

FOURIER TRANSFORM OF SURFACE CARRIED MEASURES.

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This note, which reports on results from [3], is concerned with estimates of the decay of the Fourier transform of measures supported on hypersurfaces of vanishing curvature. Let S be a hypersurface in \mathbb{R}^{n+1} with Gaussian curvature K and area measure dS . Let $w \in C_c^\infty(S)$. We are seeking estimates of the Fourier transform $\widehat{d\mu}$ of the finite Borel measure $d\mu = w dS$ or, more generally, of the measures $d\mu_\alpha = |K|^\alpha w dS$, for $\alpha \geq 0$. Such estimates are important in a number of problems, such as counting lattice points inside dilates of S , proving a priori inequalities for maximal averages of functions over dilates and translates of S [2] [10], and in the study of certain operators related to hyperbolic differential operators [8].

The problem of estimating $\widehat{d\mu}$ has a long history. The one dimensional case, i.e. when S is a curve in \mathbb{R}^2 , has been investigated by van der Corput for number theoretical reasons. More recently estimates for higher dimensional hypersurfaces have been given by Hiwaka [5], Herz [4], Littman [7], Randol [9] and Svensson [12]. If the Gaussian curvature of S does not vanish on the support of w , the method of stationary phase [6] applied to the oscillatory integral

$$\hat{d}\mu(\rho\vartheta) = \int_S \exp(i\rho\langle x, \vartheta \rangle) w(x) dS$$

$\rho > 0$, $|\vartheta| = 1$, yields the estimate

$$(1) \quad \hat{d}\mu(\rho\vartheta) \sim C \rho^{-n/2} \sum_{\vartheta \perp T(x_j)} \exp(i\rho\langle x_j, \vartheta \rangle) w(x_j) K(x_j)^{-1/2}$$

as $\rho \rightarrow \infty$, where the sum is extended over the finite set of points x_j in the support of w , such that ϑ is normal to the tangent hyperplane $T(x_j)$ to S at x_j . Thus the estimate

$$(2) \quad |\hat{d}\mu(\rho\vartheta)| \leq C \rho^{-n/2}$$

as $\rho \rightarrow \infty$ holds uniformly in ϑ , $|\vartheta| = 1$. However if the curvature of S vanishes at some point in the support of w then, in general, $\hat{d}\mu(\rho\vartheta)$ decays slower than $\rho^{-n/2}$ as $\rho \rightarrow \infty$ in some direction ϑ , $|\vartheta| = 1$. The asymptotic expansion (1) suggests that in these cases the optimal decay in (2) could be recovered by multiplying the measure $d\mu$ by a suitable power of the curvature. Thus one seeks minimal conditions on the surface S and α which guarantee that the measure $d\mu_\alpha = |K|^\alpha d\mu$ satisfies the estimate

$$|\widehat{d\mu}_\alpha(\rho\vartheta)| \leq C \rho^{-n/2}$$

as $\rho \rightarrow \infty$, uniformly for ϑ in the unit sphere of \mathbb{R}^{n+1} . A first result in this direction has been obtained by the authors [1] [2], who proved that $\widehat{d\mu}_{1/2}$ has optimal decay when S , is one of the surfaces in \mathbb{R}^3 obtained by revolving around the z axis the curve of equation $x^{2a} + z^{2b} = 1$, $a, b \geq 1$. Later Sogge and Stein [10] proved that for any smooth hypersurface S in \mathbb{R}^{n+1} $\widehat{d\mu}_{2n}$ has optimal decay. This result can be improved for certain convex hypersurfaces. Let S be a smooth convex hypersurface in \mathbb{R}^{n+1} .

We shall say that S is of **finite type** if at every point x of S every tangent line to S at x makes a contact of finite order with S .

THEOREM *Let S be a smooth convex hypersurface of finite type in \mathbb{R}^{n+1} . Let α be the integer part of $(n+3)/2$. Then $\widehat{d\mu}_\alpha$ satisfies the estimate*

$$|\widehat{d\mu}_\alpha(\rho\vartheta)| \leq C \rho^{-n/2}$$

uniformly with respect to ϑ in \mathbb{R}^{n+1} , $|\vartheta| = 1$.

Sketch of the proof. Fix ϑ in \mathbb{R}^{n+1} , $|\vartheta| = 1$. Then it is well known (see for instance [7]) that one needs only to examine the contribution to $\widehat{d\mu}_\alpha(\rho\vartheta)$ coming from a small neighborhood of the points where ϑ is normal to S . Let x_0 be one such point. After a rotation and a translation we can assume that x_0 coincides with the origin and that in a

neighborhood of x_0 S is the graph of a smooth convex function $f_\vartheta: \mathbb{R}^n \rightarrow \mathbb{R}$ such that $f_\vartheta(0)=0$, $\nabla f_\vartheta(0)=0$. Moreover since the function f_ϑ depends continuously on ϑ all the estimates will be uniform in ϑ and we shall forget the dependence on ϑ altogether. Thus matters reduce to estimating an oscillatory integral of the form

$$(3) \quad I(\rho) = \int_{\mathbb{R}^n} \exp(i\rho f(x)) \det(f''(x))^\alpha w_1(x) dx$$

where $w \in C_c^\infty(\mathbb{R}^n)$. Introducing polar coordinates in \mathbb{R}^n , we can write $I(\rho)$ as an average of one-dimensional oscillatory integrals

$$I(\rho) = \int_{S_{n-1}} d\omega \int_0^{+\infty} \exp(i\rho\varphi(t,\omega)) \psi^\alpha(t,\omega) t^{n-1} u(t,\omega) dt$$

where $\varphi(t,\omega)=f(t,\omega)$, $\psi(t,\omega)=\det(f''(t,\omega))$, for (t,ω) in $\mathbb{R}_+ \times S_{n-1}$. Moreover the functions $t \rightarrow \varphi(t,\omega)$, $t \rightarrow \psi(t,\omega)$, $t \rightarrow u(t,\omega)$ satisfy the following assumption, uniformly in ω .

Assumption A. There exist $q \geq 2$, $\varepsilon > 0$ and constants C_0, M such that for every $p \geq 1$

- i) $\varphi \in C^{p+1}$, $\psi \in C^{p-1}$, $u \in C^p$
- ii) φ is convex, $\varphi(0)=\varphi'(0)=0$ and $\max\{|\varphi^{(i)}(0)|: 2 \leq i \leq q\} \geq \varepsilon$
- iii) $0 \leq \psi(t) \leq C_0 \varphi''(t)$ for $0 \leq t \leq 1$
- iv) $\|\varphi\|_{(p+1)} + \|\psi\|_{(p-1)} \leq M$

v) $u(t)=1$ if $0 \leq t \leq 1/3$, $u(t) \leq 0$ if $2/3 \leq t \leq 1$.

Thus the estimate of the oscillatory integral (3) follows from the following van Der Corput type lemma.

LEMMA *Let k be an integer ≥ 1 . There exists $p_0 = p_0(q, k)$ such that if φ, ψ, u satisfy Assumption A for $p \geq p_0$ then the one dimensional oscillatory integral*

$$I_k(\varrho) = \int_0^1 \exp(i\varrho\varphi(t)) \psi^{k+1}(t) u(t) t^{2k-1} dt$$

satisfies the estimate

$$|I_k(\varrho)| \leq C \varrho^{-k}.$$

Full details shall appear in [3].

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