

## On Scattering by Two Degenerate Convex Bodies

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### §1. Introduction

Let  $n$  be an odd integer  $\geq 3$ , and let  $\mathcal{O}$  be a bounded open set in  $\mathbb{R}^n$  such that

$$(1.1) \quad \Omega = \mathbb{R}^n - \overline{\mathcal{O}} \text{ is connected.}$$

We assume that

$$\Gamma = \partial\mathcal{O} \text{ is smooth.}$$

Denote by  $S(z)$  the scattering matrix for  $\mathcal{O}$ . The scattering matrix  $S(z)$  is an  $\mathcal{L}(L^2(S^{n-1}))$ -valued holomorphic function defined in  $\{z \in \mathbb{C}; \operatorname{Re} z < 0\}$ , where we denote by  $\mathcal{L}(E)$  the space of all the bounded operators from  $E$  into itself. As a fundamental property of the scattering matrix, it is shown in Lax-Phillips [7]:

**Theorem 5.1 of Chapter V.** *The scattering matrix  $S(z)$  is holomorphic on the real axis and meromorphic in the whole plane, having a pole at exactly those points  $z$  for which there is a nontrivial  $z$ -outgoing local solution of*

$$\begin{cases} (\Delta + z^2)u = 0 & \text{in } \Omega \\ u = 0 & \text{on } \Gamma. \end{cases}$$

In the study of scattering by obstacles, the problem to know relationships between the geometry of obstacles and the distribution of poles of scattering matrices is one of the most interesting and important problems. It is conjectured that the more rays of geometric optics are trapped by  $\mathcal{O}$  the more solutions of the wave equation are trapped by  $\mathcal{O}$ , and that the more solutions of the wave equation are trapped, the nearer to the real axis it appears the poles of the scattering matrix.

Concerning this problem, Melrose [9] proved that, if  $\mathcal{O}$  is nontrapping in the sense of geometric optics, for any  $a > 0$  the logarithmic domain

$\{z; \text{Im } z \leq a \log(|z| + 1)\}$  has at most a finite number of poles of  $\mathcal{S}(z)$ .

For trapping obstacles, Bardos-Guillot-Ralston [1] considered the following example:

$$\mathcal{O} = \mathcal{O}_1 \cup \mathcal{O}_2$$

where

$$\mathcal{O}_l, \quad l = 1, 2 \quad \text{are strictly convex and } \overline{\mathcal{O}_1} \cap \overline{\mathcal{O}_2} = \phi.$$

They showed that, for any  $\varepsilon > 0$ , the logarithmic domain

$\{z; \text{Im } z \leq \varepsilon \log(|z| + 1)\}$  has an infinite number of poles of  $\mathcal{S}(z)$ .

Next Ikawa [3] considered the same example and showed the following result: Set  $d = \text{distance}(\mathcal{O}_1, \mathcal{O}_2)$ , and let  $A_l, \quad l = 1, 2$ , be the point on  $\Gamma_l = \partial\mathcal{O}_l$  such that

$$\text{distance}(\mathcal{O}_1, \mathcal{O}_2) = |A_1 - A_2|.$$

Then, there is a positive constant  $c_0$  determined by  $d$  and the geometry of  $\Gamma_l$  near  $A_l \quad (l = 1, 2)$  such that, in the strip  $\{z; 0 < \text{Im } z < \frac{2}{3}c_0\}$  the poles of  $\mathcal{S}(z)$  distribute asymptotically at the points  $\frac{\pi}{d}j + \sqrt{-1}c_0, \quad j = 0, \pm 1, \pm 2, \dots$ .

After that, Gérard [2] proved that, for any  $a > 0$ , the poles of  $\mathcal{S}(z)$  in the strip  $\{z; 0 < \text{Im } z < a\}$  distribute asymptotically on the points

$$\frac{\pi}{d}j + \sqrt{-1}c_m, \quad j = 0, \pm 1, \pm 2, \dots, \quad m = 0, 2, \dots, m_0$$

where

$$0 < c_0 \leq c_1 \leq c_2 \leq \dots \leq c_{m_0} < a.$$

The constants  $c_m, \quad m \geq 1$  are also determined by  $d$  and the geometry of  $\Gamma_l$  near  $A_l, \quad l = 1, 2$ .

The formula which gives  $c_m$  indicates that, when all the principal curvatures of  $\Gamma_l$  at  $A_l, \quad l = 1, 2$ , become small, the constants  $c_m$  become also small, and when all the principal curvatures vanish at  $A_l \quad (l = 1, 2)$ , all the  $c_m$  determined by the formula are equal to 0.

This fact indicates us that, if all the principal curvatures vanish at  $A_l, \quad \mathcal{S}(z)$  may have a sequence of poles converging to the real axis.

But the methods used in [3] and [2] are no more valid in the case where all the principal curvatures vanish. We considered in [4] an example of  $\mathcal{O} = \mathcal{O}_1 \cup \mathcal{O}_2$  in  $\mathbb{R}^3$  such that the principal curvatures of  $\Gamma_l$  vanish only at  $A_l$  of finite order, and showed that there exist an infinite number of poles in a domain  $\{z; \text{Im } z \leq |\text{Re } z|^{-\gamma}\}$  for some positive constant  $\gamma$ . The proof of this result is based on the trace formula due to Bardos-Guillot-Ralston [1]. On the other hand, as to the position of poles near to the real axis, by taking account of the results of [3] and [2], it seems very likely that the poles of  $S(z)$  in the domain  $\{z; \text{Im } z \leq |\text{Re } z|^{-\gamma}\}$  exist only near the points  $\frac{\pi}{d}j, j = \pm 1, \pm 2, \dots$ . But it seems very difficult to get more information on the distribution of poles by the means of the trace formula.

In this paper we shall consider an example of obstacle in  $\mathbb{R}^2$  consisting of two convex bodies, whose curvature vanishes of finite order at  $A_l$ . Precisely, let  $\mathcal{O}_1$  be a bounded open set in  $\mathbb{R}^2$  with smooth boundary  $\Gamma_1$  such that

- (1)  $\mathcal{O}_1 \subset \{x = (x_1, x_2) \in \mathbb{R}^2; x_2 < 0\}$ ,
- (2)  $A_1 = (0, 0) \in \Gamma_1$ ,
- (3)  $\Gamma_1$  is represented near  $A_1$  as

$$x_2 = -x_1^{2m}$$

where  $m$  is a positive integer  $\geq 2$ ,

- (4) the curvature of  $\Gamma_1$  does not vanish on  $\Gamma_1 - \{A_1\}$ .

Let  $\mathcal{O}_2$  be a bounded open set in  $\mathbb{R}^2$  with smooth boundary  $\Gamma_2$  such that

- (1)  $\mathcal{O}_2 \subset \{x = (x_1, x_2) \in \mathbb{R}^2; x_2 > d\}$  where  $d$  is a positive constant,
- (2)  $A_2 = (0, d) \in \Gamma_2$ ,
- (3)  $\Gamma_2$  is represented near  $A_2$  as

$$x_2 = d + x_1^{2m},$$

- (4) the curvature of  $\Gamma_2$  does not vanish on  $\Gamma_2 - \{A_2\}$ .

We set

$$\mathcal{O} = \mathcal{O}_1 \cup \mathcal{O}_2, \quad \Gamma = \Gamma_1 \cup \Gamma_2$$

and

$$\Omega = \mathbb{R}^2 - \overline{\mathcal{O}}.$$

Consider the following boundary value problem with parameter  $\mu \in \mathbb{C}$

$$(1.2) \quad \begin{cases} (\Delta + \mu^2)u(x) = 0 & \text{in } \Omega \\ u(x) = g(x) & \text{in } \Gamma \end{cases}$$

for  $g(x) \in C^\infty(\Gamma)$ . For  $\text{Im } \mu < 0$ , (1.1) has a unique solution in  $L^2(\Omega)$ . Denote the solution  $u(x)$  as

$$u(x) = (U(\mu)g)(x).$$

Then by using the regularity theorem for elliptic operators,  $U(\mu)$  can be regarded as a continuous operator from  $C^\infty(\Gamma)$  into  $C^\infty(\bar{\Omega})$  for each  $\mu$  such that  $\text{Im } \mu < 0$ . Thus,  $U(\mu)$  becomes an  $\mathcal{L}(C^\infty(\Gamma), C^\infty(\bar{\Omega}))$ -valued holomorphic function in  $\{\mu; \text{Im } \mu < 0\}$ , where  $\mathcal{L}(E, F)$  denotes the set of all the continuous operators from  $E$  into  $F$ .

We would like to consider the analytic continuation of  $U(\mu)$  into  $\{\mu; \text{Im } \mu \geq 0\}$ . The result that I will show is the following theorem:

**Theorem 1.** *Assume that*

$$(1.3) \quad m \geq 4,$$

and set

$$\alpha = \frac{1}{m-1}.$$

Then, for any  $\varepsilon_1, \varepsilon_2 > 0$ , there exists a positive constant  $C_{\varepsilon_1, \varepsilon_2}$  such that  $U(\mu)$  can be continued analytically into

$$(1.4) \quad \begin{aligned} & \{\mu; \text{Im } \mu \leq |\text{Re } \mu|^{-(1+2\alpha)^{-1}-\varepsilon_1}, |\text{Re } \mu| \geq C_{\varepsilon_1, \varepsilon_2}\} \\ & - \cup_{r=-\infty}^{\infty} \{\mu; \text{Im } \mu \geq 0 \text{ and } |\frac{\pi}{d}r - \text{Re } \mu| < \varepsilon_2\}. \end{aligned}$$

Recall that the poles of  $\mathcal{S}(z)$  coincide with those of  $U(\mu)$ . Therefore, even though Theorem 1 is of the analytic continuation of  $U(\mu)$  for an obstacle in  $\mathbb{R}^2$ , it gives us a partial answer to the above question.

## §2. Geometric optics near the periodic ray $a_1 a_2$

In order to consider the solution of the reduced wave equation (1.2) for high frequency, that is, for  $|\text{Re } \mu|$  large, the geometric optics in  $\Omega$  plays an important role. Especially, it is essential to know the asymptotic

behavior of rays trapped by  $\mathcal{O}$ , which are the ones approaching to the periodic rays when  $t \rightarrow \infty$ . In our case, the periodic ray in  $\Omega$  is only the one going and returning between  $A_1$  and  $A_2$ . Thus we consider the behavior of rays in the domain  $\Omega(\delta)$  ( $\delta > 0$ ) surrounded by the following four curves

$$x_1 = \delta, \quad x_1 = -\delta, \quad x_2 = -x_1^{2m}, \quad x_2 = d + x_1^{2m}$$

and set

$$S_l(\delta) = \overline{\Omega(\delta)} \cap \Gamma_l, \quad l = 1, 2.$$

From now on, in this section we shall denote the point in  $\mathbb{R}^2$  as  $Q = (x, y)$ ,  $x, y \in \mathbb{R}$ .

Let

$$Q = (x, -x^{2m}) \in S_1(\delta) \quad \text{and} \quad \Xi = (\xi, \sqrt{1 - \xi^2}) \in S^1,$$

and denote by  $X(Q, \Xi)$  the ray starting from  $Q$  in the direction  $\Xi$ , that is,

$$X(Q, \Xi) = \{Q + s\Xi; s \geq 0\}.$$

Denote by  $Q'$  and  $\Xi'$  the first fitting point of  $X(Q, \Xi)$  at  $\Gamma_2$  and the direction of the reflected ray respectively. Setting  $Q' = (x', d + x'^{2m})$ , we have

$$\Xi' = \Xi - 2(\Xi, N(Q'))N(Q')$$

where  $N(Q')$  denotes the unit outer (with respect to  $\mathcal{O}_2$ ) normal of  $\Gamma_2$ , that is,

$$N(Q') = (1 + (2mx'^{2m-1})^2)^{-1/2} (2mx'^{2m-1}, -1).$$

Set  $\Xi' = (\xi', -\sqrt{1 - \xi'^2})$ . Then we have a mapping

$$T : (x, \xi) \rightarrow (x', \xi').$$

It is obvious that, when the both  $x$  and  $\xi$  tend to zero,  $x'$  and  $\xi'$  also tend to zero. As an approximation of the mapping  $T$  we shall consider the following mapping  $\tilde{T}$ , which maps  $(x, \xi)$  to  $\tilde{T}(x, \xi) = (x', \xi')$  given by

$$(2.1) \quad \begin{cases} x' = x + \xi \\ \xi' = \xi + 4mx'^{2m-1} = \xi + 4m(x + \xi)^{2m-1}. \end{cases}$$

Let  $f(s)$  be a smooth function defined for  $s$  near to 0, and let  $\{m_j\}_{j=0}^\infty$  be an increasing sequence such that  $m_j \rightarrow \infty$  as  $j \rightarrow \infty$ . We say that  $f(s)$  has an asymptotic expansion for  $s \rightarrow 0$

$$f(s) \sim a_0 s^{m_0} + a_1 s^{m_1} + \dots + a_j s^{m_j} + \dots$$

when, for any  $M > 0$ , there are  $j_0$  and  $C_M$  such that

$$|f(s) - \sum_{j=0}^{j_0} a_j s^{m_j}| \leq C_M |s|^M.$$

**Lemma 2.1.** *Suppose that  $m \geq 2$ . Then, there is a one parameter family of a pair of functions  $g(s)$  and  $h(s)$  defined for small  $s$  having asymptotic expansions*

$$(2.2) \quad \begin{cases} g(s) \sim a_0 s^\alpha + a_1 s^{\alpha+1} + \dots + a_j s^{\alpha+j} + \dots \\ h(s) \sim b_0 s^{\alpha+1} + b_1 s^{\alpha+2} + \dots + b_j s^{\alpha+j+1} + \dots \end{cases}$$

and satisfying

$$(2.3) \quad \tilde{T}(g(s), h(s)) - (g(\frac{s}{s+1}), h(\frac{s}{s+1})) \sim 0.$$

In the asymptotic expansion (2.2),  $a_1$  is a free parameter,  $a_0 = \pm(\alpha/2)^\alpha$  and  $b_0 = \mp\alpha a_0$  are independent of  $a_1$ , and  $b_1$  is given by

$$b_1 = a_0 \frac{\alpha(\alpha+1)}{2} - (\alpha+1)a_1,$$

and  $a_j$  and  $b_j$  ( $j \geq 2$ ) depend on  $a_1$ .

*Proof.* We look for formal series

$$(2.4) \quad \begin{cases} g(s) \sim a_0 s^\gamma + a_1 s^{\gamma+1} + \dots \\ h(s) \sim b_0 s^\beta + b_1 s^{\beta+1} + \dots \end{cases}$$

as they satisfy (2.3), which can be written as

$$(2.5) \quad g(\frac{s}{1+s}) - g(s) \sim h(s),$$

$$(2.6) \quad h(\frac{s}{1+s}) - h(s) \sim 4m(g(s) + h(s))^{2m-1}.$$

We choose  $a_j, b_j$  ( $j = 0, 1, \dots$ ) so that (2.5) and (2.6) hold. Note that for  $p \in \mathbb{R}$  we have

$$\left(\frac{s}{s+1}\right)^p \sim s^p - ps^{p+1} + \frac{1}{2}p(p+1)s^{p+2} - \dots$$

Substitute (2.4) and the above expansion into (2.5) and (2.6). Equating the leading terms of the both sides of (2.5) we have

$$-a_0\gamma s^{\gamma+1} = b_0 s^\beta,$$

which implies that  $\beta = \gamma + 1$  and  $b_0 = -\gamma a_0$ . Substituting the just obtained relations into (2.6) and equating the leading terms of the both sides of (2.6) we have

$$-\beta b_0 s^{\beta+1} = 4m (a_0 s^\gamma)^{2m-1}.$$

Therefore it follows that

$$\begin{aligned}\gamma(2m-1) &= \beta + 1 = \gamma + 2, \\ 4ma_0^{2m-1} &= -b_0\beta = a_0\gamma\beta = a_0\gamma(\gamma+1).\end{aligned}$$

Thus we have

$$\begin{aligned}\gamma &= (m-1)^{-1} = \alpha, \\ 4ma_0^{2m-2} &= \frac{1}{m-1} \frac{m}{m-1} = m\alpha^2.\end{aligned}$$

Now, substitute these  $\gamma$ ,  $\beta$ ,  $a_0$  and  $b_0$  and equate the second terms of the both sides of (2.5). The we have

$$\left\{ a_0 \frac{\alpha(\alpha+1)}{2} - a_1(\alpha+1) \right\} s^{\alpha+2} = b_1 s^{\alpha+2}.$$

Choose arbitrary  $a_1$  and take  $b_1$  as

$$b_1 = a_0 \frac{\alpha(\alpha+1)}{2} - a_1(\alpha+1).$$

Then the second term of the left hand side of (2.6) is

$$\left\{ b_0 \frac{\beta(\beta+1)}{2} - b_1(\beta+1) \right\} s^{\beta+2}.$$

On the other hand, the second term of the right hand side of (2.6) is

$$4m(2m-1)a_0^{2m-1}(a_1+b_0)s^{(2m-2)\alpha+\alpha+1}.$$

Evidently it holds that  $(2m-2)\alpha + \alpha + 1 = \beta + 2$ , and we can check easily by a direct calculus that

$$b_0 \frac{\beta(\beta+1)}{2} - b_1(\beta+1) = 4m(2m-1)a_0^{2m-1}(a_1+b_0).$$

For  $j \geq 2$ , the  $(j+1)$ -th term of the left hand side of (2.5) is

$$\{-(\alpha+j)a_j + \text{linear combination of } a_0, a_1, \dots, a_{j-1}\} s^{\alpha+j+1}.$$

Thus,  $b_j$  should satisfy

$$-(\alpha+j)a_j + \text{linear combination of } a_0, a_1, \dots, a_{j-1} = b_j.$$

Similarly,  $(j+1)$ -th term of the left hand side of (2.6) is

$$\{-(\beta+j)b_j + \text{linear combination of } b_0, b_1, \dots, b_{j-1}\} s^{\beta+j+1}.$$

The  $(j+1)$ -th term of the right hand side of (2.6) is

$$4m \{(2m-1)a_0^{2m-2}a_j + \text{terms determined by } b_0, b_1, \dots, b_{j-1} \text{ and } a_0, a_1, \dots, a_{j-1}, a_j\} s^{\beta+j+1}.$$

Now consider a linear equation in unknown  $(a_j, b_j)$ :

$$\begin{cases} (\alpha+j)a_j + b_j = F_j \\ (\alpha+1)(\alpha+2)a_j + (\beta+j)b_j = G_j. \end{cases}$$

Since  $(\alpha+j)(\beta+j) - (\alpha+1)(\alpha+2) \neq 0$  for all  $j \geq 2$ , the above equation has a unique solution for any given  $(F_j, G_j)$ . Thus for  $j \geq 2$ , we can choose the coefficients  $a_j, b_j$  successively in such a way that the asymptotic expansions of the both sides of (2.3) are equal. Q.E.D.

Lemma 2.1 gives us an asymptotic behavior of broken rays in  $\Omega$ . Choose  $j_0$  and set

$$\begin{aligned} g^{(j_0)} &= a_0 s^\alpha + a_1 s^{\alpha+1} + \dots + a_{j_0} s^{\alpha+j_0}, \\ h^{(j_0)} &= b_0 s^{\alpha+1} + b_1 s^{\alpha+2} + \dots + b_{j_0} s^{\alpha+1+j_0} \end{aligned}$$

and

$$x_n^0 = g^{(j_0)}(n^{-1}), \quad \xi_n^0 = h^{(j_0)}(n^{-1}).$$

Since  $\frac{s}{1+s} = (n+1)^{-1}$  for  $s = n^{-1}$ , we have

$$x_{n+1}^0 = g^{(j_0)}\left(\frac{n^{-1}}{1+n^{-1}}\right), \quad \xi_{n+1}^0 = h^{(j_0)}\left(\frac{n^{-1}}{1+n^{-1}}\right).$$

Then, for any  $M$  fixed, if we choose  $j_0$  sufficiently large, we have the following estimate

$$|\tilde{T}(x_n^0, \xi_n^0) - (x_{n+1}^0, \xi_{n+1}^0)| \leq C_M n^{-M} \quad \text{for all } n.$$

This gives us an approximate behavior of broken ray in  $\Omega$  which converges to the periodic ray  $a_1 a_2$ .

Let us denote as

$$\tilde{T}(x_n^0 + s, \xi_n^0 + t) = (\tilde{x}_{n+1}^0 + s', \tilde{\xi}_{n+1}^0 + t'),$$

where we set  $(\tilde{x}_{n+1}^0, \tilde{\xi}_{n+1}^0) = \tilde{T}(x_n^0, \xi_n^0)$ . Define mapping  $\tilde{T}_n$  by

$$\tilde{T}_n : (s, t) \rightarrow (s', t'),$$

which maps a neighborhood of  $(0, 0) \in \mathbb{R}^2$  in to a neighborhood of  $(0, 0) \in \mathbb{R}^2$ . Then we have

$$\begin{aligned} A_n &= \left. \frac{\partial(s', t')}{\partial(s, t)} \right|_{s=t=0} \\ &= \begin{pmatrix} 1 & 1 \\ 2m(2m-1)(x_{n+1}^0)^{2m-2} & 1 + 2m(2m-1)(x_{n+1}^0)^{2m-2} \end{pmatrix}. \end{aligned}$$

Substituting the expansion of  $x_n^0$ , we have

$$A_n \sim \begin{pmatrix} 1 & 1 \\ d_0 n^{-2} + d_1 n^{-3} + \dots & 1 + d_0 n^{-2} + d_1 n^{-3} + \dots \end{pmatrix},$$

where  $d_0 = (\alpha + 1)(\alpha + 2)$ . Set

$$S_j^n(s, t) = \tilde{T}_n \circ \tilde{T}_{n-1} \circ \dots \circ \tilde{T}_j(s, t) = (X_j^n(s, t), \Xi_j^n(s, t)),$$

and

$$D_j^n(s, t) = \frac{\partial S_j^n(s, t)}{\partial(s, t)} = \begin{pmatrix} g_{j,11}^n(s, t) & g_{j,12}^n(s, t) \\ g_{j,21}^n(s, t) & g_{j,22}^n(s, t) \end{pmatrix}.$$

Evidently we have

$$D_1^n(0, 0) = A_n \circ A_{n-1} \circ \dots \circ A_1.$$

**Lemma 2.2.** *Suppose that  $m \geq 4$ . Then, we have an asymptotic expansion of  $D_j^n(0, 0)$  in  $n^{-\alpha}$  of the form*

$$D_j^n(0, 0) D_j \sim \begin{pmatrix} n^{\alpha+2} + a_{11,1} n^{\alpha+1} + \dots & n^{-\alpha-1} + a_{12,1} n^{-\alpha-2} + \dots \\ (\alpha + 2)n^{\alpha+1} + a_{21,1} n^{\alpha} + \dots & -(\alpha + 1)n^{-\alpha-2} + a_{22,1} n^{-\alpha-3} + \dots \end{pmatrix},$$

where  $D_j$  is a nonsingular  $2 \times 2$ -matrix.

*Proof.* In this proof, we write  $D_j^n(0, 0)$  as  $D^n$  for the simplicity. Suppose that  $D^n$  has an asymptotic expansion of the form

$$D^n \sim (g_{ij}(n^{-1}))_{i,j=1,2} = G(n^{-1})$$

where  $g_{ij}(s)$  are functions with asymptotic expansion for  $s \rightarrow 0$

$$g_{ij}(s) \sim a_{ij,0}s^{\gamma_{ij}} + a_{ij,1}s^{\gamma_{ij}+\alpha} + a_{ij,2}s^{\gamma_{ij}+2\alpha} + \dots$$

Since

$$\begin{aligned} D^{n+1} &= A_{n+1}D^n \\ &= \left\{ E + \begin{pmatrix} 0 & 1 \\ d_0n^{-2} + d_1n^{-3} + \dots & d_0n^{-2} + d_1n^{-3} + \dots \end{pmatrix} \right\} D^n, \end{aligned}$$

we have

$$D^{n+1} - D^n = \begin{pmatrix} 0 & 1 \\ d_0n^{-2} + d_1n^{-3} + \dots & d_0n^{-2} + d_1n^{-3} + \dots \end{pmatrix} D^n.$$

Thus, it suffices to look for  $2 \times 2$ -valued function  $G(s)$  satisfying

$$G\left(\frac{s}{1+s}\right) - G(s) = \begin{pmatrix} 0 & 1 \\ d_0s^2 + d_1s^3 + \dots & d_0s^2 + d_1s^3 + \dots \end{pmatrix} G(s).$$

By the same argument as of Lemma 2.1 we get an asymptotic expansion of  $G(s)$  for  $s \rightarrow 0$ , and  $D^n = G(n^{-1})$  satisfies the required properties. Here we use essentially the assumption  $m \geq 4$  for the purpose of the possibility of successive determination of the coefficients of  $G(s)$ .

**Lemma 2.3.** For any multi-index  $\gamma$  we have

$$\begin{aligned} \left| \partial_{s,t}^\gamma X_1^n(s, t) \Big|_{s=t=0} \right| &\leq C^{|\gamma|} n^{-\alpha} (n^{2+2\alpha})^{|\gamma|}, \\ \left| \partial_{s,t}^\gamma \Xi_1^n(s, t) \Big|_{s=t=0} \right| &\leq C^{|\gamma|} n^{-\alpha-1} (n^{2+2\alpha})^{|\gamma|}, \end{aligned}$$

where  $C > 0$  is a constant independent of  $\gamma$ .

Take other functions

$$\begin{aligned} \tilde{g}(s) &\sim \tilde{a}_0s^\alpha + \tilde{a}_1s^{\alpha+1} + \dots, \\ \tilde{h}(s) &\sim \tilde{b}_0s^\alpha + \tilde{b}_1s^{\alpha+1} + \dots \end{aligned}$$

with the properties of Lemma 2.1 of the type (2.3), that is,

$$\tilde{T}(\tilde{g}(s), \tilde{h}(s)) - \left(\tilde{g}\left(\frac{s}{1+s}\right), \tilde{h}\left(\frac{s}{1+s}\right)\right) \sim 0.$$

Set

$$\begin{aligned} y_n^0 &= \tilde{a}_0 n^{-\alpha} + \tilde{a}_1 n^{-(\alpha+1)} + \dots \\ \eta_n^0 &= \tilde{b}_0 n^{-(\alpha+1)} + \tilde{b}_1 n^{-(\alpha+2)} + \dots \end{aligned}$$

and define  $\tilde{S}_n$  by

$$\tilde{S}_n(s, t) = (s', t'),$$

where  $(s, t)$  and  $(s', t')$  are combined by the relation  $\tilde{T}(y_n^0 + s, \eta_n^0 + t) = (\tilde{y}_{n+1}^0 + s', \tilde{\eta}_{n+1}^0 + t')$ . We set similarly

$$\tilde{S}_j^n = \tilde{S}_n \circ \tilde{S}_{n-1} \circ \dots \circ \tilde{S}_j.$$

Now by using Lemmas 2.2 and 2.3 we have

**Proposition 2.4.** *Let  $j_{0,l}$  ( $l = 1, 2$ ) be fixed. Then there are functions  $k_l(s)$ , ( $l = 1, 2$ ) with asymptotic expansion for  $s \rightarrow 0$*

$$k_l(s) \sim c_{l,0} s^{\alpha+2} + c_{l,1} s^{\alpha+3} + \dots$$

satisfying

$$S_{j_{0,1}}^n(0, k_1(\frac{1}{n})) \sim \tilde{S}_{j_{0,2}}^n(0, k_2(\frac{1}{n})) \quad \text{for } n \rightarrow \infty.$$

### §3. Construction of asymptotic solutions

From now on we shall use again the notation  $x = (x_1, x_2)$  to denote a point of  $\mathbb{R}^2$ . Let us construct an asymptotic solution of (1.2) for an oscillatory data. Since the curvature of the boundary  $\Gamma_l$  is positive except at  $A_l$ , the behavior of asymptotic solutions going out from  $\Omega(\delta)$  is same as in the case that the bodies are strictly convex. Therefore it is essential to consider asymptotic solutions in  $\Omega(\delta)$  for oscillatory data given on  $S_1(\delta)$ . Let  $\omega \in S^1 = \{\omega \in \mathbb{R}^2; |\omega| = 1\}$ , and let  $f(x) \in C_0^\infty(S_1(\delta))$ , and set

$$(3.1) \quad g(x, \mu) = e^{-i\mu x \cdot \omega} f(x).$$

We shall use a standard method for construction, but as remarked in Section 2 it is crucial to know the behavior of the phase functions when the number of reflections increases to the infinity.

With aid of Proposition 2.4 we have the following

**Proposition 3.1.** *Let  $\omega$  be an element of  $S^1$  near  $(0, 1)$ , and set*

$$\varphi_1(x) = x \cdot \omega.$$

*For any positive integer  $N$ , there is a sequence of real valued smooth functions defined in a neighborhood of  $\Omega(\delta)$  with the following expansions in  $n^{-\alpha}$ :*

$$\begin{aligned} \frac{\partial \varphi_n}{\partial x_2}(x) &= b_0(x)n^{-1-\alpha} + b_1(x)n^{-1-2\alpha} \\ &\quad + \cdots + b_M(x)n^{-1-(M+1)\alpha}, \\ \varphi_{2n}(x) &= c_0(x) + 2nd + c_1(x)n^{-1-2\alpha} \\ &\quad + \cdots + c_M(x)n^{-1-(M+1)\alpha}, \end{aligned}$$

$$\begin{aligned} \varphi_{2n+1}(x) &= \tilde{c}_0(x) + (2n+1)d + \tilde{c}_1(x)n^{-1-2\alpha} + \tilde{c}_2(x)n^{-1-3\alpha} \\ &\quad + \cdots + \tilde{c}_M(x)n^{-1-(M+1)\alpha}, \end{aligned}$$

where  $M$  is a positive integer and  $b_j(x)$ ,  $c_j(x)$ ,  $\tilde{c}_j(x)$ ,  $j = 1, 2, \dots, M$ , are smooth functions.

Moreover,  $\varphi_j(x)$ ,  $j = 1, 2, \dots$ , satisfy the eikonal equation

$$|\nabla \varphi_j(x)| = 1 \quad \text{in } \Omega(\delta)$$

and the difference  $\varphi_{j+1} - \varphi_j$  on the boundary satisfies

$$\begin{aligned} (\varphi_{2n} - \varphi_{2n-1})(x) &= e_0(x) + e_{N-1}(x)n^{-1-N\alpha} + e_N(x)n^{-1-(N+1)\alpha} \\ &\quad + \cdots + e_M(x)n^{-1-(M+1)\alpha} \quad \text{for all } x \in S_1(\delta), \end{aligned}$$

$$\begin{aligned} (\varphi_{2n+1} - \varphi_{2n})(x) &= \tilde{e}_0(x) + \tilde{e}_{N-1}(x)n^{-1-N\alpha} + \tilde{e}_N(x)n^{-1-(N+1)\alpha} \\ &\quad + \cdots + \tilde{e}_M(x)n^{-1-(M+1)\alpha} \quad \text{for all } x \in S_2(\delta), \end{aligned}$$

where  $e_0(x)$  and  $\tilde{e}_0(x)$  satisfy the following estimate

$$|e_0(x)|, |\tilde{e}_0(x)| \leq C_N |x_1|^N.$$

Now we construct a sequence of asymptotic solutions by using the sequence  $\{\varphi_j\}_{j=1}^{\infty}$  of phase functions in the above proposition. First let

$\mu = k + i\sigma$  with  $\sigma < 0$  and set

$$u_j(x, \mu) = \exp(-i\mu\varphi_j(x)) v_j(x, \mu),$$

$$v_j(x, \mu) = \sum_{p=0}^P v_{jp}(x) (i\mu)^{-p},$$

and we shall construct  $v_{jp}$  successively by the following procedure:

Set

$$T_j = 2 \nabla \varphi_j \cdot \nabla + \Delta \varphi_j.$$

Let  $v_{00}(x)$  be solution of

$$\begin{cases} T_0 v_{00} = 0 & \text{in } \Omega(\delta), \\ v_{00}(x) = f(x) & \text{on } S_1(\delta) \end{cases}$$

and  $v_{0p}(x)$ ,  $p = 1, 2, \dots, P$  be the successive solutions of

$$\begin{cases} T_0 v_{0p} = -\Delta v_{0,p-1} & \text{in } \Omega(\delta), \\ v_{0p}(x) = 0 & \text{on } S_1(\delta). \end{cases}$$

Let  $j \geq 1$  and suppose that  $v_{j-1,p}(x)$  are defined. Define  $v_{jp}$  as the solutions of

$$\begin{cases} T_j v_{jp} = \Delta v_{j,p-1} & \text{in } \Omega(\delta), \\ v_{jp}(x) = v_{j-1,p} & \text{on } S_{\epsilon(j)}(\delta) \end{cases}$$

where we take  $v_{j,-1} \equiv 0$  and

$$\epsilon(j) = \begin{cases} 1 & \text{for } j \text{ even,} \\ 2 & \text{for } j \text{ odd.} \end{cases}$$

About the asymptotic behavior of  $v_{np}$  for  $n \rightarrow \infty$ , we have the following lemma which is a direct consequence of the properties of  $\varphi_n(x)$  in Proposition 3.1.

**Lemma 3.2.** *For each  $p$  fixed, we get the following asymptotic expansion of  $v_{np}(x)$  in  $n^{-\alpha}$ :*

$$v_{2n,p}(x) \sim w_{p0}(x)n^p + w_{p1}(x)n^{p-\alpha} + w_{p2}(x)n^{p-2\alpha} + \dots + w_{pK}(x)n^{p-K\alpha},$$

and

$$v_{2n+1,p}(x) \sim \tilde{w}_{p0}(x)n^p + \tilde{w}_{p1}(x)n^{p-\alpha} + \tilde{w}_{p2}(x)n^{p-2\alpha} + \dots + \tilde{w}_{pK}(x)n^{p-K\alpha},$$

where  $w_{pj}(x)$  and  $\tilde{w}_{pj}(x)$  are smooth.

Now define  $u(x, \mu)$  for  $\text{Im } \mu = \sigma < 0$  by

$$(3.2) \quad u(x, \mu) = \sum_{n=0}^{\infty} (-1)^n u_n(x, \mu).$$

It is evident that  $u(x, \mu)$  converges absolutely, and we see from the construction of  $u_j$  that the following relations hold:

$$(3.3) \quad (\Delta + \mu^2) u(x, \mu) = (i\mu)^{-P} \sum_{n=0}^{\infty} \exp(-i\mu\varphi_n(x)) \Delta v_{nP}(x),$$

$$(3.4) \quad \begin{aligned} & [u(x, \mu) - \exp(-i\mu\varphi_0(x)) f(x)]_{S_1(\delta)} \\ &= \sum_{n=1}^{\infty} \{ \exp(-i\mu\varphi_{2n}(x)) - \exp(-i\mu\varphi_{2n-1}(x)) \} v_{2n}(x, \mu) \end{aligned}$$

and

$$(3.5) \quad u(x, \mu)|_{S_2(\delta)} = \sum_{n=0}^{\infty} \{ \exp(-i\mu\varphi_{2n+1}(x)) - \exp(-i\mu\varphi_{2n}(x)) \} v_{2n+1}(x, \mu).$$

Let  $\eta$  and  $\varepsilon_0$  be an arbitrary positive constant. With the aid of Lemma 3.2 we have from (3.3)

$$(3.6) \quad \begin{aligned} |(\Delta + \mu^2) u(x, \mu)| &\leq C_{N, \eta, \varepsilon_0} |\mu|^{-P} \\ &\text{for all } \text{Im } \mu \leq -\varepsilon_0, \quad x \in \Omega(\delta). \end{aligned}$$

Similarly we have from (3.4)

$$(3.7) \quad \begin{aligned} |u(x, \mu) - g(x, \mu)| &\leq C_{N, \eta, \varepsilon_0} |\mu|^{-\eta N} \\ &\text{for all } x \in S_1(|\mu|^{-\eta}) \text{ and } \text{Im } \mu \leq -\varepsilon_0 \end{aligned}$$

and from (3.5)

$$(3.8) \quad \begin{aligned} |u(x, \mu)| &\leq C_{N, \eta, \varepsilon_0} |\mu|^{-\eta N} \\ &\text{for all } x \in S_2(|\mu|^{-\eta}) \text{ and } \text{Im } \mu \leq -\varepsilon_0. \end{aligned}$$

Now, note that for any broken ray starting from a point in  $\Omega(\delta)$  and for any  $a > 0$  it holds the either of the following two cases:

- (i) the broken ray fits  $S_1(a)$  within  $[a^{-2(m-1)}]$ -times reflections.

(ii) the broken ray goes out from  $\Omega(\delta)$  within  $[a^{-2(m-1)}]$ -times reflections.

Then, by using the techniques in Ikawa [4] and that of Vainberg [10] jointly, we can easily construct  $\tilde{u}(x, \mu)$  by an explicit procedure from  $u(x, \mu)$  satisfying the following estimates, which show that  $u(x, \mu)$  an good approximate solution to (1.2) for an oscillatory data  $g(x, \mu)$  defined (3.1):

For any  $N > 0$  and  $\varepsilon_0 > 0$  we have for all  $\text{Im } \mu \leq -\varepsilon_0$

- (i)  $\tilde{u}(\cdot, \mu)$  is  $C^\infty(\overline{\Omega})$ -valued holomorphic function,
- (ii)  $(\Delta + \mu^2)\tilde{u}(x, \mu) = 0$  in  $\Omega$ ,
- (iii)  $|\tilde{u}(x, \mu) - g| \leq C_{N, \varepsilon_0} |\mu|^{-N}$  for all  $x \in \Gamma_1$ ,
- (iv)  $|\tilde{u}(x, \mu)| \leq C_{N, \varepsilon_0} |\mu|^{-N}$  for all  $x \in \Gamma_2$ .

When we want to extend the above results beyond the real axis, there is a difficulty that the convergence of  $u_n(x, \mu)$  is not exponential with respect to  $n \rightarrow \infty$ . But the summation (3.2) is of a similar form to the zeta functions. Thus, we shall use the technique of analytic continuation of the zeta functions. We shall consider in the next section the analytic continuation and estimates of the zeta function so that we may use it for the analytic extension of  $u(x, \mu)$  beyond the real axis.

#### §4. Analytic continuation of the zeta function and its generalization

In order to consider the analytic continuation of  $u(x, \mu)$  defined by (3.2), we express  $u(x, \mu)$  as a sum of zeta functions.

Even though the analytic continuation of the zeta function is well known (see for example Veech [11]), we shall give a proof because the one used here is modified a little and we need estimates of the dependency of the functions on parameters.

In this section, several notations will be used in different meanings from the ones in the previous sections, except  $\alpha$ .

Let  $m$  be a positive integer and let  $z$  and  $s$  be complex numbers. For  $|z| < 1$  we define the function  $F(z, s : m)$  by

$$(4.1) \quad F(z, s : m) = \sum_{n \geq m} z^n n^{-s}.$$

Obviously, the right hand side of (4.1) converges absolutely for  $|z| < 1$ , which implies that the function  $F(z, s : m)$  is holomorphic in  $\{z; |z| < 1\}$  for any  $s \in \mathbb{C}$ .

We consider the analytic continuation of  $F$ . First assume  $\operatorname{Re} s > 0$ , and set

$$I(z, s : m) = \int_0^\infty \frac{z^m e^{-mx} x^{s-1}}{1 - ze^{-x}} dx.$$

We see that, for each  $\operatorname{Re} s > 0$ ,  $I(z, s : m)$  is holomorphic in  $z \in D = \mathbb{C} - [1, \infty)$ . As it is well known,  $F(z, s : m)$  has the following integral representation:

$$(4.2) \quad F(z, s : m) = \frac{1}{\Gamma(s)} I(z, s : m) \quad \text{for } |z| < 1.$$

On the other hand, the definition (4.1) gives us

$$z \frac{\partial F}{\partial z}(z, s : m) = F(z, s - 1 : m) \quad \text{for all } |z| < 1.$$

Let  $a$  be a positive integer. Then we have for  $\operatorname{Re} s > 0$  and  $|z| < 1$  the expression

$$(4.3) \quad F(z, s - a : m) = \frac{1}{\Gamma(s)} \left( z \frac{\partial}{\partial z} \right)^a I(z, s : m).$$

By means of the above integral representation we shall show the following lemma:

**Lemma 4.1.** *For any  $s \in \mathbb{C}$  and  $m$  positive integer,  $F(z, s : m)$  as a function in  $z$  variable can be continued holomorphically into the domain  $D = \mathbb{C} - [1, \infty)$ . Moreover, we have the following estimate:*

$$(4.4) \quad |F(z, s : m)| \leq C_{K,a} \frac{\Gamma(\operatorname{Re} s + a)}{|\Gamma(s + a)|} m^{-\operatorname{Re} s} |z|^m (1 + |z|)^a$$

for all  $\operatorname{Re} s > -a$  and  $z \in K$ ,

where  $K$  is an arbitrary compact subset of  $D$ ,  $a$  is an arbitrary positive integer and  $C_{K,a}$  is a constant independent of  $m$ .

*Proof.* By using the fact that  $I(z, s : m)$  is holomorphic in  $z \in D$  for any  $\operatorname{Re} s > 0$ , the expression (4.3) proves Lemma 4.1 except the estimate (4.4). It is easy to show by the induction that

$$\begin{aligned} \left( z \frac{\partial}{\partial z} \right)^a \frac{z^m}{1 - ze^{-x}} &= \frac{m^a z^m}{(1 - ze^{-x})^{a+1}} \{ 1 + c_{a,1}(m) ze^{-x} + \\ &\quad + c_{a,2}(m) (ze^{-x})^2 + \cdots + c_{a,a}(m) (ze^{-x})^a \}, \end{aligned}$$

where the coefficients  $c_{a,l}(m)$ ,  $l = 1, 2, \dots, a$  are polynomials of  $m^{-1}$  of order less than  $a$ , and they satisfy

$$|c_{a,l}(m)| \leq C_a \quad \text{for all } m.$$

Thus, if we set

$$\max_{\substack{x \geq 0 \\ z \in K}} |1 - ze^{-x}| = c_K,$$

we have for all  $\text{Re } s > 0$

$$\begin{aligned} & \left| \left( z \frac{\partial}{\partial z} \right)^a I(z, s : m) \right| \\ & \leq m^a |z|^m (c_K)^{-(a+1)} C_a (1 + |z|)^a \int_0^\infty e^{-mx} |x^{s-1}| dx \\ & \leq m^a |z|^m (c_K)^{-(a+1)} C_a (1 + |z|)^a m^{-\text{Re } s} \Gamma(\text{Re } s). \end{aligned}$$

Substituting this estimate into (4.3) we get immediately for all  $\text{Re } s > 0$

$$|F(z, s - a : m)| \leq (c_K)^{-(a+1)} C_a \frac{\Gamma(\text{Re } s)}{|\Gamma(s)|} m^{a-\text{Re } s} |z|^m (1 + |z|)^a.$$

Denoting  $s - a$  in the above inequality by  $s$  anew, we get (4.4). Q.E.D.

In order to consider the analytic continuation of  $u(x, \mu)$  beyond the real axis, we have to consider the analytic continuation of the following function originally defined for  $\text{Im } \mu < 0$ :

$$\begin{aligned} (4.5) \quad R_\beta(\mu : q) = & \sum_{n \geq |k|^\beta} \exp(-i\mu(n + c_0 n^{-1-2\alpha} \\ & + c_1 n^{-1-3\alpha} + \dots + c_M n^{-1-(M+2)\alpha})) n^q. \end{aligned}$$

Let us set

$$D_{r,\beta,\varepsilon} = \{ \mu = ik + \sigma; 2r\pi + \varepsilon \leq |k| \leq 2(r+1)\pi - \varepsilon, \sigma \leq r^{-\beta} \}.$$

For  $\sigma < 0$ , as remarked in the above, the right hand side converges absolutely. Now consider the holomorphic extension of  $R_\beta(\mu : q)$  into  $\sigma > 0$ .

**Lemma 4.2.** *Let  $\beta > (1 + 2\alpha)^{-1}$  and let  $\varepsilon > 0$ . For any positive integer  $r$ ,  $R_\beta(\mu : q)$  can be prolonged analytically into  $D_{r,\beta,\varepsilon}$ . Moreover, we have the following estimates:*

$$(4.6) \quad |R_\beta(\mu : q)| \leq C_{\beta,\varepsilon} r^{q\beta} \quad \text{for all } \mu \in D_{r,\beta,\varepsilon}$$

and

$$|R_\beta(\mu : q) - F(e^{-i\mu}, -q : [r^\beta])| \leq C_{\beta, \varepsilon} c_1 r^{q\beta - \gamma}$$

for all  $\mu \in D_{r, \beta, \varepsilon}$ ,

where  $\gamma = (1 + \alpha)\beta - 1 > 0$ .

*Proof.* First suppose that  $c_j = 0$  for all  $j \geq 1$ . For each  $n \geq 0$  we have

$$(4.8) \quad \begin{aligned} & \exp(-i\mu(n + c_0 n^{-1-2\alpha})) n^q \\ &= z^n \sum_{l=0}^{\infty} \frac{(-i\mu)^l}{l!} c_0^l n^{-(1+2\alpha)l} n^q, \end{aligned}$$

where we set  $z = \exp(-i\mu)$ . Suppose that  $\mu \in D_{r, \beta, \varepsilon}$  ( $r > 0$ ) and set  $m = [r^\beta]$ . Note that

$$\sum_{n \geq m} z^n n^{-(1+2\alpha)l} n^q = F(z, (1 + 2\alpha)l - q : m).$$

Let  $|z| < 1$  and take the summation in  $n \geq m$  of the both sides of (4.8). Since the both summations converges absolutely we have a relation

$$R_\beta(\mu : q) = \sum_{l=0}^{\infty} \frac{(-i\mu)^l}{l!} c_0^l F(z, (1 + 2\alpha)l - q : m),$$

which implies

$$(4.9) \quad \begin{aligned} & R_\beta(\mu : q) - F(e^{-i\mu}, -q : m) \\ &= \sum_{l=1}^{\infty} \frac{(-i\mu)^l}{l!} c_0^l F(e^{-i\mu}, (1 + 2\alpha)l - q : m). \end{aligned}$$

We see easily that  $\{z = \exp(-i\mu); \mu \in D_{r, \beta, \varepsilon}\}$  is contained in a compact subset  $K$  of  $D = \mathbb{C} - [1, \infty)$  for all  $r$ . Then by Lemma 4.1, each term of the right hand side of (4.9) can be extended holomorphically into  $D_{r, \beta, \varepsilon}$ . Therefore, if we show that the right hand side of (4.9) converges absolutely in  $D_{r, \beta, \varepsilon}$ , it follows that  $R_\beta(\mu : q)$  can be extended analytically into  $D_{r, \beta, \varepsilon}$ .

Thus, by applying the previous lemma we have for all  $\mu \in D_{r, \beta, \varepsilon}$

$$\begin{aligned} & \left| \frac{(-i\mu)^l}{l!} c_0^l F(z, (1 + 2\alpha)l - q, m) \right| \\ & \leq C_{K, q} |z|^m (1 + |z|)^q \frac{|k|^l}{l!} |c_0|^l m^{-(1+2\alpha)l+q}. \end{aligned}$$

Here we applied Lemma 4.1 by taking  $s = (1 + 2\alpha)l - q$ , and used the fact that  $\Gamma(\operatorname{Re} s + a) = |\Gamma(s + a)|$ . Note that

$$\begin{aligned} |z^m| &= |e^{-ikm+m\sigma}| = e^{m\sigma} \leq C e^{r^{-\beta} \cdot r^\beta} = C, \\ m^{-(1+2\alpha)l} |k|^l &\leq (|k|^{-(1+2\alpha)\beta+1})^l = C |k|^{-\gamma l} \end{aligned}$$

where we set  $\gamma = (1 + 2\alpha)\beta - 1 > 0$ , and

$$|z| \leq \exp(r^{-\beta}) \leq C \quad \text{for all } z = e^{-i\mu}, \mu \in D_{r,\beta,\varepsilon}.$$

Then we have

$$\begin{aligned} &|R_\beta(\mu) - F(e^{-i\mu}, -q : m)| \\ &\leq C_{K,q} |k|^{q\beta} \sum_{l=1}^{\infty} \frac{1}{l!} (c_0 k^{-\gamma})^l \leq C_{K,q} c_0 |k|^{q\beta-\gamma}. \end{aligned}$$

Thus the desired properties of  $R_\beta(\mu : q)$  are proved for the special case.

Next consider the general case, that is, the case that  $c_j$ , ( $j \geq 1$ ) are not necessarily zero. We introduce some notations. Set

$$\begin{aligned} l &= (l_0, l_1, \dots, l_M) \in \{0, 1, \dots\}^{M+1}, \\ c &= (c_0, c_1, \dots, c_M) \quad \text{and} \quad A = (0, 1, \dots, M), \end{aligned}$$

and denote as

$$\begin{aligned} |l| &= l_0 + l_1 + \dots + l_M, \quad A \cdot l = l_1 + 2l_2 + \dots + M l_M, \\ c^l &= \prod_{j=0}^M c_j^{l_j}, \quad l! = \prod_{j=0}^M l_j!. \end{aligned}$$

Now we have the following expansion

$$\begin{aligned} &\exp\left(-\mu(n + c_0 n^{-1-2\alpha} + \dots + c_M n^{-1-(2+M)\alpha})\right) n^q \\ &= z^n \sum \frac{(-i\mu)^{|l|}}{l!} c^l n^{-1-(2|l|+A \cdot l)\alpha} n^q \end{aligned}$$

Thus, by replacing the expansion (4.8) by the above one, we can achieve the same argument as the special case, and get Lemma 4.2. Q.E.D.

§5. Proof of Theorem 1

First we shall show that the function  $u(x, \mu)$  defined by (3.2) can be extended analytically into the domain

$$D_{\beta, \varepsilon} = \cup_{|r| \geq C_{\beta, \varepsilon}} D_{r, \beta, \varepsilon}$$

where  $C_{\beta, \varepsilon}$  is a positive integer depending on  $\beta$  and  $\varepsilon$ . Secondly, we shall show that  $u(x, \mu)$  is a good approximation of the solution of (1.2) for all  $\mu \in D_{\beta, \varepsilon}$

Let  $\mu \in D_{r, \beta, \varepsilon}$  and set  $m_r = |r|^\beta$ . We express the function  $u(x, \mu)$  defined by (3.2) as follows:

$$\begin{aligned} (5.1) \quad u(x, \mu) &= \sum_{n=0}^{m_r} u_{2n}(x, \mu) + \sum_{n > m_r} u_{2n}(x, \mu) \\ &\quad - \sum_{n=0}^{m_r} u_{2n+1}(x, \mu) - \sum_{n > m_r} u_{2n+1}(x, \mu) \\ &= u_e^{(1)}(x, \mu) + u_e^{(2)}(x, \mu) - u_o^{(1)}(x, \mu) - u_o^{(2)}(x, \mu). \end{aligned}$$

Recall Proposition 3.1 and Lemma 3.2. Then we have

$$\begin{aligned} u_e^{(2)}(x, \mu) &= \sum_{p=0}^P (-i\mu)^{-p} \sum_{n \geq m_r} \exp(-i\mu(c_0(x) + 2nd + c_1(x)n^{-1-2\alpha} \\ &\quad + \dots + c_M(x)n^{-1-(M+1)\alpha})) \{w_{p0}(x)n^p + \dots + w_{pK}n^{p-K\alpha}\}. \end{aligned}$$

Thus, for each  $x \in \Omega(\delta)$  fixed,  $u_e^{(2)}(x, \mu)$  can be expressed by a summation of following terms:

$$(-i\mu)^{-p} R_\beta(\mu : p - j\alpha), \quad p = 0, \dots, P, \quad j = 0, \dots, K,$$

from which we see that  $u_e^{(2)}(x, \mu)$  can be extended analytically into  $D_{r, \beta, \varepsilon}$  beyond the real axis. Moreover, applying the estimate in Lemma 4.2 we have

$$(5.2) \quad |u_e^{(2)}(x, \mu)| \leq C_{N, \beta, \varepsilon} \sum_{p=0}^P |\mu|^{-p} |r|^{\beta p} \leq C'_{N, \beta, \varepsilon}$$

for all  $\mu \in D_{r, \beta, \varepsilon}$

(Recall that the constants  $P, K, M$  are determined by  $N$  through Proposition 3.1). Similarly we see that  $u_0^{(2)}(x, \mu)$  also can be extended analytically into  $D_{r,\beta,\varepsilon}$  and

$$(5.3) \quad |u_0^{(2)}(x, \mu)| \leq C_{N,\beta,\varepsilon} \sum_{p=0}^P |\mu|^{-p} |r|^{\beta p} \leq C'_{N,\beta,\varepsilon}$$

for all  $\mu \in D_{r,\beta,\varepsilon}$

holds.

Consider  $u_e^{(1)}(x, \mu)$ . Since it is a finite sum of entire functions, it is also an entire function. But it is important to get an estimate for  $\mu \in D_{r,\beta,\varepsilon}$ . For all  $n \leq m_r$  we have

$$\operatorname{Re} \varphi_{2n} \leq 2n\sigma \leq m_r \sigma \leq s_0,$$

where  $s_0$  is independent of  $r$ . Therefore we have for all  $\mu \in D_{r,\beta,\varepsilon}$

$$|u_{2n}(x, \mu)| \leq e^{s_0} \sum_{p=0}^P m_r^p |\mu|^{-p} \leq C_\beta,$$

from which it follows that

$$(5.4) \quad |u_e^{(1)}(x, \mu)| \leq C_\beta m_r.$$

Evidently the same estimate holds for  $u_0^{(1)}(x, \mu)$ . Thus we have the following

**Lemma 5.1.** *The function  $u(x, \mu)$  defined by (3.1) can be extended analytically into  $D_{\beta,\varepsilon}$  and the following estimate holds:*

$$(5.5) \quad |u(x, \mu)| \leq C_{N,\beta,\varepsilon} |\mu|^\beta \quad \text{for all } x \in \Omega(\delta), \mu \in D_{\beta,\varepsilon}.$$

Next consider  $(\Delta + \mu^2)u(x, \mu)$ . By applying the above argument to the expression (3.3), we get easily

$$(5.6) \quad |(\Delta + \mu^2)u(x, \mu)| \leq C_{N,\beta,\varepsilon} |\mu|^{-P} |r|^{\beta P}$$

for all  $x \in \Omega(\delta), \mu \in D_{r,\beta,\varepsilon}$ .

For the estimate of boundary value, we can use the same argument as above.

An in Section 3, by using the techniques in [4] and that of [10] jointly, we can easily construct by an explicit procedure from  $u(x, \mu)$  a function  $\tilde{u}(x, \mu)$  with the following properties:

**Proposition 5.2.** *Let  $N > 0$ ,  $\varepsilon_0 > 0$  and  $\beta > (1 + 2\alpha)^{-1}$  be fixed. For the oscillatory data  $g(x, \mu)$  of the form (3.1) we can construct a function  $\tilde{u}(x, \mu)$ , which is  $C^\infty(\bar{\Omega})$ -valued holomorphic function in  $D_{\beta, \varepsilon}$ , satisfying for all  $\mu \in D_{\beta, \varepsilon}$*

- (i)  $(\Delta + \mu^2)\tilde{u}(x, \mu) = 0$  in  $\Omega$ ,
- (ii)  $|\tilde{u}(x, \mu) - g| \leq C_{N, \beta, \varepsilon_0} |\mu|^{-N}$  for all  $x \in \Gamma_1$ ,
- (iii)  $|\tilde{u}(x, \mu)| \leq C_{N, \beta, \varepsilon_0} |\mu|^{-N}$  for all  $x \in \Gamma_2$ .

Theorem 1 in Introduction can be derived from the above proposition by a standard argument.

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