

## Conservative subgroup separability for surfaces with boundary

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If  $F$  is a compact surface with boundary, then a finitely generated subgroup without peripheral elements of  $G = \pi_1(F)$  can be separated from finitely many other elements of  $G$  by a finite index subgroup of  $G$  corresponding to a finite cover  $\tilde{F}$  with the same number of boundary components as  $F$ .

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Suppose  $F$  is a compact surface with nonempty boundary. A nontrivial element of  $\pi_1(F)$  is *peripheral* if it is represented by a loop freely homotopic into  $\partial F$ . A covering space  $p: \tilde{F} \rightarrow F$  is called *conservative* if  $F$  and  $\tilde{F}$  have the same number of boundary components:  $|\partial F| = |\partial \tilde{F}|$ .

**Theorem 0.1** (Main Theorem) *Let  $F$  be a compact, connected surface with  $\partial F \neq \emptyset$  and  $H \subset \pi_1(F)$  a finitely generated subgroup. Assume that no element of  $H$  is peripheral. Given a (possibly empty) finite subset  $B \subset \pi_1(F) \setminus H$ , there exists a finite-sheeted cover  $p: \tilde{F} \rightarrow F$  such that:*

- (i) *There is a compact, connected,  $\pi_1$ -injective subsurface  $S \subset \tilde{F}$  such that  $p_*(\pi_1(S)) = H$ .*
- (ii)  *$p_*(\pi_1(\tilde{F}))$  contains no element of  $B$ .*
- (iii)  *$\tilde{F} \setminus S$  is connected and  $\text{incl}_*: H_1(S) \rightarrow H_1(\tilde{F})$  is injective.*
- (iv) *The covering is conservative.*

This theorem, for  $F$  orientable and without (iii), is due to Masters and Zhang [5] and is a key ingredient in their proof that cusped hyperbolic 3-manifolds contain quasi-Fuchsian surface groups [4; 5]. Without (iii) and (iv) the theorem is a special case of well-known theorems on subgroup separability of free groups (see Hall, Jr [1]) and surface groups (see Scott [6; 7]). For a discussion of subgroup separability and 3-manifolds, see Long and Reid [3].

The proof in [5] uses the folded graph techniques due to Stallings; see Kapovich and Myasnikov [2]. The shorter proof below uses cut and cross-join of surfaces. A cover is

called *good* if properties (i)–(ii) hold and *very good* if (i)–(iii) hold. The idea is to start with a good cover and then pass to a second cover which is very good. Then *cross-join operations* (defined below) are used to reduce the number of boundary components of a very good cover until it is conservative.

## 1 Constructing a very good cover

We first explain a geometric condition on a cover of  $F$  which ensures it is good, and then use [Theorem 1.3](#) to construct a very good cover.

Choose a basepoint  $x$  in the interior of  $F$  and suppose  $p: F_H \rightarrow F$  is the cover corresponding to  $H$ . There is a compact, connected, incompressible subsurface  $S$  in the interior of  $F_H$  which is a retract of  $F_H$  and which contains a lift  $\tilde{x}$  of  $x$ . Each element  $g \in \pi_1(F, x)$  determines a unique lift  $\tilde{x}(g) \in F_H$  of the basepoint  $x$ . The surface  $S$  can be chosen large enough to contain  $\{\tilde{x}(b) : b \in B\}$ . Then  $p|_S: S \rightarrow F$  is a local homeomorphism.

If  $\pi: F' \rightarrow F$  is any cover and there is a lift of  $p|_S$  to  $\theta: S \rightarrow F'$  (thus  $\pi \circ \theta = p|_S$ ) which is injective, we say  $S$  *lifts to an embedding in the cover  $F'$* . The work of M Hall [\[1\]](#) and P Scott [\[6\]](#) shows there is a finite cover  $F' \rightarrow F$  such that  $S$  lifts to an embedding in  $F'$ .

**Proposition 1.1** (Good cover) *Under the hypotheses of the main theorem, if  $\pi: F' \rightarrow F$  is any cover and  $S$  lifts to an embedding in  $F'$ , then the cover is good.*

**Proof** With the notation above, a based loop representing an element  $b \in B$  lifts to a path in  $F'$  that starts at the basepoint  $\tilde{x} \in L = \theta(S)$  but ends at some other point  $\tilde{x}(b) \neq \tilde{x}$  in  $L$ . □

**Addendum 1.2** (Very good cover) *There is a very good cover  $\tilde{F} \rightarrow F$  of finite degree with  $|\partial \tilde{F}|$  even.*

**Proof** We start with a good cover  $F'$  of  $F$  with finite degree and the subsurface  $S \subset F'$  described above and then construct a cover of  $F'$  with the required properties. Let  $p: \tilde{F} \rightarrow F'$  be the regular cover given by the kernel of the map of  $\pi_1(F')$  onto  $H_1(F', S; \mathbb{Z}/2)$ . There is a lift  $\tilde{S}$  of  $S$  to this cover by construction. The conclusions follow from [Theorem 1.3](#) below. □

The following allows us to lift a  $\pi_1$ -injective subsurface to a regular cover where it is  $H_1$ -injective and nonseparating.

**Theorem 1.3** *Suppose  $F$  is a compact, connected surface, possibly with boundary, which contains a compact, connected subsurface  $S \neq F$ . Assume that  $S \cap \partial F$  is a (possibly empty) union of components of  $\partial F$  and no component of  $\text{cl}(F \setminus S)$  is a disc or a boundary parallel annulus. Let  $p: \tilde{F} \rightarrow F$  be the cover corresponding to the kernel of the natural homomorphism of  $\pi_1(F)$  onto  $G = H_1(F, S; \mathbb{Z}/2)$ . If  $\tilde{S}_0$  is a connected component of  $p^{-1}(S)$  then  $X = \text{cl}(\tilde{F} \setminus \tilde{S}_0)$  is connected and the map  $i_*: H_1(\tilde{S}_0) \rightarrow H_1(\tilde{F})$  induced by inclusion is injective. Moreover  $|\partial \tilde{F}|$  is even.*

**Proof** The hypotheses imply  $G \neq 0$ . Let  $Y$  be a connected component of  $X$ . Then  $\partial Y = (Y \cap \partial \tilde{F}) \sqcup (Y \cap \tilde{S}_0)$ . We claim that  $p(Y) \supset S$ . Otherwise  $p|_Y: Y \rightarrow \text{cl}(F \setminus S)$  is a covering map which is injective since  $p|(Y \cap \tilde{S}_0)$  is injective. Thus  $Y$  is a lift of a component  $Z$  of  $\text{cl}(F \setminus S)$ .

If  $Z \cap S$  is connected, then since  $Z$  is not a disc or boundary parallel annulus, the image of  $H_1(Z; \mathbb{Z}/2)$  in  $G$  is not trivial. Thus  $Z$  does not lift to the  $G$ -cover, a contradiction.

Hence  $Z \cap S$  contains at least two distinct circle components  $B_1, B_2$ . There is a loop  $\alpha = \beta \cdot \gamma \subset F$  which is the union of two arcs connecting  $B_1$  and  $B_2$ : one arc  $\beta \subset Z$  and one arc  $\gamma \subset S$ . Since  $\alpha$  has mod 2 algebraic intersection number 1 with the boundary component  $B_1$  of  $S$  it is a nonzero element of  $G$ . It follows that the lift  $\tilde{\beta} \subset Y$  of  $\beta$  has endpoints in different components of  $p^{-1}(S)$ , since otherwise  $\alpha$  would lift to a loop. But  $\partial \tilde{\beta} \subset \partial Y \subset \partial \tilde{S}_0$  which is a contradiction. Thus  $p(Y) \supset S$ .

It follows that  $Y$  contains some component  $\tilde{S}_1 \neq \tilde{S}_0$  of  $p^{-1}(S)$  in its interior. However the cover is regular so there is a covering transformation  $\tau$  taking  $\tilde{S}_0$  to  $\tilde{S}_1$ . Thus if  $\tilde{S}_0$  is not orientable then  $Y$  is not orientable and if  $\tilde{S}_0$  contains a component of  $\partial \tilde{F}$  then so does  $Y$ .

Choose some Riemannian metric on  $F$ . This metric pulls back to one on  $\tilde{F}$  which is preserved by covering transformations. If  $X$  is not connected, let  $Y$  be a component of  $X$  with smallest area.

As shown above,  $Y$  contains an  $\tilde{S}_1 \neq \tilde{S}_0$  in its interior. The covering transformation  $\tau$  taking  $\tilde{S}_0$  to  $\tilde{S}_1$  takes each component of  $\tilde{F} \setminus \tilde{S}_0$  to a component of  $\tilde{F} \setminus \tilde{S}_1$  with the same area. One of the components of  $\tilde{F} \setminus \tilde{S}_1$  contains  $\tilde{S}_0$ , so all the others must be strictly contained in  $Y$ , which contradicts that  $Y$  has minimal area. Hence  $X = Y$  is connected.

To show the injectivity of  $i_*$ , note the long exact homology sequence of the pair  $(\tilde{F}, \tilde{S}_0)$  yields

$$0 \longrightarrow H_2(\tilde{F}) \xrightarrow{j_*} H_2(\tilde{F}, \tilde{S}_0) \xrightarrow{\delta} H_1(\tilde{S}_0) \xrightarrow{i_*} H_1(\tilde{F}),$$

so that we have the following equivalences:  $\ker i_* = 0$  if and only if  $\text{Image } \delta = 0$  if and only if  $j_*$  is an isomorphism. By excision  $H_2(\tilde{F}, \tilde{S}_0) \cong H_2(X, X \cap \tilde{S}_0) \cong H_2(X, \partial X \cap \partial \tilde{S}_0)$ .

Suppose  $\partial F \neq \phi$ . Then  $X \cap \partial \tilde{F} \neq \phi$ , since otherwise  $\partial \tilde{F} \subset \tilde{S}_0$ , but we have shown  $\tau(\tilde{S}_0) \subset X$ , which is a contradiction. Now  $X \cap \partial \tilde{F} \neq \phi$  implies  $H_2(X, \partial X \cap \partial \tilde{S}_0) = 0$ , so that  $\text{Image } \delta = 0$  hence  $\ker i_* = 0$ .

The remaining case is  $\partial F = \phi$ . Here  $X \cap \tilde{S}_0 = \partial \tilde{S}_0 = \partial X$ . If  $\tilde{F}$  is orientable, then so is  $X$ , and it follows that  $j_*$  is an isomorphism, hence  $\ker i_* = 0$ .

If  $\tilde{F}$  is nonorientable, we claim  $X$  must also be nonorientable; hence  $H_2(X, \partial X) = 0$  so that  $0 = \text{Image } \delta = \ker i_*$ .

Indeed, if  $X$  is orientable then  $\tilde{F}$  is orientable. This is because  $\tau(\tilde{S}_0) \subset X$  so  $\tau(\tilde{S}_0)$  orientable. This is a lift of  $S$  so  $S$  is orientable. Thus the homomorphism  $\pi_1(F) \rightarrow \mathbb{Z}_2$  that sends a loop to 0 if and only if it is orientation preserving vanishes on  $\pi_1(S)$  and so factors through  $G$ . It follows that every orientation reversing loop in  $F$  has nonzero image in  $G$  so  $\tilde{F}$  is orientable.

It remains to show  $|\partial \tilde{F}|$  is even. The action of  $G$  on  $\tilde{F}$  is free. Since  $\mathbb{Z}_2^2$  does not act freely on  $S^1$  it follows that if  $|\partial \tilde{F}|$  is odd then  $G \cong \mathbb{Z}_2$  and is generated by some component  $C$  of  $\partial F$ . Let  $Z$  be the component of  $\text{cl}(F \setminus S)$  that contains  $C$ . By excision  $\mathbb{Z}_2 \cong G \cong H_1(Z, Z \cap S)$ . Since  $Z$  is not a disc or an annulus with  $C$  one of the boundary components the only other possibility is that  $Z = \text{cl}(F \setminus S)$  is a pair of pants with only one boundary component in  $S$ . But then  $|\partial F|$  is even hence so is  $|\partial \tilde{F}|$ . □

The following is easily deduced from the proof of [Theorem 1.3](#) and will be used in the next two sections of the paper.

**Remark 1.4** If  $F$  is a surface and  $S \subset F$  is a subsurface and  $X = \text{cl}(F \setminus S)$  is connected and  $X \cap \partial F \neq \phi$  then  $i_*: H_1(S) \rightarrow H_1(F)$  is injective.

## 2 Cross-joining covers

Suppose  $F$  is a surface and  $\alpha_1$  and  $\alpha_2$  are disjoint arcs properly embedded in  $F$ . Let  $N(\alpha_i) \equiv \alpha_i \times [-1, 1]$  be disjoint regular neighborhoods of the arcs  $\alpha_i$  in  $F$  such that  $\alpha_i \equiv \alpha_i \times 0$  and  $N(\alpha_i) \cap \partial F = (\partial \alpha_i) \times [-1, 1]$ . The sets  $\alpha_i \times (0, \pm 1] \subset F$  are called the  $\pm$  sides of  $\alpha_i$ .

Given a homeomorphism  $h: N(\alpha_1) \rightarrow N(\alpha_2)$  taking the  $+$  side of  $\alpha_1$  to the  $+$  side of  $\alpha_2$ , the *cross-join* of  $F$  along  $(\alpha_1, \alpha_2)$  is the surface  $K$  defined as follows. The surface  $F^- = F \setminus (\alpha_1 \cup \alpha_2)$  contains four subsurfaces  $\alpha_i \times (0, \pm 1]$ . Let  $F^{\text{cut}}$  be the surface obtained by completing these subsurfaces to  $\alpha_i \times [0, \pm 1]$ . Thus  $F^{\text{cut}}$  has two copies  $\alpha_i^+, \alpha_i^-$  of  $\alpha_i$  in  $\partial F^{\text{cut}}$  and identifying these copies suitably produces  $F$ . The surface  $K$  is the quotient of  $F^{\text{cut}}$  obtained by using  $h$  to identify  $\alpha_1^-$  to  $\alpha_2^+$  and  $\alpha_1^+$  to  $\alpha_2^-$ . Note that here we do not require  $F$  to be connected, so that  $\alpha$  and  $\beta$  might be in different components of  $F$ .

There are two special cases of cross-join which will be used to change the number of boundary components of a surface:

**Lemma 2.1** *Suppose the compact surface  $F$  contains two disjoint properly embedded arcs  $\alpha$  and  $\beta$ . In addition suppose that*

- (1) *either  $F$  is connected and the endpoints of  $\alpha, \beta$  lie on four distinct components of  $\partial F$ ,*
- (2) *or  $F$  is the union of two connected components  $A$  and  $B$  and  $\alpha \subset A$  has both endpoints on the same boundary component and  $\beta \subset B$  has endpoints on distinct boundary components.*

*Then a surface  $K$  obtained by cross-joining along these arcs has  $|\partial K| = |\partial F| - 2$ . Furthermore  $\chi(K) = \chi(F)$  and  $K$  is connected.*

**Proof** We verify that  $K$  is connected. In the first case this follows since the arcs do not disconnect the boundary components on which they have endpoints; therefore  $F \setminus (\alpha \cup \beta)$  is connected. In the second case it follows because  $B \setminus \beta$  is connected, and every point in  $K$  is connected to a point in this subset by an arc. □

Suppose  $p: \tilde{F} \rightarrow F$  is a (possibly not connected) covering of surfaces and  $\alpha$  is an arc properly embedded in  $F$ . Suppose  $\tilde{\alpha}_1$  and  $\tilde{\alpha}_2$  are two distinct lifts of  $\alpha$  to  $\tilde{F}$ ; then they are disjoint. The map  $p$  provides a homeomorphism between small regular neighborhoods of these two arcs. Using this to cross-join produces a surface  $\tilde{F}'$  and since the identifications are compatible with  $p$  there is a covering map  $p': \tilde{F}' \rightarrow F$ .

An important special case is when  $\tilde{F}$  is a  $(d + 1)$ -fold cover which is the disjoint union of a 1-fold cover  $F_1 \rightarrow F$  and some connected  $d$ -fold cover  $F_d \rightarrow F$ . Then cross-joining an arc in  $F_1$  with one in  $F_d$  produces a connected cover of degree  $d + 1$ .

To produce a new cover  $F'$  of  $F$  by a cross-join along two arcs in some cover  $\tilde{F}$  requires the arcs to be disjoint from each other. If  $S$  is embedded in  $\tilde{F}$  and these arcs

are also disjoint from  $S$ , then  $S$  lifts to an embedding in  $F'$ , so the cover  $F'$  is good. We call the combination of these two properties the *disjointness condition*.

There is a metric condition, involving some arbitrary choice of Riemannian metric on  $F$ , that ensures the disjointness condition is satisfied and therefore that the new cover is good. The next lemma provides a uniform upper bound on the lengths of the arcs we will use to cross-join in any cover of  $F$ .

**Lemma 2.2** (Short arcs) *Suppose  $F$  is a compact, connected surface with a Riemannian metric such that the diameter of  $F$  is  $\ell$ . If  $\tilde{F}$  is a finite connected cover of  $F$  then:*

- (1) *If  $A$  and  $B$  are distinct components of  $\partial F$  then there is an arc  $\alpha$  in  $F$  connecting them and  $\text{length}(\alpha) \leq \ell$ .*
- (2) *If some component  $A$  of  $\partial F$  has (at least) two preimages in  $\partial \tilde{F}$  then there is an embedded arc  $\alpha$  in  $F$  of length at most  $2\ell$  which lifts to an arc with endpoints on distinct preimages of  $A$ .*

**Proof** The first claim is obvious. For the second claim, since every point in  $\tilde{F}$  is within a distance at most  $\ell$  of some point in  $p^{-1}(A)$  and  $\tilde{F}$  is connected, some point in  $\tilde{F}$  is within a distance at most  $\ell$  of points in two distinct components of  $p^{-1}(A)$ . This gives an arc  $\beta$  in  $\tilde{F}$  of length at most  $2\ell$  which connects two distinct components of  $p^{-1}(A)$ .

Let  $\gamma: [0, 2R] \rightarrow \tilde{F}$  be a shortest arc connecting two distinct components of  $p^{-1}(A)$  and parametrized by arc length. Then  $R \leq \ell$ . To complete the proof we show that  $\gamma$  projects to an embedded arc in  $F$ . Observe that

$$d_{\tilde{F}}(\gamma(t), p^{-1}(A)) = \min(t, 2R - t)$$

otherwise there is a shorter arc connecting two distinct components of  $p^{-1}(A)$ . It follows that

$$d_F(p(\gamma(t)), A) = \min(t, 2R - t)$$

This means that the distance in  $F$  of a point on  $p \circ \gamma$  from  $A$  is given by arc length along  $p \circ \gamma$ . It follows that  $\alpha = p \circ \gamma$  is the required embedded arc.  $\square$

An arc of length at most  $2\ell$  is called *short*. The next lemma provides a conservative cyclic cover with large diameter of a surface  $F$ . If a short arc in  $F$  connects two distinct boundary components, then so does every covering translate of it. If  $S$  lifts to the cover then there are many different translates of the short arc that are far from each other and far from the lift of  $S$ . In particular the disjointness condition is satisfied by suitable translates of a lifted short arc in this cover.

**Lemma 2.3** (Big covers) *Suppose  $F$  is a compact connected surface with  $k \geq 2$  boundary components and which contains a compact, connected, incompressible subsurface  $S \subset \text{interior}(F)$  with  $F \setminus S$  connected. Given  $n > 0$  there is a conservative finite cyclic cover  $\tilde{F} \rightarrow F$  of degree bigger than  $n$  and a lift,  $\tilde{S}$ , of  $S$  to  $\tilde{F}$ . Furthermore  $\tilde{F} \setminus \tilde{S}$  is connected and the map  $i_*: H_1(\tilde{S}) \rightarrow H_1(\tilde{F})$  induced by inclusion is injective.*

**Proof** Let  $Y$  be the surface obtained from  $F \setminus \text{interior}(S)$  by gluing a disc onto each component of  $\partial S$ . Then  $Y$  is a connected surface with  $k$  boundary components and there is a natural isomorphism of  $H_1(F)/H_1(S)$  onto  $H_1(Y)$ . Choose a prime  $p > \max(k, n)$ . Because  $Y$  is connected, there is an epimorphism from  $H_1(Y)$  onto  $\mathbb{Z}/p$  which sends one component of  $\partial Y$  to  $k - 1$  and all the other  $(k - 1)$  components of  $\partial Y$  to  $-1$ . Now  $(k - 1)$  is coprime to  $p$  because  $2 \leq k < p$ . Therefore this defines a conservative cyclic  $p$ -fold cover  $\tilde{Y}$  of  $Y$ . It also determines a conservative cyclic  $p$ -fold cover  $\tilde{F}$  of  $F$  such that  $S$  lifts to  $\tilde{S}$ . Since  $\tilde{Y}$  is connected, it follows that  $X = \tilde{F} \setminus \tilde{S}$  is connected. Also  $X \cap \partial \tilde{F} = \partial \tilde{F}$  is not empty. Hence  $i_*$  is injective by Remark 1.4. □

### 3 Proof of main theorem

In this section all covers are of finite degree. Given a cover  $p: \tilde{F} \rightarrow F$ , the excess number of boundary components  $E(p)$  for this cover is defined as  $E(p) = |\partial \tilde{F}| - |\partial F|$ . By Addendum 1.2 there is a very good cover  $p: \tilde{F} \rightarrow F$  with  $|\partial \tilde{F}|$  even. If  $E(p) = 0$  the theorem is proved; otherwise we construct another very good cover with smaller excess, and repeating this process a finite number of times yields a very good cover with zero excess.

These constructions use various very good covers of  $F$ . We will choose a lift of  $S$  to each cover and identify this lift with  $S$  and refer to the lift as  $S$ . This should not cause confusion.

We will also use the big cover Lemma 2.3 to replace a very good cover  $\tilde{F} \rightarrow F$  by another very good cover  $F' \rightarrow F$  with the same excess and very large diameter. This process is called taking a big cover. We will rename  $F'$  as  $\tilde{F}$ .

Given  $\tilde{F}$  very good, we will use (except in one case) one of the two cross-joins described in Lemma 2.1 to produce a new connected very good cover  $F'$  of  $F$  with smaller excess. We first take a big cover so that there are lifts of a short arc that are far apart and disjoint from  $S$  in  $\tilde{F}$ . Then we change  $\tilde{F}$  with a cross-join to produce a good connected cover  $F'$ , which has smaller excess by Lemma 2.1.

To verify  $F'$  is very good we check below that  $F' \setminus S$  is *connected*, then by [Remark 1.4](#) this implies  $\text{incl}_* f: H_1(S) \rightarrow H_1(F')$  is injective, so  $F'$  is very good.

First observe that the cyclic cover produced by [Lemma 2.3](#) leaves  $\tilde{F} \setminus S$  connected. Since the cross-join arcs are disjoint from  $S$  they also determine a cross-join of  $\tilde{F} \setminus S$ . This cross-joined subsurface is  $F' \setminus S$  which is connected by [Lemma 2.1](#) as required.

**Case when  $|\partial F| = 1$**  By [Lemma 2.2](#) there is a properly embedded, short arc,  $\alpha$ , in  $F$  which is covered by an arc  $\beta$  with endpoints on two distinct boundary circles of  $\partial \tilde{F}$ . After taking a big cover we may assume the diameter of  $\tilde{F}$  is much larger than the length of  $\alpha$  and the diameter of  $S$ ; thus  $\beta$  can be chosen to be disjoint from  $S$  in  $\tilde{F}$ .

Cross-join  $(\tilde{F}, \beta)$  with  $(F, \alpha)$  to obtain a cover  $F'$  with one fewer boundary circle than  $\tilde{F}$ . There is a lift of  $S$  to  $F'$  and  $F' \setminus S$  is connected. Repeat the process until we obtain a cover with only one boundary component. This completes the proof when  $|\partial F| = 1$ .

**Case when  $|\partial F| \geq 2$**  First we show how to make  $E(p)$  even by performing a cross-join if needed. This first step will increase the number of boundary components.

Suppose  $E(p)$  is odd. By [Addendum 1.2](#)  $|\partial \tilde{F}|$  is even, so  $|\partial F|$  is odd. We can make  $E(p)$  even by cross-joining  $(\tilde{F}, \tilde{\alpha})$  and  $(F, \alpha)$  to obtain a cover  $p': F' \rightarrow F$ . To perform the cross-join, choose a short embedded arc  $\alpha \subset F$  with endpoints on two distinct circles  $C, C' \subset \partial F$  and a lift  $\tilde{\alpha} \subset \tilde{F}$ , with endpoints on two preimages  $\tilde{C}, \tilde{C}'$ . After taking a big cover we can assume that  $\tilde{\alpha}$  is disjoint from  $S$  in  $\tilde{F}$ . Then  $F'$  is the cross-join of  $(F, \alpha)$  and  $(\tilde{F}, \tilde{\alpha})$ . The surface  $S$  lifts to  $F'$  and  $F' \setminus S$  is connected by [Lemma 2.1](#).

Here is the outline of the rest of the proof. If  $E(p) \neq 0$  then it is even. We proceed as follows using suitable cross-joins to construct new coverings. If there are two different components  $C, C' \subset \partial F$  which both have more than one preimage in  $\partial \tilde{F}$  then we find a short arc  $\alpha$  in  $F$  connecting  $C$  and  $C'$  and cross-join  $\tilde{F}$  to itself along two suitable lifts of  $\alpha$  in  $\tilde{F}$ . This reduces the excess by 2. After finitely many steps we obtain a cover so that at most one component  $C \subset \partial F$  has more than one preimage. A single *cyclic cross-join* (defined below) is done simultaneously to reduce the excess to zero. Here are the details.

Suppose  $A$  and  $B$  are distinct circles in  $\partial F$  which both have (at least) two distinct preimages  $\tilde{A}_i, \tilde{B}_i$  for  $i = 1, 2$  in  $\partial \tilde{F}$ . Choose a short arc  $\gamma$  in  $F$  with endpoints on  $A$  and  $B$ . Let  $\alpha_i$  be a lift of  $\gamma$  with one endpoint on  $\tilde{A}_i$  and  $\beta_i$  a lift with an endpoint on  $\tilde{B}_i$ . Inductively we assume that  $\tilde{F} \setminus S$  is connected. After taking a big cover we may assume that these arcs are all far apart and far from  $S$ . Thus there is a cover, obtained

by cross-joining along any pair of distinct arcs chosen from this set of four, and  $S$  lifts to this cover.

We claim that there is a pair of these arcs which have endpoints on four distinct boundary components of  $\tilde{F}$ . It follows from Lemma 2.1 that cross-joining along this pair reduces the excess by 2 and  $S$  lifts to the cover  $F'$  so produced. Furthermore, since  $\tilde{F} \setminus S$  is connected it follows that  $F' \setminus S$  is connected by Lemma 2.1.

If  $\alpha_1$  and  $\alpha_2$  do not both have endpoints on the same lift  $\tilde{B}$  of  $B$  the pair  $(\alpha_1, \alpha_2)$  works. Similarly if  $\beta_1$  and  $\beta_2$  do not both have endpoints on the same lift  $\tilde{A}$  of  $A$  the pair  $(\beta_1, \beta_2)$  works. The remaining case is (after relabeling)  $\alpha_1$  and  $\alpha_2$  both have endpoints on a component  $\tilde{B} \neq \tilde{B}_2$  which covers  $B$  and  $\beta_1, \beta_2$  both have endpoints on some component  $\tilde{A} \neq \tilde{A}_2$  which covers  $A$ . Then  $\alpha_2$  connects  $A_2$  to  $\tilde{B} \neq \tilde{B}_2$  and  $\beta_2$  connects  $B_2$  to  $\tilde{A} \neq \tilde{A}_2$ . Thus the pair  $(\alpha_2, \beta_2)$  works.

Repeating this process a finite number of times reduces the excess by an even number until either  $|\partial\tilde{F}| = |\partial F|$  or else there is a unique component  $C$  of  $\partial F$  with more than one preimage. In the latter case the excess is even so there is an odd number of preimages  $p^{-1}(C) = \{C_0, \dots, C_{2k}\}$ .

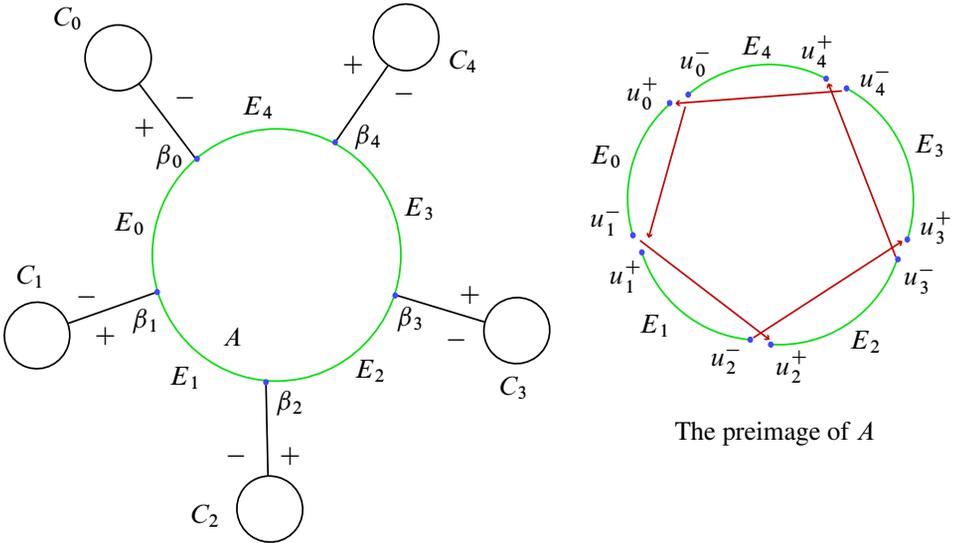


Figure 1: Cyclic cross-joining,  $2k + 1 = 5$  illustrated

Refer to Figure 1. Choose a component  $A$  of  $\partial\tilde{F}$  that does not cover  $C$ . This is possible because  $|\partial F| \geq 2$ . Let  $\beta$  be a short arc in  $F$  with endpoints on  $p(A)$  and  $C$ . For each  $i$  there is a lift  $\beta_i$  of  $\beta$  with one endpoint on  $C_i$  and the other on  $A$ . After

taking a big cover we may assume all these lifts are far apart and far from  $S$ . Orient each arc  $\beta_i$  so it points from  $A$  to  $C_i$  and call the left side  $+$  and the right side  $-$ . Now cross-join cyclically as follows. Cut  $\tilde{F}$  along the union of these arcs and join the  $-$  side of  $\beta_i$  to the  $+$  side of  $\beta_{i+1}$ , with all integer subscripts taken mod  $2k + 1$ .

The resulting cover has a single preimage of  $C$ . Indeed, each  $C_i$  has been cut at one point to give an interval  $D_i = [t_i^+, t_i^-]$  where the label  $i$  denotes an endpoint of  $\beta_i$  and  $t_i^\pm$  is on the  $\pm$  side of  $\beta_i$ . These intervals are then glued by identifying  $t_i^-$  in  $D_i$  to  $t_{i+1}^+$  in  $D_{i+1}$ . The result is obviously connected; it is a single circle.

To analyse the preimage of  $p(A)$  the circle  $A$  was cut at  $2k + 1$  points to produce  $2k + 1$  subarcs  $E_i = [u_i^+, u_{i+1}^-]$  where  $u_i^\pm$  is on the  $\pm$  side of  $\beta_i$ . Then  $E_i$  is glued to  $E_{i+2}$  by identifying  $u_{i+1}^-$  with  $u_{i+2}^+$  (see figure 1). Since there are  $2k + 1$  intervals and the  $i$ -th one is glued to the  $(i + 2)$ -th one the result is connected because 2 is coprime to  $2k + 1$ . This gives the required conservative cover completing the proof of the main theorem.  $\square$

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