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MINIMAL POLYNOMIALS FOR GAUSS CIRCULANTS AND CYCLOTOMIC UNITS

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To determine the minimal polynomial of the Gauss periods of degree f corresponding to a given rational prime $l > 3$ is a classical problem dating back to Gauss. In this paper I show that at least the beginning coefficients of their minimal polynomial can be computed in an elementary fashion. The methods used here extend to give a similar result for computing the minimal polynomials of the cyclotomic units.

1. Introduction. Let l denote a prime greater than 3 and fix $\zeta = \cos(2\pi/l) + i\sin(2\pi/l)$, a primitive l-root of unity. If $l - 1 = ef$ with $f > 1$ let K be the unique subfield of $Q(\zeta)$ with $[Q(\zeta): K] = f$. Choose a generator s for the subgroup $(Z_l^x)^e$ of e-powers in the full group Z_l^x of reduced residue classes modulo l. Fix a set of integers $t_1, t_2, \cdots, t_e$ to represent the cosets $H_1, H_2, \cdots, H_e$ of $(Z_l^x)/(Z_l^x)^e$. The values

$$\mathrm{Tr}_{Q(\zeta)/K}(\zeta^{t_i}) \qquad (1 \leqq i \leqq e) \tag{1}$$

are the Gauss periods or circulants of degree f corresponding to l [4]. Their common minimal polynomial has the form

$$g(x) = x^e + a_1x^{e-1} + a_2x^{e-2} + \cdots + a_{e-1}x + a_e\,. \tag{2}$$

Determining the coefficients of $g(x)$ is a classical problem dating back to Gauss, and is intimately connected with the determination of the cyclotomic numbers of order e. Gauss himself determined the coefficients of $g(x)$ for fixed values $e \leqq 4$. For instance, when $e = 2$ he found that

$$g(x) = x^2 + x + (1 - (-1)^{(l-1)/2} \cdot l)/4 \qquad \text{[5, art. 356]}\,. \tag{3}$$

In case $e = 3$, the minimal polynomical

$$g(x) = x^3 + x^2 - (l - 1)x/3 - ((l - 1)/3 + kl)/9 \qquad \text{[5, art. 358]} \tag{4}$$

where the integer k is uniquely determined from the integral representation $4l = (3k - 2)^2 + 27N^2$. In particular, for $l = 13$, since $52 = (\pm 5)^2 + 27$ one finds $3k - 2 = -5$ so $k = -1$ and $g(x) = x^3 + x^2 - 4x + 1$ in (4).

For certain larger values, specifically $e = 5, 6, 7, 8, 9, 10, 11, 12, 14, 16, 20, 24, 30$, the cyclotomic numbers of order e have been determined through the efforts of Dickson, E. Lehmer, Whiteman,

Muskat, and more recently, Leonard and Williams (see [6] for an account of these results). For these values of e, the coefficients of the minimal polynomial $g(x)$ for the corresponding Gauss periods are readily computed from the cyclotomic numbers.

In this paper I take another approach-determining the coefficients of $g(x)$ in (2) for a fixed value f. The case $f = 2$ was known to Gauss [5, art. 337]. Here each coefficient a_r is given by a polynomial of degree $[r/2]$ in l; namely,

$$(5) \qquad a_r = (-1)^{[r/2]}\binom{(l-1)/2 - [(r+1)/2]}{[r/2]} \qquad (0 \leqq r \leqq e)$$

where [ ] denotes the greatest integer function. When $f > 2$ it is natural to ask if each coefficient a_r in (2) can be computed in similar fashion by some polynomial in l. Of course, Eisenstein and Gauss' results [1, p. 220] for the next cases $f = 3$ and 4 already indicate this is not so; the determination of the later coefficients becomes increasingly more dependent on the higher reciprocity laws. However, there is still evidence here that the beginning coefficients may follow such a pattern, and indeed I have found this to be the case. If p is the smallest prime factor of f, I will prove that if l is sufficiently larger than r then $a_r = P_r(l)$ where for each r, P_r is a polynomial in l of degree $[r/p]$. The method of proof provides a recursion to compute the P_r.

In the next section I actually consider the more general question of determining the coefficients of the minimal polynomial for a sum of Gauss periods (1). This leads me to establish similar results for the cyclotomic units in §3.

2. The minimal polynomial for a sum of Gauss periods. Let C denote a finite set of k positive integers (repetitions allowed). I wish to determine the beginning coefficients for the minimal polynomial of the sum,

$$(6) \qquad \theta = \mathrm{Tr}_{Q(\zeta)/K}\left(\sum_{c \in C} \zeta^c\right)$$

of Gauss periods (1), which I shall *always* assume generates K over Q. Under these hypotheses the minimal polynomial of θ has the form (2) and equals $g(x) = \prod_{i=1}^{e}(x - \theta^{(i)})$, where for $1 \leqq i \leqq e$, the $\theta^{(i)} = \mathrm{Tr}\,(\sum_C \zeta^{ct_i}) = \sum_C (\zeta^{ct_i} + \zeta^{cst_i} + \cdots + \zeta^{cs^{f-1}t_i})$ denote the distinct conjugates of θ in K. It is well known from the theory of equations [3] that the coefficients a_r of $g(x)$ can be computed in terms of the symmetric power sums $S_n = \sum (\theta^{(i)})^n$. Specifically, this is expressed by Newton's identities

$$(7) \qquad S_r + a_1 S_{r-1} + a_2 S_{r-2} + \cdots + a_{r-1} S_1 + r a_r = 0 \qquad (1 \leqq r \leqq e),$$

or equivalently in determinant form,

$$(8) \qquad a_r = \frac{(-1)^r}{r!} \begin{vmatrix} S_1 & 1 & 0 & 0 & 0 & \cdot & \cdot & \cdot & 0 \\ S_2 & S_1 & 2 & 0 & 0 & \cdot & \cdot & \cdot & 0 \\ S_3 & S_2 & S_1 & 3 & 0 & \cdot & \cdot & \cdot & 0 \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ S_{r-1} & S_{r-2} & S_{r-3} & \cdot & \cdot & \cdot & \cdot & S_1 & r-1 \\ S_r & S_{r-1} & S_{r-2} & \cdot & \cdot & \cdot & \cdot & S_2 & S_1 \end{vmatrix} \qquad (1 \leqq r \leqq e).$$

Already $a_1 = -S_1 = -\mathrm{Tr}_{Q(\zeta)/Q}(\sum_C \zeta^c) = k$ if no $c \equiv 0 \pmod{l}$. To compute the higher power sums I first note that the number $N(n)$ of ones (ζ^0) occurring in the multinomial expansion of any $(\theta^{(i)})^n = (\sum_C \zeta^{ct_i} + \zeta^{cst_i} + \cdots + \zeta^{cs^{f-1}t_i})^n$ is the number of tuples $(c_1, c_2, \cdots, c_n)$ in C^n satisfying a relation

$$(9) \qquad s^{\alpha_1} c_1 + s^{\alpha_2} c_2 + \cdots + s^{\alpha_n} c_n \equiv 0 \pmod{l}$$

for some choice of exponents $\alpha_i = 0, 1, 2, \cdots, f-1$ $(1 \leqq i \leqq n)$. Since the total number of terms in expanding $(\theta^{(i)})^n$ is $(fk)^n$, the number of nonones in the multinomial expansion of $(\theta^{(i)})^n$ is $(fk)^n - N(n)$. Taking into account the contribution of each term $(\theta^{(i)})^n$ in the power sum S_n, one finds a total of $(l-1)N(n)/f$ ones, and $(l-1)((fk)^n - N(n))/f$ nonones, exactly $((fk)^n - N(n))/f$ occurrences of each of the $(l-1)$ primitive l-roots of unity. Since $\sum_{i=1}^{l-1} \zeta^i = -1$, the value S_n must be $(l-1)N(n)/f - ((kf)^n - N(n))/f$, or equivalently

$$(10) \qquad S_n = lN(n)/f - k^n f^{n-1}.$$

I now establish the main result concerning the minimal polynomial of θ in (6). Let η be a fixed primitive f-root of unity and p be the smallest prime factor of f. For each $2 \leqq r \leqq e$ let $M(r)$ be the maximum of the sums

$$(11) \qquad (c_1 + c_2 + \cdots + c_r)^{\phi(f)}, \qquad c_i \text{ in } C,$$

where ϕ denotes, as customary, the Euler totient function. The beginning coefficients a_r can be computed as follows.

THEOREM 1. *For all primes $l \equiv 1 \pmod{f}$ and greater than $M(r)$ the coefficient a_r of the minimal polynomial of θ in* (6) *satisfies $a_r = P_r(l)$, where for each r, P_r is a polynomial of degree $[r/p]$ in l.*

Proof. I begin with two initial remarks. First, since $l \equiv 1 \pmod f$ each prime lying above l in $Q(\eta)$ has residue degree one. Thus the condition $l > M(r)$ ensures that for $n \leqq r$, no sum

$$s^{\alpha_1}c_1 + s^{\alpha_2} \cdot c_2 + \cdots + s^{\alpha_n}c_n \equiv 0 \pmod l \tag{12}$$

where $c_i \in C$ and $\alpha_i = 0, 1, 2, \cdots, f-1$ $(1 \leqq i \leqq n)$ unless

$$\eta^{\alpha_1}c_1 + \eta^{\alpha_2}c_2 + \cdots + \eta^{\alpha_n}c_n = 0\ , \tag{13}$$

since otherwise $l \leqq N_{Q(\eta)/Q}(\eta^{\alpha_1}c_1 + \cdots + \eta^{\alpha_n}c_n) \leqq M(r)$. Second, since each $c_i > 0$, if relation (12) holds the number n of terms in the sum is at least p.

Now it follows from the above remarks that $N(n) = 0$ for $1 \leqq n < p$, so $S_n = -k^n f^{n-1}$ $(1 \leqq n < p)$ in (10). If $p \mid n$ then $N(n) > 0$ since clearly the n-tuple $(c, c, \cdots, c)$, for any c in C, satisfies (13) by choosing n/p repetitions of $\eta^{f/p}c + \eta^{2f/p}c + \cdots + \eta^f c = 0$. Thus S_n is a polynomial expression of degree one in l whenever $p \mid n$.

I now proceed to prove the theorem by inducting on r. It easily follows from the preceding discussion that for $1 \leqq r < p$, the coefficients a_r are positive constants. Indeed, since $S_n = -k^n f^{n-1}$ $(1 \leqq n < p)$, one finds from (8) that

$$a_r = k^r((r-1)f + 1)((r-2)f + 1) \cdots (2f + 1)(f + 1)/r! \quad \text{for } 1 < r < p\ . \tag{14}$$

Now assume that $r \geqq p$ and that each coefficient a_n, for $n < r$, satisfies $a_n = P_n(l)$, where for each n, P_n is a polynomial of degree $[n/p]$ whose leading term has sign $(-1)^{[n/p]}$. Next write $r = up + v$ for integers u and v with $0 \leqq v < p$. Then one has from (7) that

$$\begin{aligned} ra_r = &-a_{r-1}S_1 - \cdots - a_{r-v}S_v - \cdots \\ &- a_{r-p}S_p - \cdots - a_{r-p-v}S_{p+v} - \cdots - a_0 S_r\ . \end{aligned} \tag{15}$$

From the induction hypothesis and the above remarks concerning the symmetric power sums S_n $(1 \leqq n \leqq p)$, the first v terms of the sum in (15) and the pth term each have leading term of degree $[r/p]$ and sign $(-1)^{[r/p]}$. The remaining terms are either of lower degree or have a leading term of degree $[r/p]$ and sign $(-1)^{[r/p]}$ also. Thus it follows that $a_r = P_r(l)$ for some polynomial expression P_r of degree $[r/p]$ in l whose leading term has sign $(-1)^{[r/p]}$. This completes the induction and the proof of the theorem.

The special choice $C = \{1\}$ yields the following corollary concerning the minimal polynomial of the Gauss periods (1).

COROLLARY. *The coefficient a_r for the minimal polynomial of*

the Gauss periods given in (1) *satisfies* $a_r = P_r(l)$ *if* $r < \sqrt[\phi(f)]{l}$, *where for each* r, P_r *is a polynomial of the degree* $[r/p]$. *In particular, for* $1 < r < p$, $P_r = 1/r!((r-1)f+1)((r-2)f+1)\cdots(2f+1)(f+1)$.

EXAMPLE 1. Upon calculating the numbers $N(1) = N(2) = 0$, $N(3) = 3$ and $N(4) = N(5) = 0$ in (10) for the choice $\{C\} = 1$ in the case $f = 3$ of the above corollary, one finds the following polynomial expressions for the coefficients a_r $(0 \leqq r \leqq 5)$ of the minimal polynomial of the period $\zeta + \zeta^s + \zeta^{s^2}$ from (7):

$$a_0 = 1\ ,\quad a_1 = 1\ ,\quad a_2 = 2\ ,\quad a_3 = -2(l-7)/3\ ,\quad a_4 = -(2l-35)/3\ ,$$

and

$$a_5 = -(4l-91)/3\ .$$

The pattern of these coefficients is exhibited below for primes $l < 37$.

l	Minimal polynomial $g(x)$
7	$x^2 + x + 2$
13	$x^4 + x^3 + 2x^2 - 4x + 3$
19	$x^6 + x^5 + 2x^4 - 8x^3 - x^2 + 5x + 7$
31	$x^{10} + x^9 + 2x^8 - 16x^7 - 9x^6 - 11x^5 + 43x^4 + 6x^3 + 63x^2 + 20x + 25$

3. Minimal polynomials for the cyclotomic units. I shall now apply the results of the last section to determine the beginning coefficients of the minimal polynomials for the cyclotomic units of the maximal real subfield K of $Q(\zeta)$. The cyclotomic units are customarily indexed $\theta_j = \sin(\pi j/l)/\sin(\pi/l)$ for $j = 2, 3, \cdots, (l-1)/2$ [2, p. 360]. However, it is convenient here to reindex them as

$$\theta_k = \sin(2\pi k/l)/\sin(\pi/l) \qquad \text{for} \quad 1 \leqq k \leqq (l-3)/2\ . \tag{16}$$

It is easy to show that $\theta_k = -2\sum_{i=1}^{k}\cos(\pi(l-(2i-1))/l)$ and hence is conjugate to $-(\zeta^{-(2k-1)} + \zeta^{-(2k-3)} + \cdots + \zeta^{-1} + \zeta^1 + \cdots + \zeta^{2k-3} + \zeta^{2k-1})$. Thus $-\theta_k$ has the same minimal polynomial as the sum of Gauss periods of degree $f = 2$ having the form (6) with $C = \{1, 3, 5, \cdots, 2k-1\}$. Noting that $M(r) = (2k-1)r$ for $r \geqq 2$ from (11), it follows from Theorem 1 that if $l > (2k-1)r$ the coefficient b_r of the minimal polynomial

$$f(x) = x^{(l-1)/2} + b_1 x^{(l-3)/2} + \cdots + b_r x^{(l-2r-1)/2} + \cdots + b_{(l-1)/2} \tag{17}$$

for θ_k satisfies a polynomial of degree $[r/2]$ in l. I actually prove the stronger result:

THEOREM 2. *If* $l > (2k-1)r$ *then* $b_r = P_r(k, l)$ *in* (17), *where for each* r, P_r *is a polynomial in* k *and* l *of degree* $[r/2]$ *in* l *and*

of total degree r. For $0 \leqq r \leqq 5$ these polynomials P_r are given by

$$P_0 = 1\,, \qquad P_1 = -k\,, \qquad P_2 = -k(l-3k)/2\,, \qquad P_3 = k^2(l-5k)/2\,,$$
$$P_4 = k^2(l-5k)(l-7k)/8 + (k^3-k)l/12\,,$$

and

$$P_5 = -k^3(l-7k)(l-9k)/8 - (k^4-k^2)l/12\,.$$

Before proving the Theorem I need the next combinatorial result.

LEMMA. *The number $N(k, n)$ of solutions of the equation $c_1 + c_2 + \cdots + c_n = 0$ with each integer $-(2k-1) \leqq c_i \leqq 2k-1$ and odd for $1 \leqq i \leqq n$ and $k > 0$, is given by*

$$N(k,n) = \begin{cases} \sum_{i=0}^{n/2-1} (-1)^i \binom{n}{i} \binom{k(n-2i)+n/2-1}{n-1} & \text{if } n \text{ is even}\,, \\ 0 \quad \text{if } n \text{ is odd}\,. \end{cases} \tag{18}$$

Proof. If n is odd the result is immediate, so assume that n is even. The number $N(k, n)$ is seen to be the coefficient of the constant term in the expansion

$$(x^{-(2k-1)} + x^{-(2k-3)} + \cdots + x^{-1} + x + \cdots + x^{2k-3} + x^{2k-1})^n\,,$$

or that of the term $x^{(2k-1)n}$ in the expansion $(1 + x^2 + \cdots + x^{4k-2})^n$. Replacing x by $x^{1/2}$ everywhere in the latter expression one finds that $N(k, n)$ is the coefficient of $x^{(2k-1)n/2}$ in the expansion

$$(1 + x + \cdots + x^{2k-1})^n = \left(\frac{1-x^{2k}}{1-x}\right)^n \tag{19}$$
$$= (1-x^{2k})^n(1 + x + x^2 + \cdots)^n\,.$$

It is well-known that $(1 + x + x^2 + \cdots)^n = \sum \binom{m+n-1}{n-1} x^m$. Upon comparing coefficients in (19) it follows that $N(k, n) = \sum_{i=0}^{[n/2-n/4k]} (-1)^i \binom{n}{i} \binom{(2k-1)n/2 - 2ki + n - 1}{n-1}$ which is the expression given in (18).

Proof of Theorem 2. For $r = 0$ and 1 it is clear that $P_0 = 1$ and $P_1 = -k$, so I shall assume $r \geqq 2$. From the lemma above and in view of the initial remarks in the proof of Theorem 1, one finds that each S_n in (10) for $l > (2r-1)k$ and $n \leqq r$ is a polynomial expression in k and l of total degree n. A simple extension of the induction argument used in the proof of Theorem 1 and based on the Newton identities (7) now yields the first statement of Theorem 2.

It remains to compute the polynomials P_r $(2 \leqq r \leqq 5)$ explicitly.

I actually compute the coefficients for the minimal $g(x)$ for $-\theta_k$ of the form (2) first using the lemma and (7). For $r = 2$, since $N(k, 2) = 2k$ one has $S_1 = -k$ and $S_2 = k - 2k^2$ in (10). Here $a_1 = k$ so $a_2 = 1/2(-a_1S_1 - S_2) = -k(l - 3k)/2$ from (7). For $r = 3$, one also has $S_3 = -4k^3$ so $a_3 = (-a_2S_1 - a_1S_2 - S_3)/3 = -k^2(l - 5k)/2$ again from (7). For $r = 4$, since $N(k, 4) = (16k^3 + 2k)/3$ one finds $S_4 = (8k^3 + k)/3 - 8k^4$. Thus $a_4 = 1/4(-a_3S_1 - a_2S_2 - a_1S_3 - S_4) = k^2(l - 5k)(l - 7k)/8 + (k^3 - k)l/12$. Finally, in the case $r = 5$ since $S_5 = -16k^5$ one finds $a_5 = k^3(l - 7k)(l - 9k)/8 + (k^4 - k^2)l/12$ using (7).

According for the sign changes in the coefficients of the minimal polynomial for θ_k and $-\theta_k$, one immediately obtains the desired expressions P_r for the coefficients b_r $(2 \leqq r \leqq 5)$.

EXAMPLE 2. The pattern of the coefficients b_r for the minimal polynomial (17) of the cyclotomic unit θ_2 in (16) is exhibited below for primes $l < 20$.

l	Minimal polynomial $f(x)$
7	$x^3 - 2x^2 - x + 1$
11	$x^5 - 2x^4 - 5x^3 + 2x^2 + 4x + 1$
13	$x^6 - 2x^5 - 7x^4 + 6x^3 + 5x^2 - 5x + 1$
17	$x^8 - 2x^7 - 11x^6 + 14x^5 + 19x^4 - 14x^3 - 11x^2 + 2x + 1$
19	$x^9 - 2x^8 - 13x^7 + 18x^6 + 32x^5 - 24x^4 - 26x^3 + 7x^2 + 7x + 1$

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