $\gamma$  of  $M$  *equivalent* if  $\text{dis}_M(\sigma(t), \gamma(t))/t$ , goes to zero as  $t \rightarrow \infty$ . Define a distance  $\delta_\infty$  on the equivalence classes by  $\delta_\infty([\sigma], [\gamma]) := \lim_{t \rightarrow \infty} d_t(\sigma \cap S_t(o), \gamma \cap S_t(o))/t$ , where  $d_t$  stands for the inner (or intrinsic) distance on  $S_t(o)$  induced from the distance  $\text{dis}_M(\cdot, \cdot)$  on  $M$ . Then we have a metric space  $M(\infty)$  of the equivalence classes of rays with distance  $\delta_\infty$  which is independent of the choice of the fixed point  $o$  and to which a family of scaled metric spheres  $\{\frac{1}{t} S_t(o)\}$  converges with respect to the Hausdorff distance as  $t$  goes to infinity. We note that the complement  $M - B_R(o)$  of a metric ball  $B_R(o)$  centered at  $o$  with large radius  $R$  is homeomorphic to  $S_R(o) \times (R, \infty)$ . For simplicity, we call a connected component of  $M - B_R(o)$  (for large  $R$ ) an *end*  $\delta$  of  $M$ . We write  $M_\delta(\infty)$  for the connected component of  $M(\infty)$  corresponding to  $\delta$ , so that  $\{\frac{1}{t} S_t(o) \cap \delta\}$  converges to  $M_\delta(\infty)$  with respect to the Hausdorff distance as  $t \rightarrow \infty$ , and then  $M_\delta(\infty)$  turns out to be a compact inner metric space. Since  $\text{Vol}_{m-1}(S_t(o) \cap \delta)/t^{m-1}$  ( $m := \dim M$ ) tends to a nonnegative constant as  $t \rightarrow \infty$ , let us denote the limit by  $\text{Vol}(M_\delta(\infty))$ .

In Euclidean space  $\mathbf{R}^m$ , the harmonic functions of finite growth (harmonic polynomials) form an important subclass which is closely connected to the eigenfunctions of the unit sphere  $S^{m-1}(1)$  ( $= \mathbf{R}^m(\infty)$ ). Moreover if we equip  $\mathbf{R}^m$  with a complete metric  $g$  which is written in the polar coordinates  $(r, \theta)$  as  $g = dr^2 + r^{2\alpha} d\theta^2$  ( $0 \leq \alpha < 1$ ) for large  $r$ , then  $(\mathbf{R}^m, g)$  admits no nonconstant harmonic functions of finite growth. In this case,  $(\mathbf{R}^m, g)(\infty)$  consists of only one point. We are interested in relationships (if any) between the space of harmonic functions of finite growth on a manifold  $M$  of asymptotically nonnegative curvature and the geometry of  $M(\infty)$ . At this stage, we have rather satisfactory results for the case of  $\dim M = 2$  and for the case that the sectional curvature of  $M$  decays rapidly and the metric balls of  $M$  have maximal volume growth (see [3], [4] and the references therein), but for cases without such conditions, little is known. In this paper, we shall prove the following

**Theorem A.** *Let  $M$  be a manifold of asymptotically nonnegative curvature. Suppose that  $M$  has one end, i.e.,  $M(\infty)$  is connected. Then:*

(i) *For a nonconstant harmonic function  $h$  on  $M$ , one has*

$$\liminf_{t \rightarrow \infty} \frac{\log m(h, t)}{\log t} \geq \log \left[ \frac{(\exp c(m) \text{diam}(M(\infty)) + 1)}{(\exp c(m) \text{diam}(M(\infty)) - 1)} \right] > 0,$$

where  $c(m)$  is a positive constant depending only on  $m := \dim M$ . In

particular,  $M$  has no nonconstant harmonic functions of finite growth if  $M(\infty)$  consists of only one point.

(ii) Suppose that  $m = 2$  and  $\text{diam}(M(\infty)) > 0$ . Then for a nonconstant harmonic function  $h$  of finite growth,  $\log m(h, t) / \log t$  converges to a constant, say  $\text{ord}(h)$ , as  $t \rightarrow \infty$ , and  $\text{ord}(h)$  is given by  $\text{ord}(h) = n\pi / \text{diam}(M(\infty))$  for some positive integer  $n$ . Moreover the dimension of the space of harmonic functions  $h$  with  $\text{ord}(h) \leq n\pi / \text{diam}(M(\infty))$  is equal to  $2n + 1$ .

It is conjectural that for a manifold of asymptotically nonnegative curvature, the space  $\mathcal{H}_p$  of harmonic functions  $h$  with  $\limsup_{t \rightarrow \infty} m(h, t) / t^p < +\infty$  would be of finite dimension for any  $p > 0$ . In Section 3, we shall show a result related to this question. We remark that Kazdan [23] shows an example of a complete, noncompact Riemannian manifold such that it possesses no nonconstant positive harmonic functions, but the dimension of  $\mathcal{H}_p$  is infinite for any  $p > 0$ . The sectional curvature of his example behaves like  $-1/r^2 \log r$  for large  $r$ .

In case of a complete, connected noncompact Riemannian manifold  $M$  with nonnegative Ricci curvature, a theorem due to Cheng [8] says that for a harmonic function  $h$  on  $M$ , any point  $x$  of  $M$ , and every  $t > 0$ ,  $|dh|(x) \leq c(m) m_x(h, t) / t$ , where  $c(m)$  is a constant depending only on  $m = \dim M$ , and hence  $h$  must be constant if  $h$  is of sublinear growth, i.e.,  $\liminf_{t \rightarrow \infty} m(h, t) / t = 0$  (see also [29: Section 6.4]). Moreover the Cheeger-Gromoll splitting theorem [6] asserts that  $M$  as above contains a distance minimizing geodesic  $\sigma : \mathbf{R} \rightarrow M$  (which is called a *line* of  $M$ ) if and only if  $M$  splits isometrically into  $\mathbf{R} \times M'$ . The latter condition is obviously equivalent to saying that  $M$  admits a nonconstant totally geodesic function (i.e., a function of vanishing second derivatives). Motivated by these results, we are led to ask whether a nonconstant harmonic function  $h$  of linear growth (i.e.,  $\limsup_{t \rightarrow \infty} m(h, t) / t < +\infty$ ) on such  $M$  would be totally geodesic (or equivalently a nonzero  $d$ -closed harmonic 1-form on such  $M$  with bounded length would be parallel). It is easy to see that the above question is affirmative in case of  $\dim M = 2$ . In fact, since the Gaussian curvature is nonnegative,  $|\omega|^2$  satisfies:  $\Delta|\omega|^2 \geq 2|\nabla\omega|^2 \geq 0$ . This implies that  $|\omega|^2$  is a bounded subharmonic function on  $M$ , so that  $|\omega|^2$  must be constant, because  $M$  possesses no nonconstant bounded subharmonic functions. Thus  $\omega$  must be parallel and moreover  $M$  is flat. In this paper, we shall answer the above question under stronger conditions. Actually we prove the following

**Theorem B.** *Let  $M$  be a complete, connected noncompact Rie-*

*mannian manifold of nonnegative sectional curvature:  $K_M \geq 0$ . Suppose that  $K_M$  decays in quadratic order, i.e.,*

$$(H.2) \quad K_M \leq \frac{c}{r^2}$$

*for some positive constant  $c$ , where  $r$  stands for the distance to a fixed point of  $M$ . Then a nonzero  $d$ -closed harmonic 1-form on  $M$  with bounded length must be parallel. In particular, if  $M$  admits a nonconstant harmonic function  $h$  of linear growth, then  $h$  is totally geodesic and  $M$  splits isometrically into  $\mathbf{R} \times M'$  along the gradient of  $h$ .*

Theorem A and Theorem B are, respectively, proved in Section 1 and Section 2. In Section 3, other related results are given.

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### §1. Proof of Theorem A

We shall begin with proving the first assertion of Theorem A. Let  $h$  be a nonconstant harmonic function on  $M$ . Set  $\overline{m}(h, t) := \max\{h(x) : x \in S_t\}$  and  $\underline{m}(h, t) := \min\{h(x) : x \in S_t\}$ , where  $S_t$  denotes the metric sphere around a fixed point  $o$  of  $M$  with radius  $t$ . Since  $M$  has only one end,  $S_t$  is connected for large  $t$ . Hence for large  $t$ , we can take two points  $p_t$  and  $q_t$  of  $S_t$  such that  $h(p_t) = \overline{m}(h, t)$  and  $h(q_t) = \underline{m}(h, t)$ , and then join  $q_t$  to  $p_t$  by an arc-length parametrized Lipschitz curve  $\tau_t : [0, a_t] \rightarrow S_t$  whose length  $a_t$  is equal to the inner distance  $d_t(p_t, q_t)$  between  $p_t$  and  $q_t$  in  $S_t$ . Let us fix here a positive integer  $n$  which is greater than  $\text{diam}(M(\infty))$  and let  $p_{t,i} := \tau_t(ia_t/3n)$  ( $i = 0, 1, \dots, 3n$ ). Then we observe that

$$(1.1) \quad \begin{aligned} \limsup_{t \rightarrow \infty} \frac{a_t}{t} &\leq \text{diam}(M(\infty)) \\ \limsup_{t \rightarrow \infty} \frac{1}{t} \text{dis}_M(p_{t,i}, p_{t,i+1}) &\leq \frac{\text{diam}(M(\infty))}{3n} < \frac{1}{3}. \end{aligned}$$

Since  $\overline{m}(h, t)$  is monotone increasing,  $\overline{m}(h, 3t/2) - h$  is a positive harmonic function on the metric ball  $B_{t/2}(p_{t,i})$  around  $p_{t,i}$  with radius  $t/2$  ( $t$  is assumed to be sufficiently large). Applying a theorem due to Cheng-Yau [9: Theorem 6] to  $\overline{m}(h, 3t/2) - h$ , we have

$$\overline{m}(h, \frac{3}{2}t) - h(p_{t,i+1}) \leq \exp\{c_m(1 + t\sqrt{k(\frac{t}{2})})\frac{a_t}{3nt}\} \{\overline{m}(h, \frac{3}{2}t) - h(p_{t,i})\}$$

where  $k(t)$  is as in (H.1) and  $c_m$  is a constant depending only on  $m := \dim M$ . Note here that  $t\sqrt{k(t/2)}$  goes to zero as  $t \rightarrow \infty$  (cf. [1: p.667]). This implies that

$$(1.2) \quad \overline{m}(h, \frac{3}{2}t) - \underline{m}(h, t) \leq \exp\{c_m(1+t\sqrt{k(\frac{t}{2})}) \frac{a_t}{t}\} \{\overline{m}(h, \frac{3}{2}t) - \overline{m}(h, t)\}.$$

Moreover since  $\underline{m}(h, t)$  is monotone decreasing,  $h - \underline{m}(h, \frac{3}{2}t)$  is a positive harmonic function on  $B_{t/2}(p_{t,i})$ . Hence by the same reason as above, we have

$$(1.3) \quad \overline{m}(h, t) - \underline{m}(h, \frac{3}{2}t) \leq \exp\{c_m(1+t\sqrt{k(\frac{t}{2})}) \frac{a_t}{t}\} \{\underline{m}(h, t) - \underline{m}(h, \frac{3}{2}t)\}.$$

If we set  $\mu(t) := \overline{m}(h, t) - \underline{m}(h, t)$ , then it follows from (1.2) and (1.3) that

$$\mu(\frac{3}{2}t) + \mu(t) \leq \exp\{c_m(1+t\sqrt{k(\frac{t}{2})}) \frac{a_t}{t}\} \{\mu(\frac{3}{2}t) - \mu(t)\},$$

which shows

$$(1.4) \quad \mu(t) \leq \frac{\exp\{c_m(1+t\sqrt{k(t/2)}) a_t/t\} - 1}{\exp\{c_m(1+t\sqrt{k(t/2)}) a_t/t\} + 1} \mu(\frac{3}{2}t).$$

Thus it turns out from (1.1), (1.4) and the standard iteration argument that

$$\liminf_{t \rightarrow \infty} \frac{\log \mu(t)}{\log t} > \log \left[ \frac{\exp\{c_m \text{diam}(M(\infty))\} + 1}{\exp\{c_m \text{diam}(M(\infty))\} - 1} \right].$$

This proves the first assertion of Theorem A.

Let us now prove the second assertion of Theorem A. Since  $M$  has finite total curvature:  $\int_M K_M \, \text{dvol}(g_M) < +\infty$  (cf. [20:Proposition 4.1]), we can apply some of the results by Finn [12] and Huber [15;16] to our manifold  $M$ . In fact, it follows from [15] that the end of  $M$  is conformally equivalent to the end of  $\mathbb{C}$ , to be precise, there is a conformal diffeomorphism  $\Psi : M - K \rightarrow \mathbb{C} - D_R$  from the complement  $M - K$  of a compact set  $K$  onto the one of a disk  $D_R := \{z \in \mathbb{C} : |z| \leq R\}$ . Through the conformal diffeomorphism  $\Psi$ , we identify  $M - K$  with  $\mathbb{C} - D_R$  which has the metric  $G := \Psi_*g_M = e^{2u}dzd\bar{z}$ . Without loss of generality, we may assume that  $G$  defines a complete metric on  $\mathbb{C}$  with finite total curvature:  $\int_{\mathbb{C}} K_G \, \text{dvol}(G) < +\infty$ . Denote here by  $\rho$  the distance in  $\mathbb{C}$  to the origin with respect to  $G$ . Then applying Theorems 11 and 13 in

[12] and Théorème 1 in [16] to  $(\mathbf{C}, G)$ , we get

$$\begin{aligned}
 (1.5) \quad \lim_{x \in M \rightarrow \infty} \frac{\log r(x)}{\log |\Psi(x)|} &= \lim_{z \in \mathbf{C} \rightarrow \infty} \frac{\log \rho(z)}{\log |z|} \\
 &= 1 - \frac{1}{2\pi} \int_{\mathbf{C}} K_G \, d\text{vol}(G).
 \end{aligned}$$

We note that

$$\begin{aligned}
 (1.6) \quad 1 - \frac{1}{2\pi} \int_{\mathbf{C}} K_G \, d\text{vol}(G) &= \lim_{t \rightarrow \infty} \frac{\text{Length}(S_t)^2}{4\pi \text{Area}(B_t)} \\
 &= \lim_{t \rightarrow \infty} \frac{\text{Area}(B_t)}{\pi t^2} \\
 &= \lim_{t \rightarrow \infty} \frac{\text{Length}(S_t)}{2\pi t} \\
 &= \frac{1}{\pi} \text{diam}(M(\infty)) \\
 &= \chi(M) - \frac{1}{2\pi} \int_M K_M \, d\text{vol}(g_M)
 \end{aligned}$$

(cf. [20: Proposition 4.1], [26]). Let  $h$  be a nonconstant harmonic function on  $M$ . Since the flux of the restriction of  $h$  to  $M - K$  ( $= \mathbf{C} - D_R$ ) vanishes, there exists a harmonic function  $H$  on  $\mathbf{C}$  such that  $|H - h|$  is bounded on  $\mathbf{C} - D_R$  (cf. [2: Chap.III]). Hence if  $h$  is of finite growth, then we have by (1.5) and (1.6)

$$(1.7) \quad \text{ord}(h) = \lim_{x \in M \rightarrow \infty} \frac{\log |h(x)|}{\log r(x)} = \frac{n\pi}{\text{diam}(M(\infty))},$$

where  $n := \lim_{|z| \rightarrow \infty} \log |H(z)| / \log |z| \in \{1, 2, \dots\}$ . Moreover, for any harmonic function  $f$  on  $M - K$  the flux of which vanishes, there exists a harmonic function  $F$  on  $M$  such that  $|F - f|$  is bounded on  $M - K$  (cf. [2: Chap.III]). Thus it follows from (1.7) that the dimension of harmonic functions  $h$  with  $\text{ord}(h) \leq n\pi / \text{diam}(M(\infty))$  is equal to  $2n + 1$ . This completes the proof of the second assertion of Theorem A. //

*Remark.* As we have seen in the above proof for Theorem A(ii), the same assertion holds for a complete Riemannian manifold of dimension 2 with finite total curvature and one end, if we replace  $\text{diam}(M(\infty))$  in the theorem with  $\lim_{t \rightarrow \infty} \text{Length}(S_t)^2 / (4 \text{Area}(B_t))$  ( $= \lim_{t \rightarrow \infty} \text{Area}(B_t) / t^2 = \lim_{t \rightarrow \infty} \text{Length}(S_t) / 2t = \chi(M) - \frac{1}{2\pi} \int_M K_M$ ).

Let us now conclude this section with a corollary and a remark on it.

**Corollary.** *Let  $M$  be a complete connected noncompact Riemannian manifold such that the sectional curvature is bounded from below by  $c/r^2 \log r$  outside a compact set, where  $c$  is a positive constant and  $r$  is the distance to a fixed point of  $M$ . Then  $M$  has no nonconstant harmonic functions of finite growth, if  $M$  has only one end.*

*Proof.* This follows immediately from Theorem A(i), because  $M(\infty)$  consists of only one point (cf. [19: Proposition 5.2]).

*Remark.* In the above corollary, if  $M$  has more than one end, then  $M$  may admit nonconstant bounded harmonic functions. Actually, it is easy to construct such manifolds.

## §2. Proof of Theorem B

The purpose of this section is to show Theorem B. To begin with, we shall prove the following

**Lemma 2.1.** *Let  $N$  be a complete connected Riemannian manifold of nonnegative sectional curvature. Let  $h$  be a nonconstant harmonic function on the Riemannian product  $\mathbf{R} \times N$  with  $\sup |dh| < +\infty$ , and let  $t$  be the projection :  $\mathbf{R} \times N \rightarrow \mathbf{R}$ . Then  $\langle dt, dh \rangle$  is constant on  $\mathbf{R} \times N$  and the restriction of  $h$  to  $\{t\} \times N$  is harmonic on  $\{t\} \times N$ . In particular, if  $N$  is compact, then  $h = ct$  for some constant  $c$ .*

*Proof.* Since  $\langle dt, dh \rangle$  is a bounded harmonic function on  $\mathbf{R} \times N$ ,  $\langle dt, dh \rangle$  must be constant (cf. Yau [31]), so that, in particular, the derivative of  $\langle dt, dh \rangle$  in the direction of  $\text{grad } t$  vanishes identically. This shows that the restriction of  $h$  to  $\{t\} \times N$  is harmonic. This completes the proof of Lemma 2.1. //

**Lemma 2.2.** *Let  $M$  be a complete, connected noncompact Riemannian manifold of nonnegative sectional curvature. Suppose  $M$  admits a nonconstant harmonic function  $h$  which satisfies:*

$$(2.1) \quad |dh|(x) \rightarrow c_1,$$

$$(2.2) \quad r(x) |\nabla dh|(x) \rightarrow 0$$

as  $x \in M$  goes to infinity, where  $c_1$  is a positive constant and  $r(x)$  denotes as usual the distance to a fixed point of  $M$ . Then the second

derivative  $\nabla dh$  of  $h$  vanishes identically and moreover  $M$  splits isometrically into  $\mathbf{R} \times M'$  along the gradient vector  $\nabla h$  of  $h$ .

*Proof.* According to the splitting theorem by Toponogov [27],  $M$  has one end (namely,  $M$  is connected at infinity) or  $M$  is isometric to  $\mathbf{R} \times M'$ , where  $M'$  is compact. If the latter case occurs, then Lemma 2.2 is obvious (cf. Lemma 2.1). Hence in what follows, we assume that  $M$  has one end, and further that  $c_1$  is equal to 1 for simplicity. Define a vector field  $\Lambda$  on the open set  $U := \{x \in M : \nabla h(x) \neq 0\}$  by  $\Lambda := \nabla h / |\nabla h|^2$ , and for a point  $x \in U$ , denote by  $\lambda_x(t)$  ( $-\infty \leq \underline{r}_x < t < \bar{r}_x \leq +\infty$ ) the maximal integral curve of  $\Lambda$  such that  $\lambda_x(0) = x$ . Then by (2.1), it is not hard to see that for some point  $x \in U$ , the integral curve  $\lambda_x(t)$  is defined for all  $t$  and the length is bounded away from zero. We fix such a point  $x$ . Now we claim first that

$$(2.3) \quad \lim_{t \rightarrow \pm\infty} \frac{1}{|t|} \operatorname{dis}_M(x, \lambda_x(t)) = 1.$$

In fact, let  $\sigma_t : [0, a_t] \rightarrow M$  be a distance minimizing geodesic joining  $x = \sigma_t(0)$  with  $\lambda_x(t) = \sigma_t(a_t)$  ( $a_t := \operatorname{dis}_M(x, \lambda_x(t))$ ). Consider the case:  $t > 0$ . Then we have

$$\begin{aligned} t &= h(\lambda_x(t)) - h(x) = h(\sigma_t(a_t)) - h(\sigma_t(0)) \\ &= \int_0^{a_t} \langle \nabla h, \dot{\sigma}_t(s) \rangle ds < a_t, \end{aligned}$$

since  $|\nabla h|^2$  is subharmonic (i.e.,  $\Delta|\nabla h|^2 = 2|\nabla dh|^2 + 2 \operatorname{Ric}_M(\nabla h, \nabla h) \geq 0$ ) and so  $|\nabla h| < \sup|\nabla h| = 1$ . On the other hand, we get

$$\begin{aligned} a_t &\leq \text{the length of } \lambda_x|_{[0,t]} \\ &= \int_0^t \frac{1}{|\nabla h|(\lambda_x(s))} ds. \end{aligned}$$

Therefore we have

$$\begin{aligned} 1 &\leq \liminf_{t \rightarrow \infty} \frac{a_t}{t} \leq \limsup_{t \rightarrow \infty} \frac{a_t}{t} \leq \\ &\limsup_{t \rightarrow \infty} \frac{1}{t} \int_0^t \frac{1}{|\nabla h|(\lambda_x(s))} ds \leq \limsup_{t \rightarrow \infty} \frac{1}{|\nabla h|(\lambda_x(t))} = 1. \end{aligned}$$

Thus we have shown (2.3) in case:  $t > 0$ . The same argument can be applied to the case:  $t < 0$ .

Let us next claim

$$(2.4) \quad \lim_{t \rightarrow \infty} \frac{1}{t} \operatorname{dis}_M(\lambda_x(t), \lambda_x(-t)) = 2.$$

In fact, let  $\eta_t : [0, b_t] \rightarrow M$  be a distance minimizing geodesic joining  $\eta_t(0) = \lambda_x(-t)$  with  $\eta_t(b_t) = \lambda_x(t)$ . Then by (2.3), we have

$$(2.5) \quad \limsup_{t \rightarrow \infty} \frac{b_t}{t} \leq \limsup_{t \rightarrow \infty} \frac{1}{t} \{ \text{dis}_M(x, \lambda_x(t)) + \text{dis}_M(x, \lambda_x(-t)) \} = 2.$$

On the other hand, if  $\text{dis}_M(x, \eta_t([0, b_t]))/t = \text{dis}_M(x, \eta_t(c_t))/t$  tends to zero as  $t \rightarrow +\infty$ , then we have

$$(2.6) \quad \begin{aligned} \liminf_{t \rightarrow +\infty} \frac{b_t}{t} &\geq \liminf_{t \rightarrow +\infty} \frac{1}{t} \{ \text{dis}_M(x, \lambda_x(t)) - \text{dis}_M(x, \eta_t(c_t)) \} + \\ &\quad \liminf_{t \rightarrow +\infty} \frac{1}{t} \{ \text{dis}_M(x, \lambda_x(-t)) - \text{dis}_M(x, \eta_t(c_t)) \} \\ &= 2. \end{aligned}$$

Moreover if  $\text{dis}_M(x, \eta_{t(i)}(c_{t(i)}))/t(i) > d > 0$  for some divergent sequence  $\{t(i)\}$  and a positive constant  $d$ , then by the assumption (2.2), we have

$$(2.7) \quad | \nabla dh(\dot{\eta}_{t(i)}(s), \dot{\eta}_{t(i)}(s)) | \leq \frac{\delta(dt(i))}{dt(i)} \quad (0 \leq s \leq b_{t(i)}),$$

where  $\delta(u)$  goes to zero as  $u \rightarrow +\infty$ . Hence we get

$$\begin{aligned} 2 &= \frac{1}{t(i)} \int_0^{b_{t(i)}} \frac{d}{ds} h(\eta_{t(i)}(s)) ds \\ &= \frac{1}{t(i)} \left( \int_0^{b_{t(i)}} \int_0^s \nabla dh(\dot{\eta}_{t(i)}(u), \dot{\eta}_{t(i)}(u)) duds + b_{t(i)} \langle \nabla h, \dot{\eta}_{t(i)}(0) \rangle \right) \\ &\leq \frac{\delta(dt(i))}{2d} \left( \frac{b_{t(i)}}{t(i)} \right)^2 + \left( \frac{b_{t(i)}}{t(i)} \right) \quad (\text{by (2.7) and } |\nabla h| < 1). \end{aligned}$$

This shows that

$$(2.8) \quad \liminf_{t(i) \rightarrow +\infty} \frac{b_{t(i)}}{t(i)} \geq 2.$$

Thus (2.4) follows from (2.5), (2.6) and (2.8).

We are now in a position to complete the proof of Lemma 2.2. Let  $\sigma_t : [0, a_t] \rightarrow M$ ,  $\sigma_{-t} : [0, a_{-t}] \rightarrow M$ , and  $\eta_t : [0, b_t] \rightarrow M$  be as above. For each  $(s, u)$  ( $0 \leq s \leq a_t$ ,  $0 \leq u \leq a_{-t}$ ), let  $\Delta_t(s, u)$  be the triangle sketched on  $\mathbb{R}^2$  whose edge lengths are  $s, u$ , and  $\text{dis}_M(\sigma_t(s), \sigma_{-t}(u))$ , and denote by  $\theta_t(s, u)$  the angle of  $\Delta_t(s, u)$  opposite to the edge of length  $\text{dis}_M(\sigma_t(s), \sigma_{-t}(u))$ . Then by a theorem due to Toponogov [28: Lemma

19], we see that  $\theta_t(s, u) \leq \theta_t(s', u')$  if  $s' \leq s$  and  $u' \leq u$ . Note that by (2.4)

$$\lim_{t \rightarrow +\infty} \theta_t(a_t, a_{-t}) = \pi.$$

This shows that for any  $s, u \in (0, \infty)$ , we have

$$(2.9) \quad \lim_{t \rightarrow +\infty} \theta_t(s, u) = \pi.$$

If we take a divergent sequence  $\{t(i)\}$  such that  $\sigma_{t(i)}$  (resp.  $\sigma_{-t(i)}$ ) converges to a ray  $\sigma_\infty : [0, \infty) \rightarrow M$  (resp., a ray  $\check{\sigma}_\infty : [0, \infty) \rightarrow M$ ) starting at  $x$ , and if we define a curve  $\xi : \mathbf{R} \rightarrow M$  by  $\xi(t) = \sigma_\infty(t)$  for  $t \geq 0$  and  $\xi(t) = \check{\sigma}_\infty(-t)$  for  $t \leq 0$ , then it turns out from (2.9) that  $\xi$  is a line, namely,  $\xi$  is a distance minimizing geodesic defined on  $\mathbf{R}$ . Thus it follows from the Toponogov splitting theorem that  $M$  is isometric to  $\xi(\mathbf{R}) \times M'$ . Now it is clear from Lemma 2.1 and the above construction of the line  $\xi$  that for some constant  $c$ ,  $h((t, x')) = t + c$  on  $M = \xi(\mathbf{R}) \times M'$ . This completes the proof of Lemma 2.2.

Finally we need the following

**Lemma 2.3.** *Let  $M$  and  $\omega$  be as in Theorem B. Then  $|\omega|(x)$  tends to a constant  $c_1 > 0$  and  $r(x)|\nabla\omega|(x)$  converges to zero, as  $x \in M$  goes to infinity, where  $r(x)$  denotes the distance to a fixed point, say  $o$  of  $M$ .*

*Proof.* We first observe that  $|\omega|^2$  is subharmonic on  $M$ , by the Weitzenböck's formula:

$$(2.10) \quad \Delta|\omega|^2 = 2|\nabla\omega|^2 + 2 \operatorname{Ric}_M(\omega^\#, \omega^\#)$$

( $\omega^\# :=$  the dual vector field of  $\omega$ ). Set  $m(t) :=$  the maximum of  $|\omega|$  on the metric sphere  $S_t$  around  $o$  with radius  $t$ . Then it follows from the maximum principle for subharmonic functions that  $m(t)$  is nondecreasing, and hence  $m(t)$  converges to a positive constant  $c_2$  as  $t$  goes to infinity. For the sake of simplicity, we assume that  $c_2 = 1$ . Let us here take points  $\{x_t\}$  of  $M$  such that  $x_t \in S_t$  and  $|\omega|(x_t)$  converges to 1 as  $t \rightarrow \infty$ . Choosing an orthonormal basis of the tangent space  $T_{x_t}M$  of  $M$  at each  $x_t$ , we identify  $T_{x_t}M$  with Euclidean space  $\mathbf{R}^m$ , and write  $\mathbf{B}_R$  for the ball of  $\mathbf{R}^m$  around the origin with radius  $R$ . Then by the assumption (H.2) in Theorem B, we can fix a sufficiently small constant  $a > 0$  so that for each  $x_t$ , the restriction  $\Psi_t$  of the exponential map  $\exp_{x_t} : \mathbf{R}^m (= T_{x_t}M) \rightarrow M$  to  $\mathbf{B}_{at}$  induces a smooth map of maximal rank from  $\mathbf{B}_{at}$  onto the metric ball  $B_{at}(x_t)$  of  $M$  around  $x_t$  with radius  $at$ . Define a family of Riemannian metrics  $\{g_t\}$  on  $\mathbf{B}_a$  by

$g_t := \frac{1}{t^2} \Psi_t^* g_M$ , where  $g_M$  denotes the Riemannian metric on  $M$ . Then (H.2) implies that the sectional curvature of  $g_t$  is bounded uniformly in  $t$ . Hence, choosing a smaller constant  $a$  if necessarily and taking harmonic coordinates appropriately around the origin with respect to  $g_t$ , we can see that the coefficients of  $g_t$  (with respect to the harmonic coordinates) have  $C^{1,\alpha}$ -Hölder norms ( $0 < \alpha < 1$ ) and  $W^{2,p}$ -Sobolev norms bounded uniformly in  $t$  (cf. e.g., [14], [20]). Thus we can assert that

(2.11) : for any divergent sequence  $\{t(i)\}$ , there exists a subsequence  $\{t(j)\}$  of  $\{t(i)\}$  such that  $g_{t(j)}$  converges to  $C^{1,\alpha}$  Riemannian metric  $g_\infty$  on  $B_a$  in the  $C^{1,\alpha}$ -norm with respect to the harmonic coordinates. Moreover the coefficients of  $g_\infty$  belong to the Sobolev space  $W^{2,p}$  ( $p \geq 1$ ).

Let us now define a family of 1-forms  $\omega_t$  on  $B_a$  by  $\omega_t := \frac{1}{t} \Psi_t^* \omega$ . Then  $\omega_t$  is a  $d$ -closed harmonic 1-form such that the length  $|\omega_t|$  (with respect to  $g_t$ ) satisfies:  $|\omega_t| < 1$  and  $|\omega_t(o)| \rightarrow 1$  as  $t \rightarrow \infty$ . Since  $B_a$  is simply connected, there exists a smooth function  $h_t$  on  $B_a$  with  $\omega_t = dh_t$ . Here we may assume that  $h_t(o) = 0$ . Hence  $|h_t|$  is bounded uniformly in  $t$ . Moreover since the coefficients of  $g_t$  (with respect to the harmonic coordinates) have bounded  $C^{1,\alpha}$ -norms uniformly in  $t$ , it follows from the a priori estimates that the  $C^{2,\alpha}$ -norms of  $h_t$  is bounded uniformly in  $t$ . Thus by (2.11), we see that for any divergent sequence  $\{t(i)\}$ , there exists a subsequence  $\{t(j)\}$  such that in the  $C^{2,\alpha}$ -norm (with respect to the harmonic coordinates),  $h_{t(j)}$  converges to a  $C^{2,\alpha}$  function  $h_\infty$  which is harmonic with respect to  $g_\infty$ . We put here  $\omega_\infty := dh_\infty$ . Then the length  $|\omega_\infty|$  (with respect to  $g_\infty$ ) satisfies:  $|\omega_\infty| \leq 1$  and  $|\omega_\infty(o)| = 1$ . Since  $|\omega_t|^2$  is subharmonic (with respect to  $g_t$ ), so is  $|\omega_\infty|^2$  (with respect to  $g_\infty$ ). Hence applying the maximum principle to  $|\omega_\infty|^2$ , we see that  $|\omega_\infty|$  is constantly equal to 1. Noting that (2.10) holds for each  $\omega_t$ , and  $\omega_{t(j)}$  (resp.  $g_{t(j)}$ ) converges to  $\omega_\infty$  (resp.  $g_\infty$ ) in the  $C^{1,\alpha}$ -norm as  $t(j) \rightarrow \infty$ , we have the identity (2.10) for  $\omega_\infty$  in a weak sense. Namely, for any smooth function  $\eta$  with compact support in  $B_a$ ,

(2.12)

$$\begin{aligned} & \int g_\infty(d|\omega_\infty|^2, d\eta) \, d\text{vol}(g_\infty) \\ &= -2 \int \{|\nabla_\infty \omega_\infty|^2 + \text{Ric}_\infty(\omega_\infty^\#, \omega_\infty^\#)\} \eta \, d\text{vol}(g_\infty). \end{aligned}$$

Here we have used the fact that  $g_\infty$  has the Ricci tensor  $\text{Ric}_\infty$  in the  $L^p$ -sense ( $p \geq 1$ ) and the Ricci tensor  $\text{Ric}_{t(j)}$  of  $g_{t(j)}$  converges weakly

to  $\text{Ric}_\infty$  as  $t(j) \rightarrow \infty$ . Since the left-hand side of (2.12) vanishes, we see that  $|\nabla_\infty \omega_\infty|^2 + \text{Ric}_\infty(\omega_\infty^\#, \omega_\infty^\#) = 0$  almost everywhere and hence  $\omega_\infty$  is parallel. Thus we have shown that if we take points  $x_t \in S_t$  with  $\lim_{t \rightarrow \infty} |\omega|(x_t) = 1$ , then

$$(2.13) \quad \begin{aligned} \max\{1 - |\omega|(x) : x \in B_{at}(x_t)\} &\longrightarrow 0, \\ \max\{r(x)|\nabla\omega|(x) : x \in B_{at}(x_t)\} &\longrightarrow 0, \end{aligned}$$

as  $t$  goes to infinity. Since the diameter of  $S_t$  with respect to the inner distance on  $S_t$  is bounded by  $bt$  for some constant  $b$ , (2.13) proves Lemma 2.3. //

We are now in a position to complete the proof of Theorem B. Let  $M$  and  $\omega$  be as in Theorem B, and let  $\Pi : \widetilde{M} \rightarrow M$  be the universal covering of  $M$ . Set  $\widetilde{\omega} := \Pi^*\omega$ . Then there is a harmonic function  $h$  on  $\widetilde{M}$  which satisfies:  $\widetilde{\omega} = dh$ . Therefore if the fundamental group  $\pi_1(M)$  of  $M$  is finite, then  $\widetilde{M}$  also satisfies assumption (H.2), and hence by Lemmas 2.2 and 2.3,  $\nabla dh$  vanishes identically and  $\widetilde{M}$  splits isometrically into  $\mathbf{R} \times M'$  along the gradient  $\nabla h$  of  $h$ . Moreover in this case,  $M'$  is flat, because the sectional curvature of  $M$  decays to zero. We shall now consider the case that  $\pi_1(M)$  is infinite. Let  $\Sigma$  be a soul of  $M$  (i.e., a compact, totally geodesic and totally convex submanifold of  $M$ ). Then by Theorem 9.1 in [7],  $\widetilde{\Sigma} := \Pi^{-1}(\Sigma)$  splits isometrically into  $\mathbf{R}^k \times \widetilde{\Sigma}_o$ , where  $\widetilde{\Sigma}_o$  is a compact simply connected manifold of nonnegative curvature and furthermore  $k \geq 1$ , because  $\pi_1(M) = \pi_1(\Sigma)$  is infinite. Hence  $\widetilde{M}$  is isometric to the Riemannian product  $\mathbf{R}^k \times \widetilde{M}_o$  of Euclidean space  $\mathbf{R}^k$  and a complete, noncompact simply connected manifold  $\widetilde{M}_o$  with nonnegative sectional curvature. We observe here that the sectional curvature of  $\widetilde{M}_o$  decays in quadratic order, since  $\widetilde{M}_o$  is compact. Now it follows from Lemma 2.1 that the restriction  $\widetilde{h}$  of  $h$  to  $\{o\} \times \widetilde{M}_o$  is constant or it gives a nonconstant harmonic function on  $\widetilde{M}_o$ , the gradient of which has bounded length. If the former case occurs, then it is clear that  $h$  is totally geodesic. When the latter case occurs, we can apply Lemmas 2.2 and 2.3 and show that  $h$  is totally geodesic. This completes the proof of Theorem B. //

**Corollary.** *Let  $M$  be as in Theorem B. Suppose that the Ricci curvature of  $M$  is positive somewhere. Then any  $d$ -closed harmonic 1-form with bounded length must be zero.*

*Proof.* This is clear from the above proof of Theorem B. //

§3. Some other results

Let  $M$  be a manifold of asymptotically nonnegative curvature. In this section, we shall make some observations on the asymptotic behavior of harmonic functions on  $M$  with finite growth and then that of the Green function on  $M$ , under certain additional conditions. Throughout this section, the dimension  $m$  of  $M$  is assumed to be greater than two. First we recall the following

**Fact 3.1** (cf. [20: Lemma 2.3]). Let  $M$  be as above and  $\delta$  an end of  $M$ . Suppose that the sectional curvature  $K_M$  of  $M$  decays in quadratic order on the end  $\delta$ , i.e.,

$$(3.1) \quad K_M \leq \frac{c}{r^2} \text{ on } \delta, \text{ and}$$

$$(3.2) \quad \text{Vol}(M_\delta(\infty)) > 0$$

where  $c$  is a positive constant and  $r$  denotes the distance to a fixed point of  $M$ . Then :

(i)  $M_\delta(\infty)$  is a compact, connected smooth manifold with  $C^{1,\alpha}$  Riemannian metric  $g_\infty$  ( $0 < \alpha < 1$ ).

(ii) Fix two positive numbers  $a, b$  with  $a > b$ , and set  $A_t(a, b) := \{x \in M : b < r(x)/t < a\}$  for  $t > 0$ . If  $t$  is sufficiently large, then there exists a  $C^{2,\alpha}$  diffeomorphism  $\Pi_t$  from  $A_t(a, b) \cap \delta$  into the cone  $\mathcal{C}(M_\delta(\infty))$  over  $M_\delta(\infty)$  (i.e.,  $\mathcal{C}(M_\delta(\infty)) := (0, \infty) \times_{t^2} M_\delta(\infty)$ ) which has the following properties: as  $t$  goes to infinity,  $\Pi_t(A_t(a, b) \cap \delta)$  converges to  $(b, a) \times M_\delta(\infty)$  and  $\frac{1}{t^2} \Pi_{t*} g_M$  also converges to the metric  $dt^2 + t^2 g_\infty$  in  $C^{1,\alpha'}$  topology ( $0 < \alpha' < \alpha < 1$ ). Here  $g_M$  stands for the Riemannian metric of  $M$ .

Let us now prove the following

**Proposition C.** Let  $M$  be a manifold of asymptotically nonnegative curvature and  $\delta$  an end of  $M$ . Suppose (3.1) and (3.2) hold for the end  $\delta$ . Then if there exists a harmonic function  $h$  defined on  $\delta$  such that  $0 < \limsup_{X \in \delta \rightarrow \infty} |h(x)|/r(x)^p < +\infty$  for some positive constant  $p$ , then  $p(p + m - 2)$  ( $m := \dim M \geq 3$ ) is an eigenvalue of  $M_\delta(\infty)$ . Moreover  $p \geq 1$  and if  $p = 1$ , then  $M_\delta(\infty)$  is isometric to the  $(m - 1)$ -sphere  $S^{m-1}(1)$  of constant curvature 1.

To prove Proposition C, we need the following

**Fact 3.2.** Let  $h$  be a nonconstant harmonic function on the cone  $\mathcal{C}(M_\delta(\infty))$  ( $= (0, \infty) \times_{t^2} M_\delta(\infty)$ ) over  $M_\delta(\infty)$  such that  $|h(t, \theta)|/t^p$  is

bounded on  $\mathcal{C}(M_\delta(\infty))$  for some  $p > 0$ . Then  $\lambda := p(p + m - 2)$  is equal to an eigenvalue of  $M_\delta(\infty)$  and  $h(t, \theta)/t^p$  defines an eigenfunction of  $M_\delta(\infty)$  with eigenvalue  $\lambda$ .

*Proof.* For the convenience of the reader, we shall give a proof of the fact. Let  $\phi(s, \theta)$  ( $s = \log t$ ) be a function on  $\mathbf{R} \times M_\delta(\infty)$  defined by  $\phi(s, \theta) := e^{-ps} h(e^s, \theta)$ . Then  $\phi$  satisfies:

$$\frac{\partial^2 \phi}{\partial s^2} + (2p + m - 2) \frac{\partial \phi}{\partial s} + p(p + m - 2)\phi + \Delta_\infty \phi = 0,$$

where  $\Delta_\infty$  denotes the Laplacian on  $M_\delta(\infty)$ . Let  $\{\mu_i\}_{i=1,2,\dots} : \mu_1 \leq \mu_2 \leq \dots$  be the eigenvalues of  $M_\delta(\infty)$  and  $\{E_i(\theta)\}_{i=1,2,\dots}$  an orthonormal system of eigenfunctions on  $M_\delta(\infty)$  corresponding to  $\{\mu_i\}$ . Set  $\phi_i(s) := \int_{M_\delta(\infty)} \phi(s, \theta) E_i(\theta) \text{dvol}(g_\infty)$  ( $i = 1, 2, \dots$ ). Then  $\phi_i$  obeys the following ordinary differential equation on  $\mathbf{R}$  :

$$\phi_i'' + (2p + m - 2)\phi_i' + (p(p + m - 2) - \mu_i)\phi_i = 0.$$

Since  $|h(s, \theta)|/t^p$  is bounded, so is  $|\phi(s, \theta)|$ . Hence each  $\phi_i$  is also bounded. Then it turns out that  $\phi_i$  is equal to a constant  $a_i$  which is zero unless  $\mu_i = p(p + m - 2)$ , so that  $\phi(s, \theta) = \sum_i a_i E_i(\theta)$ , where the summation is taken over the indices  $i$ 's with  $\mu_i = p(p + m - 2)$ . This proves Fact 3.2. //

*Proof of Proposition C.* Let  $M, h$  and  $p$  be as in the proposition. Let us first fix a positive integer  $n$  and a sufficiently large  $R$  for a while, and define a function  $h_R$  on  $\Pi_R(A_R(n, n^{-1}))$  ( $\subset \mathcal{C}(M_\delta(\infty))$ ) by  $h_R := h \circ \Pi_R^{-1}/R^p$ , where  $\Pi_R$  and  $A_R$  are as in Fact 3.1. Then  $h_R$  is harmonic with respect to the metric  $\frac{1}{R^2} \Pi_{R*} g_M$ . Moreover since  $\mu := \limsup_{x \in \delta \rightarrow \infty} |h|(x)/r^p(x)$  is finite  $|h_R|$  is bounded from above by  $cn^p$  for some positive constant  $c$  independent of  $R$  and  $n$ . Thus it follows from Fact 3.1 and the a priori estimates that the  $C^{2,\alpha}$ -Hölder norm of  $h_R$  is bounded uniformly in  $R$ . Let us take here a divergence sequence  $\{R(i)\}$  such that  $\max\{|h(x)| : x \in S_{R(i)} \cap \delta\}/R(i)^p$  converges to  $\mu > 0$  as  $R(i)$  goes to infinity. Then we can take inductively a subsequence  $\{R(n, j)\}$  of  $\{R(i)\}$  so that  $\{R(n+1, j)\} \subset \{R(n, j)\}$  and as  $j \rightarrow \infty$ ,  $h_{R(n, j)}$  converges to a harmonic function  $h_n$  on  $A_\infty(n, n^{-1}) := \{(t, \theta) \in \mathcal{C}(M_\delta(\infty)) : n^{-1} < t < n\}$  in the  $C^{2,\alpha}$ -Hölder norm. Note that  $h_n$  satisfies:  $|h_n(t, \theta)| \leq ct^p$  on  $A_\infty(n, n^{-1})$ . Hence if we set  $h_\infty := h_n$  on  $A_\infty(n, n^{-1})$ , then we get a harmonic function  $h_\infty$  on  $\mathcal{C}(M_\delta(\infty))$  such that  $|h_\infty(t, \theta)| \leq ct^p$ . By the choice of  $\{R(i)\}$ , we see that  $h_\infty$  does not vanish identically. Thus it

turns out from Fact 3.2 that  $\lambda := p(p + m - 2)$  must be an eigenvalue of  $M_\delta(\infty)$  and  $h_\infty(t, \theta)/t^p$  gives an eigenfunction on  $M_\delta(\infty)$  with the eigenvalue  $\lambda$ . Finally the remaining assertion of Proposition C follows from Lemma 3.3 below. //

**Lemma 3.3.** *The first eigenvalue  $\mu_1$  of  $M_\delta(\infty)$  is greater than or equal to  $m - 1$ . Moreover if  $\mu_1 = m - 1$ , then  $M_\delta(\infty)$  is isometric to the  $(m - 1)$ -sphere  $S^{m-1}(1)$  of constant curvature 1.*

*Proof.* Let  $\Pi_t : A_t(a, b) \rightarrow C(M_\delta(\infty))$  be as in Fact 3.1. Set  $M_t := \Pi_t^{-1}(\{1\} \times M_\delta(\infty))$ . Then we observe that the sectional curvature  $K_t$  of  $M_t$  satisfies:  $1 - \varepsilon_1(t) \leq K_t \leq 1 + \varepsilon_1(t) + \kappa_\delta$ , where  $\varepsilon_1(t) > 0$  goes to zero as  $t \rightarrow \infty$  and  $\kappa_\delta := \limsup_{x \in \delta \rightarrow \infty} r(x)^2 K_M(x)$ . Let  $\mu_{t,1}$  be the first eigenvalue of  $M_t$ . Then applying the Lichnerowicz' theorem (cf. [10]) to  $M_t$ , we see that  $\mu_{t,1} \geq (m - 1) - \varepsilon_2(t)$ , where  $\varepsilon_2(t) > 0$  tends to zero as  $t \rightarrow \infty$ . This implies that  $\mu_1 \geq (m - 1)$ . Suppose that  $\mu_1 = (m - 1)$ . Then the diameter of  $M_\delta(\infty)$  must take the maximum value  $\pi$ . In fact if the diameter is less than  $\pi$ , then the diameter of  $M_t$  is less than  $\pi - \varepsilon_3$  for large  $t$  and some positive constant  $\varepsilon_3$ . It follows now from [10] that  $\mu_{t,1} \geq (m - 1) + \varepsilon_4$  for large  $t$  and some positive constant  $\varepsilon_4$ . This is a contradiction. Thus  $M_\delta(\infty)$  has the maximum diameter  $\pi$ , so that the volume of  $M_\delta(\infty)$  must be equal to the volume of  $S^{m-1}(1)$  (cf. [18: Theorem 4.1] or [5]). Then it turns out from a theorem by Katsuda [22] that the Hausdorff distance between  $M_\delta(\infty)$  and  $S^{m-1}(1)$  is equal to zero, namely,  $M_\delta(\infty)$  is isometric to  $S^{m-1}(1)$ . This completes the proof of Lemma 3.3. //

Let us now show a proposition on the minimal positive Green function  $G(x, y)$  on  $M \times M$ . According to Li-Tam [24], we call an end  $\delta$  of  $M$  large (resp., small) if the integral  $\int^\infty tV_\delta(t)^{-1}dt$  is finite (resp., infinite), where  $V_\delta(t) := \text{Vol}_m(B_t \cap \delta)$ . Suppose that  $M$  has at least one large end  $\delta$ . Then based on some of the results in [19] and the arguments in [24;25], we have shown in [21] the following results:

(3.3) There exists a unique positive harmonic function  $h_\delta$  on  $M$  such that  $\lim_{x \in \delta \rightarrow \infty} h_\delta(x) = 1$  and  $\lim_{y \in \delta' \rightarrow \infty} h_\delta(y) = 0$  for another large end  $\delta'$  (if any).

(3.4) There exists a unique minimal positive Green function  $G(x, y)$  on  $M \times M$  such that

$$G(x, y) \leq c(x) \int_{\text{dis}_M(x, y)}^\infty \frac{t}{V_\delta(t)} dt$$

for all  $y \in \delta - B_{R(x)}$ , and  $G(x, y) \rightarrow c(x, \mathcal{D})$  as  $y \in \mathcal{D} \rightarrow +\infty$  for a small end  $\mathcal{D}$  (if any). Here the constants  $R(x), C(x)$  and  $C(x, \mathcal{D})$  are positive constants depending on the quantities in parentheses.

We remark that the value  $h_\delta(x)$  of the function  $h_\delta$  at a point  $x$  is equal to the hitting probability of the paths starting at  $x$  to the large end  $\delta$ . Moreover as we mentioned in [21], we see that if  $G(x, y) / \int_{\text{dis}_M(x, y)}^\infty m^{-1} t V_\delta(t)^{-1} dt$  converges to  $h_\delta(x)$  as  $y \in \delta$  goes to infinity for *some*  $x$ , then this holds for *all*  $x \in M$ . It is unclear whether the limit should exist and be equal to  $h_\delta(x)$  for some  $x$ . The following proposition answers this question partially.

**Proposition D.** *Let  $M$  be an  $m$ -dimensional manifold of asymptotically nonnegative curvature which has at least one large end  $\delta$ . Suppose (3.1) and (3.2) hold for  $\delta$ . Then for any point  $x$  of  $M$ , one has*

$$\frac{G(x, y)}{\int_{\text{dis}_M(x, y)}^\infty \frac{t}{mV_\delta(t)} dt} \rightarrow h_\delta(x)$$

as  $y \in \delta$  goes to infinity. In particular, in this case, one has

$$G(x, y) \text{dis}_M(x, y)^{m-2} \rightarrow \frac{h_\delta(x)}{(m-2) \text{Vol}(M_\delta(\infty))}$$

as  $y \in \delta$  goes to infinity.

*Proof.* We fix a point  $x$  of  $M$ . We first observe that for some positive constants  $c_1$  and  $c_2$ ,

$$(3.5) \quad c_1 \leq G(x, y) \text{dis}_M(x, y)^{m-2} \leq c_2$$

on  $\delta$ . The first inequality is a consequence of the assumption that  $M$  has asymptotically nonnegative curvature (cf. [17: Theorem 4.3]) and the second one follows from (3.4). Set  $G_R(y) := R^{m-2}G(x, y)$ . Then by the same argument as in the proof of Proposition C, we see that given a divergent sequence  $\{R(i)\}$ , there exists a subsequence  $\{R(j)\}$  for which  $G_{R(j)}$  converges as  $j \rightarrow \infty$  to a harmonic function  $G_\infty$  on compact sets of the cone  $\mathcal{C}(M_\delta(\infty)) = (0, \infty) \times {}_t M_\delta(\infty)$  in the  $C^{2, \alpha}$  Hölder norm. By (3.5), we have

$$c_1 \leq G_\infty(t, \theta)t^{m-2} \leq c_2$$

for any  $(t, \theta) \in \mathcal{C}(M_\delta(\infty))$ . Moreover it turns out from the same argument as in Lemma 3.2 that  $G_\infty(t, \theta)t^{m-2}$  is in fact a constant, say  $c_3$ . Then it is not hard to see that the constant  $c_3$  is given by

$c_3(m - 2)\text{Vol}(M_\delta(\infty)) = h_\delta(x)$ . Thus the constant  $c_3$  is independent of the choice of a divergent sequence  $\{R(i)\}$ . This shows that

$$G(x, y) \text{dis}_M(x, y)^{m-2} \rightarrow \frac{h_\delta(x)}{(m - 2)\text{Vol}(M_\delta(\infty))}$$

as  $y \in \delta$  goes to infinity. Since

$$\text{dis}_M(x, y)^{m-2} \int_{\text{dis}_M(x, y)}^\infty \frac{t}{V_\delta(t)} dt \rightarrow \frac{m}{(m - 2)\text{Vol}(M_\delta(\infty))}$$

as  $y \in \delta$  goes to infinity, we have proven Proposition D. //

*Remark.* Let  $M$  and  $\delta$  be as in Proposition D. Define a function  $F_\delta(y)$  on  $M$  by  $F_\delta(y) := c_4 G(o, y)^{1/(2-m)}$ , where  $o$  is a fixed point of  $M$  and  $c_4 := (h_\delta(o)/((m - 2)\text{Vol}(M_\delta(\infty))))^{1/(m-2)}$ . Then we can prove by using the same argument as in the proof of Proposition D that as  $y \in \delta$  goes to infinity,

- (i)  $\frac{F_\delta(y)}{\text{dis}_M(o, y)} \rightarrow 1,$
- (ii)  $|\nabla F_\delta|(y) \rightarrow 1,$
- (iii)  $|\frac{1}{2} \nabla dF_\delta^2 - g_M| \rightarrow 0,$

where  $g_M$  denotes the Riemannian metric of  $M$ . Thus  $F_\delta$  gives a nice smooth approximation for the distance function  $r = \text{dis}_M(o, *)$  on the end  $\delta$ .

*Added in proof.* Theorem B does not hold for a complete, noncompact Riemannian manifold of nonnegative Ricci curvature (even if the sectional curvature decays quadratically).

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