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## IDEALS OF COMPACT SETS ASSOCIATED WITH BOREL FUNCTIONS

### Abstract

We investigate the connection between the Borel class of a function  $f$  and the Borel complexity of the set  $\mathcal{C}(f) = \{C \in J(X) : f|_C \text{ is continuous}\}$  where  $J(X)$  denotes the compact subsets of  $X$  with the Hausdorff metric. For example, we show that for a function  $f: X \rightarrow Y$  between Polish spaces; if  $\mathcal{C}(f)$  is  $F_{\sigma\delta}$  in  $J(X)$ , then  $f$  is Borel class one.

### 1 Introduction

Given a Polish space  $X$  let  $J(X)$  denote the collection of nonempty compact subsets of  $X$  with the Hausdorff metric. We investigate the connection between the Borel class of a function  $f$  and the Borel complexity of the set  $\mathcal{C}(f) = \{C \in J(X) : f|_C \text{ is continuous}\}$ . Generally, the set  $\mathcal{C}(f)$  is an ideal. One can see the subject of this paper from at least two directions. First, one can see the complexity of  $\mathcal{C}(f)$  as a measure of how discontinuous  $f$  is, since for  $f$  continuous  $\mathcal{C}(f) = J(X)$ , which is a very simple set. Secondly, descriptive set theorist have an interest in finding natural examples of objects such as ideals of compact sets which are complex.

### 2 Preliminaries

Let  $X$  be a set. We let  $|X|$  denote the cardinality of  $X$ . Given a cardinal  $\kappa$  we let  $[X]^{<\kappa}$ ,  $[X]^{\leq\kappa}$  and  $[X]^\kappa$  denote the subsets of  $X$  of cardinality strictly less than  $\kappa$ , less or equal to  $\kappa$ , and equal to  $\kappa$ , respectively. Given a function  $f: X \rightarrow Y$  and  $A \subseteq X$ , we let  $f|_A$  denote the restriction of  $f$  to  $A$ . Given

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a product of two sets  $X \times Y$ , we let  $\pi_X$  and  $\pi_Y$  denote the usual projections onto  $X$  or  $Y$ , respectively.

Suppose  $X$  is a Polish space with metric  $d$ . For a set  $A \subseteq X$ , we write  $\text{cl}_X(A)$ ,  $\text{int}_X(A)$ ,  $\text{bd}_X(A)$  for the closure, interior, and boundary of  $A$  in  $X$ , respectively. When it is understood what space we are referring to, the subscript will be dropped. Given sets  $A, B \subseteq X$ , we define  $\text{dist}(A, B) = \inf(\{d(x, y) : x \in A \ \& \ y \in B\})$ . Given sets  $A, B \subseteq X$  we define the Hausdorff distance between  $A$  and  $B$  to be  $H_d(A, B) = \max(\sup(\{\text{dist}(\{x\}, B) : x \in A\}), \sup(\{\text{dist}(A, \{y\}) : y \in B\}))$ . When  $H_d$  is restricted to the compact subsets of  $X$ , it is a metric known as the Hausdorff metric. The diameter of a nonempty set  $A \subseteq X$  is defined by  $\text{diam}(A) = \sup\{d(x, y) : x, y \in A\}$ , if  $A = \emptyset$  we let  $\text{diam}(A) = 0$ . It is known that if  $X$  is Polish, then  $J(X)$  is Polish as well [6, 4.25].

By a Cantor set we mean a compact totally disconnected metric space with no isolated points.

Let  $X$  be Polish. By  $\mathcal{B}(X)$  we denote the Borel subsets of  $X$  as defined in [6, 11.A]. For  $0 < \alpha < \omega_1$  let  $\Sigma_\alpha^0(X)$ ,  $\Pi_\alpha^0(X)$ ,  $\Delta_\alpha^0(X)$  stand for the subclasses of  $\mathcal{B}(X)$  defined as in [6, 11.B] (e.g.,  $\Pi_2^0$  is  $G_\delta$  and  $\Sigma_2^0$  is  $F_\sigma$ ). The analytic subsets of  $X$  and the coanalytic subsets of  $X$  as defined in [6] will be denoted by  $\Sigma_1^1(X)$  and  $\Pi_1^1(X)$ , respectively. A set  $A \subseteq X$  is said to be coanalytic hard provided that for any zero-dimensional Polish space  $Y$  and coanalytic  $B \subseteq Y$  there is a continuous function  $f: Y \rightarrow X$  such that  $f^{-1}(A) = B$ . To say that  $A$  is coanalytic hard is essentially saying that  $A$  is at least as complex as any coanalytic set. In particular, if  $A$  is coanalytic hard, then  $A$  is neither Borel nor analytic.

If a function  $f: X \rightarrow Y$  has the property that for every open set  $U \subseteq Y$  the set  $f^{-1}(U) \in \Sigma_2^0(X)$ , then we say  $f$  is a Borel class one function. Let  $\mathcal{B}_1$  denote the Borel class one functions. If a function  $f: X \rightarrow Y$  has the property that for every open set  $U \subseteq Y$  the set  $f^{-1}(U) \in \mathcal{B}(X)$ , then we say  $f$  is a Borel function. We let  $\mathcal{B}$  denote the Borel functions. For a function  $f: X \rightarrow Y$  and  $S \subseteq X$  we let  $\text{osc}(f, S) = \sup\{\text{dist}(f(x), f(y)) : x, y \in S\}$ . For a function  $f: X \rightarrow Y$  we let  $D(f)$  denote the set of discontinuity points of  $f$ .

We say  $f: X \rightarrow Y$  is a discrete limit of a sequence of functions  $\{f_n\}_{n \in \omega}$  provided that for every  $x \in X$  there is an  $n_x \in \omega$  such that  $f_k(x) = f(x)$  for all  $k \geq n_x$ . For more facts about discrete limits see [4].

### 3 Results

If a function  $f: X \rightarrow Y$  has the property that for every  $x \in X$  there exist open sets  $U \subseteq X$  and  $V \subseteq Y$  such that  $x \in U$ ,  $f(x) \in V$ , and  $f \upharpoonright_{\text{cl}(f^{-1}(V) \cap U)}$  is

continuous then we say  $f \in T_0$ . If a function  $f: X \rightarrow Y$  has the property that for every  $x \in X$  there exist open sets  $U \subseteq X$  and  $V \subseteq Y$  such that  $f(x) \in V$ ,  $x \in U$ , and  $f|_{f^{-1}(V) \cap U}$  is continuous, then we say  $f \in T_1$ .

We may now state our theorems.

**Theorem 1.** *If  $X$  and  $Y$  are Polish spaces and  $f: X \rightarrow Y$  is a function, then  $f$  is continuous if and only if  $\mathcal{C}(f) \in \Pi_2^0(\mathcal{J}(X))$ .*

**Theorem 2.** *If  $X$  and  $Y$  are Polish spaces and  $f: X \rightarrow Y$ , then the following are equivalent:*

- (i)  $f \in T_0$
- (ii)  $\mathcal{C}(f) \in \Sigma_2^0(\mathcal{J}(X))$
- (iii) *there is a  $\subseteq$ -increasing sequence  $\{T_n\}_{n \in \omega}$  of closed subsets of  $X$  such that  $\mathcal{C}(f) = \bigcup_{n \in \omega} \mathcal{J}(T_n)$*
- (iv)  $\mathcal{C}(f) \in \Delta_3^0(\mathcal{J}(X))$ .

Moreover, if  $Y = \mathbb{R}$  the conditions (i)-(iv) are equivalent to:

- (v)  $f$  is open in  $\text{cl}(f)$
- (vi)  $f$  is the discrete limit of continuous functions  $\{f_n\}_{n \in \omega}$  such that  $\mathcal{C}(f) = \{C \in \mathcal{J}(X) : \{f_n \upharpoonright_C\}_{n \in \omega} \text{ is eventually constant}\}$ .

**Theorem 3.** *If  $X$  and  $Y$  are Polish spaces and  $f: X \rightarrow Y$ , then (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii) where:*

- (i)  $\mathcal{C}(f) \in \Pi_3^0(\mathcal{J}(X))$
- (ii)  $f \in \mathcal{B}_1$
- (iii)  $\mathcal{C}(f) \in \Pi_4^0(\mathcal{J}(X))$

and none of the implications may be reversed. Moreover, there is a  $\mathcal{B}_1$  function  $f$  such that  $\mathcal{C}(f) \notin \Sigma_4^0(\mathcal{J}(X))$ .

**Theorem 4.** *If  $X$  and  $Y$  are Polish spaces, and  $f: X \rightarrow Y$ , then the following are equivalent:*

- (i)  $\mathcal{C}(f) \in \Sigma_3^0(\mathcal{J}(X))$
- (ii)  $f \in T_1$  and  $f$  has  $G_\delta$ -graph.

The following theorem shows the importance of the assumption in Theorem 4 (ii) that  $f$  has  $G_\delta$ -graph:

**Theorem 5.** *If  $X$  and  $Y$  are Polish and  $f: X \rightarrow Y$  is Borel, then the following are equivalent:*

- (i)  $\mathcal{C}(f)$  is Borel,
- (ii)  $f$  has  $G_\delta$  graph, and
- (iii)  $\mathcal{C}(f \upharpoonright_A)$  is coanalytic hard for no  $A \in \mathbf{J}(X)$ .

In particular, let  $g$  be the characteristic function of the rationals. Clearly,  $g \in \mathbf{T}_1$  but does not have  $G_\delta$ -graph, so  $\mathcal{C}(g) \notin \Sigma_3^0(X)$ .

We note the following propositions which will be used repeatedly.

**Proposition 6.** [6, 23.1] *The set*

$$\{\sigma \in 2^{\omega \times \omega} : (\forall m \in \omega)(\exists k \in \omega)(\forall n \geq k)(\sigma(\langle m, n \rangle)) = 0\}.$$

*is in  $\Pi_3^0(2^{\omega \times \omega}) \setminus \Sigma_3^0(2^{\omega \times \omega})$ .*

We will let  $H$  denote the subset of  $2^{\omega \times \omega}$  described in Proposition 6.

**Proposition 7.** [6, 23.6] *The set*

$$\{\sigma \in 2^{\omega \times \omega} : (\exists l \in \omega)(\forall m \geq l)(\exists k \in \omega)(\forall n \geq k)(\sigma(\langle m, n \rangle) = 0)\}.$$

*is in  $\Sigma_4^0(2^{\omega \times \omega}) \setminus \Pi_0^4(2^{\omega \times \omega})$ .*

We will let  $I$  denote the subset of  $2^{\omega \times \omega}$  described in Proposition 7.

## 4 Proof of Theorem 1

If  $f: X \rightarrow Y$  is continuous, then  $\mathcal{C}(f) = \mathbf{J}(X) \in \Pi_2^0(\mathbf{J}(X))$ . Suppose now that  $f: X \rightarrow Y$  is not continuous. There exists  $x \in X$  and  $x_n \in X$  such that  $\lim_{n \rightarrow \infty} x_n = x$  and no subsequence of  $\{f(x_n)\}_{n \in \omega}$  converges to  $f(x)$ . Let  $A = \{x_n : n \in \omega\} \cup \{x\}$ . Notice that  $B = \{Y \in \mathbf{J}(A) : x \in Y\}$  is compact in  $\mathbf{J}(X)$  and has no isolated points. Clearly, a compact set  $K \in \mathcal{C}(f) \cap B$  if and only if  $K$  is finite. Since the finite members of  $B$  form a countable dense subset of  $B$ , we have that  $B \cap \mathcal{C}(f) \in \Sigma_2^0(\mathbf{J}(X)) \setminus \Pi_2^0(\mathbf{J}(X))$ . Thus,  $\mathcal{C}(f) \notin \Pi_2^0(\mathbf{J}(X))$ .  $\square$

## 5 Proof of Theorem 5

We begin with two lemmas the first being a version of the Blumberg Theorem [3] the proof of which is similar to the method used in [1].

**Lemma 8.** *Let  $X$  and  $Y$  be separable metric spaces with  $|X| > 1$ . If  $f: X \rightarrow Y$  has no isolated points, then there is a nonempty set  $D \subseteq X$  such that  $D$  has no isolated points and  $f|_D$  is continuous.*

PROOF. Let  $\mathcal{U}$  and  $\mathcal{V}$  be countable bases for  $X$  and  $Y$ , respectively. We may assume that both bases are closed under the operation  $W_1 \setminus \text{cl}(W_2)$  where  $W_1, W_2 \in \mathcal{U}$  or  $W_1, W_2 \in \mathcal{V}$ . Let  $\mathcal{R}$  denote the rational rectangles; i.e., sets of the form  $U \times V$  where  $U \in \mathcal{U}$  and  $V \in \mathcal{V}$ . Let  $X_1 \subseteq X$  be a countable set such that  $f|_{X_1}$  is dense in  $f$ . Notice that  $f|_{X_1}$  has no isolated points. Let  $A \subseteq X_1$  and  $|A| > 1$ . We define the mesh of  $A$  to be  $\text{mesh}(A) = \sup\{\text{dist}(x, A \setminus \{x\}) : x \in A\}$ .

Let  $P$  be the collection of all pairs  $(A, S) \in [X_1]^{<\omega} \times [\mathcal{R}]^{<\omega}$  such that

- (0)  $|A| > 1$ ,
- (1)  $\pi_X[R_1] \cap \pi_X[R_2] = \emptyset$  for all distinct  $R_1, R_2 \in S$ , and
- (2)  $f|_A \subseteq \cup S$ .

We say  $(A_1, S_1) \leq (A_2, S_2)$  provided  $A_2 \subseteq A_1$ ,  $\text{mesh}(A_1) \leq \text{mesh}(A_2)$ , and  $\cup S_1 \subseteq \cup S_2$ . Now  $(P, \leq)$  is a reflexive and transitive ordering.

For each  $x \in X_1$  and  $n > 0$ , let

$$E_n^x = \{(A, S) \in P : \text{if } \langle x, f(x) \rangle \in T \in S, \text{ then } \text{diam}(\pi_Y[T]) < 1/n\}.$$

We show  $E_n^x$  is dense in  $P$ . Let  $(A, S) \in P$ . If  $\langle x, f(x) \rangle \notin \cup S$ , then  $(A, S) \in E_n^x$  by failure of hypothesis. So we may assume that  $\langle x, f(x) \rangle \in T$  for some  $T \in S$ . Pick  $V \in \mathcal{V}$  so that  $\text{diam}(V) < 1/n$  and  $f(x) \in V$ . If  $x \in A$ , then pick  $U$  open such that  $\text{cl}(U) \subseteq \pi_X(T)$  and  $\{x\} = A \cap U = A \cap \text{cl}(U)$ . If  $x \notin A$ , then pick an open set  $U$  such that  $\text{cl}(U) \subseteq \pi_X[T]$  and  $A \cap U = \emptyset$ . Let  $S^* = (S \setminus \{T\}) \cup \{U \times V, (\pi_X[T] \setminus \text{cl}(U)) \times (\pi_Y[T] \setminus \text{cl}(V))\}$ . Now  $(A, S^*) \leq (A, S)$  and  $(A, S^*) \in E_n^x$ . So,  $E_n^x$  is dense for all  $x \in X_1$  and  $n \in \omega$ .

For each  $n > 0$ , let

$$F_n = \{(A, S) : \text{dist}(\{x\}, A \setminus \{x\}) < 1/n \text{ for all } x \in A\}.$$

We show  $F_n$  is dense in  $P$ . Let  $(A, S) \in P$ . Fix  $x \in A$ . Since  $(A, S) \in P$ , there is a  $T \in S$  such that  $\langle x, f(x) \rangle \in T$ . Since  $T$  is open and  $f|_{X_1}$  has no isolated points we can find an  $x^* \in X_1 \setminus A$  such that  $\langle x^*, f(x^*) \rangle \in T$  and  $\text{dist}(x, x^*) < \min\{\text{mesh}(A), 1/n\}$ . Let  $A^* = A \cup \{x^* : x \in A\}$ . Now  $(A^*, S) \leq (A, S)$  and  $(A^*, S) \in F_n$ . So,  $F_n$  is dense in  $P$  for all  $n > 0$ .

Since  $|\{E_n^x : x \in X_1 \ \& \ n > 0\} \cup \{F_n : n > 0\}| \leq \omega$  we may find a filter  $G \subseteq P$  such that  $G$  has nonempty intersection with each of the dense sets

defined. Let  $D = \bigcup\{A : (A, S) \in G\}$ . For every  $(A, S) \in G$  we have  $f|_D \subseteq \cup S$ . To see it let  $x \in D$  and  $(A, S) \in G$ . By definition of  $D$ , there is an  $(A_1, S_1) \in G$  such that  $x \in A_1$ . Pick  $(A_2, S_2) \in G$  such that  $(A_2, S_2) \leq (A, S)$  and  $(A_2, S_2) \leq (A_1, S_1)$ . Since  $x \in A_2$  there is a  $T \in S_2$  such that  $\langle x, f(x) \rangle \in T$ . Thus,  $\langle x, f(x) \rangle \in T \subseteq \cup S_2 \subseteq \cup S$ .

We show that  $f|_D$  is continuous. Let  $x \in D$  and  $\epsilon > 0$ . Pick  $n > 0$  such that  $1/n < \epsilon$  and pick  $(A, S) \in G \cap E_n^x$ . Since  $x \in D$ , there is an  $(A_1, S_1) \in G$  such that  $x \in A_1$ . Pick  $(B, M) \in G$  such that  $(B, M) \leq (A_1, S_1)$  and  $(B, M) \leq (A, S)$ . Now  $x \in B$  so there is a  $N \in M$  such that  $\langle x, f(x) \rangle \in N$ . Since  $(B, M) \leq (A, S)$ , we have  $N \subseteq \cup M \subseteq \cup S$ . So,  $\langle x, f(x) \rangle \in \cup S$ . Hence, there is a  $T \in S$  such that  $\langle x, f(x) \rangle \in T$  and  $\pi_Y[T] < 1/n$ . Since  $f|_{D \cap \pi_X^{-1}[T]} \subseteq T$ , there is an open neighborhood  $U$  of  $x$  such that  $\text{dist}(f(x), f(w)) < 1/n < \epsilon$  for all  $w \in U \cap D$ . Therefore,  $f|_D$  is continuous.

We now show that  $D$  has no isolated points. Let  $x \in D$  and  $\epsilon > 0$ . Pick  $n > 0$  such that  $1/n < \epsilon$ . There is an  $(A, S) \in G$  such that  $x \in A$ . Pick  $(A_1, S_1) \in G \cap F_n$ . Pick  $(A_2, S_2) \in G$  so that  $(A_2, S_2) \leq (A, S)$  and  $(A_2, S_2) \leq (A_1, S_1)$ . Now  $x \in A_2$ . Since  $(A_2, S_2) \leq (A_1, S_1)$  and  $\text{mesh}(A_1) < 1/n$ , we have  $\text{mesh}(A_2) < 1/n$ . Thus, there is a  $w \in A_2 \subseteq D$  such that  $\text{dist}(x, w) < 1/n < \epsilon$ . Thus,  $D$  has no isolated points.  $\square$

**Lemma 9.** *Let  $C$  be a Cantor set and  $D \subseteq C$  be countable and dense. If  $\mathcal{S}$  is the collection of all  $K \in \mathcal{J}(C)$  such that  $K \cap D$  and  $K \cap (C \setminus D)$  are both compact, then  $\mathcal{S}$  is coanalytic hard.*

PROOF. Let  $N \subseteq 2^\omega$  be the set all binary sequences  $\tau$  such that  $\tau^{-1}(1)$  is finite. Notice that  $N$  is countable and dense in  $2^\omega$ . It is well known [6, 33.B] that  $I = \{K \in \mathcal{J}(2^\omega) : K \subseteq N\}$  is a coanalytic hard set. For  $K \subseteq 2^\omega$  and  $n \in \omega$  let  $K|_n = \{\sigma|_n : \sigma \in K\}$ .

Define  $\Theta : \mathcal{J}(2^\omega) \rightarrow \mathcal{J}(2^\omega)$  by

$$\Theta(K) = \text{cl}\left(\bigcup_{n \in \omega} \{\sigma \in 2^\omega : \sigma|_n \in K|_n \ \& \ (\forall k \geq n)(\sigma(k) = 0)\}\right)$$

for every  $K \in \mathcal{J}(2^\omega)$ . It is easily seen that  $\Theta$  is continuous. It should be clear that  $\Theta(K) \subseteq N$  if  $K \subseteq N$ . On the other hand, suppose  $K \setminus N \neq \emptyset$ . Let  $k \in K \setminus N$ . Now  $k \in \Theta(K)$  and there exist  $\{n_l \in N\}_{l \in \omega}$  such that  $\lim_{l \rightarrow \infty} n_l = k$ . So, in this case  $\Theta(K) \cap N$  is not compact. Thus,  $\Theta^{-1}(\mathcal{S}) = I$ . Therefore,  $\mathcal{S}$  is coanalytic hard.  $\square$

**Lemma 10.** *Let  $X$  be Polish. If  $G \in \Pi_2^0(X)$  is dense and  $D$  is a dense set disjoint from  $G$ , then there is a countable  $E \subseteq D$  such that  $E$  is dense in  $X$  and  $G \cup E \in \Pi_2^0(X)$ .*

PROOF. Let  $X \setminus G = \bigcup_{n \in \omega} F_n$  where each  $F_n$  is closed. We may assume that  $\lim_{n \rightarrow \infty} \text{diam}(F_n) = 0$  and that  $F_m \setminus \bigcup_{n < m} F_n \neq \emptyset$  for every  $m \in \omega$ . Fix  $m \in \omega$ . If  $(F_m \setminus \bigcup_{n < m} F_n) \cap D \neq \emptyset$ , pick  $e_m \in (F_m \setminus \bigcup_{n < m} F_n) \cap D$ . Let  $E = \{e_m : D \cap (F_m \setminus \bigcup_{n < m} F_n) \neq \emptyset\}$ . Clearly,  $E \in \Sigma_2^0(X)$  and  $E \subseteq D$ .

Let  $U \subseteq X$  be a nonempty open set. Since  $F_n$  is nowhere dense for every  $n \in \omega$  and  $D$  is dense, there is no  $N \in \omega$  such that  $D \cap U \subseteq \bigcup_{n \leq N} F_n$ . Thus, there is a  $d \in D$  and a  $k \in \omega$  such that  $(F_k \setminus \bigcup_{n < k} F_n) \cap D \neq \emptyset$  and  $F_k \subseteq U$ . Now  $e_k \in U$ . Thus,  $E$  is dense in  $X$ .

Since  $E \cup G = X \setminus (\bigcup_{m \in \omega} F_m \setminus E)$  and  $F_m \cap E$  is finite for every  $m \in \omega$ , we have  $E \cup G \in \Pi_2^0(X)$ . □

PROOF OF THEOREM 5 Clearly, (i) implies (iii).

We show that (ii) implies (i). First, notice that the set  $J(f)$  is a  $G_\delta$ -subset of  $J(X \times Y)$  and that  $\mathcal{C}(f)$  is an injective continuous image of  $J(f)$  by the function  $\Theta: J(f) \rightarrow J(X)$  defined by  $\Theta(K) = \pi_X[K]$ . Since  $\mathcal{C}(f)$  is an injective image of a Borel set,  $\mathcal{C}(f)$  is Borel by [6, 15.1].

We now show that (iii) implies (ii). Suppose  $f: X \rightarrow Y$  is Borel and  $f$  does not have  $G_\delta$ -graph. Since  $f$  is Borel we have that  $f$  is a  $\Pi_1^1$ -subset of  $X \times Y$ . By a theorem of Hurewicz [6, 21.18], there is a relatively closed subset  $B$  of  $f$  such that  $B$  is homeomorphic to the rational numbers. Let  $B_1 = \pi_X(B)$ . Since  $f|_{B_1}$  has no isolated points, by Lemma 8, there is a  $B_2 \subseteq B_1$  such that  $f|_{B_2}$  is continuous and  $B_2$  has no isolated points. Let  $C \subseteq X$  be a Cantor set such that  $B_2$  is dense in  $C$ . Since  $f$  is Borel, there is a dense  $G_\delta$ -subset  $D$  of  $C$  such that  $f|_D$  is continuous. By Lemma 10, there is a dense subset  $B_3$  of  $B_2$  such that  $D \cup B_3 \in \Pi_2^0(C)$ . Notice  $B_3$  has no isolated points. Let  $d \in D$ . Since  $B$  is relatively closed in  $f$ , there exists  $\epsilon, \delta > 0$  such that for any  $x \in B_\delta(d) \cap D$  and  $w \in B_\delta(d) \cap B_3$ , we have  $|f(x) - f(w)| > \epsilon$ . Let  $C_1 \subseteq B_\delta(d) \cap (D \cup B_3)$  be a Cantor set such that  $B_3 \cap C_1$  is countable and dense in  $C_1$ . It is clear that  $\mathcal{C}(f|_{C_1})$  is exactly the compact subsets  $P$  of  $C_1$  with the property that both  $B_3 \cap P$  and  $(C_1 \setminus B_3) \cap P$  are both compact. By Lemma 9,  $\mathcal{C}(f|_{C_1})$  is coanalytic hard. □

## 6 Proof of Theorem 4

Suppose  $X$  and  $Y$  are Polish spaces and  $f: X \rightarrow Y$ .

We show that (i) implies (ii).

**Lemma 11.** *If  $\mathcal{C}(f) \in \Sigma_3^0(J(X))$ , then  $f \in T_1$ .*

PROOF. Suppose  $f \notin T_1$ . Let  $x \in X$  be such that for every pair of open sets  $U \subseteq X$  and  $V \subseteq Y$  with  $x \in U$  and  $f(x) \in V$  we have  $f|_{f^{-1}(V) \cap U}$  not continuous. Let  $\{V_n\}_{n \in \omega}$  be a decreasing sequence of open subsets of  $Y$  such

that  $H_d(V_n, f(x)) < 1/2^n$  and  $f(x) \in V_n$  for every  $n \in \omega$ . Let  $\{U_n\}_{n \in \omega}$  be a decreasing sequence of open subsets of  $X$  such that  $H_d(U_n, x) < 1/2^n$  and  $x \in U_n$  for every  $n \in \omega$ . For each  $n \in \omega$  pick  $x_n \in D(f \upharpoonright_{f^{-1}(V_n) \cap U_n})$ . For each  $n \in \omega$  we may find  $\{w_{n,k} \in f^{-1}(V_n) \cap U_n\}_{k \in \omega}$  such that  $\lim_{k \rightarrow \infty} w_{n,k} = x_n$  and no subsequence of  $\{f(w_{n,k})\}_{k \in \omega}$  converges to  $f(x_n)$ , we may also assume that  $\text{cl}(\{ \langle w_{n,k}, f(w_{n,k}) \rangle : k \in \omega \}) \cap \text{cl}(\{ \langle w_{m,k}, f(w_{m,k}) \rangle : k \in \omega \}) = \emptyset$  for all  $n, m \in \omega$  such that  $n \neq m$ . Let  $C = \text{cl}(\{w_{n,k} : n, k \in \omega\})$ . Define  $h: 2^{\omega \times \omega} \rightarrow J(C)$  by  $h(\sigma) = \{w_{n,k} : \sigma(\langle n, k \rangle) = 1\} \cup \{x_n : n \in \omega\} \cup \{x\}$ . Notice that  $h$  is continuous. It is straight forward to check that  $f \upharpoonright_{h(\sigma)}$  is continuous if and only if

$$(\forall m \in \omega)(\exists k \in \omega)(\forall n \geq k)(\sigma(\langle m, n \rangle)) = 0.$$

Thus,  $h^{-1}(\mathcal{C}(f) \cap J(C)) = H$ . By Proposition 6 and the continuity of  $h$ , we have  $\mathcal{C}(f) \cap J(C) \notin \Sigma_3^0(J(X))$ . Since  $J(C)$  is closed,  $\mathcal{C}(f) \notin \Sigma_3^0(J(X))$ .  $\square$

We now show that (ii) implies (i). We first define an operation  $M$  on collections of subsets of product spaces. Given a collection  $\mathcal{A}$  of subsets of  $X \times Y$ . Define

$$M(\mathcal{A}) = \bigcup_{x \in X} \left( \pi_X^{-1}(\{x\}) \cap \bigcap \{A \in \mathcal{A} : x \in \pi_X[A]\} \right).$$

**Lemma 12.** *If  $f: X \rightarrow Y$  is a function and  $\mathcal{A}$  is a finite collection of subsets of  $X \times Y$  such that  $\pi_X[A]$  is closed for every  $A \in \mathcal{A}$  and  $f \upharpoonright_{\pi_X[A] \cap f}$  is continuous for each  $A \in \mathcal{A}$ , then  $f \upharpoonright_{\pi_X[M(\mathcal{A}) \cap f]}$  is continuous.*

PROOF. Let  $\{x_n\}_{n \in \omega}$  be a sequence of points in  $\pi_X[M(\mathcal{A}) \cap f]$  which converges to some  $x \in \pi_X[M(\mathcal{A}) \cap f]$ . Since  $\mathcal{A}$  is finite, we may assume that there is an  $A \in \mathcal{A}$  such that  $x_n \in \pi_X[A \cap f]$  for every  $n \in \omega$ . Since  $A$  has closed  $X$ -projection,  $x \in \pi_X[A]$ . Since  $x \in \pi_X[M(\mathcal{A}) \cap f]$  and  $x \in \pi_X[A]$ , we have  $\langle x, f(x) \rangle \in A$ . In particular,  $\{x_n : n \in \omega\} \cup \{x\} \subseteq \pi_X[A \cap f]$ . Thus,  $\lim_{n \in \omega} f(x_n) = f(x)$ . Therefore,  $f \upharpoonright_{\pi_X[M(\mathcal{A}) \cap f]}$  is continuous.  $\square$

**Lemma 13.** *If  $\mathcal{A}$  is a finite collection of closed subsets of  $X \times Y$  such that  $\pi_X[A]$  is closed for every  $A \in \mathcal{A}$ , then  $M(\mathcal{A}) \in \Pi_2^0(X \times Y)$ .*

PROOF. Notice that for every  $A \in \mathcal{A}$  we have

$$A \cup ((\pi_X[\cup \mathcal{A}] \setminus \pi_X[A]) \times Y) \in \Pi_2^0(X \times Y).$$

It is easily checked that

$$M(\mathcal{A}) = \bigcap_{A \in \mathcal{A}} (A \cup ((\pi_X[\cup \mathcal{A}] \setminus \pi_X[A]) \times Y)).$$

Thus,  $M(\mathcal{A}) \in \Pi_2^0(X \times Y)$ .  $\square$



**Lemma 14.** *Let  $f \in T_1$  and  $\mathcal{B}_1$  and  $\mathcal{B}_2$  be countable bases for  $X$  and  $Y$ , respectively. If  $x \in A \subseteq X$  and  $f|_A$  is continuous, then there exist  $B_1 \in \mathcal{B}_1$  and  $B_2 \in \mathcal{B}_2$  such that  $f|_{f^{-1}(\text{cl}(B_2)) \cap \text{cl}(B_1)}$  is continuous and  $f[A \cap \text{cl}(B_1)] \subseteq \text{cl}(B_2)$ .*

PROOF. Since  $f \in T_1$ , there exist open sets  $U \subseteq X$  and  $V \subseteq Y$  such that  $x \in U$ ,  $f(x) \in V$ , and  $f|_{f^{-1}(V) \cap U}$  is continuous. Pick  $B_1 \in \mathcal{B}_1$  and  $B_2 \in \mathcal{B}_2$  so that  $\text{cl}(B_1) \subseteq U$ ,  $\text{cl}(B_2) \subseteq V$ ,  $x \in B_1$ , and  $f(x) \in B_2$ . Since  $f^{-1}(\text{cl}(B_2)) \cap \text{cl}(B_1) \subseteq f^{-1}(V) \cap U$ , we have that  $f|_{f^{-1}(\text{cl}(B_2)) \cap \text{cl}(B_1)}$  is continuous. Since  $f|_A$  is continuous we may assume  $B_1$  is small enough that  $f[A \cap \text{cl}(B_1)] \subseteq \text{cl}(B_2)$ .  $\square$

**Lemma 15.** *If  $f \in T_1$  and  $f$  has  $G_\delta$ -graph, then  $\mathcal{C}(f) \in \Sigma_3^0(X)$ .*

PROOF. Let  $\mathcal{B}_1$  and  $\mathcal{B}_2$  be countable bases for  $X$  and  $Y$  respectively. Let  $\mathcal{W}$  be the collection of all finite collections  $Z = \{W_0, \dots, W_n\}$  of sets of the form  $W_i = \text{cl}(B_1) \times \text{cl}(B_2)$  (where  $B_1 \in \mathcal{B}_1$  and  $B_2 \in \mathcal{B}_2$ ) such that  $f|_{\pi_X[M(Z) \cap f]}$  is continuous. Let  $Z \in \mathcal{W}$ . By Lemma 13 and the assumption that  $f$  has  $G_\delta$ -graph,  $M(Z) \cap f \in \Pi_2^0(X \times Y)$ . Since  $f|_{\pi_X[M(Z) \cap f]}$  is continuous,  $\pi_X[M(Z) \cap f] \in \Pi_2^0(X)$ . Thus,  $\mathcal{T} = \bigcup \{J(\pi_X[M(Z) \cap f]) : Z \in \mathcal{W}\} \in \Sigma_3^0(X)$ .

The proof will be complete if we show that  $\mathcal{C}(f) = \mathcal{T}$ . The containment  $\mathcal{T} \subseteq \mathcal{C}(f)$  is obvious. We work for the opposite containment. Let  $C \in \mathcal{C}(f)$ . We will construct a finite collection  $W = \{W_1, W_2, \dots, W_n\}$  of sets of the form  $W_i = \text{cl}(B_1) \times \text{cl}(B_2)$  where  $B_1 \in \mathcal{B}_1$  and  $B_2 \in \mathcal{B}_2$  such that

- (a)  $f|_C \subseteq \bigcup W$ ,
- (b)  $f|_{\pi_X[f \cap W_i]}$  is continuous for every  $1 \leq i \leq n$ , and
- (c)  $f|_{C \cap \pi_X(W_i)} \subseteq W_i$  for every  $1 \leq i \leq n$ .

By Lemma 14, for every  $x \in C$  there exist  $B_1^x \in \mathcal{B}_1$  and  $B_2^x \in \mathcal{B}_2$  such that  $x \in B_1^x$ ,  $f(x) \in B_2^x$ ,  $f|_{f^{-1}(\text{cl}(B_2^x)) \cap \text{cl}(B_1^x)}$  is continuous, and  $f[\text{cl}(B_1^x) \cap C] \subseteq \text{cl}(B_2^x)$ . Since  $f|_C$  is compact, we may find a finite subcover  $W^* = \{W_1^*, \dots, W_n^*\}$  of  $\{B_1^x \times B_2^x : x \in C\}$ . For each  $1 \leq i \leq n$  let  $W_i = \text{cl}(W_i^*)$ . The collection  $W = \{W_1 \dots W_n\}$  clearly satisfies conditions (a), (b), and (c).

By (b), and Lemma 12,  $f|_{\pi_X[f \cap M(W)]}$  is continuous. So  $W \in \mathcal{W}$ . We will be done if we show that  $C \subseteq \pi_X[M(W) \cap f]$ . Let  $x \in C$ . By (a), there is some  $W_i \in W$  such that  $\langle x, f(x) \rangle \in W_i$ . By (c), for any  $W_k \in W$  if  $x \in \pi_X(W_k)$ , then  $\langle x, f(x) \rangle \in W_k$ . Thus,  $\langle x, f(x) \rangle \in M(W) \cap f$ . So,  $x \in \pi_X[M(W) \cap f]$ . Therefore,  $C \subseteq \pi_X[M(W) \cap f]$ .  $\square$

## 7 Proof of Theorem 2

Suppose  $X$  and  $Y$  are Polish spaces and  $f: X \rightarrow Y$ .

**Lemma 16.** *If  $\mathcal{C}(f) \in \Delta_3^0(\mathcal{J}(X))$ , then  $f \in \mathsf{T}_0$ .*

PROOF. By way of contradiction assume  $f \notin \mathsf{T}_0$ . Let  $x \in X$  be such that for every pair of open sets  $U \subseteq X$  and  $V \subseteq Y$  with  $x \in U$  and  $f(x) \in V$  we have  $f \upharpoonright_{\text{cl}(f^{-1}(V) \cap U)}$  not continuous. Let  $\{V_n\}_{n \in \omega}$  be a decreasing sequence of open subsets of  $Y$  such that  $H_d(V_n, f(x)) < 1/2^n$  and  $f(x) \in V_n$  for every  $n \in \omega$ . Let  $\{U_n\}_{n \in \omega}$  be a decreasing sequence of open subsets of  $X$  such that  $H_d(U_n, x) < 1/2^n$  and  $x \in U_n$  for every  $n \in \omega$ . By Lemma 11, we may assume that  $f \upharpoonright_{f^{-1}(V_0) \cap U_0}$  is continuous.

Fix  $n \in \omega$  and  $n > 0$ . Since  $f \upharpoonright_{\text{cl}(f^{-1}(V_n) \cap U_n)}$  is not continuous and  $f \upharpoonright_{f^{-1}(V_0) \cap U_0}$  is continuous, we may find an  $x_n \in \text{cl}(f^{-1}(V_n) \cap U_n) \setminus (f^{-1}(V_0) \cap U_0)$ . There exist  $\{w_{n,m} \in f^{-1}(V_n) \cap U_n\}_{m \in \omega}$  such that  $\lim_{m \rightarrow \infty} w_{n,m} = x_n$ . Since  $x_n \notin f^{-1}(V_0)$ ,  $\lim_{m \rightarrow \infty} f(w_{n,m}) \neq f(x_n)$ .

Since  $x_n \neq x$  for all  $n \in \omega$ , we may assume that  $\text{cl}(\{w_{n+1,m} : m \in \omega\}) \cap \text{cl}(\{w_{l+1,m} : m \in \omega\}) = \emptyset$  for distinct  $n, l \in \omega$ . Let  $C = \text{cl}(\{w_{n+1,m} : n, m \in \omega\})$ . We will have a contradiction if we show that  $\mathcal{C}(f) \cap \mathcal{J}(C) \notin \Delta_3^0(\mathcal{J}(C))$ . Define  $h: 2^{\omega \times \omega} \rightarrow \mathcal{J}(C)$  by  $h(\sigma) = \{x\} \cup (\bigcup_{n \in \omega} L_n)$  where

$$L_n = \begin{cases} \emptyset & \text{if } \{m : \sigma(\langle n+1, m \rangle) = 1\} = \emptyset \\ \{x_{n+1}\} & \text{if } \{m : \sigma(\langle n+1, m \rangle) = 1\} \text{ is infinite;} \\ \{w_{n+1, \max\{m : \sigma(\langle n, m \rangle) = 1\}}\} & \text{otherwise.} \end{cases}$$

We claim that  $h \in \mathcal{B}_1$ . For each  $l \in \omega$  define  $h_l: 2^{\omega \times \omega} \rightarrow \mathcal{J}$  by  $h_l(\sigma) = \{x\} \cup (\bigcup_{n \in \omega} L_{n,l})$  where

$$L_{n,l} = \begin{cases} \{w_{n+1, \max\{m \leq l : \sigma(\langle n, m \rangle) = 1\}}\} & \text{if } \{m \leq l : \sigma(\langle n+1, m \rangle) = 1\} \neq \emptyset; \\ \emptyset & \text{if } \{m \leq l : \sigma(\langle n+1, m \rangle) = 1\} = \emptyset. \end{cases}$$

Notice that  $h_l$  is continuous for all  $l \in \omega$  and that  $h_l(\sigma) \rightarrow h(\sigma)$  for every  $\sigma \in 2^{\omega \times \omega}$ . So  $h \in \mathcal{B}_1$ . Notice that  $h(\sigma) \in \mathcal{C}(f)$  if and only if  $\sigma \in I$  where  $I$  is the set from Proposition 7. In particular,  $h^{-1}(\mathcal{C}(f)) \notin \Pi_4^0(2^{\omega \times \omega})$ . Since  $h \in \mathcal{B}_1$ , we must have  $\mathcal{C}(f) \notin \Pi_3^0(\mathcal{J}(C))$ . Hence,  $\mathcal{C}(f) \notin \Delta_3^0(\mathcal{J}(C))$ . Thus, we have the desired contradiction.  $\square$

**Lemma 17.** *If  $f \in \mathsf{T}_0$ , then there exist  $\{(U_n, V_n)\}_{n \in \omega}$  such that for every  $n \in \omega$  we have:  $\langle U_n, V_n \rangle \in \Sigma_1^0(X) \times \Sigma_1^0(Y)$ ,  $f \upharpoonright_{\text{cl}(f^{-1}(\text{cl}(V_n)) \cap \text{cl}(U_n))}$  is continuous, and  $f \subseteq \bigcup_{n \in \omega} U_n \times V_n$ .*

PROOF. Let  $x \in X$ . Let  $U_x^* \in \Sigma_1^0(X)$  and  $V_x^* \in \Sigma_1^0(Y)$  be such that  $x \in U_x^*$ ,  $f(x) \in V_x^*$ , and  $f \upharpoonright_{\text{cl}(f^{-1}(V_x^*) \cap U_x^*)}$  is continuous. Pick  $U_x \in \Sigma_1^0(X)$  and  $V_x \in \Sigma_1^0(Y)$  such that  $\text{cl}(U_x) \subseteq U_x^*$ ,  $\text{cl}(V_x) \subseteq V_x^*$ ,  $x \in U_x$  and  $f(x) \in V_x$ . Since

$\text{cl}(f^{-1}(\text{cl}(V_x)) \cap \text{cl}(U_x)) \subseteq \text{cl}(f^{-1}(V_x^*) \cap U_x^*)$ , we have that  $f|_{\text{cl}(f^{-1}(\text{cl}(V_x)) \cap \text{cl}(U_x))}$  is continuous. Since the graph of  $f$  is second countable and  $f \subseteq \bigcup_{x \in X} U_x \times V_x$ , we may find the desired countable collection.  $\square$

**Lemma 18.** *If  $f \in T_0$ , then there exists a  $\subseteq$ -increasing sequence  $\{W_n\}_{n \in \omega}$  of closed subsets of  $X$  such that  $\mathcal{C}(f) = \bigcup_{n \in \omega} J(W_n)$ . In particular,  $\mathcal{C}(f) \in \Sigma_2^0(J(X))$ .*

PROOF. Let  $\mathcal{U} = \{U_n \times V_n\}_{n \in \omega}$  be as in Lemma 17. For each  $n \in \omega$  let  $W_n = \bigcup_{k \leq n} \text{cl}(f^{-1}(\text{cl}(V_k)) \cap \text{cl}(U_k))$ . We show that  $\mathcal{C}(f) = \bigcup_{n \in \omega} J(W_n)$ . Fix  $n \in \omega$ . Since  $f|_{\text{cl}(f^{-1}(\text{cl}(V_k)) \cap \text{cl}(U_k))}$  is continuous for every  $k \leq n$ , we have that  $f|_{W_n}$  is continuous. Thus,  $\bigcup_{n \in \omega} J(W_n) \subseteq \mathcal{C}(f)$ . We now show the reverse inequality. Suppose  $C$  is compact and  $f|_C$  is continuous. Since  $f|_C$  is compact,  $f|_C$  is contained in a finite number of members of  $\mathcal{U}$ . So  $C \subseteq W_n$  for some  $n \in \omega$ . Thus,  $\mathcal{C}(f) \subseteq \bigcup_{n \in \omega} J(W_n)$ .  $\square$

Lemma 16 and Lemma 18 show that (i) (ii) (iii), and (iv) of Theorem 2 are equivalent when  $X$  and  $Y$  are Polish spaces.

We now assume that  $Y = \mathbb{R}$  and  $X$  is Polish.

**Lemma 19.** *If for a function  $f: X \rightarrow \mathbb{R}$  there exists a  $\subseteq$ -increasing sequence  $\{W_n\}_{n \in \omega}$  of closed subsets of  $X$  such that  $\mathcal{C}(f) = \bigcup_{n \in \omega} J(W_n)$ , then  $f$  is a discrete limit of continuous functions  $\{f_n\}_{n \in \omega}$  such that*

$$\mathcal{C}(f) = \{C \in J(X) : \{f_n|_C\}_{n \in \omega} \text{ is eventually constant}\}.$$

PROOF. Fix  $n \in \omega$ . Since  $J(W_n) \subseteq \mathcal{C}(f)$ , we have that  $f|_{W_n}$  is continuous. By the Tietze Extension Theorem there is a continuous  $f_n: X \rightarrow \mathbb{R}$  such that  $f_n|_{W_n} = f|_{W_n}$ . Clearly,  $\{f_n\}_{n \in \omega}$  converges discretely to  $f$ . We show  $\{f_n\}_{n \in \omega}$  is as desired.

Suppose  $C \in \mathcal{C}(f)$ . By assumption  $C \subseteq W_n$  for some  $n \in \omega$ . In particular,  $f_m|_C \subseteq f_n|_{W_n}$  for all  $m \geq n$ . Thus,  $\{f_n|_C\}_{n \in \omega}$  is eventually constant.

Suppose  $C \in J(X)$  and  $\{f_n|_C\}_{n \in \omega}$  is eventually constant. There is an  $n \in \omega$  such that  $f|_C = f_n|_C$ . Thus,  $C \in \mathcal{C}(f)$ .  $\square$

**Lemma 20.** *If  $f: X \rightarrow \mathbb{R}$  is a discrete limit of continuous functions  $\{f_n\}_{n \in \omega}$  such that  $\mathcal{C}(f) = \{C \in J(X) : \{f_n|_C\}_{n \in \omega} \text{ is eventually constant}\}$ , then  $\mathcal{C}(f) \in \Sigma_2^0(J(X))$ .*

PROOF. For each  $n \in \omega$  let  $Z_n = \{x \in X : (\forall m \geq n)(f_n(x) = f_m(x))\}$ . It is easily checked that  $Z_n$  is closed for every  $n \in \omega$ . Now for every  $n \in \omega$  we have that  $J(Z_n) = \{C \in J(X) : (\forall m \geq n)(f_n|_C = f_m|_C)\}$  is closed in  $J(X)$ . Therefore,  $\mathcal{C}(f) = \{C \in 2^X : \{f_n|_C\}_{n \in \omega} \text{ is eventually constant}\} \in \Sigma_2^0(J(X))$ .  $\square$

Lemma 19 and Lemma 20 show that (v) is equivalent to (i), (ii), and (iii) when  $Y = \mathbb{R}$ .

**Lemma 21.** *Let  $f: X \rightarrow Y$ . If  $\mathcal{C}(f) \in \Sigma_2^0(\mathcal{J}(X))$ , then  $f$  is open in  $\text{cl}(f)$ .*

PROOF. Suppose  $f$  is not open in  $\text{cl}(f)$ . There is an  $x \in X$  and  $\{\langle x_n, y_n \rangle\}_{n \in \omega}$  such that  $\langle x_n, y_n \rangle \in \text{cl}(f) \setminus f$  for every  $n \in \omega$  and  $\lim_{n \rightarrow \infty} \langle x_n, y_n \rangle = \langle x, f(x) \rangle$ . For each  $n \in \omega$  we may find a sequence  $\{w_{n,k}\}_{k \in \omega}$  of points in  $X$  such that  $\lim_{n \rightarrow \infty} \langle w_{n,k}, f(w_{n,k}) \rangle = \langle x_n, y_n \rangle$ . Notice that  $\langle x_n, y_n \rangle \neq \langle x_n, f(x_n) \rangle$ . We may assume that

$$\text{cl}(\{\langle w_{n,k}, f(w_{n,k}) \rangle : k \in \omega\}) \cap \text{cl}(\{\langle w_{m,k}, f(w_{m,k}) \rangle : k \in \omega\}) = \emptyset$$

for all distinct  $n, m \in \omega$ . Let  $C = \text{cl}(\{w_{n,k} : n, k \in \omega\})$ . We will have a contradiction if we show that  $\mathcal{C}(f) \cap \mathcal{J}(C) \notin \Sigma_2^0(\mathcal{J}(C))$ . Define  $g: 2^{\omega \times \omega} \rightarrow \mathcal{J}(C)$  by  $h(\sigma) = \text{cl}(\{w_{n,k} : \sigma(n, k) = 1\}) \cup \{x\}$ . We claim that  $h \in \mathcal{B}_1$ . For each  $m \in \omega$ , define  $h_m: 2^{\omega \times \omega} \rightarrow \mathcal{J}(C)$  by

$$h_m(\sigma) = \{w_{n,k} : \sigma(n, k) = 1 \text{ and } k \leq m\} \cup \{x\}.$$

Notice that  $h_m$  is continuous for all  $m \in \omega$  and that  $h_m(\sigma) \rightarrow h(\sigma)$  for every  $\sigma \in 2^{\omega \times \omega}$ . So  $h \in \mathcal{B}_1$ . It is also easy to see that  $h(\sigma) \in \mathcal{C}(f)$  if and only if  $\sigma \in X_0$ . In particular,  $h^{-1}(\mathcal{C}(f)) \notin \Sigma_3^0(2^{\omega \times \omega})$ . Since  $h \in \mathcal{B}_1$ , we must have  $\mathcal{C}(f) \notin \Sigma_2^0(\mathcal{J}(C))$ . Thus, we have the desired contradiction.  $\square$

**Lemma 22.** *Let  $f: X \rightarrow \mathbb{R}$ . If  $f$  is open in  $\text{cl}(f)$ , then  $f \in \mathcal{T}_0$ .*

PROOF. Let  $x \in X$ . Since  $f$  is open in  $\text{cl}(f)$ , we may find an open set  $U \subseteq X$  and a bounded open interval  $V \subseteq \mathbb{R}$  such that  $x \in U$  and  $f(x) \in V$  and  $\text{cl}(f) \cap (U \times V) = f \cap (U \times V)$ . Pick open sets  $U_1 \subseteq U$  and  $V_1 \subseteq V$  containing  $x$  and  $f(x)$ , respectively such that  $\text{cl}(U_1) \subseteq U_1$  and  $\text{cl}(V_1) \subseteq V_1$ . Now  $f \cap (\text{cl}(U_1) \times \text{cl}(V_1)) = \text{cl}(f) \cap (\text{cl}(U_1) \times \text{cl}(V_1))$ . By way of contradiction, assume that  $f \upharpoonright_{\text{cl}(f^{-1}(V_1) \cap U_1)}$  is not continuous. Let  $\{w_n\}_{n \in \omega}$  be a sequence of points in  $\text{cl}(f^{-1}(V_1) \cap U_1)$  and  $w \in \text{cl}(f^{-1}(V_1) \cap U_1)$  be such that  $\lim_{n \in \omega} w_n = w$  and  $\lim_{n \in \omega} f(w_n) \neq f(w)$ . Without loss of generality, we may assume that no subsequence  $\{f(w_n)\}_{n \in \omega}$  converges to  $f(w)$ . Since  $\text{cl}(V_1)$  is compact, there is a  $r \in \text{cl}(V_1)$  such that  $\lim_{n \in \omega} f(w_n) = r$ . However,  $f \cap (\text{cl}(U_1) \times \text{cl}(V_1))$  is closed so  $f(w) = r$  which contradicts our choice of  $\{w_n\}_{n \in \omega}$ .  $\square$

Lemma 21 and Lemma 22 show that (vi) is equivalent to (i), (ii), and (iii) when  $Y = \mathbb{R}$ . Which completes the proof of Theorem 2.

## 8 Proof of Theorem 3

We show that (i) implies (ii).

**Lemma 23.** *Let  $K$  be a Cantor set with a countable dense subset  $D$ . If  $\mathcal{S} \subseteq \mathcal{J}(K)$  is the collection of compact sets  $C$  with the property that  $C \cap D$  is finite and  $C \setminus D$  is compact, then  $\mathcal{S} \in \Sigma_3^0(\mathcal{J}(K)) \setminus \Pi_3^0(\mathcal{J}(K))$ .*

PROOF. First we show that  $\mathcal{S} \in \Sigma_3^0(\mathcal{J}(K))$ . Let  $D = \{d_n : n \in \omega\}$  be an enumeration of  $D$ . Define  $f : K \rightarrow \mathbb{R}$  so that  $f(d_n) = n + 1$  for every  $n \in \omega$  and  $f(x) = 0$  for  $x \in K \setminus D$ . Notice that  $\mathcal{C}(f) = \mathcal{S}$ . Since  $f$  has  $G_\delta$ -graph and  $f \in \mathcal{T}_1$ , Theorem 4 guarantees that  $\mathcal{S} = \mathcal{C}(f) \in \Sigma_3^0(\mathcal{J}(K))$ .

We now work to show that  $\mathcal{S} \notin \Pi_3^0(\mathcal{J}(K))$ . In what follows we let  $\omega + 1$  denote  $\omega \cup \{\omega\}$  topologized to be a convergent sequence of isolated points with limit point  $\omega$ . Let  $L = \{\tau \in (\mathcal{J}(\omega + 1))^\omega : (\forall n \in \omega)(\omega \in \tau(n))\}$  and  $E \subseteq L$  be the collection of all  $\tau \in L$  such that for some  $n \in \omega$  we have  $|\tau(k)| < \omega$  for all  $k < n$  and  $\tau(k) = \omega + 1$  for all  $k \geq n$ . Since  $L$  is a Cantor set and  $E$  is countable and dense in  $L$ , we may assume that  $K = L$  and  $D = E$ .

Define  $\Theta : 2^{\omega \times \omega} \rightarrow L$  by setting  $\Theta(\sigma)(n) = \{k \in \omega : \sigma(\langle n, k \rangle) = 1\} \cup \{\omega\}$  for every  $\sigma \in 2^{\omega \times \omega}$  and  $n \in \omega$ . Notice that  $\Theta$  is continuous.

Define  $\Psi : L \rightarrow \mathcal{J}(L)$  by letting  $\Psi(\tau)$  be the closure of the collection of all  $\rho \in L$  such that for some  $n \in \omega$  we have  $\rho \upharpoonright_n = \tau \upharpoonright_n$  and  $\rho(k) = \omega + 1$  for all  $k \geq n$ . If for infinitely many  $n \in \omega$  we have  $\tau(n) \neq \omega + 1$ , then  $\Psi(\tau)$  is a convergent of sequence points in  $L$  with limit point  $\tau$ . If there is an  $n \in \omega$  such that for all  $k \geq n$  we have  $\tau(k) = \omega + 1$ , then  $\Psi(\tau)$  is a finite subset of  $L$  containing  $\tau$ .

We claim that  $\Psi$  is continuous. Suppose  $\{\tau_k\}_{k \in \omega}$  is a sequence points in  $L$  converging to some  $\tau \in L$ . We show that  $\lim_{k \in \omega} \Psi(\tau_k) = \Psi(\tau)$ .

Suppose there exist an infinite  $A \subseteq \omega$  such that  $\rho_k \in \Psi(\tau_k)$  for every  $k \in A$  and  $\lim_{k \in A} \rho_k = \rho$ . We claim that  $\rho \in \Psi(\tau)$ . We will consider two exhaustive cases. First, suppose that there is an  $N \in \omega$  such that for infinitely many  $k \in A$  we have  $\rho_k(l) = \omega + 1$  for all  $l \geq N$ . We may assume that  $N$  is minimal with respect to this property. Let  $A^*$  be the set of all  $k \in A$  such that  $\rho_k(l) = \omega + 1$  for all  $l \geq N$ . By minimality, there are only finitely many  $k \in A^*$  such that  $\rho_k(N - 1) = \omega + 1$ . So, for almost all  $k \in A^*$  we have  $\rho_k \upharpoonright_N = \tau_k \upharpoonright_N$ . Thus, we have  $\rho \upharpoonright_N = \tau \upharpoonright_N$  and  $\rho(l) = \omega + 1$  for all  $l \geq N$ , so  $\rho \in \Psi(\tau)$ . For the second case, suppose that for every  $N \in \omega$  there are only finitely many  $k \in A$  such that  $\rho_k(l) = \omega + 1$  for all  $l \geq N$ . In this case we have  $\lim_{k \in A} \rho_k(j) = \lim_{k \in A} \tau_k(j) = \tau(j)$  for every  $j \in \omega$ . Thus,  $\rho = \tau \in \Psi(\tau)$ . By cases, we have the claim.

We show that for every  $\rho \in \Psi(\tau)$  there is a sequence  $\{\rho_k\}_{k \in \omega}$  such that  $\rho_k \in \Psi(\tau_k)$  and  $\lim_{k \rightarrow \infty} \rho_k = \rho$ . If  $\rho = \tau$ , then we can let  $\rho_k = \tau_k$  for every

$k \in \omega$  and have  $\lim_{k \rightarrow \infty} \rho_k = \rho$ . If there is an  $n \in \omega$  such that  $\rho \upharpoonright_n = \tau \upharpoonright_n$  and  $\rho(l) = \omega + 1$  for all  $l \geq n$ , then we pick  $\rho_k \in \Psi(\tau_k)$  such that  $\rho_k \upharpoonright_n = \tau_k \upharpoonright_n$  and  $\rho_k(l) = \omega + 1$  for all  $l \geq n$  to get  $\lim_{k \rightarrow \infty} \rho_k = \rho$ .

By the proceeding two paragraphs,  $\lim_{n \in \omega} \Psi(\tau_k) = \Psi(\tau)$ . Thus,  $\Psi$  is continuous.

Let  $\Gamma: 2^{\omega \times \omega} \rightarrow J(L)$  be defined by  $\Gamma(\sigma) = \Psi(\Theta(\sigma))$ . Clearly,  $\Gamma$  is continuous. We claim  $\Gamma^{-1}(\mathcal{S}) = 2^{\omega \times \omega} \setminus H$  where  $H$  is the set from Proposition 6. Suppose  $\sigma \in 2^{\omega \times \omega} \setminus H$ . By definition of  $H$  there is a smallest  $n \in \omega$  such that  $|\Theta(\sigma)(n)| = \omega$ . It follows that at most  $n$  elements of  $\Psi(\Theta(\sigma))$  are in  $E$ . We will show that  $\Psi(\Theta(\sigma)) \setminus E$  is compact. If  $\Theta(\sigma) \notin E$ , then either  $\Psi(\Theta(\sigma))$  is finite or  $\Psi(\Theta(\sigma))$  is a convergent sequence with limit point not in  $E$ . If  $\Theta(\sigma) \in E$ , then  $\Psi(\Theta(\sigma))$  is finite. In any of the three cases above  $\Psi(\Theta(\sigma)) \setminus E$  is compact. Thus,  $2^{\omega \times \omega} \setminus H \subseteq \Gamma^{-1}(\mathcal{S})$ . Suppose  $\sigma \in H$ . By definition of  $H$ ,  $|\Theta(\sigma)(n)| < \omega$  for every  $n \in \omega$ . Thus,  $\Psi(\Theta(\sigma))$  is a convergent sequence of elements of  $E$  with limit point  $\Theta(\sigma) \notin E$ . So,  $\Psi(\Theta(\sigma)) \notin \mathcal{S}$ . Hence,  $\Gamma^{-1}(\mathcal{S}) \subseteq 2^{\omega \times \omega} \setminus H$ . Since  $\Gamma^{-1}(\mathcal{S}) = 2^{\omega \times \omega} \setminus H$  and  $H \notin \Sigma_3^0(2^{\omega \times \omega})$ , we have  $\mathcal{S} \notin \Pi_3^0(J(K))$ .  $\square$

**Lemma 24.** *If  $X$  is Polish and  $G \in \Pi_2^0(X)$  is countable, the set  $I$  of isolated points of  $G$  is a dense open subset of  $G$ .*

PROOF. Clearly,  $G$  is a countable dense  $G_\delta$ -subset of  $\text{cl}(G)$ . Since  $\text{cl}(G)$  is countable and closed, the set  $J$  of isolated points of  $\text{cl}(G)$  form a dense open subset of  $\text{cl}(G)$ . Clearly,  $I = J$ . So,  $I = G \cap J$  is dense and open in  $G$ .  $\square$

PROOF THAT  $\mathcal{C}(f) \in \Pi_3^0(J(X))$  IMPLIES  $f \in \mathcal{B}_1$ . Let  $f \notin \mathcal{B}_1$ . If  $f$  does not have  $G_\delta$ -graph, then, by Theorem 5,  $\mathcal{C}(f)$  is not Borel and so  $\mathcal{C}(f) \notin \Pi_3^0(J(X))$ . So, we may assume that  $f$  has  $G_\delta$ -graph.

Since  $f \notin \mathcal{B}_1$ , there is a Cantor set  $C$  such that  $f \upharpoonright_C$  is nowhere continuous. We may assume that there is a  $K > 0$  such that

$$\text{osc}(f \upharpoonright_C, x) > 3K \tag{1}$$

for every  $x \in C$ . Since  $f$  is Borel, there is a  $G_\delta$ -set  $G$  such that  $G$  is a dense subset of  $C$  and  $f \upharpoonright_G$  is continuous.

Let  $U$  be a nonempty open subset of  $C$ . Let  $x \in G \cap U$ . There is an open set  $V \subseteq U$  such that  $x \in V$  and  $\text{diam}(f[V \cap G]) < K$ . By (1) there is a  $d \in V$  such that  $H_d(f(d), f[V \cap G]) > K$ . Since  $U$  was arbitrary we may find a countable dense subset  $D$  of  $C$  such that for every  $d \in D$  there is an open set  $V_d$  such that  $d \in V_d$  and  $H_d(f(d), f[V_d \cap G]) > K$ . By Lemma 10, there is a countable dense subset  $E$  of  $D$  such that  $G_1 = G \cup E$  is a  $G_\delta$ -subset of  $C$ . Since  $f \upharpoonright_G$  and  $f \upharpoonright_E$  are disjoint open subsets of  $f \upharpoonright_{G_1}$  which is a  $G_\delta$ -subset of  $X \times Y$ , we have that  $f \upharpoonright_E$  is a countable  $G_\delta$ -set. By Lemma 24, the collection

$J$  of isolated points of  $f|_E$  is dense in  $f|_E$ . So, we may find a countable dense  $E_1 \subseteq E$  such that  $f|_{E_1} = J$  is the collection of isolated points in  $f|_{G_1}$ . Find a compact perfect set  $K \subseteq G \cup E_1$  such that  $E_1 \cap K$  is dense in  $K$ . Letting  $Q = E_1 \cap K$  and  $H = K \setminus Q$  it should be clear that  $\mathcal{C}(f|_K)$  is the collection of compact sets  $L \in J(K)$  with the property that  $L \cap Q$  is finite and  $L \cap H$  is compact. By Lemma 23,  $\mathcal{C}(f|_K) \notin \Pi_3^0(J(K))$ . Since  $\mathcal{C}(f) \cap J(K) = \mathcal{C}(f|_K)$ , we have that  $\mathcal{C}(f) \notin \Pi_3^0(J(X))$ .  $\square$

PROOF THAT  $f \in \mathcal{B}_1$  IMPLIES  $\mathcal{C}(f) \in \Pi_4^0(J(X))$ . Since  $Y$  is Polish, we can consider  $Y$  as subset of  $[0, 1]^\omega$  with the usual product topology and  $f$  to be a function from  $X$  into  $[0, 1]^\omega$ . Since every  $\mathcal{B}_1$  function into  $[0, 1]$  is a pointwise limit of continuous functions, we have that  $f: X \rightarrow [0, 1]^\omega$  is a pointwise limit of continuous functions  $f_i: X \rightarrow [0, 1]^\omega$ .

For each  $n, k, l \in \omega$  let

$$A_{k,l,n} = \{P \in J(X) : (\exists x, w \in P)(\forall i \geq n)(d(x, w) \leq \frac{1}{2^l} \\ (d(f_i(x), f_i(w)) \geq \frac{1}{2^k}))\}.$$

We show that  $A_{k,l,n}$  is closed. Let  $P_j \in A_{k,l,n}$  and  $P_j \rightarrow P$ . For each  $j \in \omega$ , there are  $x_j, w_j \in P_j$  such that  $d(x_j, w_j) \leq 1/2^l$  and for all  $i \geq n$  we have  $d(f_i(x_j), f_i(w_j)) \geq 1/2^k$ . Taking a subsequence if necessary we may assume that there exist  $x, w \in P$  such that  $\lim_{j \in \omega} \{x_j, w_j\} = \{x, w\}$  in  $J(X)$ . Clearly,  $d(x, w) \leq 1/2^l$ . For  $i \geq n$  fixed the continuity of  $f_i$  implies that  $d(f_i(x), f_i(w)) \geq 1/2^k$ . Hence,  $P \in A_{k,l,n}$ . So,  $A_{k,l,n}$  is closed.

Let  $E = \bigcup_{k \in \omega} \bigcap_{l \in \omega} \bigcup_{n \in \omega} A_{k,l,n}$ . Clearly,  $E \in \Sigma_4^0(J(X))$ . We will be done if we show that  $\mathcal{C}(f) = J(X) \setminus E$ . Suppose  $P \in E$ . There is a  $k \in \omega$  such that  $P \in \bigcap_{l \in \omega} \bigcup_{n \in \omega} A_{k,l,n}$ . So for every  $l \in \omega$  there exist  $x_l, w_l \in P$  such that  $d(x_l, w_l) \leq 1/2^l$  and  $d(f_i(x_l), f_i(w_l)) \geq 1/2^k$  for all sufficiently large  $i \in \omega$ . It follows that  $d(f(x_l), f(w_l)) \geq 1/2^k$ . Since  $P$  is compact, there is a  $p \in P$  such that  $\lim_{l \in \omega} \{x_l, w_l\} = \{p\}$  in  $J(X)$ . Clearly, the oscillation of  $f|_P$  at  $x$  is at least  $1/2^k$ . Hence,  $P \notin \mathcal{C}(f)$ .

Suppose  $P \notin \mathcal{C}(f)$ . There is a  $p \in P$  and a  $k \in \omega$  and a sequence  $(p_l)_{l \in \omega}$  of elements of  $P$  such that  $d(p_l, p) \leq 1/2^l$  for every  $l \in \omega$  and

$$d(f(p_l), f(p)) \geq 1/2^k. \tag{2}$$

Since  $(f_i)_{i \in \omega}$  converges to  $f$  pointwise, (2) implies we may find for each  $l \in \omega$  a  $n_l \in \omega$  such that for all  $i \geq n_l$  we have  $d(f_i(p_l), f_i(p)) \geq 1/2^k$ . Thus,  $P \in E$ .  $\square$

We now show that none the implications of Theorem 3 may be reversed. Let  $\{q_n : n \in \omega\}$  be an enumeration of the rational numbers in  $\mathbb{R}$ . Define

$f: \mathbb{R} \rightarrow \omega$  by

$$f(x) = \begin{cases} n+1 & \text{if } x = q_n; \\ 0 & \text{otherwise.} \end{cases}$$

Now  $f \notin \mathcal{B}_1$  since it has no point of continuity. However,  $\mathcal{C}(f) \in \Sigma_3^0(\mathbb{J}(X)) \subseteq \Pi_4^0(\mathbb{J}(X))$  since  $f$  is  $T_1$  and has  $G_\delta$ -graph. So, the implication (ii)  $\Rightarrow$  (iii) may not be reversed.

Let  $f: \mathbb{R} \rightarrow \mathbb{R}$  be the characteristic function of a convergent sequence without its limit point. Clearly,  $f \in \mathcal{B}_1$ . However,  $f$  is not  $T_0$  so  $\mathcal{C}(f) \notin \Delta_3^0(\mathbb{J}(X))$ . Since  $f$  is  $T_1$  and has  $G_\delta$ -graph, we have  $\mathcal{C}(f) \in \Sigma_3^0(\mathbb{J}(X))$ . Thus,  $\mathcal{C}(f) \notin \Pi_3^0(\mathbb{J}(X))$ . So, the implication (i)  $\Rightarrow$  (ii) may not be reversed.

We construct a  $\mathcal{B}_1$  function  $f: \mathbb{R} \rightarrow \mathbb{R}$  such that  $\mathcal{C}(f) \in \Pi_4^0(\mathbb{J}(X)) \setminus \Sigma_4^0(\mathbb{J}(X))$ . For each  $n \in \omega$  pick an increasing sequence  $(w_{n,m})_{m \in \omega}$  in  $(1 - 1/2^n, 1 - 1/2^{n+1}]$  which converges to  $1 - 1/2^{n+1}$ . Define  $f: \mathbb{R} \rightarrow \mathbb{R}$  by

$$f(x) = \begin{cases} \frac{1}{2^n} & \text{if } x = w_{n,m}; \\ 0 & \text{otherwise.} \end{cases}$$

It is easily seen that  $f \in \mathcal{B}_1$ . For each  $n, m \in \omega$  let  $(z_{n,m,l})_{l \in \omega}$  be an increasing sequence in  $(1 - 1/2^n, w_{n,0}]$  if  $m = 0$  or  $(w_{n,m-1}, w_{n,m}]$  if  $m \neq 0$ , in either case let  $\lim_{l \in \omega} z_{n,m,l} = w_{n,m}$ . Let  $I$  be the set from Proposition 7. Define  $J = \Pi_{i \in \omega} I$ . By [6, 23.3],  $J \in \Pi_5^0((2^{\omega \times \omega})^\omega) \setminus \Sigma_5^0((2^{\omega \times \omega})^\omega)$ .

Define  $h: (2^{\omega \times \omega})^\omega \rightarrow \mathbb{J}(\mathbb{R})$  by

$$h(\sigma) = \{1\} \cup \left\{ 1 - \frac{1}{2^{n+1}} : n \in \omega \right\} \cup \bigcup_{n,m \in \omega} L_{n,m},$$

where  $L_{n,m}$  is defined by

$$L_{n,m} = \begin{cases} \emptyset & \text{if } \{l: \sigma(n)(m,l) = 1\} = \emptyset \\ \{w_{m,n}\} & \text{if } \{l: \sigma(n)(m,l) = 1\} \text{ is infinite;} \\ \{z_{n,m, \max\{l: \sigma(n)(m,l)=1\}}\} & \text{otherwise.} \end{cases}$$

By an argument similar to the one used in the proof of Lemma 11, one can show that  $h$  is in  $\mathcal{B}_1$ . It is easy to verify that  $h^{-1}(\mathcal{C}(f)) = J$ . Since  $h \in \mathcal{B}_1$ , we have  $\mathcal{C}(f) \notin \Sigma_4^0(\mathbb{J}(X))$ .

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