

# ESTIMATES FOR THE TRANSFINITE DIAMETER WITH APPLICATIONS TO CONFORMAL MAPPING

MELVYN KLEIN

Let  $f(z)$  be a member of the family  $S$  of functions regular and univalent in the open unit disk whose Taylor expansion is of the form:  $f(z) = z + a_2z^2 + \dots$ . Let  $D_w$  be the image of the unit disk under the mapping:  $w = f(z)$ . An inequality for the transfinite diameter of  $n$  compact sets in the plane  $\{T_i\}_1^n$  is established, generalizing a result of Renngli:

$$d(T_1 \cap T_2) \cdot d(T_1 \cup T_2) \leq d(T_1) \cdot d(T_2).$$

This inequality is applied to derive covering theorems for  $D_w$  relative to a class of curves issuing from  $w = 0$ , arcs on the circle:  $|w| = R$  as well as other point sets.

## I. Preliminary considerations.

DEFINITION (1.1). Let  $E$  be a compact set in the plane. Set:

$$V(z_1, \dots, z_n) = \prod_{k>l}^n (z_k - z_l) \quad n \geq 2, \quad z_i \in E,$$

$$V_n = V_n(E) = \max_{z_1, \dots, z_n \in E} |V(z_1, \dots, z_n)|$$

and

$$d_n = d_n(E) = V_n^{2/n(n-1)}.$$

The transfinite diameter of  $E$  is then defined by:  $d = d(E) = \lim_{n \rightarrow \infty} d_n$ .

A full discussion of the transfinite diameter and related constants can be found in [2, Chapter 7].

The following is a theorem of Hayman [3]:

THEOREM (1.2). Suppose  $f(z)$  is a function meromorphic in the unit disk with a simple pole of residue  $k$  at the origin, i.e., the expansion of  $f(z)$  about the origin is of the form:

$$f(z) = \frac{k}{z} + a_0 + a_1z + \dots.$$

Let  $D_w$  denote the image of  $|z| < 1$  under the mapping  $w = f(z)$  and let  $E_w$  denote the complement of  $D_w$  in the  $w$ -plane. Then:  $d(E_w) \leq k$  with equality if and only if  $f(z)$  is univalent.

Using Hayman's theorem is easy to prove the following:

**THEOREM (1.3).** *Let  $w(z) = kz + a_2z^2 + a_3z^3 + \dots$  be a function univalent in  $|z| < 1$  and  $D_w$  the image of  $|z| < 1$  under  $w(z)$ . Then the complement of the image of  $D_w$  under the mapping:  $\zeta = 1/w$ , which we denote by  $E_\zeta$ , has transfinite diameter:  $1/k$ . In particular, if  $w(z) = z + a_2z^2 + \dots$  then  $d(E_\zeta) = 1$ .*

We will need to know the transfinite diameter of several specific sets.

**LEMMA (1.4).** *Let  $E$  be the set union of:*

(i) *an arc of central angle  $\theta$ ,  $0 \leq \theta \leq 2\pi$  lying on  $|w| = 1$  with midpoint:  $w = 1$ .*

(ii) *a linear segment  $[a, b]$ ,  $0 \leq a \leq 1 \leq b$ . Then the transfinite diameter of  $E$  expressed as a function of  $a, b$  and  $\theta$  is given by*

$$d(E) = \frac{\cos^2 \frac{\theta}{4} \left[ (1+b) \left( 1+a^2 - 2a \cos \frac{\theta}{2} \right)^{1/2} + (1+a) \left( 1+b^2 - 2b \cos \frac{\theta}{2} \right)^{1/2} \right]}{2 \left[ (1+a) + \left( 1+a^2 - 2a \cos \frac{\theta}{2} \right)^{1/2} \right]} \times \left[ (1+b) - \left( 1+b^2 - 2b \cos \frac{\theta}{2} \right)^{1/2} \right]$$

where positive roots are taken throughout.

*Proof.* A univalent mapping,  $w = f(z)$ , of  $|z| < 1$  onto the complement of  $E$  with a simple pole at  $z = 0$  will be constructed. According to Theorem (1.2) the residue of the mapping function is the transfinite diameter of  $E$ . Define:

$$w_1(z) = (z + \alpha)/(1 + \alpha z)$$

where:

$$\alpha = \frac{d - c + \csc \frac{\theta}{4}}{c} - \left[ \left( \frac{d - c + \csc \frac{\theta}{4}}{c} \right)^2 - 1 \right]^{1/2},$$

$$d > 1, \quad 2c - d > 0.$$

Define:

$$w_2 = \frac{1}{2} \left( w_1 + \frac{1}{w_1} \right) \quad w_3 = c(w_2 + 1) - d$$

$$w_4 = (w_3^2 - 1)^{1/2} \quad w_5 = \frac{\cot \frac{\theta}{4} + w_4}{\cot \frac{\theta}{4} - w_4}.$$

The composition of these five mappings is given by:

$$w(z) = \frac{\cot \frac{\theta}{4} + \left\{ \frac{1}{2}c \left( \frac{z + \alpha}{1 + \alpha z} + \frac{1 + \alpha z}{z + \alpha} + 2 \right) - d \right\}^2 - 1}{\cot \frac{\theta}{4} - \left\{ \frac{1}{2}c \left( \frac{z + \alpha}{1 + \alpha z} + \frac{1 + \alpha z}{z + \alpha} + 2 \right) - d \right\}^2 - 1}^{1/2}.$$

$w(z)$  maps  $|z| < 1$  onto the exterior of  $E$  (upon proper choice of the parameters  $c$  and  $d$ , to be made presently); it has a simple pole at the origin of residue:

$$\frac{c}{\csc \frac{\theta}{4} + 2(d - c) \sec^2 \frac{\theta}{4} + \tan \frac{\theta}{4} \sec \frac{\theta}{4} (d^2 + 1 - 2cd)}.$$

This is the transfinite diameter of  $E$ . To express it in terms of  $a$ ,  $b$  and  $\theta$  we note that the point  $w = b$  is the image of  $w_2 = 1$ , and the point  $w = a$  is the image of  $w_2 = -1$ . Using this to solve for  $c$  and  $d$  we find:

$$d = \frac{\left[ a^2 + 1 - 2a \cos \frac{\theta}{2} \right]^{1/2}}{(a + 1) \sin \frac{\theta}{4}}$$

$$c = \frac{\left[ a^2 + 1 - 2a \cos \frac{\theta}{2} \right]^{1/2}}{2(a + 1) \sin \frac{\theta}{4}} + \frac{\left[ b^2 + 1 - 2b \cos \frac{\theta}{2} \right]^{1/2}}{2(b + 1) \sin \frac{\theta}{4}}.$$

Substituting these values in the above expression for the residue we arrive at the expression given in the statement of the lemma.

When  $a = b = 1$  the set  $E$  is simply an arc of central angle  $\theta$  on the unit circle. Using the lemma we find:  $d(1, 1, \theta) = \sin \theta/4$ .

**LEMMA (1.5).** *Let  $E$  be the set union of two linear segments issuing from the origin at an angle  $2\pi\alpha$ ,  $0 < \alpha \leq 1/2$ , each of length:  $4\alpha^\alpha(1 - \alpha)^{1-\alpha}$ . Then:  $d(E) = 1$ .*

*Proof.* The mapping of  $|z| < 1$  onto the exterior of  $E$  is given by the Schwarz-Christoffel formula:

$$w = c \cdot \int_0^z \frac{(z + 1)^{1-2\alpha}(z - 1)^{2\alpha-1}(z - 1 + 2\alpha - 2[\alpha^2 - \alpha]^{1/2}) \times (z - 1 + 2\alpha + 2[\alpha^2 - \alpha]^{1/2})}{z^2} dz$$

$$= c \cdot \frac{(z + 1)^{2-2\alpha}(z - 1)^{2\alpha}}{z}.$$

The residue of this function (the transfinite diameter of  $E$ ) is  $c$ . Noting that the map carries  $z = 1 - 2\alpha + 2(\alpha^2 - \alpha)^{1/2}$  onto  $w = 4\alpha^\alpha(1 - \alpha)^{1-\alpha}e^{i\pi\alpha}$  we find that  $d(E) = |c| = |e^{i\pi\alpha}/(-1)^\alpha| = 1$ .

Finally, we describe two types of symmetrization.

Steiner symmetrization of a plane set  $E$  with respect to a straight line  $l$  in the plane transforms  $E$  into a set  $E'$  characterized by the following:

- (i)  $E'$  is symmetric with respect to  $l$ .
- (ii) Any straight line orthogonal to  $l$  that intersects one of the sets  $E$  or  $E'$  also intersects the other. Both intersections have the same linear measure, and
- (iii) The intersection with  $E'$  consists of just one line segment, and may degenerate to a point.

Circular symmetrization of a plane set  $E$  with respect to the positive real axis transforms  $E$  into a set  $E'$  characterized by the following:

- (i)  $E'$  is symmetric with respect to the real axis.
- (ii) Any circle  $|z| = r$ ,  $0 \leq r < \infty$  that intersects one of the sets  $E$  or  $E'$  also intersects the other. Both intersections have the same linear measure, and
- (iii) The intersection with  $E'$  consists of just one arc with its midpoint on the positive real axis, and may degenerate to a point.

The following theorem describes the effect of these symmetrizations on the transfinite diameter [5; p. 6 and Note A]:

**THEOREM (1.6).** *Neither Steiner nor circular symmetrization increase the transfinite diameter.*

**II. Estimates for the transfinite diameter.** A recent result of Renngli [6] is the following:

**THEOREM (2.1).** *If  $T_1$  and  $T_2$  are compact sets in the plane, then*

$$d(T_1 \cup T_2) \cdot d(T_1 \cap T_2) \leq d(T_1) \cdot d(T_2).$$

We will now generalize this to obtain an inequality for  $n$  compact sets.

**THEOREM (2.2).** *If  $T_1, T_2, \dots, T_n$  are compact sets in the plane, let  $C_k$  be the set of all points contained in at least  $k$  of the  $T_j$ 's. Then:*

$$(1) \quad \prod_{k=1}^n d(C_k) \leq \prod_{k=1}^n d(T_k).$$

*Proof.* For  $n = 1$  this is a trivality. For  $n = 2$  it is identical with Renngli's result:

$$d(T_1 \cup T_2) \cdot d(T_1 \cap T_2) \leq d(T_1) \cdot d(T_2).$$

Suppose the theorem is already established for  $n - 1$  sets. Let  $B_k$  be the set of all points lying in at least  $k$  of the sets  $T_1, T_2, \dots, T_{n-1}$ . Obviously:  $B_{n-1} \subset B_{n-2} \subset \dots \subset B_1$ . Also:

$$(2) \quad C_n = B_{n-1} \cap T_n, \quad C_1 = B_1 \cup T_n,$$

$$(3) \quad C_k = B_k \cup \{B_{k-1} \cap T_n\} \quad (k = 2, 3, \dots, n-1).$$

If  $d(B_{n-1} \cap T_n) = d(C_n) = 0$ , (1) is certainly true.

If  $d(B_{n-1} \cap T_n) \neq 0$ , then, *a fortiori*,

$$d(B_k \cap T_n) \neq 0 \quad (k = 1, 2, \dots, n-1).$$

By (2), (3) and Renngli's inequality:

$$d(C_n) = d(B_{n-1} \cap T_n)$$

$$d(C_k) \cdot d(B_k \cap T_n) = d(C_k) \cdot d(B_k \cap B_{k-1} \cap T_n) \leq d(B_k) \cdot d(B_{k-1} \cap T_n) \\ (k = 2, \dots, n-1)$$

$$d(C_1) \cdot d(B_1 \cap T_n) \leq d(B_1) \cdot d(T_n).$$

Multiplying these inequalities and dividing both sides by  $\prod_{k=1}^n d(B_k \cap T_n)$  yields

$$\prod_{k=1}^n d(C_k) \leq \prod_{k=1}^{n-1} d(B_k) d(T_n)$$

and the theorem is proved, since by the induction hypothesis

$$\prod_{k=1}^{n-1} d(B_k) \leq \prod_{k=1}^{n-1} d(T_k).$$

DEFINITION (2.3). A point set  $T$  will be called a broken ray provided

(i) for every  $r \geq 0$  there is a point  $z \in T$  such that:  $|z| = r$ .

(ii) the set of numbers  $r \geq 0$  for which there is more than one point  $z \in T$  such that:  $|z| = r$  is a set of measure zero.

DEFINITION (2.4). Let  $T$  be a subset of a broken ray. The point sets:  $\eta_1 T, \eta_2 T, \dots, \eta_n T$  where  $\{\eta_k\}_1^n$  are the  $n$ -th roots of unity, will be called symmetric images of  $T$ . The point set:  $\{\mathbf{U}_{k=1}^n \eta_k \cdot T\}$  will be called the set of  $n$ -fold symmetry generated by  $T$  and will be denoted by  $T^{(n)}$ . Subsets of  $T^{(n)}$  will be denoted by  $\tilde{T}^{(n)}$ .

DEFINITION (2.5). Let  $T$  be a subset of a broken ray,  $T^{(n)}$  the set of  $n$ -fold symmetry generated by  $T$  and  $\tilde{T}^{(n)}$  a subset of  $T^{(n)}$ . We define the circular projection of  $\tilde{T}^{(n)}$  as a subset,  $\tilde{\tau}^{(n)}$ , of the set of  $n$ -fold symmetry,  $\tau^{(n)}$ , generated by the positive real axis,  $\tau$ . A point  $z = \eta_k \cdot r$  will belong to the projection  $\tilde{\tau}^{(n)}$  if and only if there is a point:  $\zeta \in \eta_k \cdot T \cap \tilde{T}^{(n)}$  such that  $|\zeta| = r$ .

DEFINITION (2.6). Let  $\tilde{\tau}^{(n)}$  be a set such as described in definition (2.5). We will use the symbol  $l_k$  to denote the measure of the set of real numbers  $r$ ,  $0 \leq r < \infty$  such that at least  $k$  of the symmetric images of  $r$  lie in  $\tilde{\tau}^{(n)}$ .

REMARK (2.7). Let  $L$  denote the linear measure of  $\tilde{\tau}^{(n)}$ ; that is, the sum of the linear measures of the  $n$  legs of  $\tilde{\tau}^{(n)}$ . Then

$$\sum_{k=1}^n l_k = L.$$

The reason is that if  $I$  is a set of real numbers which have symmetric images on exactly  $k$  legs of  $\tilde{\tau}^{(n)}$  the measure of  $I$  is included in:  $l_1, l_2, \dots, l_k$ ; that is, it is counted  $k$  times in:  $\sum_{k=1}^n l_k$ .

The following theorem of Fekete is essential to our work [2; page 259].

THEOREM (2.8). Let  $E$  be a compact set and  $p(z)$  a polynomial of degree  $n$ :

$$p(z) = z^n + c_1 z^{n-1} + \dots + c_n.$$

Let  $E_0$  be the set of all points  $z$  such that  $p(z)$  lies in  $E$ ; we will call  $E_0$  a root set of  $E$ . Then:  $d(E_0) = d(E)^{1/n}$ .

THEOREM (2.9). Suppose  $\tilde{T}^{(n)}$  is a subset of a set of  $n$ -fold symmetry with:  $d(\tilde{T}^{(n)}) = 1$ , and  $\tilde{\tau}^{(n)}$  its circular projection. If  $l_k$  ( $k = 1, 2, \dots, n$ ) represent the measures defined in (2.6), then:

$$\prod_{k=1}^n l_k \leq 4.$$

Equality occurs when  $\tilde{T}^{(n)}$  is itself a set of  $n$ -fold symmetry, consisting of a single component and identical with its circular projection:  $\tilde{T}^{(n)} = \tilde{\tau}^{(n)}$ .

*Proof.* Let  $T_k = \eta_k \cdot \tilde{T}^{(n)}$ , ( $k = 1, 2, \dots, n$ ). Clearly:

$$(4) \quad d(T_k) = d(\tilde{T}^{(n)}) = 1 \quad (k = 1, 2, \dots, n)$$

since the transfinite diameter is unaffected by rigid motions.

Let  $C_k$  be the set of all points contained in at least  $k$  of the  $T_j$ 's; that is, the set of all points  $z$  such that at least  $k$  of the symmetric images of  $z$  lie in  $\tilde{T}^{(n)}$ . Each of the sets  $C_k$  is a set of  $n$ -fold symmetry.

Let  $\gamma_k$  be the circular projection of  $C_k$ . In view of our description of the sets  $C_k$  it is not difficult to see that the measure of a leg of  $\gamma_k$  is  $l_k$ .

Let  $B_k$  be the set of which  $C_k$  is the root set with respect to the polynomial  $p(z) = z^n$ . Since  $C_k$  is a set of  $n$ -fold symmetry  $B_k$  is a subset of a single broken ray. Let  $\beta_k$  be the set of which  $\gamma_k$  is the root set with respect to the polynomial  $p(z) = z^n$ . As above,  $\beta_k$  will be a subset of a single broken ray; in this case the positive real axis.

Since  $\gamma_k$  is the circular projection of  $C_k$  it follows that  $\beta_k$  is the circular projection of  $B_k$ . When  $n = 1$  circular projection is the same transformation as circular symmetrization. Therefore:

$$\begin{aligned} d(C_k) &= d(B_k)^{1/n} && \text{by Theorem (2.8)} \\ &\geq d(\beta_k)^{1/n} && \text{by Theorem (1.6)} \\ &\geq \left[ \frac{(l_k)^n}{4} \right]^{1/n} = \frac{l_k}{\sqrt[n]{4}} \end{aligned}$$

since  $\beta_k$  has linear measure no less than:  $(l_k)^n$ . So finally we have:

$$\begin{aligned} 1 &= d(\tilde{T}^{(n)}) = \prod_{k=1}^n d(T_k) && \text{by (4)} \\ &\geq \prod_{k=1}^n d(C_k) && \text{by Theorem (2.2)} \\ &\geq \prod_{k=1}^n \frac{l_k}{\sqrt[n]{4}} = \frac{1}{4} \prod_{k=1}^n l_k && \text{by (5)}. \end{aligned}$$

This is the desired result:  $4 \geq \prod_{k=1}^n l_k$ .

This theorem contains as a special case a result of G. Szegő [7]; in our notation his result reads: Suppose that  $\tilde{T}^{(n)} = \tilde{c}^{(n)}$  (i.e., it consists of straight line segments) and that  $\tilde{T}^{(n)}$  is a connected set. Then  $\prod_{k=1}^n L_k \leq 4$  where  $L_k$  is the linear measure of the  $k$ -th leg of  $\tilde{T}^{(n)}$ , ( $k = 1, 2, \dots, n$ ).

*Proof.* In this case:  $L_k = l_k$ .

The next theorem establishes bounds on the content of a set lying on a circle as a function of the radius and the transfinite diameter of the set.

**THEOREM (2.10).** *Let  $A'_1, A'_2, \dots, A'_n, A'_k \supseteq A'_{k+1}$  be a nested sequence of arcs on the circle  $|z| = R$  where the central angle swept out by*

$A'_k$  is  $\theta_k$ ,  $0 < \theta_k \leq 2\pi/n$ . Let  $\eta_1, \eta_2, \dots, \eta_n$  denote the  $n$ -th roots of unity and let  $\alpha(i)$  be a mapping of the set of integers  $\{1, 2, \dots, n\}$  onto itself. Define:

$$A_k = \eta_{\alpha(k)} A'_k \quad (k = 1, 2, \dots, n)$$

and let:  $A = A_1 \cup A_2 \cup \dots \cup A_n$ . Then:

$$\prod_{k=1}^n \sin \frac{n\theta_k}{4} \leq \left[ \frac{d(A)}{R} \right]^{n^2}.$$

*Proof.*  $d(A) = d(\eta_k \cdot A)$  ( $k = 1, 2, \dots, n$ ). Therefore:

$$(6) \quad [d(A)]^n = \prod_{k=1}^n d(\eta_k \cdot A).$$

Let  $C_k$  be the set of all points contained in at least  $k$  of the sets:  $\eta_j \cdot A$ . It follows from our hypothesis that the sets  $A'_k$  are nested that:

$$C_k = \eta_1 \cdot A_k \cup \eta_2 \cdot A_k \cup \dots \cup \eta_n \cdot A_k$$

for each  $k$ ,  $1 \leq k \leq n$ . Thus  $C_k$  is the root set with respect to the polynomial  $w(z) = z^n$  of an arc on the circle  $|w| = R^n$  of central angle  $n \cdot \theta_k$ . The transfinite diameter of such an arc is, by virtue of the equality:  $d(c \cdot E) = |c| \cdot d(E)$  ( $c$  a constant) given by:  $R^n \cdot \sin(n \cdot \theta_k/4)$ . Therefore by Theorem (2.8):

$$(7) \quad d(C_k) = (R^n \cdot \sin(n\theta_k/4))^{1/n}.$$

Also, by virtue of Theorem (2.2) we have that:

$$(8) \quad \prod_{k=1}^n d(\eta_k \cdot A) \geq \prod_{k=1}^n d(C_k).$$

Combining inequalities (6), (7) and (8) we conclude:

$$[d(A)]^n \geq \prod_{k=1}^n [R^n \cdot \sin(n\theta_k/4)]^{1/n}$$

or

$$[d(A)/R]^{n^2} \geq \prod_{k=1}^n \sin(n\theta_k/4)$$

as claimed.

**III. Covering theorems.** The class of functions regular and univalent in  $|z| < 1$  whose expansion is of the form:  $f(z) = z + a_2 z^2 + \dots$  will be denoted by  $S$ . Let  $D_w$  be the image of the unit disk under the mapping  $w = f(z) \in S$ . A classical result of Koebe and Bieberbach states that  $D_w$  contains the disk  $|w| < 1/4$  irrespective of the mapping



function  $w = f(z)$  [2; page 41]. G. Szegö later noted that [8]: If  $\alpha, \beta$  are two values lying in the complement of  $D_w$  and if the segment connecting  $\alpha$  and  $\beta$  passes through the origin, then:  $|\alpha| + |\beta| \geq 1$ .

Generalizing these results, Michael Fekete made the following conjecture: Given  $n$  rays issuing from the origin  $w = 0$  at equal angles  $2\pi/n$ , let  $L$  denote the linear measure of the intersection of these rays with  $D_w$ . Then:  $L \geq n \cdot \sqrt[n]{1/4}$ . The theorems of Koebe-Bieberbach and Szegö are the cases  $n = 1$  and  $n = 2$ . For arbitrary  $n$  the inequality was proved in 1964 by Marcus [4].

Our first theorem in this section further generalizes these results by considering a more general class of curves issuing from the origin in place of the  $n$  rays of Fekete's conjecture. The results of the preceding section will be used to prove this as well as various other covering theorems for the class  $S$ .

**THEOREM (3.1).** *Let  $f(z) \in S$  and let  $D_w$  be the image of the disk  $|z| < 1$  under the mapping  $w = f(z)$ . Let  $S^{(n)}$  be a set of  $n$ -fold symmetry generated by an arbitrary broken ray;  $\tilde{S}^{(n)}$ , a subset of  $S^{(n)}$  defined by:  $\tilde{S}^{(n)} = D_w \cap S^{(n)}$  and  $\tilde{\sigma}^{(n)}$  the circular projection of  $\tilde{S}^{(n)}$ . Denote by  $L$  the linear measure of  $\tilde{\sigma}^{(n)}$ . Then  $L \geq n \cdot \sqrt[n]{1/4}$ .*

*Proof.* Let  $E_\zeta$  represent the image of the complement of  $D_w$  under the transformation:  $\zeta = 1/w$ . Then by Theorem (1.3) it follows that:  $d(E_\zeta) = 1$ . Let  $T^{(n)}$  denote the set of  $n$ -fold symmetry that is the image of  $S^{(n)}$  under the transformation  $\zeta = 1/w$  and let  $\tilde{T}^{(n)}$  denote the subset of  $T^{(n)}$  defined by:  $\tilde{T}^{(n)} = E_\zeta \cap T^{(n)}$ . Denote by  $\tilde{\tau}^{(n)}$  the circular projection of  $\tilde{T}^{(n)}$ . It is clear from the definition of the sets involved that  $\tilde{T}^{(n)}$  is the complement with respect to  $T^{(n)}$  of the image of  $\tilde{S}^{(n)}$  under the transformation  $\zeta = 1/w$  and consequently, that  $\tilde{\tau}^{(n)}$  is the complement with respect to  $\tau^{(n)} = \sigma^{(n)}$  of the image of  $\tilde{\sigma}^{(n)}$  under the transformation:  $\zeta = 1/w$ .

Let  $l_1, l_2, \dots, l_n$  be measures defined on  $\tilde{\tau}^{(n)}$  as in definition (2.6); let  $h_1, h_2, \dots, h_n$  be measures defined on  $\tilde{\sigma}^{(n)}$  in the same way. Since  $d(E_\zeta) = 1$  it follows by Theorem (2.9) that:  $\prod_{k=1}^n l_k \leq 4$ . The points that contribute to the measure  $l_{n-k+1}$  are points in the complement of the image of the set of points contributing to  $h_k$  under  $\zeta = 1/w$ . For fixed  $h_k$ , the measure  $l_{n-k+1}$  is minimized when the set whose measure is  $h_k$  is the segment  $[0, h_k]$  in which case:  $l_{n-k+1} = 1/h_k$ . Thus:

$$\prod_{k=1}^n l_k \geq \prod_{k=1}^n \frac{1}{h_k}$$

and so:

$$4 \geq \prod_{k=1}^n \frac{1}{h_k} \quad \text{or:} \quad \left( \prod_{k=1}^n h_k \right)^{1/n} \geq \sqrt[n]{1/4}.$$

Since the arithmetic mean exceeds the geometric mean:

$$\frac{1}{n} \sum_{k=1}^n h_k \geq \sqrt[n]{1/4}.$$

According to Remark (2.7):  $\sum_{k=1}^n h_k = L$ , the linear measure of  $\tilde{\sigma}^{(n)}$ . Thus:  $L \geq n \cdot \sqrt[n]{1/4}$  as claimed.

**THEOREM (3.2)** *Let  $w(z) \in S$  and  $D_w$  the image of  $|z| < 1$  under  $w(z)$ . Suppose  $D_w \cap \{|w| = R\}$  consists of  $n$  disjoint arcs  $\{B_k\}_1^n$  where*

(i) *The angle subtended by the arc separating  $B_k$  and  $B_{k+1}$  is no greater than:  $2\pi/n$ .*

(ii) *If  $\{A_k^*\}_1^n$  are the  $n$  arcs in the complement of  $\bigcup_{k=1}^n B_k$  with respect to the circle  $|w| = R$  the related set of arcs:  $\{\eta_k \cdot A_k^*\}_1^n$  are nested.*

*Let the endpoints of the arc  $B_k$  be given by:  $R \cdot e^{i\theta_{2k-1}}$  and  $R \cdot e^{i\theta_{2k}}$  ( $k = 1, 2, \dots, n$ ).*

*Then:*

$$\prod_{k=1}^n \sin [n(\theta_{2k+1} - \theta_{2k})/4] \leq R^{n^2}, \quad \theta_{2n+1} = \theta_1 + 2\pi.$$

*Proof.* Let  $A_k^*$  be the arc lying between  $B_k$  and  $B_{k+1}$ . The central angle subtended by  $A_k^*$  is:  $\theta_{2k+1} - \theta_{2k}$  which by hypothesis is no greater than  $2\pi/n$ . Let  $A_k$  be the image of  $A_k^*$  under the transformation  $\zeta = 1/w$ . The arcs  $A_k^*$  all lie in the complement of  $D_w$ . Hence:  $A = \bigcup_{k=1}^n A_k \subseteq E_\zeta$  and so  $d(A) \leq d(E_\zeta) = 1$ . The sets  $A_k$  lie on the circle:  $|\zeta| = 1/R$ . The central angle subtended by  $A_k$  is  $\theta_{2k+1} - \theta_{2k}$ ; the same as that subtended by  $A_k^*$ . Finally, the arcs  $A_k$  have the nested property hypothesized for the sets  $A_k^*$ . Since all this is so, Theorem (2.10) is applicable; therefore:

$$\prod_{k=1}^n \sin \frac{n(\theta_{2k+1} - \theta_{2k})}{4} \leq [d(A)/(1/R)]^{n^2} \leq R^{n^2}$$

as claimed.

This past theorem takes no account of the fact that the complement of  $D_w$  is a continuum containing the point at infinity. A sharpened version which takes this into account is the following:

$$d(0, 1, \theta_3 - \theta_2) \cdot \prod_{k=2}^n \sin \frac{n(\theta_{2k+1} - \theta_{2k})}{4} \leq R^{n^2}$$

where  $d(a, b, \theta)$  is as defined in §1. Actually, both Theorems (3.1) and (3.2) are generalized (in a sense, combined) in the following theorem, which takes the above fact into account. The techniques used to

prove the theorem are essentially the same as those of the foregoing proofs and so just a statement of the result will be given.

**THEOREM (3.3).** *Let  $f(z) \in S$  and  $D_w$  be the image of  $|z| < 1$  under  $w = f(z)$ . Let  $C$  be a circle of radius  $R$ ,  $0 < R < \infty$  and  $n$  an arbitrary natural number. Let  $\{B_n\}_1^n$  be a sequence of arcs on the circle  $C$  satisfying the conditions of Theorem (3.2),  $S^{(n)}$  a set of  $n$ -fold symmetry generated by a broken ray and  $\tilde{S}^{(n)}$  a subset of  $S^{(n)}$  defined by:  $\tilde{S}^{(n)} = S^{(n)} \cap D_w \cap \{|w| \leq R\}$ . Let  $\tilde{\sigma}^{(n)}$  denote the circular projection of  $\tilde{S}^{(n)}$  and  $\{h_k\}_1^n$  a sequence of measures on  $\tilde{\sigma}^{(n)}$  such as defined in definition (2.6).*

*Then:*

$$d\left(0, \left[\frac{R}{h_n}\right]^n, n[\theta_3 - \theta_2]\right) \cdot \prod_{k=2}^n d\left(1, \left[\frac{R}{h_{n-k+1}}\right]^n, n[\theta_{2k+1} - \theta_{2k}]\right) \leq R^{n^2}.$$

One final application will be given.

**THEOREM (3.4).** *Let  $f(z) \in S$  and  $D_w$  the image of the disk  $|z| < 1$  under  $w = f(z)$ . Let  $L_1, L_2$  denote straight lines intersecting at  $w = 0$  at an angle of  $\pi\alpha$ ,  $0 < \alpha < 1$ . Let  $L = L(D_w \cap \{L_1 \cap L_2\})$  denote the linear measure of  $D_w \cap \{L_1 \cup L_2\}$ . Then:*

$$L \geq \frac{2}{\alpha^{\alpha/2}(1 - \alpha)^{(1-\alpha)/2}}.$$

*Proof.* There is no loss in generality in assuming  $L_1$  and  $L_2$  are symmetric images of one-another with respect to the real axis.

A set of four points on the four legs determined by  $L_1 \cup L_2$ , each lying at a distance  $r_0$  from the origin, will be called a "radially symmetric set"; the points themselves will be called radially symmetric images of one-another and of the point  $w = r_0$ .

We define  $h_k$  ( $k = 1, 2, 3, 4$ ) as the measure of the set of real numbers  $r$ ,  $0 \leq r < \infty$  such that at least  $k$  of the radially symmetric images of  $r$  (in  $L_1 \cup L_2$ ) lie in  $D_w$ . Then:

$$(9) \quad L(D_w \cap \{L_1 \cup L_2\}) = \sum_{k=1}^4 h_k.$$

Map by  $\zeta = 1/w$  and let  $E_\zeta$  represent the complement of the image of  $D_w$  under this map. Then  $d(E_\zeta) = 1$ . Notice that  $L_1 \cup L_2$  is mapped onto itself. Let  $l_k$  be the measure of the set of real numbers  $r$  such that at least  $k$  of the radially symmetric images of  $r$  (in  $L_1 \cup L_2$ ) lie in  $E_\zeta$ . Then:

$$(10) \quad \prod_{k=1}^4 l_k \geq \prod_{k=1}^4 \frac{1}{h_k}.$$

Let  $T_1 = E_\zeta \cap \{L_1 \cup L_2\}$ ; let  $T_2$  be the reflection of  $T_1$  in the imaginary axis; let  $T_3$  be the reflection of  $T_2$  in the real axis; let  $T_4$  be the reflection of  $T_3$  in the imaginary axis. Clearly:

$$(11) \quad d(T_1) = d(T_2) = d(T_3) = d(T_4).$$

Let  $C_k$  be the set of all points contained in at least  $k$  of the  $T_j$ 's. The set  $C_k$  is a radially symmetric set; that is, it consists of all radially symmetric images of those points  $\zeta$  such that at least  $k$  of radially symmetric images of  $\zeta$  lie in  $T_1$ . Thus the measure of a leg of  $C_k$  is  $l_k$ . Let  $B_k$  be the set consisting of four segments lying on the four rays determined by  $L_1 \cup L_2$ , each of length  $l_k$ , the intersection of the four being the point  $\zeta = 0$ . Since the shift of segments that transforms  $C_k$  into  $B_k$  can only bring extremal points closer together, it follows that:  $d(C_k) \geq d(B_k)$ . Using the mapping lemma (1.5) and Fekete's theorem (2.8) the transfinite diameter of  $B_k$  can be calculated:

$$d(B_k) = \frac{l_k}{2\alpha^{\alpha/2}(1-\alpha)^{(1-\alpha)/2}}.$$

We have

$$\begin{aligned} 1 &= d(E_\zeta) \geq d(T_1) && \text{since: } T_1 \subseteq E_\zeta \\ &= \left[ \prod_{k=1}^4 d(T_k) \right]^{1/4} \geq \left[ \prod_{k=1}^4 d(C_k) \right]^{1/4} && \text{by Theorem (2.2)} \\ &\geq \left[ \prod_{k=1}^4 d(B_k) \right]^{1/4} = \left[ \prod_{k=1}^4 \frac{l_k}{2\alpha^{\alpha/2}(1-\alpha)^{(1-\alpha)/2}} \right]^{1/4} \\ &\geq \frac{1}{2\alpha^{\alpha/2}(1-\alpha)^{(1-\alpha)/2}} \left[ \prod_{k=1}^4 \frac{1}{h_k} \right]^{1/4} \\ &\geq \frac{1}{2\alpha^{\alpha/2}(1-\alpha)^{(1-\alpha)/2}} \cdot \frac{4}{\sum_{k=1}^4 h_k} \end{aligned}$$

since the arithmetic mean exceeds the geometric mean;

$$= [2/(\alpha^{\alpha/2}(1-\alpha)^{(1-\alpha)/2})] \cdot (1/L).$$

This sequence of inequalities means:

$$L \geq [2/(\alpha^{\alpha/2}(1-\alpha)^{(1-\alpha)/2})].$$

REMARK. When  $\alpha = 1/2$  that is, when  $L_1 \cup L_2$  is a set of 4-fold symmetry, the result of the theorem reads:  $L \geq 2/(1/4)^{1/4} = 4(1/4)^{1/4}$  in agreement with Theorem (3.1).

I am grateful to the referee for supplying an abbreviated proof for Theorem (2.2).

## REFERENCES

1. M. Fekete, *Über der Verteilung der Wurzeln bei gewissen algebraischen Gleichungen mit ganzzahligen Koeffizienten*, Math. Z. **17** (1923), 228-249.
2. G. M. Golusin, *Geometrische Funktionentheorie*, Veb. Deutscher Verlag der Wissenschaften, Berlin, (1957).
3. W. K. Hayman, *Some applications of the transfinite diameter to the theory of functions*, J. Analyse Math. **1** (1951), 155-159.
4. M. Marcus, *Transformations of domains in the plane and applications in the theory of functions*, Pacific J. Math. **14** (1964), 613-626.
5. G. Polya, and G. Szegö, *Isoperimetric Inequalities in Mathematical Physics*, Princeton, 1951.
6. H. Renngli, *An inequality for logarithmic capacities*, Pacific J. Math. **11** (1961), 313-314.
7. G. Szegö, *On a certain kind of symmetrization and its applications*, Ann. Math. Pura Appl. (4) **40** (1955), 113-119.
8. ———, *Jber Deutsch. Math.-Verein.* **32** (1923), 45.

Received August 22, 1966. This research was supported by the National Science Foundation under research grant NSF-G24469 with the University of Maryland. The paper is a part of the author's dissertation, written under the direction of Professor Mishael Zedek.

NEW YORK UNIVERSITY  
UNIVERSITY HEIGHTS

