

## GENERALIZED ORDERED SPACES WITH CAPACITIES

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We show that any  $GO$ -space having a capacity in the sense of Ščepin has a  $G_\delta$ -diagonal and is perfect. In addition, such a space has a  $\sigma$ -discrete dense subset and a dense metrizable subspace, and any  $GO$ -space having a capacity and a point-countable base (or having a  $\sigma$ -discrete dense subset and a point-countable base) is metrizable.

**1. Introduction.** In [14] Ščepin defined a *capacity* for a space  $X$  to be a family of functions  $\{\varepsilon_x \mid x \in X\}$  such that, for each closed  $F \subset X$ ,

(C<sub>1</sub>)  $\varepsilon_x(F)$  is a non-negative real number with  $\varepsilon_x(F) > 0$  iff  $x \in \text{Int}(F)$ ,

(C<sub>2</sub>) if  $F_1 \subset F_2$  are closed then  $\varepsilon_x(F_1) \leq \varepsilon_x(F_2)$ ,

(C<sub>3</sub>) for a fixed closed  $F$ , the function  $x \rightarrow \varepsilon_x(F)$  is continuous,

(C<sub>4</sub>) for a fixed  $x$ , if  $\{F_\alpha \mid \alpha < \kappa\}$  is a family of closed sets satisfying  $F_\alpha \supset F_\beta$  whenever  $\alpha < \beta < \kappa$ , then  $\varepsilon_x(\bigcap_\alpha F_\alpha) = \inf_\alpha \varepsilon_x(F_\alpha)$ .

In that same paper Ščepin announced without proof that a linearly ordered topological space (LOTS) having a capacity is metrizable. The purpose of this note is to prove a more general result from which Ščepin's result follows immediately, namely, that any  $GO$ -space (= suborderable space) with a capacity has a  $G_\delta$ -diagonal. (Recall that the class of  $GO$ -spaces is precisely the class of subspaces of LOTS.) Along the way to that result, we show that any  $GO$ -space with a capacity is *perfect* (i.e., closed sets are  $G_\delta$ ). In §4 we will discuss two old questions about perfect  $GO$ -spaces in the context of  $GO$ -spaces having a capacity, proving that a  $GO$ -space with a capacity has a  $\sigma$ -discrete dense subset and a  $GO$ -space with a capacity and a point-countable base must be metrizable. Finally, examples in §5 show that our results are sharp.

Terminology and notation not defined in this paper will follow [8, 11, 12].

**2. Preliminary results and perfect normality.** We proceed via a sequence of lemmas.

2.1. LEMMA. *Any  $GO$ -space having a capacity is a first-countable space.*

*Proof.* Fix a non-isolated point  $p$  of  $X$ . If  $[p, \rightarrow)$  is not open then  $\varepsilon_p([p, \rightarrow) = 0$  and there is a well-ordered, strictly increasing net  $\{x_\alpha \mid \alpha < \kappa\}$  whose supremum is  $p$ . Let  $F_\alpha = [x_\alpha, \rightarrow)$ . According to (C<sub>4</sub>),  $0 = \varepsilon_p([p, \rightarrow)) = \inf\{\varepsilon_p(F_\alpha) \mid \alpha < \kappa\}$ . For each  $n$ , choose  $\alpha_n < \kappa$  such that  $\alpha_{n-1} < \alpha_n$  and  $\varepsilon_p(F_{\alpha_n}) < 1/n$ . If some point  $y$  of  $X$  has  $x_{\alpha_n} \leq y < p$  for each  $n$ , then for each  $n$  we have  $0 < \varepsilon_p([y, \rightarrow)) < \varepsilon_p([x_{\alpha_n}, \rightarrow)) < 1/n$ , which is impossible. Hence  $p$  is the limit of a sequence  $z_n = x_{\alpha_n}$  from  $(\leftarrow, p)$ . If  $(\leftarrow, p]$  is open, then  $\{(z_n, p] \mid n \geq 1\}$  is a neighborhood base at  $p$ . If  $(\leftarrow, p]$  is not open, we can obtain a sequence  $w_1 > w_2 > \dots$  having  $p$  as its limit, and then  $\{(z_n, w_n) \mid n \geq 1\}$  is a local base at  $p$ . Other cases are handled analogously.  $\square$

2.2. PROPOSITION. *Any GO-space with a capacity is perfect.*

*Proof.* Let  $U$  be any open set and let  $\mathcal{V} = \{V_\alpha \mid \alpha \in A\}$  be the family of all convex components of  $U$ . For each  $\alpha \in A$  choose  $p_\alpha \in V_\alpha$ . Then  $\varepsilon_{p_\alpha}(\overline{V}_\alpha) > 0$ . Let  $P_n = \{p_\alpha \mid \varepsilon_{p_\alpha}(\overline{V}_\alpha) \geq 1/n\}$ . We claim that  $P_n$  is a closed, discrete subspace of  $X$ . Obviously  $P_n$  is discrete-in-itself. We show  $P_n$  is closed. Let  $q$  be a limit point of  $P_n$ . Since  $X$  is first-countable, there is a strictly monotonic sequence  $\langle q_k \rangle$  from  $P_n$  whose limit is  $q$ , say  $q_k = p_{\alpha_k}$ . Let  $F = \{q\} \cup (\cup \{\overline{V}_{\alpha_{2k}} \mid k \geq 1\})$ . Then  $F$  is a closed set and, by (C<sub>3</sub>),  $\varepsilon_q(F) = \lim_{k \rightarrow \infty} \varepsilon_{q_{2k}}(F) \geq 1/n$  because  $\varepsilon_{q_{2k}}(F) \geq \varepsilon_{q_{2k}}(\overline{V}_{\alpha_{2k}}) \geq 1/n$ . Hence  $q \in \text{Int}(F)$ . But the sequence  $\{q_{2k+1} \mid k \geq 1\}$  also converges to  $q$  and no term of that sequence lies in  $F$ , contradicting  $q \in \text{Int}(F)$ . Hence  $P_n$  is closed and discrete.

Since  $X$  is first countable, each set  $V_\alpha \in \mathcal{V}$  is an  $F_\sigma$ -set so we may find closed convex sets  $D(\alpha, k)$  having  $p_\alpha \in D(\alpha, 1) \subset D(\alpha, 2) \subset \dots$  and  $\cup \{D(\alpha, k) \mid k \geq 1\} = V_\alpha$ . Let  $E(n, k) = \cup \{D(\alpha, k) \mid p_\alpha \in P_n\}$ . Since  $P_n$  is closed and discrete, each  $E(n, k)$  is closed, and  $U = \cup \mathcal{V} = \cup \{E(n, k) \mid n \geq 1, k \geq 1\}$ .  $\square$

REMARK. Corollary 4.3 below provides an even stronger conclusion than does Proposition 2.2.

2.3. LEMMA. *Suppose  $(\leftarrow, p]$  is not open. Let  $\delta > 0$ . Then there is a point  $q > p$  such that for each  $t \in [p, q]$ ,  $\varepsilon_t([p, q]) < \delta$ .*

*Proof.* Since  $p$  is a limit point of  $(p, \rightarrow)$  there is a sequence  $b_1 > b_2 > \dots$  whose limit is  $p$ . Then  $0 = \varepsilon_p((\leftarrow, p]) = \inf\{\varepsilon_p((\leftarrow, b_n]) \mid n \geq 1\}$  so that for some  $n_0$ ,  $\varepsilon_p((\leftarrow, b_{n_0})) < \delta$ . Then  $\varepsilon_p([p, b_{n_0}]) < \delta$ . Now assume no point  $q$ , as described in the Lemma, exists. Let  $c_0 = b_{n_0}$ . Then there is a

point  $t_0 \in [p, c_0]$  with  $\varepsilon_{t_0}([p, c_0]) \geq \delta$ . Necessarily,  $p < t_0$ . Let  $c_1 = \min\{b_{n_0+1}, t_0\}$  and find  $t_1 \in [p, c_1]$  with  $\varepsilon_{t_1}([p, c_1]) \geq \delta$ . In general, find a point  $t_{k+1} \in [p, c_{k+1}]$  with  $\varepsilon_{t_{k+1}}([p, c_{k+1}]) \geq \delta$ , where  $c_{k+1} = \min\{b_{n_0+k+1}, t_k\}$ . If  $m$  is fixed and  $k > m$ ,  $p < c_k < c_m$  and so  $\varepsilon_{t_k}([p, c_m]) \geq \varepsilon_{t_k}([p, c_k]) \geq \delta$ . Letting  $k \rightarrow \infty$ , we obtain  $\varepsilon_p([p, c_m]) = \lim_k \varepsilon_{t_k}([p, c_m]) \geq \delta$ . But  $c_m \leq b_{n_0+m} < b_{n_0}$  so we obtain  $\delta \leq \varepsilon_p([p, c_m]) \leq \varepsilon_p([p, b_{n_0}]) < \delta$ , a contradiction.  $\square$

REMARK. There is an obvious analogue of (2.3) in case  $[p, \rightarrow)$  is not open.

2.4. LEMMA. *Suppose neither  $(\leftarrow, p]$  nor  $[p, \rightarrow)$  is open (i.e.,  $p$  is a two-sided limit point of  $X$ ). Let  $\delta > 0$ . Then there are points  $q$  and  $r$  with  $q < p < r$  having the property that for every  $t \in [q, r]$ ,  $\varepsilon_t([q, r]) < \delta$ .*

*Proof.* The proof is analogous to the proof of (2.3).  $\square$

2.5. NOTATION. Let  $(X, \mathfrak{J}, <)$  be a GO-space. Let

$$R = \{x \in X \mid [x, \rightarrow) \text{ is open}\},$$

$$L = \{x \in X \mid (\leftarrow, x] \text{ is open}\},$$

$$I = \{x \in X \mid \{x\} \text{ is open}\},$$

$$R^* = R - I, \text{ and}$$

$$L^* = L - I.$$

2.6. LEMMA. *Assume  $X$  is a GO-space having a capacity. Each of the sets defined in (2.5) is an  $F_\sigma$ -set.*

*Proof.* In the light of (2.2),  $I$  is an  $F_\sigma$ -set since  $I$  is open. If we can show that  $R$  is an  $F_\sigma$ -set, then so is  $R^*$  because  $R^* = R - I$ .

To show that  $R$  is an  $F_\sigma$ -set, observe that for each  $x \in R$ ,  $\varepsilon_x([x, \rightarrow)) > 0$ . Let  $R_n = \{x \in R \mid \varepsilon_x([x, \rightarrow)) \geq 1/n\}$ . Suppose  $p$  is a limit point of  $R_n$ . Choose a strictly monotonic sequence  $\langle x_k \rangle$  from  $R_n$  whose limit is  $p$ . There are two cases.

*Case 1.* Suppose  $x_1 < x_2 < \dots$ . Then  $[p, \rightarrow) = \bigcap \{[x_k, \rightarrow) \mid k \geq 1\}$  so that  $\varepsilon_p([p, \rightarrow)) = \inf\{\varepsilon_{x_k}([x_k, \rightarrow)) \mid k \geq 1\}$ . If  $k$  is fixed and  $m > k$  then  $x_k < x_m$  so that  $\varepsilon_{x_m}([x_k, \rightarrow)) \geq \varepsilon_{x_m}([x_m, \rightarrow)) \geq 1/n$ . Letting  $m \rightarrow \infty$ , we obtain  $\varepsilon_p([x_k, \rightarrow)) = \lim \varepsilon_{x_m}([x_k, \rightarrow)) \geq 1/n$ . Hence  $\varepsilon_p([p, \rightarrow)) \geq 1/n$ . But then  $p$  must be an interior point of  $[p, \rightarrow)$  so that the increasing sequence  $\langle x_k \rangle$  could not have converged to  $p$ .

*Case 2.* Suppose  $x_1 > x_2 > \dots$ . According to  $(C_3)$ ,  $\varepsilon_p([p, \rightarrow)) = \lim_k \varepsilon_{x_k}([p, \rightarrow))$ . Since  $p < x_k$ ,  $\varepsilon_{x_k}([p, \rightarrow)) \geq \varepsilon_{x_k}([x_k, \rightarrow)) \geq 1/n$ . Hence  $\varepsilon_p([p, \rightarrow)) \geq 1/n$ . But then  $p$  must be an interior point of  $[p, \rightarrow)$  so that  $p \in R$ . Hence  $p \in R_n$  as required.

Analogously,  $L$  and  $L^*$  are  $F_\sigma$  sets.  $\square$

**3.  $G_\delta$ -diagonals.** Ceder [6] observed that the diagonal of space  $X$  is a  $G_\delta$ -subset of  $X \times X$  if there are open coverings  $\mathcal{G}(n)$  of  $X$  (for  $n \geq 1$ ) such that given  $x \neq y$  in  $X$ ,  $\text{St}(x, \mathcal{G}(n)) \subset X - \{y\}$  for some  $n$ . In perfect spaces, a weaker condition suffices. The proof of the next lemma is easy.

**3.1. LEMMA.** *Suppose  $X$  is perfect. Then  $X$  has a  $G_\delta$ -diagonal if there is a countable family  $\Psi$  such that*

- (a) *each  $\mathcal{G} \in \Psi$  is a collection of open subsets of  $X$ , and,*
- (b) *given  $x \neq y$  in  $X$ , some  $\mathcal{G} \in \Psi$  has  $x \in \text{St}(x, \mathcal{G}) \subset X - \{y\}$ .*

**3.2. LEMMA.** *Suppose  $X$  is a GO-space with a capacity. Then there is a countable family  $\Psi_R$  such that*

- (a) *each  $\mathcal{G} \in \Psi_R$  is a collection of open subsets of  $X$ , and,*
- (b) *given  $x \in R$  and  $y \neq x$ , some  $\mathcal{G} \in \Psi_R$  has  $x \in \text{St}(x, \mathcal{G}) \subset X - \{y\}$ .*

*Proof.* Let  $\mathcal{G}_0 = \{\{x\} \mid x \in I\}$ . For  $n \geq 1$  and for  $p \in R^*$ , use Lemma (2.3) to find a point  $q(p, n) > p$  such that for every  $t \in [p, q(p, n)]$ ,  $\varepsilon_t([p, q(p, n)]) < 1/n$ . Let  $\mathcal{G}(n) = \{[p, q(p, n)] \mid p \in R^*\}$ . Next, use Lemma (2.6) to write  $L = \bigcup \{L_k \mid k \geq 1\}$  where each  $L_k$  is closed in  $X$ , and notice that  $R^* \cap L = \emptyset$ . Now define, for  $n \geq 1$ ,  $\mathcal{G}(-n) = \{X - L_n\}$ . We let  $\Psi_R = \{\mathcal{G}(n) \mid n \text{ is any integer}\}$ .

Fix  $x \in R$  and  $y \neq x$ . If  $x \in I$ , then  $\text{St}(x, \mathcal{G}(0)) = \{x\} \subset X - \{y\}$  as required, so assume  $x \in R - I = R^*$ . Let  $J$  be the convex hull of the two-point set  $\{x, y\}$ . There are two cases.

*Case 1.* If there is some point  $t$  having  $\varepsilon_t(J) > 0$ , find a positive integer  $n$  having  $\varepsilon_t(J) > 1/n$ . Since  $x \in R^*$ ,  $[x, q(x, n)] \in \mathcal{G}(n)$  so that  $x \in \text{St}(x, \mathcal{G}(n))$ . Suppose some member  $[p, q(p, n)]$  of  $\mathcal{G}(n)$  contains both  $x$  and  $y$ . By convexity,  $J \subset [p, q(p, n)]$  so we have  $\varepsilon_t(J) \leq \varepsilon_t([p, q(p, n)])$ . But  $t \in [p, q(p, n)]$  so that  $1/n > \varepsilon_t([p, q(p, n)]) \geq \varepsilon_t(J) > 1/n$ , which is impossible. Hence  $y \notin \text{St}(x, \mathcal{G}(n))$ .

*Case 2.* If there is no point  $t$  in  $X$  such that  $\varepsilon_t(J) > 0$ , then  $y < x$ , because if  $x < y$  we would have  $[x, y) = [x, \rightarrow) \cap (\leftarrow, y)$ , so  $x$  would be an interior point of  $J$ , whence  $\varepsilon_x(J) > 0$ . Since  $y < x$  and since no point  $t$

of  $X$  lies strictly between  $x$  and  $y$ , we conclude that  $(\leftarrow, y] = (\leftarrow, x)$  is open. Thus  $y \in L$ . Choose  $n$  so that  $y \in L_n$ . Because  $R^* \cap L_n = \emptyset$ ,  $x \in \text{St}(x, \mathcal{G}(-n)) = X - L_n \subset X - \{y\}$ , as required.  $\square$

**3.3. REMARK.** Suppose  $X$  is a  $GO$ -space with a capacity. There is an analogue of (3.2) which constructs a countable family  $\Psi_L$  of open collections such that if  $x \in L$  and  $y \in X - \{x\}$ , then some  $\mathcal{G} \in \Psi_L$  has  $x \in \text{St}(x, \mathcal{G}) \subset X - \{y\}$ .

**3.4. LEMMA.** *Suppose  $X$  is a  $GO$ -space with a capacity. Let  $E = X - (R \cup L \cup I)$ . Then there is a countable family  $\Psi_E$  such that*

- (a) *each  $\mathcal{G} \in \Psi_E$  is a collection of open subsets of  $X$ , and,*
- (b) *if  $x \in E$  and if  $y \in X - \{x\}$ , then for some  $\mathcal{G} \in \Psi_E$ ,  $x \in \text{St}(x, \mathcal{G}) \subset X - \{y\}$ .*

*Proof.* For each  $p \in E$ , use Lemma (2.4) to select points  $a(p, n) < p < b(p, n)$  such that for each  $t \in [a(p, n), b(p, n)]$ ,  $\varepsilon_t([a(p, n), b(p, n)]) < 1/n$ . For  $n \geq 1$ , let  $\mathcal{G}(n) = \{(a(p, n), b(p, n)) \mid p \in E\}$ , and let  $\Psi_E = \{\mathcal{G}(n) \mid n \geq 1\}$ . The proof that  $\Psi_E$  satisfies (b) above is similar to, but even easier than, the proof that  $\Psi_R$  satisfies (b) of (3.2).  $\square$

**3.5. THEOREM.** *Any  $GO$ -space with a capacity has a  $G_\delta$ -diagonal.*

*Proof.* Using the collections found in (3.2)–(3.4) let  $\Psi = \Psi_R \cup \Psi_L \cup \Psi_E$ . Then  $\Psi$  satisfies the hypotheses of (3.1) so that, since  $X$  is perfect in the light of (2.2),  $X$  has a  $G_\delta$ -diagonal.  $\square$

**3.6. COROLLARY (Štěpín).** *Any LOTS with a capacity is metrizable.*

*Proof.* Any LOTS with a  $G_\delta$ -diagonal is metrizable [10].  $\square$

**4. Some results on perfect spaces.** There are two old questions which concern perfect  $GO$ -spaces. The first is due to R. W. Heath, and the second was posed by M. Maurice and J. van Wouwe.

(H) Find a real example of a perfect  $GO$ -space which has a point-countable base and yet is not metrizable.

(MvW) Find a real example of a perfect  $GO$ -space which does not have a  $\sigma$ -discrete dense subset.

(These questions ask for “real examples”, i.e., examples in ZFC, since if there is a Souslin line, then there is a counterexample to each [2], [13], [15].)

In this section we show that no counterexample to (H) or to (MvW) can have a capacity.

It is known that any  $GO$ -space having a  $\sigma$ -discrete dense subset is perfect [15]. We begin this section by proving the converse for  $GO$ -spaces having a capacity, thereby strengthening (2.2). We need the following result, due to Przymusiński [1].

4.1. PROPOSITION. *Let  $(X, \mathfrak{T}, <)$  be a  $GO$ -space having a  $G_\delta$ -diagonal. Then there is a topology  $\mathfrak{N}$  on  $X$  such that:*

- (a)  $(X, \mathfrak{N})$  is metrizable;
- (b)  $\mathfrak{N} \subset \mathfrak{T}$ ;
- (c)  $(X, \mathfrak{N}, <)$  is a  $GO$ -space.

4.2. THEOREM. *Suppose  $X$  is a perfect  $GO$ -space having a  $G_\delta$ -diagonal. Then  $X$  has a  $\sigma$ -discrete dense subset.*

*Proof.* Let  $\mathfrak{T}$  and  $<$  be, respectively, the topology and ordering of  $X$ . Use (4.1) to find a metrizable  $GO$ -topology  $\mathfrak{N} \subset \mathfrak{T}$ . Let  $D$  be a  $\sigma$ -discrete dense subset of the metric space  $(X, \mathfrak{N})$  and let  $I = \{x \mid \{x\} \in \mathfrak{T} - \mathfrak{N}\}$ . Then  $D$  is also  $\sigma$ -discrete in  $(X, \mathfrak{T})$  and  $I$  is an  $F_\sigma$  in  $(X, \mathfrak{T})$ , whence  $I$  is also  $\sigma$ -discrete in  $(X, \mathfrak{T})$ . Let  $E = D \cup I$ .

Now let  $W$  be any nonvoid open set. If  $W \cap I \neq \emptyset$  then  $W \cap E \neq \emptyset$ , so assume  $W$  contains no isolated points. Then there are points  $a < b$  in  $W$  such that  $\emptyset \neq (a, b) \subset W$ . But then  $(a, b) \in \mathfrak{N}$  so  $(a, b) \cap D \neq \emptyset$ . Hence  $W \cap E \neq \emptyset$ , as required.  $\square$

4.3. COROLLARY. *Any  $GO$ -space with a capacity has a  $\sigma$ -discrete dense set.*

*Proof.* Combine (2.2), (3.5) and (4.2).  $\square$

4.4. COROLLARY. *Any  $GO$ -space with a capacity has a dense metrizable subspace.*

*Proof.* The  $\sigma$ -discrete dense set  $D$  found in (4.3) is, in its relative topology, semistratifiable in the sense of Creede [7] and any semistratifiable  $GO$ -space is metrizable [11].  $\square$

To show that no counterexample to (MvW) can have a capacity we prove a bit more, namely:

**4.5. THEOREM.** *Let  $X$  be a GO-space having a  $\sigma$ -discrete dense set and a point-countable base. Then  $X$  is metrizable.*

*Proof.* Since any GO-space having a  $\sigma$ -discrete dense set is perfect and paracompact [15], it will be enough to show that a space  $X$  which satisfies the hypotheses of (4.5) has a  $\sigma$ -disjoint base. Then  $X$  is quasi-developable [3] and perfect, so  $X$  is developable [3]. But a developable paracompact space is metrizable.

Let  $D = \bigcup \{D(n) \mid n \geq 1\}$  be a  $\sigma$ -discrete dense subset of  $X$ . A standard argument [Prop. 3.4, 5] provides a  $\sigma$ -disjoint base for points of  $D$ . Let  $I$  be the set of isolated points of  $X$  (so  $I \subset D$ ). Let  $R^*$  and  $L^*$  be as in (2.5) and let  $E = X - (R^* \cup L^* \cup I)$ . A standard argument shows that the collection  $\mathcal{V} = \bigcup \{\mathcal{V}_n \mid n \geq 1\}$ , where  $\mathcal{V}_n$  is the collection of convex components of  $X - D(n)$ , contains a  $\sigma$ -disjoint base for all points of  $E$ . Therefore it suffices to find  $\sigma$ -disjoint collections  $\mathcal{C}$  and  $\mathcal{C}'$  which contain neighborhood bases for all points of  $R^* - D$  and  $L^* - D$ , respectively. We show how to find  $\mathcal{C}$ .

Let  $\mathfrak{B}$  be a point-countable base for  $X$ , and let  $\mathcal{V} = \bigcup \{\mathcal{V}_n \mid n \geq 1\}$  be as above. For  $n \geq 1$  and  $V \in \mathcal{V}_n$ , let  $\mathcal{P}_n(V) = \{B \cap V \mid B \in \mathfrak{B} \text{ and for some } p \in R^* \cap V, ([p, \rightarrow) \cap V) \subset B \subset [p, \rightarrow)\}$ . Let  $\mathcal{P}_n = \bigcup \{\mathcal{P}_n(V) \mid V \in \mathcal{V}_n\}$  and  $\mathcal{P} = \bigcup \{\mathcal{P}_n \mid n \geq 1\}$ . Then we have

1.  $\mathcal{P}$  is point-countable, and
2.  $\mathcal{P}$  contains a neighborhood base at each point of  $R^* - D$ .

Fix  $n$  and  $V \in \mathcal{V}_n$ . For each  $P \in \mathcal{P}_n(V)$  there is a unique  $y_P \in P \cap V$  having  $P = [y_P, \rightarrow) \cap V$ . Let  $C(n, V) = \{y_P \mid P \in \mathcal{P}_n(V)\}$  and choose  $S(n, V) = \{x(V, \alpha) \mid \alpha < \kappa(V)\}$ , a cofinal strictly increasing subset of  $C(n, V)$ . Because  $\mathcal{P}_n(V)$  is point-countable, we have

3. If  $\alpha < \kappa(V)$  then  $|C(n, V) \cap (\leftarrow, x(V, \alpha))| \leq \omega_0$ .

For each  $y \in C(n, V)$ , let  $\alpha(n, V, y)$  be the first index  $\beta < \kappa(V)$  such that  $y < x(V, \beta)$  and define

$$\mathcal{C}(n, V, \alpha) = \{[y, x(V, \alpha)) \mid y \in C(n, V) \text{ and } \alpha(n, V, y) = \alpha\}.$$

If  $V \neq W$  belong to  $\mathcal{V}(n)$  or if  $V = W$  and  $\alpha \neq \beta$ , then  $\mathcal{C}(n, V, \alpha) \cap \mathcal{C}(n, W, \beta) = \emptyset$ . Furthermore,

4. each  $\mathcal{C}(n, V, \alpha)$  is countable.

Index  $\mathcal{C}(n, V, \alpha)$  as  $\{C(n, V, \alpha, k) \mid k \geq 1\}$  and let  $\mathcal{C}'(n, k) = \{C(n, V, \alpha, k) \mid V \in \mathcal{V}_n, \alpha < \kappa(V)\}$ . Then we have

5. the family  $\mathcal{C} = \bigcup \{\mathcal{C}(n, V, \alpha) \mid n \geq 1, V \in \mathcal{V}_n, \text{ and } \alpha < \kappa(V)\}$  has  $\mathcal{C} = \bigcup \{\mathcal{C}'(n, k) \mid n \geq 1, k \geq 1\}$ , so that  $\mathcal{C}$  is  $\sigma$ -disjoint.

It remains only to show that  $\mathcal{C}$  contains a neighborhood base at each point of  $R^* - D$ . Fix  $p \in R^* - D$  and  $r > p$ . Find  $B \in \mathfrak{B}$  with  $p \in B \subset [p, r]$ . Because  $p \notin I$  we may find  $q > p$  with  $[p, q] \subset B \subset [p, r]$  and  $(p, q) \neq \emptyset$ . Choose  $n$  so that  $(p, q) \cap D(n) \neq \emptyset$  and choose  $d \in (p, q) \cap D(n)$ . Because  $p \in R - D$ , some convex component  $V \in \mathcal{V}_n$  contains  $p$ . Then  $V \subset (\leftarrow, d)$  and so

$$p \in [p, \rightarrow) \cap V \subset [p, \rightarrow) \cap (\leftarrow, d) \subset [p, d) \subset [p, q) \subset B \subset [p, \rightarrow),$$

i.e., the set  $Q = B \cap V$  belongs to  $\mathfrak{P}_n(V)$ . The unique point  $y_Q$  with  $Q = [y_Q, \rightarrow) \cap V$  is  $y_Q = p$ , so  $p \in C(n, V)$ . Compute  $\alpha = \alpha(n, V, p)$ . Then  $[p, x(V, \alpha)) \in \mathcal{C}(n, V, \alpha) \in \mathcal{C}$  and  $[p, x(V, \alpha)) \subset Q \subset B \subset [p, r)$ . Hence  $\mathcal{C}$  contains a neighborhood base at each point of  $R^* - D$ , as required.  $\square$

4.6. COROLLARY. *Any GO-space having a capacity and a point-countable base is metrizable.*  $\square$

Theorem 2.1 of [4] shows that a perfect GO-space with a  $\delta\theta$ -base has a point-countable base. Hence we have:

4.7. COROLLARY. *Any GO-space having a capacity and a  $\delta\theta$ -base is metrizable.*  $\square$

We conclude this section by pointing out that, in the light of (4.5), any counterexample for (H) is also a counterexample of the type required in (MvW).

## 5. Examples.

5.1 It is easy to see that the Sorgenfrey line [3] has a capacity. Thus, Theorem (3.5) cannot be strengthened to assert that a GO-space with a capacity is metrizable.  $\square$

5.2 No uncountable subspace of the Michael line [3, 11] can have a capacity unless it is metrizable. For if  $X$  is an uncountable subspace of the Michael line, then  $X$  is quasi-developable since it has a  $\sigma$ -disjoint base [11]. If  $X$  had a capacity then  $X$  would be perfect (2.2) and perfect quasi-developable space is developable [3]. But a developable GO-space is metrizable. (We remark that, under (MA +  $\neg$ CH), there are uncountable

subsets of the Michael line  $M$  which are metrizable; indeed Theorem (4.1) of [9] shows that any subspace  $X$  of  $M$  with  $|X| < c$  is metrizable.)  $\square$

5.3 It is not true that a perfect  $GO$ -space with a  $G_\delta$ -diagonal and a  $\sigma$ -discrete dense set must have a capacity. Let  $X$  be the  $GO$ -space obtained from the usual real line  $\mathbf{R}$  by making the half-line  $[x, \rightarrow)$  open whenever  $x$  is irrational and using the usual open interval neighborhoods for rational numbers. Then  $X$  is separable and has a  $G_\delta$ -diagonal. However the set  $R = \{x \in X \mid [x, \rightarrow) \text{ is open}\}$  is not an  $F_\sigma$ -subset of  $X$ , so  $X$  does not have a capacity.  $\square$

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Received May 14, 1982. The second author was partially supported by NSF Grant MCS 80-01617.

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