

## CHAPTER 4

### THEORY OF RECTIFIABLE $n$ -VARIFOLDS

Let  $M$  be a countably  $n$ -rectifiable,  $H^n$ -measurable subset of  $\mathbb{R}^{n+k}$ , and let  $\theta$  be a positive locally  $H^n$ -integrable function on  $M$ . Corresponding to such a pair  $(M, \theta)$  we define the rectifiable  $n$ -varifold  $\underline{v}(M, \theta)$  to be simply the equivalence class of all pairs  $(\tilde{M}, \tilde{\theta})$ , where  $\tilde{M}$  is countably  $n$ -rectifiable with  $H^n((M \sim \tilde{M}) \cup (\tilde{M} \sim M)) = 0$  and where  $\tilde{\theta} = \theta$   $H^n$ -a.e. on  $M \cap \tilde{M}$ . \*  $\theta$  is called the *multiplicity function* of  $\underline{v}(M, \theta)$ .  $\underline{v}(M, \theta)$  is called an integer multiplicity rectifiable  $n$ -varifold (more briefly, an *integer  $n$ -varifold*) if the multiplicity function is integer-valued  $H^n$ -a.e.

In this chapter and in Chapter 5 we develop the theory of general  $n$ -rectifiable varifolds, particularly concentrating on *stationary* (see §16) rectifiable  $n$ -varifolds, which generalize the notion of classical minimal submanifolds of  $\mathbb{R}^{n+k}$ . The key section is §17, in which we obtain the monotonicity formulae; much of the subsequent theory is based on these and closely related formulae.

#### §15. BASIC DEFINITIONS AND PROPERTIES

Associated to a rectifiable  $n$ -varifold  $V = \underline{v}(M, \theta)$  (as described above) there is a Radon measure  $\mu_V$  (called the *weight measure* of  $V$ ) defined by

$$15.1 \quad \mu_V = H^n \llcorner \theta ,$$

---

\* We shall see later that this is essentially equivalent to Allard's ([AW1]) notion of  $n$ -dimensional rectifiable varifold. In case  $M \subset U$ ,  $U$  open in  $\mathbb{R}^{n+k}$  and  $\theta$  is locally  $H^n$ -integrable in  $U$ , we say  $V = \underline{v}(M, \theta)$  (as defined above) is a *rectifiable  $n$ -varifold in  $U$* .

where we adopt the convention that  $\theta \equiv 0$  on  $\mathbb{R}^{n+k} \sim M$ . Thus for  $\mathcal{H}^n$ -measurable  $A$ ,

$$\mu_V(A) = \int_{A \cap M} \theta \, d\mathcal{H}^n,$$

The *mass* (or weight) of  $V$ ,  $\underline{M}(V)$ , is defined by

$$15.2 \quad \underline{M}(V) = \mu_V(\mathbb{R}^{n+k}).$$

Notice that, by virtue of Theorem 11.8, an abstract Radon measure  $\mu$  is actually  $\mu_V$  for some rectifiable varifold  $V$  if and only if  $\mu$  has an approximate tangent space  $T_x$  with multiplicity  $\theta(x) \in (0, \infty)$  for  $\mu$ -a.e.  $x \in \mathbb{R}^{n+k}$ . (See the statement of Theorem 11.8 for the terminology.) In this case  $V = \underline{v}(M, \theta)$ , where  $M = \{x : \theta^{*n}(\mu, x) > 0\}$ .

Given a rectifiable  $n$ -varifold  $V = \underline{v}(M, \theta)$ , we define the tangent space  $T_x V$  to be the approximate tangent space of  $\mu_V$  (as defined in the statement of Theorem 11.8) whenever this exists. Thus

$$15.3 \quad T_x V = T_x M \quad \mathcal{H}^n\text{-a.e. } x \in M$$

where  $T_x M$  is the approximate tangent space of  $M$  with respect to the multiplicity  $\theta$ . (See 11.4, 11.5.)

We also define, for  $V = \underline{v}(M, \theta)$ ,

$$15.4 \quad \text{spt } V = \text{spt } \mu_V,$$

and for any  $\mathcal{H}^n$ -measurable subset  $A \subset \mathbb{R}^{n+k}$ ,  $V \llcorner A$  is the rectifiable  $n$ -varifold defined by

$$15.5 \quad V \llcorner A = \underline{v}(M \cap A, \theta|_{(M \cap A)}).$$

Given  $V = \underline{v}(M, \theta)$  and a sequence  $V_k = \underline{v}(M_k, \theta_k)$  of rectifiable

$n$ -varifolds, we say that  $V_k \rightarrow V$  provided  $\mu_{V_k} \rightarrow \mu_V$  in the usual sense of Radon measures. (Notice that this is *not* varifold convergence in the sense of Chapter 8.)

Next we want to discuss the notion of mapping a rectifiable  $n$ -varifold relative to a Lipschitz map. Suppose  $V = \underline{v}(M, \theta)$ ,  $M \subset U$ ,  $U$  open in  $\mathbb{R}^{n+k}$ ,  $W$  open in  $\mathbb{R}^{n+k_1}$  and suppose  $f : \text{spt} V \cap U \rightarrow W$  is proper\*, Lipschitz and 1:1. Then we define the *image* varifold  $f_{\#} V$  by

$$15.6 \quad f_{\#} V = \underline{v}(f(M), \theta \circ f^{-1}) .$$

We leave it to the reader to check using 12.5 that  $f(M)$  is countably  $n$ -rectifiable and that  $\theta \circ f^{-1}$  is locally  $H^n$ -integrable in  $W$ , and therefore that 15.6 does define a rectifiable  $n$ -varifold in  $W$ . More generally if  $f$  satisfies the conditions above, except that  $f$  is not necessarily 1:1, then we define  $f_{\#} V$  by

$$f_{\#} V = \underline{v}(f(M), \tilde{\theta}) ,$$

where  $\tilde{\theta}$  is defined on  $f(M)$  by  $\sum_{x \in f^{-1}(y) \cap M} \theta(x) \left( \equiv \int_{f^{-1}(y) \cap M} \theta \, dH^0 \right)$ . Notice

that  $\tilde{\theta}$  is locally  $H^n$ -integrable in  $W$  by virtue of the area formula (see §12), and in fact

$$15.7 \quad \begin{aligned} \underline{M}(f_{\#} V) &= \int_{f(M)} \tilde{\theta} \, dH^n \\ &\equiv \int_M J_M^f \theta \, dH^n , \end{aligned}$$

where  $J_M^f$  is the Jacobian of  $f$  relative to  $M$  as defined in §12; that is

$$J_M^f = \sqrt{\det(d^M f_x)^* \circ d^M f_x}$$

---

\* i.e.  $f^{-1}(K) \cap \text{spt} V$  is compact whenever  $K$  is a compact subset of  $W$ .

where  $d_x^M f : T_x M \rightarrow \mathbb{R}^{n+k}$  is the linear map induced by  $f$  as described in §12.

## §16. FIRST VARIATION

Suppose  $\{\phi_t\}_{-\varepsilon < t < \varepsilon}$  ( $\varepsilon > 0$ ) is a 1-parameter family of diffeomorphisms of an open set  $U$  of  $\mathbb{R}^{n+k}$  satisfying

$$(i) \quad \phi_0 = \mathbb{1}_U, \exists \text{ compact } K \subset U \text{ such that } \phi_t|_{U \sim K} = \mathbb{1}_{U \sim K} \quad \forall t \in (-\varepsilon, \varepsilon)$$

16.1

$$(ii) \quad (x, t) \rightarrow \phi_t(x) \text{ is a smooth map } U \times (-\varepsilon, \varepsilon) \rightarrow U.$$

Then if  $V = \underline{v}(M, \theta)$  is a rectifiable  $n$ -varifold and if  $K \subset U$  is compact as in (i) above, we have, according to 15.7 above,

$$\underline{M}(\phi_{t\#}(VLK)) = \int_{M \cap K} J_M \phi_t \theta \, dH^n,$$

and we can compute the *first variation*  $\left. \frac{d}{dt} \underline{M}(\phi_{t\#}(VLK)) \right|_{t=0}$  exactly as in §9.

We thus deduce

$$16.2 \quad \left. \frac{d}{dt} \underline{M}(\phi_{t\#}(VLK)) \right|_{t=0} = \int_M \operatorname{div}_M X \, d\mu_V,$$

where  $X|_x = \left. \frac{\partial}{\partial t} \phi(t, x) \right|_{t=0}$  is the initial velocity vector for the family  $\{\phi_t\}$  and where  $\operatorname{div}_M X$  is as in §7:

$$\operatorname{div}_M X = \nabla_j^M X^j (\equiv e_j \cdot (\nabla_X^M j)).$$

( $\nabla_X^M j$  as in §12) we can therefore make the following definition.

16.3 DEFINITION  $V = \underline{v}(M, \theta)$  is *stationary* in  $U$  if  $\int \operatorname{div}_M X \, d\mu_V = 0$  for any  $C^1$  vector field  $X$  on  $U$  having compact support in  $U$ .

More generally if  $N$  is an  $(n+k_1)$ -dimensional submanifold of  $\mathbb{R}^{n+k}$  ( $k_1 \leq k$ ), if  $U$  is an open subset of  $N$ , if  $M \subset N$ , and if the family  $\{\phi_t\}$  is as in 16.1, then by Lemma 9.6 it is reasonable to make the following definition (in which  $\bar{B}$  is the second fundamental form of  $N$ ).

16.4 DEFINITION If  $U \subset N$  is open and  $M \subset N$  is as above, then we say

$V = \underline{v}(M, \theta)$  is *stationary in*  $U$  if

$$\int_U \operatorname{div}_M X \, d\mu_V = - \int_U X \cdot \bar{H}_M \, d\mu_V$$

whenever  $X$  is a  $C^1$  vector field in  $U$  with compact support in  $U$ ;

here  $\bar{H}_M = \sum_{i=1}^n \bar{B}_X(\tau_i, \tau_i)$ ,  $\tau_1, \dots, \tau_n$  any orthonormal basis for the approximate tangent space  $T_X M$  of  $M$  at  $X$ . (Notice that by 16.2, which remains valid when  $U \subset N$ , this is equivalent to  $\left. \frac{d}{dt} M(\phi_{t\#}(V \lfloor K)) \right|_{t=0} = 0$  whenever  $\{\phi_t\}$  are as in 16.1 with  $U \subset N$ .)

It will be convenient to generalize these notions of stationarity in the following way:

16.5 DEFINITION Suppose  $\underline{H}$  is a locally  $\mu_V$ -integrable function on  $M \cap U$  with values in  $\mathbb{R}^{n+k}$ . We say that  $V (= \underline{v}(M, \theta))$  has *generalized mean curvature*  $\underline{H}$  in  $U$  ( $U$  open in  $\mathbb{R}^{n+k}$ ) if

$$\int_U \operatorname{div}_M X \, d\mu_V = - \int_U X \cdot \underline{H} \, d\mu_V$$

whenever  $X$  is a  $C^1$  vector field on  $U$  with compact support in  $U$ .

## 16.6 REMARKS

(1) Notice that in case  $M$  is smooth with  $(\bar{M} \sim M) \cap U = \emptyset$ , and when  $\theta \equiv 1$ , the generalized mean curvature of  $V$  is exactly the ordinary mean

curvature of  $M$  as described in §7 (see in particular 7.6).

(2)  $V$  is stationary in  $U$  ( $U$  open in  $\mathbb{R}^{n+k}$ ) in the sense of 16.3 precisely when it has zero generalized mean curvature in  $U$ , and  $V$  is stationary in  $U$  ( $U$  open in  $N$ ) in the sense of 16.4 precisely when it has generalized mean curvature  $\bar{H}_M$ .

### §17. MONOTONICITY FORMULAE AND BASIC CONSEQUENCES

In this section we assume that  $U$  is open in  $\mathbb{R}^{n+k}$ ,  $V = \underline{v}(M, \theta)$  has generalized mean curvature  $\underline{H}$  in  $U$  (see 16.5), and we write  $\mu$  for  $\mu_V (= H^n L \theta$  as in 15.1).

Our aim is to obtain information about  $V$  by making appropriate choices of  $X$  in the formula (see 16.5)

$$17.1 \quad \int \operatorname{div}_M X \, d\mu = - \int X \cdot \underline{H} \, d\mu, \quad X \in C_c^1(U; \mathbb{R}^{n+k}).$$

First we choose  $X_x = \gamma(r)(x-\xi)$ , where  $\xi \in U$  is fixed,  $r = |x-\xi|$ , and  $\gamma$  is a  $C^1(\mathbb{R})$  function with

$$\gamma'(t) \leq 0 \quad \forall t, \quad \gamma(t) \equiv 1 \quad \text{for } t \leq \rho/2, \quad \gamma(t) \equiv 0 \quad \text{for } t > \rho,$$

where  $\rho > 0$  is such that  $\bar{B}_\rho(\xi) \subset U$ . (Here and subsequently  $B_\rho(\xi)$  denotes the open ball in  $\mathbb{R}^{n+k}$  with centre  $\xi$  and radius  $\rho$ .)

For any  $f \in C^1(U)$  and any  $x \in M$  such that  $T_x M$  exists (see 11.4-11.6) we have (by 12.1)  $\nabla^M f(x) = \sum_{j,\ell=1}^{n+k} e^{j\ell} D_\ell f(x) e_j$ , where  $D_\ell f$  denotes the partial derivative  $\frac{\partial f}{\partial x^\ell}$  of  $f$  taken in  $U$  and where  $(e^{j\ell})$  is the matrix

of the orthogonal projection of  $\mathbb{R}^{n+k}$  onto  $T_x M$ . Thus, writing

$\nabla_j^M = e_j \cdot \nabla^M$  (as in §16), with the above choice of  $X$  we deduce

$$\operatorname{div}_M X \equiv \sum_{j=1}^{n+k} \nabla_j^M X^j = \gamma(r) \sum_{j=1}^{n+k} e^{jj} + r\gamma'(r) \sum_{j,\ell=1}^{n+k} \frac{(x^j - \xi^j)}{r} \frac{(x^\ell - \xi^\ell)}{r} e^{j\ell}.$$

Since  $(e^{j\ell})$  represents orthogonal projection onto  $T_x M$  we have  $\sum_{j=1}^{n+k} e^{jj} = n$

and  $\sum_{j,\ell=1}^{n+k} \frac{(x^j - \xi^j)}{r} \frac{(x^\ell - \xi^\ell)}{r} e^{j\ell} = 1 - |D^\perp r|^2$ , where  $D^\perp r$  denotes the

orthogonal projection of  $Dr$  (which is a vector of length = 1) onto  $(T_x M)^\perp$ .

The formula 17.1 thus yields

$$(*) \quad n \int \gamma(r) d\mu + \int r\gamma'(r) d\mu = - \int \underline{H} \cdot (x - \xi) \gamma(r) d\mu + \int r\gamma'(r) |(Dr)^\perp|^2 d\mu$$

provided  $\bar{B}_\rho(\xi) \subset U$ . Now take  $\phi$  such that  $\phi(t) \equiv 1$  for  $t \leq 1/2$ ,

$\phi(t) = 0$  for  $t \geq 1$  and  $\phi'(t) \leq 0$  for all  $t$ . Then we can use (\*)

with  $\gamma(r) = \phi(r/\rho)$ . Since  $r\gamma'(r) = r\rho^{-1}\phi'(r/\rho) = -\rho \frac{\partial}{\partial \rho} [\phi(r/\rho)]$  this

gives

$$n I(\rho) - \rho I'(\rho) = J'(\rho) - L(\rho)$$

where

$$I(\rho) = \int \phi(r/\rho) d\mu, \quad L(\rho) = \int \phi(r/\rho) (x - \xi) \cdot \underline{H} d\mu$$

$$J(\rho) = \int \phi(r/\rho) |(Dr)^\perp|^2 d\mu.$$

Thus, multiply by  $\rho^{-n-1}$  and rearranging we have

$$17.2 \quad \frac{d}{d\rho} [\rho^{-n} I(\rho)] = \rho^{-n} J'(\rho) + \rho^{-n-1} L(\rho).$$

Thus letting  $\phi$  increase to the characteristic function of the interval

$(-\infty, 1)$ , we obtain, in the distribution sense,

$$17.3 \quad \frac{d}{d\rho} (\rho^{-n} \mu(B_\rho(\xi))) = \frac{d}{d\rho} \int_{B_\rho(\xi)} \frac{|D^1 r|^2}{r^n} d\mu + \rho^{-n-1} \int_{B_\rho(\xi)} (x-\xi) \cdot \underline{H} d\mu .$$

This is the fundamental *monotonicity identity*; since  $\mu(\bar{B}_\rho(\xi))$  and

$\int_{B_\rho(\xi)} \frac{|D^1 r|^2}{r^n}$  are *increasing* in  $\rho$  it also holds in the *classical sense*

for a.e.  $\rho > 0$  such that  $\bar{B}_\rho(\xi) \subset U$ . Notice that if  $\underline{H} \equiv 0$  then 17.3 tells us that the ratio  $\rho^{-n} \mu(B_\rho(\xi))$  is non-decreasing in  $\rho$ . Generally, by integrating with respect to  $\rho$  in 17.3 we get the identity

$$17.4 \quad \sigma^{-n} \mu(B_\sigma(\xi)) = \rho^{-n} \mu(B_\rho(\xi)) - \int_{B_\rho(\xi) \sim B_\sigma(\xi)} \frac{|D^1 r|^2}{r^n} d\mu \\ - \frac{1}{n} \int_{B_\rho(\xi)} (x-\xi) \cdot \underline{H} \left( \frac{1}{r_\sigma^n} - \frac{1}{\rho^n} \right) d\mu ,$$

for all  $0 < \sigma \leq \rho$  with  $\bar{B}_\rho(\xi) \subset U$ , where  $r_\sigma = \max\{r, \sigma\}$ , so that if  $\underline{H} \equiv 0$  we have the particularly interesting identity

$$17.5 \quad \sigma^{-n} \mu(B_\sigma(\xi)) = \rho^{-n} \mu(B_\rho(\xi)) - \int_{B_\rho(\xi) \sim B_\sigma(\xi)} \frac{|D^1 r|^2}{r^n} d\mu .$$

We now want to examine the important question of what 17.3 tells us in case we assume boundedness and  $L^p$  conditions on  $\underline{H}$ .

17.6 THEOREM *If  $\xi \in U$ ,  $0 < \alpha \leq 1$ ,  $\Lambda \geq 0$ , and if*

$$(*) \quad \alpha^{-1} \int_{B_\rho(\xi)} |\underline{H}| d\mu \leq \Lambda (\rho/R)^{\alpha-1} \mu(B_\rho(\xi)) \quad \text{for all } \rho \in (0, R) ,$$

where  $\bar{B}_R(\xi) \subset U$ , then  $e^{\Lambda R^{1-\alpha} \rho^\alpha} \rho^{-n} \mu(B_\rho(\xi))$  is a non-decreasing function of  $\rho \in (0, R)$ , and in fact

$$(1) \quad e^{\Lambda R^{1-\alpha} \sigma^\alpha} \sigma^{-n} \mu(B_\sigma(\xi)) \leq e^{\Lambda R^{1-\alpha} \rho^\alpha} \rho^{-n} \mu(B_\rho(\xi)) - \int_{B_\rho(\xi) \sim B_\sigma(\xi)} \frac{|D^1 r|^2}{r^n} d\mu$$

whenever  $0 < \sigma < \rho \leq R$ . Also,

$$(2) \quad e^{-\Lambda R^{1-\alpha} \sigma^\alpha} \sigma^{-n} \mu(B_\sigma(\xi)) \geq e^{-\Lambda R^{1-\alpha} \rho^\alpha} \rho^{-n} \mu(B_\rho(\xi)) - \int_{B_\rho(\xi) \setminus B_\sigma(\xi)} \frac{|D^1 r|^2}{r^n} d\mu$$

whenever  $0 < \sigma < \rho \leq R$ .

**Proof** To get (1) we simply multiply the identity 17.3 by the integrating factor  $e^{\Lambda R^{1-\alpha} \rho^\alpha}$ , whereupon, after using (\*), we obtain

$$\frac{d}{d\rho} \left( e^{\Lambda R^{1-\alpha} \rho^\alpha} \rho^{-n} \mu(B_\rho(\xi)) \right) \geq \frac{d}{d\rho} \int_{B_\rho(\xi)} \frac{|D^1 r|^2}{r^n} d\mu, \text{ in the sense of distributions.}$$

(2) is proved similarly except that this time we multiply through in 17.3 by the integrating factor  $e^{-\Lambda R^{1-\alpha} \rho^\alpha}$ .

**17.7 THEOREM** If  $\xi \in U$ , and  $\left( \int_{B_R(\xi)} |\underline{H}|^p d\mu \right)^{1/p} \leq \Gamma$ , where  $\overline{B_R}(\xi) \subset U$  and  $p > n$ , then

$$(\sigma^{-n} \mu(B_\sigma(\xi)))^{1/p} \leq (\rho^{-n} \mu(B_\rho(\xi)))^{1/p} + \frac{\Gamma}{p-n} (\rho^{1-n/p} \sigma^{1-n/p})$$

whenever  $0 < \sigma < \rho \leq R$ .

**Proof** Using the Hölder inequality, we obtain from 17.2 that

$$\frac{d}{d\rho} (\rho^{-n} \Gamma(\rho)) \geq -\rho^{-n} \Gamma(\rho)^{1-1/p}$$

for  $L^1$ -a.e.  $\rho \in (0, R)$ . Hence

$$\frac{d}{d\rho} (\rho^{-n} \Gamma(\rho))^{1/p} \geq -p \rho^{-n/p} \Gamma.$$

Thus, integrating over  $(\sigma, \rho)$  and letting  $\phi$  increase to the characteristic function of  $(-\infty, 1)$  as before, we deduce the required inequality.

17.8 COROLLARY If  $\underline{H} \in L_{loc}^p(\mu)$  in  $U$  for some  $p > n$ , then the density

$$\Theta^n(\mu, x) = \lim_{\rho \downarrow 0} \frac{\mu(\bar{B}_\rho(x))}{\omega_n \rho^n} \quad \text{exists at every point } x \in U, \text{ and } \Theta^n(\mu, \cdot) \text{ is an}$$

upper-semi-continuous function in  $U$ :

$$\Theta^n(\mu, x) \geq \limsup_{y \rightarrow x} \Theta^n(\mu, y) \quad \forall x \in U.$$

Proof The inequality 17.7 tells us that  $(\rho^{-n} \mu(B_\rho(\xi)))^{1/p} + \frac{1}{p-n} \Gamma \rho^{1-n/p}$  is a non-decreasing function of  $\rho$ ; hence  $\lim_{\rho \downarrow 0} \rho^{-n} \mu(B_\rho(\xi))$  exists (and is the same as  $\lim_{\rho \downarrow 0} \rho^{-n} \mu(\bar{B}_\rho(\xi))$ ). We also deduce that

$$\begin{aligned} (\sigma^{-n} \mu(B_\sigma(y)))^{1/p} &\leq (\rho^{-n} \mu(B_\rho(y)))^{1/p} + c \rho^{1-n/p} \\ &\leq (\rho^{-n} \mu(B_{\rho+\varepsilon}(x)))^{1/p} + c \rho^{1-n/p} \end{aligned}$$

whenever  $\sigma < \rho$ ,  $\varepsilon > 0$ ,  $B_{\rho+\varepsilon}(x) \subset U$  and  $|y-x| < \varepsilon$ . Letting  $\sigma \downarrow 0$  we thus have

$$(\Theta^n(\mu, y))^{1/p} \leq (\omega_n^{-1} (\rho+\varepsilon)^{-n} \mu(B_{\rho+\varepsilon}(x)))^{1/p} (1+\varepsilon/\rho)^{n/p} + c \rho^{1-n/p}.$$

Now let  $\delta > 0$  be given and choose  $\varepsilon \ll \rho < \delta$  so that

$$(\omega_n^{-1} (\rho+\varepsilon)^{-n} \mu(B_{\rho+\varepsilon}(x)))^{1/p} (1+\varepsilon/\rho)^{n/p} < (\Theta^n(\mu, x))^{1/p} + \delta.$$

Then the above inequality gives

$$(\Theta^n(\mu, y))^{1/p} \leq (\Theta^n(\mu, x))^{1/p} + c \rho^{1-n/p}$$

( $c$  depends on  $x$  but is independent of  $\delta, \varepsilon$ ) provided  $|y-x| < \varepsilon$ . Thus the required upper-semi-continuity is proved.

## 17.9 REMARKS

(1) If  $\theta \geq 1$   $\mu$ -a.e. in  $U$ , then  $\Theta^n(\mu, x) \geq 1$  at each point of  $\text{spt } \mu \cap U$ , and hence we can write  $V \llcorner U = \underline{v}(M_*, \theta_*)$  where  $M_* = \text{spt } \mu \cap U$ ,  $\theta_*(x) = \Theta^n(\mu, x)$ ,  $x \in U$ . Thus  $V \llcorner U$  is represented in terms of a relatively closed countably  $n$ -rectifiable set with upper-semi-continuous multiplicity function.

(2) If  $\xi \in U$ ,  $\Theta^n(\mu, \xi) \geq 1$ , and  $\left( \omega_n^{-1} \int_{B_R(\xi)} |\underline{H}|^p d\mu \right)^{1/p} \leq \Gamma(1-n/p)$ , where

$\bar{B}_R(\xi) \subset U$  and  $p > n$ , then both inequalities 17.6(1), (2) hold with  $\Lambda = 2\Gamma R^{-n/p}$  and  $\alpha = 1-n/p$ , provided  $\Gamma \rho^{1-n/p} \leq 1/2$ . To see this, just use Hölder's inequality to give

$$(*) \quad \int_{B_\rho(\xi)} |\underline{H}| d\mu \leq \Gamma(\mu(B_\rho(\xi)))^{1-1/p} = \Gamma \mu(B_\rho(\xi)) (\mu(B_\rho(\xi)))^{-1/p}.$$

On the other hand, letting  $\sigma \downarrow 0$  in 17.7 we have

$$\mu(B_\rho(\xi)) \geq \omega_n \rho^{n(1-\Gamma \rho^{1-n/p})^p},$$

so that  $\mu(B_\rho(\xi)) \geq \frac{1}{2} \omega_n \rho^n$  for  $\Gamma \rho^{1-n/p} \leq \frac{1}{2}$ , and (\*) gives

$$\int_{B_\rho(\xi)} |\underline{H}| d\mu \leq 2 \Gamma \mu(B_\rho(\xi)) \rho^{-n/p}. \text{ Thus the hypotheses of 17.6 hold}$$

with  $\Lambda = 2 \Gamma R^{-n/p}$ .

(3) Notice that either 17.6(1) or 17.7 give bounds of the form  $\mu(B_\sigma(\xi)) \leq \beta \sigma^n$ ,  $0 < \sigma < R$  for suitable constant  $\beta$ . Such an inequality implies

$$\int_{B_\rho(\xi)} |x-\xi|^{\alpha-n} d\mu \leq n\beta \alpha^{-1} \rho^\alpha$$

for any  $\rho \in (0, R)$  and  $0 < \alpha < n$ . This is proved by using the following general fact with  $f(t) = t^{-1}$ ,  $t_0 = \rho^{-1}$ , and with  $n-\alpha$  in place of  $\alpha$ .

17.10 LEMMA If  $X$  is an abstract space,  $\mu$  is a measure on  $X$ ,  $\alpha > 0$ ,  $f \in L^1(\mu)$ ,  $f \geq 0$ , and if  $A_t = \{x \in X : f(x) > t\}$ , then

$$\int_0^\infty t^{\alpha-1} \mu(A_t) dt = \alpha^{-1} \int_{A_0} f^\alpha d\mu.$$

More generally

$$\int_{t_0}^\infty t^{\alpha-1} \mu(A_t) dt = \alpha^{-1} \int_{A_{t_0}} (f^\alpha - t_0^\alpha) d\mu$$

for each  $t_0 \geq 0$ .

This is proved simply by applying Fubini's theorem on the product space  $A_{t_0} \times [t_0, \infty)$  for  $t_0 > 0$ .

The observation of the following lemma is important.

17.11 LEMMA Suppose  $\theta \geq 1$   $\mu$ -a.e. in  $U$ ,  $\underline{H} \in L^p_{loc}(\mu)$  in  $U$  for some  $p > n$ . If the approximate tangent space  $T_x V$  (see §15) exists at a given point  $x \in U$ , then  $T_x V$  is a "classical" tangent plane for  $\text{spt } \mu$  in the sense that

$$\lim_{\rho \downarrow 0} (\sup\{\rho^{-1} \text{dist}(y, T_x V) : y \in \text{spt } \mu \cap B_\rho(x)\}) = 0.$$

PROOF For sufficiently small  $R$  (with  $B_{2R}(x) \subset U$ ), 17.7, 17.8 (with  $\sigma \downarrow 0$ ) evidently imply

$$(1) \quad \omega_n^{-1} \rho^{-n} \mu(B_\rho(\xi)) \geq 1/2, \quad 0 < \rho < R, \quad \xi \in \text{spt } \mu \cap B_R(x).$$

Using this we are going to prove that if  $\alpha \in (0, 1/2)$  and  $\rho \in (0, R)$  then

$$(2) \quad \mu(B_\rho(x) \sim \{y : \text{dist}(y, T_x V) < \varepsilon \rho\}) < \frac{\omega_n}{2} \alpha^n \rho^n =$$

$$\text{spt } \mu \cap B_{\rho/2}(x) \subset \{y : \text{dist}(y, T_x V) < (\varepsilon + \alpha)\rho\}.$$

Indeed if  $\xi \in \{y : \text{dist}(y, T_x V) \geq (\varepsilon + \alpha)\rho\} \cap B_{\rho/2}(x)$ , then

$B_{\alpha\rho}(\xi) \subset B_{\rho}(x) \sim \{y : \text{dist}(y, T_x V) < \varepsilon\rho\}$  and hence the hypothesis of (2)

implies  $\mu(B_{\alpha\rho}(\xi)) < \frac{1}{2} \omega_n \alpha^n \rho^n$ . On the other hand (1) implies

$\mu(B_{\alpha\rho}(\xi)) \geq \frac{1}{2} \omega_n \alpha^n \rho^n$ , so we have a contradiction. Thus (2) is proved,

and (2) evidently leads immediately to the required result.

## 518. POINCARÉ AND SOBOLEV INEQUALITIES (\*)

In this section we continue to assume that  $V = \underline{v}(M, \theta)$  has generalized mean curvature  $\underline{H}$  in  $U$ , and we again write  $\mu$  for  $\mu_V$ . We shall also assume  $\theta \geq 1$   $\mu$ -a.e.  $x \in U$  (so that (by 17.9)  $\theta^n(\mu, x) \geq 1$  everywhere in  $\text{spt} \mu \cap U$  if  $\underline{H} \in L^p_{\text{loc}}(\mu)$  for some  $p > n$ ).

We begin by considering the possibility of repeating the argument of the previous section, but with  $X_x = h(x)\gamma(r)(x-\xi)$  (rather than  $X_x = \gamma(r)(x-\xi)$  as before), where  $h$  is a non-negative function in  $C^1(U)$ . In computing  $\text{div}_M X$  we will get the additional term  $\gamma(r)(x-\xi) \cdot \nabla^M h$ , and other terms will be as before with an additional factor  $h(x)$  everywhere. Thus in place of 17.2 we get

$$18.1 \quad \frac{\partial}{\partial \rho} (\rho^{-n} \tilde{I}(\rho)) = \rho^{-n} \frac{\partial}{\partial \rho} \int |(Dr)|^2 h \phi(r/\rho) d\mu \\ + \rho^{-n-1} \int (x-\xi) \cdot [\nabla^M h + \underline{H}h] \phi(r/\rho) d\mu$$

where now  $\tilde{I}(\rho) = \int \phi(r/\rho) h d\mu$ .

Thus

$$\frac{\partial}{\partial \rho} [\rho^{-n} \tilde{I}(\rho)] \geq \rho^{-n-1} \int (x-\xi) \cdot (\nabla^M h + \underline{H}h) \phi(r/\rho) d\mu$$

$\equiv R$  say .

---

(\*) The results of this section are not needed in the sequel.

We can estimate the right-side  $R$  here in two ways: if  $|\underline{H}| \leq \Lambda$  we have

$$(*) \quad R \geq -\rho^{-n-1} \int r |\nabla^M h| \phi(x/\rho) d\mu - (\Lambda\rho) \rho^{-n} \tilde{I}(\rho) .$$

Alternatively, without any assumption on  $\underline{H}$  we can clearly estimate

$$(**) \quad R \geq -\rho^{-n-1} \int r (|\nabla^M h| + h|\underline{H}|) \phi(x/\rho) d\mu .$$

If we use  $(*)$  in 18.1 and integrate (making use of 17.10) we obtain (after letting  $\phi$  increase to the characteristic function of  $(-\infty, 1)$  as before)

$$18.2 \quad \frac{\int_{B_\sigma(\xi)} h d\mu}{\omega_n \sigma^n} \leq e^{\Lambda\rho} \left[ \frac{\int_{B_\rho(\xi)} h d\mu}{\omega_n \rho^n} + \frac{1}{n\omega_n} \int_{B_\rho(\xi)} \frac{|\nabla^M h|}{|x-\xi|^{n-1}} d\mu \right] ,$$

provided  $B_\rho(\xi) \subset U$  and  $0 < \sigma < \rho$ .

If instead we use  $(**)$  then we similarly get

$$\frac{\int_{B_\sigma(\xi)} h d\mu}{\omega_n \sigma^n} \leq \frac{\int_{B_\rho(\xi)} h d\mu}{\omega_n \rho^n} + \omega_n^{-1} \int_\sigma^\rho \tau^{-n-1} \int_{B_\tau(\xi)} r (|\nabla^M h| + h|\underline{H}|) d\mu d\tau .$$

and hence (by 17.10 again)

$$18.3 \quad \frac{\int_{B_\sigma(\xi)} h d\mu}{\omega_n \sigma^n} \leq \frac{\int_{B_\rho(\xi)} h d\mu}{\omega_n \rho^n} + (n\omega_n)^{-1} \int_{B_\rho(\xi)} \frac{(|\nabla^M h| + h|\underline{H}|)}{|x-\xi|^{n-1}} d\mu$$

provided  $B_\rho(\xi) \subset U$  and  $0 < \sigma < \rho$ .

If we let  $\sigma \downarrow 0$  in 18.2 then we get (since  $\Theta(\mu, \xi) \geq 1$  for  $\xi \in \text{spt } \mu$ )

$$h(\xi) \leq e^{\Lambda\rho} \left( \frac{\int_{B_\rho(\xi)} h d\mu}{\omega_n \rho^n} + \frac{1}{n\omega_n} \int_{B_\rho(\xi)} \frac{|\nabla^M h|}{|x-\xi|^{n-1}} \right) , \quad \xi \in \text{spt } \mu , \quad B_\rho(\xi) \subset U .$$

We now state our Poincaré-type inequality.

18.4 THEOREM Suppose  $h \in C^1(U)$ ,  $h \geq 0$ ,  $B_{2\rho}(\xi) \subset U$ ,  $|\underline{H}| \leq \Lambda$ ,  $\theta \geq 1$   $\mu$ -a.e. in  $U$  and

$$(i) \quad \mu\{x \in B_\rho(\xi) : h(x) > 0\} \leq (1-\alpha)\omega_n \rho^n, \quad e^{\Lambda\rho} \leq 1+\alpha$$

for some  $\alpha \in (0,1)$ . Suppose also that

$$(ii) \quad \mu(B_{2\rho}(\xi)) \leq \Gamma \rho^n, \quad \Gamma > 0.$$

Then there are constants  $\beta = \beta(n,\alpha,\Gamma) \in (0,1/2)$  and  $c = c(n,\alpha,\Gamma) > 0$  such that

$$\int_{B_{\beta\rho}(\xi)} h \, d\mu \leq c\rho \int_{B_\rho(\xi)} |\nabla^M h| \, d\mu.$$

Proof To begin we take  $\beta$  to be an arbitrary parameter in  $(0,1/2)$  and apply 18.2 with  $\eta \in B_{\beta\rho}(\xi) \cap \text{spt}\mu$  in place of  $\xi$ . This gives

$$(1) \quad h(\eta) \leq e^{\Lambda(1-\beta)\rho} \left[ \frac{\int_{B_{(1-\beta)\rho}(\eta)} h \, d\mu}{\omega_n ((1-\beta)\rho)^n} + \frac{1}{n\omega_n} \int_{B_{(1-\beta)\rho}(\xi)} \frac{|\nabla^M h|}{|x-\eta|^{n-1}} \, d\mu \right]$$

$$\leq e^{\Lambda\rho} \left[ (1-\beta)^{-n} \frac{\int_{B_\rho(\xi)} h \, d\mu}{\omega_n \rho^n} + \frac{1}{n\omega_n} \int_{B_\rho(\xi)} \frac{|\nabla^M h|}{|x-\eta|^{n-1}} \, d\mu \right].$$

Now let  $\gamma$  be a fixed  $C^1$  non-decreasing function on  $\mathbb{R}$  with  $\gamma(t) = 0$  for  $t \leq 0$  and  $\gamma(t) \leq 1$  everywhere, and apply (1) with  $\gamma(h-t)$  in place of  $h$ , where  $t \geq 0$  is fixed. Then by (1)

$$\gamma(h(\eta)-t) \leq \frac{1+\alpha}{n\omega_n} \int_{B_\rho(\xi)} \frac{\gamma'(h-t) |\nabla^M h|}{|x-\eta|^{n-1}} \, d\mu + (1-\alpha^2)(1-\beta)^{-n}.$$

Selecting  $\beta$  small enough so that  $(1-\beta)^{-n}(1-\alpha^2) \leq 1-\alpha^2/2$ , we thus get

$$(2) \quad \frac{\alpha^2}{2} \leq \frac{1+\alpha}{n\omega_n} \int_{B_\rho(\xi)} \frac{\gamma'(h-t) |\nabla^M h|}{|x-\eta|^{n-1}} d\mu$$

for any  $\eta \in B_{\beta\rho}(\xi) \cap \text{spt}\mu$  such that  $\gamma(h(\eta)-t) \geq 1$ . Now let  $\varepsilon > 0$  and choose  $\gamma$  such that  $\gamma(t) \equiv 1$  for  $t \geq 1+\varepsilon$ . Then (2) implies

$$(3) \quad 1 \leq c \int_{B_\rho(\xi)} \frac{\gamma'(h-t) |\nabla^M h|}{|x-\eta|^{n-1}} d\mu, \quad \eta \in B_{\beta\rho}(\xi) \cap A_{t+\varepsilon},$$

where  $A_\tau = \{y \in \text{spt}\mu : h(y) > \tau\}$ . Integrating over  $A_{t+\varepsilon} \cap B_{\beta\rho}(\xi)$  we thus get (after interchanging the order of integration on the right)

$$\begin{aligned} (A_{t+\varepsilon} \cap B_{\beta\rho}(\xi)) &\leq c \int_{B_\rho(\xi)} \gamma'(h(x)-t) |\nabla^M h(x)| \left( \int_{B_{\beta\rho}(\xi)} \frac{1}{|x-\eta|^{n-1}} d\mu(\eta) \right) d\mu(x) \\ &\leq c\Gamma\rho \int_{B_\rho(\xi)} \gamma'(h-t) |\nabla^M h| d\mu \end{aligned}$$

by hypothesis (ii) and Remark 17.9(3). Since  $\gamma'(h(x)-t) = -\frac{\partial}{\partial t} \gamma(h(x)-t)$  we can now integrate over  $t \in (0, \infty)$  to obtain (from 17.10) that

$$\int_{A_\varepsilon \cap B_{\beta\rho}(\xi)} (h-\varepsilon) \leq c\Gamma\rho \int_{B_\rho(\xi)} |\nabla^M h| d\mu.$$

Letting  $\varepsilon \downarrow 0$ , we have the required inequality.

18.5 REMARK If we drop the assumption that  $\theta \geq 1$ , then the above argument still yields

$$\int_{\{x:\theta(x) \geq 1\} \cap B_{\beta\rho}(\xi)} h d\mu \leq c\rho \int_{B_\rho(\xi)} |\nabla^M h| d\mu.$$

We can also prove a Sobolev inequality as follows.

18.6 THEOREM Suppose  $h \in C_0^1(U)$ ,  $h \geq 0$ , and  $\theta \geq 1$   $\mu$ -a.e. in  $U$ .

Then

$$\left( \int h^{\frac{n}{n-1}} d\mu \right)^{\frac{n-1}{n}} \leq c \int (|\nabla h|^M + h|\underline{H}|) d\mu, \quad c = c(n).$$

Note:  $c$  does not depend on  $k$ .

Proof In the proof we shall need the following simple calculus lemma.

18.7 LEMMA Suppose  $f, g$  are bounded and non-decreasing on  $(0, \infty)$  and

$$(1) \quad 1 \leq \sigma^{-n} f(\sigma) \leq \rho^{-n} f(\rho) + \int_0^\rho \tau^{-n} g(\tau) d\tau, \quad 0 < \sigma < \rho < \infty.$$

then  $\exists \rho$  with  $0 < \rho < \rho_0 \equiv 2(f(\infty))^{1/n}$  ( $f(\infty) = \lim_{\rho \uparrow \infty} f(\rho)$ ) such that

$$(2) \quad f(5\rho) \leq \frac{1}{2} 5^n \rho_0^{-n} g(\rho).$$

Proof of Lemma Suppose (2) is false for each  $\rho \in (0, \rho_0)$ . Then (1)  $\Rightarrow$

$$\begin{aligned} 1 &\leq \sup_{0 < \sigma < \rho_0} \sigma^{-n} f(\sigma) \leq \rho_0^{-n} f(\rho_0) + \frac{2 \cdot 5^{-n}}{\rho_0} \int_0^{\rho_0} \rho^{-n} f(5\rho) d\rho \\ &\equiv \rho_0^{-n} f(\rho_0) + \frac{2}{5\rho_0} \int_0^{5\rho_0} \rho^{-n} f(\rho) d\rho \\ &\equiv \rho_0^{-n} f(\rho_0) + \frac{2}{5\rho_0} \left( \int_0^{\rho_0} \rho^{-n} f(\rho) d\rho + \int_{\rho_0}^{5\rho_0} \rho^{-n} f(\rho) d\rho \right) \\ &\leq \rho_0^{-n} f(\infty) + \frac{2}{5} \sup_{0 < \rho < \rho_0} \rho^{-n} f(\rho) + \frac{2}{5(n-1)} \rho_0^{-n} f(\infty). \end{aligned}$$

Thus

$$\frac{1}{2} \leq \frac{1}{2} \sup_{0 < \sigma < \rho_0} \sigma^{-n} f(\sigma) < 2\rho_0^{-n} f(\infty) = 2^{-n}, \quad \text{which is a}$$

contradiction.

Continuation of the proof of Theorem 18.6

First note that because  $h$  has compact support in  $U$ , the formula 18.3 is actually valid here for all  $0 < \sigma < \rho < \infty$ . Hence we can apply the above lemma with the choices

$$f(\rho) = \omega_n^{-1} \int_{B_\rho(\xi)} h \, d\mu,$$

$$g(\rho) = \omega_n^{-1} \int_{B_\rho(\xi)} (|\nabla^M h| + h|\underline{H}|) \, d\mu,$$

provided that  $\xi \in \text{spt } \mu$  and  $h(\xi) \geq 1$ .

Thus for each  $\xi \in \{x \in \text{spt } \mu : h(x) \geq 1\}$  we have  $\rho < 2(\omega_n^{-1} \int_M h \, d\mu)^{1/n}$  such that

$$(1) \quad \int_{B_{5\rho}(\xi)} h \, d\mu \leq 5^n (\omega_n^{-1} \int_M h \, d\mu)^{1/n} \int_{B_\rho(\xi)} (|\nabla^M h| + h|\underline{H}|) \, d\mu.$$

Using the covering Lemma (Theorem 3.3) we can select disjoint balls

$B_{\rho_1}(\xi_1), B_{\rho_2}(\xi_2), \dots, B_{\rho_j}(\xi_j), \dots$  such that  $\xi_i \in \{\xi \in \text{spt } \mu : h(\xi) \geq 1\}$  such that  $\{\xi \in M : h(\xi) \geq 1\} \subset \bigcup_{j=1}^{\infty} B_{5\rho_j}(\xi_j)$ . Then applying (1) and summing over  $j$  we have

$$\int_{\{x \in \text{spt } \mu : h(x) \geq 1\}} h \, d\mu \leq 5^n \left( \omega_n^{-1} \int_M h \, d\mu \right)^{1/n} \int_M (|\nabla^M h| + h|\underline{H}|) \, d\mu.$$

Next let  $\gamma$  be a non-decreasing  $C^1(\mathbb{R})$  function such that  $\gamma(t) \equiv 1$  for  $t > \epsilon$  and  $\gamma(t) \equiv 0$  for  $t < 0$ , and use this with  $\gamma(h-t)$ ,  $t \geq 0$ , in place of  $h$ . This gives

$$\mu(M_{t+\epsilon}) \leq 5^n (\omega_n^{-1} (\mu(M_t))^{1/n} \int_M (\gamma'(h-t) |\nabla^M h| + \gamma(h-t) |\underline{H}|) \, d\mu,$$

where

$$M_\alpha = \{x \in M : h(x) > \alpha\}, \quad \alpha \geq 0.$$

Multiplying this inequality by  $(t+\epsilon)^{\frac{1}{n-1}}$  and using the trivial inequality  $(t+\epsilon)^{\frac{1}{n-1}} \mu(M_t) \leq \int_{M_t} (h+\epsilon)^{\frac{1}{n-1}} d\mu$  on the right, we then get

$$(t+\epsilon)^{\frac{1}{n-1}} \mu(M_{t+\epsilon}) \leq 5^n \omega_n^{-1/n} \left[ \int_M (h+\epsilon)^{\frac{n}{n-1}} d\mu \right]^{1/n} \left( -\frac{d}{dt} \int_M \gamma(\xi-t) |\nabla^M h| + \int_{M_t} |\underline{H}| d\mu \right).$$

Now integrate of  $t \in (0, \infty)$  and use 17.10. This then gives

$$\int_{M_\epsilon} \left( h^{\frac{n}{n-1}} - \epsilon^{\frac{n}{n-1}} \right) d\mu \leq 5^{n+1} \omega_n^{-1/n} \left( \int_M (h+\epsilon)^{\frac{n}{n-1}} \right)^{1/n} \int_M (|\nabla^M h| + h |\underline{H}|) d\mu.$$

The theorem (with  $c = 5^{n+1} \omega_n^{-1/n}$ ) now follows by letting  $\epsilon \downarrow 0$ .

18.8 REMARK Note that the inequality of Theorem 18.6 is valid without any boundedness hypothesis on  $\underline{H}$ : it suffices that  $\underline{H}$  is merely in  $L^1_{loc}(\mu)$ .

### §19. MISCELLANEOUS ADDITIONAL CONSEQUENCES OF THE MONOTONICITY FORMULAE

Here  $V = \underline{v}(M, \theta)$  is a rectifiable  $n$ -varifold in  $\mathbb{R}^{n+k}$  and we continue to assume  $V$  has an  $L^1_{loc}(\mu_V)$  mean curvature  $\underline{H}$  in  $U$ ,  $U$  open in  $\mathbb{R}^{n+k}$ .

We first want to derive convex hull properties for  $V$  in case  $\underline{H}$  is bounded.

19.1 LEMMA Suppose  $U = \mathbb{R}^{n+k} \sim \bar{B}_R(\xi)$  and  $n^{-1} |\underline{H}(x) \cdot (x-\xi)| < 1$   $\mu_V$ -a.e.  $x \in U$ , and suppose  $\text{spt } V$  is compact. Then

$$\text{spt } V \subset \bar{B}_R(\xi).$$

(i.e.  $V \llcorner U = 0$ .)

Proof Since  $\text{spt } V$  is compact it is easily checked that the formulae (see §17)

$$n \int \gamma(r) d\mu_V + \int r\gamma'(r) (1 - |D^\perp r|^2) d\mu_V = - \int \underline{H}(x) \cdot (x - \xi) \gamma(r) d\mu_V(x)$$

(where  $r = |x - \xi|$ ) actually holds for any non-negative non-decreasing  $C^1(\mathbb{R})$  function  $\gamma$  with  $\gamma(t) = 0$  for  $t \leq R + \varepsilon$ . ( $\varepsilon > 0$  arbitrary.) We see this as in §17, by substituting  $X(x) = \psi(x) \gamma(r) (x - \xi)$ , where  $\psi \equiv 1$  in a neighbourhood of  $\text{spt } V$ . Since  $1 - |D^\perp r|^2 \geq 0$  and  $|\underline{H} \cdot (x - \xi)| < n \mu_V$ -a.e., we thus deduce  $\int \gamma(r) d\mu_V = 0$  for any such  $\gamma$ . Since we may select  $\gamma$  so that  $\gamma(t) > 0$  for  $t > R + \varepsilon$ , we thus conclude  $\text{spt } V (\equiv \text{spt } \mu_V) \subset \bar{B}_{R+\varepsilon}(\xi)$ . Because  $\varepsilon > 0$  was arbitrary, this proves the lemma.

## 19.2 THEOREM (Convex hull property for stationary varifolds)

Suppose  $\text{spt } V$  is compact and  $V$  is stationary in  $\mathbb{R}^{n+k} \sim K$ ,  $K$  compact. Then

$$\text{spt } V \subset \text{convex hull of } K.$$

Proof The convex hull of  $K$  can be written as the intersection of all balls  $B_R(\xi)$  with  $K \subset B_R(\xi)$ . Hence the result follows immediately from 19.1.

Next we want to derive a rather important fact concerning existence of "tangent cones" for  $V$  in  $U$ . We will actually derive much more general theorems of this type later (in Chapter 10); the present simple result suffices for our applications to minimizing currents in Chapter 7.

The main idea here is to consider the possibility of getting a cone (or a plane) as the limit when we take a sequence of enlargements near a given point  $\xi \in U$ . Specifically, we use the transformation  $\eta_{\xi, \lambda} : x \mapsto \lambda^{-1}(x - \xi)$ ,

and we consider the sequence  $V_j = \eta_{\xi, \lambda_j \#} V$  (see 15.6 for notation) of "enlargements" of  $V$  centred at  $\xi$  for a sequence  $\lambda_j \downarrow 0$ .

19.3 THEOREM Suppose  $\xi \in U$ ,  $\Theta^n(\mu_V, \xi) = \lim_{\rho \downarrow 0} \frac{\mu_V(\bar{B}_\rho(\xi))}{\omega_n \rho^n}$  exists, and, with

$V_j = \eta_{\xi, \lambda_j \#} V$  as above, suppose  $\mu_{V_j} \rightarrow \mu_W$  in the sense of Radon measures in  $\mathbb{R}^{n+k}$ , where  $W$  is a rectifiable  $n$ -varifold which is stationary in all of  $\mathbb{R}^{n+k}$ . Then  $W$  is a cone, in the sense that  $W = \underline{v}(C, \psi)$ , where  $C$  is a countably  $n$ -rectifiable set invariant under all homotheties  $x \rightarrow \lambda^{-1}x$ ,  $\lambda > 0$ , and  $\psi$  is a positive locally  $H^n$ -integrable function on  $C$  with  $\psi(x) \equiv \psi(\lambda^{-1}x)$  for  $x \in C$ ,  $\lambda > 0$ .

19.4 REMARK We do not need to assume  $V$  has a generalized mean curvature here. However note that (by 17.8) generalized mean curvature in  $L^p_{loc}(\mu_V)$ ,  $p > n$ , guarantees the hypothesis that  $\Theta^n(\mu, x)$  exists. Furthermore, in later applications the fact that the limit varifold  $W$  is stationary will often be a *consequence* of the fact that  $V$  has a generalized mean curvature which satisfies suitable restrictions near  $\xi$ .

Proof of 19.3 Whenever  $\mu_W(\partial B_\sigma(0)) = 0$  (which is true except possibly for countably many  $\sigma$ ) we have

$$\begin{aligned} (1) \quad \sigma^{-n} \mu_W(B_\sigma(0)) &= \lim_{j \rightarrow \infty} \sigma^{-n} \mu_{V_j}(\bar{B}_\sigma(0)) \\ &= \lim_{j \rightarrow \infty} (\lambda_j \sigma)^{-n} \mu_V(\bar{B}_{\lambda_j \sigma}(\xi)) \quad (\text{by definition of } V_j) \\ &= \omega_n \Theta^n(\mu_V, \xi), \end{aligned}$$

independent of  $\sigma$ .

On the other hand since  $W$  is stationary in  $\mathbb{R}^{n+k}$  we know by 17.5 that (with  $r = |x|$ )

$$\sigma^{-n} \mu_W(B_\sigma(0)) = \rho^{-n} \mu_W(B_\rho(0)) - \int_{B_\rho(0) \sim B_\sigma(0)} \frac{|D^1 r|^2}{r^n} d\mu_W,$$

so that from (1) we deduce

$$(2) \quad |D^1 r|^2 = 0 \quad \mu_W\text{-a.e.}$$

But recall that (letting grad denote gradient taken in  $\mathbb{R}^{n+k}$ )

$$D^1 r(x) = q_x(\text{grad } r(x)) \quad (\equiv r^{-1} q_x(x)), \quad \mu_W\text{-a.e. } x,$$

where  $q_x$  denotes the orthogonal projection of  $\mathbb{R}^{n+k}$  onto  $(T_x W)^\perp$ ,  $T_x W$  the tangent space of  $W$  at  $x$  (see §15). Therefore (2) implies

$$q_x(x) = 0, \quad \mu_W\text{-a.e. } x;$$

in other words

$$(3) \quad x \in T_x W \quad \mu_W\text{-a.e. } x.$$

Next note that if  $h$  is a  $C^1(\mathbb{R}^{n+k} \sim \{0\})$  homogeneous function of degree zero, so that  $h(x) \equiv h\left(\frac{x}{|x|}\right)$ , then  $x \cdot \text{grad } h(x) = 0$ ,  $x \neq 0$ , and so, for such a function  $h$ , (3) implies

$$(4) \quad x \cdot \nabla^W h = 0$$

$$(\nabla^W h(x) = p_{T_x W}(\text{grad } h(x))).$$

Thus for any homogeneous degree zero function  $h$  we see from (2), (4) and 18.1 that

$$(5) \quad \rho^{-n} \int_{B_\rho(0)} h d\mu_W = \text{const. (independent of } \rho).$$

(Notice the fact that it is valid to substitute  $h$  in 18.1, even though  $h$  is not  $C^1$  at  $0$ , is a consequence of a simple approximation argument,

using the fact that  $\sigma^{-n} \mu(B_\sigma(0))$  is constant.)

It is easy to check that (5) (for arbitrary non-negative  $C^1(\mathbb{R}^{n+k} \setminus \{0\})$  homogeneous degree zero functions) implies that  $\mu_W$  is invariant under homotheties in the sense that  $\lambda^{-n} \mu_W(\lambda A) = \mu_W(A)$  for any subset  $A \subset \mathbb{R}^{n+k}$ .

Thus the theorem is proved by taking

$$C = \{x : \Theta^n(\mu_W, x) > 0\} ,$$

$$\psi(x) \equiv \Theta^n(\mu_W, x) .$$

Finally we wish to prove a technical lemma concerning densities which we shall need in the next chapter.

19.5 LEMMA *Suppose*  $0 < \ell, \beta < 1$  ,  $R > 0$  ,  $\bar{B}_R(0) \subset U$  ,  $p > n$  ,

$$(*) \quad \left( \omega_n^{-1} \int_{B_R(0)} |\underline{H}|^p d\mu_V \right)^{1/p} \leq (1-n/p)\Gamma , \quad \Gamma R^{1-n/p} \leq 1/2$$

and suppose  $y, z \in B_{\beta R}(0)$  with  $|y-z| \geq \beta R/4$  ,  $\Theta^n(\mu_V, y)$  ,  $\Theta^n(\mu_V, z) \geq 1$  , and  $|q(y-z)| \geq \ell|y-z|$  , where  $q$  is the orthogonal projection of  $\mathbb{R}^{n+k}$  onto  $\mathbb{R}^k$  . Then

$$\Theta^n(\mu_V, y) + \Theta^n(\mu_V, z) \leq (1+c(\ell\beta))^{-n} \Gamma R^{1-n/p} (1-\beta)^{-n} \omega_n^{-1} R^{-n} \mu_V(B_R(0))$$

$$+ c(\ell\beta)^{-n-1} R^{-n} \int_{B_R(0)} \|p-p_x\| d\mu_V ,$$

where  $c = c(n, k, p)$  ,  $p = p_{\mathbb{R}^n}$  ,  $p_x = p_{T_x V}(\exists p_{T_x M} \mu_V \text{ a.e. } x)$  .

19.6 REMARK By (\*) and Remark 17.9(2) we can use the monotonicity formulae

17.6 with  $\Lambda = 2\Gamma R^{-n/p}$  ,  $\alpha = 1-n/p$  , and  $\xi = y$  or  $z$  . Notice that in fact the quantity  $\Lambda R^{1-\alpha} \rho^\alpha$  is then just  $2\Gamma \rho^{1-n/p}$  and, since  $e^t \leq 1+2t$  for

$t \leq 1$ , we have by 17.6(1) that

$$(**) \quad \omega_n^{-1} \tau^{-n} \mu(B_\tau(\xi)) \leq (1+4\Gamma\sigma^{1-n/p}) \omega_n^{-1} \sigma^{-n} \mu(B_\sigma(\xi))$$

whenever  $B_\sigma(\xi) \subset B_R(0)$ ,  $0 < \tau < \sigma$ , and  $\Theta^n(\mu, \xi) \geq 1$ , where we write  $\mu$  for  $\mu_V$ .

Proof of 19.5 First note that by 18.3 we have

$$\sigma^{-n} \int_{B_\sigma(\xi)} h d\mu \leq \rho^{-n} \int_{B_\rho(\xi)} h d\mu + \int_\sigma^\rho \tau^{-n} \int_{B_\tau(\xi)} (|\nabla^M h| + |\underline{H}| h) d\mu d\tau$$

for any non-negative  $C^1(\mathbb{R}^{n+k})$  function  $h$ , provided  $0 < \sigma < \rho < (1-\beta)R$  and  $\xi = y$  or  $z$ . We make a special choice of  $h$  such that  $h = f(|q(x-\xi)|)$ , where  $f$  is  $C^1(\mathbb{R})$  with:

$$f(t) \equiv 1 \text{ for } |t| < \ell\beta R/16, f(t) \equiv 0 \text{ for } |t| > \ell\beta R/8, |f'(t)| \leq 3(\ell\beta R)^{-1} \text{ and}$$

$$0 \leq f(t) \leq 1 \quad \forall t.$$

Then, since  $|\nabla_j^M(q(x-\xi))| \leq |p_x \circ q| \equiv |(p_x - p) \circ q| \leq |p_x - p| \leq \sqrt{n+k} \|p_x - p\|$  for  $j = 1, \dots, n+k$  (where  $\nabla_j^M = e_j \cdot \nabla^M$  as in §12), we deduce, with  $\sigma \leq \ell\beta R/2$ ,  $\rho \leq (1-\beta)R$

$$(1) \quad \omega_n^{-1} \sigma^{-n} \mu(B_\sigma(\xi)) \leq \omega_n^{-1} \rho^{-n} \mu(B_\rho(\xi) \cap \{x : |q(x-\xi)| \leq \ell\beta R/8\}) \\ + c \sigma^{-n} (\ell\beta R)^{-1} \rho \int_{B_\rho(\xi)} \|p_x - p\| d\mu \\ + c \sigma^{-n} \rho \int_{B_\rho(\xi)} |\underline{H}| d\mu.$$

Now (see 17.9(2)) from (\*) we have

$$(2) \quad \int_{B_\rho(\xi)} |\underline{H}| d\mu \leq 2\Gamma\rho^{-n/p} \mu(B_\rho(\xi)).$$

Taking alternately  $\xi = y$ ,  $\xi = z$  and adding the resultant inequalities in (1), (2) and 19.6 (\*\*), we deduce the required result (upon letting  $\tau \downarrow 0$  in 19.6 (\*\*)) and taking  $\sigma = \ell\beta R/8$  and  $\rho = (1-\beta)R$  in all inequalities).