

## PROJECTIONS IN THE SPACE OF BOUNDED LINEAR OPERATORS

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**Thorp has shown that for  $X$  and  $Y$  certain Banach spaces of sequences there is no continuous linear projection of the bounded linear operators from  $X$  to  $Y$  onto the compact linear operators from  $X$  to  $Y$ . In this paper, this result, as well as related results for the weakly compact linear operators, is demonstrated for cases including (a)  $X$  an infinite dimensional abstract  $L$ -space and  $Y$  an infinite dimensional space whose conjugate contains a countable total set and (b)  $X$  a separable  $B$ -space and  $Y = C(S)$  with  $S$  either a metric space containing an infinite number of points or  $S$  a compact space which contains a one-to-one convergent sequence.**

We recall that a subspace of a Banach space  $X$  is said to be complemented (in  $X$ ) if there is a continuous linear projection of  $X$  onto that subspace. In [14] it is shown that for  $X$  and  $Y$  certain Banach spaces of sequences the subspace  $K(X, Y)$  of compact linear operators from  $X$  to  $Y$  is not complemented in  $B(X, Y)$ , the space of bounded linear operators from  $X$  to  $Y$ . Here, we will prove similar results for either  $X$  an abstract  $L$ -space or  $Y$  a space of type  $C(S)$  and will also consider projections on the subspace  $W(X, Y)$  of weakly compact linear operators mapping  $X$  to  $Y$ .

All maps will be linear and  $X$  and  $Y$  will be Banach spaces. Abstract  $L$ -spaces are defined in [7, page 394];  $C(S)$  shall be the space of bounded continuous functions on a topological space  $S$  and we use the *sup* norm. We recall that a set in  $X'$ , the conjugate of the Banach space  $X$ , is total if the only vector mapped into zero by that set is the zero vector.

Our main results are Theorems 1 and 2 below.

1. **THEOREM.** *Let  $\mathcal{L}$  be an infinite dimensional abstract  $L$ -space and let  $X$  have a complemented subspace  $Y$ . Suppose that  $Y'$  contains a countable total set. Then*

(a) *If  $Y$  is infinite dimensional, then  $K(\mathcal{L}, X)$  is not complemented in  $B(\mathcal{L}, X)$ . In fact,  $K(\mathcal{L}, Y)$  is complemented in  $B(\mathcal{L}, Y)$  if and only if these spaces are equal and this happens if and only if  $Y$  is finite dimensional.*

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(b) *If weak and norm sequential convergence are not equivalent in  $Y$ , then  $K(\mathcal{L}, X)$  is not complemented in  $W(\mathcal{L}, X)$ . In fact,  $K(\mathcal{L}, Y)$  is complemented in  $W(\mathcal{L}, Y)$  if and only if these spaces are equal and this happens if and only if norm and weak sequential convergence are equivalent in  $Y$ .*

(c) *If  $Y$  is not reflexive, then  $W(\mathcal{L}, X)$  is not complemented in  $B(\mathcal{L}, X)$ . In fact,  $W(\mathcal{L}, Y)$  is complemented in  $B(\mathcal{L}, Y)$  if and only if these spaces are equal and this happens if and only if  $Y$  is reflexive.*

2. **THEOREM.** *Suppose that  $S$  is either a (not necessarily compact) metric space which contains an infinite number of points, or that  $S$  is a compact space which contains a one-to-one convergent sequence. Let  $X$  have a complemented subspace  $Y$  and suppose that  $Y$  is separable. Then*

(a) *If  $Y$  is infinite dimensional, then  $K(X, C(S))$  is not complemented in  $B(X, C(S))$ . In fact,  $K(Y, C(S))$  is complemented in  $B(Y, C(S))$  if and only if these spaces are equal and this happens if and only if  $Y$  is finite dimensional.*

(b) *If weak and norm sequential convergence are not the same in  $Y'$ , then  $K(X, C(S))$  is not complemented in  $W(X, C(S))$ . In fact,  $K(Y, C(S))$  is complemented in  $W(Y, C(S))$  if and only if these spaces are equal and this happens if and only if norm and weak sequential convergence are the same in  $Y'$ .*

(c) *If  $Y$  is not reflexive, then  $W(X, C(S))$  is not complemented in  $B(X, C(S))$ . In fact,  $W(Y, C(S))$  is complemented in  $B(Y, C(S))$  if and only if these spaces are equal and this happens if and only if  $Y$  is reflexive.*

We remark, in connection with part (b) of both theorems, that weak and norm sequential convergence are the same in  $l$  [2, page 137]. In Theorem 2, part (b), the separability of  $Y$  is essential, for if  $C(S)$  is separable, then  $W(m, C(S)) = B(m, C(S))$ , since weak\* and weak sequential convergence are equivalent in  $m'$  [9, Theorem 9, page 168], yet  $m$  is not reflexive. It follows from either theorem that  $K(l, m)$  is not complemented in  $B(l, m)$ , a result incorrectly proved in [14].

The above theorems can be extended by use of the following lemma.

3. **LEMMA.** *Suppose that  $X_1$  and  $Y_1$  are complemented subspaces*

of, respectively,  $X$  and  $Y$ . Then

(a) If  $K(X, Y)$  is complemented in  $B(X, Y)$ , then  $K(X_1, Y_1)$  is complemented in  $B(X_1, Y_1)$ .

(b) If  $K(X, Y)$  is complemented in  $W(X, Y)$ , then  $K(X_1, Y_1)$  is complemented in  $W(X_1, Y_1)$ .

(c) If  $W(X, Y)$  is complemented in  $B(X, Y)$ , then  $W(X_1, Y_1)$  is complemented in  $B(X_1, Y_1)$ .

*Proof.* Let  $P_1$  be a projection of  $X$  onto  $X_1$  and let  $P_2$  project  $Y$  onto  $Y_1$ . For case (a), suppose that  $P$  is a projection of  $B(X, Y)$  onto  $K(X, Y)$ . Define a map  $Q$  on  $B(X_1, Y_1)$  by  $Q(T) = [P_2 \circ P(T \circ P_1)]|_{X_1}$ , where  $F|_{X_1}$  is the restriction of a map  $F$  to  $X_1$ . Then  $Q$  is a projection of  $B(X_1, Y_1)$  onto  $K(X_1, Y_1)$ . The other cases are similar.

So, for example, Theorem 1 gives useful information about maps with range in a space which contains a complemented subspace isomorphic to an abstract  $L$ -space.

Note that by Lemma 3 it suffices to prove Theorems 1 and 2 under the assumption  $X = Y$ . We now find canonical subspaces of  $\mathcal{L}$  and  $C(S)$  and reduce the problem still further.

From Corollary 4, page 221 of [12] we see that any infinite dimensional complemented subspace of an abstract  $L$ -space contains a complemented copy of  $l$ . So we may assume in the proof of Theorem 1 that  $\mathcal{L} = l$ .

In [1] Arens has shown that if  $S_0$  is a metrizable compact subspace of a paracompact space  $S$ , then there is a projection of  $C(S)$  onto a subspace isomorphic to  $C(S_0)$ ; this is a generalization of Borsuk's theorem [4], in which  $S_0$  is a separable closed subspace of a metric space  $S$ . From Arens' result we see that if  $S$  is a compact space which contains a one to one convergent sequence, then  $C(S)$  contains a complemented copy of  $c$ . From Borsuk's result, if  $S$  is a metric space containing an infinite number of points, then  $C(S)$  contains a complemented copy of either  $m$  or  $c$ . (We remark that a particularly nice proof of Borsuk's theorem is given in [10]). Thus it suffices to prove Theorem 2 for  $C(S) = m$  and  $C(S) = c$ .

We have now reduced the problem to its essentials. We will need the following representation theorems [15]:

Let  $T : X \rightarrow C(S)$  be a linear operator. Then  $T$  defines a function  $p : S \rightarrow X'$  by  $p(s)(x) = Tx(s)$  and  $p$  is continuous as a map into  $(X', X)$ , i.e. into  $X'$  with the weak\* topology. Then  $T$  is continuous if and only if  $p(S)$  is bounded and in this case,  $\|T\| = \sup \{\|p(s)\| : s \text{ in } S\}$ . Also,  $T$  is compact if and only if, in addition,  $p(S)$  is conditionally

compact (i.e., if and only if the (norm) closure of  $p(S)$  is compact) and  $T$  is weakly compact if and only if, in addition,  $p(S)$  is conditionally compact in the weak topology of  $X'$ .

Let  $T: l \rightarrow X$  be a linear operator. Then, letting  $e_i$  denote the characteristic function of the set  $\{i\}$ ,  $T$  is continuous if and only if  $\{Te_i: i = 1, 2, \dots\}$  is bounded and in this case  $\|T\| = \sup \|Te_i\|$ . The map  $T$  is compact if and only if the set  $\{Te_i: i = 1, 2, \dots\}$  is conditionally compact and is weakly compact if and only if that set is conditionally compact in the weak topology of  $X$ .

The first representation theorem is due to Bartle [3], for compact  $S$ , and the second is due to Dunford and Pettis [6].

The following lemma is the backbone of all our proofs. We denote the space of all bounded functions from a set  $S$  to a Banach space  $X$  by  $m(S: X)$  with  $\|f\| = \sup \{\|f(s)\|: s \text{ in } S\}$ . If the space  $X$  is the scalar field we write  $m(S)$ , which is also called  $B(S)$ , and if  $S$  is a countably infinite set we have the space  $m$  of bounded sequences. For any  $f$  in  $m(S: X)$ , the support of  $f$ ,  $\text{supt}(f)$ , is given by  $\{s: f(s) \neq 0\}$ .

4. LEMMA. *Let  $M$  and  $N$ ,  $N \subseteq M$ , be closed subspaces of  $m(S: X)$  and let  $N$  contain all the functions which have finite support. Suppose that there is a function  $f$  in  $m(S: X)$  and an uncountable family of functions in  $m(S)$ ,  $\{g_a: a \text{ in } A\}$ , with the properties:*

$$(1) \quad \|g_a\| \leq 1 \text{ for all } a \text{ in } A,$$

(2)  $fg_a$ , the function whose value at  $s$  is  $f(s)g_a(s)$ , is in  $M - N$ , and

$$(3) \quad \text{supt}(g_a) \cap \text{supt}(g_b) \text{ is finite for } a \neq b.$$

*Then  $(M/N)'$  does not contain a countable total subset. Hence, if  $M'$  contains a countable total subset, then  $N$  is not complemented in  $M$ .*

*Proof.* Let  $f_a$  be the coset in  $M/N$  which contains  $fg_a$  and note that  $f_a \neq 0$ . To show that  $(M/N)'$  does not contain a countable total subset it will suffice to show that a functional  $x'$  in  $(M/N)'$  can fail to annihilate only countably many elements in the set  $\{f_a: a \text{ in } A\}$ , so it will suffice to show that the set  $C(n) = \{f_a: |x'(f_a)| \geq 1/n\}$  is finite for each natural number  $n$ . To see this let  $h_1, h_2, \dots, h_m$  be in  $C(n)$ , set  $b_i = \overline{x'(h_i)}/|x'(h_i)|$  and let  $x = \sum b_i h_i$ . The critical point is to note that  $\|x\| \leq \|f\|$ . Then, since  $\|x'\| \|f\| \geq |x'(x)| \geq m/n$ , we see that  $C(n)$  is finite.

If the subspace  $N$  is complemented in  $M$  we have  $M = N \oplus R$  where  $R$  is a closed subspace of  $M$ . Then, since  $R'$  contains a countable

total subset whenever  $M'$  does, and  $M/N$  is isomorphic to  $R$ , we see that  $(M/N)'$  contains a countable total subset if  $M'$  does.

We use the next lemma in constructing functions  $g_a$  which are as described in Lemma 4.

5. LEMMA. *Let  $I$  be a countable set. Then there is an uncountable family  $\{U_a : a \text{ in } A\}$  of infinite subsets of  $I$  with  $U_a \cap U_b$  finite for  $a \neq b$ .*

*Proof.* See problem 6Q, page 97 of [8].

The above lemmas are a generalization of the method of [16] and the basic idea can be found in [11] and [13].

As we have noted, Theorem 1 is reduced to the following lemma:

6. LEMMA. *Theorem 1 holds in the special case  $X = Y$  and  $\mathcal{L} = l$ .*

*Proof.* Let  $I = \{1, 2, \dots\}$ . By the representation theorem,  $B(l, X)$  corresponds to  $m(I : X)$  and  $K(l, X)[W(l, X)]$  to the subspace of  $m(I : X)$  consisting of those functions whose range is [weakly] conditionally compact.

Let  $\{U_a : a \text{ in } A\}$  be a family of subsets of  $I$  as in Lemma 5 and let  $g_a$  be the characteristic function of  $U_a$ .

For case (a), suppose that  $X$  is infinite dimensional and select a sequence  $\{x_i\}$  of points from the unit sphere of  $X$  so that  $\{x_i\}$  contains no convergent subsequence. We define  $f$  in  $m(I : X)$  by  $f(i) = x_i$ . Now we apply Lemma 4 to see that  $B(l, X)/K(l, X) = m(I : X)/K$ , where  $K$  is the subspace of functions with conditionally compact range, is a space whose conjugate contains no countable total set. But  $m(I : X)$  does have a conjugate which contains a countable total set, since we are assuming that this is true of  $Y = X$ ; so by Lemma 4  $K(l, X)$  is not complemented in  $B(l, X)$ .

For case (b) let  $\{x_i\}$  be a weakly convergent sequence of points on the unit sphere of  $X$  which contains no norm convergent subsequence, assuming that weak and norm sequential convergence are not the same in  $X$ , and proceed as above.

For case (c), assume that  $X$  is not reflexive and let  $\{x_i\}$  be a bounded sequence which contains no weakly convergent subsequences.

That the spaces are equal under the conditions given follows directly. This completes the proof of Theorem 1.

Now Theorem 2 has been reduced to the case  $X = Y$  and either  $C(S_1) = m$  or  $C(S_2) = c$ ; since  $S_1$  and  $S_2$  are separable Hausdorff spaces

which contain a countably infinite number of isolated points, the following lemma will suffice:

7. **LEMMA.** *Let  $S_0$  be a separable Hausdorff space which contains a countably infinite number of isolated points. Then Theorem 2 holds if  $X = Y$  and  $S = S_0$ .*

*Proof.* The proof is quite a bit like the proof of Lemma 6. Let  $I = \{s_1, s_2, \dots\}$  be the countably infinite set of isolated points of  $S = S_0$  and let  $U_a$  be a family of subsets of  $I$  as in Lemma 5. Let  $g_a$  be the characteristic function of the set  $U_a$ .

For case (a), assume that  $X$  is infinite dimensional and choose a sequence  $\{x'_i\}$  of elements in  $X'$  which converge to zero in the weak\* topology of  $X'$  and yet contain no norm convergent subsequence. Define  $f$  to be zero on  $S - I$  and  $f(s_i) = x'_i$ . Now, via the representation theorem,  $B(X, C(S))$  corresponds to the subspace  $B$  of  $m(S : X')$  which consists of those functions in  $m(S : X')$  which are continuous as maps from  $S$  to  $X'$  with the weak\* topology, and  $K(X, C(S))$  corresponds to the subspace of  $B$  which consists of those functions which have conditionally compact range. So the proof for case (a) will be completed by Lemma 4 if we can show that the function  $fg_a$  is continuous as a map from  $S$  to  $(X', X)$ . To see this, suppose that  $\{s(\alpha)\}$  is a net in  $S$  which converges to  $s$ . If  $s$  is isolated the net is eventually  $s$  and then  $\{f(s(\alpha))g_a(s(\alpha))\}$  is eventually  $f(s)g_a(s)$  and so  $fg_a$  is continuous at  $s$ , so we may suppose that  $s$  is not isolated. Since  $s$  is not isolated,  $f(s)g_a(s)$  is zero and so we must show that  $\{f(s(\alpha))g_a(s(\alpha))\}$  converges to zero; this net will converge to zero if for each natural number  $N$  there is an  $\alpha_0$  such that  $s(\alpha)$  is not in  $\{s_1, s_2, \dots, s_N\}$  for  $\alpha \geq \alpha_0$ , if there is no such  $\alpha_0$  for some  $N$  we find that  $s$  is isolated, a contradiction.

For case (b) we assume that weak and norm sequential convergence are not the same in  $X'$  and choose a sequence  $\{x'_i\}$  which converges weakly to zero but has no norm convergent subsequence. Since  $x'_i$  converges weakly to zero it converges to zero in the weak\* topology and so  $fg_a$ , as above, is continuous.

For case (c), let  $\{x'_i\}$  be a sequence which converges to zero in the weak\* topology but contains no weakly convergent subsequence. A bit of caution is necessary here. We are assuming that  $X = Y$  is separable and so the weak\* topology on the unit sphere in  $X'$  is metrizable [7, Theorem 1, page 426] and so if weak\* and weak sequential convergence are the same, then the sphere is weak sequentially compact and hence weakly sequentially compact, hence weakly compact and so  $X$  is reflexive. However, if  $X$  is not separable, we may have weak\* and weak sequential convergence the same in  $X'$  without  $X$  being

reflexive; for example,  $X = m$  [9, Theorem 9, page 168].

It follows from the representation theorem that the spaces are equal under the given conditions.

This completes the proof of Theorem 2.

There is no known example where  $K(X, Y)$  is complemented in  $B(X, Y)$  and yet is not equal to  $B(X, Y)$ , ditto for the subspace  $W(X, Y)$  and for  $K(X, Y)$  as a subspace of  $W(X, Y)$ .

A simple case which remains open is whether  $K(m, c)$  is complemented in  $B(m, c)$ . If  $m$  had a separable complemented subspace which was infinite dimensional, then Theorem 2 would solve this problem; but  $m$  does not [12, Theorem 6, page 221].

*Added in proof.* The argument following Lemma 3, which relies on references [1] and [4] to show that for certain  $S$  the space  $C(S)$  contains a complemented subspace isomorphic to either  $m$  or  $c$ , can be replaced by the elementary Corollary 6 of D. W. Dean's paper Subspaces of  $C(H)$  Which Are Direct Factors of  $C(H)$  (Proc. Amer. Math. Soc. 16 (1965), 237-242).

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