

The Johnson cokernel and the Enomoto–Satoh invariant

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We study the cokernel of the Johnson homomorphism for the mapping class group of a surface with one boundary component. A graphical trace map simultaneously generalizing trace maps of Enomoto and Satoh and Conant, Kassabov and Vogtmann is given, and using technology from the author’s work with Kassabov and Vogtmann, this is shown to detect a large family of representations which vastly generalizes series due to Morita and Enomoto and Satoh. The Enomoto–Satoh trace is the rank-1 part of the new trace, and it is here that the new series of representations is found. The rank-2 part is also investigated, though a fuller investigation of the higher-rank case is deferred to another paper.

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1 Introduction

The Johnson homomorphism is an injective Lie algebra homomorphism $\tau: J \rightarrow D(H)$ (see Johnson [10] and Morita [13]), where J is the associated graded Lie algebra coming from the Johnson filtration of the mapping class group $\text{Mod}(g, 1)$ and $D(H) = D(H_1(\Sigma_{g,1}; \mathbb{k}))$ is a Lie algebra of “symplectic derivations” of the free Lie algebra $L(H)$. It is an isomorphism in order 1: $J_1 \cong D_1(H) \cong \bigwedge^3 H$, and in fact a theorem of Hain [8] says that $\tau(J)$ is generated as a Lie algebra by the order-1 part $\bigwedge^3 H$. In general, τ is not surjective and the Johnson cokernel $C_s = D_s(H)/\tau(J_s)$ is an interesting $\text{Sp}(H)$ -module. (See Figure 1 for the known decomposition in low degrees.)

Ultimately, one would like to determine the structure of J , which gives information about the mapping class group. The larger Lie algebra $D(H)$ is in some sense easier to understand, and for the purposes of this investigation can be considered “known”. (For example, the dimensions of $D_s(H)$ are easily calculated.) From this perspective, identifying the unknown J is the same as identifying the cokernel C . Indeed, in Morita’s 1999 survey article [14], he listed a series of problems indicating future directions of research in the mapping class group. One of these problems was to determine exactly how J includes into $D(H)$ as an Sp -module, and in particular, to characterize the cokernel of the Johnson homomorphism. Besides the direct application to the structure of the Johnson filtration of the mapping class group, another source

of interest in this problem comes from number theory. Nakamura [16] showed that certain obstructions coming from the Galois group $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ appear in the cokernel in even orders $2k$. Deligne’s motivic conjecture would imply that these obstructions appear with multiplicity given by the degree- k part of the free graded Lie algebra $L(\sigma_3, \sigma_5, \sigma_7, \dots)$ with one generator in each odd degree greater than or equal to 3. All of the representations coming from this so-called “Galois obstruction” appear as the trivial Sp -representation $[0]_{\text{Sp}}$, giving an infinite family of cokernel obstructions. In addition to these somewhat mysterious Galois obstructions, two other infinite families of obstructions that are known: Morita [13] showed that representations $[k]_{\text{Sp}}$ appear in the cokernel for all odd $k \geq 3$, and more recently Enomoto and Satoh [6] showed that representations $[1^{4m+1}]_{\text{Sp}}$ appear in the cokernel as well. (See Enomoto and Enomoto [5] for even more recent progress.)

In this paper, we introduce a new invariant for detecting the cokernel,

$$\text{Tr}^{\text{C}}: C_s \rightarrow \bigoplus_{r \geq 1} \Omega_{s+2-2r,r}(H),$$

which simultaneously generalizes the construction of Enomoto and Satoh [6] and of Conant, Kassabov and Vogtmann [4]. (The superscript “C” stands for “cokernel”.) The space $\Omega_{s+2-2r,r}(H)$ is defined as a quotient of the dimension-1 part of the hairy graph complex [4] by certain relators, shown on the right of Figure 3. The set of relations is large enough so that Tr^{C} vanishes on iterated brackets of order-1 elements, but not so large as to project all the way down to the first homology of the hairy graph complex. The two indices $s + 2 - 2r$ and r refer to the *number of hairs* and *rank* of the graph, respectively.

By projecting to the summands $\Omega_{s+2-2r,r}(H)$ for fixed rank r , one gets a series of invariants, which we now discuss.

1.1 Rank 1

In Section 4, we show that the $r = 1$ part $\Omega_{s,1}(H)$ is isomorphic to $[H^{\otimes s}]_{D_{2s}}$ and that Tr^{C} projects to the Enomoto–Satoh trace $\text{Tr}^{\text{ES}}: C_s \rightarrow [H^{\otimes s}]_{D_{2s}}$ (Theorem 4.2). (Although their trace takes values in $[H^{\otimes s}]_{\mathbb{Z}_2}$, it possesses an extra \mathbb{Z}_2 symmetry.)

Let $H^{(s)} \subset H^{\otimes s}$ be the intersection of the kernels of all the pairwise contractions $H^{\otimes s} \rightarrow H^{\otimes(s-2)}$. Then there is a projection $\pi: \Omega_{s+2-2r,r}(H) \rightarrow \Omega_{s+2-2r,r}(H^{(s)})$, where the latter space is defined by “taking coefficients in $H^{(s+2-2r)}$ ”. A theorem of [3] implies that the composition $\pi \circ \text{Tr}^{\text{C}}$ is onto. Considering the case $r = 1$ gives us the following theorem, which is one of the main results of this paper.

$$\begin{aligned}
 C_1 &= C_2 = 0 \\
 C_3 &= [3]_{\text{Sp}} \\
 C_4 &= [21^2]_{\text{Sp}} \oplus [2]_{\text{Sp}} \\
 C_5 &= [5]_{\text{Sp}} \oplus [32]_{\text{Sp}} \oplus [2^2 1]_{\text{Sp}} \oplus [1^5]_{\text{Sp}} \oplus 2[21]_{\text{Sp}} \oplus 2[1^3]_{\text{Sp}} \oplus 2[1]_{\text{Sp}} \\
 C_6 &= 2[41^2]_{\text{Sp}} \oplus [3^2]_{\text{Sp}} \oplus [321]_{\text{Sp}} \oplus [31^3]_{\text{Sp}} \oplus [2^2 1^2]_{\text{Sp}} \oplus 2[4]_{\text{Sp}} \oplus 3[31]_{\text{Sp}} \oplus 3[2^2]_{\text{Sp}} \\
 &\quad \oplus 3[21^2]_{\text{Sp}} \oplus 2[1^4]_{\text{Sp}} \oplus [2]_{\text{Sp}} \oplus 5[1^2]_{\text{Sp}} \oplus 3[0]_{\text{Sp}}
 \end{aligned}$$

Figure 1: The Johnson cokernel in low orders: C_3 is due jointly to Asada and Nakamura [1] and Hain [8]; C_4 is due to Morita [14], and the remaining are due to Morita, Sakasai and Suzuki [15].

Theorem *There is an epimorphism $C_s \rightarrow [H^{(s)}]_{D_{2s}}$, where the dihedral group acts on $H^{\otimes s}$ in the natural way, twisted by the nontrivial \mathbb{Z}_2 representation when s is even.*

This theorem vastly generalizes the known results for size s representations in C_s , which essentially consist of the two series due to Morita and Enomoto and Satoh described above, and of low-order calculations. We show in Theorem 7.3 that both infinite series are contained in $[H^{(s)}]_{D_{2s}}$. Comparing to computer calculations by Morita, Sakasai and Suzuki [15] shows that $[H^{(s)}]_{D_{2s}}$ contains all size s representations in C_s for $s \leq 6$, which is as far as calculated. A heuristic argument (see Section 7) shows that “most” representations $[\lambda]_{\text{Sp}}$ appear in $[H^{(s)}]_{D_{2s}}$. In Theorems 7.5 and 7.6 explicit large infinite families of representations are constructed.

1.2 Rank 2

Turning now to $r \geq 2$, let $T(H)$ be the tensor algebra generated by H . It is a Hopf algebra with coproduct Δ , antipode S and multiplication m . In Section 6 we show that $\Omega_{s-2,2}(H)$ is a quotient of $T(H)^{\otimes 2}$ by certain relations tied to the Hopf algebra structure on $T(H)$:

Theorem *We have*

$$\bigoplus_{s \geq 0} \Omega_{s,2}(H) \cong [T^+(H) \otimes T^+(H)]_{\mathbb{Z}_2 \times \mathbb{Z}_2} / \text{Rel},$$

where the $\mathbb{Z}_2 \times \mathbb{Z}_2$ acts via $x \otimes y \mapsto S(x) \otimes S(y)$ and $x \otimes y \mapsto S(y) \otimes S(x)$. The relations Rel are of the form

- (1) $[v, x] \otimes y + x \otimes [v, y] = 0$ where $v \in H$,
- (2) $(S \otimes m)(\Delta \otimes \text{id})(x \otimes y) + x \otimes y + (m \otimes S)(\text{id} \otimes \Delta)(x \otimes y) = 0$.

Using this presentation to do computer calculations, we find $\Omega_{s-2,2}\langle H \rangle$ for $s \leq 8$ (Theorem 6.2).

1.3 Comparison to the abelianization of the Lie algebra of symplectic derivations

Letting $D_s^{\text{ab}}(H)$ be the order s part of the abelianization of $D^+(H)$, Hain’s theorem implies that $C_s \twoheadrightarrow D_s^{\text{ab}}(H)$ for $s > 1$. So the abelianization detects cokernel elements. A theorem of [3] implies that $\Omega_{s+2r-2,r}\langle H \rangle$ projects onto the rank- r part of the abelianization $D_s^{\text{ab}}(H)$, with the rank defined in the sense of [4; 3]. The rank-1 part of the abelianization consists of Morita’s $[2m + 1]_{\text{Sp}}$ for $m > 1$, which does indeed appear in $\Omega_{2m+1,1}\langle H \rangle$ as noted above. The rank-2 part of the abelianization consists of the following representations [3]: for all $k > \ell \geq 0$,

$$[2k, 2\ell]_{\text{Sp}} \otimes \mathcal{S}_{2k-2\ell+2} \subset D_{2k+2\ell+2}^{\text{ab}}(H),$$

$$[2k + 1, 2\ell + 1]_{\text{Sp}} \otimes \mathcal{M}_{2k-2\ell+2} \subset D_{2k+2\ell+4}^{\text{ab}}(H),$$

where \mathcal{S}_w and \mathcal{M}_w are the vector spaces of weight w cusp forms and modular forms respectively. Hence, these are detected by $\bigoplus_s \Omega_{s-2,2}\langle H \rangle$. However $\bigoplus_s \Omega_{s-2,2}\langle H \rangle$ contains a lot more, as suggested by the calculations of Theorem 6.2. (See also Conant and Kassabov [2].)

1.4 Higher rank and future directions

The spaces $\Omega_{s+2-2r,r}(H)$ are unwieldy. In [2], we will show there is an epimorphism $\Omega_{s+2-2r,r}(H) \twoheadrightarrow H^{2r-3}(\text{Out}(F_r); M_{s+2-2r,r})$, where $M_{s+2-2r,r}$ is a certain $\text{Out}(F_r)$ -module constructed from the tensor algebra $T(H)$. For rank $r = 2$, this implies the following calculations, generalizing the rank-2 abelianization calculations.

Theorem [2] *The space $\bigoplus_s \Omega_{s-2,2}(H)$ surjects onto*

$$\bigoplus_{k>\ell\geq 0} \mathcal{S}_{2k-2\ell+2} \otimes \left(\frac{\mathbb{S}_{(2k,2\ell)}(\mathbb{L})}{\text{ad}(\mathbb{L}) \cdot \mathbb{S}_{(2k,2\ell)}(\mathbb{L})} \right) \oplus \bigoplus_{k>\ell\geq 0} \mathcal{M}_{2k-2\ell+2} \otimes \left(\frac{\mathbb{S}_{(2k+1,2\ell+1)}(\mathbb{L})}{\text{ad}(\mathbb{L}) \cdot \mathbb{S}_{(2k+1,2\ell+1)}(\mathbb{L})} \right),$$

where $\mathbb{L} = \mathbb{L}(H)$ is the free metabelian Lie algebra on H and $\text{ad}(\mathbb{L})$ is the adjoint action of \mathbb{L} on the Schur functor $\mathbb{S}_\lambda(\mathbb{L})$.

The appearance of modular forms and the free metabelian Lie algebra $\mathbb{L}(H)$ in the Johnson cokernel provides yet another connection to number theory which is not yet fully understood.

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2 Basic definitions

Fix a base field \mathbb{k} of characteristic 0. Let $\Sigma_{g,1}$ be a surface of genus g with one boundary component. It has free fundamental group generated by embedded curves $x_1, \dots, x_g, y_1, \dots, y_g$ with x_i, y_i intersecting in one point, and all other intersections trivial. Throughout the paper we let $H = H_1(\Sigma_{g,1}; \mathbb{k})$, which is a symplectic vector space. We let $\langle \cdot, \cdot \rangle$ denote the symplectic form, and let $p_1, \dots, p_g, q_1, \dots, q_g$ be the symplectic basis which is the image of the generating set of the fundamental group. We say $\langle v, w \rangle$ is the contraction of v and w . Let S_s be the symmetric group on s letters and for the groups $G \in \{\mathrm{Sp}(H), \mathrm{GL}(H), S_s\}$, let $[\lambda]_G$ be the irreducible representation of G corresponding to λ .

We begin by defining the relevant Lie algebra which is the target of the Johnson homomorphism.

Definition 2.1 Let $L_k(H)$ be the degree- k part of the free Lie algebra on H . Define $D_s(H)$ to be the kernel of the bracketing map $H \otimes L_{s+1}(H) \rightarrow L_{s+2}(H)$. Let $D(H) = \bigoplus_{s=0}^{\infty} D_s(H)$ and $D^+(H) = \bigoplus_{s \geq 1} D_s(H)$. We refer to s as the order of an element of $D(H)$.

The space $H \otimes L(H)$ is canonically isomorphic via the symplectic form to $H^* \otimes L(H)$ which is isomorphic to the space of derivations $\mathrm{Der}(L(H))$. Under this identification, the subspace $D(H)$ is identified with $\mathrm{Der}_\omega(L(H)) = \{X \in \mathrm{Der}(H) \mid X\omega = 0\}$, where $\omega = \sum [p_i, q_i]$. Thus $D(H)$ is a Lie algebra with bracket coming from $\mathrm{Der}_\omega(H)$.

There is another beautiful interpretation of this Lie algebra in terms of trees:

Definition 2.2 Let $\mathcal{T}(H)$ be the vector space of univalent trees where the univalent vertices are labeled by elements of H and the trivalent vertices each have a specified cyclic order of incident half-edges, modulo the standard AS, IHX and multilinearity relations. (See Figure 2 for the multilinearity relation.) Let $\mathcal{T}_k(H)$ be the part with k trivalent vertices. Define a Lie bracket on $\mathcal{T}(H)$ as follows. Given two labeled trees t_1, t_2 , the bracket $[t_1, t_2]$ is defined by summing over joining a univalent vertex from t_1 to one from t_2 , multiplying by the contraction of the labels.

The two spaces $D_s(H)$ and $\mathcal{T}_s(H)$ are connected by a map $\eta_s: \mathcal{T}_s(H) \rightarrow H \otimes L_{s+1}(H)$ defined by $\eta_s(t) = \sum_x \ell(x) \otimes t_x$ where the sum runs over univalent vertices x , $\ell(x) \in H$ is the label of x , and t_x is the element of $L_{s+1}(H)$ represented by the labeled rooted tree formed by removing the label from x and regarding x as the root. The image of η_s is contained in $D_s(H)$ and gives an isomorphism $\mathcal{T}_s(H) \rightarrow D_s(H)$ in this characteristic 0 case; see Levine [12].

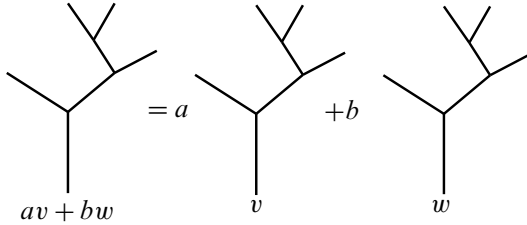


Figure 2: Multilinearity relation in $\mathcal{T}(H)$: here $a, b \in \mathbb{k}$, $v, w \in V$

Now that we understand the target of the Johnson homomorphism, we review the construction of the homomorphism itself. Let $F = \pi_1(\Sigma_{g,1})$ be a free group on $2g$ generators and given a group G , let G_k denote the k^{th} term of the lower central series $G_1 = G$ and $G_{k+1} = [G, G_k]$. The Johnson filtration

$$\text{Mod}(g, 1) = \mathbb{J}_0 \supset \mathbb{J}_1 \supset \mathbb{J}_2 \cdots$$

of the mapping class group $\text{Mod}(g, 1)$ is defined by letting \mathbb{J}_s be the kernel of the homomorphism $\text{Mod}(g, 1) \rightarrow \text{Aut}(F/F_{s+1})$. The associated graded J_s is defined by $J_s = \mathbb{J}_s/\mathbb{J}_{s+1} \otimes \mathbb{k}$. (The Johnson filtration is a central series, so that the groups J_k are abelian.) Let $J = \bigoplus_{s \geq 1} J_s$, where we refer to s as the *order* of the element.

The group commutator on $\text{Mod}(g, 1)$ induces a Lie algebra structure on J .

It is well known that $\text{Mod}(g, 1) \cong \text{Aut}_0(F)$, where

$$\text{Aut}_0(F) = \left\{ \varphi \in \text{Aut}(F) \mid \varphi \left(\prod_{i=1}^g [x_i, y_i] \right) = \prod_{i=1}^g [x_i, y_i] \right\}.$$

Definition 2.3 The (generalized) Johnson homomorphism $\tau: J \rightarrow D^+(H)$ is defined as follows. Let $\varphi \in \mathbb{J}_s$. Then φ induces the identity on $\text{Aut}(F/F_{s+1})$. Hence for every $z \in F$, $z^{-1}\varphi(z) \in F_{s+1}$, and we can project to get an element $[z^{-1}\varphi(z)] \in F_{s+1}/F_{s+2} \otimes \mathbb{k} \cong L_{s+1}(H)$. Define a map $\tau(\varphi): H \rightarrow L_{s+1}(H)$ via $z \mapsto [z^{-1}\varphi(z)]$, where z runs over the standard symplectic basis of H . By the various identifications, we can regard $\tau(\varphi)$ as being in $L \otimes L_{s+1}(H)$. The fact that φ preserves $\prod_{i=1}^g [x_i, y_i]$ ensures that $\tau(\varphi) \in D_s(H) \subset L \otimes L_{s+1}(H)$.

Proposition 2.4 (Morita) *The Johnson homomorphism $\tau: \mathcal{J} \rightarrow D^+(H)$ is an injective homomorphism of Lie algebras.*

The main object of study of this paper is *the Johnson cokernel*:

$$C_s = D_s(H)/\tau(\mathcal{J}_s).$$

More precisely, we are interested in the stable part of the cokernel and we always assume that $2g = \dim(H) \gg s$.

3 The construction

We recall from [3; 4] the definition of the hairy Lie graph complex and the trace map. The hairy graph complex $C_k\mathcal{H}(H)$ is defined as the vector space with basis given by certain types of decorated graphs modulo certain relations.

We begin by describing the generators. Start with a union of k univalent trees with specified cyclic orders at each trivalent vertex. Then join several pairs of univalent vertices by edges, called *external edges*. The univalent vertices of the trees that were not paired by edges are each labeled by an element of the vector space H . These labeled vertices correspond to what are called *hairs* in [4] and such a graph is called a *hairy graph*. Note that what we are now calling external edges are called *internal edges* in [4] to distinguish them from hairs. In the present context, “external” seems more appropriate as these edges are “external” to the trees. In what follows, we will use the graphical convention that external edges are dashed.

Hairy graphs have an *orientation*, which is defined as a bijection of the trees with the numbers 1 to k and a direction on each external edge.

The relations are

- (1) IHX within trees,
- (2) AS within trees,
- (3) multilinearity on labels of univalent vertices,
- (4) switching an edge’s direction gives a minus sign,
- (5) renumbering the trees gives the sign of the permutation.

These last two types of relations explain how changing the decorations of the graph switches the orientation. Informally $C_k\mathcal{H}(H)$ is the space you get by joining k elements

of $\mathcal{T}(H)$ by several external edges and giving the resulting object an orientation in the above sense.

The boundary operator $\partial: C_k\mathcal{H}(H) \rightarrow C_{k-1}\mathcal{H}(H)$ is defined on hairy graphs by summing over joining pairs of distinct trees along external edges. The sign and induced orientation are fixed by the convention that contracting a directed edge from tree 1 to tree 2 induces the orientation where all edge directions are unchanged, the tree formed by joining tree 1 and 2, is numbered 1 and all other tree numbers are reduced by 1.

In [4], we showed that the abelianization $D^{\text{ab}}(H)$ embeds in $H_1(\mathcal{H}(H))$ via a map which we now define. First, define an operator $T: C_k\mathcal{H}(H) \rightarrow C_k\mathcal{H}(H)$ by summing over adding an external edge to all pairs of univalent vertices of a hairy graph, fixing the direction arbitrarily and multiplying by the contraction of the two labels. Also define a natural inclusion $\iota: \bigwedge^k \mathcal{T}(H) \rightarrow C_k\mathcal{H}(H)$ by regarding $t_1 \wedge \cdots \wedge t_k$ as a union of trees with no external edges. The ordering from the wedge converts to a numbering of the trees as required for the orientation in $C_k\mathcal{H}(H)$. Now we can define the trace map from [4].

Definition 3.1 The trace map $\text{Tr}^{\text{CKV}}: \bigwedge^k \mathcal{T}(H) \rightarrow C_k\mathcal{H}(H)$ is defined as $\text{Tr}^{\text{CKV}} = \exp(T) \circ \iota$.

Unpacking the definition, the trace map Tr^{CKV} adds several external edges to a hairy graph in all possible unordered ways. In [4], Tr^{CKV} is shown to be a chain map, which is injective on homology, so induces an injection from the abelianization to $H_1(\mathcal{H}(H))$.

Now to define Tr^{C} , consider the subspace $S_2 \subset C_2\mathcal{H}(H)$ consisting of an order-1 tree (tripod) which is connected by two or three of its hairs to the other tree, or has two of its hairs joined by an edge, and the third edge is connected to the other tree. The other tree may have edges connecting it to itself.

Definition 3.2 The target of Tr^{C} is defined as $\Omega(H) = C_1\mathcal{H}(H)/(\partial(S_2) + \iota(\mathcal{T}(H)))$.

The $\iota(\mathcal{T}(H))$ term is to eliminate graphs without any edges. Notice that by definition $\Omega(H)$ surjects onto the part of $H_1(\mathcal{H}_H)$ with at least one edge. See Figure 3 for a depiction of the three types of relations coming from $\partial(S_2)$. The first kind says that an isolated loop is zero. The second kind says that one can slide a hair along an external edge. The third kind is more complicated, but does not appear until there are at least two external edges attached.

Now we have all the necessary definitions to define the new trace map:

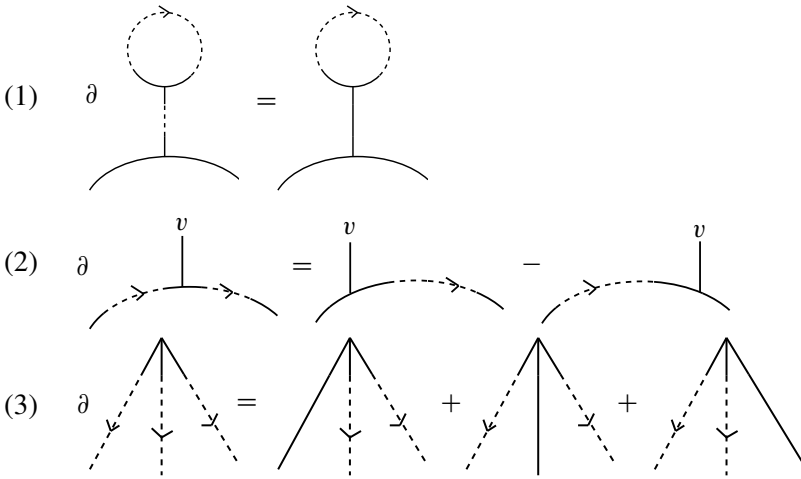


Figure 3: Relations in $\Omega(H)$

Definition 3.3 Define $\text{Tr}^C: \mathcal{T}(H) \rightarrow \Omega(H)$ by the composition:

$$\mathcal{T}(H) \xrightarrow{\text{Tr}^{\text{CKV}}} C_1\mathcal{H}(H) \longrightarrow \Omega(H)$$

$$\text{Tr}^C$$

Next we show that Tr^C is well defined on the cokernel of the Johnson homomorphism.

Theorem 3.4 The map Tr^C vanishes on the image of the Johnson homomorphism in orders greater than or equal to 2.

Proof By Hain’s theorem, it suffices to show that $\text{Tr}^C([t, X]) = 0$ if t is of order 1 and $\text{Tr}^C(X) = 0$. Indeed, we claim the formula

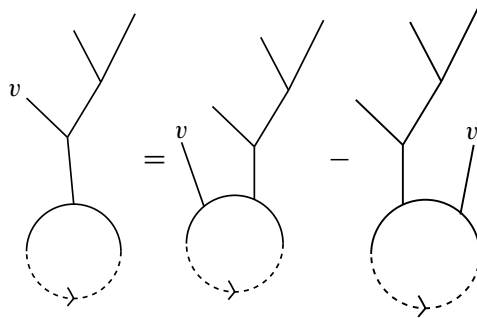
$$\text{Tr}^C[t, X] = [t, \text{Tr}^C(X)] + [\text{Tr}^C(t), X]$$

holds. Assume t and X are single trees. The terms of $\text{Tr}^C[t, X]$ come in two types. Those where the added external edges do not join t and X and those where 1 or 2 edges join t and X . In the former case, we get the $[t, \text{Tr}^C(X)] + [\text{Tr}^C(t), X]$ part we are interested in. If one edge joins t and X , we have the situation depicted in Figure 4(1). After applying the trace map, the two indicated terms differ by sliding a hair over an edge, so cancel in $\Omega(H)$. If two hairs join, we have the situation depicted in Figure 4(2), which yields the third $\partial(S_2)$ relation.

So we have shown that $\text{Tr}^C[t, X] = [t, \text{Tr}^C(X)] + [\text{Tr}^C(t), X]$. Now $\text{Tr}^C(t)$ is equal to t plus terms where one edge is added. The t is in $\iota(\mathcal{T}(H))$ and therefore is

Theorem 4.1 *There is an isomorphism $\Omega_{s,1}(H) \cong [H^{\otimes s}]_{D_{2s}}$ for $s > 1$.*

Proof Notice that $C_{1,1,s}\mathcal{H}(H)$ is spanned by trees with two univalent vertices joined by an external edge. Using IHX relations, one gets a loop with s labeled hairs attached. Thus $C_{1,1,s}\mathcal{H}(H) \cong [H^{\otimes s}]_{\mathbb{Z}_2}$ where the \mathbb{Z}_2 acts by reflecting the loop, and has sign $(-1)^{s+1}$. So it gives $v_1 \otimes \cdots \otimes v_s \mapsto (-1)^{s+1} v_s \otimes \cdots \otimes v_1$. The slide relations have the effect $v_1 \otimes \cdots \otimes v_s = v_s \otimes v_1 \otimes \cdots \otimes v_{s-1}$, giving us $[H^{\otimes s}]_{D_{2s}}$. The loop relation is a consequence of IHX and slide relations if $s > 1$:



Here any tree can, by IHX, be converted into one of the form $[v, X]$, where $v \in H$, so the picture is sufficiently general. Then the last two terms cancel by a slide relation. \square

Next we show that Tr^C projected to $\Omega_{s,1}(H)$ coincides with the ES-trace. First we show that it possesses an additional \mathbb{Z}_2 -symmetry.

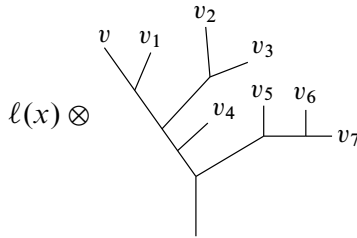
Theorem 4.2 (1) *Define $b: H^{\otimes s} \rightarrow H^{\otimes s}$ by $b(v_1 \otimes \cdots \otimes v_s) = (-1)^{s+1} v_s \otimes \cdots \otimes v_1$. Then $\text{Tr}^{\text{ES}}: D_s(H) \rightarrow [H^{\otimes s}]_{\mathbb{Z}_s}$ satisfies $b \text{Tr}^{\text{ES}} = \text{Tr}^{\text{ES}}$. Therefore, without loss of information, Tr^{ES} takes values in $[H^{\otimes s}]_{D_{2s}}$.*

(2) *The following diagram commutes:*

$$\begin{array}{ccc}
 \mathcal{T}_s(H) & \xrightarrow{\text{Tr}^C} & \Omega(H) \\
 \downarrow \eta & & \downarrow \\
 D_s(H) & \xrightarrow{\frac{1}{2} \text{Tr}^{\text{ES}}} & [H^{\otimes s}]_{D_{2s}}
 \end{array}$$

Proof We use the isomorphism $\eta: \mathcal{T}_s(H) \rightarrow D_s(H)$. Let $t \in \mathcal{T}_s(H)$ be a labeled tree, and consider $\eta(t) = \sum_x \ell(x) \otimes t_x$. We think of this as a sum of choosing a root for the tree and remembering the label of the root. Satoh’s trace map [18] is defined by the embeddings $D_s(H) \hookrightarrow H \otimes L_{s+1}(H) \hookrightarrow H \otimes H^{\otimes s+1}$ and then contracting the first two terms to end up in $H^{\otimes s}$. Fix a univalent vertex x . Consider what happens if we

focus on contracting $\ell(x)$ with a label on a fixed univalent vertex of t_x , say v . We can rearrange t_x so that v is leftmost, as in the following picture:



Since we are concentrating on contracting with v , we collect all terms in $H^{\otimes(s+1)}$ where v is first. That means that using the relation

$$\begin{array}{c} X \quad Y \\ \diagdown \quad / \\ \text{---} \\ \diagup \quad \diagdown \\ \end{array} = X \otimes Y - Y \otimes X,$$

the trees growing off of the arc joining v and the root are expanded in the same order they appear. So for example in the picture above we get $\ell(x) \otimes v v_1[v_2, v_3]v_4[v_5[v_6, v_7]]$ which contracts to $\langle \ell(x), w \rangle v_1[v_2, v_3]v_4[v_5[v_6, v_7]]$. This is the same element of $H^{\otimes s}$ you would get by adding an edge joining x and the vertex labeled w and read off the word around the cycle running along the direction of the added edge, using the fact that IHX relations near the cycle translate to $[X, Y] = XY - YX$. Thus $\text{Tr}^{\text{ES}} \eta(t)$ can be regarded as summing over adding a directed edge between two leaves of the tree, and reading off the resulting word as you run around the cycle. The extra \mathbb{Z}_2 symmetry comes from the fact that you join two vertices once by an edge running in one direction and once with an edge running in the opposite direction. This reverses the word, and yields a sign of $(-1)^{s+1}$. (One sign for flipping the order of contraction, and s signs for the s trivalent vertices of the tree.) This discussion also shows that $\text{Tr}^{\text{ES}} \eta(t)$ is the same as the 1-edge part of Tr^{C} . The factor of two arises because we only add one edge for every pair of vertices instead of 2. □

5 Surjectivity onto a large submodule of $\Omega(H)$

We begin by defining an analogue of the hairy graph complex and target space $\Omega(H)$ where there is a given bijection from the hairs to $\{1, \dots, s\}$ as opposed to a labeling of the hairs by vectors.

Definition 5.1 (1) Let $C_k \mathcal{H}[s]$ be the space defined analogously to $C_k \mathcal{H}(H)$, but instead of labeling the hairs by vectors in H , there are s hairs and a fixed

bijection from these hairs to $1, \dots, s$. The relations are all the same, except there is no multilinearity. Then $C_k \mathcal{H}[s]$ is an \mathbb{S}_s -module.

- (2) Similarly define $S_2[s] \subset C_2 \mathcal{H}[s]$ to be spanned by tripods connected to another tree, by two or three hairs, as well as tripod with a self-loop connected to a tree.
- (3) $\Omega[s]$ is defined to be $C_1 \mathcal{H}[s]/(\partial S_2[s] + (\text{trees with no external edges}))$.

Notice that we have $C_k \mathcal{H}[s] \otimes_{\mathbb{S}_s} H^{\otimes s} = \bigoplus_r C_{k,r,s} \mathcal{H}(H)$, and $\Omega[s] \otimes_{\mathbb{S}_s} H^{\otimes s} = \bigoplus_r \Omega_{s,r}(H)$.

Recall that $H^{(s)} \subset H^{\otimes s}$ is the intersection of the kernels of all pairwise contractions $H^{\otimes s} \rightarrow H^{\otimes(s-2)}$. Given any partition λ of s we recall the following.

Remark 5.2 We have

- (1) $[\lambda]_{\mathbb{S}_s} \otimes_{\mathbb{S}_s} H^{\otimes s} \cong [\lambda]_{\text{GL}}$,
- (2) $[\lambda]_{\mathbb{S}_s} \otimes_{\mathbb{S}_s} H^{(s)} \cong [\lambda]_{\text{Sp}}$,

for $\dim(H)$ large enough compared to s . (See the textbook of Fulton and Harris [7] for proofs of these facts.)

Definition 5.3 Define a new complex

$$C_k \mathcal{H}\langle H \rangle = \bigoplus_s C_k \mathcal{H}[s] \otimes_{\mathbb{S}_s} H^{(s)},$$

and a new space

$$\Omega\langle H \rangle = \bigoplus_s \Omega[s] \otimes_{\mathbb{S}_s} H^{(s)}.$$

By [7], $H^{\otimes s}$ decomposes as a direct sum of Sp -modules, including $H^{(s)}$, in a natural way, so there is a projection $H^{\otimes s} \rightarrow H^{(s)}$. This gives projections $\pi: C_k \mathcal{H}(H) \twoheadrightarrow C_k \mathcal{H}\langle H \rangle$ and $\pi: \Omega(H) \twoheadrightarrow \Omega\langle H \rangle$.

The following theorem is a consequence of a more general theorem of [3].

Theorem 5.4 (Conant, Kassabov and Vogtmann) *For $\dim H$ large enough compared to s ,*

$$\pi \circ \text{Tr}^{\text{CKV}}: \mathcal{T}_s(H) \rightarrow \bigoplus_r C_{1,r,s} \mathcal{H}\langle H \rangle$$

is an isomorphism.

Corollary 5.5 *The composition $\pi \circ \text{Tr}^{\text{C}}: \mathcal{T}_s(H) \rightarrow \Omega_s\langle H \rangle$ is an epimorphism.*

Proof Consult the commutative diagram

$$\begin{array}{ccc}
 \mathcal{T}_s(H) & \xrightarrow{\text{Tr}^{\text{CKV}}} & \bigoplus_r C_{1,r,s} \mathcal{H}(H) \xrightarrow{\pi} \bigoplus_r C_{1,r,s} \mathcal{H}\langle H \rangle \\
 & \searrow^{\cong (\text{Theorem 5.4})} & \downarrow \qquad \qquad \downarrow \\
 & & \bigoplus_r \Omega_{s,r}(H) \xrightarrow{\pi} \Omega\langle H \rangle
 \end{array}$$

to complete the proof. □

Corollary 5.6 *In particular Tr^{ES} surjects onto $\Omega_{s,1}\langle V \rangle \cong [H^{(s)}]_{D_{2s}}$.*

Also note that by the above remark if $\Omega_{s,r}(H) = \bigoplus_{\lambda} m_{\lambda}[\lambda]_{\text{GL}}$, then $\Omega_{s,r}\langle H \rangle = \bigoplus_{\lambda} m_{\lambda}[\lambda]_{\text{Sp}}$, so the $\text{GL}(H)$ -representation theory for $\Omega(H)$ determines the $\text{Sp}(H)$ representation theory for $\Omega\langle H \rangle$.

6 Presentation for $\Omega_{s,2}(H)$

To set up the main theorem of this section let $T(H)$ be the tensor (free associative) algebra and $T^+(H)$ the positive degree part of it. $T(H)$ is a Hopf algebra with antipode $S: T(H) \rightarrow T(H)$ defined by $S(v_1 \cdots v_k) = (-1)^k v_k \cdots v_1$ for $v_i \in H$. For an index set $I = \{i_1, \dots, i_k\}$, let $v_I = v_{i_1} \cdots v_{i_k}$. The coproduct $\Delta: T(H) \rightarrow T(H) \otimes T(H)$ is defined by

$$\Delta(v_K) = \sum_{K=I \cup J} v_I \otimes v_J,$$

where the sum is over all partitions of K into two disjoint sets I and J . Let $m: T(H) \otimes T(H) \rightarrow T(H)$ be the multiplication operator.

In this section we prove the following theorem:

Theorem 6.1 *We have*

$$\bigoplus_{s \geq 0} \Omega_{s,2}(H) \cong [T^+(H) \otimes T^+(H)]_{\mathbb{Z}_2 \times \mathbb{Z}_2} / \text{Rel},$$

where the $\mathbb{Z}_2 \times \mathbb{Z}_2$ acts via $v_I \otimes w_J \mapsto S(v_I) \otimes S(w_J)$ and $v_I \otimes w_J \mapsto S(w_J) \otimes S(v_I)$. The relations Rel are of the form

- (1) $[v_0, v_I] \otimes w_J + v_I \otimes [v_0, w_J] = 0$ where $v_0 \in H$,
- (2) $(S \otimes m)(\Delta \otimes \text{id})(v_I \otimes w_J) + v_I \otimes w_J + (m \otimes S)(\text{id} \otimes \Delta)(v_I \otimes w_J) = 0$.

Proof As in the case of $\Omega_{s,1}$ we can apply IHX relations so that we have a trivalent core graph with hair attached. So we have a univalent tree with all of its univalent vertices joined by external edges in pairs, and to which s hairs are attached. By IHX relations we can move the hair to the edges of the tree that attach to the external edges, and by slide relations we can assume that the hairs are all attached on one side of the external edge. Thus we have two types of generators as depicted in Figure 5. The subscript e stands for “eyeglasses” and the subscript t stands for “theta.”

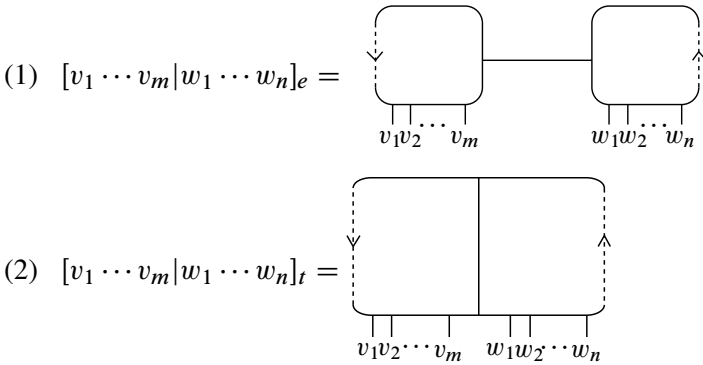


Figure 5: Generators of $\Omega_{s,2}$ where $m + n = s$

By multilinearity, we may extend the symbols $[x|y]_{e,t}$ to any x, y in the tensor algebra $T(H)$. Symmetries of the graphs give rise to the following relations, using the sliding relations to move hairs back to the bottom of the picture (for notational convenience, let $\bar{v}_I = S(v_I)$):

- (S1) $[v_I | w_J]_e = [\bar{v}_I | w_J]_e$.
- (S2) $[v_I | w_J]_e = [\bar{w}_J | \bar{v}_I]_e$.
- (S3) $[v_I | w_J]_t = [\bar{v}_I | \bar{w}_J]_t$.
- (S4) $[v_I | w_J]_t = [\bar{w}_J | \bar{v}_I]_t$.

The loop relation gives us (using IHX)

(L) $[|w_J]_t = [|w_J]_e = 0$.

The IHX relation has two effects. (IHX1) relates the theta graph and eyeglass graph. However, we also used IHX to push hairs to be near the external edge, and the ambiguity of where to push a hair labeled v_0 gives (IHX1) below:

- (IHX1) $[v_I v_0 | w_J] - [v_I | v_0 w_J] - [v_0 v_I | w_J] + [v_I | w_J v_0] = 0$ (e or t) $\deg(v_0) = 1$.
- (IHX2) $[v_I | w_J]_e = [v_I | w_J]_t + [\bar{v}_I | w_J]_t$.

Finally the boundary of a tripod with three incident edges yields

$$(TRI) \text{ then } \sum_{I \cup J = K} [\bar{v}_I | v_J w_L]_t + [v_K | w_L]_t + \sum_{I \cup J = L} [v_K \bar{w}_I | w_J]_t = 0.$$

To see this consider Figure 6. A boundary is shown in (1). To move the hair off of the left edge of the first summand, we repeatedly use the IHX relation shown in (2), to iteratively build up the terms described in (3).

Using (IHX2) we can express everything in terms of the t generators. (S1) and (S2) are consistent with (S3) and (S4), so we are left with relations (S3), (S4), (L), (IHX1) and (TRI). Interpreting $[v_I | w_J] \in T(H) \otimes T(H)$ gives the theorem. \square

Computer calculations using this presentation yield the following results:

Theorem 6.2 For $s \leq 5$, $\Omega_{s-2,2}(H) = \Omega_{s-2,2}\langle H \rangle = 0$, we have:

- (1) $\Omega_{4,2}\langle H \rangle \cong [1^4]_{Sp} \oplus [31]_{Sp}$, yielding representations in C_6 .
- (2) $\Omega_{5,2}\langle H \rangle \cong 2[31^1]_{Sp} \oplus [2^2 1]_{Sp} \oplus [21^3]_{Sp}$, yielding representations in C_7 .
- (3) $\Omega_{6,2}\langle H \rangle \cong [1^6] \oplus 2[51] \oplus 3[42] \oplus [3^2] \oplus 3[321] \oplus 2[2^3] \oplus 2[2^2 1^2] \oplus 2[21^5] \oplus [1^6]$, yielding representations in C_8 .

7 Representation theory of $[H^{(s)}]_{D_{2s}}$

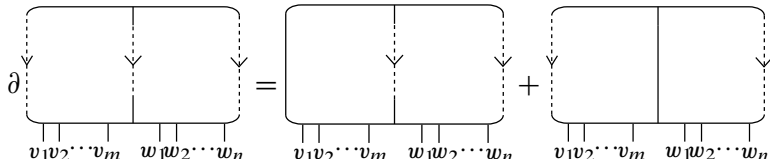
In this section we analyze the Sp -representation theory of $[H^{(s)}]_{D_{2s}}$, which is the same as the GL -representation theory of $[H^{\otimes s}]_{D_{2s}}$, which can be analyzed via classical Schur–Weyl duality and character theory. Hand calculations with characters yield the following results for low s .

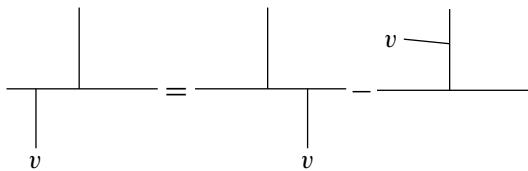
Theorem 7.1 (1) We have $[H^{(4)}]_{D_8} \cong [21^2]_{Sp}$, which picks up the $[21^2]_{Sp} \in C_4$ found by Morita.

- (2) We have $[H^{(5)}]_{D_{10}} \cong [5]_{Sp} \oplus [32]_{Sp} \oplus [2^2 1]_{Sp} \oplus [1^5]_{Sp}$. This picks up all of the size 5 Sp -representations in C_5 .
- (3) We have $[H^{(6)}]_{D_{12}} \cong [3^2]_{Sp} \oplus 2[41^2]_{Sp} \oplus [321]_{Sp} \oplus [31^3]_{Sp} \oplus [2^2 1^2]_{Sp}$. Comparing this to computer calculations of C_6 due to Morita, Sakasai and Suzuki [15], this picks up all size 6 representations in C_6 .

These calculations are suggestive of the following (somewhat optimistic) conjecture:

Conjecture 7.2 All representations of size s in C_s are contained in $[H^{(s)}]_{D_{2s}}$.

(1) 

(2) 

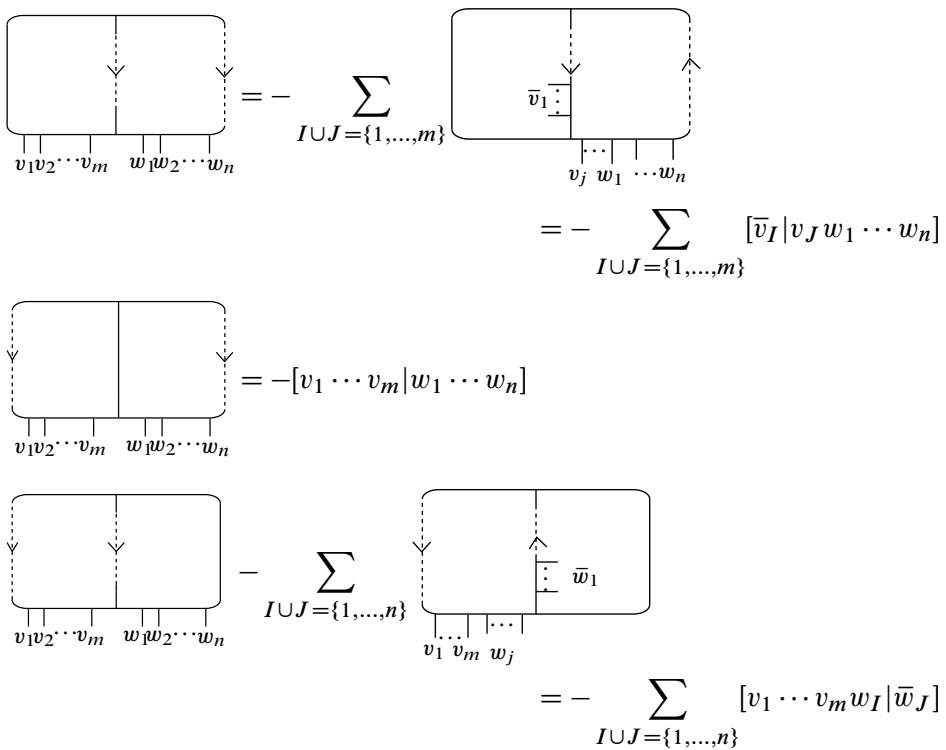
(3) 

Figure 6: Deriving the TRI relation

In the next theorem we analyze the 4 representations of lowest complexity, showing that we pick up the Enomoto and Satoh and Morita representations.

Theorem 7.3 (1) *The representations $[1^s]_{\text{Sp}}$ only occur when $s = 4m + 1$, and in that case with multiplicity one. These are the Enomoto–Satoh terms contained in $[H^{(4m+1)}]_{D_{2(4m+1)}}$.*

(2) *The representations $[s]_{\text{Sp}}$ only occur when $s = 2m + 1$, and in that case with multiplicity one. These are the Morita terms contained in $[H^{(2m+1)}]_{D_{2(2m+1)}}$.*

(3) *The representations $[s - 1, 1]_{\text{Sp}}$ and $[2, 1^{s-2}]_{\text{Sp}}$ do not occur in $[H^{(s)}]_{D_{2s}}$.*

Proof For the first statement, it suffices to examine the multiplicity of $[1^s]_{\text{GL}}$ contained in $[H^{\otimes(s)}]_{D_{2s}}$. Let a, b be generators of D_{2s} . Then

$$a \cdot (x_1 \wedge \cdots \wedge x_s) = x_2 \wedge \cdots \wedge x_s \wedge x_1 = (-1)^{s-1} x_1 \wedge \cdots \wedge x_s,$$

$$b \cdot (x_1 \wedge \cdots \wedge x_s) = (-1)^{s+1} x_s \wedge \cdots \wedge x_1 = (-1)^{s+1+\lfloor s/2 \rfloor} x_1 \wedge \cdots \wedge x_s.$$

So we need $s - 1$ and $s + 1 + \lfloor s/2 \rfloor$ both even, which occurs if and only if $s = 4m + 1$.

The second statement is proven similarly.

For the third statement, one considers the exact sequences

$$0 \rightarrow [s - 1, 1]_{\text{GL}} \rightarrow S^{s-1}(H) \otimes H \rightarrow S^s(H) \rightarrow 0,$$

$$0 \rightarrow [2, 1^{s-2}]_{\text{GL}} \rightarrow \bigwedge^{s-1}(H) \otimes H \rightarrow \bigwedge^s(H) \rightarrow 0,$$

checking that the D_{2s} coinvariants of $S^{s-1}(H) \otimes H$ and $\bigwedge^{s-1}(H) \otimes H$ coincide with those of $S^s(H)$ and $\bigwedge^s(H)$ respectively. □

Next we prove a convenient proposition which is instrumental in calculating the D_{2s} coinvariants of a representation $[\lambda]_{D_{2s}}$.

Proposition 7.4 *In the untwisted case, the coinvariants $([\lambda]_{\mathbb{S}_s})_{D_{2s}}$ have dimension*

$$\frac{1}{2s} \sum_{g \in D_{2s}} \chi_\lambda(g),$$

where χ_λ is the character for $[\lambda]_{\mathbb{S}_s}$. In the case where D_{2s} acts with the \mathbb{Z}_2 twist, the dimension is

$$\frac{1}{2s} \sum_{g \in D_{2s}} \sigma(g) \chi_\lambda(g),$$

where $\sigma: D_{2s} \rightarrow \{\pm 1\}$ maps $a \mapsto 1, b \mapsto -1$.

Proof Given a character χ for the dihedral group, define $\int \chi = \frac{1}{2s} \sum_{g \in D_{2s}} \chi(g)$. Consulting the character tables for the dihedral group (see James and Liebeck [9, Section 18.3]), for each irreducible character χ , we have

$$\int \chi = \begin{cases} 1 & \text{if } \chi \text{ is the character for the trivial representation,} \\ 0 & \text{otherwise.} \end{cases}$$

So decomposing $[\lambda]_{S_s}$ as a direct sum of irreducible D_{2s} -modules, and writing the character χ_λ as a sum of the corresponding dihedral characters, the result follows. The twisted case follows by a similar analysis. \square

It is a remarkable fact that for symmetric group elements σ with large support, $\chi_\lambda(\sigma) \ll \chi_\lambda(1)$ (see eg Roichman [17] and Larsen and Shalev [11]). Since elements of the dihedral group fix at most two points, this implies that the multiplicities of the D_{2n} coinvariants appearing in the previous proposition are approximately $\frac{1}{2n} \chi_\lambda(1) = \frac{1}{2n} \dim([\lambda]_{S_n})$. For “most” λ , we have $\dim[\lambda]_{S_n} \gg 2n$, and so for such representations $[\lambda]_{S_n}$ appears in $[H^{(n)}]_{D_{2n}}$ and thus in C_n . This heuristic argument can be made precise by examining the actual constants involved in the estimates, constructing infinite families of nonzero representations.

As an exercise we work out the exact multiplicities in a couple of different cases. Similar calculations appear in [5].

Theorem 7.5 *Let $p \geq 3$ be prime. Let $\alpha_k = \binom{p}{k} - \binom{p}{k-1}$. If $k > 1$ is odd, then $[k, p-k]_{Sp}$ appears with multiplicity $\alpha_k/(2p)$ in C_p . If $k = 2m$, let $\beta_m = \binom{(p-1)/2}{m} - \binom{(p-1)/2}{m-1}$. Then $[k, p-k]_{Sp}$ appears with multiplicity $(\alpha_{2m} + \beta_m)/2$ in C_p .*

Proof Given the partition $\lambda = (k, p-k)$, it is easy to calculate $\int \chi$ using the Frobenius character formula. The values of the character on the conjugacy classes $1, a^r, b$ are

$$\begin{aligned} \chi_\lambda(1) &= \binom{p}{k} - \binom{p}{k-1}, \\ \chi_\lambda(a^r) &= \begin{cases} -1 & k = 1, \\ 0 & k \geq 2, \end{cases} \\ \chi_\lambda(b) &= \begin{cases} 0 & k \text{ odd,} \\ \binom{(p-1)/2}{m} - \binom{(p-1)/2}{m-1} & k = 2m. \end{cases} \end{aligned}$$

Then $\int \chi_\lambda = \frac{1}{2p}(\chi_\lambda(1) + (p-1)\chi_\lambda(a^r) + p\chi_\lambda(b))$, which yields the multiplicities stated in the theorem. \square

In the next theorem, we consider order $2p$ where p is prime in order to pick up some even-order representations. Again, for simplicity we restrict to 2 rows.

Theorem 7.6 *Let $p \geq 3$ be prime. For $1 < k \leq p$, the representation $[2p - k, k]_{\mathbb{S}p}$ appears in C_{2p} with multiplicity*

$$\frac{1}{4p} \left[\binom{2p}{k} - \binom{2p}{k-1} + (-1)^k (p+1) \binom{p}{m} - p \binom{p-2}{m} + p \binom{p-2}{m-1} + 2(p-1) \delta_{p,k} \right],$$

where $m = \lfloor (k/2) \rfloor$, and $\delta_{p,k}$ is equal to 0 unless $p = k$, in which case it is 1.

Proof As in the proof of [Theorem 7.5](#), we calculate $\frac{1}{2(2p)} \sum_{g \in D_{2(2p)}} \sigma(g) \chi_\lambda(g)$. The conjugacy classes for D_{2p} and their sizes are written down in [Table 1](#). The dimensions of the $D_{2(2p)}$ coinvariants are then

$$\frac{1}{4p} (\chi_\lambda(1) + \chi_\lambda(a^p) + (p-1)\chi_\lambda(a^{2r+1}) + (p-1)\chi_\lambda(a^{2r}) - p\chi_\lambda(b) - p\chi_\lambda(ab)).$$

On the symmetric group side, we need to compute χ_λ for conjugacy classes of $1, a, a^2, a^p, b, ab$ where 1 has $2p$ fixed points, a has 1 $2p$ -cycle, a^2 has 2 p -cycles, a^p has p 2-cycles, b has p 2-cycles and ab has $p-2$ 2-cycles and 2 fixed points. Using the Frobenius character formula, we get the values in the chart. \square

element of $D_{2(2p)}$	1	a^p	a^r , r odd	a^r , r even	b	ab
size of conjugacy class	1	1	$p-1$	$p-1$	p	p
$\chi[2p-1,1]$	$2p-1$	-1	-1	-1	-1	1
$\chi[2p-2m,2m]$	$\binom{2p}{2m} - \binom{2p}{2m-1}$	$\binom{p}{m}$	0	0	$\binom{p}{m}$	$\binom{p-2}{m} - \binom{p-2}{m-1}$
$\chi[2p-2m-1,2m+1]$	$\binom{2p}{2m+1} - \binom{2p}{2m}$	$-\binom{p}{m}$	0	0	$-\binom{p}{m}$	$\binom{p-2}{m} - \binom{p-2}{m-1}$
$\chi[p,p]$	$\binom{2p}{p} - \binom{2p}{p-1}$	$-\binom{p}{m}$	0	2	$-\binom{p}{m}$	$\binom{p-2}{m} - \binom{p-2}{m-1}$

Table 1: Characters for $[2p - k, k]_{\mathbb{S}p}$ evaluated on conjugacy classes of $D_{2(2p)}$: in the last row, suppose $p = 2m + 1$.

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