

NON-NORMAL BLASCHKE QUOTIENTS

SHINJI YAMASHITA

A quotient B_1/B_2 of two infinite Blaschke products B_1 and B_2 with no common zero is called a Blaschke quotient. The existence of a Blaschke quotient which is not normal in the open unit disk D , is well known. We shall show among other things, that, for each $p, 0 < p < \infty$, there exists a nonnormal Blaschke quotient f such that

$$\iint_D (1 - |z|)^p |f'(z)|^2 / (1 + |f(z)|^2)^2 dx dy < \infty .$$

This might be of interest because, if g is meromorphic in D and if $\iint_D |g'(z)|^2 / (1 + |g(z)|^2)^2 dx dy < \infty$, then g is normal in D .

1. Introduction. By a Blaschke product we mean a holomorphic function in $D = \{|z| < 1\}$, denoted by

$$B(z; \{c_n\}) = \prod_{n=1}^{\infty} \frac{|c_n|}{c_n} \frac{c_n - z}{1 - \bar{c}_n z} ,$$

where $\{c_n\}$ is an infinite complex sequence satisfying $0 < |c_n| < 1$, $n = 1, 2, \dots$, and $\sum (1 - |c_n|) < \infty$. By a Blaschke quotient we mean a meromorphic function in D , defined by

$$Q(z; \{c_n\}, \{c'_n\}) = B(z; \{c_n\}) / B(z; \{c'_n\}) ,$$

where the Blaschke products in the right-hand side have no zero in common.

A meromorphic function f in D is called normal in D if $\sup_{z \in D} (1 - |z|) |f^*(z)| < \infty$, where $f^* = |f'| / (1 + |f|^2)$; see [5]. We shall construct nonnormal Blaschke quotients with some additional properties. It is easy to merely construct a nonnormal Blaschke quotient. For example, set $c_n = 1 - (2n)^{-\lambda}$ and $c'_n = 1 - (2n + 1)^{-\lambda}$, $n = 1, 2, \dots$, where $\lambda > 1$ is a constant. Then $Q(z) = Q(z; \{c_n\}, \{c'_n\})$ is not normal. Actually, let

$$\sigma(z_1, z_2) = \frac{1}{2} \log \frac{1 + \rho(z_1, z_2)}{1 - \rho(z_1, z_2)}$$

be the non-Euclidean distance between z_1 and z_2 in D , where

$$\rho(z_1, z_2) = |z_1 - z_2| / |1 - \bar{z}_1 z_2| .$$

Then, $Q(c_n) = 0$, $Q(c'_n) = \infty$, $n \geq 1$, and $\lim_{n \rightarrow \infty} \sigma(c_n, c'_n) = 0$. Therefore, Q is not uniformly continuous from D , endowed with $\sigma(\cdot, \cdot)$,

into the Riemann sphere, endowed with the spherical chordal distance. Consequently, Q is not normal in D . Accordingly, J. A. Cima [3, Theorem 4] proved the existence of a nonnormal Blaschke quotient $Q(z; \{c_n\}, \{c'_n\})$ with $\inf_{j, k \geq 1} \sigma(c_j, c'_k) > 0$.

There is another way of finding nonnormal Blaschke quotients. Namely, if a Blaschke quotient Q has two asymptotic values at one boundary point of D , then Q is not normal in D by [5, Theorem 2]. Therefore, one can easily conclude that the Blaschke quotients found by D. A. Storvick [6, p. 37] and C. Tanaka [7, Theorem 2] both are not normal in D . A meromorphic function f in D is said to have the left angular limit w (possibly, ∞) at 1 if $f(z) \rightarrow w$ as $z \rightarrow 1$ within each triangular domain whose vertices are 1 and two points in $D^+ = \{z \in D \mid \text{Im } z > 0\}$. Also, f is said to have the right angular limit w at 1 if $\overline{f(\bar{z})}$ has the left angular limit \bar{w} at 1 (convention: $\overline{\infty} = \infty$). A Blaschke quotient $Q(z) = Q(z; \{c_n\}, \{c'_n\})$ is called symmetric if $\bar{c}_n = c'_n$ for each n . If Q is symmetric, then $Q(z)\overline{Q(\bar{z})} \equiv 1$ in D , so that Q has the left angular limit w at 1 if and only if Q has the right angular limit $1/\bar{w}$ (convention: $1/0 = \infty$, $1/\infty = 0$) at 1. Therefore, if Q is symmetric and if Q has the left angular limit 0 at 1, then Q is never normal in D because Q has 0 and ∞ as asymptotic values at 1.

Now, for f meromorphic in D , we set

$$S_p(f) = \iint_D (1 - |z|)^p f^*(z)^2 dx dy, \quad z = x + iy, \quad 0 \leq p < \infty.$$

It is familiar that if $S_0(f) < \infty$, then f is normal in D . It is not difficult to observe that $S_1(Q) < \infty$ for each Blaschke quotient Q . In effect, since Q is of bounded characteristic in the sense of R. Nevanlinna, it follows from

$$\int_0^1 \left[\iint_{|z| < r} Q^*(z)^2 dx dy \right] dr < \infty,$$

that $S_1(Q) < \infty$; see (2.10) in §2.

Our first result is

THEOREM 1. *Let $0 < p < 1$, and let $0 < q < \infty$. Then there exists a symmetric Blaschke quotient $Q(z) = Q(z; \{a_n\}, \{\bar{a}_n\})$ satisfying the following three conditions.*

- (I) $\inf_{j, k \geq 1} \sigma(a_j, \bar{a}_k) \geq q$.
- (II) Q has 0 as the left angular limit at 1.
- (III) $S_p(Q) < \infty$.

If we restrict p in (III) of Theorem 1 as $1/2 < p < 1$, then we can construct Q with an additional property.

By a left horocyclic angle at 1 we mean a domain

$$\{z \in D^+ | 1 - x_1 < |z - x_1| \text{ and } 1 - x_2 > |z - x_2|\},$$

where $0 < x_2 < x_1 < 1$. A meromorphic function f in D is said to have the left horocyclic angular limit w at 1 if $f(z) \rightarrow w$ as $z \rightarrow 1$ within each left horocyclic angle at 1; the notion is essentially due to F. Bagemihl [1]. Also, f is said to have the right horocyclic angular limit w at 1 if $\overline{f(\overline{z})}$ has \overline{w} as the left horocyclic angular limit at 1. Again, a symmetric Blaschke quotient Q has the left horocyclic angular limit w at 1 if and only if Q has the right horocyclic angular limit $1/\overline{w}$ at 1. Therefore, if a symmetric Q has the left horocyclic angular limit 0 at 1, then Q is never normal in D .

THEOREM 2. *Let $1/2 < p < 1$, and let $0 < q < \infty$. Then there exists a symmetric Blaschke quotient $Q(z) = Q(z; \{a_n\}, \{\overline{a}_n\})$ satisfying the same conditions as (I), (II), and (III) in Theorem 1, together with*

(III) Q has 0 as the left horocyclic angular limit at 1.

Lastly in the present section, we remark that Cima and P. Colwell [4, Theorem 2] proposed a necessary and sufficient condition for a Blaschke quotient to be normal in D in terms of interpolating sequences.

2. Proof of Theorem 1. By the linear transformation $w = \varphi(z) \equiv (1 + z)/(1 - z)$, the disk D is mapped onto the right half-plane R , so that, $R^+ = \varphi(D^+)$ is the first quadrant in the w -plane. Furthermore, by φ , the chord $L(\theta) = \{z \in D | \arg(1 - z) = \theta\}$, $|\theta| < \pi/2$, is mapped onto the half-line:

$$A(\theta) = \{w = x + iy \in R | y = (-\tan \theta)(x + 1)\}.$$

By a simple calculation one obtains

$$(2.1) \quad 1 - |z|^2 = 4 \operatorname{Re} w / |w + 1|^2, \quad w = \varphi(z), \quad z \in D,$$

and

$$(2.2) \quad \rho(z_1, z_2) = |w_1 - w_2| / |\overline{w}_1 + w_2|$$

for $w_j = \varphi(z_j)$, $z_j \in D$, $j = 1, 2$.

To construct Q we choose A , $0 < A < 1$, such that

$$(2.3) \quad \frac{1}{2} \log \frac{1 + t}{1 - t} = q \quad \text{and} \quad t = A/(1 + A^2)^{1/2}.$$

Choose θ_0 , $-\pi/2 < \theta_0 < 0$, so that $A = -\tan \theta_0$, and then choose $s > 1/p > 1$. Consider the sequence of points $b_n \in A(\theta_0)$ such that $b_n = x_n + iy_n = n^s + iA(n^s + 1)$, $n = 1, 2, \dots$. Let $a_n = \varphi^{-1}(b_n)$, $n \geq 1$. Then $\{a_n\} \subset L(\theta_0)$. We then set $Q(z) = Q(z; \{a_n\}, \{\bar{a}_n\})$. First of all, Q is well defined because, by (2.1),

$$(2.4) \quad \begin{aligned} \sum (1 - |a_n|) &= \sum (1 - |\bar{a}_n|) \leq \sum (1 - |a_n|)^p \\ &\leq \sum (1 - |a_n|^2)^p \leq 4^p \sum n^{-2sp} < \infty . \end{aligned}$$

Further, one observes that

$$(2.5) \quad |Q(z)| = g(w) \equiv \prod_{n=1}^{\infty} g_n(w), \quad w = \varphi(z),$$

where $g_n(w) = |w^2 - b_n^2|/|w^2 - \bar{b}_n^2|$, $n \geq 1$.

Proof of (I). Let $w = x + iy \in R$, $\zeta = \xi + i\eta \in R$, with $y \geq A(x + 1)$, $\eta \geq A(\xi + 1)$. Since

$$X \equiv (x + \xi)/(y + \eta) \leq A^{-1},$$

it follows that

$$|w - \bar{\zeta}|/|w + \zeta| \geq (X^2 + 1)^{-1/2} \geq (1 + A^{-2})^{-1/2} = t.$$

In view of (2.2) one can now easily conclude that $\rho(a_j, \bar{a}_k) \geq t$, so that $\sigma(a_j, \bar{a}_k) \geq q$ for all $j, k \geq 1$.

Proof of (II). To prove that

$$(2.6) \quad \lim_{\substack{z \rightarrow 1 \\ z \in L(\theta_0)}} Q(z) = 0,$$

it suffices by (2.5) to show that

$$(2.7) \quad \lim_{\substack{w \rightarrow \infty \\ w \in A(\theta_0)}} g(w) = 0.$$

Since $g_n(w) \leq 1$ for all $w \in R^+$ and for all $n \geq 1$, it follows that

$$(2.8) \quad g(w) \leq g_n(w) \leq 1 \quad \text{for all } w \in R^+ \text{ and all } n \geq 1.$$

Given $\varepsilon > 0$, one can find a natural number N such that $x_{n+1}/x_n - 1 < \varepsilon$ for all $n \geq N$. Then, for each $w = x + iy \in A(\theta_0)$ with $x \geq x_N$,

$$(2.9) \quad g(w) \leq A_1 \varepsilon, \quad A_1 = \frac{1}{2}(A + A^{-1}),$$

which proves (2.7). To make sure of (2.9), we first find $n \geq N$ such that $x_n \leq x \leq x_{n+1}$. Then,

$$|w - b_n| = (1 + A^2)^{1/2}(x - x_n) \leq (1 + A^2)^{1/2}(x_{n+1} - x_n) ,$$

$$|w + \bar{b}_n| \geq x + x_n \geq 2x_n ,$$

whence

$$|w - b_n|/|w + \bar{b}_n| \leq \frac{1}{2}(1 + A^2)^{1/2}\varepsilon .$$

On the other hand,

$$|w + b_n|/|w - \bar{b}_n| \leq [(x + x_n)^2 + A^2(x + x_n + 2)^2]^{1/2}/[A(x + x_n + 2)] \leq (1 + A^{-2})^{1/2} ,$$

so that $g_n(w) \leq A_1\varepsilon$. Therefore, in view of (2.8), one can assert (2.9).

Since $|Q(z)| = g(\varphi(z)) \leq 1$ in D^+ by (2.8), and since (2.6) holds, it follows from E. Lindelöf's theorem [8, Theorem VIII. 10, p. 306], together with an obvious conformal homeomorphism from the upper half-disk onto D^+ , mapping 0 to 1, that Q has the left angular limit zero at 1.

Proof of (III). We remember L. Carleson's family T_α of meromorphic functions h in D such that

$$I_\alpha(h) \equiv \int_0^1 (1 - r)^{-\alpha} \left[\iint_{|z| < r} h^*(z)^2 dx dy \right] dr < \infty ,$$

where $0 \leq \alpha < 1$; see [2, p. 19]. Letting $X_r(z)$ be the characteristic function of the disk $\{|z| < r\}$, one observes that

$$(2.10) \quad \begin{aligned} I_\alpha(h) &= \int_0^1 (1 - r)^{-\alpha} \left[\iint_D X_r(z) h^*(z)^2 dx dy \right] dr \\ &= \iint_D \left[\int_0^1 (1 - r)^{-\alpha} X_r(z) dr \right] h^*(z)^2 dx dy = (1 - \alpha)^{-1} S_{1-\alpha}(h) . \end{aligned}$$

For a Blaschke quotient $Q_1(z) = Q(z; \{c_n\}, \{c'_n\})$ we assume that

$$\sum (1 - |c_n|)^{1-\alpha} < \infty \quad \text{and} \quad \sum (1 - |c'_n|)^{1-\alpha} < \infty .$$

Then it follows from [2, Theorem 2.2, p. 24] that $Q_1 \in T_\alpha$.

Returning to our Q , we can easily conclude from (2.4) that $Q \in T_{1-p}$, whence $S_p(Q) < \infty$ by (2.10).

REMARK. The Blaschke quotient Q , described in the second paragraph in § 1, satisfies $S_p(Q) < \infty$, for a p , $0 < p < 1$, provided that $\lambda < 1/p$.

3. Proof of Theorem 2. Let $\lambda > (1/2)(p^{-1} + 1)$ and $1/(2p) < \mu < 1$, and $y_{n,m} = n^\lambda m^\mu$ ($n, m = 1, 2, \dots$). Let t and A be as in (2.3).

Then, for each fixed $n \geq 1$, we may find a natural number M_n such that

$$y_{n,m} \geq A(n+1) \geq A(n^{-1}+1) \quad \text{for all } m \geq M_n.$$

Then, for each fixed $n \geq 1$, the points $b_{n,m} = n + iy_{n,m}$, $m \geq M_n$, lie on the half-line $\Gamma(n) = \{w \in R^+ \mid \operatorname{Re} w = n\}$, so that $a_{n,m} = \varphi^{-1}(b_{n,m})$ ($m \geq M_n$) lie on the half-oricycle $C(n) = \varphi^{-1}(\Gamma(n))$. Similarly, for each fixed $n \geq 2$, the points $b_{n,m}^* = n^{-1} + iy_{n,m}$, $m \geq M_n$, lie on the half-line $\Gamma^*(n) = \{w \in R^+ \mid \operatorname{Re} w = n^{-1}\}$, so that $a_{n,m}^* = \varphi^{-1}(b_{n,m}^*)$ ($m \geq M_n$) lie on the half-oricycle $C^*(n) = \varphi^{-1}(\Gamma^*(n))$. Let $\{a_n\} = \{a_{n,m}\} \cup \{a_{n,m}^*\}$. Then $Q(z) = Q(z; \{a_n\}, \{\bar{a}_n\})$ is the requested. We first observe that, for $n \geq 1$,

$$\beta_n \equiv \sum_{m \geq M_n} [\operatorname{Re} b_{n,m} / |b_{n,m} + 1|^2]^p \leq n^{p(1-2\lambda)} \sum_{m=1}^{\infty} m^{-2p\mu},$$

and for $n \geq 2$,

$$\beta_n^* \equiv \sum_{m \geq M_n} [\operatorname{Re} b_{n,m}^* / |b_{n,m}^* + 1|^2]^p \leq n^{-p(1+2\lambda)} \sum_{m=1}^{\infty} m^{-2p\mu}.$$

Since $p(1+2\lambda) > p(2\lambda-1) > 1$ and $2p\mu > 1$, it follows from (2.1) that

$$\begin{aligned} \sum (1 - |a_n|) &\leq \sum (1 - |a_n|^2)^p \\ (3.1) \quad &\leq 4^p \left(\sum_{n=1}^{\infty} \beta_n + \sum_{n=2}^{\infty} \beta_n^* \right) < \infty, \end{aligned}$$

so that Q is well defined. Now, one observes that

$$(3.2) \quad |Q(z)| = G(w) \equiv \prod_{n=1}^{\infty} G_n(w) \prod_{n=2}^{\infty} G_n^*(w), \quad w = \varphi(z),$$

where

$$\begin{aligned} G_n &= \prod_{m=M_n}^{\infty} g_{n,m}, & G_n^* &= \prod_{m=M_n}^{\infty} g_{n,m}^*, \\ g_{n,m}(w) &= |w^2 - b_{n,m}^2| / |w^2 - \bar{b}_{n,m}^2|, \\ g_{n,m}^*(w) &= |w^2 - b_{n,m}^{*2}| / |w^2 - \bar{b}_{n,m}^{*2}|. \end{aligned}$$

Proof of (I). The same as that of (I) of Theorem 1.

Proofs of (II) and (III). We shall first show that

$$(3.3) \quad \lim_{\substack{z \rightarrow 1 \\ z \in C(n)}} Q(z) = 0 \quad \text{for all } n \geq 1,$$

and

$$(3.4) \quad \lim_{\substack{z \rightarrow 1 \\ z \in C^*(n)}} Q(z) = 0 \quad \text{for all } n \geq 2 .$$

Since $g_{n,m}(w) \leq 1$ and $g_{n,m}^*(w) \leq 1$ for all $w \in R^+$ and for all possible pairs n, m , it follows that

$$(3.5) \quad G(w) \leq g_{n,m}(w) \leq 1, \quad w \in R^+, \quad n \geq 1, \quad m \geq M_n,$$

and

$$(3.6) \quad G(w) \leq g_{n,m}^*(w) \leq 1, \quad w \in R^+, \quad n \geq 2, \quad m \geq M_n.$$

For the proof of (3.3), it suffices by (3.2) to show that

$$(3.7) \quad \lim_{\substack{w \rightarrow \infty \\ w \in \Gamma(n)}} G(w) = 0, \quad n \geq 1 .$$

Since $\mu < 1$, it follows that, for each $n \geq 1$ and for a given $\varepsilon > 0$ there exists a natural number $M'_n \geq M_n$ such that $y_{n,m+1} - y_{n,m} < \varepsilon$ for all $m \geq M'_n$. Then, for each $w = n + iy \in \Gamma(n)$ with $y \geq y_{n,M'_n}$, there exists $m \geq M'_n$ such that $y_{n,m} \leq y \leq y_{n,m+1}$. Consequently,

$$|w - b_{n,m}| / |w + \bar{b}_{n,m}| \leq (y_{n,m+1} - y_{n,m}) / (2n)$$

and

$$\left| \frac{w + b_{n,m}}{w - \bar{b}_{n,m}} \right| \geq \sqrt{1 + \frac{4n^2}{(2y_{n,m})^2}} \leq \sqrt{1 + n^{2-2\lambda}},$$

so that, by (3.5), $G(w) \leq g_{n,m}(w) \leq k_n \varepsilon$, where k_n is a constant depending only on n . The proof of (3.7) is thus complete. Similarly we can prove, via (3.6), that

$$\lim_{\substack{w \rightarrow \infty \\ w \in \Gamma^*(n)}} G(w) = 0, \quad n \geq 2,$$

which, together with (3.2), shows (3.4). By the Lindelöf theorem [8, Theorem VIII. 10, p. 306] again, (II) is established. For the proof of the horocyclic part, we first note that $|Q| \leq 1$ in D^+ . Set $\mathcal{C} = \{C(n) | n \geq 1\} \cup \{C^*(n) | n \geq 2\}$. Then for each left horocyclic angle H at 1, we may find members C_1 and C_2 of \mathcal{C} such that the left horocyclic angle H_1 at 1, bounded by C_1 and C_2 and a line segment on the real axis, contains H . Since

$$\lim_{\substack{z \rightarrow 1 \\ z \in C_j}} Q(z) = 0, \quad j = 1, 2,$$

by (3.3) and/or (3.4), it follows from another theorem of Lindelöf [8, Theorem VIII. 7, p. 304], via an obvious conformal homeomorphism, that $Q(z)$ has the limit 0 as $z \rightarrow 1$ within H_1 containing H . We have thus established (III).

Proof of (III). The same as that of (III) of Theorem 1.

REFERENCES

1. Frederick Bagemihl, *Horocyclic boundary properties of meromorphic functions*, Ann. Acad. Sci. Fenn. Ser. AI, Math., **385** (1966), 1-18.
2. Lennart Carleson, *On a class of meromorphic functions and its associated exceptional sets*, Thesis, Uppsala, 1950.
3. Joseph A. Cima, *A nonnormal Blaschke-quotient*, Pacific J. Math., **15** (1965), 767-773.
4. Joseph A. Cima and Peter Colwell, *Blaschke quotients and normality*, Proc. Amer. Math. Soc., **19** (1968), 796-798.
5. Olli Lehto and Kaarlo I. Virtanen, *Boundary behaviour and normal meromorphic functions*, Acta Math., **97** (1957), 47-65.
6. David A. Storvick, *On meromorphic functions of bounded characteristic*, Proc. Amer. Math. Soc., **8** (1957), 32-38.
7. Chuji Tanaka, *On the boundary values of Blaschke products and their quotients*, Proc. Amer. Math. Soc., **14** (1963), 472-476.
8. Masatsugu Tsuji, *Potential Theory in Modern Function Theory*, Maruzen Co., Ltd., Tokyo, 1959.

Received April 6, 1979.

TOKYO METROPOLITAN UNIVERSITY
FUKAZAWA, SETAGAYA-KU,
TOKYO, 158 JAPAN