

FANO BUNDLES OVER P^3 AND Q_3

MICHAŁ SZUREK AND JAROSŁAW A. WIŚNIEWSKI

A vector bundle \mathcal{E} is called Fano if its projectivization $P(\mathcal{E})$ is a Fano manifold. In this article we prove that Fano bundles exist only on Fano manifolds and discuss rank-2 Fano bundles over the projective space P^3 and a 3-dimensional smooth quadric Q_3 .

Fano bundles appear naturally as we strive to construct examples of Fano manifolds of dimension ≥ 3 ; they form interesting yet accessible class of Fano n -folds. For example: among 87 types of Fano 3-folds with $b_2 \geq 2$ listed in [13] 22 types are ruled (i.e. obtained by projectivization of Fano bundles). Moreover some of the non-ruled manifolds listed there can be easily expressed as either finite covers of ruled 3-folds or divisors (or, more generally, complete intersections) in ruled Fano manifolds of higher dimension.

Let us mention another aspect of dealing with Fano bundles: it is how to determine whether or not a vector bundle is ample. This very fine property of a vector bundle cannot be determined by its numerical invariants, see [7]. Assuming the bundle to be stable helps to establish a sufficient condition for ampleness: [10], [17], which however is far from being necessary. In the present paper we take advantage of some already known facts about stable bundles with small Chern classes and determine that a bundle \mathcal{E} is not ample by finding its jumping lines or sections of $\mathcal{E}(-k)$.

Let us note that some results of this paper have already been published, see remarks after the proofs of Theorems (1.6) and (2.1).

1. Fano bundles; preliminaries. Let \mathcal{E} be a vector bundle of rank $r \geq 2$ on a smooth complex projective variety M . Let us recall that the tautological line bundle $\xi = \xi_{\mathcal{E}}$ on $V = P(\mathcal{E})$ is uniquely determined by the conditions $\xi_{\mathcal{E}}|_F \approx \mathcal{O}_F(1)$ and $p_*\xi_{\mathcal{E}} = \mathcal{E}$. By p we have denoted the projection morphism of $V = P(\mathcal{E})$ onto M and by F —the fibre of p . Obviously, $F \cong P^{r-1}$ and $p: V \rightarrow M$ is a P^{r-1} -bundle. The Picard group of V can be expressed as a direct sum: $\text{Pic}V \cong \mathbb{Z} \cdot \xi_{\mathcal{E}} \oplus p^*(\text{Pic}M)$. Replacing \mathcal{E} by its twist with a line bundle \mathcal{L} on M does not affect

the projectivization and

$$\xi_{\mathcal{E} \otimes \mathcal{L}} = \xi_{\mathcal{E}} \otimes p^*(\mathcal{L}).$$

Moreover, \mathcal{E} is generated by global sections iff $\xi_{\mathcal{E}}$ is. We have the following relative Euler sequence on $V = P(\mathcal{E})$:

$$(1.1) \quad 0 \rightarrow \mathcal{O}_V \rightarrow p^*(\mathcal{E})^\vee \otimes \xi_{\mathcal{E}} \rightarrow T_{V|M} \rightarrow 0$$

where the latter bundle is the relative tangent bundle of p and fits in the exact sequence

$$(1.2) \quad 0 \rightarrow T_{V|M} \rightarrow TV \rightarrow p^*TM \rightarrow 0.$$

We then obtain

$$(1.3) \quad c_1V = p^*(c_1M - c_1\mathcal{E}) + r\xi_{\mathcal{E}}$$

The theorem of Leray and Hirsch yields that in the cohomology ring of V the following holds

$$(1.4) \quad \xi_{\mathcal{E}}^r - p^*(c_1\mathcal{E})\xi_{\mathcal{E}}^{r-1} + p^*(c_2\mathcal{E})\xi_{\mathcal{E}}^{r-2} - \dots \pm p^*(c_r\mathcal{E}) = 0.$$

From now on we assume in this section that \mathcal{E} is a rank- r Fano bundle on an n -fold M , i.e., that $P(\mathcal{E})$ is a Fano manifold. We prove that such M must be Fano, as well.

(1.5) LEMMA. *Let $C \subset M$ be a rational curve with a normalization $\nu: P^1 \rightarrow C$. Assume that $\nu^*(\mathcal{E}) \cong \mathcal{O}(a_1) \oplus \mathcal{O}(a_2) \oplus \dots \oplus \mathcal{O}(a_r)$, where $a_1 \leq a_2 \leq \dots \leq a_r$. Then*

$$(c_1M) \cdot C > \sum_{i=2}^r (a_i - a_1) \geq 0.$$

Proof. The right hand side inequality is obvious. To prove the left hand side inequality let us assume that $W = P(\nu^*\mathcal{E})$. The manifold W is then a P^{r-1} -bundle over P^1 , with a projection $\pi: W \rightarrow P^1$. We have a section C_0 of π associated to the epimorphism $\nu^*\mathcal{E} \rightarrow \mathcal{O}(a_1) \rightarrow 0$, such that

$$\xi_{\nu^*\mathcal{E}}|_{C_0} \cong \mathcal{O}_{P^1}(a_1).$$

The normalization map $\nu: P^1 \rightarrow M$ lifts to a map $\bar{\nu}: W \rightarrow V$, making the following diagram commute

$$\begin{array}{ccc} W & \xrightarrow{\bar{\nu}} & V \\ \pi \downarrow & & \downarrow p \\ P^1 & \xrightarrow{\nu} & M \end{array}$$

By the choice of C_0 we have

$$\bar{\nu}^*(\xi_{\mathcal{E}}) \cdot C_0 = a_1$$

and, since c_1V is ample, we obtain by (1.3)

$$\begin{aligned} 0 < c_1V \cdot \bar{\nu}(C_0) &= \bar{\nu}^*(c_1V) \cdot C_0 \\ &= r \cdot \bar{\nu}^*(\xi_{\mathcal{E}}) \cdot C_0 + (\pi \circ \nu)^*(c_1M) \cdot C_0 - (\pi \circ \nu)^*(c_1\mathcal{E}) \cdot C_0 \\ &= r \cdot a_1 + c_1M \cdot C - \sum_{i=1}^r a_i \end{aligned}$$

which yields the desired inequality.

(1.6) **THEOREM.** *If \mathcal{E} is a Fano bundle on a manifold M then M is a Fano n -fold.*

Proof. As $c_1V = -K_V$ is ample, the cone of curves on V is spanned by the classes of extremal curves (see [12] for definitions and Theorem 1.2 on the cone of curves of a Fano manifold). Let us denote these curves by l_0, l_1, \dots, l_v with l_0 contained in F , a fibre of the projection $p: P(\mathcal{E}) \rightarrow M$. We see that $p^*(c_1M) \cdot l_0 = 0$ and for $i > 0$, $p(l_i)$ is a rational curve on M . Therefore from (1.5) it follows that

$$(1.7) \quad 0 < c_1M \cdot p(l_i) = p^*(c_1M) \cdot l_i$$

which means that $p^*(c_1M)$ is numerically effective. Recall now (a conclusion from) the Kawamata-Shokurov contraction theorem, see (2.6) in [11]:

If D is nef and $aD - K$ is ample for some $a > 0$, then D is semiample, i.e., some power of D is generated by global sections.

It follows that $D := p^*(c_1M)$ is semiample. Since $p: V \rightarrow M$ is a P^{r-1} -bundle, we have, for any integer k , $p_*p^*(\mathcal{O}(kc_1M)) \cong \mathcal{O}(kc_1M)$ and the images (under p_*) of global sections of $p^*(\mathcal{O}(kc_1M))$ are global sections of $\mathcal{O}(kc_1M)$. Therefore c_1M is semiample, hence to prove that it is ample it is enough to show that $c_1M \cdot C > 0$ for any curve C in M .

Let C be an irreducible curve in M . Taking an appropriate component from an intersection of the inverse image $p^{-1}(C)$ with general $r - 1$ divisors from a very ample linear system, we can produce an irreducible curve $C_1 \subset V$, such that $p(C_1) = C$. Then C_1 is numerically equivalent to a linear combination $\sum a_i l_i$ with at least one a_i different from zero for $i > 0$. Let d be the degree of the map $p|_{C_1}: C_1 \rightarrow C$.

Now the inequality (1.7) gives

$$c_1 M \cdot C = \frac{1}{d} \cdot p^*(c_1 M) \cdot C_1 = \frac{1}{d} \cdot \left(\sum a_i \cdot p^*(c_1 M) \cdot l_i \right) > 0,$$

which concludes the proof of the theorem.

REMARK. Theorem (1.6) has already been known for bundles of rank 2 on surfaces [4] and 3-folds [1].

2. Rank-2 Fano bundles on P^3 . The results stated below (Theorem (2.1)) can be understood as one more example of an exceptional character of the null-correlation bundle (see e.g. [3] or [15] for the definition of the null-correlation bundle).

(2.1) THEOREM. *The only rank-2 Fano bundles with $c_1 = 0, -1$, on \mathbb{P}^3 are*

- (1) $\mathcal{E} = \mathcal{O} \oplus \mathcal{O}$,
- (2) $\mathcal{E} = \mathcal{O} \oplus \mathcal{O}(-1)$,
- (3) $\mathcal{E} = \mathcal{O}(-1) \oplus \mathcal{O}(+1)$,
- (4) $\mathcal{E} = \mathcal{O}(-2) \oplus \mathcal{O}(+1)$,
- (5) *the null-correlation bundle \mathcal{N} .*

Proof. Let $V = P(\mathcal{E})$. We then have

$$(2.2) \quad -K_V = 2\xi + (4 - c_1 \mathcal{E})H \\ = \begin{cases} 2\xi + 4H = 2\xi_{\mathcal{E}(1)} + 2H = 2\xi_{\mathcal{E}(2)} & \text{if } c_1 = 0, \\ 2\xi + 5H = 2\xi_{\mathcal{E}(2)} + H = 2\xi_{\mathcal{E}(3)} - H & \text{if } c_1 = -1, \end{cases}$$

and we see that any of the bundles listed above is Fano. Indeed, if \mathcal{E} is one of those listed as (1), (3) or (5) (respectively: (2) or (4)) then $c_1 \mathcal{E} = 0$ (resp. $c_1 \mathcal{E} = -1$) and $\mathcal{E}(1)$ (resp. $\mathcal{E}(2)$) is generated by its global sections. Now, since $\rho(V) = 2$, it follows from (2.2) that $c_1 V$ is ample as the sum of two non-proportional nef divisors.

An easy corollary follows.

- (2.3) For a normalized Fano bundle \mathcal{E} of rank 2 on P^3 :
- if $c_1 \mathcal{E} = 0$, then $\mathcal{E}(2)$ is ample,
 - if $c_1 \mathcal{E} = -1$, then $\mathcal{E}(3)$ is ample.

We shall discuss the two cases separately.

Case $c_1 = 0$. A straightforward consequence of the theorem of Leray and Hirsch (1.4) yields that in the cohomology ring of V the following holds

$$\xi^2 + c_2 H^2 = 0.$$

Since $H^4 = 0$ and $H^3\xi = 1$, the above formula then gives

$$(2.4) \quad H^2\xi^2 = 0, \quad H\xi^3 = -c_2, \quad \xi^4 = 0,$$

so that $(-K_V)^4 = (2\xi + 4H)^4 = 128(4 - c_2)$ and we see that $c_2 < 4$.

Assume first \mathcal{E} is not semistable, i.e., $H^0(\mathcal{E}(-1)) \neq 0$. Let s be a non-zero section of $\mathcal{E}(-1)$. We claim that s does not vanish anywhere. Indeed, if $Z = \{s = 0\}$ were not empty, then for a line L meeting Z in a finite number of points we would have

$$\mathcal{E}(-1)|L = \mathcal{O}(d) \oplus \mathcal{O}(e) \quad \text{with } d \geq 1, d + e = -2,$$

contradicting (2.3). Therefore s does not vanish and thus $\mathcal{E}(-1) = \mathcal{O} \oplus \mathcal{O}(-2)$, hence \mathcal{E} is as in (3) of the theorem.

Let now \mathcal{E} be semistable but not stable: $H^0(\mathcal{E}(-1)) = 0, H^0(\mathcal{E}) \neq 0$. If a non-zero section of \mathcal{E} does not vanish anywhere, \mathcal{E} must then be $\mathcal{O} \oplus \mathcal{O}$. Otherwise a section vanishes on a curve. If the curve is not a single line then cutting it by a line leads to a contradiction, as above. But if a single line L was a zero set of a section of \mathcal{E} then, by the adjunction formula, the degree of the canonical divisor of L would be

$$\text{deg}(K_L) = (K_{P^3} + c_1\mathcal{E}) \cdot L = -4,$$

which is impossible. Because of Bogomolov's inequality $c_1^2 < 4c_2$ for stable bundles, [15], it remains then to study stable bundles with $c_1 = 0$ and $c_2 = 1, 2, 3$. In the first case \mathcal{E} is the null-correlation bundle \mathcal{N} , for which $\mathcal{N}(2)$ is ample; \mathcal{N} is then Fano.

In the remaining cases we know that \mathcal{E} has multiple jumping lines, i.e. such lines L for which $\mathcal{E}|L = \mathcal{O}_L(-2) \oplus \mathcal{O}_L(2)$, see [8], Proposition 9.11, and [18], respectively. In virtue of (2.3), such bundles cannot be Fano.

Case $c_1 = -1$. The multiplication table is now:

$$(2.5) \quad H^4 = 0, \quad H^3\xi = 1, \quad H^2\xi^2 = -1, \\ H\xi^3 = -c_2 + 1, \quad \xi^4 = 2c_2 - 1$$

and from

$$(-K_V)^4 = (2\xi + 5H)^4 = 32(-4c_2 + 17) > 0$$

we obtain that the only possible non-negative values for c_2 are 0, 2 or 4 (recall that Schwarzenberger's condition says $c_1c_2 \equiv 0 \pmod{2}$). Assume $H^0(\mathcal{E}(-1)) \neq 0$. As above, we show that no section $s \neq 0$

vanishes: if $Z = \{\text{zero}(s)\}$ were not empty, for a line L meeting Z at finitely many points we would have

$$\mathcal{E}(-1)|_L = \mathcal{O}_L(d) \oplus \mathcal{O}_L(e) \quad \text{with } d \geq 1, d + e = -3,$$

contradicting (2.3). Therefore the sections $\mathcal{E}(-1)$ do not vanish anywhere, so that \mathcal{E} is as in (4) of Theorem (2.1).

Let then $H^0(\mathcal{E}(-1)) = 0$, $H^0(\mathcal{E}) \neq 0$. The zero set Z of a non-zero section is then a curve (if not empty). Again, if Z were anything different from a single line, for a line L that cuts Z at a finite number ≥ 2 of points we would have

$$\mathcal{E}|_L = \mathcal{O}_L(d) \oplus \mathcal{O}_L(e), \quad d \geq 2, d + e = -1,$$

contradicting the ampleness of $\mathcal{E}(3)$. But $c_1 c_2$ is even so that the case $c_1 = -1$, $c_2 = 1$ does not hold, hence Z is not a line. The non-zero sections of \mathcal{E} do not vanish, hence $\mathcal{E} = \mathcal{O} \oplus \mathcal{O}(1)$.

It remains to exclude the cases of stable vector bundles with $c_1 = -1$ and $c_2 = 2$ or 4 . In the former case \mathcal{E} has multiple jumping lines, [9], Proposition 4.1, i.e., those for which $\mathcal{E}|_L = \mathcal{O}_L(-3) \oplus \mathcal{O}_L(2)$, hence \mathcal{E} cannot be Fano in view of (2.3). In the latter one $\mathcal{E}(2)$ has a section, see [2], Lemma 1, and $2H + \xi$ is effective with

$$(2H + \xi)(c_1 P(\xi))^3 = (2H + \xi)(2\xi + 5H)^3 = -17.$$

These bundles are then not Fano.

REMARK. Theorem (2.1) (in a somewhat weaker form) was first announced by Artiushkin, [1]. His proof was, however, incorrect: in line 36 on page 14 if E is a normalized bundle on P^3 , then the tautological divisor $\xi_E = L$ in op. cit. need not to be effective, therefore $(-K)^3 \cdot L$ need not to be positive. Our actual proof is more complicated.

Let us conclude this section by proving that $P(\mathcal{N})$ has a P^1 -bundle structure over a 3-dimensional quadric Q_3 . To see this, first let us recall that $\mathcal{N}(1)$ can be defined as the bundle fitting in the following exact sequence on P^3

$$0 \rightarrow \mathcal{O} \rightarrow \Omega P^3(2) \rightarrow \mathcal{N}(1) \rightarrow 0.$$

Note that $P(\Omega P^3(2))$ is the incidence variety

$$I = \{(x, l) \in P^3 \times \text{Grass}(1, 3) : x \in l\}$$

and $\text{Grass}(1, 3)$ is isomorphic to a 4-dimensional quadratic. Now, from the above exact sequence it follows that $P(\mathcal{N}(1))$ is a divisor in I which is an inverse image of a hyperplane section of $\text{Grass}(1, 3)$.

Therefore:

(2.6) PROPOSITION. *The Fano 4-fold $P(\mathcal{N}(1))$ is a projectivization of a rank-2 vector bundle on smooth quadratic $Q_3 \subset \text{Grass}(1, 3)$, obtained by restricting to Q_3 the universal quotient bundle from $\text{Grass}(1, 3)$.*

3. Bundles over Q_3 . Let us recall that the cohomology ring of Q_3 is generated by the classes of $[H] \in H^2(Q_3, \mathbb{Z})$, $[L] \in H^4(Q_3, \mathbb{Z})$, and $[P] \in H^6(Q_3, \mathbb{Z})$ where H , L and P are a quadratic surface, a line and a point, respectively. There are the following relationships: $[H]^2 = 2L$, $[H][L] = [P]$ and hence $[H]^3 = 2[P]$. If \mathcal{F} is a coherent sheaf on Q_3 with the Chern polynomial

$$1 + c_1(\mathcal{F})[H]t + c_2(\mathcal{F})[L]t^2 + c_3(\mathcal{F})[P]t^3,$$

then the numbers c_i are called the Chern classes of \mathcal{F} .

Recall the Riemann-Roch formula for \mathcal{F} , [5]

$$\chi(\mathcal{F}) = \frac{1}{6}(2c_1^3 - 3c_1c_2 + 3c_3) + \frac{3}{2}(c_1^2 - c_2) + \frac{13}{6}c_1 + \text{rank } \mathcal{F}.$$

Let now \mathcal{E} be a rank-2 vector bundle on Q_3 . The theorem of Leray and Hirsch (1.4) gives the following relations between the generators of $\text{Pic}(P(\mathcal{E})) \cong \mathbb{Z} \oplus \mathbb{Z}$

$$\begin{cases} \text{if } c_1 = 0, \text{ then } \xi^2 + \frac{1}{2}c_2(\mathcal{E})H^2 = 0; \\ \text{if } c_1 = -1, \text{ then } \xi^2 + \xi H + \frac{1}{2}c_2(\mathcal{E})H^2 = 0. \end{cases}$$

Because $H^4 = 0$ and $H^3\xi = 2$, we obtain:

$$\text{if } c_1 = 0, \text{ then } H^2\xi^2 = 0, \quad H\xi^3 = -c_2, \quad \xi^4 = 0;$$

$$\text{if } c_1 = -1, \text{ then } H^2\xi^2 = -2, \quad H\xi^3 = 2 - c_2, \quad \xi^4 = 2c_2 - 2.$$

Let \mathcal{E} be a normalized rank-2 vector bundle on Q_3 and $V = P(\mathcal{E})$ its projectivization. We then have

$$(3.1) \quad c_1V = -K_V = \begin{cases} 2\xi + 3H & \text{when } c_1 = 0, \\ 2\xi + 4H & \text{for } c_1 = -1. \end{cases}$$

Case of non-stable bundles. Assume \mathcal{E} is non-stable with $c_1(\mathcal{E}) = -1$. If a non-zero section from $H^0(\mathcal{E}(-1))$ vanishes at some point, let us consider a line L passing through this point and not contained in the zero set entirely. Then $\mathcal{E}(-1)|L = \mathcal{O}(d) \oplus \mathcal{O}(e)$ with $d \geq 1$, $d + e = -3$ that contradicts the ampleness of $\mathcal{E}(2)$, (3.1).

Assume $H^0(\mathcal{E}(-1)) = 0$, $H^0(\mathcal{E}) \neq 0$. Then a non-zero section of \mathcal{E} either does not vanish anywhere or it vanishes on a set of pure dimension 1. The divisor $\xi_{\mathcal{E}}$ is effective on $P(\mathcal{E})$ and

$$\xi \cdot (-K_V)^3 = 8\xi(\xi + 2H)^3 = 16(-2c_2 + 1),$$

and we see that $c_2 \leq 0$. But then sections of \mathcal{E} do not have zeros, hence $\mathcal{E} = \mathcal{O}(-1) \oplus \mathcal{O}$. Finally, we easily check that $\mathcal{O}(-1) \oplus \mathcal{O}$ is a Fano bundle (because $\mathcal{O}(1) \oplus \mathcal{O}(2)$ is ample).

In case $c_1 = 0$ we exclude non-semistable bundles in a very similar way. Finally, if \mathcal{E} is semistable but not stable, that is $H^0(\mathcal{E}) \neq 0 = H^0(\mathcal{E}(-1))$, the divisor $\xi_{\mathcal{E}}$ is effective and

$$0 < \xi(2\xi + 3H)^3 = 18(-2c_2 + 3)$$

so that $c_2 \leq 0$ (recall that $c_2 \equiv 0 \pmod{2}$, see [5], §1). If so, a non-zero section of \mathcal{E} does not vanish anywhere and \mathcal{E} must then be $\mathcal{O} \oplus \mathcal{O}$.

Case of stable bundles with $c_1 = 0$. From the condition $K^4 > 0$ we easily obtain that if $V = P(\mathcal{E})$ is Fano, then $c_2 \leq 4$, and since $c_2 \equiv 0 \pmod{2}$ it follows that either $c_2 = 2$ or 4 . We believe that there is no Fano bundle on Q_3 with $c_1 = 0$, $c_2 = 4$, however we do not have enough information on these bundles to prove it.

In case of $c_2 = 2$, one can easily check that the pull-back $\pi^*(\mathcal{N})$ of the null-correlation bundle, under a double covering $\pi: Q_3 \rightarrow P^3$, is Fano. Indeed, $\pi^*(\mathcal{N})(1)$ is then spanned on Q_3 , therefore $-K_{P(\pi^*(\mathcal{N}))} = 2\xi_{\pi^*(\mathcal{N})(1)} + H$ is ample. On the other hand we have

(3.2) PROPOSITION. *If \mathcal{E} is a stable bundle on Q_3 with $c_1 = 0$, $c_2 = 2$ such that $\mathcal{E}(1)$ is spanned by global sections then \mathcal{E} is a pull-back $\pi^*(\mathcal{N})$ of a null-correlation bundle \mathcal{N} , under a double covering $\pi: Q_3 \rightarrow P^3$.*

Proof. The argument is based on the following fact: for any two disjoint lines on P^3 there exists a section of a twisted null-correlation bundle $\mathcal{N}(1)$ vanishing exactly on these lines. Therefore, if we prove that a section of $\mathcal{E}(1)$ vanishes on a set being a pullback, via a double covering $\pi: Q_3 \rightarrow P^3$, of two disjoint lines on P^3 , then in view of Theorem 1.1 and Remark 1.1.1 from [8], $\mathcal{E}(1)$ is a pullback of $\mathcal{N}(1)$; if Z is the union of two disjoint lines and Y its pullback then it is easy to check that every isomorphism between $\omega_Q(-2)|_Y$ and ω_Y comes from $\omega_P(-2)|_Z \simeq \omega_Z$.

Assume \mathcal{E} is stable with $c_1(\mathcal{E}) = 0$, $c_2(\mathcal{E}) = 2$ on Q_3 . We easily compute the following cohomology table of $h^i(\mathcal{E}(-m))$

0	0	0	0	↑ h^3
1	1	0	0	↑ h^2
0	0	1	1	↑ h^1
0	0	0	0	↑ h^0
m = 3	m = 2	m = 1	m = 0	→

Indeed, vanishing of the lower and upper row is a consequence of the stability (plus Serre’s duality) and the “spectrum” technique, namely Corollary 2.4 in [5], gives

$$h^1(\mathcal{E}(-2)) = h^1(\mathcal{E}(-3)) = h^2(\mathcal{E}) = h^2(\mathcal{E}(-1)) = 0$$

and the remaining part of the table follows from computing the Euler-Poincaré characteristic.

Since $\chi(\mathcal{E}(1)) = 5$ and $h^2(\mathcal{E}(1)) = h^1(\mathcal{E}(-4)) = 0$ by Corollary 2.4 in [5], we see $h^0(\mathcal{E}(1)) \geq 5$. Let Y be the zero of a generic section.

Since $H^0(\mathcal{E}) = 0$ and $\mathcal{E}(1)$ is assumed to be globally generated, Y is a smooth (not necessarily connected) curve. From the diagram

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \downarrow & & \\
 0 & \rightarrow & \mathcal{O}(-2) & \rightarrow & \mathcal{E}(-1) & \rightarrow & J_Y \rightarrow 0 \\
 & & & & \downarrow & & \\
 & & & & \mathcal{O}_{Q_3} & & \\
 & & & & \downarrow & & \\
 & & & & \mathcal{O}_Y & & \\
 & & & & \downarrow & & \\
 & & & & 0 & &
 \end{array}$$

we calculate, with the aid of the cohomology table above, that $h^0(\mathcal{O}_Y) = 2$, i.e., Y consists of two connected components, say Y_1 and Y_2 .

Claim. Y_1 and Y_2 are conics.

Proof of claim. Since $c_2\mathcal{E}(1) = 4$ and both Y_i are smooth (therefore reduced) we have only to exclude the possibility that one of them is a line L . But then by the adjunction formula we would obtain

$$\deg(K_L) = (K_{Q_3} + c_1\mathcal{E}(1)) \cdot L = -1$$

which is impossible.

Let now H_i be the plane containing Y_i , $i = 1, 2$; clearly $Q_3 \cap H_i = Y_i$ and H_1, H_2 meet at one point in P^4 off Q_3 . Projecting $Q_3 \subset P^4$ from this point onto a hyperplane H in P^4 is a double covering of H and the images of Y_1 and Y_2 are two skew lines, say L_1 and L_2 . It then follows that $\mathcal{E}(1)$ is the pull-back of the null-correlation bundle $\mathcal{N}(1)$ corresponding to L_1 and L_2 .

REMARK. It is not entirely clear whether or not any stable bundle on Q_3 with $c_1 = 0$ and $c_2 = 2$ enjoys the property stated in (3.2).

Case of stable bundles with $c_1 = -1$, $c_2 = 1$. Here a more detailed description of Fano bundles can be given. Let \mathcal{E} be a stable bundle on Q_3 with $c_1 = -1$, $c_2 = 1$.

(3.3) The cohomology of such a bundle are the following:

- (1) $h^0(\mathcal{E}(m)) = 0$ for $m \leq 0$,
- (2) $h^0(\mathcal{E}(1)) = 4$,
- (3) $h^1(\mathcal{E}(m)) = h^2(\mathcal{E}(m)) = 0$ for all m ,
- (4) $h^3(\mathcal{E}(m)) = 0$ for $m \geq -2$.

Proof. (1) is a criterion of stability, (4) is dual to (1), (2) will follow from (3), (4) and the Riemann-Roch formula. Corollary 2.4 in [5] gives $h^1(\mathcal{E}(m)) = 0$ for $m \leq -1$. By duality, $h^2(\mathcal{E}(m)) = 0$ for $m \geq -1$ so that $h^1(\mathcal{E}) = \chi(\mathcal{E}) = 0$. The Castelnuovo criterion (see e.g. Lecture 14 in [14]) now yields that $\mathcal{E}(m)$ are generated by global sections if $m \geq 1$ and that all cohomology $H^i(\mathcal{E}(m))$ vanish for $i \geq 1$, $i + m \geq 1$. Now by duality (3) follows for any integer m .

Note that from the Castelnuovo criterion it follows that $\mathcal{E}(1)$ is spanned; therefore $\mathcal{E}(2)$ is ample and \mathcal{E} is Fano.

Now we prove that such \mathcal{E} is the one from (2.6). Since the bundle $\mathcal{E}(1)$ is spanned and $h^0(\mathcal{E}(1)) = 4$ it follows that the linear system $|H + \xi|$ is base point free and of dimension 3. Let $\varphi: P(\mathcal{E}) \rightarrow P^3$ be the map associated with this system.

(3.4). **PROPOSITION.** $\varphi: P(\mathcal{E}) \rightarrow P^3$ is a P^1 -bundle which is the projectivization of a null-correlation bundle.

Proof. First note that a general divisor D in the linear system $|2H + \xi|$ is a Fano 3-fold listed as n^0 17 in Table 2 [13]. The map $\varphi|_D$ is a blow-down morphism from D onto P^3 .

We claim that φ has no fibre of dimension ≥ 2 . Assume that S is such a fibre. Then $f := D \cap S$ is isomorphic to P^1 and $\mathcal{O}_f(H) \cong \mathcal{O}_{P^1}(1)$. In view of Theorem 2.1b', [6] we see that $S \cong P^2$ and $\mathcal{O}_S(H) \cong \mathcal{O}_{P^2}(1)$. But in this case $p: S \rightarrow Q_3$ is a plane embedding of P^2 in Q_3 , which is impossible.

Now any fibre of φ is numerically equivalent to $(H + \xi)^3$ and, since $H \cdot (H + \xi)^3 = 1$, it follows that it must be isomorphic to P^1 . The push-forward $\varphi_*(\mathcal{O}(H))$ is a rank-2 Fano bundle on P^3 . From the results of §2 we see that it is a null-correlation bundle.

COROLLARY. Any stable rank-2 bundle on Q_3 with Chern classes $c_1 = -1$, $c_2 = 1$ is a pull-back of the universal quotient bundle on

Grass(1, 3) via some hyperplane embedding

$$Q_3 \rightarrow \text{Grass}(1, 3) = Q_4 \subset P^5.$$

REMARK 1. The above example shows that the Horrocks splitting principle, as it stands on P^n (see e.g. [15]), cannot be applied literally to bundles on Q_3 (see [16] for an analogue of the Horrocks splitting principle on Q_n). Let us also notice that the bundle discussed above is uniform: its decomposition type is the same on all lines and smooth conics in Q_3 .

REMARK 2. It is proved in [19] that $V = P(\mathcal{N}) = P(\mathcal{E})$ (where \mathcal{E} is the bundle discussed above and \mathcal{N} is the null-correlation bundle on P^3) is the only ruled Fano 4-fold of index 2 obtained from a non-decomposable bundle.

Added in the proof. Together with Ignacio Sols we have concluded the case of rank-2 Fano bundles on Q_3 . Firstly, we have proved that the first twist of a stable bundle with $c_1 = 0$, $c_2 = 2$ is spanned by global sections (see Proposition (3.2) and the subsequent remark). Secondly, we have decided that bundles with $c_1 = 0$, $c_2 = 4$ are not Fano (see the discussion preceding (3.2)).

REFERENCES

- [1] I. Yu. Artiushkin, *Four-dimensional Fano manifolds representable as P^1 -bundles*, Vest. Mosk. Un-ta, ser 1, No. 1, (1987), 12–16, in Russian.
- [2] C. Banica and N. Manolache, *Rank 2 stable vector bundles on $P^3(C)$ with Chern classes $c_1 = -1$, $c_2 = 4$* , Math. Z., **190** (1985), 315–339.
- [3] W. Barth, *Some properties of stable rank-2 vector bundles on P_n* , Math. Ann., **226** (1977), 125–159.
- [4] I. V. Demin, *Three-dimensional Fano manifolds representable as line fiberings*, Izv. Akad. Nauk SSSR, **44**, n°4, (1980), 464–921, English translation in Math USSR Izv. 17 Addendum to this paper in Izv. Akad. Nauk SSSR 46 n°3, English translation in Math. USSR Izv. 20.
- [5] L. Ein and I. Sols, *Stable vector bundles on quadrics*, Nagoya Math. J., **96** (1984), 11–22.
- [6] T. Fujita, *On the structure of polarized varieties with Δ -genera zero*, J. Fac. Sci. Univ. Tokyo, **22** (1975), 103–115.
- [7] W. Fulton, *Ample vector bundles, Chern classes, numerical criteria*, Inv. Math., **32** (1978), 171–178.
- [8] R. Hartshorne, *Stable Vector Bundles of Rank 2 on P^3* , Math. Ann., **238** (1978), 229–280.
- [9] R. Hartshorne and I. Sols, *Stable rank 2 vector bundles on P^3 with $c_1 = -1$, $c_2 = 2$* , J. Reine und Angew. Math., **325** (1981), 145–152.
- [10] T. Hosoh, *Ample vector bundles on a rational surface*, Nagoya Math. J., **59** (1975), 135–148.

- [11] Y. Kawamata, *The cone of curves of algebraic varieties*, Ann. Math., **119** (1984), 603f–633.
- [12] Sh. Mori, *Threefolds whose canonical bundles are not numerically effective*, Ann. Math., **116** (1982), 133–176.
- [13] Sh. Mori and Sh. Mukai, *Classification of Fano 3-folds with $B_2 \geq 2$* , Manuscripta Math., **36** (1981), 147–162.
- [14] D. Mumford, *Lectures on curves on an algebraic surface*, Ann. of Math. Studies 59, Princeton Univ. Press 1966.
- [15] Ch. Okonek, M. Schneider and H. Spindler, *Vector Bundles on Complex Projective Spaces*, Birkhäuser 1981.
- [16] G. Ottaviani, *Critères de scindage pour les fibrés vectoriels sur les grassmanniens et les quadriques*, Comptes Rendus Acad. Sci. Paris, **305** (1987), 257–260.
- [17] M. Schneider, *Stabile Vektorraumbündel vom rang 2 auf der projektiven Ebene*. Nachr. Akad. Wiss. Gottingen 1976, 83–86.
- [18] S. S. Tikhomirov, *On stable two-dimensional vector bundles on P^3 with Chern classes $c_1 = 0$, $c_2 = 3$ and 4*, Sbornik Nauch. Tr. Yarosl. Pedag. Inst. im. K. D. Ushinskogo, **180** (1979), 106–114, in Russian.
- [19] J. A. Wisniewski, *Ruled Fano 4-folds of index 2*, Proc. Amer. Math. Soc., **105** (1983), 55–61

Received January 26, 1988.

WARSAW UNIVERSITY

PKIN 9P. 00-901 WARSZAWA, POLAND

Jarosław A. Wiśniewski visiting at: The Johns Hopkins University
Baltimore, MD 21218, USA