

## ON THE ELIMINATION OF ALGEBRAIC INEQUALITIES

DANIEL PECKER

Let  $S$  be a locally closed semi-algebraic subset of  $\mathbb{R}^n$ . We find an irreducible equation of an algebraic set of  $\mathbb{R}^{n+1}$  projecting upon  $S$ . Our methods are simple and explicit.

**1. Introduction.** The inequality  $x \geq 0$  is often replaced by the proposition “ $x$  has a square root” or “ $\exists t \in \mathbb{R}, t^2 - x = 0$ ”. This is the most immediate example of an elimination of one inequality. The general problem is to find an algebraic set projecting upon a given semi-algebraic set: it is a converse of the problem of the elimination of quantifiers.

Motzkin proved that every semi-algebraic subset of  $\mathbb{R}^n$  is the projection of an algebraic set in  $\mathbb{R}^{n+1}$ . However this algebraic set is very complicated and generally reducible.

Andradas and Gamboa proved that any closed semi-algebraic subset of  $\mathbb{R}^n$  whose Zariski-closure is irreducible is the projection of an irreducible algebraic set in  $\mathbb{R}^{n+k}$ .

In this paper we shall first improve Motzkin’s result by finding equations generally of minimal degree. Then we shall give a few results concerning irreducibility. One of the first examples of such a construction is due to Rohn and has been studied by Hilbert and Utkin:

If  $4C_4C_2 = \varepsilon^2$  is a plane curve of degree six (where  $\deg(C_2) = 2$ ,  $\deg(C_4) = 4$ ,  $\varepsilon \in \mathbb{R}$ ), then it is the apparent contour of the quartic surface  $C_2z^2 - \varepsilon z + C_4 = 0$ .

**2. The case of basic closed subsets.** Let  $\mathbb{R}^+ = \{x \in \mathbb{R} \mid x \geq 0\}$  be the set of nonnegative numbers. Let  $\mathbf{x} = (x_1, \dots, x_N)$  be a “parameter” and  $t$  an “indeterminate”, so that we can speak of the roots of a polynomial  $P(\mathbf{x}, t)$ . In the same way, unless otherwise specified, the degree of  $P(\mathbf{x}, t)$  will be its degree in  $t$ .

Let us define the polynomials  $a_i(\mathbf{x})$  as follows:

$$a_k(x_1, \dots, x_{k+1}) = x_{k+1}(x_1 + x_2 + \dots + x_k).$$

It is easy to see that  $a_1(\mathbf{x}) \geq 0, \dots, a_n(\mathbf{x}) \geq 0$  if and only if all the  $x_i$  are nonnegative or all the  $x_i$  are nonpositive ( $i = 1, \dots, n + 1$ ).

**THEOREM 1.** *Let  $P_1(x_1, u) = u - x_1$ .*

$$\begin{aligned} P_{n+1}(x_1, \dots, x_{n+1}, u) \\ = P_n(a_1(\mathbf{x}), \dots, a_n(\mathbf{x}), (u - (x_1 + x_2 + \dots + x_{n+1})))^2. \end{aligned}$$

*Then the following properties are true:*

- (i)  $P_n$  is homogeneous of degree  $2^{n-1}$ .
- (ii) If all the  $x_i$  are nonnegative

$$P_n(x_1, \dots, x_n, u) = 0 \Rightarrow 0 \leq u \leq 2 \sum_1^n x_i.$$

(iii) *If all the  $x_i$  are nonnegative,  $P_n(x_1, \dots, x_n, t^2)$  has only real roots.*

(iv) *If  $P_n(x_1, \dots, x_n, t^2)$  has a real root, then all the  $x_i$  are nonnegative.*

(v)

$$\begin{aligned} P_n(x_1, \dots, x_{j-1}, 0, x_{j+1}, \dots, x_n, t) \\ = [P_{n-1}(x_1, \dots, x_{j-1}, x_{j+1}, \dots, x_n, t)]^2 \end{aligned}$$

(vi)  $P_n(x_1, \dots, x_n, t^2)$  is irreducible and monic in each letter.

*Proof.* First, we prove (i), (ii), (iii), and (iv) by simultaneous induction: let us suppose (i), (ii), (iii) and (iv) verified for  $n$ ; we shall prove them for  $n + 1$ .

(i) Easy since the  $a_k$  are homogeneous of degree 2.

(ii) If  $u$  is a root of  $P_{n+1}(x_1, \dots, x_{n+1}, u) = 0$ , then

$$(u - (x_1 + \dots + x_{n+1}))^2$$

is a root of  $P_n(a_1(\mathbf{x}), \dots, a_n(\mathbf{x}), v) = 0$  by induction

$$(u - (x_1 + \dots + x_{n+1}))^2 \leq 2(a_1(\mathbf{x}) + \dots + a_n(\mathbf{x})) \leq (x_1 + \dots + x_{n+1})^2$$

whence  $0 \leq u \leq 2(x_1 + \dots + x_{n+1})$ , which shows (ii) and (iii).

(iv) If  $P_{n+1}(x_1, \dots, x_{n+1}, t^2) = 0$  has a real root, then

$$P_n(a_1(\mathbf{x}), \dots, a_n(\mathbf{x}), (t^2 - (x_1 + \dots + x_{n+1}))^2)$$

has a real root and by induction all the  $a_i(\mathbf{x})$  are nonnegative. Therefore, if all the  $x_i$  are nonpositive,  $P_n(a_1(\mathbf{x}), \dots, a_n(\mathbf{x}), v)$  has a root which is greater than  $(x_1 + \dots + x_{n+1})^2 \geq 2(a_1(\mathbf{x}) + \dots + a_n(\mathbf{x}))$ . By induction this is possible only if

$$(x_1 + \dots + x_{n+1})^2 = 2(a_1(\mathbf{x}) + \dots + a_n(\mathbf{x})),$$

i.e., when all the  $x_i$  are equal to zero.

(v) By induction: suppose the formula true for  $n$ , let us prove it for  $n + 1$ . Let us study the case  $j \geq 2$  (the case  $j = 1$  is similar). Let

$$\begin{aligned}x &= (x_1, \dots, x_{j-1}, 0, x_{j+1}, \dots, x_{n+1}), \\ \hat{x} &= (x_1, \dots, x_{j-1}, x_{j+1}, \dots, x_{n+1}).\end{aligned}$$

We have:

$$\begin{cases} a_i(x) = a_i(\hat{x}) & \text{if } i < j - 1, \\ a_{j-1}(x) = 0, \\ a_k(x) = a_{k-1}(\hat{x}) & \text{if } k \geq j. \end{cases}$$

Then,

$$\begin{aligned}P_{n+1}(x, t) &= P_n(a_1(x), \dots, a_n(x), (t - (x_1 + \dots + x_{n+1})))^2 \\ &= P_n(a_1(\hat{x}), \dots, a_{j-2}(\hat{x}), 0, a_{j-1}(\hat{x}), \dots, a_{n-1}(\hat{x}), \\ &\quad (t - (x_1 + \dots + x_{n+1})))^2 \\ &= [P_{n-1}(a_1(\hat{x}), \dots, a_{n-1}(\hat{x}), (t - (x_1 + \dots + x_{n+1})))^2]^2 \\ &= [P_n(\hat{x}, t)]^2.\end{aligned}$$

(vi) By induction. Suppose  $P_n(x, t^2)$  irreducible. Let

$$P_{n+1}(x_1, \dots, x_{n+1}, t^2) = A(x, t) \cdot B(x, t),$$

$A$  and  $B$  monic in  $t$ . Let us substitute 0 for  $x_{n+1}$  in this factorization; using (v) we get:

$$(P_n(x_1, \dots, x_n, t^2))^2 = A(x_1, \dots, x_n, 0, t) \cdot B(x_1, \dots, x_n, 0, t).$$

Since  $P_n(x, t^2)$  is irreducible, and  $A$  and  $B$  are monic in  $t$ , we get either:

$$A(x_1, \dots, x_n, 0, t) = B(x_1, \dots, x_n, 0, t) = P_n(x_1, \dots, x_n, t^2)$$

or:

$$A(x_1, \dots, x_n, 0, t) = (P_n(x_1, \dots, x_n, t^2))^2.$$

In the first case, at any point where all the  $x_i$  are positive  $P_n$  has a simple root and then  $\partial A / \partial t \neq 0$ . Then (by the implicit function theorem)  $A$  has a root for  $x$  in a neighborhood of  $(x_1, \dots, x_n, 0)$ , which is impossible since  $P_{n+1}$  does not have such a root when  $x_{n+1}$  is negative. In the second case  $P_{n+1}$  and  $A$  have the same degree in  $t$ , and since  $A$  and  $B$  are monic in  $t$ , we obtain finally  $A(x, t) = P_{n+1}(x, t^2)$ ,  $B(x, t) = 1$ .  $\square$

REMARKS. We can compute easily  $P_1, P_2, P_3$ .

$$P_1(x, t^2) = t^2 - x,$$

$$P_2(x, y, t^2) = (t^2 - (x + y))^2 - xy,$$

$$P_3(x, y, z, t^2)$$

$$= [(t^2 - (x + y + z))^2 - (xy + yz + zx)]^2 - xyz(x + y).$$

If we use the elementary symmetric polynomials  $s_1 = x + y + z + u$ ,  $s_2, s_3, s_4 = xyz u$ , we can even write  $P_4$ :

$$P_4(x, y, z, u, t^2)$$

$$= [((t^2 - s_1)^2 - s_2)^2 - xyz(x + y) - u(x + y + z)(xy + yz + zx)]^2 - s_4(x + y)(x + y + z)(xy + yz + zx).$$

The main step in Motzkin's work (cf. [M1], [M2]) was to find "a real polynomial  $U'_d(x_1, \dots, x_d, t^2)$  such that  $x_1 \geq 0, \dots, x_d \geq 0$  if and only if, for some  $t$ ,  $U'_d(x_1, \dots, x_d, t^2) = 0$ ." His polynomials are reducible, nonhomogeneous, have some complex roots even when all the  $x_i$  are positive, and they are very complicated:

$$U'_2(x, y, t^2) = [t^4(x - y)^6 - 2t^2(x - y)^2(x + y) + 1][(t^2 - y)^2 + (x - y)^2],$$

$$\deg_t(U'_2) = 4, \text{ but } \deg_t(U'_3) = 104, \deg_t(U'_4) = 12, 496, \deg_t(U'_5) = 7, 997, 472!!!$$

The induction formula defining our polynomials  $P_k$  was found by a geometrical construction (cf. [P1], [P2]):

The algebraic set  $\nu_3: P_3(x, y, z, 1) = 0$  is such that the positive cone on it

$$\begin{aligned} C^+(\nu_3) &= \left\{ (x, y, z) \in \mathbb{R}^3 \mid \exists t > 0, \left( \frac{x}{t}, \frac{y}{t}, \frac{z}{t} \right) \in \nu_3 \right\} \\ &= \{ (x, y, z) \in \mathbb{R}^3 \mid \exists t > 0, P_3(x, y, z, t^2) = 0 \} = (\mathbb{R}^+)^3. \end{aligned}$$

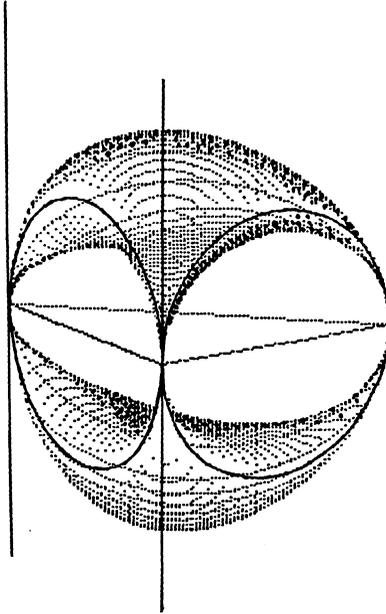
$\nu_3$  is projectively equivalent to an algebraic set  $\nu'_3$  whose vertical projection is a triangle. And it is not difficult, using  $P_2$ , to define such a set (see figure).

The following corollary is due to the cooperation of C. Andradás.

**COROLLARY 1.** *There exists a real irreducible polynomial*

$$P_{n,m}(x_1, \dots, x_n, y_1, \dots, y_m, t^2)$$

*having a real root iff all the  $x_i$  are nonnegative and all the  $y_j$  are positive.*



FIGURE

Surface  $z^4 - 2(B_1 + B_2)z^2 + B_1^2 + B_2^2 = 0$  with  $B_1 = x - x^2$ ,  $B_2 = (1 - x)y - y^2$

*Proof.* Let us define  $P_{n,m}$  by the formula:

$$P_{n,m}(x_i, y_j, t^2) = (y_1 \dots y_m)^{2^{m+n-1}} P_{n+m}(x_i, y_1, \dots, y_{m-1}, 1/y_1 \dots y_m, t^2).$$

Since the polynomials  $P_n$  are monic in each variable we see that  $P_{n,m}$  cannot have a real root if  $y_1 \dots y_m = 0$ . The conclusion is easy.  $\square$

For example we have:  $P_{0,2}(b, c, t^2) = (bct^2 - b^2c - 1)^2 - b^2c$ .

**PROPOSITION 1.** Let  $S$  be a semi-algebraic subset of  $\mathbb{R}^M$  given by:

$$S = \{\mathbf{x} \in \mathbb{R}^M \mid b_1(\mathbf{x}) \geq 0, \dots, b_n(\mathbf{x}) \geq 0, c_1(\mathbf{x}) > 0, \dots, c_m(\mathbf{x}) > 0\}.$$

There exists a real irreducible polynomial  $P(\mathbf{x}, t)$  such that:

$$x \in S \Leftrightarrow \exists t \in \mathbb{R}, \quad P(\mathbf{x}, t) = 0.$$

*Proof.* Let  $P$  be a nontrivial irreducible factor of

$$P_{n,m}(b_i(\mathbf{x}), c_j(\mathbf{x}), t^2).$$

Since  $P_{n,m}$  has either only real roots or none, we see that  $P$  has a real root iff  $P_{n,m}$  has one.  $\square$

**3. The case of obtuse corners.** Let us define a function  $g(t)$  and a polynomial  $Q_n(\mathbf{x}, t)$  by the formula:

$$g(t) = \frac{x_1}{t - x_1} + \cdots + \frac{x_n}{t - x_n} - 1 = \frac{Q_n(\mathbf{x}, t)}{(t - x_1) \cdots (t - x_n)}.$$

By symmetry we may suppose  $x_1 \leq x_2 \leq \cdots \leq x_n$ .

The function  $g(t)$  has a root on any of the intervals  $]-\infty, x_1[$ ,  $], \dots, ]x_i x_{i+1}[$ ,  $], \dots, ]x_n, \infty[$  whose closure does not contain zero. To obtain all the other roots of  $Q_n(\mathbf{x}, t)$ , it is enough to take  $x_k$  as a root of order  $p - 1$  if  $x_k$  appears  $p$  times in  $(x_1, \dots, x_n)$ , and take 0 as a root of order  $q$  if  $q$  of the  $x_k$  are equal to zero.

We also see that  $g'(t)$  never vanishes on these intervals.

Consequently  $\psi_n(\mathbf{x}) = \sup\{t \in \mathbb{R} \mid Q_n(\mathbf{x}, t) = 0\}$  is well defined, positive (resp. nonnegative) iff one of the  $x_i$  is positive (resp. nonnegative).  $\psi_n(\mathbf{x})$  is continuous because  $Q_n(\mathbf{x}, t)$  has only real roots.

If  $\psi_n(\mathbf{x})$  is equal to one of the  $x_k$ , all the  $x_k$  are nonpositive, and either the maximum of the  $x_k$  is 0, or the maximum of the  $x_k$  is attained by two or more  $x_k$ . In the first case, if only one of the  $x_k$  is equal to 0, a direct computation shows that  $Q'_n(\mathbf{x}, 0) \neq 0$ . In the second case, if the maximum of the  $x_k$  is attained by exactly two of the  $x_k$ , we see that  $Q'_n(\mathbf{x}, x_k) \neq 0$ . Then, using the implicit function theorem, we have:

**PROPOSITION 2.** *There exists a function  $\psi_n(\mathbf{x})$ , semi-algebraic and continuous on  $\mathbb{R}^n$ , positive (resp. nonnegative) if and only if one of the  $x_i$  is positive (resp. nonnegative). Furthermore  $\psi_n(\mathbf{x})$  is analytic everywhere except on  $E_1 \cup E_2$*

$$E_1 = \{(\mathbf{x}) \in \mathbb{R}^n \mid \forall i, x_i \leq 0, \exists i_1, i_2, x_{i_1} = x_{i_2} = 0\},$$

$$E_2 = \left\{ (\mathbf{x}) \in \mathbb{R}^n \mid \forall i, x_i \leq 0, \exists i_1, i_2, i_3, x_{i_1} = x_{i_2} = x_{i_3} = \max_i(x_i) \right\}.$$

This allows us to give a very simple proof of the following separation theorem of Mostowski (compare [B-C-R]).

**COROLLARY (Mostowski).** *Let  $F$  be a closed semi-algebraic subset of  $\mathbb{R}^n$ . There exists a continuous semi-algebraic function  $\psi$  zero on  $F$ , analytic and positive outside  $F$ .*

*Proof.* We know that any closed semi-algebraic set  $F$  can be written  $F = \bigcup_1^N F_i$  with  $F_i = \{\mathbf{x} \in \mathbb{R}^n \mid A_1^i(\mathbf{x}) \geq 0, \dots, A_{k_i}^i(\mathbf{x}) \geq 0\}$ . Let

$f_i(\mathbf{x}) = \psi_{k_i}(-A_1^i(\mathbf{x}), \dots, -A_{k_i}^i(\mathbf{x}))$ .  $f_i$  is nonpositive on  $F_i$ , analytic and positive outside  $F_i$ . The function  $\psi(\mathbf{x}) = \prod_1^N (f_i(\mathbf{x}) + |f_i(\mathbf{x})|)$  has the desired property.  $\square$

We need the following remark:

**LEMMA.** *Let  $C_1, \dots, C_N$  be pairwise relatively prime elements in a factorial ring of characteristic zero. There exist positive integers  $d_1, \dots, d_N$  such that the elements  $C_1, \dots, C_N$  and  $d_i C_i - d_j C_j$  are pairwise relatively prime.*

*Proof.* By induction. Suppose that for  $k < N$  there exist positive integers  $d_1, \dots, d_k$  such that  $C_1, \dots, C_N$  and  $d_i C_i - d_j C_j$ ,  $i < j \leq k$ , are pairwise relatively prime. Let  $P$  be the finite set of factors appearing in one of these polynomials. Let  $j \leq k$  be a fixed integer, and consider the polynomials  $n C_{k+1} - d_j C_j$ . These polynomials are pairwise relatively prime, and then, except for a finite number of values for  $n$ , they do not possess any factor belonging to  $P$ . Take a positive integer  $d_{k+1}$  such that, for all  $j \leq k$ ,  $d_{k+1} C_{k+1} - d_j C_j$  does not possess any factor belonging to  $P$ . Any common factor of  $d_{k+1} C_{k+1} - d_j C_j$  and  $d_{k+1} C_{k+1} - d_i C_i$  must be in  $P$ , which is impossible.  $\square$

**PROPOSITION 3.** *If the real polynomials  $A_1(\mathbf{x}), \dots, A_h(\mathbf{x}), B_1(\mathbf{x}), \dots, B_k(\mathbf{x})$  are pairwise relatively prime, there exists a real irreducible polynomial  $R(\mathbf{x}, t)$  which has a nonnegative root iff one  $A_i(\mathbf{x})$  is non-negative or one  $B_j(\mathbf{x})$  is positive. It has a positive root iff one  $A_i(\mathbf{x})$  or one  $B_j(\mathbf{x})$  is positive.*

*Proof.* By the lemma, we may suppose that the  $A_i, B_j$ , and their differences are pairwise relatively prime. Let

$$\begin{aligned} \psi_A(\mathbf{x}) &= \psi_h(A_1(\mathbf{x}), \dots, A_h(\mathbf{x})), \\ \psi_B(\mathbf{x}) &= \psi_k(B_1(\mathbf{x}), \dots, B_k(\mathbf{x})). \end{aligned}$$

$\psi_A(\mathbf{x})$  and  $\psi_B(\mathbf{x})$  are analytic on  $\mathbb{R}^n$  except on a set of codimension two at most. Their minimal polynomials  $R_A(\mathbf{x}, \psi_A(\mathbf{x})) = 0$  and  $R_B(\mathbf{x}, \psi_B(\mathbf{x})) = 0$  are therefore irreducible. These polynomials, being factors of  $Q_A$  and  $Q_B$  respectively (in  $\mathbb{R}(x)[t]$ ), have only real roots.

Consider now the following function defined for  $u \geq 0$  or  $v \neq 0$ :

$$\begin{aligned} \bar{\psi}(u, v) &= \frac{u + v + \sqrt{u^2 + v^2}}{(u + \sqrt{u^2 + v^2})^2} (u^2 + v^2), \\ \bar{\psi}(0, 0) &= 0. \end{aligned}$$

$\bar{\psi}$  satisfies a real quadratic polynomial  $K(u, v, \bar{\psi}(u, v)) = 0$  which has a nonnegative root if and only if  $u \geq 0$  or  $v > 0$ ; (if  $u \geq 0$  or  $v > 0$ ,  $\bar{\psi}(u, v)$  is a nonnegative root of this polynomial).

Let  $R_1(\mathbf{x}, f)$  be the polynomial obtained by eliminating  $u$  and  $v$  of the following system (I):

$$(I) \quad \begin{cases} R_A(\mathbf{x}, u) = 0, \\ R_B(\mathbf{x}, v) = 0, \\ K(u, v, f) = 0. \end{cases}$$

We see that  $R_1(\mathbf{x}, \bar{\psi}(\psi_A(\mathbf{x}), \psi_B(\mathbf{x}))) = 0$ . Since  $\bar{\psi}(\psi_A(\mathbf{x}), \psi_B(\mathbf{x}))$  is meromorphic in a dense connected open subset of  $\mathbb{R}^n$ , there is an irreducible factor  $R(\mathbf{x}, f)$  of  $R_1(\mathbf{x}, f)$  such that  $R(\mathbf{x}, \bar{\psi}(\psi_A(\mathbf{x}), \psi_B(\mathbf{x}))) = 0$ .

If  $R$  has a nonnegative root, the system (I) has a solution  $u, v, f_1$  with  $f_1$  nonnegative.  $R_A$  and  $R_B$  having only real roots,  $u$  and  $v$  are real numbers. Finally we see that  $u \geq 0$  or  $v > 0$  which shows that  $\psi_A(\mathbf{x}) \geq 0$  or  $\psi_B(\mathbf{x}) > 0$ . Conversely, if  $\psi_A(\mathbf{x}) \geq 0$  or  $\psi_B(\mathbf{x}) > 0$ ,  $\bar{\psi}(\psi_A(\mathbf{x}), \psi_B(\mathbf{x}))$  is a nonnegative root of  $R(\mathbf{x}, f) = 0$ .  $\square$

We may also remark that, since  $R_A$  and  $R_B$  have only real roots,  $R_1$  and  $R$  have the same property.

In the proof of our principal result, we shall only need the easier part of Proposition 3, when there is no  $B_j$ . In this case the polynomial  $R(\mathbf{x}, t)$  is monic in  $t$ .

#### 4. The principal result.

**THEOREM.** *If  $S$  is a locally closed semi-algebraic subset of  $\mathbb{R}^n$ , there exists an irreducible real polynomial  $R(\mathbf{x}, t)$  such that:*

$$\mathbf{x} \in S \Leftrightarrow \exists t \in \mathbb{R}, \quad R(\mathbf{x}, t) = 0.$$

Furthermore, if  $S$  is closed, we can suppose  $R$  monic in  $t$ .

*Proof.* Let  $S = F \cap U$ , where  $F$  is closed and  $U$  open. We know that we can write  $F = \bigcap_1^{N_1} S_l$  with

$$S_l = \{\mathbf{x} \in \mathbb{R}^n \mid A_1^l(\mathbf{x}) \geq 0 \text{ or } \dots \text{ or } A_{n_l}^l(\mathbf{x}) \geq 0\}$$

where the  $A_i^l(\mathbf{x})$  are irreducible polynomials. (Cf. [A-G1] & [B-C-R] p. 26.). Similarly, we can write  $U = \bigcap_{N_1+1}^N S_l$  with:

$$S_l = \{\mathbf{x} \in \mathbb{R}^n \mid A_1^l(\mathbf{x}) > 0 \text{ or } \dots \text{ or } A_{n_l}^l(\mathbf{x}) > 0\}.$$

For each  $l$  let  $R_l(\mathbf{x}, u_l)$  be the polynomial defined in Proposition 3.  $R_l$  is irreducible, monic in  $u_l$ , and has only real roots. When  $l \leq N_1$ ,  $R_l$  has a nonnegative root iff  $\mathbf{x} \in S_l$ . When  $l > N_1$ ,  $R_l$  has a positive root iff  $\mathbf{x} \in S_l$ . The function  $\psi_{S_l}(\mathbf{x})$  of Proposition 3 is noted  $f_l$ . Let  $\gamma$  be a root of  $P_{N_1, N-N_1}(f_1, \dots, f_N, \Gamma^2) = 0$  in an extension field of  $\mathbb{R}(f_1, \dots, f_N)$ . Let  $Q_1(\mathbf{x}, \Gamma)$  be the polynomial obtained by eliminating the  $u_i$  in the system (II):

$$(II) \quad \begin{cases} R_1(\mathbf{x}, u_1) = 0, \\ R_2(\mathbf{x}, u_2) = 0, \\ \vdots \\ P_{N_1, N-N_1}(u_1, u_2, \dots, u_N, \Gamma^2) = 0. \end{cases}$$

We have  $Q_1(\mathbf{x}, \gamma) = 0$ . Let  $R(\mathbf{x}, \Gamma)$  be an irreducible factor of  $Q_1(\mathbf{x}, \Gamma)$  such that  $R(\mathbf{x}, \gamma) = 0$ .

Since  $P_{N_1, N-N_1}$  is not monic, we must be careful with elimination theory. Let us introduce a new variable  $u_{N+1}$ , and consider the following system of homogeneous polynomials in the variables  $u_1, \dots, u_{N+1}$ :

$$(II') \quad \begin{cases} R_1^h(\mathbf{x}, u_1, u_{N+1}) = 0, \\ R_2^h(\mathbf{x}, u_2, u_{N+1}) = 0, \\ \vdots \\ P_{N_1, N-N_1}^h(u_1, \dots, u_N, \Gamma^2, u_{N+1}). \end{cases}$$

Let  $Q_1(\mathbf{x}, \Gamma)u_{N+1}^M$  be the polynomial obtained by successive elimination of the variables  $u_N, u_{N-1}, \dots, u_1$  in the system (II'). As it is well known for systems of homogeneous equations, this system has a nontrivial solution  $(u_1, \dots, u_N, u_{N+1})$  iff  $Q_1(\mathbf{x}, \Gamma) = 0$  (cf. [W]).

Since the polynomials  $R_l(\mathbf{x}, u_l)$  are monic in  $u_l$ , we see that any nontrivial root of (II') is such that  $u_{N+1} \neq 0$ . Therefore, the system (II) has a solution iff  $Q_1(\mathbf{x}, \Gamma) = 0$ .

If  $R(\mathbf{x}, \Gamma)$  has a real root, the system (II) has a solution  $u_1, \dots, u_N, \Gamma$ . Since the  $R_i$  have only real roots, the  $u_i$  are real and  $P_{N_1, N-N_1}(u_1, \dots, u_N, \Gamma^2)$  has a real root. Therefore, if  $l \leq N_1$ ,  $u_l$  is a nonnegative root of  $R_l$ ; if  $l > N_1$ ,  $u_l$  is a positive root of  $R_l$ , which shows that  $\mathbf{x} \in S = \bigcap_1^{N_1} S_l$ . Conversely, suppose  $\mathbf{x} \in S$ . Since the two polynomials  $R(\mathbf{x}, \Gamma)$  and  $P_{N_1, N-N_1}(f_1, \dots, f_N, \Gamma^2)$  have a common root in an extension field of  $\mathbb{R}(f_1, \dots, f_N)$ , their resultant relative to  $\Gamma$  vanishes identically.  $R(\mathbf{x}, \Gamma)$  and  $P_{N_1, N-N_1}(f_1(\mathbf{x}), \dots, f_N(\mathbf{x}), \Gamma^2)$

have a common root. Since  $\mathbf{x} \in S$ ,  $P_{N_1, N-N_1}(f_1(\mathbf{x}), \dots, f_N(\mathbf{x}), \Gamma^2)$  has only real roots, therefore  $R(\mathbf{x}, \Gamma)$  has a real root.  $\square$

REMARKS. If  $S = \bigcap_1^N S_l$ , where each  $S_l$  is a closed semi-algebraic set written with  $m_l$  inequalities, the degree of our polynomial is  $2^N m_1 \cdots m_N$ . This degree is smaller than the one obtained in [P2] where the polynomials were solvable by square roots. It would be of interest to give a simple proof that this degree is optimal "in general". (L. Bröcker has a proof using fan theory, valid for basic closed sets.) As in [P1], [P2] using the changing sign criterion, we obtain:

COROLLARY. *Let  $S$  be a locally closed semi-algebraic subset of  $\mathbb{R}^n$  having some interior points. Then  $S$  is the projection of an irreducible algebraic subset of  $\mathbb{R}^{n+1}$ .*

This corollary is the generalisation to non closed sets of a result in [P1]. This earlier result was itself an improvement of the first paper of Andradas and Gamboa on the subject.

#### REFERENCES

- [A-G1] C. Andradas and J. M. Gamboa, *A note on projections of real algebraic varieties*, Pacific J. Math., **115** (1984), 1–11.
- [A-G2] ———, *On projections of real algebraic varieties*, Pacific J. Math., **121** (1986), 281–291.
- [B-C-R] J. Bochnak, M. Coste and M. F. Roy, *Géométrie algébrique réelle*, Ergebnisse der Mathematik **12**, Springer-Verlag, (1987).
- [M1] T. S. Motzkin, *Elimination theory of algebraic inequalities*, Bull. Amer. Math. Soc., **61** (1955), 326.
- [M2] ———, *The Real Solution Set of a System of Algebraic Inequalities is the Projection of a Hypersurface in One More Dimension*, Inequalities II, Academic Press, (1970), 251–254.
- [P1] D. Pecker, *Sur l'équation d'un ensemble algébrique de  $\mathbb{R}^{n+1}$  dont la projection dans  $\mathbb{R}^n$  est un ensemble semi-algébrique fermé donné* C.R.A.S., t. 306, Série II, (1988), 265–268.
- [P2] ———, *L'élimination radicale des inégalités*, Séminaire DDG (1987-88), Université de Paris 7.
- [W] R. Walker, *Algebraic Curves*, Princeton University Press, (1950).

Received January 24, 1989 and in revised form March 21, 1990.

UNIVERSITÉ PIERRE ET MARIE CURIE  
4 PLACE JUSSIEU  
75252 PARIS CÉDEX 05 FRANCE