

On a Classical Theta-Function, II

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The present paper, containing a partial and expository reconstruction of the results which were known since [3], is written for the purpose of stating some basic facts on a classical theta-function in a form which is possibly convenient in investigations related positively to metaplectic groups.

Since this paper is a continuation of [2], the ordinals of all sections, theorems, propositions and formulas follow those of [2], while references and footnotes are numbered anew, and the only theorem in [2] is quoted as Theorem 1.

§ 3. Eisenstein series $E(z, s)$

Having finished the investigation of the automorphic factors of the theta function (1), we are naturally led to the following Eisenstein series:

$$(14) \quad E(z, s) = \sum_{\Gamma_0 \backslash \Gamma} \chi(\sigma, 1) e^{-(1/2)i \arg(cz+d)} \frac{y^{s/2}}{|cz+d|^s}.$$

Here, z is a point in the upper half plane H , s is a complex number, Γ_0 is the group consisting of all $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ with $c=0$, and $\chi(\sigma, 1)$ is as in Theorem 1. Moreover, $\arg(cz+d)$ is always normalized by

$$(15) \quad -\pi \leq \arg(cz+d) < \pi$$

in accordance with (2). The series (14) is absolutely convergent for $\operatorname{Re} s > 2$, and satisfies the transformation formula

$$(16) \quad E(z, s) = \chi(\sigma, 1) e^{-(1/2)i \arg(cz+d)} E(\sigma z, s), \quad (\sigma \in \Gamma).$$

Therefore one can expect that $E(z, s)$ may coincide with $\mathcal{D}(z)$ at $s = \frac{1}{2}$. That this is actually the case will be shown in Section 7.

In this section, we shall observe the effect on $E(z, s)$ of the invariant differential operator

$$(17) \quad D = y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right)$$

with respect to $SL(2, \mathbf{R})$.

Because of the Iwasawa decomposition

$$(18) \quad \omega = \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} \begin{pmatrix} \sqrt{y} & \\ & \frac{1}{\sqrt{y}} \end{pmatrix} \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix},$$

every element $\omega \in G$ is in one to one correspondence with a pair of $z = x + iy \in H$ and $\theta \bmod 2\pi$. We normalize this θ by $-\pi \leq \theta < \pi$, denote it by $\theta(\omega)$, and we set

$$f(\tilde{\omega}) = e^{(1/2)i(\theta(\omega) + (1-\varepsilon)\pi)} = \varepsilon e^{(1/2)i\theta(\omega)}$$

for an element $\tilde{\omega} = (\omega, \varepsilon)$ of the covering group \tilde{G} , introduced in Section 1, of G . $f(\tilde{\omega})$ is a continuous function on \tilde{G} . On the other hand, let $\tilde{\sigma} = (\sigma, \varepsilon') \in \tilde{\Gamma}$, $\sigma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$. Then,

$$\theta(\sigma\omega) = \theta(\omega) + \arg(cz + d) - A(\theta(\omega), \arg(cz + d)) \cdot 2\pi$$

holds with

$$A(\theta, \psi) = \begin{cases} 0, & -\pi \leq \theta + \psi < \pi, \\ 1, & \text{otherwise,} \end{cases}$$

while

$$2A(\theta(\omega), \arg(cz + d)) = 1 - a(\sigma, \omega)$$

follows from (11) and (18). Therefore,

$$\begin{aligned} f(\tilde{\sigma}\tilde{\omega}) &= e^{(1/2)i(\theta(\omega) + \arg(cz + d) - (1 - a(\sigma, \omega))\pi + (1 - \varepsilon\varepsilon')a(\sigma, \omega)\pi)} \\ &= e^{(1/2)i(\theta(\omega) + \arg(cz + d) + a(\sigma, \omega)(1 - \varepsilon\varepsilon')\pi)} \\ &= \varepsilon\varepsilon' e^{(1/2)i(\theta(\omega) + \arg(cz + d))} = \varepsilon' e^{(1/2)i\arg(cz + d)} f(\tilde{\omega}). \end{aligned}$$

Hence, setting

$$g(\tilde{\omega}) = \varepsilon y^{s/2} e^{- (1/2)i\theta},$$

we have

$$g(\tilde{\sigma}\tilde{\omega}) = \varepsilon\varepsilon' \frac{y^{s/2}}{|cz + d|^s} e^{- (1/2)i\arg(cz + d)} e^{- (1/2)i\theta},$$

which is a term of our series (14).

Now, the invariant differential operators on G with respect to the operation of G are polynomials of

$$D' = y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) + y \frac{\partial^2}{\partial x \partial \theta} + \frac{5}{4} \frac{\partial^2}{\partial \theta^2}$$

and $\partial/\partial\theta$ with constant coefficients¹⁾. \tilde{G} has the same invariant differential operators as G , and $g(\tilde{\omega})$ is an eigenfunction of D' , i.e.,

$$(19) \quad D'g = \left(\lambda - \frac{5}{16} \right) g,$$

$$(20) \quad \lambda = \frac{s}{2} \left(\frac{s}{2} - 1 \right).$$

From these facts follows that $g(\tilde{\sigma}\tilde{\omega})$ also satisfies the differential equation (19).

Let g temporarily stand for an arbitrary solution of the differential equation (19), and put $g_1 = g e^{(1/2)i\theta}$. Then

$$\begin{aligned} D'g &= D'g_1 e^{-(1/2)i\theta} = (Dg_1) e^{-(1/2)i\theta} - \frac{i}{2} y \left(\frac{\partial}{\partial x} g_1 \right) e^{-(1/2)i\theta} \\ &\quad - \frac{5}{16} g_1 e^{-(1/2)i\theta}. \end{aligned}$$

This implies

$$Dg_1 - \frac{i}{2} y \frac{\partial}{\partial x} g_1 = \lambda g_1.$$

Thus we obtain

Proposition 3. *The Eisenstein series (14) satisfies (termwise) the differential equation*

$$\left(D - \frac{i}{2} y \frac{\partial}{\partial x} \right) E(z, s) = \lambda E(z, s),$$

where D resp. λ is defined by (17) resp. (20).

§ 4. Fourier expansion of the Eisenstein series

Our Eisenstein series (14) has of course a Fourier expansion of the form

¹⁾ D' is the Laplacian of the metric of [4], p. 81.

with $\eta = e^{\pi i/4}$, and consequently

$$\begin{aligned} \sum_{c \neq 0} \frac{e^{-(1/2)i \arg c}}{|c|^s} (\sum_2 \chi(c, d)) \\ = \sum_{\substack{(c,2)=1 \\ c>0}} \frac{2\eta}{c^{2s}} \varphi(c^2) + \sum_{c>0} \frac{2\sqrt{2}\eta}{2^s c^{2s}} \varphi(2c^2). \end{aligned}$$

Hence, by virtue of

$$\sum_{\substack{(c,2)=1 \\ c>0}} \frac{\varphi(c^2)}{c^{2s}} = \sum \frac{\varphi(c)}{c^{2s-1}} = \frac{2^{2s-1}-2}{2^{2s-1}-1} \frac{\zeta(2s-2)}{\zeta(2s-1)}$$

and

$$\begin{aligned} \sum_{c>0} \frac{\varphi(2c^2)}{2^s c^{2s}} &= \sum_{\substack{(c,2)=1 \\ c>0}} \frac{\varphi(c^2)}{2^s c^{2s}} + \sum_{\substack{c \equiv 0 \pmod{2} \\ c>0}} \frac{2\varphi(c^2)}{2^s c^{2s}} \\ &= \left\{ 2^{-s} \frac{2^{2s-1}-2}{2^{2s-1}-1} + 2^{1-s} \left(1 - \frac{2^{2s-1}-2}{2^{2s-1}-1} \right) \right\} \frac{\zeta(2s-2)}{\zeta(2s-1)} \\ &= \frac{2^{s-1}}{2^{2s-1}-1} \frac{\zeta(2s-2)}{\zeta(2s-1)}, \end{aligned}$$

we see

$$(25) \quad \sum_{c \neq 0} \frac{e^{-(1/2)i \arg c}}{|c|^s} (\sum_2 \chi(c, d)) = 2\eta \left(1 + \frac{1}{1+2^{s-1/2}} \right) \frac{\zeta(2s-2)}{\zeta(2s-1)}.$$

Next, an ordinary calculation shows

$$\begin{aligned} \int_{-\infty}^{\infty} \frac{e^{-(1/2)i \arg z}}{|z|^s} dx &= y^{1-s} \int_{-\infty}^{\infty} \frac{e^{-(1/2)i \arg (t+i)}}{(t^2+1)^{s/2}} dt \\ &= y^{1-s} \int_{-\infty}^{\infty} \frac{e^{-\pi i/4 + (1/2)i \arctan t}}{(t^2+1)^{s/2}} dt, \quad \left(-\frac{\pi}{2} < \arctan t < \frac{\pi}{2} \right), \end{aligned}$$

and

$$\begin{aligned} \int_{-\infty}^{\infty} \frac{e^{i/2 \arctan t}}{(t^2+1)^{s/2}} dt &= \int_{-\infty}^{\infty} \frac{\cos(1/2 \arctan t)}{(t^2+1)^{s/2}} dt \\ &= 2 \int_0^1 \sqrt{\frac{1+u}{2}} u^s \frac{du}{u^2 \sqrt{1-u^2}} = \sqrt{2} \int_0^1 u^{s-2} (1-u)^{-1/2} du \\ &= \sqrt{2\pi} \frac{\Gamma(s-1)}{\Gamma(s-1/2)}, \quad (u = \cos(\arctan t)). \end{aligned}$$

Hence,

$$(26) \quad \int_{-\infty}^{\infty} \frac{e^{-(1/2)i \arg z}}{|z|^s} dx = y^{1-s} \eta^{-1} \sqrt{2\pi} \frac{\Gamma(s-1)}{\Gamma(s-1/2)}.$$

The proposition follows now at once from (23), (24), (25), (26).

The nature of Fourier coefficients $a_m(y, s)$ other than the constant term is considerably complicated, and will be treated in Section 5. But, we shall perform here some preliminaries.

The meanings of \sum_1 and \sum_2 being as above, and m being not 0, it follows from a direct computation that

$$\begin{aligned} \int_0^2 E(z, s) e^{-\pi i m x} dx &= \int_0^2 \sum_1 \chi(c, d) e^{-(1/2)i \arg (cz+d)} \frac{y^{s/2}}{|cz+d|^s} e^{-\pi i m x} dx \\ &= y^{s/2} \sum_1 \frac{e^{-(1/2)i \arg c}}{|c|^s} \chi(c, d) \frac{e^{-(1/2)i \arg (z+d/c)}}{|z+d/c|^s} e^{-\pi i m x} dx \\ &= y^{s/2} \sum_{c \neq 0} \frac{e^{-(1/2)i \arg c}}{|c|^s} (\sum_2 \chi(c, d) e^{\pi i m d/c}) \int_{-\infty}^{\infty} \frac{e^{-(1/2)i \arg z}}{|z|^s} e^{-\pi i m x} dx \\ &= y^{1-s/2} \sum_{c \neq 0} \frac{e^{-(1/2)i \arg c}}{|c|^s} (\sum_2 \chi(c, d) e^{\pi i m d/c}) \\ &\quad \times \int_{-\infty}^{\infty} \frac{e^{-(1/2)i \arg (t+i)}}{(t^2+1)^{s/2}} e^{-\pi i (my)t} dt. \end{aligned}$$

So, if we put

$$(27) \quad \tau_m(c) = e^{-(1/2)i \arg c} (\sum_2 \chi(c, d) e^{\pi i m d/c}),$$

and

$$(28) \quad w(u, s) = \int_{-\infty}^{\infty} \frac{e^{(1/2)i \arctan t}}{(t^2+1)^{s/2}} e^{-\pi i u t} dt, \quad -\frac{\pi}{2} < \arctan t < \frac{\pi}{2},$$

u being a positive or negative real number, then

$$(29) \quad a_m(y, s) = y^{1-s/2} \frac{1}{2\eta} \left(\sum_{c \neq 0} \frac{\tau_m(c)}{|c|^s} \right) w(my, s).$$

Because of Proposition 3, $a_m(y, s)$ satisfies the differential equation

$$(30) \quad \frac{d^2 a_m}{dy^2} - \left(\pi^2 m^2 - \frac{\pi m}{2y} + \frac{\lambda}{y^2} \right) a_m = 0,$$

where λ is as in (20). Accordingly, our Fourier coefficients are all expressed by the Whittaker function.

The integral in (28) is not absolutely convergent unless $\text{Re } s > 1$. But, by means of the recursive formula

$$(31) \quad \int_{-\infty}^{\infty} \frac{t^k e^{(1/2)i \arctan t}}{(t^2+1)^{s/2+k}} e^{-\pi i u t} dt = \frac{1}{\pi i u} \int_{-\infty}^{\infty} \left[\left\{ \frac{k}{t^2} - (s+2k) \right\} e^{(1/2)i \arctan t} + \frac{i}{2t} \right] \frac{t^{k+1} e^{-\pi i u t}}{(t^2+1)^{s/2+k+1}} dt$$

for $k=0, 1, 2, \dots$, one gets an analytic continuation of $w(u, s)$ which is an entire function on the whole s -plane. Furthermore, for an arbitrary s , it follows from (31) and from the properties of Fourier integrals that $w(u, s)$ tends to 0 as $|u| \rightarrow \infty$. Recalling the differential equation (30), we obtain a more precise result that $|w(u, s)|$ decreases with the order of $e^{-\pi|u|}$ as $|u| \rightarrow \infty$. Although $w(u, s)$ is analytic with respect to s , it is not analytic with respect to u at $u=0$.

§ 5. Computation of Dirichlet series

We have already discussed in part the Fourier coefficients in (21) of the Eisenstein series (14); one remaining part of number-theoretical importance is the Dirichlet series on the right hand side of (29). In this section, we shall show that the Dirichlet series can be expressed by a combination of ordinary zeta and L -functions in spite of the appearance of Gauss sums $\tau_m(c)$.

To do this, we must first determine $\tau_m(c)$ completely. For the sake of simplicity, most of our arguments will be done under the assumption $c > 0$. The general case can be treated quite incidentally.

Set $c=2^r c'$, $(c', 2)=1$, and $\varepsilon=(-1)^{(c'-1)/2}$. Then, (27) implies

$$(32) \quad \tau_m(c) = \eta^\varepsilon \sum_{\substack{d \bmod c \\ (d,c)=1}} \left(\frac{d}{c} \right) e^{2\pi i m d/c}, \quad (r=0),$$

$$(33) \quad \begin{aligned} \tau_m(c) = & \sum_{\mathfrak{S}_{3,1}}(c', d) \left(\frac{2^{r+1}c'}{d} \right) e^{2\pi i m d/2^{r+1}c'} \\ & + i \sum_{\mathfrak{S}_{3,-1}}(c', d) \left(\frac{2^{r+1}c'}{d} \right) e^{2\pi i m d/2^{r+1}c'}, \quad (r > 0), \end{aligned}$$

where $\sum_{\mathfrak{S}_{3,\alpha}}$ is the sum over $d \bmod 2^{r+1}c'$ with $(d, c')=1, d \equiv \alpha \pmod{4}$. If $d=2^{r+1}d_1+c'd_2$, then

$$\begin{aligned} & \sum_{\mathfrak{S}_{3,\alpha}}(c', d) \left(\frac{2^{r+1}c'}{d} \right) e^{2\pi i m/2^{r+1}c'} \\ & = \sum_{\mathfrak{S}_{3,\alpha}}(c'\varepsilon, d) \left(\frac{c'\varepsilon}{d} \right) \cdot (\varepsilon, d) \left(\frac{2^{r+1}\varepsilon}{d} \right) e^{2\pi i (m d_1/c' + m d_2/2^{r+1})} \end{aligned}$$

$$\begin{aligned}
 &= \sum_{\substack{d_1 \bmod c' \\ (d_1, c')=1}} \left(\frac{2^{r+1}d}{c'} \right) e^{2\pi i m d_1 / c'} \cdot \sum_{\substack{d_2 \bmod 2^{r+1} \\ d_2 \equiv \varepsilon \alpha \pmod{4}}} (\varepsilon, c'd_2) \left(\frac{2^{r+1}\varepsilon}{c'd_2} \right) e^{2\pi i m d_2 / 2^{r+1}} \\
 &= \varepsilon \sum_{\substack{d_1 \bmod c' \\ (d_1, c')=1}} \left(\frac{d}{c'} \right) e^{2\pi i m d_1 / c'} \cdot \sum_{\substack{d_2 \bmod 2^{r+1} \\ d_2 \equiv \varepsilon \alpha \pmod{4}}} (\varepsilon, d_2) \left(\frac{2^{r+1}\varepsilon}{d_2} \right) e^{2\pi i m d_2 / 2^{r+1}}.
 \end{aligned}$$

Hence, if we put

$$(34) \quad \tau(c, m) = \sum_{\substack{d \bmod c \\ (d, c)=1}} \left(\frac{d}{c} \right) e^{2\pi i m d / c}, \quad (c, 2) = 1,$$

$$(35) \quad \tau_\alpha(2^{r+1}\varepsilon, m) = \sum_{\substack{d \bmod 2^{r+1} \\ d \equiv \varepsilon \alpha \pmod{4}}} (\varepsilon, d) \left(\frac{2^{r+1}\varepsilon}{d} \right) e^{2\pi i m d / 2^{r+1}},$$

then (32) yields

$$(36) \quad \tau_m(c) = \eta^\varepsilon \tau(c, m), \quad (c, 2) = 1,$$

and (33) yields

$$(37) \quad \tau_m(c) = \varepsilon \tau(c', m) (\tau_\varepsilon(2^{r+1}\varepsilon, m) + i \tau_{-\varepsilon}(2^{r+1}\varepsilon, m)), \quad 2 \mid c.$$

Thus the determination of $\tau_m(c)$ is reduced to the determination of two kinds of sums (34), (35).

Proposition 5. *Let $c > 0$ be an odd natural number, and m be a non-zero rational integer. Denote, in general, by l an odd prime number dividing m , and by p a prime number not dividing m . Furthermore, let p^r resp. l^r be the p - resp. l -component of c , let l^e be the l -component of m , and let m_0 be the non-square kernel of m . Finally, define the following notations:*

for p

$$\tau(c, m)_p = \begin{cases} 1, & r = 0, \\ \left(\frac{m_0}{p} \right) \sqrt{p}, & r = 1, \\ 0, & r > 1. \end{cases}$$

for l with odd e

$$\tau(c, m)_l = \begin{cases} 1, & r = 0, \\ (l-1)l^{r-1}, & 0 < r \leq e-1, r \text{ even}, \\ -l^e, & r = e+1, \\ 0, & r > e+1, \text{ or } r \text{ odd}. \end{cases}$$

for l with even e

$$\tau(c, m)_i = \begin{cases} 1, & r=0, \\ (l-1)l^{r-1}, & 0 < r \leq e, r \text{ even}, \\ \left(\frac{m_0}{l}\right)l^e \sqrt{l}, & r=e+1, \\ 0, & r > e+1, \text{ or } r \text{ odd } (\neq e+1). \end{cases}$$

Then, we obtain a decomposition

$$\tau(c, m) = \eta^{1-\varepsilon} \prod_l \tau(c, m)_l \cdot \prod_p \tau(c, m)_p$$

of $\tau(c, m)$ in (34) with $\varepsilon = (-1)^{(c-1)/2}$, $\eta = e^{\pi i/4}$.

Proof. This proposition is contained in the results of [1]. So, we state here only an outline of the proof. Let $c = c_1 c_2$ be a decomposition of c into two mutually prime natural numbers, and put $d = c_2 d_1 + c_1 d_2$. Then the quadratic reciprocity law shows that it is enough to prove the proposition for c_1 and c_2 instead of c . Therefore, the proof is reduced to the case where c is a power of a prime number p . In this case, the assertion of the proposition follows from the fact that the sum of the values of a non-trivial character over a finite abelian group is 0, and from the well-known classical result²⁾ on the value of the Gauss sum $\tau(p, 1)$.

Proposition 6. Let $m \neq 0$ be a rational integer, and put $m = 2^e m'$, $((m', 2) = 1)$, $\varepsilon = \pm 1$, $\varepsilon_m = (-1)^{(m'-1)/2}$, and $\eta = e^{\pi i/4}$. Then, the value of the sum $\tau_\alpha(2^{r+1}\varepsilon, m)$ in (35) is as in the following table:

conditions on r	value of $\tau_\alpha(2^{r+1}\varepsilon, m)$
$0 < r \leq e-1, r \text{ even}$	0
" , $r \text{ odd}$	$2^{r-1}(\varepsilon, \alpha)$
$r=e, r \text{ even}$	0
" , $r \text{ odd}$	$-2^{e-1}(\varepsilon, \alpha)$
$r=e+1, r \text{ even}$	0
" , $r \text{ odd}$	$2^e(\varepsilon, \alpha) i^{\varepsilon m \alpha}$
$r=e+2, r \text{ even}$	$2^{e+1}(\varepsilon, \alpha) \left(\frac{2}{m'}\right) \eta^{\varepsilon m \alpha}$
" , $r \text{ odd}$	0

²⁾ Contained in the proof of Proposition 1.

Proof. As in the preceding proposition, use the fact that the sum of the values of a non-trivial character over a finite abelian group is 0. Then, it is easy to see that non-trivial assertions in the proposition are only those concerning $r=e$, $r=e+1$, and $r=e+2$. But, the assertions for these cases also reduce to observations of sums over a prime residue system mod 8, and are simply treated by direct computations.

This proposition immediately entails

Corollary. *Notations being as in Proposition 6, put*

$$\tau(2^{r+1}\varepsilon, m) = \varepsilon(\tau_\varepsilon(2^{r+1}\varepsilon, m) + i\tau_{-\varepsilon}(2^{r+1}\varepsilon, m)).$$

Then, we have the following result:

<i>conditions on r</i>	<i>value of $\tau(2^{r+1}\varepsilon, m)$</i>
<u>for odd e</u>	
$0 < r \leq e-1, \quad r \text{ odd}$	$\eta^\varepsilon 2^{r-1} \sqrt{2}$
" , $r \text{ even}$	0
$r=e$	$-\eta^\varepsilon 2^{e-1} \sqrt{2}$
$r > e$	0
<u>for even e</u>	
$0 < r \leq e, \quad r \text{ odd}$	$\eta^\varepsilon 2^{r-1} \sqrt{2}$
" , $r \text{ even}$	0
$r=e+1$	$\eta^\varepsilon 2^e \sqrt{2} \varepsilon_m$
$r=e+2$	$\eta^\varepsilon 2^{e+1} (1 + \varepsilon_m) \left(\frac{2}{m'}\right)$
$r > e+2$	0

Now, denote anew by $c > 0$ an arbitrary natural number, and by $m \neq 0$ a rational integer, set $c = 2^r c'$, $((c', 2) = 1)$, $\varepsilon = (-1)^{(c'-1)/2}$, $m = 2^e m'$, $((m', 2) = 1)$, and $\varepsilon_m = (-1)^{(m'-1)/2}$. Furthermore, let $\tau(c, m)_p$ and $\tau(c, m)_l$ be as in Proposition 5 for odd primes p and l , and, using the notations in Corollary to Proposition 6, put

$$(38) \quad \tau(c, m)_2 = \begin{cases} \eta^{-\varepsilon} \tau(2^{r+1}\varepsilon, m), & r > 0, \\ 1, & r = 0. \end{cases}$$

Then, from (36), (37), Proposition 5 and Corollary to Proposition 6, it follows that the component decomposition

$$(39) \quad \tau_m(c) = \eta \prod_q \tau(c, m)_q$$

holds, the product being extended over all prime numbers q .

From now on, we drop the condition $c > 0$; every notation which we have ever defined has a definite meaning even if c is a negative rational integer. Suppose that c is negative, and $(c, 2) = 1$. Then, by definition,

$$(40) \quad \begin{aligned} \tau_m(c) &= i\eta^\varepsilon \tau(c, m) = i\eta^\varepsilon \left(\frac{-1}{c}\right) \tau(|c|, m) \\ &= i\eta^{2\varepsilon} \left(\frac{-1}{c}\right) \tau_m(|c|) = \tau_m(|c|). \end{aligned}$$

Therefore, $\tau_m(c)$ depends only on $|c|$, when $(c, 2) = 1$. If $2|c$ and $c < 0$, (39) and (40) imply

$$\begin{aligned} \tau_m(c) &= i\eta^\varepsilon \tau(c', m) \cdot \tau(c, m)_2 \\ &= \tau_m(|c'|) \cdot \tau(c, m)_2, \end{aligned}$$

and it is clear by Corollary to Proposition 6 that $\tau(c, m)_2 = \tau(|c|, m)_2$. So, $\tau_m(c) = \tau_m(|c|)$ also in this case. On the other hand, Proposition 5 shows that $\tau(c, m)_q$ for $q \neq 2$ depends only on $|c|$, too. Hence, in considering $\tau_m(c)$ and its component decomposition, we may always replace c by $|c|$.

Because of the component decomposition (39), the Dirichlet series on the right hand side of (29) possesses an Euler product;

$$(41) \quad \sum_{c \neq 0} \frac{\tau_m(c)}{|c|^s} = 2\eta \prod A_{m,q}(s)$$

with

$$A_{m,q}(s) = \sum_{r=1}^{\infty} \frac{\tau(c, q^r)_q}{q^{rs}}.$$

We now propose to determine each q -component of this Euler product by means of Proposition 5 and Corollary to Proposition 6.

If $p \neq 2$, $(p, m) = 1$, then

$$(42) \quad A_{m,p}(s) = 1 + \left(\frac{m_0}{p}\right) \frac{1}{p^{s-1/2}},$$

where m_0 is the non-square kernel of m .

If $l \neq 2$, $m = l^e m'$, and $(m', l) = 1$, then

$$\begin{aligned}
 (43) \quad A_{m,l}(s) &= 1 + \frac{(l-1)l}{l^{2s}} + \frac{(l-1)l^3}{l^{4s}} + \dots + \frac{(l-1)l^{e-2}}{l^{(e-1)s}} - \frac{l^e}{l^{(e+1)s}} \\
 &= 1 + \frac{(l-1)(l^{(e-1)(1-s)} - 1)}{l - l^{2s-1}} - \frac{l^e}{l^{(e+1)s}}
 \end{aligned}$$

for odd e , and

$$\begin{aligned}
 (44) \quad A_{m,l}(s) &= 1 + \frac{(l-1)l}{l^{2s}} + \frac{(l-1)l^3}{l^{4s}} + \dots + \frac{(l-1)l^{e-1}}{l^{es}} \\
 &\quad + \left(\frac{m_0}{l}\right) \frac{l^{e+1/2}}{l^{(e+1)s}} \\
 &= 1 + \frac{(l-1)(l^{e(1-s)} - 1)}{l - l^{2s-1}} + \left(\frac{m_0}{l}\right) \frac{l^{e+1/2}}{l^{(e+1)s}}
 \end{aligned}$$

for even e . As for 2, we must recall (38). If in this case $m = 2^e m'$, $(m', 2) = 1$, then

$$\begin{aligned}
 (45) \quad A_{m,2}(s) &= 1 + \frac{\sqrt{2}}{2^s} + \frac{2^2\sqrt{2}}{2^{3s}} + \dots + \frac{2^{e-3}\sqrt{2}}{2^{(e-2)s}} - \frac{2^{e-1}\sqrt{2}}{2^{es}} \\
 &= 1 + 2^{s-1/2} \frac{2^{(e-1)(1-s)} - 1}{2 - 2^{2s-1}} - \frac{1}{2^{es - e + 1/2}}
 \end{aligned}$$

for odd e , and

$$\begin{aligned}
 (46) \quad A_{m,2}(s) &= 1 + \frac{\sqrt{2}}{2^s} + \frac{2^2\sqrt{2}}{2^{3s}} + \dots + \frac{2^{e-2}\sqrt{2}}{2^{(e-1)s}} \\
 &\quad + \frac{2^e\sqrt{2}}{2^{(e+1)s}} + \frac{2^{e+1}(1 + \varepsilon_m)}{2^{(e+2)s}} \left(\frac{2}{m'}\right) \\
 &= 1 + 2^{s-1/2} \frac{2^{e(1-s)} - 1}{2 - 2^{2s-1}} + \frac{\varepsilon_m}{2^{(e+1)s - e - 1/2}} + \frac{1 + \varepsilon_m}{2^{(e+2)s - e - 1}} \left(\frac{2}{m'}\right), \\
 &\quad \varepsilon_m = (-1)^{(m'-1)/2}
 \end{aligned}$$

for even e . Let now χ_m be the class-field-theoretical character with respect to $F = \mathbb{Q}(\sqrt{m})$, that is, for a prime number q , let

$$(47) \quad \chi_m(q) = \begin{cases} 1, & q \text{ is a product of primes of degree 1 in } F, \\ -1, & \text{if } q \text{ is a prime of degree 2 in } F, \\ 0, & q \text{ is ramified in } F. \end{cases}$$

Furthermore, set

$$(48) \quad A_{m,q}(s) = \begin{cases} A'_{m,q}(s) \left(1 + \frac{\chi_m(q)}{q^{s-1/2}} \right), & \chi_m(q) \neq 0, \\ A'_{m,q}(s) \left(1 - \frac{1}{q^{2s-1}} \right), & \chi_m(q) = 0. \end{cases} \quad \text{for}$$

Then, a series of calculations using (43), (44), (45), (46), and (48) yields the following expressions of $A'_{m,q}(s)$:

for $p \neq 2, (p, m) = 1$

$$(49) \quad A'_{m,p}(s) = 1.$$

for $l \neq 2, m = l^e m', (m', l) = 1$, and e odd

$$(50) \quad \begin{aligned} A'_{m,l}(s) &= \frac{1 - l^{-(e+1)(s-1)}}{1 - l^{-2(s-1)}} \\ &= l^{-((e-1)/2)(s-1)} \sinh \left\{ \frac{e+1}{2} (s-1) \log l \right\} \\ &\quad / \sinh \{ (s-1) \log l \}. \end{aligned}$$

for $l \neq 2, m = l^e m', (m', l) = 1$, and e even

$$(51) \quad \begin{aligned} A'_{m,l}(s) &= \left(1 - \left(\frac{m_0}{l} \right) l^{-s+1/2} \right) \frac{1 - l^{-e(s-1)}}{1 - l^{-2(s-1)}} + l^{-e(s-1)} \\ &= l^{-(l/2)(s-1)} \left\{ \sinh \left(\left(\frac{e}{2} + 1 \right) (s-1) \log l \right) \right. \\ &\quad \left. - \left(\frac{m_0}{l} \right) \frac{1}{\sqrt{l}} \sinh \left(\frac{e}{2} (s-1) \log l \right) \right\} \\ &\quad / \sinh ((s-1) \log l). \end{aligned}$$

for $m = 2^e m', (m', 2) = 1$, and e odd

$$(52) \quad \begin{aligned} A'_{m,2}(s) &= 2^{s-1/2} \left(-\frac{1}{1+2^{-(s-1/2)}} + \frac{1-2^{-(e+1)(s-1)}}{1-2^{-2(s-1)}} \right) \\ &= \frac{2^{-((e-3)/2)(s-1)}}{1+2^{s-1/2}} \left\{ 2 \sinh \left(\frac{e-1}{2} (s-1) \log 2 \right) \right. \\ &\quad \left. + \sqrt{2} \sinh \left(\frac{e+1}{2} (s-1) \log 2 \right) \right\} \\ &\quad / \sinh ((s-1) \log 2). \end{aligned}$$

for $m=2^e m'$, $(m', 2)=1$, e even and $\varepsilon_m = (-1)^{(m'-1)/2} = -1$ ³⁾

$$\begin{aligned}
 A'_{m,2}(s) &= 2^{s-1/2} \left(-\frac{1}{1+2^{-(s-1/2)}} + \frac{1-2^{-(e+2)(s-1)}}{1-2^{-2(s-1)}} \right) \\
 &= \frac{2^{-(e-2)/2(s-1)}}{1+2^{s-1/2}} \left\{ 2 \sinh \left(\frac{e}{2}(s-1) \log 2 \right) \right. \\
 &\quad \left. + \sqrt{2} \sinh \left(\left(\frac{e}{2} + 1 \right) (s-1) \log 2 \right) \right\} \\
 &\quad / \sinh ((s-1) \log 2).
 \end{aligned}
 \tag{53}$$

for $m=2^e m'$, $(m', 2)=1$, e even, and $\varepsilon_m = 1$

$$\begin{aligned}
 A'_{m,2}(s) &= 2^{s-1/2} \left(1 - \left(\frac{2}{m'} \right) 2^{-(s-1/2)} \right) \\
 &\quad \times \left(-\frac{1}{1+2^{-(s-1/2)}} + \frac{1-2^{-(e+2)(s-1)}}{1-2^{-2(s-1)}} \right) + 2^{(e+1)(1-s)+1/2} \\
 &= \frac{2^{-(e/2)(s-1)}}{1+2^{s-1/2}} \left[2 \sinh \left(\left(\frac{e}{2} + 1 \right) (s-1) \log 2 \right) \right. \\
 &\quad \left. + \sqrt{2} \sinh \left(\left(\frac{e}{2} + 2 \right) (s-1) \log 2 \right) \right. \\
 &\quad \left. - \left(\frac{2}{m'} \right) \left\{ \sqrt{2} \sinh \left(\frac{e}{2}(s-1) \log 2 \right) \right. \right. \\
 &\quad \quad \left. \left. + \sinh \left(\left(\frac{e}{2} + 1 \right) (s-1) \log 2 \right) \right\} \right] \\
 &\quad / \sinh ((s-1) \log 2).
 \end{aligned}
 \tag{54}$$

Let $L(s, \chi_m)$ be Dirichlet's L -function containing the character χ_m defined by (47). Then, formulas (41) and (48) immediately imply

$$\sum_{c \neq 0} \frac{\tau_m(c)}{|c|^s} = 2\eta \frac{L(s-1/2, \chi_m)}{\zeta(2s-1)} \prod_q A'_{m,q}(s).$$

Using this and (29), we have the following

Theorem 2. *Except the constant term $a_0(y, s)$ given by Proposition 4, the Fourier coefficients in the Fourier expansion (21) of the Eisenstein series (14) are*

³⁾ In this and in the next case, it is convenient for our calculation to utilize the resemblance between (46) and (45). Note also that $\varepsilon_m = -1$ or 1 according to $\chi_m(2)=0$ or $\chi_m(2)=(2/m') \neq 0$.

$$a_m(y, s) = y^{1-s/2} w(my, s) \frac{L(s-1/2, \chi_m)}{\zeta(2s-1)} \prod_q A'_{m,q}(s),$$

where w is defined by the integral in (28), $A'_{m,q}(s)$ is as in (49), (50), (51), (52), (53), and (54), and q runs over all prime numbers.

§ 6. Functional equation of the Eisenstein series

From the concrete expression in Theorem 2 of the Fourier coefficients of our Eisenstein series, we see that, whenever s is in a compact region, the product $\prod_q A'_{m,q}(s)$ is at most of the order of a power of m as $m \rightarrow \infty$. On the other hand, $w(my, s)$ decreases exponentially as $m \rightarrow \infty$. Consequently, $E(z, s)$ is a meromorphic function in the whole s -plane, which is regular in a domain that does not contain any pole of $L(s, \chi_m)$, $\zeta(2s-1)^{-1}$, or the constant term of $E(z, s)$ given by Proposition 4. Of course, $E(z, s)$ is single valued.

To prove that $E(z, s)$ satisfies a functional equation as in the theory of Selberg, we put here

$$(55) \quad \varphi(s) = \sqrt{\frac{\pi}{2}} \left(1 + \frac{1}{1+2^{s-1/2}} \right) \frac{\zeta(2s-2)}{\zeta(2s-1)} \frac{\Gamma(s-1)}{\Gamma(s-1/2)},$$

so that Proposition 4 turns out

$$(56) \quad a_0(y, s) = 2(y^{s/2} + \varphi(s)y^{1-s/2}).$$

Put $s = 1 + it$, ($t \in \mathbf{R}$). Then, the functional equation of $\zeta(s)$ yields

$$\begin{aligned} |\zeta(2it)\Gamma(it)| &= |\zeta(-2it)\Gamma(-it)| \\ &= \left| \zeta(1+2it)\Gamma\left(\frac{1+2it}{2}\right) \pi^{-it} \pi^{-(t+2it)/2} \right|. \end{aligned}$$

So, by (55), we have

$$\begin{aligned} \varphi(1+it) &= \sqrt{\frac{\pi}{2}} \left| 1 + \frac{1}{1+2^{1/2+it}} \right| \left| \frac{\zeta(2it)\Gamma(it)}{\zeta(1+2it)\Gamma(1/2+it)} \right| \\ &= \left| \frac{2^{1/2} + 2^{it}}{1+2^{1/2+it}} \right| = \left| \frac{1+2^{1/2-it}}{1+2^{1/2+it}} \right| = 1. \end{aligned}$$

This means that $\varphi(s)$ satisfies the functional equation

$$(57) \quad \varphi(s)\varphi(2-s) = 1.$$

Consequently, the constant term of the Fourier expansion of

$$(58) \quad b(z, s) = E(z, s) - \varphi(s)E(z, 2-s)$$

is 0. Since, however, our discontinuous group Γ has two cusps ∞ and 1, what we have shown is merely that $b(z, s)$ vanishes at the cusp ∞ . Therefore we must examine the other cusp 1. Let $\sigma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ be an element of Γ , and set

$$j_1(\sigma, z) = e^{-i(t/2) \arg(cz+d)}, \quad (z \in H).$$

Then, it follows from (12) and (13) that

$$j_1(\sigma, \tau z)j_1(\tau, z) = a(\sigma, \tau)j_1(\sigma\tau, z)$$

holds for $\sigma, \tau \in \Gamma$; $a(\sigma, \tau)$ being the factor set defined by (11). Set now $\rho = \begin{pmatrix} 1 & \\ 1 & 1 \end{pmatrix}$, $\tau = \begin{pmatrix} 1 & 2 \\ & 1 \end{pmatrix}$, then $\rho\tau\rho^{-1} = \begin{pmatrix} -1 & 2 \\ -2 & 3 \end{pmatrix}$ and from Theorem 1 follows

$$(59) \quad E(\rho\tau\rho^{-1}z, s) = -ij_1(\rho\tau\rho^{-1}, z)^{-1}E(z, s),$$

while $a(\rho, \tau\rho^{-1})$, $a(\tau, \rho^{-1})$, and $a(\rho^{-1}, \rho)$ are all 1 because of $\rho^{-1} = \begin{pmatrix} 1 & \\ -1 & 1 \end{pmatrix}$, $\tau\rho^{-1} = \begin{pmatrix} -1 & 2 \\ -1 & 1 \end{pmatrix}$. Hence,

$$\begin{aligned} j_1(\rho\tau\rho^{-1}, z) &= j_1(\rho, \tau\rho^{-1}z)j_1(\tau\rho^{-1}, z) \\ &= j_1(\rho, \tau\rho^{-1}z)j_1(\tau, \rho^{-1}z)j_1(\rho^{-1}, z), \end{aligned}$$

and

$$j_1(\rho\tau\rho^{-1}, z) = j_1(\rho, \tau z)j_1(\rho, z)^{-1}.$$

This, together with (59), shows

$$(60) \quad j_1(\rho, \tau z)E(\rho\tau z, s) = -ij_1(\rho, z)E(\rho z, s).$$

The behavior of $E(z, s)$ in the neighborhood of $z = 1$ is indicated by the function $j_1(\rho, z)E(\rho z, s)$. Since (60) shows that the function is a periodic function of period 8 with the multiplier $-i$ with respect to the transformation $z \rightarrow z + 2$, the constant term of the Fourier expansion, similar to (21), of $j_1(\rho, z)E(\rho z, s)$ must be 0. Thus the function $b(z, s)$ in (58), vanishing also at the cusp 1, is square integrable on a fundamental domain of Γ , i.e., $b(z, s)$ is a so-called cusp form. In this situation, it is no longer difficult to prove that $b(z, s)$ is identically 0, if we adopt some arguments from Selberg's work. Notations being as in Section 3, $b' = b(z, s)f(\hat{\omega})^{-1}$ belongs to $L^2(\tilde{\Gamma} \setminus \tilde{G})$, and is an eigenfunction of all invariant differential operators of G . Since, however, the eigenvalue of b' with

respect to D' depends continuously and analytically on s , the self-adjointness of D' entails that b' is orthogonal to all of those functions in $L^2(\tilde{\Gamma} \setminus \tilde{G})$ which are eigenfunctions of all invariant differential operators.

Thus we attained our aim in this section to obtain the following

Theorem 3. *The Eisenstein series $E(z, s)$ satisfies the functional equation*

$$E(z, s) = \varphi(s)E(z, 2-s)$$

containing the function $\varphi(s)$ of (55).

§ 7. $E(z, s)$ at $s=1/2$

We consider the function $\theta(z) = (1/2)y^{-1/4}E(z, 1/2)$. First of all, we intend to prove that $\theta(z)$ is an analytic function of z . To do this, we observe the function

$$(61) \quad \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) y^{-s/2} E(z, s)$$

which is given by a series for $\text{Re } s > 2$, and show that the analytic continuation, with respect to s , of this function vanishes at $s=1/2$. Since by definition

$$y^{-s/2} E(z, s) = \sum_{\Gamma_0 \setminus \Gamma} \chi(\sigma, 1) \frac{1}{\sqrt{cz+d}} \frac{1}{|cz+d|^{s-1/2}},$$

we have

$$(62) \quad \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) y^{-s/2} E(z, s) = \left(s - \frac{1}{2} \right) E_1(z, s)$$

with

$$(63) \quad E_1(z, s) = \sum_{\Gamma_0 \setminus \Gamma} \chi(\sigma, 1) e^{-(3/2)i \arg(cz+d)} \frac{c}{|cz+d|^{s+1}}.$$

$E_1(z, s)$ has period 2 with respect to z , and has consequently a Fourier expansion with respect to the orthogonal basis $\{e^{\pi i m x}\}$. Let us now investigate the Fourier coefficients. While a calculation similar to the proof of Proposition 4 yields

$$\begin{aligned} \int_0^2 E_1(z, s) dx &= \sum_{c \neq 0} \left(\frac{c}{|c|^{s+1}} e^{-(3/2)i \arg c} \sum_2 \chi(c, d) \right) \int_{-\infty}^{\infty} \frac{e^{-(3/2)i \arg z}}{|z|^{s+1}} dx \\ &= -\eta \sum_{c \neq 0} \frac{e^{-(1/2)i \arg c}}{|c|^s} (\sum_2 \chi(c, d)) \int_{-\infty}^{\infty} \frac{e^{(3/2)i \arctan t}}{(t^2+1)^{(s+1)/2}} dt, \end{aligned}$$

the sum over $c \neq 0$ here is nothing else than (25) appearing at the corresponding place of Proposition 4. On the other hand,

$$\begin{aligned} \int_{-\infty}^{\infty} \frac{e^{(3/2)i \arctan t}}{(t^2+1)^{(s+1)/2}} dt &= 2 \int_0^{\infty} \frac{\cos((3/2) \arctan t)}{(t^2+1)^{(s+1)/2}} dt \\ &= 2 \int_0^1 \left(u \sqrt{\frac{1+u}{2}} - \sqrt{1-u^2} \sqrt{\frac{1-u}{2}} \right) u^{s+1} \frac{du}{u^2 \sqrt{1-u^2}} \\ &= \sqrt{2} \int_0^1 \{u^s(1-u)^{-1/2} - u^{s-1}(1-u)^{1/2}\} du \\ &= \sqrt{2} \frac{\Gamma(s+1)\Gamma(1/2) - \Gamma(s)\Gamma(3/2)}{\Gamma(s+3/2)} = \sqrt{2\pi} \left(s - \frac{1}{2}\right) \frac{\Gamma(s)}{\Gamma(s+3/2)}. \end{aligned}$$

Therefore,

$$\int_0^2 E_1(z, s) dx = -2\sqrt{2\pi} iy^{-s} \left(1 + \frac{1}{1+2^{s-1/2}}\right) \left(s - \frac{1}{2}\right) \frac{\zeta(2s-2)}{\zeta(2s-1)} \frac{\Gamma(s)}{\Gamma(s+3/2)}.$$

Hence, the constant term in the Fourier expansion of $E_1(z, s)$ is 0 at $s=1/2$. Next, again some calculations similar to those in Section 4 show

$$\begin{aligned} \int_0^2 E_1(z, s) e^{-\pi i m x} dx &= \int_0^2 \sum_1 \chi(c, d) e^{-(3/2)i \arg(cz+d)} \frac{c}{|cz+d|^{s+1}} e^{-\pi i m x} dx \\ &= -\eta y^{-s} \sum_{c \neq 0} \frac{e^{-(1/2)i \arg c}}{|c|^s} \left(\sum_2 \chi(c, d) e^{\pi i m d/c}\right) \\ &\quad \times \int_{-\infty}^{\infty} \frac{e^{(3/2)i \arctan t}}{(t^2+1)^{s/2}} e^{-\pi i (m y) t} dt, \end{aligned}$$

and here, too, the Dirichlet series defined by the sum over $c \neq 0$ completely coincides with (41) in Section 5. Hence, it follows from Theorem 2 that

$$(64) \quad \begin{aligned} \int_0^2 E_1(z, s) e^{-\pi i m x} dx &= -2iy^{-s} \frac{L(s-1/2, \chi_m)}{\zeta(2s-1)} \prod A'_{m, q}(s) \\ &\quad \times \int_{-\infty}^{\infty} \frac{e^{(3/2)i \arctan t}}{(t^2+1)^{s/2}} e^{-\pi i (m y) t} dt \end{aligned}$$

for $m \neq 0$. Moreover, although

$$w_1(u, s) = \int_{-\infty}^{\infty} \frac{e^{(3/2)i \arctan t}}{(t^2+1)^{s/2}} e^{-\pi i u t} dt$$

is somewhat different from $w(u, s)$ in (28), no essentially new circumstance arises in verifying that $w_1(u, s)$ has almost the same properties as $w(u, s)$,

in particular that, through a recursive formula similar to (31), $w_1(u, s)$ has an analytic continuation in the whole s -plane which is an entire function of s . Hence, it follows from (64) that all Fourier coefficients of $E_1(z, s)$ different from the constant term are regular at $s=1/2$. Thus we have proved that $E_1(z, s)$ is regular at $s=1/2$. Consequently, (61) becomes 0 at $s=1/2$ because of (62). This proves that our function $\theta(z)$ is an analytic function of z .

Now, Proposition 4 assures that the constant term of the Fourier expansion of $\theta(z)$ is 1. On the other hand, the same is true for the function $\mathcal{G}(z)$ of (1). Furthermore, notations being as in Section 6, we have already shown in the proof of Theorem 3 that the constant term in the Fourier expansion of the function $j_1(\rho, z)E(\rho z, s)$ is 0. Since $y^{1/4}\mathcal{G}(z)$ and $E(z, 1/2)$ have one and the same transformation formula with respect to the elements of Γ , the constant term of the Fourier expansion of $j_1(\rho, z)(y^{1/4}\mathcal{G}(z))_{z \rightarrow \rho z}$ is also 0. From these facts, we can conclude that $\theta(z) - \mathcal{G}(z)$ vanishes at two cusps 1, ∞ of Γ . Consider now the function $(\theta(z) - \mathcal{G}(z))^4$. This is, by Theorem 1, an ordinary, analytic modular form of weight 2 for the congruence subgroup $\Gamma_2 \pmod 2$ of $SL(2, \mathbf{Z})$, and is besides a cusp form. Since, however, the genus of the fundamental domain of Γ_2 is 0, $(\theta(z) - \mathcal{G}(z))^4$ must be 0. Thus, we have

Theorem 4. *The Eisenstein series $E(z, s)$ at $s=1/2$ is combined with the theta function (1) by the relation*

$$\frac{1}{2}y^{-1/4}E\left(z, \frac{1}{2}\right) = \mathcal{G}(z).$$

The Fourier coefficients of $\mathcal{G}(z)$ with respect to the orthogonal basis $\{e^{\pi i m x}\}$ is, by definition, 0 unless m is a square. This corresponds through Theorem 2 to the fact that the value $L(0, \chi_m)$ of Dirichlet's L -function is 0 for $m > 0$ unless χ_m is trivial.

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