

## CLASSIFICATION OF ABSOLUTELY DICRITICAL FOLIATIONS OF CUSP TYPE

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**Abstract:** We give a classification of absolutely dicritical foliations of cusp type, that are, the germs of singularities of complex foliations in the complex plane topologically equivalent to the singularity given by the level of the meromorphic function  $\frac{y^2+x^3}{xy}$ .

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### 1. Introduction

An important problem of the theory of singularities of holomorphic foliations in the complex plane is the construction of a geometric interpretation of the so-called *moduli of Mattei* of these foliations [10]. These moduli appear when one considers a very special kind of deformations called *the unfoldings*. Basically, the moduli of Mattei are precisely the moduli of germs of unfoldings of a given singular foliation: these nearly correspond to the set of analytical invariants once the topology is fixed. One of the major difficulty when looking at the mentioned geometric description is the lack of basic examples of unfoldings in the literature. Actually, except when the foliation is given by the level of a function, there exists no example. The purpose of the following article is not to solve the problem of Mattei even for the small class of singularities we consider here, but rather to describe the latter as accurately as possible in order to start the study of the problem of moduli of Mattei.

The absolutely dicritical foliations of cusp type are good candidates to begin this study for the following reasons:

- (1) Their *transversal structure*, which usually is a very rich dynamic invariant [9], is very poor and can be completely understood.
- (2) Their number of Mattei moduli is 1.
- (3) The topology of their leaves is more or less trivial.

Some results in the article might be quite easily extended to a larger class of absolutely dicritical foliations up to some technical and confusing additions. We exclude to formally present them. The risk would have been to miss the very first objective of this paper, that is, *to give an example*.

A germ of singularity of foliation  $\mathcal{F}$  in  $(\mathbb{C}^2, 0)$  is said to be *absolutely dicritical* if there exists a sequence of blow-ups  $E$  such that  $E^*\mathcal{F}$  is regular and transverse to each irreducible component of the exceptional divisor  $E^{-1}(0)$ . It is of *cuspidal type* if two successive blow-ups are sufficient. In that case the exceptional divisor  $E^{-1}(0)$  is the union of two irreducible components  $\mathbb{P}_1(\mathbb{C})$  of respective self-intersection  $-2$  and  $-1$ . We denote them respectively  $D_{-2}$  and  $D_{-1}$ .

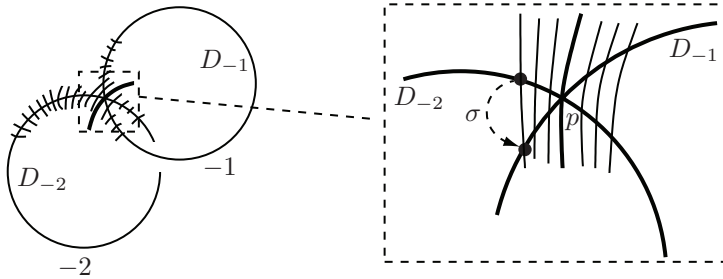


FIGURE 1.1. An absolutely dicritical foliation of cuspidal type and its transversal structure.

The expression *cuspidal type* insists on the fact that the special leaf that passes through the singular point of the divisor is analytically equivalent to the cuspidal singularity  $y^2 + x^3 = 0$ . The simplest examples of an absolutely dicritical foliation are given by the levels of the rational functions near  $(0, 0)$

$$f = \frac{y}{x} \text{ or } f = \frac{y^2 + x^3}{xy}.$$

The second example is of cuspidal type.

We associate to  $\mathcal{F}$  a germ  $\sigma \in \text{Diff}((D_{-2}, p), (D_{-1}, p))$  as in the picture above. It is defined by the property that  $x \in D_{-2}$  and  $\sigma(x) \in D_{-1}$  belong to the same local leaf. This germ is called *the transversal structure* of  $\mathcal{F}$ . This is the very first invariant of such a foliation. For the second rational function above, the transversal structure  $\sigma$  reduces to the identity map in the standard coordinates associated to  $E$ .

The main result of this article is the following one: for any foliation  $\mathcal{F}$  that is absolutely dicritical of cusp type we consider its topological class  $\text{Top}(\mathcal{F})$ , that is the set of all foliations topologically equivalent to  $\mathcal{F}$ . The moduli space  $\text{Top}(\mathcal{F})/\sim$  of  $\mathcal{F}$  is defined as the quotient of  $\text{Top}(\mathcal{F})$  by the analytical equivalence relation. Now we have,

**Theorem 1.** *The class  $\text{Top}(\mathcal{F})$  is equal to the set of all absolutely dicritical foliations of cusp type and its moduli space  $\text{Top}(\mathcal{F})/\sim$  can be identified with the functional space  $\mathbb{C}\{z\}$  up to the action of  $\mathbb{C}^*$  defined by*

$$\epsilon \cdot (z \mapsto f(z)) = \epsilon^2 f(\epsilon z).$$

In this theorem, the germ of convergent series  $f$  is the image of the transversal structure  $\sigma$  by the schwarzian derivative  $S(\sigma) = \frac{3}{2} \left( \frac{\sigma'''}{\sigma'} \right) - \left( \frac{\sigma''}{\sigma'} \right)^2$ . A quick lecture of the theorem would suggest that the transversal structure  $\sigma$  is the sole invariant of the foliation, which is not exactly true as it is highlighted in Proposition 9.

We have to mention that there exist *a lot of absolutely dicritical foliations*. Following a result due to F. Cano and N. Corral [3], the process  $E$  does not contain any obstruction to the existence of absolutely dicritical foliations. In other words, for any sequence of blow-ups  $E$ , there exists an absolutely dicritical foliation whose associated process of blow-ups is exactly  $E$ .

## 2. Topological classification

The topological classification is *trivial* as stated in Proposition 3 in the sense that two absolutely dicritical foliations of cusp type are topologically equivalent. To prove that, we describe below the *model foliations* from which the absolutely dicritical foliations are built.

**2.1. Model foliations.** Let us consider the following model radial foliations

- $\mathcal{R}_{-2}$  is given by the gluing of two copies of  $\mathbb{C}^2$

$$\mathbb{C}^2 = (x_1, y_1), \quad \mathbb{C}^2 = (x_2, y_2)$$

glued by  $x_2 = \frac{1}{y_1}$  and  $y_2 = y_1^2 x_1$ . The neighborhood of  $x_1 = y_2 = 0$  is transversally foliated by  $y_1 = \text{cst}$  and  $x_2 = \text{cst}$ . Topologically, this is a foliated neighborhood of a Riemann surface of genus 0 whose self-intersection is  $-2$ .

- $\mathcal{R}_{-1}$  is given by the gluing of two copies of  $\mathbb{C}^2$

$$\mathbb{C}^2 = (x_3, y_3), \quad \mathbb{C}^2 = (x_4, y_4)$$

glued by  $x_4 = \frac{1}{y_3}$  and  $y_4 = y_3x_3$ . The neighborhood of  $x_3 = y_4 = 0$  is transversely foliated by  $y_3 = \text{cst}$  and  $x_4 = \text{cst}$ . Topologically, this is a foliated neighborhood of a Riemann surface of genus 0 whose self-intersection is  $-1$ .

Following [2], any neighborhood of a Riemann surface  $A$  of genus 0 embedded in a manifold of dimension two with  $A \cdot A = -2$  (resp.  $-1$ ) and foliated by a transverse codimension 1 foliation is equivalent to  $\mathcal{R}_{-2}$  (resp.  $\mathcal{R}_{-1}$ ). Moreover any  $(\mathcal{C}^0, \mathcal{C}^\infty, \mathcal{C}^\omega)$ -isomorphism between two Riemann surfaces  $A_1$  and  $A_2$  as before can be extended in a neighborhood of  $A_1$  and  $A_2$  as a  $(\mathcal{C}^0, \mathcal{C}^\infty, \mathcal{C}^\omega)$ -conjugacy of the foliations.

**2.2. Topological classification.** Let us first recall the following lemma:

**Lemma 2.** *Let  $\sigma$  be a germ in  $\text{Diff}(\mathbb{P}^1, a)$ , i.e., a germ of automorphism of a neighborhood of  $a$  in  $\mathbb{P}^1$ . Then there exists  $h$  a global homeomorphism of  $\mathbb{P}^1$  such that  $h$  and  $\sigma$  coincide in a neighborhood of  $a$ .*

*Proof:* Let  $S_1$  be a small circle around  $a$  in a domain where  $\sigma$  is defined. Its image  $\sigma(S_1)$  is a topological circle. Consider  $S_2$  a second circle such that the disc bounded by  $S_2$  contains  $S_1$  and  $\sigma(S_1)$ . The two coronas bounded respectively by  $S_1$  and  $S_2$  and  $\sigma(S_1)$  and  $S_2$  are homeomorphic. Actually, there exists an homeomorphism  $\tilde{h}$  of the two coronas such that

$$\begin{aligned} \tilde{h} \Big|_{S_2} &= \text{Id}, \\ \tilde{h} \Big|_{S_1} &= \sigma. \end{aligned}$$

Therefore, we can define the homeomorphism  $h$  in the following way: in the disc bounded by  $S_1$ , we set  $h = \sigma$ ; in the corona bounded by  $S_1$  and  $S_2$ ,  $h = \tilde{h}$ ; everywhere else we set  $h = \text{Id}$ . Clearly,  $h$  satisfies the properties in the lemma. □

**Proposition 3.** *Two absolutely dicritical foliations of cusp type are topologically equivalent.*

*Proof:* Let us consider  $\mathcal{F}$  and  $\mathcal{G}$  two absolutely dicritical foliations of cusp type. Applying if necessary a linear change of coordinates to  $\mathcal{F}$  for instance, we can suppose that both foliations are reduced by exactly the same sequence of two blow-ups  $E$ . Let us write  $E^{-1}(0) = D_{-2} \cup D_{-1}$  and

$D_{-2} \cap D_{-1} = \{p\}$ . Let us consider  $\sigma_{\mathcal{F}}$  and  $\sigma_{\mathcal{G}}$  in  $\text{Diff}((D_{-2}, p), (D_{-1}, p))$  the transversal structures of  $\mathcal{F}$  and  $\mathcal{G}$ . According to the previous lemma, there exists  $h$  an homeomorphism of  $D_{-2}$  such that  $h = \sigma_{\mathcal{F}}^{-1} \circ \sigma_{\mathcal{G}}$  in a neighborhood of  $p$  in  $D_{-2}$ . Since, along  $D_{-2}$  or  $D_{-1}$  the foliations are transverse, there exist two homeomorphisms  $H_{-2}$  and  $H_{-1}$  defined respectively in a neighborhood of  $D_{-2}$  and  $D_{-1}$  such that

$$H_{-2}^*(E^*\mathcal{F}) = E^*\mathcal{G}, \quad H_{-1}^*(E^*\mathcal{F}) = E^*\mathcal{G}$$

and  $H_{-2}|_{D_{-2}} = h$  and  $H_{-1}|_{D_{-1}} = \text{Id}$ . Since  $h = \sigma_{\mathcal{F}}^{-1} \circ \sigma_{\mathcal{G}}$ , the automorphism  $H_{-1} \circ H_{-2}^{(-1)}$  of  $E^*\mathcal{F}$  lets each leaf invariant. Now, adapting the argument of the previous lemma yields the existence of  $H$ , a global homeomorphism of  $E^*\mathcal{F}$ , defined in a neighborhood of  $D_{-1}$  letting each leaf invariant such that  $H$  and  $H_{-1} \circ H_{-2}^{(-1)}$  coincide in a neighborhood of  $p$ . Therefore the collection  $H^{-1} \circ H_{-1}$  and  $H_{-2}$  glue in a global homeomorphism between  $E^*\mathcal{F}$  and  $E^*\mathcal{G}$ . This homeomorphism can be blown down in a neighborhood of  $\mathbb{C}^2$  and is a  $\mathcal{C}^0$ -conjugacy of the foliations  $\mathcal{F}$  and  $\mathcal{G}$ . □

As a consequence of the above result and the topological invariance of the process of reduction [1], we obtain the following

**Corollary 4.** *The class  $\text{Top}(\mathcal{F})$  is equal to the set of all absolutely dicritical foliations of cusp type.*

### 3. Moduli space

Consider a germ of biholomorphism  $\phi$  written in the coordinates of the model foliations

$$(x_3, y_3) = \phi(x_1, y_1), \quad \phi(0, 0) = (0, 0).$$

Suppose that it sends the foliation defined by  $y_1 = \text{cst}$  to the one defined by  $y_3 = \text{cst}$  and that the curve  $x_1 = 0$  is sent to a curve transverse to  $x_3 = 0$ . With such a biholomorphism, we can consider the foliation obtained by gluing the two models foliations  $\mathcal{R}_{-2}$  and  $\mathcal{R}_{-1}$  with the application  $\phi$  denoted by

$$\mathcal{R}_{-1} \amalg \mathcal{R}_{-2}/(\phi).$$

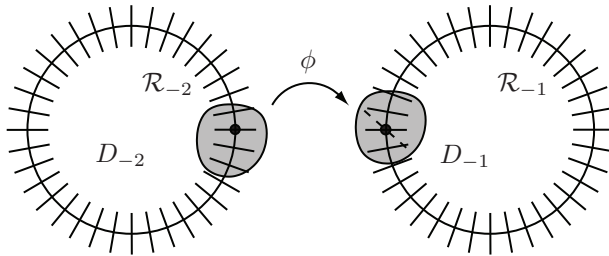


FIGURE 3.1. Gluing of the model foliations.

Following a classical result due to Castelnuovo (see [8]), this gluing is analytically equivalent to the neighborhood of the exceptional divisor obtained by a standard process of two successive blow-ups . The obtained foliation can be blown down in an absolutely dicritical foliation of cusp type at the origin of  $\mathbb{C}^2$ .

*Remark 5 (Key Remark).* Two foliations obtained by such a gluing with the respective biholomorphisms  $\phi$  and  $\psi$  are analytically equivalent if and only if there exist an automorphism  $\Phi_2$  of the foliation  $\mathcal{R}_{-2}$  and  $\Phi_1$  of the foliation  $\mathcal{R}_{-1}$  such that

$$\phi = \Phi_1 \circ \psi \circ \Phi_2.$$

Let us now consider  $\sigma \in \text{Diff}(\mathbb{C}, 0)$ ,  $\alpha \in \mathbb{C}$  and the following biholomorphisms

$$g_\sigma(x_1, y_1) = (x_1 + \sigma(y_1), \sigma(y_1)) \text{ and } \phi_\alpha(x_1, y_1) = (x_1(1 + \alpha y_1), y_1).$$

The composition  $g_\sigma \circ \phi_\alpha$  sends the foliation  $y_1 = \text{cst}$  on it-self and the curve  $x_1 = 0$  on the first bisectrix  $\{y_1 = x_1\}$ . Thus, we can denote by  $\mathcal{F}_{\sigma, \alpha}$  the foliation obtained by the following gluing

$$\mathcal{F}_{\sigma, \alpha} := \mathcal{R}_{-1} \coprod \mathcal{R}_{-2} / (g_\sigma \circ \phi_\alpha)$$

where a point  $p$  of  $\mathcal{R}_{-1}$  is identified with the point  $g_\sigma \circ \phi_\alpha(p)$  of  $\mathcal{R}_{-2}$ .

Now, moving the parameter  $\alpha$ , we obtain an analytical family of absolutely dicritical foliations. Actually, the following property holds.

**Theorem 6.** *The germ of deformation  $(\mathcal{F}_{\sigma, \alpha})_{\alpha \in (\mathbb{C}, \alpha_0)}$  for  $\alpha$  in a neighborhood of  $\alpha_0$  in  $\mathbb{C}$  is a germ of equisingular semi-universal unfolding of  $\mathcal{F}_{\sigma, \alpha_0}$  in the sense of Mattei [10]. In particular, for any germ of equisingular unfolding  $(\mathcal{F}_t)_{t \in (\mathbb{C}^p, 0)}$  with  $p$  parameters such that  $\mathcal{F}_t|_{t=0} \sim \mathcal{F}_{\sigma, \alpha_0}$ , there exists a map  $\alpha : (\mathbb{C}^p, 0) \rightarrow (\mathbb{C}, \alpha_0)$  such that for all  $t$ ,  $\mathcal{F}_t \sim \mathcal{F}_{\sigma, \alpha(t)}$ .*

Before proving the above result, let us recall that an *unfolding* of a given foliation  $\mathcal{F}$  is a germ  $\mathbb{F}$  of codimension 1 foliation in  $(\mathbb{C}^{2+p}, 0)$  transversal to the fiber of the projection

$$\pi : \begin{cases} (\mathbb{C}^{2+p}, 0) \rightarrow (\mathbb{C}^p, 0) \\ (x, t) \rightarrow t \end{cases}$$

such that  $\mathbb{F}|_{\pi^{-1}(0)} \sim \mathcal{F}$ . The *equisingularity* property is a quite difficult property to state. However, we can say that it means that the topology of the process of desingularization of the family of foliations  $\mathbb{F}|_{t=\alpha}$  does not depend on  $\alpha$ . For the details, we refer to [10].

*Proof: Step 1:* Let us prove that the deformation  $(\mathcal{F}_{\sigma, \alpha})_{\alpha \in (\mathbb{C}, \alpha_0)}$  of  $\mathcal{F}_{\sigma, \alpha_0}$  is induced by an unfolding. We can make the following *thick* gluing

$$\mathbb{F} := \mathcal{R}_{-1} \times (\mathbb{C}, \alpha_0) \amalg \mathcal{R}_{-2} \times (\mathbb{C}, \alpha_0) / (\Psi)$$

where  $\mathcal{R}_{-i} \times (\mathbb{C}, \alpha_0)$  stands for the product foliation – its leaves are the product of a leaf of  $\mathcal{R}_{-i}$  and of an open neighborhood of  $\alpha_0$  in  $\mathbb{C}$  – and  $\Psi$  is defined by

$$\Psi(x_1, y_1, \alpha) \rightarrow ((g_\sigma \circ \phi_{\alpha_0}) \circ (\phi_{\alpha_0}^{-1} \circ \phi_\alpha)(x_1, y_1), \alpha).$$

The codimension 1-foliation  $\mathbb{F}$  comes with a fibration defined by the quotient of the map  $\pi : (p, \alpha) \rightarrow \alpha$  whose fibers are transverse to the foliation. Thus, the above gluing is an unfolding. Now, the restriction  $\mathbb{F}|_{\pi^{-1}(\alpha_0)} = \mathcal{R}_{-1} \amalg \mathcal{R}_{-2} / (g_\sigma \circ \phi_{\alpha_0})$  is equal to  $\mathcal{F}_{\sigma, \alpha_0}$ . Finally, it is equisingular by construction. Therefore, it satisfies all the properties of an equisingular unfolding in the sense of Mattei.

*Step 2:* Let us consider the sheaf  $\Theta$  whose base is the exceptional divisor  $E^{-1}(0) = D = D_{-2} \cup D_{-1}$  of tangent vector fields to the foliation  $E^* \mathcal{F}_{\sigma, \alpha_0}$  and to the divisor  $E^{-1}(0)$ . The Čech cohomological group  $H^1(D, \Theta)$  represents the finite dimensional  $\mathbb{C}$ -space of infinitesimal unfoldings. Following [10], there exists a *Kodaira-Spencer map like* that associates to any unfolding with parameters in  $(\mathbb{C}^p, 0)$ , its Kodaira Spencer derivative which is a linear map from  $\mathbb{C}^p$  to  $H^1(D, \Theta)$ . The unfolding is semi-universal as in the theorem above if and only if its Kodaira Spencer derivative is a linear isomorphism [10].

We consider the covering of the exceptional divisor  $E^{-1}(0)$  by two open sets  $U_1$  and  $U_2$  where  $U_1$  and  $U_2$  correspond to tubular neighborhoods of  $D_{-1}$  and  $D_{-2}$ . It is known that this covering is *acyclic* with respect to the sheaf  $\Theta$ , i.e.,  $H^1(D_{-i}, U_i, \Theta) = 0$ . Therefore, following [7]

to compute the cohomological group  $H^1(D, \Theta)$  we can use this covering, that is to say, the following isomorphism

$$(3.1) \quad H^1(D, \Theta) \simeq \frac{H^0(U_1 \cap U_2, \Theta)}{H^0(U_1, \Theta) \oplus H^0(U_2, \Theta)}.$$

In view of the glued construction of  $\mathcal{F}_{\sigma, \alpha_0}$ , a 0-cocycle  $X_{12}$  in  $H^0(U_1 \cap U_2, \Theta)$  is trivial in  $H^1(D, \Theta)$  if and only if the cohomological equation

$$(3.2) \quad X_{12} = X_2 - (g_\sigma \circ \phi_{\alpha_0})^* X_1$$

admits a solution where  $X_1 \in H^0(U_1, \Theta)$  and  $X_2 \in H^0(U_2, \Theta)$ . Now, it is known [10], [3] that the dimension of the  $\mathbb{C}$  space  $H^1(D, \Theta)$  is 1. Thus, to prove the result, it is enough to show that the image of the deformation  $(\mathcal{F}_{\sigma, \alpha})_{\alpha \in (\mathbb{C}, \alpha_0)}$  by the Kodaira-Spencer map is not trivial in  $H^1(D, \Theta)$ . The foliation  $\mathcal{F}_{\sigma, \alpha}$  is obtained from  $\mathcal{F}_{\sigma, \alpha_0}$  by gluing with the automorphism

$$\phi_{\alpha_0}^{-1} \circ \phi_\alpha(x_1, y_1) = \left( x_1 \frac{1 + \alpha y_1}{1 + \alpha_0 y_1}, y_1 \right).$$

Thus, its image by the Kodaira-Spencer map is the cocycle

$$\frac{\partial}{\partial \alpha} \phi_{\alpha_0}^{-1} \circ \phi_\alpha \Big|_{\alpha = \alpha_0} = \frac{x_1 y_1}{1 + \alpha_0 y_1} \frac{\partial}{\partial x_1} = x_1 y_1 \frac{\partial}{\partial x_1} + \dots$$

Hence, the unfolding is semi-universal if and only if the equation

$$(3.3) \quad x_1 y_1 \frac{\partial}{\partial x_1} + \dots = X_2 - (g_\sigma \circ \phi_{\alpha_0})^* X_1$$

has no solution. This equation can be more precisely written in the following way

$$x_1 y_1 \frac{\partial}{\partial x_1} + \dots = A_2(x_1, y_1) x_1 \frac{\partial}{\partial x_1} - (g_\sigma \circ \phi_{\alpha_0})^* \left( A_1(x_3, y_3) x_3 \frac{\partial}{\partial x_3} \right)$$

where  $A_1$  and  $A_2$  are functions respectively defined in  $U_1$  and  $U_2$ . Let us write the Taylor expansion of  $A_2 = \sum_{ij} a_{ij}^2 x_1^i y_1^j$ . In the coordinates  $(x_2, y_2)$ , the function  $A_2$  is written  $A_2 = \sum_{ij} a_{ij}^2 x_2^{2i-j} y_2^j$  and has to be extendable at  $(x_2, y_2) = (0, 0)$ . Therefore, if  $a_{ij}^2 \neq 0$  then  $2i - j \geq 0$  and the monomial term  $y_1$  cannot appear in the Taylor expansion of  $A_2$ . In the same way, the Taylor expansion of  $A_1 = \sum_{ij} a_{ij}^1 x_3^i y_3^j$ , satisfies  $a_{ij}^1 \neq 0 \Rightarrow i \geq j$ . Since  $X_1$  vanishes along the exceptional divisor whose trace in  $U_1$  is the diagonal  $x_3 = y_3$ , we have  $A_1 = (x_3 - y_3) \tilde{A}_1$ . Thus, in the coordinates  $(x_1, y_1)$ ,  $X_1$  is written

$$X_1 = \tilde{A}_1(x_1(1 + \alpha_0 y_1) + \sigma(y_1), \sigma(y_1))(x_1(1 + \alpha_0 y_1) + \sigma(y_1)) x_1 \frac{\partial}{\partial x_1}.$$



If  $\tilde{A}_1(0,0) = 0$  then the term  $y_1 x_1 \frac{\partial}{\partial x_1}$  of the Taylor expansion of the cocycle (3.3) cannot come from  $X_1$ . However, if  $\tilde{A}_1(0,0) \neq 0$  then  $X_1$  cannot be global. Therefore, the equation (3.3) cannot be solved, which proves the result.  $\square$

We observe that  $\mathcal{F}_{\sigma,\alpha}$  is an unfolding over the whole set of parameters  $\mathbb{C}$ . Actually, from the above proof, we obtain a more precise result

**Corollary 7.** *More generally, for any germ of function  $A(x,y)$  with  $A(0,0) \neq 0$ , the  $\mathbb{C}$ -space  $H^1(D, \Theta)$  for the glued foliation*

$$\mathcal{R}_{-1} \amalg \mathcal{R}_{-2} / (x_1, y_1) \rightarrow (x_1 A(x_1, y_1) + \sigma(y_1), \sigma(y_1))$$

is generated by the cocycle image of  $x_1 y_1 \frac{\partial}{\partial x_1}$  through the isomorphism (3.1). In particular, any deformation of the form

$$\epsilon \rightarrow \mathcal{R}_{-1} \amalg \mathcal{R}_{-2} / (x_1, y_1) \rightarrow (x_1 A_\epsilon(x_1, y_1) + \sigma(y_1), \sigma(y_1))$$

where  $\frac{\partial A_\epsilon}{\partial y_1}(0,0)$  does not depend on  $\epsilon$  is locally analytically trivial.

As a consequence, we obtain a theorem of normalization of the construction of absolutely dicritical foliations of cusp type.

**Theorem 8.** *Any absolutely dicritical foliation of cusp type is equivalent to some  $\mathcal{F}_{\sigma,\alpha}$ .*

*Proof:* Let us consider  $\mathcal{F}$  an absolutely dicritical foliation of cusp type and let  $E$  be its associated reduction. Since along each component of the exceptional divisor the foliation is purely radial, following [2], there exist two automorphisms  $\Phi_1$  and  $\Phi_2$  that conjugate  $\mathcal{F}$  respectively to the models  $\mathcal{R}_{-1}$  and  $\mathcal{R}_{-2}$  in the neighborhood of respectively  $D_{-1}$  and  $D_{-2}$ . The cocycle of gluing is thus written  $\Phi_1 \circ \Phi_2^{-1}$ . Applying if necessary a global automorphism of  $\mathcal{R}_{-1}$  that lets each leaf invariant, we can suppose that  $\Phi_1 \circ \Phi_2^{-1}$  sends the exceptional divisor  $x_1 = 0$  on the line  $x_3 = y_3$ . Since the cocycle conjugates the foliations  $\mathcal{R}_{-2}$  and  $\mathcal{R}_{-1}$ , it can be written

$$(x_1, y_1) \mapsto (x_1 A(x_1, y_1) + \sigma(y_1), \sigma(y_1))$$

for some  $\sigma \in \text{Diff}(\mathbb{C}, 0)$  and some  $A \in \mathbb{C}\{x_1, y_1\}$  with  $A(0,0) \neq 0$ . Applying if necessary an automorphism of  $\mathcal{R}_{-2}$  defined by  $(\epsilon x_3, \epsilon y_3)$  for some  $\epsilon \neq 0$ , we can suppose that  $A(0,0) = 1$ . Now we can write the cocycle

$$(x_1, y_1) \mapsto \left( x_1 \left( 1 + \alpha y_1 + \tilde{A}(x_1, y_1) \right) + \sigma(y_1), \sigma(y_1) \right),$$

where no term of the form  $\alpha y_1$  appears in  $\tilde{A}$ . According to the corollary, the deformation parametrized by  $\epsilon$  and defined by the gluing cocycle

$$(x_1, y_1) \mapsto \left( x_1 \left( 1 + \alpha y_1 + \epsilon \tilde{A}(x_1, y_1) \right) + \sigma(y_1), \sigma(y_1) \right)$$

is locally analytically trivial. Thus the foliations obtained setting  $\epsilon = 1$  and  $\epsilon = 0$  are analytically equivalent and setting  $\epsilon = 0$  yields a cocycle of the desired form.  $\square$

The couple  $(\sigma, \alpha)$  is unique up to conjugacies that fix any point of the exceptional divisor. However, once we authorize any kind of conjugacies, this couple is not unique anymore. But the ambiguity can be described.

**Proposition 9.** *Two normal forms  $\mathcal{F}_{\sigma, \alpha}$  and  $\mathcal{F}_{\gamma, \alpha'}$  are conjugated if and only if there are two homographies  $h_0$  and  $h_1$  such that*

$$(3.4) \quad \begin{cases} \sigma = h_1 \circ \gamma \circ h_0 \\ \frac{2}{5} \left( \alpha - \frac{3}{2} \frac{\sigma''(0)}{\sigma'(0)} \right) = \frac{2}{5} \left( \alpha' - \frac{3}{2} \frac{\gamma''(0)}{\gamma'(0)} \right) h_0'(0) - \frac{h_0''(0)}{h_0'(0)}. \end{cases}$$

*Proof: Step 1:* In view of our gluing construction and following the Key Remark 5, the existence of a conjugacy implies that there exist two automorphisms of respectively  $\mathcal{R}_{-2}$  and  $\mathcal{R}_{-1}$  written  $\Phi_2 = (x_1 A_2(x_1, y_1), h_0(y_1))$  and  $\Phi_1 = (x_3 A_1(x_3, y_3), h_1(y_3))$  such that

$$(x_1(1 + \alpha y_1) + \sigma(y_1), \sigma(y_1)) = \Phi_1 \circ (x_1(1 + \alpha' y_1) + \gamma(y_1), \gamma(y_1)) \circ \Phi_2.$$

First, we obviously get the following relation  $\sigma = h_1 \circ \gamma \circ h_0$ . Moreover, if we look at the first component of the above relation we get

$$\begin{aligned} x_1(1 + \alpha y_1) + \sigma(y_1) &= (x_1 A_2(x_1, y_1)(1 + \alpha' h_0) + \gamma \circ h_0) \\ &\quad \times A_1(x_1 A_2(x_1, y_1)(1 + \alpha' h_0) + \gamma \circ h_0, \gamma \circ h_0). \end{aligned}$$

If we compute the derivative  $\frac{\partial}{\partial x_1}$  of the above relation and then set  $x_1 = 0$ , we get

$$(3.5) \quad \begin{aligned} 1 + \alpha y_1 &= A_2(0, y_1)(1 + \alpha' h_0) \\ &\quad \times \left( \gamma \circ h_0 \frac{\partial A_1}{\partial x_1}(\gamma \circ h_0, \gamma \circ h_0) + A_1(\gamma \circ h_0, \gamma \circ h_0) \right). \end{aligned}$$

(1) Now, since  $\Phi_1$  preserves the curve  $y = x$ , we obtain

$$A_1(x, x) = \frac{h_1(x)}{x}.$$

Thus,  $A_1(0, 0) = h_1'(0)$ . Setting  $y_1 = 0$  in the relation above, we get  $1 = A_2(0, 0) A_1(0, 0)$ . Therefore,  $A_2(0, 0) = \frac{1}{h_1'(0)}$ . Now, let us

write the Taylor expansion of  $A_1$

$$A_1(x_3, y_3) = h'_1(0) + rx_3 + sy_3 + \dots$$

Since,  $A_1(x, x) = \frac{h_1(x)}{x}$ , we have  $r + s = \frac{h''_1(0)}{2}$ . Now, the bi-holomorphism  $(x_3A_1(x_3, y_3), h_1(y_3))$  is global: therefore, it can be pushed down and extended at the origin of  $\mathbb{C}^2$  as a local automorphism written

$$(x, y) \mapsto \left(xA_1\left(x, \frac{y}{x}\right), h_1\left(\frac{y}{x}\right)xA_1\left(x, \frac{y}{x}\right)\right).$$

The second component of this expression is written

$$\frac{y}{\alpha x + \beta y} (h'_1(0)x + rx^2 + sy + \dots)$$

where  $\alpha = \frac{1}{h'_1(0)}$  and  $\beta = -\frac{h''_1(0)}{2h'_1(0)^2}$ . It is extendable at  $(0, 0)$  if and only if the expression in parenthesis can be holomorphically divided by  $\alpha x + \beta y$ . Looking at the first jet of these expressions leads to

$$\begin{vmatrix} \beta & \alpha \\ s & h'_1(0) \end{vmatrix} = 0 \implies s = \frac{\beta h'_1(0)}{\alpha} = -\frac{h''_1(0)}{2}.$$

Finally, we have  $r = h''_1(0)$ .

- (2) In the same way, let us write the Taylor expansion of  $A_2(x_1, y_1) = \frac{1}{h'_1(0)} + uy_1 + vy_1^2 + \dots$ . The second component of the expression of  $\Phi_2$  in the coordinates  $(x_2, y_2)$  is  $y_2x_2^2h_0^2\left(\frac{1}{x_2}\right)A_2\left(y_2x_2^2, \frac{1}{x_2}\right)$  which is equal to

$$\frac{y_2}{(\alpha'x_2 + \beta')^2} (\alpha x_2^2 + ux_2 + v + y_2(\dots))$$

where  $\alpha' = \frac{1}{h'_0(0)}$  and  $\beta' = -\frac{h''_0(0)}{2h'_0(0)^2}$ . Since it is extendable at  $x_2 = -\frac{\beta'}{\alpha'}$ , there exists a constant  $\Gamma$  such that  $(\alpha'x_2 + \beta')^2 = \Gamma(\alpha x_2^2 + ux_2 + v)$ . Hence, we have the equality  $u = 2\frac{\alpha\beta'}{\alpha'} = -\frac{h''_0(0)}{h'_0(0)h'_1(0)}$ .

Now, we can identify the coefficient of the equation (3.5).

It is

$$\begin{aligned} \alpha &= A_2(0, 0) \left( \gamma'(0)h'_0(0) \frac{\partial A_1}{\partial x_1}(0, 0) + \frac{h''_1(0)}{2} \gamma'(0)h'_0(0) + \alpha'h'_0(0)h'_1(0) \right) \\ &\quad + uh'_1(0) \\ &= \frac{3}{2} \gamma'(0)h'_0(0) \frac{h''_1(0)}{h'_1(0)} - \frac{h''_0(0)}{h'_0(0)} + \alpha'h'_0(0). \end{aligned}$$

Using the relation  $\sigma = h_1 \circ \gamma \circ h_0$ , the above equality can be formulated as in the theorem.

*Step 2:* We suppose now that the relations (3.4) are satisfied. Let us write

$$h_1(z) = \frac{z}{\alpha + \beta z} \quad h_0(z) = \frac{z}{a + bz}.$$

Then we set

$$A_2(x_1, y_1) = \alpha + 2\frac{\alpha b}{a}y_1 + \frac{\alpha b}{a^2}y_1^2$$

$$A_1(x_3, y_3) = \frac{\alpha + \beta y_3}{(\alpha + \beta x_3)^2}.$$

In view of the computations done in the first step, the two automorphisms  $\Phi_1$  and  $\Phi_2$  associated to  $A_1$  and  $A_2$  can be extended on tubular neighborhoods of  $D_{-1}$  and  $D_{-2}$ . Moreover, we obtain the following relation

$$\begin{aligned} (x_1(1 + \alpha y_1 + \Delta(x_1, y_1)) + \sigma(y_1), \sigma(y_1)) \\ = \Phi_1 \circ (x_1(1 + \alpha' y_1) + \gamma(y_1), \gamma(y_1)) \circ \Phi_2 \end{aligned}$$

where  $\Delta$  does not contain any monomial term in  $y_1$ . Now, using the Corollary 7, we see that the deformation defined by the gluing

$$\epsilon \rightarrow (x_1(1 + \alpha y_1 + \epsilon \Delta(x_1, y_1)) + \sigma(y_1), \sigma(y_1))$$

is analytically trivial, which ensures the theorem. □

**Theorem 10.** *The moduli space of absolutely dicritical foliations of cusp type can be identified with the functional space  $\mathbb{C}\{z\}$  up to the action of  $\mathbb{C}^*$  defined by the gluing*

$$\epsilon \cdot (z \mapsto \sigma(z)) = \epsilon^2 \sigma(\epsilon z).$$

*Proof:* We can consider the following family parametrized by  $\text{Diff}(\mathbb{C}, 0)$

$$\sigma \in \text{Diff}(\mathbb{C}, 0) \rightarrow \mathcal{F}_{\sigma, \frac{3}{2} \frac{\sigma''(0)}{\sigma'(0)}}.$$

It is a complete family for absolutely dicritical foliations of cusp type: in any class of absolutely dicritical foliation of cusp type there is one that is analytically equivalent to one of the form  $\mathcal{F}_{\sigma, \frac{3}{2} \frac{\sigma''(0)}{\sigma'(0)}}$ . Indeed, considering

the foliation  $\mathcal{F}_{\gamma, \alpha'}$ , we can choose  $h_0$  such that  $\frac{2}{5} \left( \alpha' - \frac{3}{2} \frac{\gamma''(0)}{\gamma'(0)} \right) h_0'(0) - \frac{h_0''(0)}{h_0'(0)} = 0$ . Therefore, setting  $\sigma = \gamma \circ h_0$  ensures that  $\mathcal{F}_{\gamma, \alpha'}$  and  $\mathcal{F}_{\sigma, \frac{3}{2} \frac{\sigma''(0)}{\sigma'(0)}}$

are analytically equivalent. Moreover, if  $\mathcal{F}_{\sigma_0, \frac{3}{2} \frac{\sigma_0''(0)}{\sigma_0'(0)}}$  and  $\mathcal{F}_{\sigma_1, \frac{3}{2} \frac{\sigma_1''(0)}{\sigma_1'(0)}}$  are

analytically equivalent then there exist  $\epsilon \in \mathbb{C}^*$  and an homography  $h_1$  such that

$$(3.6) \quad \sigma_0(z) = h_1 \circ \sigma_1 \circ (\epsilon z).$$

Indeed, the second homography  $h_0$  that appears in the Proposition 9 has to be linear for the relations (3.4) ensure that  $h_0''(0) = 0$ . Thus,  $h_0$  is written  $z \mapsto \epsilon z$  for some  $\epsilon$ . To simplify the relation (3.6), we use the Schwarzian derivative which is a surjective operator defined by

$$\mathcal{S} : \begin{cases} \text{Diff}(\mathbb{C}, 0) \rightarrow \mathbb{C}\{z\} \\ y \mapsto \frac{3}{2} \left( \frac{y'''}{y'} \right) - \left( \frac{y''}{y'} \right)^2 \end{cases}$$

and satisfying the following property: the relation (3.6) is equivalent to  $\mathcal{S}(\sigma_0)(z) = \epsilon^2 \mathcal{S}(\sigma_1)(\epsilon z)$ . Therefore, the moduli space of absolutely dicritical foliations of cusp type is identified via the schwarzian derivative to the quotient of  $\mathbb{C}\{z\}$  up to the action of  $\mathbb{C}^* \cdot (z \mapsto \sigma(z)) = \epsilon^2 \sigma(\epsilon z)$ .  $\square$

As mentioned in the introduction, this theorem does not state that the transversal structure  $\sigma$  is the only analytical invariant of an absolutely dicritical foliation of cusp type. Indeed, the group of conjugacies acts transversally to the transversal structures  $\sigma$  and to the moduli of Mattei  $\alpha$ . The family  $\mathcal{F}_{\sigma, \frac{3}{2} \frac{\sigma''(0)}{\sigma'(0)}}$  is a complete transversal set for this action.

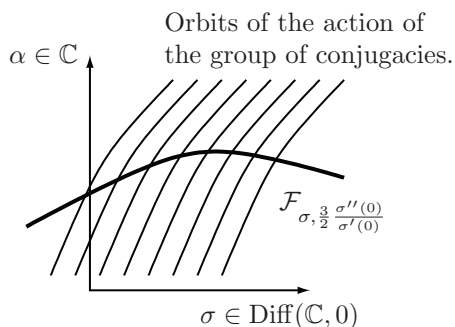


FIGURE 3.2. Moduli space of absolutely dicritical foliations.

As a consequence of the above description of the moduli space of absolutely dicritical foliations, we should be able to prove the existence of a non algebraizable absolutely dicritical foliation using technics developed in [6].

#### 4. Formal normal forms for 1-forms

It is known [3] that the multiplicity of a 1-form  $\omega$  with an isolated singularity defining an absolutely dicritical foliation of cusp type is 3. Up to some linear change of coordinates, we can suppose that the singular point of the foliation after one blow-up has  $(0, 0)$  for coordinates in the standard coordinates associated to the blow-up. Moreover, since the foliation is generically transverse to the exceptional divisor of the blow-up of  $0 \in (\mathbb{C}^2, 0)$ , the homogeneous part of degree 3 of  $\omega$  is tangent to the radial form  $\omega_R = x dy - y dx$ . Thus there exists an homogeneous polynomial function of degree 2,  $P_2$  such that

$$\omega = P_2 \omega_R + \sum_{i \geq 4} (A_i(x, y) dx + B_i(x, y) dy).$$

After the blow-up, the singular locus is given by the solutions of  $P_2(1, y) = 0$  and  $P_2(x, 1) = 0$  in each chart. Thus  $P_2$  is simply written  $ay^2$  for some constant  $a \neq 0$ . After one blow-up  $(x, t) \mapsto (x, tx)$ , the linear part near  $(0, 0)$  of the pull-back form is written

$$\left( A_4(1, 0) + t \frac{\partial A_4}{\partial t}(1, 0) + t B_4(1, 0) \right) dx + x B_4(1, 0) dt + x A_5(1, 0) dx.$$

The absolutely dicritical property ensures that this linear part is non trivial and tangent to the radial form  $t dx - x dt$ . Hence, the following relations hold

$$A_4(1, 0) = A_5(1, 0) = 0 \text{ and } \frac{\partial A_4}{\partial t}(1, 0) + 2B_4(1, 0) = 0.$$

Finally, the form  $\omega$  is written

$$\begin{aligned} \omega = y^2 \omega_R + (-2\alpha x^3 + y Q_2(x, y)) y dx + (\alpha x^4 + y Q_3(x, y)) dy \\ + (A_5(x, y) dx + B_5(x, y) dy) + \dots \end{aligned}$$

where  $\alpha \neq 0$ .

**Proposition 11.** *The 1-form  $\omega$  is formally equivalent to a 1-form written*

$$y^2\omega_R + \alpha x^3 (x dy - 2y dx) + \alpha x^3 y dy + \sum_{n \geq 5} x^{n-1} ((a_n x + b_n y) dx + (c_n x + d_n y) dy)$$

where  $a_5 = 0$ . Moreover, this formal normal form is unique up to a change of coordinates tangent to Id.

*Proof:* A change of coordinates  $\phi_n: (x, y) \rightarrow (x, y) + (P_n, Q_n)$  where  $P_n$  and  $Q_n$  are homogeneous polynomial functions of degree  $n$  does not modify the jet of order  $n + 1$  of  $\omega$ . Moreover, the action on the homogeneous part of degree  $n + 2$  is written

$$J^{n+2}(\phi_n^* \omega) = J^{n+2} \omega + y^2 \left( \left( x \frac{\partial Q_n}{\partial x} - y \frac{\partial P_n}{\partial x} + Q_n \right) dx + \left( x \frac{\partial Q_n}{\partial y} - y \frac{\partial P_n}{\partial y} + P_n \right) dy \right).$$

We are going to verify that the linear morphism defined by

$$L: (P_n, Q_n) \mapsto \left( x \frac{\partial Q_n}{\partial x} - y \frac{\partial P_n}{\partial x} + Q_n, x \frac{\partial Q_n}{\partial y} - y \frac{\partial P_n}{\partial y} + P_n \right)$$

from the set of couples of homogeneous polynomial functions of degree  $n$  to itself is a one to one correspondence. To do so, let us compute the kernel of this morphism and let us write  $P_n = \sum_{i=0}^n p_i x^i y^{n-i}$  and  $Q_n = \sum_{i=0}^n q_i x^i y^{n-i}$ . The coefficients of the components of  $L(P_n, Q_n)$  on the monomial term  $x^i y^{n-i}$  are

$$\begin{aligned} q_i (i - 1) - p_{i+1} (i + 1) & \quad i = 0, \dots, n - 1 \\ q_n (n - 1) & \quad i = n \end{aligned}$$

and

$$\begin{aligned} -p_i (n - i - 1) + q_{i-1} (n - i + 1) & \quad i = 1, \dots, n \\ p_0 (n - 1) & \quad i = 0. \end{aligned}$$

If  $(P_n, Q_n)$  is in the kernel then  $q_n = 0$  and  $p_0 = 0$ . Moreover, applying the above relation with  $i = 1$  and  $i = n - 1$  yields  $p_2 = 0$  and  $q_{n-2} = 0$ . Now for  $i = 1, \dots, n - 1$  but  $i \neq n - 2$ , a combination of the relations above ensures that

$$0 = q_i (i - 1) - q_i (i + 1) \frac{n - i}{n - i - 2} = \frac{q_i}{n - i - 2} (2 - 2n).$$

Thus  $q_i = 0$  for  $i = 0, \dots, n-1$ . Therefore  $(P_n, Q_n) = 0$  and  $L$  is an isomorphism. Thus, we can choose  $\phi_n$  such that

$$J^{n+2}(\phi_n^* \omega) = x^{n-1}((a_n x + b_n y) dx + (c_n x + d_n y) dy).$$

Clearly the composition  $\phi_2 \circ \phi_3 \circ \dots$  is formally convergent, which proves the proposition.  $\square$

## 5. Absolutely dicritical foliation admitting a first integral

In this section, we study absolutely dicritical foliations that admit a meromorphic first integral. Such an existence can be completely read on the transversal structure.

**Theorem 12.** *Let  $\mathcal{F}$  be an absolutely dicritical foliation of cusp type with  $\sigma$  as transversal structure. Then  $\mathcal{F}$  admits a first integral if and only if there exist two non constant rational functions  $R_1$  and  $R_2$  such that*

$$R_1 \circ \sigma = R_2.$$

Notice that the existence of  $R_1$  and  $R_2$  does not depend on the equivalence class of  $\sigma$  modulo homographies.

*Proof:* Let us suppose first that  $\mathcal{F}$  admits a meromorphic first integral  $f$ . After blow-up, the function  $f$  is a non constant rational function in restriction to each component of the divisor. Since for any point  $p$ ,  $p$  and  $\sigma(p)$  belong to the same leaf, we have

$$f|_{D_1}(p) = f|_{D_2}(\sigma(p)).$$

Now, suppose there exist two rational functions as in the theorem. According to Theorem 8, there exist  $\alpha$  and  $\gamma$  such that the foliation  $\mathcal{F}$  is analytically equivalent to  $\mathcal{F}_{\gamma, \alpha}$ . The application  $\sigma$  and  $\gamma$  are linked by a relation of the form

$$h_0 \circ \sigma \circ h_1 = \gamma$$

where  $h_0$  and  $h_1$  are homographies. Thus, setting  $\tilde{R}_1 = R_1 \circ h_0^{-1}$  and  $\tilde{R}_2 = R_2 \circ h_1$  yields  $\tilde{R}_1 \circ \gamma = \tilde{R}_2$  where  $\tilde{R}_1$  and  $\tilde{R}_2$  are still rational. Now, let us remind the construction of  $\mathcal{F}_{\gamma, \alpha}$ . We glue the models  $\mathcal{R}_{-1}$  and  $\mathcal{R}_{-2}$  around  $(x_1, y_1) = 0$  and  $(x_3, y_3) = 0$  by

$$(x_1, y_1) \mapsto (x_3 = x_1(1 + \alpha y_1) + \gamma(y_1), y_3 = \gamma(y_1)).$$

Consider for  $\mathcal{R}_{-1}$  the first integral  $F_1(x_1, y_1) = \tilde{R}_2(y_1)$  and for  $\mathcal{R}_{-2}$  the first integral  $F_2(x_3, y_3) = \tilde{R}_1(y_3)$ . Then these two meromorphic first



integrals can be glued in a global meromorphic first integral since

$$\begin{aligned} F_2(x_3, y_3) &= F_2(x_1(1 + \alpha y_1) + \gamma(y_1), \gamma(y_1)) \\ &= \tilde{R}_1(\gamma(y_1)) = \tilde{R}_2(y_1) = F_1(x_1, y_1). \end{aligned}$$

Thus the absolutely dicritical foliation admits a meromorphic first integral. □

In view of this result, it is easy to produce a lot of examples of absolutely dicritical foliations admitting no meromorphic first integral setting for instance

$$\sigma(z) = e^z - 1.$$

Notice that the existence of the first integral only depends on the transversal structure  $\sigma$  and not on the value of the moduli of Mattei  $\alpha$ . This is consistent with the fact that, along an equireducible unfolding, the existence of a meromorphic first integral for one foliation in the deformation ensures the existence of such a first integral for any foliation in the deformation.

Finally, since the topological classification of absolutely dicritical foliations is *trivial*, the above result produces a lot of examples of couples of conjugated foliations such that only one of them admits a meromorphic first integral.

Hereafter we focus on a particular case, that is when the transversal structure  $\sigma$  is an homography.

**Proposition 13.** *Let  $\mathcal{F}$  be an absolutely dicritical foliation of cusp type with an homographic transversal structure. Then, up to some analytical change of coordinates,  $\mathcal{F}$  admits one of the following rational first integrals:*

(1)  $f = \frac{y^2 + x^3}{xy}.$

(2)  $f = \frac{y^2 + x^3}{xy} + x.$

*Proof:* Let us consider the following germ of family of meromorphic functions with  $(x, y, z) \in (\mathbb{C}^3, (0, 0, 0))$  defined by

$$f_z = \frac{y^2 + x^3 + zx^2y}{xy} = \frac{a}{b}.$$

For any  $z$ , the foliation associated to  $f_z$  is absolutely dicritical of cusp type. Let us prove that this family is an equireducible unfolding. We consider the integrable 1-form  $\Omega = adb - bda$ . It is written

$$(2x^3y + zx^2y^2 - y^3) dx + (xy^2 - x^4) dy + x^3y^2 dz.$$

It defines an unfolding of the foliation given by  $f_0$  with one parameter. Its singular locus is the  $z$ -axes and it is transverse to the fibers of the fibration  $(x, y, z) \mapsto z$ . Once we blow-up the  $z$ -axis, in the chart  $E : (x, t, z) = (x, tx, z)$ , the 1-form  $\Omega$  is written

$$\tilde{\Omega} = t(1 + zt) dx + (t^2 - x) dt + t^2 x dz.$$

Therefore, the singular locus of the pull-back foliation is still the  $z$ -axis in the coordinates  $(x, t, z)$  and in a neighborhood of  $x = 0$ , the foliation  $\tilde{\Omega}$  is transverse to the fibration  $z = \text{cst}$ . If we blow-up the  $z$ -axis again, we find

$$(1 + zx) dt + (1 + zt) dx + tx dz$$

which is smooth. Since the curve  $x = t = 0$  is invariant and since the foliation is still transverse to the fibration  $z = \text{cst}$ , the unfolding is equisingular. Now, this unfolding is analytically trivial if and only if the monomial term  $x^3 y^2$  belongs to the ideal generated by  $2x^3 y + zx^2 y^2 - y^3$  and  $xy^2 - x^4$  [5]. Setting  $z = 0$  this would imply that  $x^3 y^2 \in (2x^3 y - y^3, xy^2 - x^4)$  which is impossible. Thus, this unfolding is not analytically trivial and since the moduli space of unfolding of absolutely dicritical foliations is of dimension 1, it is also semi-universal.

Now, let us consider a foliation  $\mathcal{F}$  as in the proposition. Up to some linear change of coordinates, we can suppose that after the reduction process its singular point and its transversal structure are the same as the function  $\frac{y^2 + x^3}{xy}$  that is to say  $(0, 0)$  and Id in the standard coordinates associated to the reduction process. Let us denote by  $\mathcal{F}_0$  the foliation given by  $\frac{y^2 + x^3}{xy}$ . We are going to construct an unfolding from  $\mathcal{F}_0$  to  $\mathcal{F}$ . As always since the beginning of this article, we denote by  $D_{-1}$  and  $D_{-2}$  the two exceptional components of the divisor. In the neighborhood of each of them, both foliations are purely radial. Thus there exist two conjugacies  $\Phi_1$  and  $\Phi_2$  defined in the neighborhood of respectively  $D_{-1}$  and  $D_{-2}$  such that

$$\begin{aligned} \Phi_1^* \mathcal{F}_0 &= \mathcal{F} & \Phi_2^* \mathcal{F}_0 &= \mathcal{F} \\ \Phi_1|_{D_{-1} \cup D_{-2}} &= \text{Id} & \Phi_2|_{D_{-1} \cup D_{-2}} &= \text{Id}. \end{aligned}$$

Since,  $\mathcal{F}_0$  and  $\mathcal{F}$  have the same transversal structures, the cocycle  $\Phi_1 \circ \Phi_2^{-1}$  is a germ of automorphism of  $\mathcal{F}_0$  near the singular point of the divisor that lets the points of the divisor fixed and that globally lets each leaf fixed. One can build an isotopy from  $\Phi_1 \circ \Phi_2^{-1}$  to Id in the group of germs of automorphisms of  $\mathcal{F}_0$  near the singular point of the divisor that let each point of the divisor fixed and that globally let each leaf fixed.

Let us denote by  $H_t$  an isotopy satisfying  $H_0 = \text{Id}$  and  $H_1 = \Phi_1 \circ \Phi_2^{-1}$ . The unfolding defined by the following glued construction

$$((\mathcal{F}_0, D_1) \times U) \amalg ((\mathcal{F}_0, D_2) \times U) / (x, t) \sim (H_t(x), t),$$

where  $U$  is an open neighborhood of  $\{|t| \leq 1\}$  links  $\mathcal{F}_0$  and  $\mathcal{F}$ . The meromorphic first integral  $f_0$  of  $\mathcal{F}_0$  can be extended in a meromorphic first integral  $F$  of the whole unfolding [5]. Thus  $F|_{t=1}$  is a meromorphic first integral of  $\mathcal{F}$ . By equisingularity  $F|_{t=0}$  and  $F|_{t=1}$  must have exactly the same number of irreducible components in their zeros and in their poles, which is the same number of irreducible components in the zeros and in the poles of  $F$ . They also must have the same topology since an unfolding is topologically trivial. Thus the foliation  $\mathcal{F}$  admits a meromorphic first integral whose zeros are exactly the leaf passing through the singular point of the exceptional divisor and whose poles are the union of two smooth curves respectively attached to  $D_{-1}$  and  $D_{-2}$ . Therefore up to some changes of coordinates, we can suppose that  $\mathcal{F}$  has a meromorphic first integral of the form

$$f = \frac{(y^2 + x^3 + \Delta(x, y))^a}{x^b y^c}$$

where the Taylor expansion of  $\Delta(x, y)$  only admits monomial terms  $x^i y^j$  with  $2i + 3j > 6$ . The absolutely dicritical property ensures that  $a = b = c$ . Therefore, we can suppose that  $a = b = c = 1$ . Let us denote by  $\Lambda_\lambda(x, y)$  the homothecy  $\Lambda_\lambda(x, y) = (\lambda^2 x, \lambda^3 y)$ . Composing by  $\Lambda_\lambda$  at the right of  $f$  yields

$$\frac{f \circ \Lambda_\lambda}{\lambda} = \frac{y^2 + x^3 + \Delta_\lambda(x, y)}{xy}.$$

For any  $\lambda \neq 0$ , the foliations given by  $f$  and by  $\frac{f \circ \Lambda_\lambda}{\lambda}$  are analytically conjugated. Furthermore, the deformation  $\lambda \rightarrow \frac{f \circ \Lambda_\lambda}{\lambda}$  is an equisingular unfolding of  $f_0$  since  $\Delta_\lambda$  goes to 0 when  $\lambda \rightarrow 0$ . Using the semi-universality of the family introduced at the beginning of the proof, for  $\lambda$  small enough, there exists some  $\alpha$  such that the following conjugacies hold

$$f \sim \frac{f \circ \Lambda_\lambda}{\lambda} \sim f_\alpha.$$

Now if  $\alpha = 0$  then  $f$  is of type (1). If  $\alpha \neq 0$ , applying some well chosen homothecy, we can suppose  $\alpha = 1$  and  $f$  is of type (2). □

*Remark 14.* In the last part of this article, we will prove that the two meromorphic functions (1) and (2) define actually two analytically equivalent foliations.

It is possible to construct some others examples of absolutely dicritical foliations of cusp type with a rational first integral: to do so, let us consider a foliation of degree 1 on  $\mathbb{P}^2$ . These are well-known [4]: they have three singular points counted with multiplicities and admit an integrating factor. For instance, the foliation given in homogeneous coordinates by the multivalued functions

$$[x : y : z] \rightarrow \frac{x^\alpha y^\beta}{z^{\alpha+\beta}} \quad \text{or} \quad [x : y : z] \mapsto \frac{Q}{z^2}$$

where  $Q$  is a non-degenerate quadratic form is of degree 1. When  $\alpha$  and  $\beta$  are rational numbers, the foliation admits a rational first integral. Now two generic lines  $L_1$  and  $L_2$  are each tangent to one leaf of the foliation. We can suppose that the tangency point is different from the intersection point of  $L_1$  and  $L_2$ . Now, blow-up twice the tangency point on  $L_1$  and thrice the tangency point on  $L_2$ . The final configuration is the following

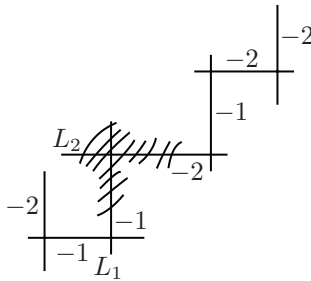


FIGURE 5.1. Configuration of the exceptional divisor.

Thus, the divisor  $L_1 \cup L_2$  can be contracted toward a smooth algebraic manifold. The obtained singularity is naturally absolutely dicritical of cusp type and admits a rational first integral. For instance, if we consider the foliation given in affine coordinates by  $xy = cst$  and  $L_1 : x+y = 1$  and  $L_2 : x - y = 1$ , the transverse structure is equivalent to  $\sigma(t) = t + 1$  and thus the foliation is equivalent to the functions of Proposition 13. However, considering the foliation given by  $x^2 + y = cst$  yields the transversal structure  $t \mapsto \frac{-3 + \sqrt{9 + 4t + 4t^2}}{2}$  which is not an homography.

### 6. Moduli of Mattei

**6.1. The parameter space of the unfoldings.** As already explained, the deformation  $\alpha \rightarrow \mathcal{F}_{\sigma,\alpha}$  is an unfolding with a set of parameters equal to  $\mathbb{C}$ . It is a natural question to ask when two parameters define two foliations analytically equivalent. In order to do so, we introduce the following definition:

**Definition 15.** Let  $\sigma$  be an element of  $\text{Diff}(\mathbb{C}, 0)$ . An homography  $h$  with  $h(0) = 0$  is called an homographic symmetry of  $\sigma$  if and only if there exists an homography  $h_0$  such that

$$(6.1) \quad h_0 \circ \sigma \circ h = \sigma.$$

We denote by  $\mathcal{H}(\sigma)$  the group of homographic symmetries of  $\sigma$ .

The following result is probably known but we cannot find any reference in the litterature.

**Lemma 16.** *If  $\mathcal{H}(\sigma)$  is infinite then  $\sigma$  is an homography and  $\mathcal{H}(\sigma)$  is the whole set of homographies fixing the origin.*

*Proof:* Applying the schwarzian derivative at the relation (6.1) yields

$$S(\sigma) = S(h_1 \circ \sigma \circ h) = S(\sigma \circ h) = (h')^2 S(\sigma) \circ h.$$

Therefore, we are led to the functional equation

$$(6.2) \quad f \circ h(z) = \frac{1}{(h')^2} f(z)$$

where  $f = S(\sigma)$  is the schwarzian derivative of  $\sigma$ . Let us write  $h(z) = \frac{z}{a+bz}$  and  $f(z) = \sum_{n \geq 1} f_n z^n$ .

- (1) Suppose that  $h'(0)$  is not a root of unity. Then applying the above relation at  $z = 0$  leads to  $f(0) = 0$ . Now, we have

$$a^2 \sum_{n \geq 1} f_n \frac{z^n}{(a+bz)^n} = (a+bz)^4 \sum_{n \geq 1} f_n z^n.$$

An induction on  $n$  shows that for any  $n$   $f_n = 0$ , thus  $f = 0$  and  $\sigma$  is an homography because the homographies are characterized by the relation  $S(\sigma) = 0$ .

- (2) Suppose now that  $h'(0) = 1$  then

$$\sum_{n \geq 0} f_n \frac{z^n}{(1+bz)^n} = (1+bz)^4 \sum_{n \geq 0} f_n z^n.$$

Suppose that  $b \neq 0$ . If for any  $n \leq N - 1$  we have  $f_n = 0$ , let us have a look at the terms in  $x^{N+1}$  in the above equality. It is

$$-Nbf_N + f_{N+1} = 4bf_N + f_{N+1}.$$

Thus  $f_N = 0$ , which proves by induction that  $f$  is still equal to zero.

- (3) If  $\mathcal{H}(\sigma)$  is infinite, let us suppose that it contains two elements  $h$  and  $g$  that do not commute, then  $[h, g]$  is tangent to Id but is not the Id . Thus using the previous point yields  $f = 0$ .
- (4) Finally, if  $h'(0)$  is a root of unity, it can be seen that  $h^{\circ(n)} = \text{Id}$  where  $n$  is the smallest integer such that  $h'(0)^n = 1$ . Thus, suppose that the group  $\mathcal{H}(\sigma)$  is abelian and any element of  $\mathcal{H}(\sigma)$  is of finite order. We have an embedding

$$\mathcal{H}(\sigma) \longrightarrow \text{Aff}(\mathbb{C})$$

since the only element tangent to Id is the identity itself. Therefore,  $\mathcal{H}(\sigma)$  can be seen as an abelian subgroup of  $\text{Aff}(\mathbb{C})$ . Hence, the whole group has a fixed point and can be seen as a subgroup of the linear transformations of  $\mathbb{C}$ . Now let us write the relation (6.2) seen at  $\infty$

$$f(1/(1/h(1/z))) = \frac{1}{h'(\frac{1}{z})^2} f\left(\frac{1}{z}\right).$$

Setting,  $u(z) = \frac{1}{z^4} f\left(\frac{1}{z}\right)$  yields  $u(az + b) = \frac{1}{a^2} u(z)$ . Since,  $u = \frac{\alpha}{z^4} + \dots$  we can consider the double primitive function  $U = \int \int u$  with  $U(\infty) = 0$ . This is a univalued holomorphic function defined near  $\infty$ . Finally, the function  $U$  satisfies the following functional relation

$$U(az + b) = U(z).$$

But in view of the dynamics of  $\text{Lin}(\mathbb{C})$ , it is clear that if  $\mathcal{H}(\sigma)$  is infinite then  $U = \text{cst}$  and thus  $u = 0$ .

□

In the course of the proof of the above result, we obtain the following result

**Corollary 17.** *Let  $\mathcal{M}$  be the quotient of  $\mathbb{C}$  by the relation  $\alpha \sim \alpha'$  if and only if  $\mathcal{F}_{\sigma, \alpha} \sim \mathcal{F}_{\sigma, \alpha'}$  then there are only two possibilities*

- (1)  $\mathcal{M} = \{0\}$  when  $\sigma$  is an homography. The foliation  $\mathcal{F}_{\sigma,\alpha}$  is then analytically equivalent to  $\frac{y^2+x^3}{xy}$ .
- (2)  $\mathcal{M} = \mathbb{C}/H$  where  $H$  is a finite subgroup of  $\text{Aff}(\mathbb{C})$ .  
 Generically,  $H$  is reduced to  $\{\text{Id}\}$ .

As an obvious consequence, the functions obtained in Proposition 13 define two analytically equivalent foliations.

**6.2. Toward a geometric description of the moduli of Mattei.**

It remains us to give a geometric interpretation of the parameter  $\alpha$ . A promising approach is the following. Near the singular point of the divisor, the leaf is conformally equivalent to a disc minus two points which are the intersections between the leaf and the exceptional divisor. If we consider in the leaf a path linking these two points, we obtain after taking the image of this path by  $E$  an asymptotic cycle  $\gamma$  as defined in [11] which is not asymptotically topologically trivial.

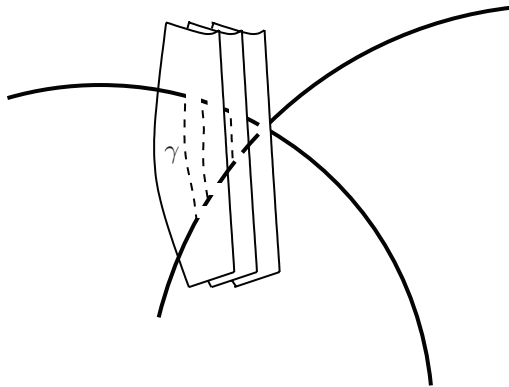


FIGURE 6.1. Asymptotic vanishing cycle.

Therefore, considering the family of these cycles parametrized by a transversal parameter to the foliation yields a vanishing asymptotic cycle. We claim that the moduli of Mattei should be linked to the length of this vanishing asymptotic cycle: more precisely, it should be computed by the integral of some form along this vanishing cycle. Actually, it is easy to prove the following property: let  $\omega$  be a 1-form defining an absolutely dicritical foliation of cusp type and let  $\eta$  be any germ of 1 form.

Then  $\eta$  is relatively exact with respect to  $\omega$ , i.e., there exist two germs of holomorphic functions  $f$  and  $g$  such that

$$\eta = df + g\omega$$

if and only if the integral of  $\eta$  along the asymptotic cycle  $\gamma$  vanishes. Thus, we think that in a sense that has to be worked out, the moduli of Mattei should be computed by the integral of some generators of the relative cohomology group of  $\omega$  along the asymptotic vanishing cycles.

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