

THE FIXED-POINT PARTITION LATTICES

PHIL HANLON

Let σ be a permutation of the set $\{1, 2, \dots, n\}$ and let $\Pi(N)$ denote the lattice of partitions of $\{1, 2, \dots, n\}$. There is an obvious induced action of σ on $\Pi(N)$; let $\Pi(N)_\sigma = L$ denote the lattice of partitions fixed by σ .

The structure of L is analyzed with particular attention paid to \mathcal{M} , the meet sublattice of L consisting of 1 together with all elements of L which are meets of coatoms of L . It is shown that \mathcal{M} is supersolvable, and that there exists a pregeometry on the set of atoms of \mathcal{M} whose lattice of flats G is a meet sublattice of \mathcal{M} . It is shown that G is supersolvable and results of Stanley are used to show that the Birkhoff polynomials $B_\sigma(\lambda)$ and $B_G(\lambda)$ are

$$B_G(\lambda) = (\lambda - 1)(\lambda - j) \cdots (\lambda - (m - 1)j)$$

and

$$B_\sigma(\lambda) = (\lambda - 1)^{r-1} B_G(\lambda).$$

Here m is the number of cycles of σ , j is square-free part of the greatest common divisor of the lengths of σ and r is the number of prime divisors of j . \mathcal{M} coincides with G exactly when j is prime.

1. Preliminaries. Let (P, \leq) be a finite partially ordered set. An *automorphism* σ of (P, \leq) is a permutation of P satisfying $x \leq y$ iff $x\sigma \leq y\sigma$ for all $x, y \in P$. The group of all automorphisms of P is denoted $\Gamma(P)$. For $\sigma \in \Gamma(P)$, let $P_\sigma = \{x \in P: x\sigma = x\}$. The set P_σ together with the ordering inherited from P is called the *fixed point partial ordering* of σ . If P is lattice then P_σ is a sublattice of P . To see this, let $x, y \in P_\sigma$. Then $(x \vee y)\sigma \geq x\sigma = x$ and $(x \vee y)\sigma \geq y\sigma = y$, so $(x \vee y)\sigma \geq x \vee y$. If $(x \vee y)\sigma > x \vee y$, then $(x \vee y) < (x \vee y)\sigma < (x \vee y)\sigma^2 < \cdots$ forms an infinite ascending chain in P which is impossible since P is finite. So $(x \vee y)\sigma = x \vee y$ hence the set P_σ is closed under joins in P . Similarly P_σ is closed under meets.

A *partition* ρ of a finite set $\Omega = \{\omega_1, \dots, \omega_n\}$ is a collection $\rho = B_1/B_2/\cdots/B_k$ of disjoint, nonempty subsets of Ω whose union is all of Ω . The set of all partitions of Ω is denoted $\Pi(\Omega)$; if $\Omega = \{1, 2, \dots, n\}$ this is written $\Pi(N)$. $\Pi(\Omega)$ ordered by refinement is a lattice.

Let S_n denote the symmetric group on the numbers $\{1, 2, \dots, n\}$. Define an action of S_n on $\Pi(N)$ as follows; for $\sigma \in S_n$ and $B_1/\cdots/B_k \in \Pi(N)$

$$(B_1/\cdots/B_k)\sigma = B_1\sigma/B_2\sigma/\cdots/B_k\sigma$$

where $B_i\sigma = \{b\sigma : b \in B_i\}$. It is easily checked that this permutation representation is faithful and that each $\sigma \in S_n$ acts as an automorphism of $\Pi(N)$.

Recall that a lattice L is *upper semimodular* provided that all pairs of elements $x, y \in L$ satisfy the condition (*):

- (*) If x and y both cover $x \wedge y$ then $x \vee y$ covers both x and y .

A lattice G is *geometric* if it is upper semimodular and if each element of G is a join of atoms. Its easy to check that every finite partition lattice is geometric.

Let L be a finite lattice and Δ a maximal chain in L from 0 to 1. If, for every chain K of L the sublattice of L generated by K and Δ is distributive, then we call Δ an *M-chain* of L and we call (L, Δ) a *supersolvable lattice* (SS-lattice).

Let L be a finite lattice with rank function r and let $m = r(1)$. The *Birkhoff polynomial* of L , denoted $B_L(\lambda)$ is defined by

$$B_L(\lambda) = \sum_{x \in L} \mu(0, x) \lambda^{m-r(x)} .$$

Here μ is the usual Möbius function of L .

It is assumed in §§ 3 and 5 that the reader is familiar with the structure theory for supersolvable lattices given by Stanley and particularly with his elegant results concerning Birkhoff polynomials of supersolvable geometric lattices (see Stanley [4]). For more about lattice theory see Dilworth and Crawley, [2].

If K is a lattice and S a subset of K we say S is a *meet-sublattice* of K if S together with the inherited ordering is a lattice in which the meet agrees with the meet in K .

2. The structure of $(\Pi(N))_\sigma$. Throughout this section we assume that n is a fixed positive integer and that σ is a permutation of $\{1, 2, \dots, n\}$. We write

$$\sigma = (c_{1,1}, \dots, c_{1,l_1}) \cdots (c_{m,1}, \dots, c_{m,l_m})$$

according to its disjoint cycle decomposition as a permutation of $\{1, 2, \dots, n\}$. We refer to $(c_{i,1}, \dots, c_{i,l_i})$ as the *i th cycle* of σ and denote it by C_i . Note that l_i is the length of C_i and so $l_1 + \dots + l_m = n$.

Let L denote the fixed point partition lattice $(\Pi(N))_\sigma$. Observe that if $\beta = B_1 / \cdots / B_k \in L$ then $B_1 / \cdots / B_k = B_1\sigma / \cdots / B_k\sigma$ and so σ permutes the blocks of β . We let $Z(\sigma; \beta)$ denote the cycle indicator of this induced action of σ on the set of blocks of β . The following observation is presented without proof.

LEMMA 1. Suppose $\beta = B_1 | \cdots | B_k \in L$ and $m_{s,u} \in B_{i_0}$. Then there exists an integer d which divides l_s and there exist distinct blocks $B_{i_0}, B_{i_1}, \dots, B_{i_{d-1}}$ such that the elements of the cycle C_s are evenly divided amongst the d blocks $B_{i_0}, \dots, B_{i_{d-1}}$ according to the rule

$$m_{s,t} \in B_{i_r} \text{ iff } u - t \equiv r \pmod{(l_s/d)}.$$

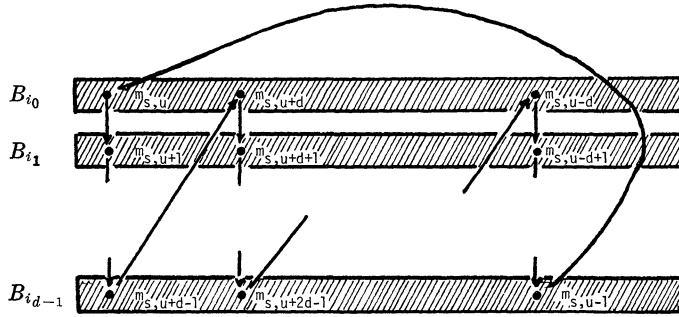


FIGURE 1

In a similar way, β induces a partition of the set of cycles $\{C_1, \dots, C_m\}$ which is defined in terms of the equivalence relation \sim by $C_i \sim C_j$ iff there exists $c \in C_i, d \in C_j$ and a block of β containing both c and d . This relation is transitive since each cycle is divided amongst a cyclically permuted set of blocks. We denote the resulting partition of $\{C_1, \dots, C_m\}$ by $\rho(\sigma; \beta)$.

EXAMPLE 1. Let $n = 4$ and $\sigma = (1, 2)(3, 4)$. The partition $\beta = 1/2/3/4$ is in L ; the cycle indicator $Z(\sigma; \beta) = x_1 x_2$ and the partition $\rho(\sigma; \beta)$ puts each cycle in a block by itself.

If instead we let $\beta = 13/24$ we have $Z(\sigma; \beta) = x_2$ whereas the partition $\rho(\sigma; \beta)$ has just one block containing the two cycles. The lattice L appears in the figure below.

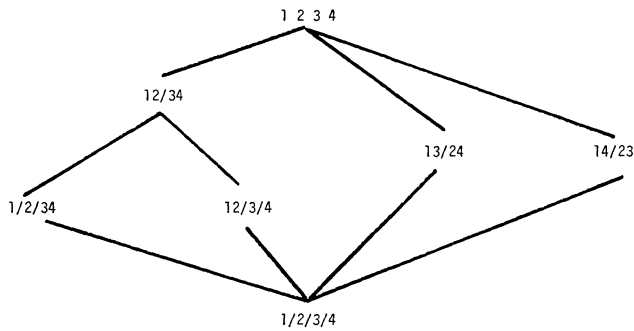


FIGURE 2

Note that L is not Jordan; in general the fixed point lattices $(\Pi(N))_o$ are not themselves highly structured. However the meet sublattice \mathcal{M} of L consisting of 1 together with all meets of coatoms in L is highly structured, in the above case isomorphic to the lattice of partitions of a 3 element set. We begin by investigating the coatoms of L .

LEMMA 2. *There are two kinds of coatoms γ in L :*

(a) γ has 2 blocks, $\gamma = B_1/B_2$. Each block is setwise invariant under σ hence each block is a union of cycles. $Z(\sigma, \gamma) = x_1^2$ and $\rho(\sigma, \gamma)$ is a coatom in the lattice of partitions of $\{C_1, \dots, C_m\}$.

(b) γ has p blocks, $\gamma = B_1/\dots/B_p$, where p is a prime. The blocks B_p are cyclically permuted by σ and every cycle C_i is divided evenly amongst the blocks B_1, \dots, B_p . The integer p divides $\gcd(l_1, \dots, l_m)$, $Z(\sigma, \gamma) = x_p$ and $\rho(\sigma, \gamma)$ is the 1 in the lattice of partitions of $\{C_1, \dots, C_m\}$.

Proof. Clearly each of the 2 sorts of partitions above is fixed by σ and each is a coatom in L .

Let γ be a coatom of L where $\gamma = B_1/\dots/B_k$ ($k \geq 2$). Suppose the blocks of γ can be split into two disjoint σ -invariant sets

$$S = \{B_{i_1}, \dots, B_{i_u}\}$$

$$T = \{B_{j_1}, \dots, B_{j_v}\}.$$

Consider the partition $\gamma' = (\bigcup_{B_i \in S} B_i) / (\bigcup_{B_j \in T} B_j)$. Clearly $\gamma' \in L$ and $\gamma \leq \gamma' < 1$. As γ is a coatom of L , $\gamma' = \gamma$ and so $u = v = 1$. Thus γ is of type (a).

Otherwise, σ acts transitively on the set of blocks $\{B_1, \dots, B_k\}$. Assume the B_i 's are numbered so that $B_i\sigma = B_{i+1}$ for $i < k$ and $B_k\sigma = B_1$. Suppose k factors as $k = rs$ where $r > 1$ and $s \geq 1$. Consider the partition

$$\gamma' = \left(\bigcup_{i=0}^{s-1} B_{1+ri} \right) / \left(\bigcup_{i=0}^{s-1} B_{2+ri} \right) / \dots / \left(\bigcup_{i=0}^{s-1} B_{r+ri} \right).$$

Clearly $\gamma' \in L$ and $\gamma \leq \gamma' < 1$, so $\gamma = \gamma'$. Thus $s = 1$ and γ is of type (b). □

There are $2^{m-1} - 1$ coatoms of the kind outlined in (a); these will be called coatoms of *type a*. For each prime p dividing $\gcd(l_1, \dots, l_m)$ there are p^{m-1} coatoms of the kind outlined in (b); these will be called coatoms of *type b*.

Note that the coatoms of type a generate a sublattice of \mathcal{M} isomorphic to the lattice of partitions of $\{C_1, \dots, C_m\}$. In the case

that $\gcd(l_1, \dots, l_m) = 1$ there are no coatoms in L of type b and so this sublattice is all of \mathcal{M} .

A partition β in L with $Z(\sigma, \beta) = x_j^i$ will be called *periodic* with period j . The preceding lemma states that every coatom of L is periodic with period 1 or with prime period. The next lemma will imply that every partition in \mathcal{M} is periodic.

LEMMA 3. *Let $\beta_1, \beta_2 \in L$ and suppose β_1 is periodic with period j_1 and β_2 is periodic with period j_2 . Then $\beta_1 \wedge \beta_2$ is periodic with period $j = \text{lcm}(j_1, j_2)$.*

Proof. Choose a block B of $\beta_1 \wedge \beta_2$ and let $c_{s,u} \in B$. Applying Lemma 1 and the fact that β_1 has period j_1 we see that $c_{s,t}$ is in the same block of β_1 as $c_{s,u}$ iff $t \equiv u \pmod{l_s/j_1}$. Similarly, $c_{s,t}$ is the same block of β_2 as $c_{s,u}$ iff $t \equiv u \pmod{l_s/j_2}$. Hence $c_{s,t}$ is in the same block of $\beta_1 \wedge \beta_2$ iff $t \equiv u \pmod{l_s/j_1}$ and $t \equiv u \pmod{l_s/j_2}$ iff $t \equiv u \pmod{l_s/j}$ where $j = \text{lcm}(j_1, j_2)$. Applying Lemma 1 again we have that the block B falls in a j -cycle under the action of σ . As B was chosen arbitrarily we see that every block of β falls in a j -cycle under the action of σ and so $Z(\sigma, \beta) = x_j^i$. □

Write $\gcd(l_1, \dots, l_m) = p_1^{a_1} \dots p_r^{a_r}$ and let $j = p_1 \dots p_r$. Lemma 3 tells us that every partition in \mathcal{M} has period i where i/j . Let $\hat{\sigma}$ be the permutation of $\{1, 2, \dots, mj\}$ which consists of m cycles of length j ,

$$\hat{\sigma} = (1, 2, \dots, j)(j + 1, \dots, 2j) \dots ((m - 1)j + 1, \dots, mj) .$$

Let \hat{L} be the fixed point partition lattice of $\hat{\sigma}$ and let $\hat{\mathcal{M}}$ be the meet sublattice of \hat{L} consisting of 1 together with all meets of coatoms of \hat{L} . Let L and \mathcal{M} be as above.

LEMMA 4. *The lattices \mathcal{M} and $\hat{\mathcal{M}}$ are isomorphic.*

Proof. This follows from the classification of coatoms given in Lemma 2. Returning to σ note that $c_{1,1}, c_{1,j+1}, c_{1,2j+1}, \dots$ are in the same block of every coatom in L , and hence they are in the same block of every partition in \mathcal{M} . The same is true of $c_{i,k}, c_{i,k+j}, c_{i,k+2j}, \dots$ as i ranges from 1 to m and k ranges from 1 to j . So there is a natural 1-1 correspondence φ between the coatoms of $\hat{\mathcal{M}}$ and the coatoms of \mathcal{M} given as follows; let γ be a coatom of $\hat{\mathcal{M}}$ and let $c_{i,k}, c_{r,s} \in \{1, 2, \dots, n\}$. Write $k = jk' + u$ and $s = js' + v$ where $1 \leq u \leq j$ and $1 \leq v \leq j$. Then $c_{i,k}$ and $c_{r,s}$ are in the same block of $\varphi(\gamma)$ iff $(i - 1)j + u$ and $(r - 1)j + v$ are in the same block of γ .

This is easily seen to be a 1-1 onto mapping between coatoms which extends to a lattice isomorphism between $\hat{\mathcal{M}}$ and \mathcal{M} . \square

In the next section we will study the structure of the lattice \mathcal{M} and in §4 its associated geometry. By Lemma 4 we may reduce to the case of σ having m cycles of length j , where j is a product of distinct primes.

5. **The supersolvability of \mathcal{M} .** In this section we study the structure of \mathcal{M} . Without loss of generality, we assume that $n = mj$ where j is the product of r distinct primes $j = p_1 \cdots p_r$. We assume that σ is the permutation

$$\sigma = (1, 2, \dots, j)(j+1, \dots, 2j) \cdots ((m-1)j+1, \dots, mj)$$

and as before we call $((i-1)j+1, \dots, ij)$ the i th cycle of σ and denote it C_i . Since σ is fixed we abbreviate $Z(\sigma; \beta)$ and $\rho(\sigma; \beta)$ by $Z(\beta)$ and $\rho(\beta)$. Let $L = (\Pi(N))_\sigma$ be the fixed point partial ordering of σ and let \mathcal{M} be the meet sublattice of L consisting of 1 together with all meets of coatoms.

Let h be the partition in L which puts each cycle in a block by itself:

$$h = \{1, 2, \dots, j\} / \{j+1, \dots, 2j\} / \cdots / \{(m-1)j+1, \dots, mj\}.$$

Note that h is the meet of all type a coatoms in L and so $h \in \mathcal{M}$. We call h the *hinge* of \mathcal{M} .

LEMMA 5. *In \mathcal{M} we have*

$$\begin{aligned} [h, 1] &\cong \Pi(M) \\ [0, h] &\cong D_j \cong B_r \end{aligned}$$

where D_j denotes the lattice of divisors of j and B_r denotes the lattice of subsets of $\{1, 2, \dots, r\}$.

Proof. First consider the interval $[h, 1]$. In $\Pi(N)$, this interval is isomorphic to $\Pi(\{1, 2, \dots, m\})$ and every element of this interval is a meet of coatoms in the interval. Also each partition above h is fixed by σ and so $[h, 1] \subseteq L$. It follows that $[h, 1] \subseteq \mathcal{M}$ which proves the first assertion.

For the second assertion, recall that each partition in \mathcal{M} is periodic with period d dividing j . For $d|j$, there is a unique partition $\tau(d)$ below h of period d consisting of dm blocks. This partition is arrived at by dividing each cycle C_i of σ into d blocks according to:

$(i - 1)j + s$ and $(i - 1)j + t$ are in the same block
 iff $s \equiv t \pmod d$.

If $d = p_{i_1} p_{i_2} \cdots p_{i_u}$ then $\tau(d)$ can be realized as a meet of coatoms in L by taking the meet of all coatoms of type a and one coatom of period p_{i_l} for $1 \leq l \leq u$. It follows that $[0, h] \cong D_j$. □

Recall that in a lattice K , a *complement* of an element k is an element k' with $k \vee k' = 1$ and $k \wedge k' = 0$.

LEMMA 6. *In the lattice \mathcal{M} , h has j^{m-1} complements, and each complement c has the following properties:*

- (a) $\rho(c) = 1$
- (b) $Z(c) = x_j^m$
- (c) $[c, 1] \cong D_j$
- (d) $[0, c] \cong \Pi(\{1, 2, \dots, m\})$.

Proof. Let F be the set of functions mapping $\{1, 2, \dots, m - 1\}$ into the set $\{1, 2, \dots, j\}$, and let $f \in F$. Define a partition $c(f)$ of the set $\{1, 2, \dots, mj\}$ as follows:

(1) The element $(m - 1)j + 1$ (i.e., the first element in C_m) will be in a block with exactly one element from every other cycle, these $m - 1$ elements being $(s - 1)j + f(s)$ $s = 1, 2, \dots, m - 1$.

(2) Rotate this block cyclically under the action of σ ; the element $(m - 1)j + i$ $1 \leq i \leq j$ will be in a block with exactly one element from every other cycle, these $m - 1$ elements being $(s - 1)j + (i + f(s))$ where $1 \leq s \leq m - 1$ and where $f(s) + i$ is taken mod j .

It is clear that $c(f)$ uniquely determines f and so there are j^{m-1} such partitions $c(f)$. Note that each has $\rho(c(f)) = 1$ and $Z(c(f)) = x_j^m$.

Consider the join $h \vee c(f)$ in $\Pi(N)$. In h , every pair of elements in a common cycle are in the same block. In $c(f)$, every two cycles have elements in the same block. So $h \vee c(f) = 1$.

Next consider the meet $h \wedge c(f)$ in $\Pi(N)$. In $c(f)$, no two elements in the same cycle are in the same block whereas in h , no two elements in distinct cycles are in the same block. It follows that $h \wedge c(f) = 0$.

So $c(f)$ is a complement to h in $\Pi(N)$ hence $c(f)$ will be a complement to h in L . Hence $c(f)$ will be a complement to h in \mathcal{M} provided $c(f)$ is in \mathcal{M} . We examine the coatoms in L which sit above $c(f)$; clearly all are of type b. Let p be a prime dividing j . Recall that if γ is a type b coatom of period p then the element $(m - 1)j + 1$ is in a block with exactly (j/p) elements from each block C_i , and specifying any of these elements in C_i specifies them

all. It follows that there is a unique coatom of period p above $c(f)$ for each prime p dividing j . The meet of these r coatoms has period j (by Lemma 3) and has the property that $(m - 1)j + 1$ is in a block with at least one other element from each cycle. Clearly this meet is $c(f)$, and so $c(f) \in \mathcal{M}$. Let the r coatoms above $c(f)$ be labelled $\gamma_1, \dots, \gamma_r$ so that γ_i is the coatom of period p_i . Define a mapping $\varphi: B_r \rightarrow [c(f), 1]$ by $\varphi(\emptyset) = 1$, $\varphi(S) = \bigwedge_{i \in S} \gamma_i$ for $S \neq \emptyset$ (here $[c(f), 1]$ denotes the interval in \mathcal{M}). Obviously $\varphi(S) \leq \varphi(T)$ iff $T \subseteq S$, and it is easy to check that φ is onto. φ is one-to-one by Lemma 3 and the fact that the p_i 's are distinct primes. It follows that $[c(f), 1] \cong B_r \cong D_j$. It is equally simple to show that $[0, c(f)] \cong \Pi(\{1, 2, \dots, m\})$. To obtain the isomorphism ψ , recall that $[h, 1] \cong \Pi(\{1, 2, \dots, m\})$. Define $\psi: [h, 1] \rightarrow [0, c(f)]$ by $\psi(x) = c(f) \wedge x$. We've thus shown that $c(f)$ is a complement of h in M having the required properties for each $f \in F$.

It remains to show that every complement of h in \mathcal{M} is of the form $c(f)$ for $f \in F$. Let c be any complement of h in \mathcal{M} . As $h \wedge c = 0$, no two elements in a common cycle are in the same block of c . As $h \vee c = 1$, every cycle must have an element in a block of c with some element of C_m . By the invariance of c under σ , we may assume that the block of c containing $(m - 1)j + 1$ contains exactly one element from every other cycle. It is now clear how to define $f \in F$ with $c(f) = c$. □

EXAMPLE 2. Let $m = 3$ and $j = 2$. So our permutation $\sigma = (1, 2)(3, 4)(5, 6)$. The lattice \mathcal{M} appears below; note that \mathcal{M} is geo-

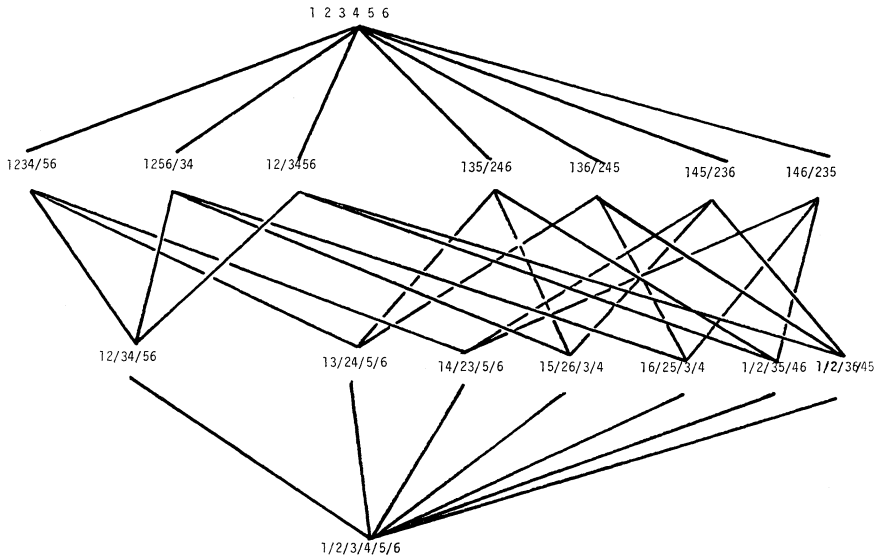


FIGURE 3

metric. We will see later that \mathcal{M} is geometric iff j is a prime. Here the hinge h is the partition 12/34/56. The coatoms of type a are the three to the left, those of type b are the four to the right. j^{m-1} is four; the four complements of h are the four coatoms of type b.

In this section we prove that \mathcal{M} is supersolvable. This will require careful analysis of certain elements of \mathcal{M} . Recall that if $x \in \mathcal{M}$ then x is periodic of some period d which divides j . We let $\Pi(x)$ denote this number d . In the following sequence of lemmas, we explore the functions Π and ρ and show that a certain maximal chain from 0 to 1 in \mathcal{M} consists of modular elements.

For $x, y \in \mathcal{M}$ we let $x \vee y$ denote the join of x and y in \mathcal{M} and we let $x \mathbf{V}_L y$ denote the join of x and y in L . As \mathcal{M} is a meet sublattice of L we have $x \mathbf{V}_L y \leq x \vee y$; in general equality does not hold. For example, let $j = 2$ and $m = 3$ so $\sigma = (1, 2)(3, 4)(5, 6)$. Let $x = 13/24/5/6$ and let $y = 14/23/5/6$. Then $x \mathbf{V}_L y = 1234/5/6$ but $x \vee y$ must have period 1 since both C_1 and C_2 are in the same block of $x \mathbf{V}_L y$. Hence $x \vee y = 1234/56$ (see Figure 3).

The function ρ , introduced in § 2, is defined for all $x \in L$. It is easy to check that ρ respects the join in L , that is $\rho(x) \vee \rho(y) = \rho(x \mathbf{V}_L y)$. In fact ρ also respects the join in \mathcal{M} .

LEMMA 7. *Let $x, y \in \mathcal{M}$. Then $\rho(x \vee y) = \rho(x) \vee \rho(y)$.*

Proof. Note that if $\omega, z \in \mathcal{M}$ and $\omega \leq z$ then $\rho(\omega) \leq \rho(z)$. So $\rho(x) \vee \rho(y) = \rho(x \mathbf{V}_L y) \leq \rho(x \vee y)$.

Let z be the unique partition in \mathcal{M} with $\rho(z) = \rho(x) \vee \rho(y)$ and $\Pi(z) = 1$. Then $z \geq x$ and $z \geq y$ so $x \vee y \leq z$. Hence $\rho(x \vee y) \leq \rho(z) = \rho(x) \vee \rho(y)$.

It should be pointed out that the analogous statement for meets is false; i.e., in general we do not have $\rho(x \wedge y) = \rho(x) \wedge \rho(y)$. As a counter example let $j = 2$ and $m = 2$ so $\sigma = (1, 2)(3, 4)$. Let $x = 13/24$ and let $y = 14/23$. Then $x \wedge y = 1/2/3/4$ so $\rho(x \wedge y) = 1/2$. But $\rho(x) = \rho(y) = 12$ so $\rho(x \wedge y) = 1/2 \neq 12 = \rho(x) \wedge \rho(y)$. However one case where equality holds will be of particular interest to us.

LEMMA 7. *Let $x \in \mathcal{M}$ and suppose $\Pi(x) = 1$. For any $y \in \mathcal{M}$, $\rho(x \wedge y) = \rho(x) \wedge \rho(y)$.*

Proof. As $\Pi(x) = 1$, each cycle C_i is contained in a block of x . Let C_p and C_q be cycles with p and q in the same block of $\rho(x) \wedge \rho(y)$. Then p and q lie in the same block of $\rho(y)$ so there exist $u \in C_p$ and $v \in C_q$ such that u and v lie in the same block of y . Also p and q lie in the same block of $\rho(x)$ so some block of x contains both cycles

C_p and C_q . Hence a and v lie in the same block of $x \wedge y$ so p and q lie in the same block of $\rho(x \wedge y)$. This shows that $\rho(x) \wedge \rho(y) \leq \rho(x \wedge y)$; the reverse inequality is easy to show.

We next consider the function Π . Again we will be interested in how it behaves with respect to the join operation in \mathcal{M} .

LEMMA 9. *Let $x, y \in \mathcal{M}$.*

- (A) *If $x \leq y$ then $\Pi(y) | \Pi(x)$.*
- (B) *$\Pi(x \vee y)$ divides $\gcd(\Pi(x), \Pi(y))$.*
- (C) *If $\Pi(x \vee y) = \gcd(\Pi(x), \Pi(y))$ then $x \vee y = x \mathbf{V}_L y$.*

Proof. Note that $\Pi(x) = d$ iff the elements of each cycle C_i are evenly divided amongst d blocks according to the rule that u and v are in the same block iff $u \equiv v \pmod{d}$, for $u, v \in C_i$. From this observation (A) follows immediately, and (B) follows easily from (A).

For (c) suppose first that $u, v \in C_i$ and $u \equiv v \pmod{\gcd(d, e)}$: say $u = v + k \gcd(d, e)$. Write $k \gcd(d, e) = \alpha d + \beta e$ for $\alpha, \beta \in \mathbf{Z}$ and let ω be the unique element of C_i satisfying $u + \alpha d \equiv \omega \pmod{j}$. Then u and ω are equivalent mod d hence are in the same block of x . Also

$$\omega + \beta e = (u + \alpha d) + \beta e = u + k \gcd(d, e) = v$$

so w and v are equivalent mod e hence are in the same block of y . Thus u and v are in the same block of $x \mathbf{V}_L y$, which shows that if $u \equiv v \pmod{\gcd(\Pi(x), \Pi(y))}$ and $u, v \in C_i$ then u and v are in the same block of $x \mathbf{V}_L y$.

Suppose u and w are in the same block of $x \vee y$ with $u \in C_p$ and $w \in C_q$. Since

$$\rho(x \vee y) = \rho(x) \vee \rho(y) \quad \text{and} \quad \rho(x) \vee \rho(y) = \rho(x \mathbf{V}_L y)$$

there exists a sequence $u = u_0, u_1, \dots, u_n$ such that u_i, u_{i+1} are in the same block of either x or y and such that $u_n \in C_q$. It follows that u and u_n are in the same block of $x \mathbf{V}_L y$ hence of $x \vee y$ so w and u_n are in the same cycle and in the same block of $x \vee y$. So $u_n - w \equiv 0 \pmod{\Pi(x \vee y)}$. Since $\Pi(x \vee y) = \Pi(x \mathbf{V}_L y)$ we see that $u_n \equiv w \pmod{\Pi(x \mathbf{V}_L y)}$. By the above observation, u_n and w (hence u and w) are in the same block of $x \mathbf{V}_L y$ so $x \vee y \leq x \mathbf{V}_L y$ and equality must hold.

Note that the sufficient condition for the equality of $x \vee y$ and $x \mathbf{V}_L y$ given in (C) is not a necessary condition. For a counter-example let $j = 2$ and $m = 4$ so $\sigma = (1, 2)(3, 4)(5, 6)(7, 8)$. Let $x = 14/23/58/67$ and let $y = 13/24/57/68$. Then

$$x \vee y = x \mathbf{V}_L y = 1234/5678 \quad \text{so} \quad \Pi(x \vee y) = 1.$$

But $\Pi(x) = \Pi(y) = 2$ so $2 = \gcd(\Pi(x), \Pi(y))$.

We can now construct the bottom half of our maximal chain of modular elements. Suppose $\rho(x) = 0$ and $\Pi(x) = d$. Then each block of x contains j/d elements; the blocks partition each cycle C_i into d parts. The unique element z of \mathcal{M} satisfying these conditions is denoted $\tau(d)$. Note that $\tau(j) = 0$ and $\tau(1) = h$.

LEMMA 10. *Let d/j and let $y, z \in \mathcal{M}$.*

(A) *If $z \leq y$ then $z \vee (\tau(d) \wedge y) = (z \vee \tau(d)) \wedge y$.*

(B) *If $z \leq \tau(d)$ then $z \vee (\tau(d) \wedge y) = (z \vee y) \wedge \tau(d)$.*

Proof. We first prove (A). Note that for any $x \in \mathcal{M}$, $\tau(d) \wedge x = \tau(e)$ where $e = \text{lcm}(d, \Pi(x))$ and $\tau(d) \vee x$ is the unique element of \mathcal{M} above x which has period $\gcd(d, \Pi(x))$ and cycle partition $\rho(x)$. From this it follows that $z \vee (\tau(d) \wedge y)$ is the unique element of \mathcal{M} above z which satisfies

$$\begin{aligned} \rho(z \vee (\tau(d) \wedge y)) &= \rho(z) \\ \Pi(z \vee (\tau(d) \wedge y)) &= \gcd \Pi(z), \text{lcm}(d, \Pi(y)) . \end{aligned}$$

By a similar argument one shows that $(z \vee \tau(d)) \wedge y$ is the unique element of \mathcal{M} above z which satisfies

$$\begin{aligned} \rho((z \vee \tau(d)) \wedge y) &= \rho(z) \\ \Pi((z \vee \tau(d)) \wedge y) &= \text{lcm}(\Pi(y), \gcd(\Pi(z), d)) . \end{aligned}$$

Here one needs to use the fact that $z \leq y$.

As $z \leq y$ we have $\Pi(y) | \Pi(z)$. Also, the lattice of divisors of j is modular which together with $\Pi(y) | \Pi(z)$ gives

$$\text{lcm}(\Pi(y), \gcd(\Pi(z), d)) = \gcd(\Pi(z), \text{lcm}(d, \Pi(y))) .$$

The proof of (B) is somewhat easier. Assume $z = \Pi(e)$ where $d | e$. Then

$$\begin{aligned} z \vee (\tau(d) \wedge y) &= \tau(e) \vee (\tau(d) \wedge y) \\ &= \tau(\text{lcm}(e, \gcd(d, \Pi(y)))) . \\ (z \vee y) \wedge \tau(d) &= (\tau(e) \vee y) \wedge \tau(d) \\ &= \tau(\gcd(d, \text{lcm}(e, \Pi(y)))) . \end{aligned}$$

As before, the condition $d | e$ together with the modularity of the lattice of divisors of j proves the desired equality.

Recall that j was assumed to be the product of r distinct primes $j = p_1 p_2 \cdots p_r$. For $i = 1, 2, \dots, r$ let $t_i = \tau(p_1 p_2 \cdots p_i)$, and let $t_0 = 0$. Then $0 = t_0 < t_1 < \cdots < t_r = h$ is a maximal chain from 0 to h consisting of modular elements of \mathcal{M} (by Lemma 10).

For $i = 1, 2, \dots, m$ let s_i denote the element of \mathcal{M} which has

the following $i + 1$ blocks; block 1 contains only cycle C_1 , block 2 contains only cycle C_2 , \dots , block i contains only cycle C_i and block $i + 1$ contains the remaining cycles C_{i+1}, \dots, C_m . Let $s_0 = 1$ so

$$h = s_{m-1} < s_{m-2} < \dots < s_0 = 1$$

is a maximal chain from h to 1. Note that $\Pi(s_i) = 1$ and $\rho(s_i) = \{1\}/\{2\}/\dots/\{i\}/\{i + 1, i + 2, \dots, m\}$. We will use the fact that $\rho(s_i)$ is a modular element of $\Pi(M)$.

LEMMA 11. *Let $y, z \in \mathcal{M}$. For $i = 0, 1, \dots, m - 1$ we have the following:*

- (A) *If $z \leq y$ then $z \vee (s_i \wedge y) = (z \wedge s_i) \wedge y$.*
- (B) *If $z \leq s_i$ then $z \vee (s_i \wedge y) = (z \vee y) \wedge s_i$.*

Proof. We first prove (A); assume $z \leq y$.

$$\begin{aligned} \rho(z \vee (s_i \wedge y)) &= \rho(z) \vee \rho(s_i \wedge y) && \text{by Lemma 7} \\ &= \rho(z) \vee (\rho(s_i) \wedge \rho(y)) && \text{by Lemma 8} \\ &= (\rho(z) \vee \rho(s_i)) \wedge \rho(y) \end{aligned}$$

the last equality holding since $\rho(s_i)$ is a modular element of $\Pi(M)$. Using Lemma 7 again we have

$$\rho(z \vee (s_i \wedge y)) = \rho(z \vee s_i) \wedge \rho(y) = \rho((z \vee s_i) \wedge y).$$

The last equality follows from Lemma 8 upon observing that $z \vee s_i \geq s_i$ so $\Pi(z \vee s_i) | \Pi(s_i) = 1$.

Also $\Pi(s_i) = \Pi(s_i \vee z) = 1$ so $\Pi((s_i \vee z) \wedge y) = \Pi(y)$ and $\Pi(s_i \wedge y) = \Pi(y)$. The latter equality implies that $\Pi(z \vee (s_i \wedge y)) | \Pi(y)$. But $y \geq z$ and $y \geq s_i \wedge y$ so $y \geq z \vee (s_i \wedge y)$ hence $\Pi(y) | \Pi(z \vee (s_i \wedge y))$. Thus

$$\Pi(z \vee (s_i \wedge y)) = \text{gcd}(\Pi(z), \Pi(s_i \wedge y))$$

and so $z \vee (s_i \wedge y) = z \vee_L (s_i \wedge y)$ by Lemma 9(C). We now show that $z \vee (s_i \wedge y) \leq (s_i \vee z) \wedge y$ which will imply equality since we know

$$\rho(z \vee (s_i \wedge y)) = \rho((s_i \vee z) \wedge y)$$

and

$$\Pi(z \vee (s_i \wedge y)) = \Pi((s_i \vee z) \wedge y).$$

Suppose u and v are in the same block of $z \vee (s_i \wedge y)$. Since $z \vee (s_i \wedge y) = z \vee_L (s_i \wedge y)$ there exists a sequence $u = u_0, u_1, \dots, u_n = v$ such that u_i, u_{i+1} are in the same block of either z or $(s_i \wedge y)$. Since $z \leq y$ we see that u_i, u_{i+1} are in the same block of y so u and v are in the same block of y . Also u_i, u_{i+1} are in the same block of either z or s_i so u and v are in the same block of $z \vee_L s_i$ hence of

$z \vee s_i$. Thus u and v are in the same block of $(z \vee s_i) \wedge y$ so $(z \vee y) \leq (s_i \vee z) \wedge y$. This completes the proof of (A).

The proof of (B) is the same with a minor exception. As in (A) we show that

$$\rho(z \vee (s_i \wedge y)) = \rho((z \vee y) \wedge s_i)$$

and

$$\Pi(z \vee (s_i \wedge y)) = \Pi(y \vee z) = \Pi((z \vee y) \wedge s_i).$$

Let $d = \Pi(z \vee y)$, and suppose that u and v are in the same block of $z \vee (s_i \wedge y)$. Then there exists a sequence $u = u_0, u_1, \dots, u_n$ such that

- (1) u_i, u_{i+1} are in the same block of either z or $(s_i \wedge y)$
- (2) $u_n \equiv v \pmod{d}$.

Note that u_i, u_{i+1} are in the same block of $(z \vee y) \wedge s_i$ and $\Pi((z \vee y) \wedge s_i) = d$ so u and v are in the same block of $(z \vee y) \wedge s_i$. This completes the proof of (B).

Lemma 11 tells us that each s_i is a modular element of \mathcal{M} . Combining Lemma 10, Lemma 11 and Proposition 2.1 from Stanley [4, pg. 203] gives the following theorem.

THEOREM 1. *\mathcal{M} is a supersolvable lattice with M -chain*

$$0 = t_0 < t_1 < \dots < t_r = h = s_{m-1} < s_{m-2} < \dots < s_0 = 1.$$

At this point a rough sketch of \mathcal{M} is helpful.

4. The geometric properties of \mathcal{M} . Figure 4 suggests that \mathcal{M} might be geometric; in fact \mathcal{M} is geometric iff j is prime. However \mathcal{M} does give rise to a pregeometry (in the language of Crapo and Rota [1]) which we will show in this section. To do so

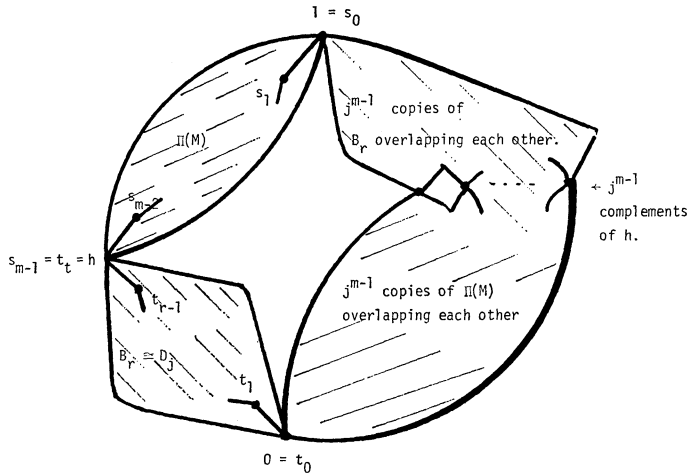


FIGURE 4

we need notation for certain elements of \mathcal{M} . Some of this notation has already been established; for completeness it is listed below again.

(1) For $d|j$, $\tau(d)$ denotes the unique element of \mathcal{M} with $\rho(\tau(d)) = 0$ and $\Pi(\tau(d)) = d$. $\tau(d)$ sits in the interval $[0, h]$.

(2) For a partition $\beta \in \Pi(\mathcal{M})$, $\sigma(\beta)$ denotes the unique element of \mathcal{M} with $\rho(\sigma(\beta)) = \beta$ and $\Pi(\sigma(\beta)) = 1$. $\sigma(\beta)$ sits in the interval $[h, 1]$.

(3) Let F be the set of functions mapping $\{1, 2, \dots, m-1\}$ into the set $\{1, 2, \dots, j\}$. For $f \in F$, $c(f)$ denotes the complement of h given by f as in the proof of Lemma 6. *Note:* for notational convenience in what follows we will extend f to a function from $\{1, 2, \dots, m\}$ into $\{1, 2, \dots, j\}$ by defining $f(m) = 1$.

(4) Let p and q be integers between 1 and m with $p < q$ and let r be an integer between 0 and $j-1$. Then $\alpha(p, q, r)$ denotes the following partition in \mathcal{M} which has exactly j blocks of size 2 and all other blocks of size 1. Each block of size 2 consists of one element from C_p and one from C_q according to $u \in C_p$ and $v \in C_q$ are in the same block iff $u \equiv v - r \pmod{j}$.

EXAMPLE 3. Let $j = m = 3$ so $\sigma = (1, 2, 3)(4, 5, 6)(7, 8, 9)$. Let $p = 1, q = 3$ and $r = 2$. Then

$$\alpha(1, 3, 2) = 19/27/38/4/5/6 .$$

It is worth noting that $\Pi(\alpha(p, q, r)) = j$ and that $\rho(\alpha(p, q, r))$ is the atom in $\Pi(\mathcal{M})$ having the block $\{p, q\}$ of size 2 and all other blocks of size 1.

LEMMA 12. \mathcal{M} has exactly $r + j\binom{m}{2}$ atoms. Of these, r atoms lie in the interval $[0, h]$; these are of the form $\tau(j/p)$ for p a prime dividing j . (These r atoms will be called type a atoms.) The remaining $j\binom{m}{2}$ atoms lie outside the interval $[0, h]$. These are of the form $\alpha(p, q, r)$ and will be called type b atoms.

Proof. Let x be an atom. It is clear that $\rho(x)$ is either 0 or an atom in $\Pi(\mathcal{M})$ and that $\Pi(x)$ is either j or (j/p) for p a prime dividing j . We consider the four possibilities.

If $\rho(x) = 0$ and $\Pi(x) = j$ then $x = 0$ which is impossible. If $\rho(x) = 0$ and $\Pi(x)$ is j/p then $x = \tau(j/p)$. If $\rho(x)$ is an atom and $\Pi(x)$ is j/p then we have $0 < \tau(j/p) < x$ which is impossible.

Lastly suppose $\Pi(x) = j$ and $\rho(x)$ is the atom in $\Pi(\mathcal{M})$ which has exactly one block of size 2 containing p and q with $p < q$. Consider $(p-1)j + 1 \in C_p$. It is in a block of size 2 with a unique

element of C_q , say $(q - 1)j + (r + 1)$ for $0 \leq r \leq j - 1$. It is now clear that $x = \alpha(p, q, r)$.

For the remainder of this paper, A denotes the set of type a atoms and B denotes the set of type b atoms. Let $\beta \in \Pi(M)$ and let $f \in F$. Then $B(\beta)$ denotes the set of type b atoms x satisfying $x \leq \sigma(\beta)$ and $B(f)$ denotes the set of type b atoms satisfying $x \leq c(f)$. $B(\beta; f)$ denotes the intersection of $B(\beta)$ and $B(f)$. Note that $\alpha(p, q, r)$ is in $B(\beta)$ iff p and q are in the same block of β and $\alpha(p, q, r)$ is in $B(f)$ iff $r \equiv f(q) - f(p) \pmod{j}$.

Let \mathcal{B} denote the lattice of subsets of $A \cup B$.

DEFINITION 2. Define closure operator $\bar{}$ on \mathcal{B} as follows; let $S \in \mathcal{B}$ and write $S = S_A \cup S_B$ with $S_A \subseteq A$ and $S_B \subseteq B$. Let $\beta = \bigvee_{x \in S_B} \rho(x) \in \Pi(M)$. Then

Case 1. $\bar{\phi} = \emptyset$

Case 2. If $S_A = \emptyset \neq S_B$ and if there exists $f \in F$ such that $x \leq c(f)$ for all $x \in S_B$ let $\bar{S} = B(\beta; f)$.

Case 3. Let $\bar{S} = A \cup B(\beta)$ otherwise.

We need to show that $\bar{}$ is well-defined in Case 2. Suppose $S_A = \emptyset \neq S_B$ and let $f, g \in F$ satisfy $x \leq c(f)$ and $x \leq c(g)$ for all $x \in S_B$. We need to show that $B(\beta; f) = B(\beta; g)$. By the symmetry of f and g it suffices to prove that $B(\beta; f) \subseteq B(\beta; g)$.

Assume that $\alpha(p, q, r) \in B(\beta, f)$ so $r \equiv f(q) - f(p) \pmod{j}$. Choose a sequence $\alpha(p_0, p_1, r_1), \alpha(p_1, p_2, r_2), \dots, \alpha(p_{n-1}, p_n, r_n) \in S_B$ such that $p = p_0$ and $q = p_n$. This can be done by definition of β . As $x \leq c(f)$ for all $x \in S_B$ we know

$$f(p_i) - f(p_{i-1}) \equiv r_i \pmod{j} .$$

In particular

$$r \equiv f(q) - f(p) \equiv f(p_n) - f(p_0) \equiv \sum_{i=1}^n (f(p_i) - f(p_{i-1})) \pmod{j} .$$

Hence $r \equiv \sum_{i=1}^n r_i \pmod{j}$. Since $x \leq c(g)$ for all $x \in S_B$ we also have $r_i \equiv g(p_i) - g(p_{i-1}) \pmod{j}$. The same telescoping sum shows that

$$r \equiv g(p_n) - g(p_0) \equiv g(q) - g(p) \pmod{j}$$

and so $\alpha(p, q, r) \in B(\beta; g)$ as desired.

It is easy to show that $\bar{}$ is a closure operator—the verification is left to the reader. The next lemma shows that $\bar{}$ also satisfies the exchange condition thus making $(\beta, \bar{})$ into a pregeometry. We

first need the following technical lemma.

LEMMA 13. *Let $S_B \subseteq B$ and let $y \in B$. Let $\beta = \bigvee_{z \in S_B} \rho(z)$ and suppose that \bar{S}_B is of the form $B(\beta; f)$ whereas $\overline{S_B \cup \{y\}}$ is of the form $A \cup B(\gamma)$ for some $\gamma \geq \beta$. Then $\rho(y) \leq \beta$ and so $\gamma = \beta$.*

Proof. Suppose $\rho(y) \not\leq \beta$. We will construct a function $g \in F$ with $y \leq c(g)$ and $z \leq c(g)$ for all $z \in S_B$. Let $y = \alpha(p, q, r)$. As $\rho(y) \not\leq \beta$ we know that p and q lie in distinct blocks of β . Write

$$\beta = B_1/B_2/\cdots/B_k \quad \text{with } p \in B_i \quad \text{and } q \in B_2.$$

Case 1. $m \notin B_1$. Define $g(l) = f(l)$ for $l \notin B_1$.

For $l \in B_1$ define

$$g(l) \equiv (f(q) - f(p)) - r + f(l) \pmod{j}.$$

Note that $g(p) \equiv f(q) - r = g(q) - r \pmod{j}$. Thus $g(q) - g(p) \equiv r \pmod{j}$ and so $y \leq c(g)$. Suppose $z \in S_B$, $z = \alpha(p_1, q_1, r_1)$. If $p_1, q_1 \in B_i$ for $i \neq 1$ then $g(q_1) - g(p_1) \equiv f(q_1) - f(p_1) \equiv r_1 \pmod{j}$ and so $z < c(g)$. If $p_1, q_1 \in B_1$ then

$$\begin{aligned} g(q_1) - g(p_1) &\equiv (f(q) - f(p) - r + f(q_1)) - (f(q) - f(p) - r + f(p_1)) \\ &\equiv f(q_1) - f(p_1) \equiv r_1 \pmod{j}. \end{aligned}$$

So $z \leq c(g)$ as was to be shown.

Case 2. $m \in B_1$. Define $g(l) = f(l)$ for $l \notin B_2$. For $l \in B_2$ define

$$g(l) \equiv f(l) + (f(p) - f(q)) + r \pmod{j}.$$

As before, $g(q) \equiv f(p) + r = g(p) + r \pmod{j}$ so $y \leq c(g)$. For $z \in S_B$, $z \leq c(g)$ as in Case 1.

THEOREM 2. $(\mathcal{B}, \bar{\quad})$ is a pregeometry.

Proof. We need to show that $\bar{\quad}$ satisfies the following exchange property (*):

(*) Let $x, y \in A \cup B$ and let $S \subseteq A \cup B$. If $x \notin \bar{S}$ and $x \in \overline{S \cup \{y\}}$ then $y \in \overline{S \cup \{x\}}$.

The verification of (*) proceeds in several cases. Let $\beta = \bigvee_{z \in S_B} \rho(z)$.

Case 1. $x \in A$.

Since $x \notin \bar{S}$ we know $S = S_B \subseteq B$. If $y \in A$ then obviously $y \in \overline{S \cup \{x\}} = A \cup B(\beta)$, so assume that $y \in B$.

Since $x \notin \bar{S}_B$, we have $\bar{S}_B = B(\beta; f)$ for some $f \in F$. As $x \in \overline{S_B \cup \{y\}}$ we know $\overline{S_B \cup \{y\}} = B(\gamma) \cup A$ for some $\gamma \geq \beta$. Applying Lemma 13 we have $\rho(y) < \beta$ so $y \in B(\beta)$. So $y \in \overline{S_B \cup \{x\}} = B(\beta) \cup A$.

Case 2. $x \in B, y \in A$.

If $y \in \bar{S}$ then

$$\bar{S} \subseteq \overline{S \cup \{y\}} \subseteq \overline{\bar{S} \cup \{y\}} = \bar{S} = \bar{S}$$

which is impossible since $x \in \overline{S \cup \{y\}} - \bar{S}$.

So $y \notin \bar{S}$; i.e., $\bar{S} = B(\beta; f)$ for some $f \in F$. Thus $S \cup \{y\} = A \cup B(\beta)$ and so $\rho(x) \leq \beta$.

Since $x \notin \bar{S}$ there is no function $f \in F$ with $x \leq c(f)$ and with $z \leq c(f)$ for all $z \in S$. So $\overline{S \cup \{x\}} = B(\beta) \cup A$ which gives $y \in \overline{S \cup \{x\}}$.

Case 3. $x, y \in B$ and $\rho(y) \leq \beta$.

Since \bar{S} is properly contained in $\overline{S \cup \{y\}}$ we see that \bar{S} has the form $B(\beta; f)$ for some $f \in F$ and that $\overline{S \cup \{y\}} = B(\beta) \cup A$. As $x \in \overline{S \cup \{y\}}$, $\rho(x) \leq \beta$.

Since $x \notin \bar{S}$ there is no function $f \in F$ with $x \leq c(f)$ and $z \leq c(f)$ for all $z \in S$. Thus $S \cup \{x\} = B(\beta) \cup A$ and so $y \in \{x\}$.

Case 4. $x, y \in B, \rho(y) \not\leq \beta$ and $\bar{S} = A \cup B(\beta)$.

Here we have $\overline{S \cup \{y\}} = A \cup B(\gamma)$ for $\gamma = \beta \vee \rho(y) > \beta$. Since $x \notin \bar{S}$ we know $\rho(x) \not\leq \beta$ but $\rho(x) \leq \beta \vee \rho(y)$. Hence we know $\rho(y) \leq \beta \vee \rho(x)$ because $\Pi(M)$ is a geometric lattice.

Case 5. $x, y \in B, \rho(y) \not\leq \beta$ and $S = B(\beta; f)$ for $f \in F$.

In this case we have $\overline{S \cup \{y\}} = B(\gamma; g)$ for $\gamma = \beta \vee \rho(y)$ and for some $g \in F$ (see the proof of Lemma 13). Suppose $\rho(x) \leq \beta$. Since $x \in \overline{S \cup \{y\}}$, we know $x \leq c(g)$ and so

$$x \in B(\beta; g) = B(\beta; f) = \bar{S} \rightarrow \leftarrow .$$

Thus $\rho(x) \not\leq \beta$ and $\rho(x) \leq \beta \vee \rho(y)$ so $\rho(y) \leq \beta \vee \rho(x)$ again because $\Pi(\mathcal{M})$ is geometric. Hence $y \in B(\gamma; g) = \overline{S \cup \{x\}}$ and this finishes the proof of Theorem 2.

Let G be the subset of \mathcal{M} consisting of all elements of period 1 together with all elements of period j . It is clear that if $x, y \in G$ then $x \wedge y \in G$ so G is closed under meets.

Given any element x of \mathcal{M} , there is a unique smallest element of period 1 which is greater than or equal to x , this being $\sigma(\rho(x))$. In particular this is true of $x = y \vee z$ for $y, z \in G$. Thus G has a join operation \mathbf{V}_G defined as follows; for $y, z \in G$

$$y \mathbf{V}_G z = \begin{cases} y \vee z & \text{if } \Pi(y \vee z) = j \\ \sigma(\rho(y \vee z)) & \text{if } \Pi(y \vee z) < j . \end{cases}$$

G is a meet sublattice of \mathcal{M} hence of L and so of $\Pi(\{1, 2, \dots, mj\})$. For the remainder of the paper we continue to let \vee, \wedge denote the join and meet of \mathcal{M} and $\mathbf{V}_G, \mathbf{\Lambda}_G$ denote the join and meet of G .

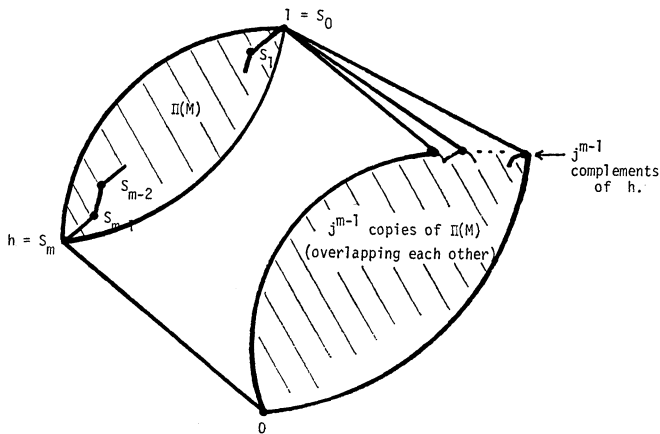


FIGURE 5

Let \tilde{G} denote the lattice of flats of the pregeometry $(\mathcal{B}, \bar{\cdot})$. We know that \tilde{G} is a geometric lattice. Define $\varphi: \tilde{G} \rightarrow G$ as follow;

- (1) $\varphi(\phi) = \mathbf{0}$
- (2) $\varphi(B(\beta; f)) = V_G B(\beta; f)$
- (3) $\varphi(A \cup B(\beta)) = h \mathbf{V}_G (V_G B(\beta)) = \sigma(\beta)$.

THEOREM 3. φ is a lattice isomorphism and so G is a geometric lattice. Some elementary properties of the matroid given by G are listed below:

A. Bases: If I is a basis containing h then $I - \{h\} \leq B(f)$ for a unique function f . The set of $\rho(x)$ for $x \in I - \{h\}$ constitute a basis for $\Pi(M)$.

If I is a basis not containing h then I contains an element y (not necessary unique) such that the set of $\rho(x)$ for $x \in I - \{y\}$ constitute a basis for $\Pi(M)$ and such that $V_G(I - \{y\}) = c(f)$ for some function f .

B. Circuits: If C is a circuit containing h then the set of $\rho(x)$ such that $x \in C - \{h\}$ constitute a circuit in $\Pi(M)$. There is no function f such that $x \leq c(f)$ for all $x \in C - \{h\}$.

If C is a circuit not containing h then the set of $\rho(x)$ such that $x \in C$ constitute a circuit in $\Pi(M)$. There is a function f such that $x \leq c(f)$ for all $x \in C$.

C. Rank function: Let λ_G denote the rank function of G and let λ denote the rank function of $\Pi(M)$. Let S be a subset of $B \cup \{h\}$; write $S = S_A \cup S_B$ where $S_B \subseteq B$ and $S_A = \emptyset$ or $\{h\}$. Let

$$\beta = \bigvee_{x \in S_B} \rho(x).$$

Then

$$\lambda_G(S) = \begin{cases} 0 & \text{if } S = \emptyset \\ \lambda(\beta) & \text{if } S_A = \emptyset \text{ and} \\ & S_B \subseteq B(f) \text{ for some } f \in F(S_B \neq \emptyset) \\ 1 + \lambda(\beta) & \text{otherwise.} \end{cases}$$

Proof. It is easy to verify that φ is one-to-one, and onto. φ is obviously order-preserving hence φ is a lattice isomorphism. The matroid properties given in A, B and C are clear; proofs are left to the reader.

COROLLARY 1. \mathcal{M} is geometric iff j is prime, or $m = 1$.

Proof. If j is prime then $\mathcal{M} = G$ and so the result follows from the last theorem. If $m = 1$ then \mathcal{M} is isomorphic to the Boolean algebra B_r (i.e., lattice of divisors of j), and so \mathcal{M} is geometric.

Conversely, suppose j is not prime and $m > 1$. We show that \mathcal{M} is not geometric.

Consider the join of the two atoms $\alpha(1, 2, 1)$ and $\alpha(1, 2, 2)$. It is clear that these two do not both sit below $c(f)$ for some f hence

$$\alpha(1, 2, 1) \vee \alpha(1, 2, 2) = \sigma(\beta) > h$$

where $\beta = \{1, 2\}/\{3\}/\dots/\{m\}$. But since j is not prime and $[0, h] \cong B_r$ we see that the rank of h is at least 2 so the rank of $\sigma(\beta)$ is at least 3. So \mathcal{M} is not geometric.

Return to Figure 3, where $j = 2$ and $m = 3$. Corollary 1 tells us that \mathcal{M} is geometric in this case. In fact, its easy to check that this particular \mathcal{M} is the projective plane of order 2.

5. The Birkhoff polynomial of \mathcal{M} . The purpose of this section is to determine the Birkhoff polynomial of \mathcal{M} . Some results in this section will be proved in a more general framework and then specialized to \mathcal{M} . We begin with some well-known facts about closure operators on lattices.

Let K be a finite lattice with join and meet operations \bigvee_K and \bigwedge_K . Let $x \rightarrow \bar{x}$ be a closure operator and let \bar{K} denote the set of closed elements of K . Then \bar{K} is a lattice with join $\bigvee_{\bar{K}}$ and meet $\bigwedge_{\bar{K}}$ given by

$$\begin{aligned} x \bigvee_{\bar{K}} y &= \overline{x \bigvee_K y} \\ x \bigwedge_{\bar{K}} y &= x \bigwedge_K y. \end{aligned}$$

Let $h \in K$. Define $G(h)$ to be the set of elements of K whose meet with h is either 0 or h . Define a map $x \rightarrow \bar{x}$ from K to K by

$$\bar{x} = \begin{cases} x & \text{if } x \in G(h) \\ x \vee h & \text{if } x \notin G(h) . \end{cases}$$

It is clear that $\bar{x} \geq x$. Also $\bar{}$ maps K onto $G(h)$ so $\bar{\bar{x}} = \bar{x}$, and it is easy to check that if $x \geq y$ then $\bar{x} \geq \bar{y}$. Thus $\bar{}$ is a closure on K and the lattice of closed elements is $G(h)$. We sometimes write $G(h) = G_0 \cup G_h$ where

$$G_0 = \{x \in K : x \wedge h = 0\}$$

$$G_h = \{x \in K : x \wedge h = h\} .$$

LEMMA 14. *Suppose that K is supersolvable with M -chain C , suppose $h \in C$ and let $C' = C \cap G(h)$. Then $G(h)$ is supersolvable with M -chain C' .*

Proof. Let \mathcal{D} be a chain in $G(h)$, and let T be the sublattice of $G(h)$ generated by \mathcal{D} and C . Note that T is contained in the sublattice of K generated by C and \mathcal{D} since $h \in C$. Also observe that T is closed under joins in K , if $x, y \in T$ with $x \wedge h = y \wedge h = 0$ then

$$(x \vee_K y) \wedge h = (x \wedge h) \vee_K (y \wedge h) = 0 \vee 0 = 0 .$$

The first equality follows by the fact that C is an M -chain for K .

Let a, b and $c \in T$. Then

$$\begin{aligned} (a \vee_G b) \wedge c &= (a \vee_K b) \wedge c = (a \wedge c) \vee_K (b \wedge c) \\ &= (a \wedge c) \vee_G (b \wedge c) \end{aligned}$$

and

$$\begin{aligned} ((a \wedge b) \vee_G c) &= (a \wedge b) \vee_K c = (a \vee_K c) \wedge (b \vee_K c) \\ &= (a \vee_G c) \wedge (b \vee_G c) . \end{aligned}$$

This proves the lemma.

Apply the last result to \mathcal{M} with h as in §§ 3 and 4. Note that $G = G(h)$ and so we see that G is a supersolvable geometric lattice with M -chain

$$0 < h = s_{m-1} < s_{m-2} < \cdots < s_1 < s_0 = 1 .$$

We now use methods of Stanley to evaluate the Birkhoff polynomial of \mathcal{M} .

THEOREM 4. *Let $B_{\mathcal{M}}(\lambda)$ denote the Birkhoff polynomial of \mathcal{M} . Then*

$$B_{\mathcal{M}}(\lambda) = (\lambda - 1)^r (\lambda - j) (\lambda - 2j) \cdots (\lambda - (m - 1)j) .$$

In particular $\mu_m(0, 1) = \mu(j)((-1)^{m-1}(m - 1)!)j^{m-1}$ where $\mu(j)$ denotes the number theoretic Möbius function.

Proof. Let $B_h(\lambda)$ denote the Birkhoff polynomial of the interval $[0, h]$. We first observe that

$$B_{\mathcal{M}}(\lambda) = B_h(\lambda) \left(\sum_{b \in G_0} \mu(0, b) \lambda^{m-r(b)} \right)$$

where $r(b)$ denotes the rank of b . The proof is exactly the same as the proof of Theorem 2 given in Stanley [3]. In this proof Stanley assumes that the lattice L under consideration is geometric whereas \mathcal{M} is not in general geometric. However he only uses that L is geometric to prove his Lemmas 1 and 2. Lemma 1 still holds since we've shown h is modular in \mathcal{M} (see Lemma 10). We now prove his Lemma 2; i.e., we show that for any $y \in \mathcal{M}$, $h \wedge y$ is a modular element of $[0, y]$.

Suppose $a \in [0, y]$ and $b \leq a$. Then

$$\begin{aligned} (b \vee (y \wedge h)) \wedge a &= ((b \vee h) \wedge y) \wedge a \text{ by modularity of } h \\ &= ((b \vee h) \wedge a) = b \vee (h \wedge a) \\ &= b \vee (h \wedge (y \wedge a)) = b \vee ((h \wedge y) \wedge a) . \end{aligned}$$

This part of the proof comes directly from Stanley [3, pg. 216]. Next suppose $b \leq h \wedge y$ and $a \in [0, y]$. Then

$$\begin{aligned} b \vee ((h \wedge y) \wedge a) &= b \vee (h \wedge a) \\ &= h \wedge (b \vee a) \\ &= h \wedge (y \wedge (b \vee a)) \text{ since } b \vee a \leq y \\ &= (h \wedge y) \wedge (b \vee a) . \end{aligned}$$

My thanks to Prof. R. P. Dilworth for suggesting this half of the proof.

This shows that

$$B_{\mathcal{M}}(\lambda) = B_h(\lambda) \left(\sum_{b \in G_0} \mu(0, b) \lambda^{m-r(b)} \right) .$$

Next consider the supersolvable geometric lattice G . As h is a modular element of G we can apply the same result again to G . This time the interval $[0, h]$ is isomorphic to a chain of length 1 so we have

$$B_G(\lambda) = (\lambda - 1) \left(\sum_{b \in G_0} \mu(0, b) \lambda^{m-r(b)} \right) .$$

Combining this with the previous equation yields

$$B_{\mathcal{M}}(\lambda) = (\lambda - 1)^{-1} B_h(\lambda) B_G(\lambda) .$$

Also the interval $[0, h]$ in M is isomorphic to the Boolean algebra B_r so $B_h(\lambda) = (\lambda - 1)^r$. Thus we have

$$(5.1) \quad B_M(\lambda) = (\lambda - 1)^{r-1} B_G(\lambda).$$

Recall that an M -chain for G is $0 < s_m < s_{m-1} < \dots < s_0 = 1$. For $i = 0$ to $m - 1$, let α_i denote the number of atoms of G which are less than or equal to s_i but not less than or equal to s_{i+1} . By Theorem 4.1 of Stanley [4, pg. 209] we know

$$\begin{aligned} B_G(\lambda) &= (\lambda - \alpha_{m-1})(\lambda - \alpha_{m-2}) \cdots (\lambda - \alpha_0) \\ &= (\lambda - 1)(\lambda - \alpha_{m-2}) \cdots (\lambda - \alpha_0). \end{aligned}$$

We next show that $\alpha_{m-i} = (i - 1)j$ for $i = 2, \dots, m$. The atoms of G are h together with all type b atoms \mathcal{M} . A type b atom a is less than or equal to s_{m-i} iff $\rho(a) < \rho(s_{m-i})$. Now $\rho(s_{m-i})$ has one block of size i together with $m - i$ blocks of size 1; the block of size i consists of $\{m, m - 1, \dots, m - i + 1\}$.

Let $\alpha(p, q, r)$ be a type b atom with $\alpha(p, q, r) \leq s_{m-i}$ and $\alpha(p, q, r) \not\leq s_{m-i-1}$. Since $\alpha(p, q, r) \leq s_{m-i}$ we know $p, q \in \{m, m - 1, \dots, m - i + 1\}$. Since $\alpha(p, q, r) \not\leq s_{m-i-1}$ we know that p and q are not both members of $\{m, m - 1, \dots, m - i + 2\}$. As $p < q$ we see

$$\begin{aligned} p &= m - i + 1 \\ q &\in \{m, m - 1, \dots, m - i + 2\}. \end{aligned}$$

Furthermore any choice of $q \in \{m, m - 1, \dots, m - i + 2\}$ and $r \in \{1, 2, \dots, j\}$ give a type b atom $\alpha(m - i + 1, q, r) = a$ with $a \leq s_{m-i}$ and $a \not\leq s_{m-i-1}$. So $\alpha_{m-i} = j(i - 1)$. Thus

$$B_G(\lambda) = (\lambda - 1)(\lambda - j)(\lambda - 2j) \cdots (\lambda - (m - 1)j)$$

which together with equation (5.1) completes the proof of Theorem 4.

Return now to Figure 3. Here $j = 2$ and $m = 3$ so we have

$$B_M(\lambda) = (\lambda - 1)(\lambda - 2)(\lambda - 4) = \lambda^3 - 7\lambda^2 + 15\lambda - 8.$$

The interested reader can verify from Figure 3 that this is the correct Birkhoff polynomial for \mathcal{M} .

In Theorem 4 we obtained, for a nongeometric supersolvable lattice, factorization results similar to those which Stanley obtained for supersolvable geometric lattices. We can restate Theorem 4 in the following more general form.

THEOREM 4A. *Let (K, C) be a supersolvable lattice and let h be an element of C . Suppose that $G(h)$ is a geometric lattice and that for each $y \in G_0$ the map from $[0, h]$ to $[y, y \vee h]$ given by $z \rightarrow z \vee y$ is one-to-one. Let $C' = C \cap G(h)$ be*

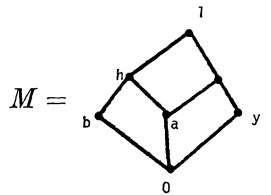
$$0 < h = s_0 < s_1 < \dots < s_n = 1 .$$

Then

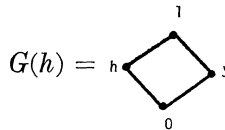
$$B_{\mathcal{M}}(\lambda) = B_h(\lambda) (\lambda - a_1) (\lambda - a_2) \dots (\lambda - a_n)$$

where a_i is the number of atoms a of \mathcal{M} which satisfy $a \leq s_i, a \not\leq s_{i-1}$.

The assumption that the map $z \rightarrow z \vee y$ is one-to-one is necessary. Consider for example



It is easy to check that $0 < a < h < 1$ is an M -chain for this lattice; note that the map from $[0, h]$ to $[y, y \vee h]$ given by $z \rightarrow z \vee h$ is not one-to-one (h and b have the same image).



so $G(h)$ is geometric. It is easy to check that $a_1 = 1$ and $B_h(\lambda) = (\lambda - 1)^2$ so

$$B_h(\lambda)(\lambda - a_1) = (\lambda - 1)^3 .$$

However one can check that $B_M(\lambda) = \lambda(\lambda - 1)(\lambda - 2)$ and so Theorem 4A does not hold.

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Received July 16, 1980.

CALIFORNIA INSTITUTE OF TECHNOLOGY
PASADENA, CA 91125

