

LATTICE VERTEX POLYTOPES WITH INTERIOR LATTICE POINTS

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Consider a convex polytope with lattice vertices and at least one interior lattice point. We prove that the number of boundary lattice points is bounded above by a function of the dimension and the number of interior lattice points. This extends to arbitrary dimension a result of Scott for the two dimensional case.

Introduction. In real Euclidean space \mathbf{R}^D of dimension D there is the lattice \mathbf{Z}^D of points with integer coordinates. Unless a different lattice is specified, a *lattice point* will mean a point of \mathbf{Z}^D , and a *lattice simplex* or *lattice convex polytope* will mean a simplex or convex polytope whose vertices are integer points, that is, elements of \mathbf{Z}^D . The interior in \mathbf{R}^D of a set S is denoted by S° ; if the affine span of S has dimension less than D , we denote the relative interior of S by S' .

Consider a lattice convex polytope $P \subseteq \mathbf{R}^D$ with the number $K = \#(P^\circ \cap \mathbf{Z}^D)$ of interior lattice points non-zero, and with a total of $J = \#(P \cap \mathbf{Z}^D)$ lattice points. *Our principal result is that J is bounded above by a function $B(K, D)$ of K and D alone.*

For the case of zero symmetric convex polytopes P there is no need to assume that the vertices are lattice points. By Van der Corput's generalization of Minkowski's theorem $\text{vol}(P) \leq K \cdot 2^D$ [4]⁴⁰.† By a theorem of Blichfeldt, if the lattice points of P span \mathbf{R}^D , $J \leq D + D! \text{vol}(P)$ [1]⁵⁵. Otherwise we can consider a subspace of \mathbf{R}^D and get the same inequality $J \leq D + D!K \cdot 2^D$. On the other hand if P need not be symmetric or have lattice point vertices then even for $D = 2$ and $K = 1$, J can be arbitrarily large. For instance, P might be the convex hull of $(-n, 0)$, $(0, 1 + 1/n^2)$, $(n, 0)$. With the restriction to lattice point vertices and $D = 2$ we have Scott's result that $J \leq 3K + 7$ ($3K + 6$ for $K > 1$), and of course when $D = 1$ we have trivially $J \leq K + 2$. These three bounds are best possible. Our results are far from best possible, but in any case the largest possible J grows rapidly with D , even for $K = 1$. Zaks, Perles and Wills have given examples of lattice simplices in \mathbf{R}^D for which $K = 1$ and $J > 2^{2^{D-1}}$ [11]. There are some grounds for the belief that these examples are best possible. (See §4.) The existence of $B(K, D)$ will follow from some facts about Diophantine approximation which we now establish.

†Here the number above the brackets gives the page number on which this result is found in Lekkerkerker [7].

2. Number theory. We start with a well-known approximation lemma.

LEMMA 2.1. *Given a vector $\vec{v} = (v_1, v_2 \cdots v_D) \in \mathbf{R}^D$ and an integer $T > 0$ there exist integers $a_1, a_2 \cdots a_D, b$ such that $1 \leq b \leq T^D$ and $|bv_i - a_i| \leq 1/T$ for $1 \leq i \leq D$.*

Proof. Consider the $T^D + 1$ points $k\vec{v}$, $0 \leq k \leq T^D$ reduced modulo 1 in each coordinate. Partitioning the unit cube $\{\vec{x}: 0 \leq x_i \leq 1 \text{ for } 1 \leq i \leq D\}$ into T^D cubes of side $1/T$, we conclude from the Dirichlet box principle that some two of them, say k_1 and k_2 with $k_1 > k_2$, lie in the same small cube. Let $b = k_1 - k_2$ and let a_i be the integer nearest bv_i for $1 \leq i \leq D$. \square

LEMMA 2.2. *Let $\vec{w} = (w_1, w_2 \cdots w_D)$ such that $\sum_1^D w_i = 1$ and each $w_i > 0$, and let $T > D$. Then there exist integers $P_1, P_2 \cdots P_D, Q = \sum_1^D P_i$ such that $1 \leq Q \leq T^{D-1}$, $P_i \geq 0$ for $1 \leq i \leq D$, $|Qw_1 - P_1| \leq D/T$ and $|Qw_i - P_i| \leq 1/T$ for $2 \leq i \leq D$.*

Proof. We write $\vec{w} = \vec{e}_1 + \sum_2^D w_i(\vec{e}_i - \vec{e}_1)$. By Lemma 1 there exists Q , $1 \leq Q \leq T^D$, and $P_2, P_3 \cdots P_D$ such that $|Qw_i - P_i| \leq 1/T$ ($2 \leq i \leq D$). Since each $w_i > 0$, $Qw_i > 0$ so $P_i \geq 0$ for $i \geq 2$.

Let $P_1 = Q - \sum_2^D P_i$. Then $|P_1 - Qw_1| = |\sum_2^D P_i - Q\sum_2^D w_i| < D/T < 1$ so that also $P_1 \geq 0$. \square

LEMMA 2.3. *For each integer $D \geq 1$ there exists $\epsilon(D) > 0$ such that if $\vec{\alpha} = (\alpha_1, \alpha_2 \cdots \alpha_D)$, each $\alpha_i > 0$ and $1 > \sum_1^D \alpha_i > 1 - \epsilon(D)$ then there exist integers $Q \geq 1$ and $P_1, P_2 \cdots P_D \geq 0$ such that $\sum_1^D P_i = Q$ and $(Q + 1)\alpha_i > P_i$ for each i , $1 \leq i \leq D$.*

Proof. For $D = 1$ this just says that there is an integer Q such that $(Q + 1)\alpha_1 > Q$, so that we may take $\epsilon(1) = 1/2$. Now suppose $D > 1$ and the lemma holds for $D - 1$. Let $\vec{\alpha} = (\alpha_1, \dots, \alpha_D)$ and without loss of generality assume $\alpha_1 \geq \alpha_2 \geq \cdots \geq \alpha_D > 0$. We want to choose $\epsilon(D)$ in terms of $\epsilon(D - 1)$ so that if $1 > \sum_1^D \alpha_i > 1 - \epsilon(D)$ then the P_1, \dots, P_D and Q of Lemma 2.3 exist. We choose it this way: Let

$$T = \max\left\{1 + \left[4(\epsilon_{D-1})^{-1}\right], 4D^2 + 4D + 1\right\}.$$

Let $\epsilon(D) (> 0)$ be $\min\{\frac{1}{2}\epsilon(D - 1), (D - 1)^{-1}, \frac{1}{4}T^{1-D}\}$. Let $w_i = \alpha_i(1 - \epsilon)^{-1}$ where $\epsilon = 1 - \sum_1^D \alpha_i < \epsilon(D)$.

By Lemma 2.2 there exist $P_1, P_2, \dots, P_D \geq 0$ and $Q = \sum_1^D P_i$ such that $1 \leq Q \leq T^{D-1}$ and $|Qw_1 - P_1| \leq D/T$, $|Qw_i - P_i| \leq 1/T$ for $2 \leq i \leq D$.

Now for $2 \leq i \leq D$,

$$\begin{aligned} (Q + 1)\alpha_i - P_i &= \alpha_i + Q\alpha_i - P_i = \alpha_i + Q\alpha_i - Qw_i + Qw_i - P_i \\ &\geq \alpha_i - Q\alpha_i(1/(1 - \epsilon) - 1) - 1/T \\ &> \alpha_i - Q\alpha_i(1/(1 - \epsilon(D)) - 1) - 1/T \\ &\geq \alpha_i(1 - 2Q\epsilon(D)) - 1/T \geq \alpha_i(1 - 2T^{D-1}\epsilon(D)) - 1/T. \end{aligned}$$

If now $\alpha_i \geq \frac{1}{2}\epsilon(D - 1)$ this last is positive, from the definitions of T and $\epsilon(D)$. If $\alpha_i < \frac{1}{2}\epsilon(D - 1)$ then $\alpha_D < \frac{1}{2}\epsilon(D - 1)$ so that $\sum_1^{D-1} \alpha_i > 1 - \epsilon(D) - \frac{1}{2}\epsilon(D - 1) \geq 1 - \epsilon(D - 1)$. In this case the P_1, \dots, P_{D-1}, Q guaranteed by Lemma 2.2 (assumed true for $D - 1$) can be extended with $P_D = 0$.

The case $i = 1$ is a little different. Here we have $\alpha_1 \geq 1/(D + 1)$ since $\epsilon < \epsilon(D) \leq 1/(D + 1)$, and we need $\frac{1}{2}\alpha_1(1 - 2T^{D-1}\epsilon(D)) > D/T$, which follows from $T > 4D(D + 1)$. \square

We can determine the best constants $\epsilon(D)$ in Lemma 2.3 for $D = 1, 2$ or 3 . As noted, we can take $\epsilon(1) = 1/2$. No larger choice is possible because if $\alpha_1 = 1/2, (Q + 1)\alpha_1 > Q$ has no positive integer solution.

For $D = 2$ and $\alpha_1 \geq \alpha_2$ if $\alpha_1 > 1/2$ we take $Q = 1, P_1 = 1$ and $P_2 = 0$, while if $\alpha_2 > 1/3, Q = 2, P_1 = P_2 = 1$. Thus we may take $\epsilon(2) = 1 - 1/2 - 1/3 = 1/6$. For $D = 3$ we can prove by such considerations that $\epsilon(3)$ can be taken $= 1/42$. For if $\alpha_1 + \alpha_2 + \alpha_3 > 41/42$ while $\alpha_1 \leq 1/2$ and $\alpha_2 \leq 1/3$ then $\alpha_3 > 1/7$. Now if $7(\alpha_1, \alpha_2, \alpha_3) \not\prec (3, 2, 1)$ (coordinatewise), then either $\alpha_1 \leq 3/7$ or $\alpha_2 \leq 2/7$. Either way, $\alpha_3 > 1/7 + 1/21 = 4/21$. Eventually one arrives at $\alpha_3 > 1/4$, and then $4(\alpha_1, \alpha_2, \alpha_3) > (1, 1, 1)$.

For $D = 1, 2$ or 3 these $\epsilon(D)$ are best possible (consider $\alpha_1 = 1/2, \alpha_2 = 1/3$ and $\alpha_3 = 1/7$). For $D \geq 4$ this approach seems to break down.

In the next lemma we treat the case $K > 1$.

LEMMA 2.4. *For integers $K \geq 2, D \geq 1$ there exists $\epsilon(K, D) > 0$ such that if $1 > \sum_1^D \alpha_i > 1 - \epsilon(K, D)$ and each $\alpha_i > 0$ then there exist integers $P_1, P_2 \cdots P_D \geq 0$ and $Q = \sum_1^D P_i \geq 1$ such that $(KQ + 1)\alpha_i > KP_i$ for $1 \leq i \leq D$.*

Proof. For $D = 1$ this says simply that if $\alpha < 1$ is sufficiently large then there exists $Q \geq 1$ such that $(KQ + 1)\alpha > KQ$, and we take $\epsilon(K, 1) = 1/(K + 1)$. We now prove Lemma 2.4 for fixed K by induction on D . Suppose it holds for $D - 1$. Let $\vec{\alpha} = (\alpha_1, \alpha_2 \cdots \alpha_D)$ with each $\alpha_i > 0$ and $\sum_1^D \alpha_i = 1 - \epsilon, \epsilon > 0$. If $\alpha_D < \epsilon(K, D - 1) - \epsilon$ then $\sum_1^{D-1} \alpha_i > 1 - \epsilon(K, D - 1)$ so we can use $P_1, P_2 \cdots P_{D-1}, 0$ and Q as in Lemma 2.3.

Otherwise we use Lemma 2.2. Let

$$T = \max\{1 + [4K(\epsilon(K, D - 1))^{-1}], 4D^2 + 4D + 1\}.$$

Let

$$\epsilon(K, D) = \min\left\{1/4D^2, \frac{1}{4}\epsilon(K, D - 1), \epsilon(1, D), (4K)^{-1}T^{1-D}\right\}.$$

For $2 \leq i \leq D$,

$$(KQ + 1)\alpha_i - KP_i = \alpha_i + K(Q\alpha_i - P_i) \geq \alpha_i(1 - 2KQ\epsilon) - K/T,$$

with $Q \leq T^{D-1}$. This then is $> \frac{1}{2}\epsilon(K, D - 1)(1 - 2KT^{D-1}\epsilon(K, D)) - K/T$. By the choice of $\epsilon(K, D)$, $(1 - 2KT^{D-1}\epsilon(K, D)) < 1/2$, and by the choice of T , $\frac{1}{4}\epsilon(K, D - 1) > K/T$.

For $i = 1$ we have $\alpha_1 \geq (D + 1)^{-1}$ so we need $\frac{1}{2}(D + 1)^{-1}(\frac{1}{2}) > KD/T$, which still follows from $T > 4D(D + 1)$. \square

REMARK. The growth of $(\epsilon(D))^{-1}$ is about like $2^{(D)}$. The example of [11] has a simple variant with ϵ like 2^{2^D} . So bound and example have asymptotic log log log's.

3. Geometry. Suppose now that S is a simplex with vertices $0, X_1, X_2 \cdots X_D \in \mathbf{Z}^D$ and an interior lattice point $Y = \sum_1^D \alpha_i X_i$.

LEMMA 3.1. *If $\sum_1^D \alpha_i > 1 - \epsilon(K, D)$ then there are at least $K + 1$ integer lattice points in S° .*

Proof. Apply Lemma 2.3 or 2.4. The points $Z_k = (kQ + 1)Y - k\sum_{i=1}^D P_i X_i$ are lattice points, distinct, and interior to S , for $0 \leq k \leq K$.

By translation we can make any vertex of a simplex be zero. This, with Lemma 3.1, gives

THEOREM 3.1. *Suppose S is simplex in \mathbf{R}^D with integer lattice vertices $X_0, X_1 \cdots X_D$ and exactly K interior lattice points $Y_j, 1 \leq j \leq K, Y_j = \sum_{i=0}^D \alpha_{ij} X_i$ with $\alpha_{ij} > 0, \sum_{i=1}^D \alpha_{ij} = 1$. Then for each i and $j, \epsilon(K, D) \leq \alpha_{ij} \leq 1 - D\epsilon(K, D)$.*

COROLLARY 3.2. *Suppose F is a lattice convex polytope in \mathbf{R}^D of spanning dimension $D - 1$, and lattice vertices $X_1, X_2 \cdots X_M$. Let X_0 be a lattice point not in the span of F , and let P be the conical polytope with X_0 the tip and F the opposite face. If $\#(P^\circ \cap \mathbf{Z}^D) = K \geq 1$ then in any barycentric representation $Y = \sum_0^M \alpha_i X_i$ of an interior point of P we have $\alpha_0 \geq \epsilon(K, D)$.*

Proof. By Caratheodory's theorem [3] there are $E \leq D$ vertices of F , say $V_1, V_2 \cdots V_E$ such that Y is in the relative interior of the simplex S with vertices $X_0, V_1 \cdots V_E$. Every lattice point in S' is also in P° (proof follows), so there are no more than K in S' . By Theorem 1, if $Y = \beta_0 X_0 + \sum_1^E \beta_i V_i$ then $\beta_0 \geq \epsilon(K, D)$. But $\beta_0 = \alpha_0$, since it is the ratio of the length of \overline{YZ} to $\overline{X_0Z}$, where Z is the intersection of the line through X_0 and Y with F .

We now prove that $S' \subseteq P^\circ$.

LEMMA 3.3. *If C is a convex set in \mathbf{R}^D , $Y \in C^\circ$ and $W_0 \cdots W_E$ form the vertices of a simplex W in C , with $E \leq D$ and $Y \in W$, then $W' \subseteq C^\circ$.*

Proof. Since $Y \in C^\circ$ there exists $\epsilon > 0$ such that if $\|\vec{U}\| \leq 1$ and $|\theta| \leq \epsilon$ then $Y + \theta U \in C$. Write Y as $\sum_0^E \alpha_i W_i$, $\alpha_i > 0$, $\sum_0^E \alpha_i = 1$. If $Z \in W' = \sum_0^E \beta_i W_i$ with $\beta_i > 0$ and $\sum_0^E \beta_i = 1$ then there exists $\delta > 0$ such that $\beta_i > \delta \alpha_i$ for $0 \leq i \leq E$. Now $Z + \theta \delta U = \sum_0^E (\beta_i - \delta \alpha_i) W_i + \delta(Y + \theta U)$ is a convex positive combination of elements of C , so it is in C . □

Until now it has been convenient to have the fixed lattice \mathbf{Z}^D in mind, but all the results are equally true for any full lattice L in \mathbf{R}^D , as there is a nonsingular linear transformation $\Phi: \mathbf{R}^D \rightarrow \mathbf{R}^D$ which maps \mathbf{Z}^D onto L while preserving barycentric coordinates, interiors and relative interiors, etc. We use this device to give an upper bound for the volume of an integer lattice simplex S with $\#(\mathbf{Z}^D \cap S^\circ) = K \geq 1$. Without loss of generality take 0 as one vertex of S , and let Φ be a linear transformation which takes S onto the "standard simplex" H with vertices $0, \vec{e}_1, \dots, \vec{e}_D$, where \vec{e}_i is the i th unit coordinate vector in \mathbf{R}^D . Then Φ takes the lattice \mathbf{Z}^D to a new lattice L , and the norm of L , $|L|$ is $|\det \Phi|$, and $\text{vol}(S) = 1/D! |\det \Phi^{-1}|$. Thus any lower bound for $|L|$ gives an upper bound for $\text{vol}(S)$. Suppose $Y_1 \in S^\circ \cap \mathbf{Z}^D$, $Y_1 = \sum_1^D \alpha_i X_i$. Let $V_1 = \Phi Y_1 = \sum_1^D \alpha_i \vec{e}_i$. Given $U = \sum_1^D u_i \vec{e}_i$ with $|u_i| < \alpha_i$, either $V_1 + U \in H^\circ$ or $V_1 - U \in H^\circ$, since $\alpha_i \pm u_i > 0$ and one of $\sum_1^D (\alpha_i + u_i)$, $\sum_1^D (\alpha_i - u_i)$ is less than 1.

By Van der Corput's theorem the region $\{V_1 + U: |u_i| < \alpha_i, 1 \leq i \leq D\}$ contains at least $(\prod_1^D \alpha_i) |\det \Phi^{-1}|$ pairs of points $V_1 \pm U \in L$. Of each pair at least one is in H° . Thus $K = \#(S^\circ \cap \mathbf{Z}^D) = \#(H^\circ \cap L) \geq (\prod_1^D \alpha_i) |\det \Phi^{-1}|$, $\geq (\epsilon(K, D))^D |\det \Phi^{-1}|$ by Theorem 3.1. So $|\det \Phi| \geq (\epsilon(K, D))^D K^{-1}$. Since $|\det \Phi| = \text{vol } H / \text{vol } S$, we have $\text{vol } S \leq (D!)^{-1} K (\epsilon(K, D))^{-D}$. We summarize this in

THEOREM 3.4. *Suppose S is a simplex in \mathbf{R}^D with vertices in \mathbf{Z}^D , and let $K = \#(S^\circ \cap \mathbf{Z}^D)$. If $K \geq 1$ then $\text{vol } S \leq (D!)^{-1} K (\epsilon(K, D))^{-D}$.*

REMARK. We could get a better lower bound for $\prod_1^D \alpha_i$ by using the fact that not only is each $\alpha_i \geq \varepsilon(K, D)$, but (perhaps renaming some vertices) $\sum_1^D \alpha_i \approx 1$ yet $\sum_1^E \alpha_i \leq 1 - \varepsilon(K, E)$ for $E < D$. With such a weak bound for $\varepsilon(K, D)$, though, this seems pointless.

A theorem of Blichfeldt says that if a convex body P in \mathbf{R}^D has $J = \#(\mathbf{Z}^D \cap P) > D$ lattice points, spanning \mathbf{R}^D , then $\text{vol}(P) \geq (J - D)/D!$ [1], or equivalently $J \leq D + D! \text{vol}(P)$. Thus we get the

COROLLARY 3.5. *Under the hypotheses of Theorem 3.4, $\#(S \cap \mathbf{Z}^D) \leq D + K(\varepsilon(K, D))^{-D}$.*

For a general convex polytope P with vertices in \mathbf{Z}^D and $K \geq 1$ lattice points in P° , from Corollary 3.2 we have that the coefficient σ of asymmetry about any of the interior lattice points is $\leq (1 - \varepsilon(K, D))/\varepsilon(K, D)$. When $K = 1$ we have by a theorem of Mahler (Sawyer gives a little sharper version) [8, 9]⁴⁵ that $V(P) \leq (\varepsilon(D))^{-D}$. The proof of Mahler's theorem given in [7]⁴⁵ uses Blichfeldt's theorem [2]³⁵ that a region of volume > 1 contains two points x, y congruent modulo \mathbf{Z}^D . Van der Corput [4]⁴⁰ generalized this to say that a region of volume $> K$ contains $K + 1$ points congruent modulo \mathbf{Z}^D . If we use this in place of Blichfeldt's result we get an analogous generalization of Mahler's theorem. From it we conclude that for arbitrary $K \geq 1$,

$$\text{vol}(P) \leq K(\varepsilon(K, D))^{-D}.$$

This and a corollary complete the story.

THEOREM 3.6. *Let P be a convex polytope in \mathbf{R}^D with vertices in \mathbf{Z}^D and with $K = \#(P^\circ \cap \mathbf{Z}^D) \geq 1$. Then $\text{vol}(P) \leq K(\varepsilon(K, D))^{-D}$.*

COROLLARY 3.7. *If $J = \#(P \cap \mathbf{Z}^D)$ then $J \leq D + K(D!)(\varepsilon(K, D))^{-D}$.*

4. Toward best possible results. Here we indicate some reasons for our belief that the examples of [11] with $K = 1$ and $D \geq 3$ are best possible. Suppose S is a lattice simplex with lone interior point $Y = \sum_0^D \alpha_i X_i$, where X_0, \dots, X_D are the vertices of S and $\alpha_1 \geq \dots \geq \alpha_D \geq \alpha_0$. We proved in §2 that for arbitrary D , $\alpha_1 + \alpha_2 \leq 5/6$, and $\alpha_1 + \alpha_2 + \alpha_3 \leq 41/42$. For $D = 4$, if $\sum_1^4 \alpha_i > 1805/1806$ then $\alpha_4 > 1/43$. The minimum of $\alpha_1 \alpha_2 \alpha_3 \alpha_4$ subject to $\sum_1^4 \alpha_i \geq 1805/1806$, $\sum_1^3 \alpha_i \leq 41/42$, $\sum_1^2 \alpha_i \leq 5/6$ and $\alpha_1 \leq 1/2$, $0 < \alpha_4 \leq \alpha_3 \leq \alpha_2 \leq \alpha_1$ is $1/1806$, by elementary calculus. Since $\text{Norm}(L) \geq 1/1806$ and $\text{vol}(\vec{e}_1, \vec{e}_2, \vec{e}_3, \vec{e}_4, \Phi \vec{Y}) \{\text{simplex}\}$ is $\frac{1}{4!}(1 - \sum_1^4 \alpha_i) \geq \frac{1}{4!} \text{Norm}(L)$, $\sum_1^4 \alpha_i \leq 1805/1806$. This proves that for $D = 3$, (4) the

simplex with vertices $0, 2\vec{e}_1, 3\vec{e}_2, 7\vec{e}_3, (43\vec{e}_4)$ has maximal coefficient σ of asymmetry about Y . Unfortunately it does not show that for arbitrary D , $\sum_1^4 \alpha_i \leq 1805/1806$.

For any D , the α_i must be rational. For let Λ' be the lattice generated by $\{X_i - X_0, 1 \leq i \leq D\}$. If some α_i were irrational there would be infinitely many points of Λ in a fundamental cell of Λ' since no two $n(Y - X_0), n \geq 1$, would be congruent mod Λ' . But Λ is discrete so this is impossible. So let $\alpha_i = v_i/x_i, 0 \leq i \leq D$, with $v_i, x_i > 0$ and $\gcd(v_i, x_i) = 1$ for $0 \leq i \leq D$.

The numbers 2, 3, 7, 43 in the simplex examples for $D = 3$ or 4 are the start of a well-known sequence given recursively by $y_1 = 2, y_{n+1} = y_n^2 - y_n + 1$ for $n \geq 1$. The y_i 's are pairwise relatively prime, and $\sum_1^D y_i^{-1} = 1 - (y_{D+1} - 1)^{-1} < 1$. Thus the lattice simplex S_D with vertices 0 and $y_i\vec{e}_i, 1 \leq i \leq D$ has the single interior lattice point $Y_D = \sum_1^D \vec{e}_i$. This example (here slightly modified) is first given in [11] and has at least $2^{2^{D-1}}$ boundary lattice points. We believe it to be best possible in the sense that the coefficient σ_D of asymmetry for S_D about $Y_D \geq \sigma$ for any other lattice simplex S with lone interior lattice Y , about Y .

Let S be such a simplex, and $Y = \sum_0^D \alpha_i X_i = \sum_0^D (v_i/x_i) X_i$ as before, with $\alpha_1 \geq \alpha_2 \geq \dots \geq \alpha_D \geq \alpha_0 > 0$. With the additional assumption that (x_1, x_2, \dots, x_D) are pairwise relatively prime we can prove this conjecture, or what is the same, the following theorem.

THEOREM 4.1. *Suppose (x_1, x_2, \dots, x_D) are pairwise relatively prime. Then $\sum_1^D v_i/x_i \leq \sum_1^D 1/y_i$.*

Conjecture. This holds whether or not the x_i 's are pairwise relatively prime. (We have seen so for $1 \leq D \leq 4$.)

We begin the proof of Theorem 4.1 with an old Egyptian fractions result.

LEMMA 4.1. (Curtis [5], Erdős [6].) *Let $x_1, x_2 \dots x_D$ be positive integers. If $\sum_1^D (1/x_i) < 1$ then $\sum_1^D (1/x_i) \leq \sum_1^D (1/y_i) = 1 - \prod_1^D y_i^{-1} = 1 - (y_{D+1} - 1)^{-1}$.*

$$\text{Let } \epsilon_k = (y_{k+1} - 1)^{-1}.$$

LEMMA 4.2. *For every $K, D \geq 1$ if $(v_i, x_i), 1 \leq i \leq D$ are D pairs of relatively prime positive integers, and if $1 - \epsilon_{D+K-1} < \sum_1^D (v_i/x_i) < 1$ then $\sum_1^D v_i \geq D + K$.*

Proof. (I. Borosh, private communication.) If each v_i/x_i is replaced with v_i copies of $1/x_i$ there are then at least $D + K$ Egyptian fractions in the sum, by Lemma 4.1.

LEMMA 4.3. *Let $D \geq 2$, K , $v_1 \cdots v_D$, $x_1 \cdots x_D$ be positive integers such that $\gcd(v_i, x_i) = 1$ for $1 \leq i \leq D$ and $\gcd(x_i, x_j) = 1$ for $1 \leq i < j \leq D$. Let $M = \prod_1^D x_i$ and $A_i = Mv_i/x_i$, $1 \leq i \leq D$. Let $\alpha_i = v_i/x_i = A_i/M$ and suppose $\gcd(A_D, M) \leq \gcd(A_i, M)$, $1 \leq i < D$, or equivalently $x_D \geq x_i$. Let $\theta_2, \theta_3 \cdots \theta_K$ be any $K - 1$ rational numbers $0 < \theta_i < 1$. If*

$$1 - \varepsilon_{D+K-1} < \sum_1^D \alpha_i < 1$$

then there exist positive integers $a_1, a_2 \cdots a_D, m$ such that

- (i) $a_i/m < \alpha_i$ for $1 \leq i \leq D$
- (ii) $m\alpha_D - a_D \neq \theta_j$ for $2 \leq j \leq K$, and $m\alpha_D - a_D \neq \alpha_D$, and
- (iii) $\sum_1^D (mA_i - Ma_i) < M$.

REMARK. For Theorem 4.1 we only need the case $K = 1$.

Proof. By Lemma 4.2, $\sum_1^D (v_i - 1) \geq K$. Since $\gcd(A_D, M) \leq \gcd(A_i, M)$ for $i \neq D$, $x_D \geq x_i$ for $i \neq D$. Since $\prod_1^D (1/x_i) \leq 1 - \sum_1^D v_i/x_i < \varepsilon_{D+K-1}$, $x_D^D \geq (\varepsilon_{D+K-1})^{-1}$ and $x_D > K + 1$. For it is readily seen that $\varepsilon_i^{-1} \geq 2^{2^{i-1}}$ for $i \geq 1$, and $D - \log_2 D \geq 1$, $K - (\log \log)_2 K \geq 2$ so that $D + K - 2 \geq 1 + \log_2 D + (\log \log)_2 K$ and $2^{2^{D+K-2}} \geq K^{2D} > K + 1$ for $K > 1$, while for $K = 1$, we have directly $\varepsilon_D^{-1} > 2$ since already $\varepsilon_2^{-1} = 6$. Now by the Chinese remainder theorem, for each integer r , $1 \leq r \leq K + 1$ there exists an $m > 1$ such that $mv_i \equiv 1 \pmod{x_i}$ for $1 \leq i < D$ and $mv_D \equiv r \pmod{x_D}$. (This is why we had to assume the x_i relatively prime). Since $x_D > K + 1$ these $K + 1$ possibilities are distinct. Choose r so that $r/x_D \neq \alpha_D, \theta_2, \theta_3 \cdots \theta_K$. Let $a_i = (mv_i - 1)/x_i$ for $1 \leq i < D$, and $a_D = (mv_D - r)/x_D$. These are integers because of the congruence conditions, and clearly (i) and (ii) are satisfied. Now since $x_D \geq x_i$ for $1 \leq i < D$, and since $\sum_1^D v_i \geq D + K$,

$$(K + 1)/x_D + \sum_{i=1}^{D-1} (1/x_i) \leq \sum_1^D (v_i/x_i) < 1$$

implies that

$$\sum_1^D (mv_i x_i^{-1} - a_i) = \left\{ \sum_1^{D-1} 1/x_i \right\} + r/x_D < 1,$$

which is equivalent to (iii).

Suppose $0, X_1 \cdots X_D$ are the vertices of S , and are in \mathbf{Z}^D . If $Y_1, Y_2 \cdots Y_K$ are lattice points of S° and $Y_1 = \sum_1^D \alpha_i X_i$ with relatively prime x_i , and if $\sum_1^D \alpha_i > 1 - \varepsilon_{D+K-1}$ then let θ_j , $2 \leq j \leq K$ be the X_D coefficient of Y_j . Apply Lemma 4.3 and let $Y_{K+1} = mY_1 - \sum_1^D a_i X_i$. Then $Y_{K+1} \in S^\circ$ and different from $Y_1 \cdots Y_K$ by Lemma 4.3. The case $K = 1$ of these conclusions is Theorem 4.1.

REMARK. The estimate due to Borosh is not best possible. It would be interesting to know the maximum value of $\sum_1^D v_i/x_i$ subject to $0 < v_i/x_i$, $\sum_1^D v_i/x_i < 1$ and $\sum_1^D v_i = D + K - 1$.

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