WEGNER ESTIMATE FOR A NONSIGN DEFINITE GENERALIZED ALLOY TYPE POTENTIAL

JYUNICHI TAKAHARA

(Received February 14, 2012, revised December 28, 2012)

Abstract

P.D. Hislop and F. Klopp proved a Wegner estimate for Schrödinger operators with nonsign definite potentials for each fixed position of impurities [12]. In this paper, a similar estimate is proven treating also the position of impurities as random variables.

1. Introduction

In this paper, we will give a Wegner estimate for a Schrödinger operator,

(1.1)
$$H^{\omega} := H_0 + V^{\omega}(x)$$
 with $V^{\omega}(x) := \sum_{i \in \mathbb{N}} f_i^{\omega_1} u(x - \xi_i^{\omega_2})$ and $H_0 = -\Delta$,

where *u* is a continuous function with a compact support and does not have a fixed sign, $\{f_i^{\omega_1}, i \in \mathbf{N}, \omega_1 \in \Omega_1\}$ on some probability space $(\Omega_1, F_1, \mathbf{P}_1)$ are independently and identically distributed random variables with the probability density function $h_0 \in C_0^1$ such that $\mathbf{P}_1(f_i^{\omega_1} \in d\lambda_i) = h_0(\lambda_i) d\lambda_i$ satisfying supp $h_0 = [m, m']$, and $\{\xi_i^{\omega_2}, i \in \mathbf{N}, \omega_2 \in \Omega_2\}$ on some probability space $(\Omega_2, F_2, \mathbf{P}_2)$ is a Poisson point process independent of $\{f_i^{\omega_1}\}$ with the Lebesgue measure as its intensity. We write $\omega = (\omega_1, \omega_2)$. For any $y \in \mathbf{R}^d$ and L > 0, we set $\Lambda_L(y) = \{x \in \mathbf{R}^d : |x_i - y_i| < L/2 \text{ for } 1 \le i \le d\}$ and $\Lambda_L := \Lambda_L(0)$. For simplicity we assume supp $u \subset \Lambda_a$ and $||u||_{\infty} = 1$, where a > 0. As in [2, 22], we can prove the essential self-adjointness and the measurability in ω of the Schrödinger operator H^{ω} , and that the spectrum $\sigma(H^{\omega}) = \mathbf{R}$ for almost all ω . In the rest of this paper we denote the unique self-adjoint extension by the same symbol H^{ω} .

We consider the approximation of H^{ω} defined by the self-adjoint operator

$$H^{\omega}_{\Lambda_L} := H_0 + V_{\Lambda_L}, \quad V_{\Lambda_L} := \sum_{i:\xi_i^{\omega_2} \in \Lambda_L} f_i^{\omega_1} u(x - \xi_i^{\omega_2})$$

on $L^2(\mathbf{R}^d)$ following Klopp [16] and Hislop and Klopp [12].

The main theorem of this paper is the following:

²⁰¹⁰ Mathematics Subject Classification. Primary 82B44; Secondary 35R60, 47B80, 47N50, 82B05.

Theorem 1.1. For any $\delta > 0$, q > 1 and arbitrarily small $\zeta > 0$, there exists a finite positive constant $C_{q,\delta,\zeta}$ such that

(1.2)
$$\mathbf{P}\{\operatorname{dist}(\sigma(H_{\Lambda_L}), E_0) \le \eta\} \le C_{q,\delta,\zeta} L^{(1+\zeta)d} \eta^{1/q},$$

for L > 0, $E_{0<0}$ and $\eta > 0$ satisfying $\eta < \delta/4$ and $E_0 + \eta < -\delta$.

REMARK 1. We can prove Theorem 1.1 for $H_0 = -\Delta + V$, where V is a periodic non-random potential. However in this paper, we will assume V = 0 for simplicity.

REMARK 2. We can extend Theorem 1.1 for more general distributions of impurities' positions $\{\xi_i^{\omega_2}\}$ (see Remark 5, 6 below).

REMARK 3. The initial length scale estimate, which is an important estimate together with a Wegner estimate to prove the Anderson localization, holds in this case as in [22].

As one of the applications, we obtain the strong Hilbert–Schmidt dynamical localization which is deduced in the same way as [22] based on the main theorem.

Corollary 1.1. There exists $E_0 < 0$ such that

$$\mathbf{E}\left[\sup_{t}\|\|x\|^{r}e^{-itH^{\omega}}\mathbf{P}^{\omega}(I)\chi_{0}\|\|_{2}^{2}\right]<\infty$$

for any r > 0 and any compact interval I satisfying $\sup I \leq E_0$.

The estimate

(1.3)
$$\mathbf{P}\{\operatorname{dist}(\sigma(H_{\Lambda_{I}}), E_{0}) \leq \eta\} \leq C L^{Ad} \eta^{B},$$

with $A \ge 1$ and $B \le 1$ as (1.2) is called a Wegner estimate. From the fact that \mathbf{P} {dist($\sigma(H_{\Lambda_L}), E_0$) $\le \eta$ } is dominated by $\mathbf{E}[\#\{\sigma(H_{\Lambda_L}) \cap [E_0 - \eta, E_0 + \eta]\}]$ and $\mathbf{E}[\#\{\sigma(H_{\Lambda_L}) \cap [E_0 - \eta, E_0 + \eta]\}]/L^d$ converges to the density of states (DS) as $L \to \infty$, we expect that A = B = 1 are the best exponents.

Wegner [24] firstly obtained this estimate for the Anderson model. After that the estimate (1.3) with general exponents A and B is applied to the proof of the Anderson localization [6, 8, 21].

There had been many prior results on a Wegner estimate for multidimensional and continuous Schrödinger operators with Anderson-type random potentials whose positions corresponding to $\xi_i^{\omega_2}$ in equation (1.1) were fixed on the lattice [17, 3, 13, 21]. Among them, Combes, Hislop and Nakamura obtained a bound as (1.3) with A = 1 and B < 1

which is arbitrarily close to 1 for the Schrödinger operators with the Anderson-type positive potentials by the method of the spectral shift function [5]. Kirsch and Veselić used this method to prove a bound as (1.3) with A = 1 and B arbitrarily close to 1 for the negative potentials called generalized alloy type potentials where the positions of the impurities were fixed randomly on \mathbf{R}^d [14]. With the method of [14], the author proved a bound as (1.3) with A = 2 and B arbitrarily close to 1 at negative energies for Schrödinger operators with negative potentials V^{ω} under the conditions that $f_i^{\omega_1} \in [0, 1]$ and u is negative and, showed the results of the localization [22]. The exponent A in [22] can be taken arbitrarily nearly to 1 by the method in [22]. These results on a Wegner estimate were obtained by the property that the eigenvalues monotonously depended on the coupling constants. Therefore, this method needs the property that a single-site potential has the definite sign. We would like to drop this condition for this paper.

In 2002, Hislop and Klopp obtained a bound as (1.3) with A = 1 and B arbitrarily close to 1 for the nonsign definite Anderson-type potentials using Klopp's vector field method [16] and the spectral shift function method [12]. Applying their method and [14], we obtain Theorem 1.1, which is a bound as (1.3) with A and B arbitrarily close to 1. Theorem 1.1 may not have the optimal exponents of L and η for the Wegner estimate. However, this is the best result that we are able to derive up to now. In 2007, Germinet, Hislop and Klein proved the localization for a sign definite Poisson potential model without $f_i^{\omega_1}$, which is more difficult problem than ours. The inequality corresponding to the Wegner estimate for their proof of the localization is restricted to $\eta \sim L^{-L^{\varrho}}$, for that reason the exponent A depends on L [9, 10].

REMARK 4. The best estimate of Wegner-type for continuous Schrödinger operators with Anderson-type random potentials was proved by Combes, Hislop and Klopp with A = 1 and $B \le 1$ [4]. This estimate was obtained by the method of the spectral averaging mainly for Schrödinger operators with a nonnegative single-site potential. It seems to be very difficult to extend this result to a nonsign definite single-site potential with a randomly distributed position,

Corollary 1.1 is obtained for the first time by treating the positions ξ of the singlesite potentials as random variables. This is the different point from [14].

2. The proof of the main theorem

In this section, we will prove a Wegner estimate, Theorem 1.1, using the method in [12].

The resolvent $R_{\Lambda_L}(E_0, \omega) := (H^{\omega}_{\Lambda_L} - E_0)^{-1}$ is written as

$$R_{\Lambda_I}(E_0,\omega) = (H_0 - E_0)^{-1/2} (1 + \Gamma_{\Lambda_I}(E_0,\omega))^{-1} (H_0 - E_0)^{-1/2},$$

where $\Gamma_{\Lambda_L}(E,\omega)$ is a compact operator defined by $(H_0-E)^{-1/2}V_{\Lambda_L}(H_0-E)^{-1/2}$. Then,

using the inequality $||R_{\Lambda_L}(E_0, \omega)|| \le \delta^{-1} ||(1 + \Gamma_{\Lambda_L}(E_0, \omega))^{-1}||$, we have

$$\mathbf{P}\{\operatorname{dist}(\sigma(H_{\Lambda_L}), E_0) \leq \eta\} \leq \mathbf{P}\left\{\operatorname{dist}(\sigma(\Gamma_{\Lambda_L}(E_0, \omega)), -1) \leq \frac{\eta}{\delta}\right\}.$$

Consequently, we have only to show

$$\mathbf{P}\{\operatorname{dist}(\sigma(\Gamma_{\Lambda_L}(E_0,\,\omega)),\,-1)\leq\kappa\}\leq C_{q,\delta,\zeta}L^{(1+\zeta)d}\eta^{1/q},$$

where $\kappa := \eta/\delta$.

We now apply Chebyshev's inequality,

(2.1)
$$\mathbf{P}\left\{\operatorname{dist}(\sigma(\Gamma_{\Lambda_{L}}(E_{0},\omega)),-1)\leq\frac{\eta}{\delta}\right\}=\mathbf{P}[\operatorname{Tr}(P_{\Lambda_{L}}^{\omega}(I_{\kappa}))\geq1]\leq\mathbf{E}[\operatorname{Tr}(P_{\Lambda_{L}}^{\omega}(I_{\kappa}))],$$

where $P_{\Lambda_{I}}^{\omega}$ denotes the spectral projection of $\Gamma_{\Lambda_{L}}(E_{0}, \omega)$ and $I_{\kappa} := [-1 - \kappa, -1 + \kappa]$.

We will at first estimate the expectation of the right hand side of (2.1) with respect to the randomness of ω_1 . This estimate holds for any point processes. Then we will calculate its expectation with respect to the randomness of ω_2 , only which Poisson process affects (see (2.9)).

We define \mathbf{E}^{ω_1} as the expectation with respect to the randomness of ω_1 and $\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)$ as $\Gamma_{\Lambda_L}(E_0, \omega)$ in which $f_i^{\omega_1}$ for any $i \in \{i \in \mathbf{N} : \xi_i^{\omega_2} \in \Lambda_L\}$ is replaced by λ_i for any $\lambda = (\lambda_i)_{i: \xi_i^{\omega_2} \in \Lambda_I} \in [m, m']^{\#\{i:\xi_i^{\omega_2} \in \Lambda_L\}}$. By the method in [12], we have

(2.2)
$$\mathbf{E}^{\omega_{1}}[\operatorname{Tr} P_{\Lambda_{L}}^{\omega}(I_{\kappa})] \leq \mathbf{E}^{\omega_{1}}\left\{\int_{-3\kappa/2}^{3\kappa/2} \frac{d}{dt}\operatorname{Tr}[\rho(\Gamma_{\Lambda_{L}}(E_{0},\omega)+1-t)]dt\right\} = \prod_{l} \int_{m}^{m'} h_{0}(\lambda_{l}) d\lambda_{l}\left\{\int_{-3\kappa/2}^{3\kappa/2} \frac{d}{dt}\operatorname{Tr}[\rho(\Gamma_{\Lambda_{L}}(E_{0},\lambda,\omega_{2})+1-t)]dt\right\},$$

where ρ is a nonnegative, smooth, monotone decreasing function such that $\rho(x) = 1$ for $x < -\kappa/2$ and $\rho(x) = 0$ for $x \ge \kappa/2$.

Since supp ρ is included in $(-\infty, \kappa/2]$, $\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)$ of the right hand side of (2.2) is restricted to the spectral subspace where the operator is smaller than $(-1+2\kappa)$ which is negative. Therefore, noting that ρ' is negative,

(2.3)
$$\frac{d}{dt} \operatorname{Tr}[\rho(\Gamma_{\Lambda_{L}}(E_{0},\lambda,\omega_{2})+1-t)] = \sum_{j: E_{j}\in[-1-2\kappa,-1+2\kappa]} \frac{d}{dt} \rho(E_{j}+1-t)$$
$$\leq \sum_{j:E_{j}\in[-1-2\kappa,-1+2\kappa]} \frac{-E_{j}}{-1+2\kappa} \rho'(E_{j}+1-t)$$
$$= \frac{-1}{-1+2\kappa} \operatorname{Tr}[\rho'(\Gamma_{\Lambda_{L}}(E_{0},\lambda,\omega_{2})+1-t)\Gamma_{\Lambda_{L}}(E_{0},\lambda,\omega_{2})],$$

where $\{E_j\}_{j \in \mathbb{N}}$ are the eigenvalues of $\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)$.

On the other hand, using the Hellmann-Feynman theorem and the equation

$$\sum_{i: \ \xi_i^{\omega_2} \in \Lambda_L} \lambda_i \frac{\partial}{\partial \lambda_i} \Gamma_{\Lambda_L}(E_0, \lambda, \omega_2) = \Gamma_{\Lambda_L}(E_0, \lambda, \omega_2),$$

we can show

(2.4)

$$\sum_{i: \xi_i^{\omega_2} \in \Lambda_L} \lambda_i \frac{\partial}{\partial \lambda_i} \operatorname{Tr}[\rho(\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2) + 1 - t)]$$

$$= \sum_{j: E_j \in [-1 - 2\kappa, -1 + 2\kappa]} \left\{ \rho'(E_j + 1 - t) \cdot \sum_{i: \xi_i^{\omega_2} \in \Lambda_L} \lambda_i \frac{\partial}{\partial \lambda_i} E_j \right\}$$

$$= \operatorname{Tr}[\rho'(\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2) + 1 - t)\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)].$$

By (2.3) and (2.4), we obtain

$$\frac{d}{dt} \operatorname{Tr}[\rho(\Gamma_{\Lambda_{L}}(E_{0},\lambda,\omega_{2})+1-t)] \\ \leq \frac{-1}{-1+2\kappa} \sum_{i:\xi_{i}^{\omega_{2}} \in \Lambda_{L}} \lambda_{i} \frac{\partial}{\partial\lambda_{i}} \operatorname{Tr}[\rho(\Gamma_{\Lambda_{L}}(E_{0},\lambda,\omega_{2})+1-t)].$$

By this estimate and the integration by parts with respect to λ_i , the right hand side of (2.2) is less than or equal to

(2.5)

$$\frac{-1}{-1+2\kappa} \sum_{i: \xi_{i}^{\omega_{2}} \in \Lambda_{L}} \int_{-3\kappa/2}^{3\kappa/2} dt \left\{ \prod_{l} \int_{m}^{m'} h_{0}(\lambda_{l}) d\lambda_{l} \right\}$$

$$\times \left\{ \lambda_{i} \frac{\partial}{\partial \lambda_{i}} \operatorname{Tr}[\rho(\Gamma_{\Lambda_{L}}(E_{0}, \lambda, \omega_{2}) + 1 - t)] \right\}$$

$$\leq 2 \frac{((m'-m) \|\tilde{h}_{0}'\|_{\infty}) \vee \tilde{h}_{0}(m')}{(1-2\kappa)}$$

$$\times \sum_{i: \xi_{i}^{\omega_{2}} \in \Lambda} \int_{-3\kappa/2}^{3\kappa/2} dt \prod_{l \neq i} \int_{m}^{m'} h_{0}(\lambda_{l}) d\lambda_{l} |\operatorname{Tr}\{D(i, E_{0}, m, \lambda_{i}^{+})\}|,$$

where $\tilde{h}_0(\lambda)$ is the function $\lambda h_0(\lambda)$ and $D(i, E_0, m, \lambda_0)$ is the operator $\rho(\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)^{m,i} + 1 - t) - \rho(\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)^{\lambda_0,i} + 1 - t)$. We denote $\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)^{\varpi,i}$ for $\varpi \in [m, m']$ by the operator $\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)$ with the fixed coupling constant $\lambda_i = \varpi$ at the *i*-th site, and $\lambda_i^+ \in [m, m']$ by the value of the coupling constant λ_i where the maximum of $|\text{Tr}\{D(i, E_0, m, \lambda_0)\}|$ is attained.

Now we use the following proposition on spectral shift functions ([5] Theorem 2.1, [25] Chapter 8 §3 Theorem 3 and Theorem 6):

Proposition 2.1. Let A_1 and A_0 be self-adjoint operators such that $A_1 - A_0 \in \mathcal{I}_{1/p}$ for p > 1, where $\mathcal{I}_{1/p}$ is the family of compact operators of the super trace class, which we define as follows: we say that $A \in \mathcal{I}_{1/p}$ if for some p > 1, $|||A|||_{1/p} := (\sum_i \mu_j(A)^{1/p})^p < \infty$, where $\mu_j(A)$ denotes the *j*-th singular value of A.

Then, there exists some $\pi(\cdot; A_1, A_0) \in L^p(\mathbf{R})$ such that for $\phi \in C^{\infty}(\Gamma)$ where $\Gamma \subset \mathbf{R}$: a compact interval which contains $\sigma(A_0)$ and $\sigma(A_1)$,

$$\operatorname{Tr}[\phi(A_1) - \phi(A_0)] = \int_{\Gamma} \pi(\lambda; A_1, A_0) \phi'(\lambda) \, d\lambda,$$

and

$$\|\pi\|_p \leq \|A_1 - A_0\|_{1/p}^{1/p}$$

We fix p > 1 and set $A_1 = (\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)^{\lambda_i^+, i})^l$ and $A_0 = (\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)^{m, i})^l$ for any *l* greater than dp/2 + 1, and $V_i = (\lambda_i^+ - m)R_0(E_0)^{1/2}u(x - \xi_i^{\omega_2})R_0(E_0)^{1/2}$, where $R_0(E_0) := (H_0 - E_0)^{-1}$. Then,

$$\begin{split} V_{eff} &:= A_1 - A_0 = \sum_{j=0}^{l-1} (\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)^{\lambda_i^+, i})^{l-j-1} V_i (\Gamma_{\Lambda_L}(E_0, \lambda, \omega_2)^{m, i}))^j \\ &= (\lambda_i^+ - m) \sum_{j=0}^{l-1} [J_i^{l-j-1} (R_0(E_0) V_{\Lambda_L}^{\lambda_i^+, i})^{l-j-1} R_0(E_0)^{1/2}]^* u_i \\ &\times [J_i^j (R_0(E_0) V_{\Lambda_L}^{m, i})^j R_0(E_0)^{1/2}], \end{split}$$

where $J \in C_0^{\infty}$ such that J(x)u(x) = u(x). We denote $u_i := u(x - \xi_i^{\omega_2})$, $J_i := J(x - \xi_i^{\omega_2})$ and for $\overline{\omega} \in [m, m']$, $V_{\Lambda_L}^{\overline{\omega}, i}$ is the potential V_{Λ_L} with the fixed coupling constant $\lambda_i = \overline{\omega}$ at the *i*-th site.

According to Proposition 12 in [18], for any $\tau \in \text{supp } h_0$ and $r \in \mathbf{N}$,

(2.6)
$$J_i^r (R_0(E_0) \tilde{V}_{\Lambda_L}^{\tau,i})^r R_0(E_0)^{1/2} = \sum_{\alpha=1}^N \left\{ \prod_{\beta=1}^r J_i^{\alpha,\beta} R_0(E_0) B_i^{\alpha,\beta} \right\},$$

where the bounded operators $J_i^{\alpha,\beta}$ are combinations of the derivatives of J_i , and the operators $B_i^{\alpha,\beta}$ are the polynomials of the bounded operators containing $V_{\Lambda_L}^{\tau,i}$.

Let s > d/2. Using the estimates of the norms in Theorem 4.1 of [20],

$$\|J_i^{\alpha,\beta} R_0(E_0)\|_{\mathcal{I}_s} \le \|J_i^{\alpha,\beta}\|_{L^s(\mathbf{R}^d)} \left\|\frac{1}{|x|^2 - E_0}\right\|_{L^s(\mathbf{R}^d)},$$

714

which is bounded by a constant independent of Λ_L . The norms of the operators $B_i^{\alpha,\beta}$ are estimated as follows:

$$\|B_i^{\alpha,\beta}\|_{\mathcal{B}(L^s(\mathbf{R}^d))} \leq C \|\|V_{\Lambda}^{\tau,i}\|_{\infty} + 1\|^{\gamma} \leq C \left[\sup_{x \in \Lambda_{L+a}} \#(k \in \mathbf{N} \colon \xi_k^{\omega_2} \in \Lambda_{3a}(x)) + 1\right]^{\gamma},$$

for some γ and *C* independent of Λ_L . Therefore, we can obtain the estimate of the norm of V_{eff} as follows:

$$\||V_{eff}|\|_{1/p}^{1/p} \leq C(l-1) \left[\sup_{x \in \Lambda_{L+a}} \#(k \in \mathbf{N} \colon \xi_k^{\omega_2} \in \Lambda_{3a}(x)) + 1 \right]^{\gamma'},$$

for some γ' .

Noting supp $\rho'(\cdot + 1 - t)$ is included in $\Sigma := [-1 - 3\kappa, 0)$, we have

$$|\operatorname{Tr} D(i, E_{0}, m, \lambda_{i})| = |\operatorname{Tr}[\rho(\Gamma_{\Lambda_{L}}(E_{0}, \lambda, \omega_{2})^{\lambda_{i}^{+}, i} - E + t) - \rho(\Gamma_{\Lambda_{L}}(E_{0}, \lambda, \omega_{2})^{m, i} - E + t)]|$$

$$(2.7) = \left| \int_{\Sigma} \frac{\partial \rho(\lambda' + 1 - t)}{\partial \lambda'} \pi(\lambda') d\lambda' \right|$$

$$\leq C \left[\sup_{x \in \Lambda_{L+a}} \#(k \in \mathbf{N}: \xi_{k}^{\omega_{2}} \in \Lambda_{3a}(x)) + 1 \right]^{\gamma'} \left(\int_{\Sigma} \left| \frac{\partial \rho}{\partial \lambda} (\lambda + 1 - t) \right|^{q} d\lambda \right)^{1/q},$$

where q is the positive number such that 1/p + 1/q = 1, and π is the spectral shift function for A_1 and A_0 .

By using also

$$\int_{\mathbf{R}} |\rho'(\gamma)|^q \, d\gamma \leq \int_{\mathbf{R}} |\rho'(\gamma)| \, d\gamma \, \sup(\rho')^{(q-1)},$$

we can show that the second factor of the right hand side of (2.7) is dominated by $\kappa^{(1/q-1)}$.

Consequently, according to (2.2), (2.5), (2.7) and above comments, we obtain

(2.8)
$$\leq C_1 \eta^{1/q} \mathbf{E}^{\omega_2} \Bigg[\#(j \in \mathbf{N} \colon \xi_j^{\omega_2} \in \Lambda_L) \Bigg\{ \sup_{x \in \Lambda_{L+a}} \#(k \in \mathbf{N} \colon \xi_k^{\omega_2} \in \Lambda_{3a}(x)) + 1 \Bigg\}^{\gamma'} \Bigg].$$

For (2.8), using the Hölder inequality, we obtain

(2.9)

$$\mathbf{E}\{\operatorname{Tr} P_{\Lambda_{L}}^{\omega}(I_{\kappa})\} \leq C_{1}\eta^{1/q}\mathbf{E}^{\omega_{2}}[\#(j\in\mathbf{N}:\xi_{j}^{\omega_{2}}\in\Lambda_{L})^{1+\theta}]^{1/(1+\theta)} \times \mathbf{E}^{\omega_{2}}\left[\left\{\sup_{x\in\Lambda_{L+a}}\#(k\in\mathbf{N}:\xi_{k}^{\omega_{2}}\in\Lambda_{3a}(x))+1\right\}^{(\theta+1)\gamma'/\theta}\right]^{\theta/(1+\theta)},$$

for $\theta > 0$.

Noting that the third factor of the right hand side of (2.9) is bounded by CL^d and the fourth factor is less than or equal to

$$\left[\sum_{e \in \Lambda_{L+a} \cap \mathbf{Z}} \mathbf{E}^{\omega_2} \left[\sup_{x \in \Lambda_1(e)} \#(k \in \mathbf{N} \colon \xi_k^{\omega_2} \in \Lambda_{3a}(x)) + 1\right]^{(\theta+1)y'/\theta}\right]^{\theta/(1+\theta)} \leq CL^{d\theta/(1+\theta)},$$

we obtain the theorem.

REMARK 5. By (2.8), the main theorem holds for a model of which the impurity position $\xi_i(\omega_2) = a_i + y_i(\omega_2)$ with a uniformly bounded $y_i(\omega_2)$ as A=1 similarly to [12], where $\{a_i : i \in \mathbf{N}\} = \mathbf{Z}^d$.

REMARK 6. Our proof of this paper needs only the Z^d stationary property and the finite moments' property for all orders for the number of impurities in the finite cube. Moreover, if we treat point processes with finite moments of some n > d/2, then our results hold for $A > 1 + \gamma'/n$. The condition n > d/2 is for the essential self-adjointness of our Schrödinger operators on $C_0^{\infty}(\mathbf{R}^d)$ [14].

ACKNOWLEDGMENTS. The author would like to express his gratitude to Professor N. Ueki for many helpful advices. He would also like to thank the referee for valuable advices. Remark 5 and 6 are based on referee's advices.

References

716

^[1] P.W. Anderson: Absence of diffusion in certain random lattice, Phys. Rev. 109 (1958), 1492–1505.

^[2] K. Ando, A. Iwatsuka, M. Kaminaga and F. Nakano: The spectrum of Schrödinger operators with Poisson type random potential, Ann. Henri Poincaré 7 (2006), 145–160.

^[3] J.-M. Combes and P.D. Hislop: Localization for some continuous, random Hamiltonians in ddimensions, J. Funct. Anal. 124 (1994), 149–180.

WEGNER ESTIMATE

- [4] J.-M. Combes, P.D. Hislop and F. Klopp: An optimal Wegner estimate and its application to the global continuity of the integrated density of states for random Schrödinger operators, Duke Math. J. 140 (2007), 469–498.
- [5] J.-M. Combes, P.D. Hislop and S. Nakamura: The L^p-theory of the spectral shift function, the Wegner estimate, and the integrated density of states for some random operators, Comm. Math. Phys. 218 (2001), 113–130.
- [6] R. Carmona and J. Lacroix: Spectral Theory of Random Schrödinger Operators, Birkhäuser Boston, Boston, MA, 1990.
- [7] W. Fischer, H. Leschke and P. Müller: Spectral localization by Gaussian random potentials in multi-dimensional continuous space, J. Statist. Phys. 101 (2000), 935–985.
- [8] L. Pastur and A. Figotin: Spectra of Random and Almost-Periodic Operators, Springer, Berlin, 1992.
- [9] F. Germinet, P.D. Hislop and A. Klein: Localization for Schrödinger operators with Poisson random potential, J. Eur. Math. Soc. (JEMS) 9 (2007), 577–607.
- [10] F. Germinet, P.D. Hislop and A. Klein: Localization at low energies for attractive Poisson random Schrödinger operators; in Probability and Mathematical Physics, CRM Proc. Lecture Notes 42, Amer. Math. Soc., Providence, RI, 2007, 153–165.
- [11] F. Germinet and A. Klein: Bootstrap multiscale analysis and localization in random media, Comm. Math. Phys. 222 (2001), 415–448.
- [12] P.D. Hislop and F. Klopp: The integrated density of states for some random operators with nonsign definite potentials, J. Funct. Anal. 195 (2002), 12–47.
- W. Kirsch: Wegner estimates and Anderson localization for alloy-type potentials, Math. Z. 221 (1996), 507–512.
- [14] W. Kirsch and I. Veselić: Wegner estimate for sparse and other generalized alloy type potentials, Proc. Indian Acad. Sci. Math. Sci. 112 (2002), 131–146.
- [15] A. Klein, A. Koines and M. Seifert: Generalized eigenfunctions for waves in inhomogeneous media, J. Funct. Anal. 190 (2002), 255–291.
- F. Klopp: Localization for some continuous random Schrödinger operators, Comm. Math. Phys. 167 (1995), 553–569.
- [17] S. Kotani and B. Simon: Localization in general one-dimensional random systems. II. Comm. Math. Phys. 112 (1987), 103–119.
- [18] S. Nakamura: A remark on the Dirichlet–Neumann decoupling and the integrated density of states, J. Funct. Anal. 179 (2001), 136–152.
- [19] M. Reed and B. Simon: Methods of Modern Mathematical Physics. II. Academic Press, New York, 1975.
- [20] B. Simon: Trace Ideals and Their Applications, second edition, Mathematical Surveys and Monographs 120, Amer. Math. Soc., Providence, RI, 2005.
- [21] P. Stollmann: Caught by Disorder, Birkhäuser Boston, Boston, MA, 2001.
- [22] J. Takahara: Wegner estimate for a generalized alloy type potential, J. Math. Kyoto Univ. 49 (2009), 255–265.
- [23] N. Ueki: Wegner estimates and localization for Gaussian random potentials, Publ. Res. Inst. Math. Sci. 40 (2004), 29–90.
- [24] F. Wegner: Bounds on the density of states in disordered systems, Z. Phys. B 44 (1981), 9–15.
- [25] D.R. Yafaev: Mathematical Scattering Theory, Amer. Math. Soc., Providence, RI, 1992.

Graduate School of Human and Environmental Studies Kyoto University Kyoto 606-8501 Japan e-mail: takahara@math.h.kyoto-u.ac.jp