

APPROXIMATING COMPACT SETS IN NORMED LINEAR SPACES

JACK GIROLO

It is shown that in normed linear spaces compact sets can be approximated by compact absolute neighborhood retracts in the following sense: If X is a compact subset of a normed linear space, then for every $\varepsilon > 0$ there exists a compact absolute neighborhood retract that contains X and has the property that each point of the retract is within ε of X . If the choice of ε is sufficiently large, the retract can be chosen to be an absolute retract.

Suppose that X is a compact subset of a Banach space B . Then the closure of the convex hull of X , $\overline{\text{conv}(X)}$, is a compact absolute retract that contains X . Browder [4] has shown that if U is an open subset of B that contains X , then there exists a compact absolute neighborhood retract R^* such that $X \subseteq R^* \subseteq U$. Both of these results have proven to be useful in Fixed Point Theory. See, for example, the work of Browder mentioned above and the work of Górniewicz and Granas [9].

Let X be a compact subset of a normed linear space N . The purpose of this paper, Theorem 1, is to show that there exists a compact absolute retract R such that $X \subseteq R \subseteq N$. Further, it is shown that if U is an open subset of N that contains X , then there exists a compact absolute neighborhood retract R^* such that $X \subseteq R^* \subseteq U$.

1. Preliminaries. Absolute retracts and absolute neighborhood retracts for metric spaces will be denoted by AR and ANR respectively. We use the notation $d(x, E)$ ($d(x, y)$) for the distance from a point x to a set E (to a point y). A continuous function $f: X \rightarrow R$ will be called a retraction if $R \subseteq X$ and $f(x) = x$ for each $x \in R$.

LEMMA 1. *Let $(N, \| \cdot \|)$ be an infinite dimensional normed linear space, X be a compact subset of N , F be a finite dimensional subspace that is disjoint from X , and ε be greater than 0. Then there exists a finite dimensional subspace E that contains F , is disjoint from X , and for all $x \in X$, $d(x, E) < \varepsilon$.*

Proof Let U_* be an open subset of N . We show that there exists a finite dimensional subspace E_* that contains F , meets U , and is disjoint from X . Let B be the closure of an open set that is contained in U and is disjoint from X . For each $b \in B$, let E_b be the

subspace generated by b and F . Suppose that for each such b , $E_b \cap X \neq \emptyset$. Let b_n be an arbitrary sequence in B , and let $x_n \in E_{b_n} \cap X$. Now b_n can be expressed in the form $b_n = v_n + t_n x_n$ where $v_n \in F$ and t_n is a real number. The sequences $\|v_n\|$ and $|t_n|$ are bounded, and the sequence x_n lies in the compact set X . Thus there exist subsequences v_{n_k} , x_{n_k} , t_{n_k} , vectors $v \in F$, $x \in X$ and $t \in R$ such that $b_{n_k} = v_{n_k} + t_{n_k} x_{n_k} \rightarrow v + tx$. Since B is closed $v + tx \in B$. This leads us to conclude that B is compact contrary to the fact that B has nonempty interior. Therefore, there exists a subspace E_* satisfying the desired properties.

Now cover X with a finite collection of open sets U_1, U_2, \dots, U_n , each with radius less than $\varepsilon/2$. By applying the result in the above paragraph n times, we are able to construct a finite dimensional subspace E that contains F , is disjoint from X , and meets each of the U_j . Let $x \in X$. There exists a U_j and a $y \in E$ such that $x, y \in U_j$. Then $d(x, E) \leq d(x, y) < \varepsilon$, and this completes the proof.

DEFINITION 1. [5] Let $(N, \|\cdot\|)$ be a normed linear space. Then the norm is said to be strictly convex if for all x, y not equal to 0, $\|x + y\| = \|x\| + \|y\|$ implies that $y = px$ for some $p > 0$.

Assume that $(N, \|\cdot\|)$ is a strictly convex normed linear space and E is a finite dimensional subspace of N . It was observed in [2] that for each $x \in N$ there exists a unique closest point, denoted by $\phi(x)$, in E . That is, $\phi(x) \in E$ and $d(x, \phi(x)) = d(x, E)$. The resulting function $\phi: N \rightarrow E$, which is called a metric projection, has the following properties that are easily verified [2, 12].

- (\mathcal{P}_1) ϕ is continuous,
- (\mathcal{P}_2) ϕ is idempotent: $\phi^2 = \phi$,
- (\mathcal{P}_3) ϕ is homogeneous: $\phi(tx) = t\phi(x)$ for all $t \in R$ and $x \in N$, and
- (\mathcal{P}_4) ϕ is quasi additive: $\phi(x + y) = \phi(x) + y$ for all $x \in N$ and $y \in E$.

We establish \mathcal{P}_1 . Let $x \in X$ and suppose x_n is a sequence that converges to x . Without loss of generality we may assume that $\phi(x_n)$ converges to some point $y \in E$. Then $\|x - y\| = \lim_{n \rightarrow \infty} \|x - \phi(x_n)\| = d(x, E)$. So $y = \phi(x)$, and we conclude that ϕ is continuous.

LEMMA 2. Let N be a strictly convex normed linear space, E be a finite dimensional subspace of N , R be an absolute neighborhood retract in E , $\phi: N \rightarrow E$ be the metric projection, and ε be greater than 0. Then $\phi^{-1}(R) = \{x \in N: \phi(x) \in R\}$ and $\{x \in \phi^{-1}(R): d(x, R) \leq \varepsilon\}$ are absolute neighborhood retracts.

Proof. There exists a neighborhood U_* of R in E and a retraction $r_*: U_* \rightarrow R$. Set $U = \phi^{-1}(U_*)$ and define $r: U \rightarrow \phi^{-1}(R)$ by $r(x) =$

$x + r_*(\phi(x)) - \phi(x)$. It follows by properties \mathcal{P}_1 and \mathcal{P}_4 that r is a retraction.

Next set $A = \{x \in \phi^{-1}(R) : d(x, R) \leq e\}$ and define $s: \phi^{-1}(R) \rightarrow A$ by

$$s(x) = \begin{cases} x & \text{if } d(x, R) \leq e \\ \left[\frac{d(x, R) - e}{d(x, R)} \right] \phi(x) + \frac{ex}{d(x, R)} & \text{if } d(x, R) \geq e. \end{cases}$$

The function s is a retraction. Since a retract of an ANR is an ANR, the proof of the lemma is complete.

2. The approximation theorem. A function $f: X \rightarrow R$ will be called compact retraction provided f is a retraction and R is compact. If N is a normed linear space, and $x \in N$, then $B_\varepsilon(x) = \{y \in N : d(x, y) \leq \varepsilon\}$ is called an N -ball. In order to simplify the proof of the approximation theorem, we state the following definition.

DEFINITION 2. Let K be a compact subset of a normed linear space N . Then an ε -pair of K in N , denoted by $(N, K, P^*, P, \varepsilon)$, consists of ANR's P^* and P such that $K \subseteq \text{Int}(P^*)$, $P^* \subseteq P \subseteq N$ and if $x \in P^*$, $y \in P$ and $d(x, y) \leq \varepsilon$, then the segment $[x, y] = \{tx + (1-t)y : 0 \leq t \leq 1\} \subseteq P$.

The proof of the approximation theorem is similar in certain respects to [3, p. 108].

THEOREM. Let $(N, \|\cdot\|)$ be a normed space and let X be a compact subset of N . Then there exists a compact absolute retract R such that $X \subseteq R \subseteq N$. If U is an open subset of N that contains X , then there exists a compact absolute neighborhood retract R^* such that $X \subseteq R^* \subseteq U$.

Proof. A straightforward argument establishes the result when the dimension of N is finite. In that which follows we assume that the dimension of N is infinite.

Let D be a countable dense subset of X . Then the closure of the linear span of D is a separable normed linear space that contains X . Thus, without loss of generality, we may assume that N is separable. Further, we may assume that X does not contain the origin. Every separable normed linear space has an equivalent strictly convex normed [5]. Consequently, we may assume that $\|\cdot\|$ is strictly convex.

It will be shown that for $n = 1, 2, 3, \dots$, there exists

(I_n) a finite dimensional subspace $E_n \supseteq E_{n-1}$ ($E_0 = \emptyset$) with metric projection $\phi_n: N \rightarrow E_n$ such that if $x \in X$ then $d(x, E_n) < \varepsilon_n \leq \varepsilon_{n-1}/18$ ($\varepsilon_0 = 18$),

(II_n) a $3\varepsilon_n$ -pair of $\phi_n(X)$ in $E_n, (E_n, \phi_n(X), P_n^*, P_n, 3\varepsilon_n)$,
 (III_n) an ANR $A_n = \{x: x \in \phi_n^{-1}(P_n) \text{ and } d(x, P_n) \leq 3\varepsilon_n\}$ ($A_0 = N$) such that $X \subseteq \text{Int } A_n, A_n \subseteq \text{Int}(A_{n-1})$ and $P_{n-1} \cap A_n = \emptyset$ ($P_0 = \emptyset$), and
 (IV_n) a compact retraction $f_n: A_{n-1} \rightarrow R_n$ ($R_0 = \emptyset, f_0 = \emptyset$) that satisfies $R_n \cap A_n = P_n, R_n \cap R_{n-1} = P_{n-1}, f_n(x) = f_{n-1}(x)$ for $x \in \text{bd}(A_{n-1})$, $f_n(x) = \phi_n(x)$ for $x \in A_n$, and if $x \in A_{n-1}$ and $d(x, R_n) \leq 3$, then $d(x, f_n(x)) \leq 3\varepsilon_{n-1}$.

Let $\varepsilon_1 = 1$. By Lemma 1 there exists a finite dimensional subspace E_1 such that if $x \in X$ then $d(x, E_1) < \varepsilon_1$, and $X \cap E_1 = \emptyset$. Let $\phi_1: N \rightarrow E_1$ be the corresponding metric projection. There exists a finite number of points $p_1^1, \dots, p_{k_1}^1 \in \phi_1(X)$ and corresponding E_1 -balls $B_{\varepsilon_1/2}(p_1^1), \dots, B_{\varepsilon_1/2}(p_{k_1}^1)$ such that $\phi_1(X) \subseteq \text{Int } \bigcup_{i=1}^{k_1} B_{\varepsilon_1/2}(p_i^1)$.

Set $P_1^* = \bigcup_{i=1}^{k_1} B_{\varepsilon_1/2}(p_i^1)$ and $P_1 = \{x \in E_1: d(x, P_1^*) \leq 3\varepsilon_1\}$.

It is easy to see that P_1^* and P_1 are ANR's [3, p. 90] and it follows that $(E_1, \phi_1(X), P_1, P_1^*, 3\varepsilon_1)$ is a $3\varepsilon_1$ -pair of $\phi_1(X)$ in E_1 . Set $A_1 = \{x: x \in \phi_1^{-1}(P_1) \text{ and } d(x, P_1) \leq 3\varepsilon_1\}$. Clearly, $X \subseteq \text{Int } A_1, A_1 \subseteq N = \text{Int}(A_0)$ and $P_0 \cap A_1 = \emptyset \cap A_1 = \emptyset$. Set $R_1 = \text{conv}(P_1)$. There exists a retraction¹ $s: E_1 \rightarrow R_1$. We define $f_1: N \rightarrow R_1$ by $f_1 = s \circ \phi_1$. Clearly, $R_1 \cap A_1 = P_1, R_1 \cap R_0 = \emptyset = P_0, f_1(x) = f_0(x)$ for $x \in \text{bd}(A_0)$ and $f_1(x) = \phi_1(x)$ for $x \in A_1$. Suppose $x \in A_0$ and $d(x, R_1) \leq 3$. Then it is easy to see that $d(x, f_1(x)) \leq 3\varepsilon_0$. Thus, the four conditions are satisfied for the case $n = 1$.

Now assume that for $k = 1, 2, \dots, n$ the conditions can be satisfied. We show that for $k = n + 1$, there exist appropriate functions and sets that satisfy the conditions.

By condition (III_n) we have $X \subseteq \text{Int}(A_n) = \{x: x \in \phi_n^{-1}(P_n) \text{ and } d(x, P_n) \leq 3\varepsilon_n\}$. There exists an open set W_n of N such that $X \subseteq W_n \subseteq A_n, W_n \cap P_n = \emptyset$, and $\phi_n(W_n) \subseteq \text{Int}(P_n^*)$. This follows from (II_n). Let $\varepsilon_{n+1}^* = d(X, N - W_n)$.² Set

$$\varepsilon_{n+1} < \min \{\varepsilon_n/18, \varepsilon_{n+1}^*/8\}.$$

By Lemma 2 there exists a finite dimensional subspace E_{n+1} with metric projection $\phi_{n+1}: N \rightarrow E_{n+1}$ such that if $x \in X$ then $d(x, E_{n+1}) < \varepsilon_{n+1}, E_n \subseteq E_{n+1}$, and $X \cap E_{n+1} = \emptyset$. Thus, condition (I_{n+1}) is satisfied.

There exists a finite number of points $p_1^{n+1}, p_2^{n+1}, \dots, p_{k_{n+1}}^{n+1} \in \phi_{n+1}(X)$ and corresponding E_{n+1} -balls $B_{\varepsilon_{n+1}/2}(p_1^{n+1}), \dots, B_{\varepsilon_{n+1}/2}(p_{k_{n+1}}^{n+1})$ such that $\phi_{n+1}(X) \subseteq \text{Int } \bigcup_{i=1}^{k_{n+1}} B_{\varepsilon_{n+1}/2}(p_i^{n+1})$. Set

$$P_{n+1}^* = \bigcup_{i=1}^{k_{n+1}} B_{\varepsilon_{n+1}/2}(p_i^{n+1}) \quad \text{and} \quad P_{n+1} = \{x \in E_{n+1}: d(x, P_{n+1}^*) \leq 3\varepsilon_{n+1}\}.$$

¹ The retraction is constructed in such a manner that $d(x, s(x)) \leq 2d(x, R_1)$.

² $d(X, N - W_n) = \inf\{d(x, N - W_n): x \in X\}$

It is easy to see that P_{n+1}^* and P_{n+1} are ANR's [3, p. 90], and it follows that $(E_{n+1}, \phi_{n+1}(X), P_{n+1}^*, P_{n+1}, 3\varepsilon_{n+1})$ is a $3\varepsilon_{n+1}$ -pair of $\phi_{n+1}(X)$ in E_{n+1} . Thus condition (II_{n+1}) is satisfied.

Suppose $x \in P_{n+1}$. Then there exists a $B_{\varepsilon_{n+1}/2}(p_i^{n+1})$ and a $y \in B_{\varepsilon_{n+1}/2}(p_i^{n+1})$ such that $d(x, y) \leq 3\varepsilon_{n+1}$. There exists a $z \in X$ such that $\phi_{n+1}(z) \in B_{\varepsilon_{n+1}/2}(p_i^{n+1})$. Thus $d(x, z) \leq d(x, y) + d(y, \phi_{n+1}(z)) + d(\phi_{n+1}(z), z) < 5\varepsilon_{n+1}$. We conclude the following:

$$(1) \quad \text{If } x \in P_{n+1} \text{ then } d(x, X) < 5\varepsilon_{n+1}.$$

Set $A_{n+1} = \{x: x \in \phi_{n+1}^{-1}(P_{n+1}) \text{ and } d(x, P_{n+1}) \leq 3\varepsilon_{n+1}\}$. By Lemma 2, A_{n+1} is an ANR. We have $\phi_{n+1}(X) \subseteq \text{Int } P_{n+1}^*$ and if $x \in X$ then $d(x, P_n) < \varepsilon_{n+1}$. Thus, $X \subseteq \text{Int}(A_{n+1})$. Let $x \in A_{n+1}$. Then $d(x, \phi_{n+1}(x)) \leq 3\varepsilon_{n+1}$ and by (1) $d(\phi_{n+1}(x), X) < 5\varepsilon_{n+1}$. So $d(x, X) < 8\varepsilon_{n+1} < \varepsilon_n^*$. Thus, $x \in W_n$ and it follows, from the fact that $A_{n+1} \subseteq W_n \subseteq \text{Int } A_n$, that $A_{n+1} \subseteq \text{Int } A_n$. By construction $P_n \cap A_{n+1} = \emptyset$. Condition (III_{n+1}) is satisfied. We also note that $\phi_n(P_{n+1}) \subseteq P_n^*$. This follows since $P_{n+1} \subseteq W_n$.

We set $B_{n+1} = \{x: x \in E_{n+1} \cap \phi_n^{-1}(P_n^*) \text{ and } d(x, P_n^*) \leq (23/18)\varepsilon_n\}$. Suppose $x \in P_{n+1}$. Then $x \in E_{n+1}$. Also, $d(x, P_n^*) \leq d(x, X) + \varepsilon_n$. By (1) and the definitions of P_n^* and ε_{n+1} , we have $d(x, P_n^*) \leq 5\varepsilon_{n+1} + \varepsilon_n \leq (23/18)\varepsilon_n$. We conclude that $P_{n+1} \subseteq B_{n+1}$. By Lemma 2 and the fact that E_{n+1} is finite dimensional, we have that B_{n+1} is a compact ANR. Furthermore, it is clear that $B_{n+1} \subseteq \text{Int}(A_n)$. We defined

$$R_{n+1}^* = P_n \cup B_{n+1} \cup A_{n+1}.$$

It is clear that R_{n+1}^* is a closed subspace of A_n and by [3, p. 90] R_{n+1}^* is an ANR. So there exists an open subset U_{n+1}^* of R_{n+1}^* in A_n and a retraction $r_{n+1}: U_{n+1}^* \rightarrow R_{n+1}^*$. For each $x \in A_{n+1} \cup B_{n+1}$ there exists a pair of neighborhoods M_x^{n+1}, N_x^{n+1} such that $\text{dia}(M_x^{n+1}) < \varepsilon_{n+1}/2$, $\text{dia } \phi_n(M_x^{n+1}) < \varepsilon_{n+1}$, $N_x^{n+1} \subseteq M_x^{n+1} \subseteq U_{n+1}^*$ and $r_{n+1}(N_x^{n+1}) \subseteq M_x^{n+1}$. Set

$$U_{n+1} = \bigcup \{N_x^{n+1}: x \in A_{n+1} \cup B_{n+1}\}.$$

Now suppose $x \in U_{n+1}$. Then it is easy to see that $\phi_n(\phi_{n+1}(r_{n+1}(x))) \in P_n$. We argue that the segment $[\phi_n(\phi_{n+1}(r_{n+1}(x))), \phi_n(x)] \subseteq P_n$. Assume $r_{n+1}(x) \in A_{n+1}$. Then there exists an M_y such that $x, r_{n+1}(x) \in M_y$. Since $\text{dia}(M_y) < \varepsilon_{n+1}/2$, $d(x, r_{n+1}(x)) < \varepsilon_{n+1}$. By the definition of A_{n+1} it follows that $d(\phi_{n+1}(r_{n+1}(x)), r_{n+1}(x)) < 3\varepsilon_{n+1}$. By (1) $d(\phi_{n+1}(r_{n+1}(x)), X) < 5\varepsilon_{n+1}$. From condition (I_n) , we conclude that if $z \in X$ then $d(z, P_n) < \varepsilon_n$. Combining the above we get

$$\begin{aligned} d(x, \phi_n(\phi_{n+1}(r_{n+1}(x)))) &\leq d(x, r_{n+1}(x)) + d(r_{n+1}(x), \phi_{n+1}(r_{n+1}(x))) \\ &\quad + d(\phi_{n+1}(r_{n+1}(x)), X) + \varepsilon_n < 9\varepsilon_{n+1} + \varepsilon_n. \end{aligned}$$

Thus, $d(x, \phi_n(x)) \leq 9\varepsilon_{n+1} + \varepsilon_n$ and

$$d(\phi_n(\phi_{n+1}(r_{n+1}(x))), \phi_n(x)) \leq 18\varepsilon_{n+1} + 2\varepsilon_n < 3\varepsilon_n .$$

By (II_n) the segment $[\phi_n(\phi_{n+1}(r_{n+1}(x))), \phi_n(x)] \subseteq P_n$. Suppose $r_{n+1}(x) \in B_{n+1}$. As in the case above, $d(x, r_{n+1}(x)) < \varepsilon_{n+1}$. Note that in this case $r_{n+1}(x) = \phi_{n+1}(r_{n+1}(x))$. By the definition of B_{n+1} , $d(\phi_{n+1}(r_{n+1}(x))), \phi_n(\phi_{n+1}(r_{n+1}(x))) \leq (23/18)\varepsilon_n$. So

$$\begin{aligned} d(x, \phi_n(\phi_{n+1}(r_{n+1}(x)))) &\leq d(x, r_{n+1}(x)) + d(\phi_{n+1}(r_{n+1}(x)), \phi_n(\phi_{n+1}(r_{n+1}(x)))) \\ &< \varepsilon_{n+1} + \frac{23}{18}\varepsilon_n . \end{aligned}$$

Thus, $d(x, \phi_n(x)) \leq \varepsilon_{n+1} + (23/18)\varepsilon_n$ and

$$d(\phi_n(\phi_{n+1}(r_{n+1}(x))), \phi_n(x)) \leq 2\varepsilon_{n+1} + \frac{23}{9}\varepsilon_n < \frac{24}{9}\varepsilon_n < 3\varepsilon_n .$$

Thus, by (II_n) the segment $[\phi_n(\phi_{n+1}(r_{n+1}(x))), \phi_n(x)] \subseteq P_n$. Finally, suppose $r_{n+1}(x) \in P_n$. As in the cases above, $d(x, r_{n+1}(x)) < \varepsilon_{n+1}$. Since $r_{n+1}(x) \in P_n$, $d(x, E_n) \leq \varepsilon_{n+1}$. Thus, $d(r_{n+1}(x), \phi_n(x)) < 2\varepsilon_{n+1}$. But in this case $r_{n+1}(x) = \phi_n(\phi_{n+1}(r_{n+1}(x)))$. So $d(\phi_n(\phi_{n+1}(r_{n+1}(x))), \phi_n(x)) < 2\varepsilon_{n+1} < 3\varepsilon_n$. We also conclude in this final case that the segment $[\phi_n(\phi_{n+1}(r_{n+1}(x))), \phi_n(x)] \subseteq P_n$.

Set $R_{n+1} = P_n \cup B_{n+1}$. For each $x \in U_{n+1}$ define $a_{n+1}(x) = d(x, A_{n+1} \cup B_{n+1})$ and $b_{n+1}(x) = d(x, A_n - U_n)$. We define

$$f_{n+1}: A_n \longrightarrow R_{n+1}$$

by

$$f_{n+1}(x) = \begin{cases} \phi_n(x) & \text{if } x \in A_n - U_{n+1} , \\ \frac{b_{n+1}(x)(\phi_n(\phi_{n+1}(r_{n+1}(x)))) + (a_{n+1}(x) - b_{n+1}(x))\phi_n(x)}{a_{n+1}(x)} & , \\ a_{n+1}(x) \geq b_{n+1}(x) \\ \frac{a_{n+1}(x)(\phi_n(\phi_{n+1}(r_{n+1}(x)))) + (b_{n+1}(x) - a_{n+1}(x))\phi_{n+1}(r_{n+1}(x))}{b_{n+1}(x)} & \text{if} \\ a_{n+1}(x) \leq b_{n+1}(x) , \\ \phi_{n+1}(x) & : x \in A_{n+1} . \end{cases}$$

By \mathcal{P}_3 and \mathcal{P}_4 we have that if $x \in B_{n+1}$, then the segment $[x, \phi_n(x)] \subseteq B_{n+1}$. It follows that f_{n+1} is a compact retraction from A_n to R_{n+1} , $R_{n+1} \cap A_{n+1} = P_{n+1}$, $R_{n+1} \cap R_n = P_n$, $f_{n+1}(x) = f_n(x)$ for $x \in bd(A_n)$ and $f_{n+1}(x) = \phi_{n+1}(x)$ for $x \in A_{n+1}$. It is easy to see that if $x \in A_n$, then $d(x, R_{n+1}) \leq 3$ and $d(x, f_{n+1}(x)) \leq 3\varepsilon_n$.

We have satisfied the conditions for $k = n + 1$; thus, the conditions can be satisfied for all k . Set $R = \bigcup_{n=1}^{\infty} (R_n) \cup X$.

We define $f: N \rightarrow R$ by

$$f(x) = \begin{cases} x: & \text{if } x \in X \\ f_n(x): & \text{if } x \in A_{n-1} - A_n. \end{cases}$$

It is clear that f is a continuous function for all $x \in X$. Now suppose $x \in X$ and let $\varepsilon > 0$. By (I_n) , there exists an M such that if $n \geq M$ then $3\varepsilon_n < \varepsilon/2$. Choose a neighborhood N_x of diameter $< \varepsilon/2$ about x in A_M . Then if $y \in N_x$, $d(f(y), y) < 3\varepsilon_M < \varepsilon/2$ and $d(y, x) < \varepsilon/2$. Thus, $d(f(x), f(y)) < \varepsilon$ and we conclude that f is continuous at x . It is easy to see that R is compact and $f(x) = x$ for each $x \in R$. Thus, $f: N \rightarrow R$ is a compact retraction. The space R is the desired AR.

Let U be an open set that contains X . Then there exists an n such that A_n is a closed subset of U . Now A_n is an absolute neighborhood retract for metric spaces. So there exists an open set V of U that contains A_n and a retraction $r: V \rightarrow A_n$. Then $f|_{A_n \circ r}$ is the desired retraction, and $R^* = f(A_n)$ is the desired ANR.

3. Applications. In this section, Theorem 1 will be used to establish a number of results.

The following extension theorem is due to Dugundji and Granas [7].

THEOREM 2. *Let A be a closed subset of a normal space X and let N be a normed linear space. Suppose that $f: A \rightarrow N$ is a continuous mapping such that $\overline{f(A)}$ is compact. Then there exists an extension, $F: X \rightarrow N$, of f such that $\overline{f(X)}$ is compact.*

Proof. The Dugundji extension theorem [6] assures that f has an extension $F^*: X \rightarrow N$. Theorem 1 implies that there exists a compact AR R such that $\overline{f(A)} \subseteq R$. There exists a retraction $r: N \rightarrow R$. The composition $r \circ F^* = F$ is the desired extension.

THEOREM 3. [11] *Let X be an AR and let $f: X \rightarrow X$ be a continuous function such that $\overline{f(X)}$ is compact. Then f has a fixed point.*

Proof. By the Arens-Eells embedding theorem [1], X can be realized as a closed subset of a normed linear space N .

There exists a retraction $r: N \rightarrow X$ from N to X . By Theorem 1 there exists a compact AR R such that $f(X) \subseteq R$. Set $g = f \circ r|_R$. Since every compact AR has the fixed point property, the function $g: R \rightarrow R$ has a fixed point x . Thus, $x = g(x) = f(r(x)) = f(x)$. So f has a fixed point.

The Čech homology groups and the singular homology groups of a compact AR are isomorphic [13, p. 145]. Theorem 1 implies that in the class of compact subsets of an open subset of a normed linear space the compact AR's are cofinal. Thus we have the following theorem.

THEOREM 4³. *The Čech homology groups with compact support and the singular homology groups of an open subset of a normed linear space are isomorphic.*

A multi-valued upper semi-continuous mapping $\phi: X \rightarrow Y$ is said to be admissible if for each $x \in X$, $\phi(x)$ is compact and acyclic [8, 9]. The following theorem, which is a generalization of Theorem 2, is an important special case of the principal result of [8].

THEOREM 5. *Let X be an ANR and let $\phi: X \rightarrow X$ be an admissible map such that $\overline{\phi(X)}$ is compact. Then the Lefschetz number of ϕ , $L\phi$, can be defined, and $L\phi \neq 0$ implies that there exists an $x \in X$ such that $x \in \phi(x)$.*

Proof. Górniewicz and Granas [9] prove this result for the case that X is a topologically complete ANR. Their argument carries over to the incomplete case if Lemma 9.1 of [9] is replaced by Theorem 1.

The following theorem, which is a special case of [4.4, p. 95, 10] follows from Theorem 1 and Theorem 11 of [4].

THEOREM 6. *Let X be an AR and $f: X \rightarrow X$ be a continuous and locally compact mapping from X to X . If for some positive integer n , $f^n(X)$ is compact, then f has a fixed point.*

REFERENCES

1. R. F. Arens and J. Eells, Jr., *On embedding uniform and topological spaces*, Pacific J. Math., **6** (1956), 397-403.
2. N. Aronszajn and K. T. Smith, *Invariant subspaces of completely continuous operators*, Annals of Math., **2** (1960), 345-380.
3. K. Borsuk, *Theory of retracts*, Monografie Matematyczne, vol. **44**, Warszawa, (1967).
4. F. Browder, *Fixed point theorems on infinite dimensional manifolds*, Trans. Amer. Math. Soc., (1965), 179-193.
5. J. A. Clarkson, *Uniformly convex spaces*, Trans. Amer. Math. Soc., (1936), 396-414.
6. J. Dugundji, *An extension of Tietze's theorem*, Pacific J. Math., **1** (1951), 353-367.

³ I would like to express my appreciation to L. Gorniewicz for pointing out the application.

7. J. Dugundji and A. Granas, *Fixed point theory*, vol. **1**, to appear.
8. L. Górniewicz, *Homological methods in fixed-point theory of multi-valued maps*, *Dissertationes Mathematicae*, **129** (1976).
9. L. Górniewicz and A. Granas, *Fixed point theorems for multi-valued mappings of the absolute neighborhood retracts*, *J. de Math, Pure et Appl.*, **49** (1970), 381-395.
10. A. Granas, *Points Fixes pour Les Applications Compactes: Espaces de Lefschetz et la Théorie de L'Indice*, Les Presses de L'Université de Montréal, 1980.
11. ———, *Topics in infinite dimensional topology*, Séminaire Jean Leray, Collège de France (1969-1970).
12. R. Holmes, *Geometric Functional Analysis and its Applications*, Springer-Verlag, 1975.
13. S. T. HU, *Theory of Retracts*, Wayne State Univ. Press, 1965.

Received September 3, 1980.

CALIFORNIA POLYTECHNIC STATE UNIVERSITY
SAN LUIS OBISPO, CA 93407

