

RELATIVE CLASS NUMBERS INSIDE THE p TH CYCLOTOMIC FIELD

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Abstract

For a prime number $p \equiv 3 \pmod{4}$, we write $p = 2n\ell^f + 1$ for some power ℓ^f of an odd prime number ℓ and an odd integer n with $\ell \nmid n$. For $0 \leq t \leq f$, let K_t be the imaginary subfield of $\mathbb{Q}(\zeta_p)$ of degree $2\ell^t$ and let h_t^- be the relative class number of K_t . We show that for $n = 1$ (resp. $n \geq 3$), a prime number r does not divide the ratio h_t^-/h_{t-1}^- when r is a primitive root modulo ℓ^2 and $r \geq \ell^{f-t} - 1$ (resp. $r \geq (n-2)\ell^{f-t} + 1$). In particular, for $n = 1$ or 3 , the ratio h_f^-/h_{f-1}^- at the top is not divisible by r whenever r is a primitive root modulo ℓ^2 . Further, we show that the ℓ -part of h_t^-/h_{t-1}^- stabilizes for “large” t under some assumption.

1. Introduction

Let $p \geq 7$ be a prime number with $p \equiv 3 \pmod{4}$. Then we can write $p = 2n\ell^f + 1$ for some power ℓ^f of an odd prime number ℓ and an odd integer n with $\ell \nmid n$. (Of course, this expression or the pair (n, ℓ^f) is not uniquely determined for a given p .) As $p \equiv 3 \pmod{4}$, the imaginary quadratic field $K_0 = \mathbb{Q}(\sqrt{-p})$ is a subfield of the p th cyclotomic field $\mathbb{Q}(\zeta_p)$. Here, for an integer m , ζ_m denotes a primitive m th root of unity. For each $1 \leq t \leq f$, let K_t/K_0 be the cyclic extension of degree ℓ^t contained in $\mathbb{Q}(\zeta_p)$. Let h_t^- denote the relative class number of K_t . It is known and easy to see that h_{t-1}^- divides h_t^- (see Hasse [8, Satz 32]). When $f = 1$ and $n = 1$ (resp. $n > 1$), it is shown in Metsänkylä [18, Theorem 1] (resp. [11, Theorem 2]) that h_1^-/h_0^- is not divisible by a prime number r if r is a primitive root modulo ℓ and $r \geq n - 1$. Further, when $f \geq 2$ and $n = 1$, we have shown in [5, Theorem] that the ratio h_f^-/h_{f-1}^- at the top is not divisible by a prime number r whenever r is a primitive root modulo ℓ^2 . We generalize these results as follows.

Theorem 1. *Under the above setting, let $p = 2n\ell^f + 1$ be a prime number where ℓ is an odd prime number, $f \geq 1$ and n is an odd integer with $\ell \nmid n$. Let t be an integer with $1 \leq t \leq f$. Then a prime number r does not divide the ratio h_t^-/h_{t-1}^- under the following two conditions on r .*

- (i) r is a primitive root modulo ℓ^2 .
- (ii) r satisfies the inequality

$$r \geq \begin{cases} \ell^{f-t} - 1, & \text{if } n = 1, \\ (n-2)\ell^{f-t} + 1, & \text{if } n \geq 3. \end{cases}$$

The following theorem for the case $t = f$ is an immediate consequence of Theorem 1.

Theorem 2. *Under the above setting, the following assertions hold on the ratio h_f^-/h_{f-1}^- at the top .*

(I) *A prime number r does not divide h_f^-/h_{f-1}^- when it satisfies the condition (i) in Theorem 1 and $r \geq n - 1$.*

(II) *For $n = 1$ or 3 , a prime number r does not divide h_f^-/h_{f-1}^- when it satisfies the condition (i) in Theorem 1.*

Let p be a prime number of the special form $p = 2 \cdot 3^f + 1$ with $f \geq 2$. When $2 \leq f \leq 325$, it is known that $p = 2 \cdot 3^f + 1$ is a prime number for $f = 2, 4, 5, 6, 9, 16, 17, 30, 54, 57, 60, 65, 132, 180, 320$ by Williams and Zarnke [26]. Further, it is a prime number for $f = 1175232$ by Grau, Oller-Marcén and Sadornil [7, page 511]. For such a prime number, the following assertion is also an immediate consequence of Theorem 1.

Proposition 1. *Let $p = 2 \cdot 3^f + 1$ with $f \geq 2$. Then, a prime number r does not divide the ratio h_f^-/h_{f-2}^- when $r \equiv 2, 5 \pmod{9}$.*

As for the ℓ -part of the class numbers h_t^- , we observe that there are several cases where they enjoy Iwasawa type class number “formula” in Example of Lehmer [16, page 607] and in Schoof [21, Appendix]. Such examples are found also in an unpublished table of Ken Yamamura on relative class numbers of imaginary abelian fields of prime power conductors $< 10^4$. For instance, let $(p, \ell, f) = (379, 3, 3)$ or $(751, 5, 3)$, where a triple (p, ℓ, f) means a prime number $p = 2n\ell^f + 1$ with $n = (p - 1)/2\ell^f$ and $\ell \nmid n$. Then, accordingly, we have $3^{t+1} \parallel h_t^-$ or $5^{t+1} \parallel h_t^-$ for $0 \leq t \leq 3$. We define an integer e_t by $\ell^{e_t} \parallel h_t^-$ for each $0 \leq t \leq f$. As h_{t-1}^- divides h_t^- , we have $e_t \geq e_{t-1}$. On the integers e_t , the following assertion holds.

Theorem 3. *Under the above setting, let $f \geq 2$ and assume that $e_s - e_{s-1} < \phi(\ell^s)$ for some $1 \leq s \leq f - 1$, where $\phi(*)$ is the Euler function. Then $e_t - e_{t-1} = e_s - e_{s-1}$ for every t with $s \leq t \leq f$.*

Under the assumption that the ℓ -part of the ideal class group of K_0 is cyclic, Theorem 3 for the case $s = 1$ is an immediate consequence of [21, Proposition 2.4]. The above two examples satisfy the assumption of Theorem 3 for $s = 1$. Further examples are given at the end of §3 after showing Theorem 3.

REMARK 1. (I) The assumption (i) in Theorem 1 is necessary only to assure that the prime number r remains prime in $\mathbb{Q}(\zeta_{\ell^t})$. Therefore, when $t = 1$, we can replace the assumption (i) with the weaker one that

(i') r is a primitive root modulo ℓ .

See Proof of Theorem 1; the case $t = 1$ in §3.

(II) Let $n = 1$, and let us fix an odd prime number ℓ such that 2 is a primitive root modulo ℓ^2 . Then, for a prime number p of the form $p = 2\ell^f + 1$ with $f \geq 2$, we showed in [12, Propositions 2, 3] that h_{f-1}^-/h_{f-2}^- is odd if p is larger than $(2\ell(\ell - 1))^{\ell(\ell-1)}$, and that it is always odd when $\ell = 3$ using some computational data obtained in [5]. In [12], we showed this fact for $\ell = 3$ (namely, Proposition 1 for the case $r = 2$) with a method completely different from the one in this paper.

(III) When $f = 1$, several other results are known on indivisibility of the class number of K_1 or the maximal real subfield K_1^+ such as [3, 4, 6, 13, 15, 19, 22].

REMARK 2. Let ℓ be an odd prime number with $\ell \equiv 3 \pmod{4}$. Let Ω_∞ be the cyclotomic \mathbb{Z}_ℓ -extension over the imaginary quadratic field $\Omega_0 = \mathbb{Q}(\sqrt{-\ell})$. We denote by h_n^* the relative class number of the n th layer Ω_n of Ω_∞/Ω_0 . It is a well known theorem of Washington [24] that a prime number $r \neq \ell$ does not divide the ratio h_n^*/h_{n-1}^* for sufficiently large n . Explicit versions of the theorem are given in Horie [9, Theorem 2] and [10, Proposition 2], [14, Proposition 1]. For instance, a prime number r does not divide h_n^* for all $n \geq 0$ when r is a primitive root modulo ℓ^2 and $r \geq (\ell - 1)/2 - 2d_\ell$ where d_ℓ is the maximal proper divisor of $(\ell - 1)/2$ ([10, Proposition 2]). Our Theorem 1 for the finite tower K_f/K_0 is, in a sense, analogous to these results for the \mathbb{Z}_ℓ -tower Ω_∞/Ω_0 .

For the class numbers in Ω_∞/Ω_0 , it is shown in [14, Proposition 2] with the help of computer that r does not divide h_n^*/h_{n-1}^* for all $\ell < 10000$ and all $1 \leq n \leq 100$ whenever r is a primitive root modulo ℓ^2 . At present, we have no example of a triple (ℓ, n, r) for which r divides h_n^*/h_{n-1}^* and r is a primitive root modulo ℓ^2 . However, in our setting inside the p th cyclotomic field, there do exist some exceptional cases where r divides h_1^-/h_0^- and r is a primitive root modulo ℓ^2 . As an example, when $p = 163 = 2 \cdot 3^4 + 1$ and $r = 2$, the ratio h_1^-/h_0^- is even and h_t^-/h_{t-1}^- is odd for $2 \leq t \leq 4$ by a table in [21, Appendix]. As another example, let $p = 2 \cdot 3^{30} + 1$, which is known to be a prime number (see [26]). We have shown in [5, §4] with the help of computer that h_1^-/h_0^- is even but h_t^-/h_{t-1}^- is odd for every $2 \leq t \leq 30$. Even in such exceptional cases, Theorem 2 says that r never divides the ratio at the top when r is a primitive root modulo ℓ^2 (and $r \geq n - 1$).

2. Bernoulli numbers

For an odd Dirichlet character χ of conductor d , we denote by

$$\beta_\chi = \frac{1}{2}B_{1,\chi} = \frac{1}{2d} \sum_{a=1}^{d-1} a\chi(a)$$

the half of the generalized Bernoulli number. We let $p = 2n\ell^f + 1$ be as in §1, and we use the same notation as in §1. We denote by δ the quadratic character associated to the imaginary quadratic field $K_0 = \mathbb{Q}(\sqrt{-p})$. For each $1 \leq t \leq f$, we have

$$(1) \quad h_t^-/h_{t-1}^- = p^\alpha \prod_{\varphi_t} (-\beta_{\delta\varphi_t})$$

by the analytic class number formula (Washington [25, Theorem 4.17]) where φ_t runs over the even Dirichlet characters of conductor p and order ℓ^t and $\alpha = 1$ or 0 according as $(n, t) = (1, f)$ or not. Here, we have used the fact that the unit index of an imaginary abelian field of conductor p is 1 ([8, Satz 23]). The proofs of our assertions are based on the class number formula (1).

We fix a primitive root g modulo p . We easily see that the set $\pm g^{2(\ell^f w + nv)}$ with $0 \leq w \leq n-1$ and $0 \leq v \leq \ell^f - 1$ is a complete set of representatives of the multiplicative group $(\mathbb{Z}/p\mathbb{Z})^\times$. For an integer $x \in \mathbb{Z}$, let $s_p(x)$ denote the unique integer such that $s_p(x) \equiv x \pmod{p}$ and $0 \leq s_p(x) \leq p - 1$. We see that

$$(2) \quad s_p(-x) = p - s_p(x)$$

for x with $p \nmid x$. In all what follows, we put

$$(3) \quad \zeta_{\ell^t} = \varphi_t(g^{2^n}),$$

which is a primitive ℓ^t th root of unity. Then, noting that the quadratic character δ is odd and using (2), we observe that

$$\begin{aligned} \beta_{\delta\varphi_t} &= \frac{1}{2p} \sum_{w=0}^{n-1} \sum_{v=0}^{\ell^f-1} \left(s_p(g^{2(\ell^f w + nv)}) - s_p(-g^{2(\ell^f w + nv)}) \right) \zeta_{\ell^t}^v \\ &= \frac{1}{2p} \sum_{w=0}^{n-1} \sum_{v=0}^{\ell^f-1} \left(2s_p(g^{2(\ell^f w + nv)}) - p \right) \zeta_{\ell^t}^v \\ &= \frac{1}{p} \sum_{v=0}^{\ell^f-1} \sum_{w=0}^{n-1} s_p(g^{2(\ell^f w + nv)}) \zeta_{\ell^t}^v - \frac{n}{2} \sum_{v=0}^{\ell^f-1} \zeta_{\ell^t}^v. \end{aligned}$$

We see that the last sum vanishes as $t \geq 1$, and hence we obtain

$$(4) \quad \beta_{\delta\varphi_t} = \frac{1}{p} \sum_{v=0}^{\ell^f-1} \left(\sum_{w=0}^{n-1} s_p(g^{2\ell^f w + 2nv}) \right) \zeta_{\ell^t}^v.$$

3. Proofs of Theorems 1 and 3

In this section, we first prove Theorem 1 after showing some preliminary lemmas, and give a related proposition. The proof of Theorem 3 is given at the end of the section.

Let $p = 2n\ell^f + 1$ be as in §1, and we use the same notation as in the previous sections. To prove Theorem 1, we may as well assume that $f \geq 2$ because, as we mentioned in §1, Theorem 1 for the case $f = 1$ is already settled in [11, 18]. Further, we may as well assume that $n > 1$ or $f - t \geq 1$, or equivalently that

$$(5) \quad n\ell^{f-t} > 1$$

because Theorem 1 for the case $n = 1$ and $t = f (\geq 2)$ is already shown in [5, Theorem]. For $1 \leq t \leq f$, let $E_t = \mathbb{Q}(\zeta_{\ell^t})$ so that $\beta_{\delta\varphi_t} \in E_t$. The condition $n\ell^{f-t} > 1$ implies that the order of the character $\delta\varphi_t$ does not equal $p - 1 = 2n\ell^f$. Hence, $\beta_{\delta\varphi_t}$ is an algebraic integer of E_t by [8, Satz 32]. Let $\zeta_{\ell^t} = \varphi_t(g^{2^n})$ be as in (3). Let \mathcal{O}_t be the ring of algebraic integers of E_t .

First, we show Theorem 1 for the case $t \geq 2$. Since $\beta_{\delta\varphi_t} \in \mathcal{O}_t$ and the set $\zeta_{\ell^t}^j$ with $0 \leq j \leq \ell^{t-1} - 1$ constitutes a free basis of \mathcal{O}_t over \mathcal{O}_1 , we can uniquely write

$$(6) \quad \beta_{\delta\varphi_t} = \sum_{j=0}^{\ell^{t-1}-1} a_j \zeta_{\ell^t}^j$$

for some $a_j \in \mathcal{O}_1$. For u and j_0 with $0 \leq u \leq \ell - 1$ and $0 \leq j_0 \leq \ell^{t-1} - 1$, we put

$$(7) \quad x_u^{(j_0)} = \frac{1}{p} \sum_{v=0}^{\ell^{f-t}-1} \sum_{w=0}^{n-1} s_p \left(g^{2\ell^f w + 2n(\ell^t v + \ell^{t-1} u + j_0)} \right),$$

and

$$y_u^{(j_0)} = \begin{cases} x_u^{(j_0)} - 1, & \text{if } n = 1, \\ x_u^{(j_0)} - \ell^{f-t}, & \text{if } n \geq 3. \end{cases}$$

Lemma 1. *The rational $y_u^{(j_0)}$ is an integer and satisfies the inequality:*

$$0 \leq y_u^{(j_0)} \leq \begin{cases} \ell^{f-t} - 2, & \text{if } n = 1, \\ (n - 2)\ell^{f-t}, & \text{if } n \geq 3. \end{cases}$$

Proof. We see that $x_u^{(j_0)} \in \mathbb{Z}$ because $n\ell^{f-t} > 1$ by the assumption (5) and the elements $g^{2\ell^f w + 2n\ell^t v} \pmod p$ in the sum (7) are all the $n\ell^{f-t}$ th roots of unity in the multiplicative group $(\mathbb{Z}/p\mathbb{Z})^\times$. It follows that

$$1 \leq x_u^{(j_0)} \leq n\ell^{f-t} - 1$$

and hence, in particular, the assertion for the case $n = 1$ is settled. Let us deal with the case $n \geq 3$. In this case, we observe from (7) that

$$x_u^{(j_0)} = \sum_{v=0}^{\ell^{f-t}-1} x_{u,j_0,v} \quad \text{with} \quad x_{u,j_0,v} = \frac{1}{p} \sum_{w=0}^{n-1} s_p \left(g^{2\ell^f w + 2n(\ell^t v + \ell^{t-1} u + j_0)} \right).$$

In the last sum, since the elements $g^{2\ell^f w} \pmod p$ with $0 \leq w \leq n - 1$ run over the n th roots of unity in $(\mathbb{Z}/p\mathbb{Z})^\times$, we see that $x_{u,j_0,v} \in \mathbb{Z}$. It follows that

$$1 \leq x_{u,j_0,v} \leq n - 1 \quad \text{and hence} \quad \ell^{f-t} \leq x_u^{(j_0)} \leq (n - 1)\ell^{f-t}.$$

From this, we obtain the assertion for the case $n \geq 3$. □

For integers t and j_0 with $2 \leq t \leq f$ and $0 \leq j_0 \leq \ell^{t-1} - 1$, we define polynomials G_{t,j_0} and F_{t,j_0} in $\mathbb{Z}[T]$ by

$$G_{t,j_0} = G_{t,j_0}(T) = \sum_{u=0}^{\ell-1} x_u^{(j_0)} T^u \quad \text{and} \quad F_{t,j_0} = F_{t,j_0}(T) = \sum_{u=0}^{\ell-1} y_u^{(j_0)} T^u,$$

respectively. We put $\zeta_\ell = \zeta_{\ell^t}^{\ell^{t-1}} = \varphi_t(g^{2n\ell^{t-1}})$.

Lemma 2. *Under the above setting and notation, we have*

$$a_{j_0} = G_{t,j_0}(\zeta_\ell) = F_{t,j_0}(\zeta_\ell).$$

Proof. Let j_0 be an integer with $0 \leq j_0 \leq \ell^{t-1} - 1$. We see from (4) and (6) that

$$(8) \quad \zeta_{\ell^t}^{-j_0} \beta_{\delta\varphi_t} = \sum_{j=0}^{\ell^{t-1}-1} a_j \zeta_{\ell^t}^{j-j_0} = \frac{1}{p} \sum_{v=0}^{\ell^f-1} \left(\sum_{w=0}^{n-1} s_p \left(g^{2\ell^f w + 2nv} \right) \right) \zeta_{\ell^t}^{v-j_0}.$$

For an ℓ^t th root ζ of unity, we have $\text{Tr}_{E_t/E_1}(\zeta) = \ell^{t-1}\zeta$ or 0 according as $\zeta^\ell = 1$ or not, where Tr denotes the trace map. Hence, it follows from the first equality of (8) that

$$(9) \quad \ell^{t-1} a_{j_0} = \text{Tr}_{E_t/E_1} \left(\zeta_{\ell^t}^{-j_0} \beta_{\delta\varphi_t} \right)$$

because $-(\ell^{t-1} - 1) \leq j - j_0 \leq \ell^{t-1} - 1$. In the third term of (8), $\zeta_{\ell^t}^{v-j_0}$ is an ℓ th root of unity if and only if $v - j_0$ is a multiple of ℓ^{t-1} . Hence, writing $v - j_0 = \ell^{t-1}\mu$ with $0 \leq \mu \leq \ell^{f-t+1} - 1$ for such v , we observe that

$$\text{Tr}_{E_t/E_1}(\zeta_{\ell^t}^{-j_0} \beta_{\delta_{\varphi_t}}) = \frac{\ell^{t-1}}{p} \sum_{\mu=0}^{\ell^{f-t}-1} \left(\sum_{w=0}^{n-1} s_p \left(g^{2\ell^f w + 2n(\ell^{t-1}\mu + j_0)} \right) \right) \zeta_{\ell}^{\mu}.$$

Finally, writing $\mu = \ell v + u$ with $0 \leq v \leq \ell^{f-t} - 1$ and $0 \leq u \leq \ell - 1$, we obtain

$$\begin{aligned} (10) \quad \text{Tr}_{E_t/E_1}(\zeta_{\ell^t}^{-j_0} \beta_{\delta_{\varphi_t}}) &= \frac{\ell^{t-1}}{p} \sum_{u=0}^{\ell-1} \left(\sum_{v=0}^{\ell^{f-t}-1} \sum_{w=0}^{n-1} s_p \left(g^{2\ell^f w + 2n(\ell^t v + \ell^{t-1} u + j_0)} \right) \right) \zeta_{\ell}^u \\ &= \ell^{t-1} G_{t,j_0}(\zeta_{\ell}) = \ell^{t-1} F_{t,j_0}(\zeta_{\ell}). \end{aligned}$$

The assertion follows from (9) and (10). □

We denote by $\Phi_{\ell} = \Phi_{\ell}(T)$ the ℓ th cyclotomic polynomial. For a prime number r and a polynomial $G(T) \in \mathbb{Z}[T]$, let $\tilde{G}(T) = G(T) \bmod r \in \mathbb{F}_r[T]$ where \mathbb{F}_r is the finite field with r elements.

Lemma 3. *Under the above setting, let r be a prime number satisfying the condition (ii) in Theorem 1. Then, there exists some j_0 such that $\tilde{F}_{t,j_0}(T)$ is not a multiple of $\tilde{\Phi}_{\ell}(T)$ in $\mathbb{F}_r[T]$.*

Proof. It is well known that $\beta_{\delta_{\varphi_t}} \neq 0$ (see [25, page 38]). This implies that in the formula (6), $a_{j_0} \neq 0$ for some j_0 . For this j_0 , we see from Lemma 2 that $y_0^{(j_0)} \neq y_u^{(j_0)}$ for some $1 \leq u \leq \ell - 1$. For this pair (j_0, u) , it follows from Lemma 1 that

$$1 \leq |y_0^{(j_0)} - y_u^{(j_0)}| \leq \begin{cases} \ell^{f-t} - 2, & \text{if } n = 1, \\ (n - 2)\ell^{f-t}, & \text{if } n \geq 3. \end{cases}$$

If $F_{t,j_0} \equiv c\Phi_{\ell} \bmod r$ for some constant c , then $y_0^{(j_0)} - y_u^{(j_0)}$ is a multiple of r . Hence, it follows from the above inequality that $r \leq \ell^{f-t} - 2$ or $r \leq (n - 2)\ell^{f-t}$ according as $n = 1$ or $n \geq 3$. Thus we obtain the assertion. □

Proof of Theorem 1; the case $t \geq 2$. Let r be a prime number satisfying the conditions (i) and (ii) of Theorem 1. Assume that r divides h_t^-/h_{t-1}^- . Then it follows from (1) that

$$\beta_{\delta_{\varphi_t}} \equiv 0 \bmod r\mathcal{O}_t$$

because r remains prime in E_t by the condition (i). Hence, by (6), we have $a_{j_0} \equiv 0 \bmod r\mathcal{O}_1$ for all j_0 . On the other hand, $\tilde{\Phi}_{\ell}(T)$ is irreducible over \mathbb{F}_r as r is a primitive root modulo ℓ . Therefore, we observe from Lemma 2 that $\tilde{F}_{t,j_0}(T)$ is a multiple of $\tilde{\Phi}_{\ell}(T)$ for all j_0 , which contradicts Lemma 3. □

Next, let us show Theorem 1 for the case $t = 1$. In (4), rewriting v with $\ell v + u$ with $0 \leq v \leq \ell^{f-1} - 1$ and $0 \leq u \leq \ell - 1$, we see that

$$(11) \quad \beta_{\delta_{\varphi_1}} = \frac{1}{p} \sum_{u=0}^{\ell-1} \left(\sum_{v=0}^{\ell^{f-1}-1} \sum_{w=0}^{n-1} s_p \left(g^{2\ell^f w + 2n\ell v + 2nu} \right) \right) \zeta_{\ell}^u \in E_1.$$

For each $0 \leq u \leq \ell - 1$, we put

$$(12) \quad x_u = \frac{1}{p} \sum_{v=0}^{\ell^{f-1}-1} \sum_{w=0}^{n-1} s_p \left(g^{2\ell^f w + 2n\ell v + 2nu} \right)$$

and

$$y_u = \begin{cases} x_u - 1, & \text{if } n = 1, \\ x_u - \ell^{f-1}, & \text{if } n \geq 3. \end{cases}$$

Similarly to Lemma 1, we can show the following assertion using the assumption (5) with $t = 1$.

Lemma 4. *Under the above setting, the rational y_u is an integer and satisfies*

$$0 \leq y_u \leq \begin{cases} \ell^{f-1} - 2, & \text{if } n = 1, \\ (n - 2)\ell^{f-1}, & \text{if } n \geq 3. \end{cases}$$

We define polynomials G_1 and F_1 in $\mathbb{Z}[T]$ by

$$G_1 = G_1(T) = \sum_{u=0}^{\ell-1} x_u T^u \quad \text{and} \quad F_1 = F_1(T) = \sum_{u=0}^{\ell-1} y_u T^u,$$

respectively. Then, by (11) and (12), we have

$$(13) \quad \beta_{\delta\varphi_1} = G_1(\zeta_\ell) = F_1(\zeta_\ell).$$

Similarly to Lemma 3, we can show the following assertion using Lemma 4 and the fact $\beta_{\delta\varphi_1} \neq 0$.

Lemma 5. *Under the above setting, let r be a prime number satisfying the condition (ii) in Theorem 1 with $t = 1$. Then, $\tilde{F}_1(T)$ is not a multiple of $\tilde{\Phi}_\ell(T)$ in $\mathbb{F}_r[T]$.*

Proof of Theorem 1; the case $t = 1$. Let r be a prime number satisfying the condition (i') in Remark 1(I) and the condition (ii) in Theorem 1 with $t = 1$. Assume that r divides h_1^-/h_0^- . Then, it follows from (1) that $\beta_{\delta\varphi_1} \equiv 0 \pmod{r\mathcal{O}_1}$ because r remains prime in E_1 by (i'). By (13), this implies that $\tilde{F}_1(T)$ is a multiple of $\tilde{\Phi}_\ell(T)$ because $\tilde{\Phi}_\ell$ is irreducible over \mathbb{F}_r by (i'). Thus we obtain the assertion from Lemma 5. □

Denote by h_t^+ the class number of the maximal real subfield K_t^+ of K_t in the usual sense. Similarly to the relative class numbers, we see that h_{t-1}^+ divides h_t^+ . It is known that h_t^+/h_{t-1}^+ is odd if h_t^-/h_{t-1}^- is odd ([12, Lemma 1]). Hence, for $n = 1$ or 3 , it follows from Theorem 2 that h_f^+/h_{f-1}^+ is odd when 2 is a primitive root modulo ℓ^2 . We can slightly relax the assumption of this assertion as follows.

Proposition 2. *Let $n = 1$ or 3 . Let ℓ be an odd prime number with $\ell \equiv 3 \pmod{4}$, and assume that the order of the class 2 modulo ℓ^2 in the multiplicative group $(\mathbb{Z}/\ell^2\mathbb{Z})^\times$ is $(\ell - 1)\ell/2$. Then h_f^+/h_{f-1}^+ is odd.*

Proof. When $n = 1$, we already showed the assertion in [12, Proposition 1] effectively using the fact that \tilde{G}_{f,j_0} is not a multiple of $\tilde{\Phi}_\ell$ in $\mathbb{F}_2[T]$ for some j_0 ([12, Lemma 3]) and a theorem of Cornacchia [2, Theorem 1] on class number parity of the cyclotomic fields of prime conductor. When $n = 3$, we can show the assertion exactly in the same way using Lemma 3. □

Proof of Theorem 3. In view of (4), we put

$$\begin{aligned}
 (14) \quad g(T) &= \sum_{v=0}^{\ell^f-1} \left(\sum_{w=0}^{n-1} s_p(g^{2^{\ell^f}w+2nv}) \right) (1+T)^v \\
 &= c_0 + c_1T + \cdots + c_{\ell^f-1}T^{\ell^f-1} \in \mathbb{Z}[T].
 \end{aligned}$$

By (4), we have

$$(15) \quad \beta_{\delta\varphi_t} = \frac{1}{2}B_{1,\delta\varphi_t} = \frac{1}{p}g(\zeta_{\ell^t} - 1) \quad \text{with} \quad \zeta_{\ell^t} = \varphi_t(g^{2^n}).$$

If c_0 is not divisible by ℓ , we see from (1), (14) and (15) that $\ell \nmid h_t^-/h_{t-1}^-$ for every t , and we have nothing to do. Therefore, we let $\ell|c_0$. We put $m_t = e_t - e_{t-1}$ for brevity, so that we have $\ell^{m_t}||h_t^-/h_{t-1}^-$. Assume that $m_s < \phi(\ell^s)$ for some $1 \leq s \leq f - 1$. If c_i were divisible by ℓ for all $0 \leq i \leq \phi(\ell^s) - 1$, then it would follow that $\beta_{\delta\varphi_s} \equiv 0 \pmod{\ell}$ from (14) and (15), and hence $m_s \geq \phi(\ell^s)$ by (1). Therefore, we see that there exists some $1 \leq k \leq \phi(\ell^s) - 1$ for which $\ell|c_i$ for all $0 \leq i \leq k - 1$ and $\ell \nmid c_k$. Then we observe from (14) and (15) that for every t with $s \leq t \leq f$, $\beta_{1,\varphi_t} = (\zeta_{\ell^t} - 1)^k \times x_t$ with some ℓ -adic unit x_t . By (1), this implies that $m_t = k$ for $s \leq t \leq f$. □

EXAMPLE 1. When $(p, \ell, f) = (81163, 3, 5)$, we find that $h_0^- = 39$ in the table of Wada and Saito [23] on the class number of imaginary quadratic fields $\mathbb{Q}(\sqrt{-m})$ for $m < 10^5$. As h_0^- is divisible by 3, so is h_1^-/h_0^- by [16, Theorem 5]. Shoich Fujima kindly computed the values x_u defined in (12) at the request of the author. The values are 6571, 6740 and 6780 for $u = 0, 1$ and 2, respectively, with a primitive root $g = 2$. It follows from (11) that $\beta_{\delta\varphi_1} \equiv 1 - \zeta_3 \pmod{3}$. Hence, $3||h_1^-/h_0^-$ by (1). Therefore, we see from Theorem 3 with $s = 1$ that $3||h_t^-/h_{t-1}^-$ for all $1 \leq t \leq 5$.

The referee kindly supplied us with the following examples. When $(p, \ell, f) = (131707, 3, 5)$, $(e_0, e_1, e_2, e_3, e_4, e_5)$ equals $(1, 3, 8, 13, 18, 23)$ and the assumption of Theorem 3 is satisfied with $s = 2$. Further, for $(p, \ell, f) = (1051639, 7, 4)$, $(365251, 5, 3)$ and $(7860079, 3, 8)$, e_i 's are equal to

$$(1, 4, 7, 10, 13), \quad (1, 5, 9, 13), \quad \text{and} \quad (3, 7, 17, 25, 33, 41, 49, 57, 65)$$

and the assumption is satisfied with $s = 1$, $s = 2$ and $s = 3$, respectively. These examples are obtained by using PARI/GP [27].

4. Several related results

In this section, we give some other results on the ratio h_t^-/h_{t-1}^- using the following assertion of Ramaré [20, Corollary 1] on Bernoulli numbers. We put

$$\varpi_p = \frac{\log p + \kappa}{4\pi} \sqrt{p} \quad \text{with} \quad \kappa = 5 - 2 \log 6 = 1.416481 \cdots$$

for each odd prime number p .

Lemma 6 (Ramaré). *The inequality $|\beta_\chi| \leq \varpi_p$ holds for an odd prime number p and every odd Dirichlet character χ of conductor p .*

A result quite similar to Lemma 6 is also given in Louboutin [17, Theorem 1].

Let $p = 2n\ell^f + 1$ be as in §1, and we use the same notation as in the previous sections. The following assertion is sharper (resp. weaker) than Theorem 1, roughly speaking when $t < f/2$ (resp. $t > f/2$).

Proposition 3. *Under the above setting, a prime number r does not divide the ratio h_t^-/h_{t-1}^- for all t with $1 \leq t \leq f$ when r satisfies the condition (i) in Theorem 1 and the inequality $r > \varpi_p$.*

Proof of Proposition 3. Assume that r divides h_t^-/h_{t-1}^- for some t with $1 \leq t \leq f$. Then, since r remains prime in $E_t = \mathbb{Q}(\zeta_{\ell^t})$, we observe from (1) that $r^{\phi(\ell^t)}$ divides h_t^-/h_{t-1}^- , where $\phi(*)$ denotes the Euler function. On the other hand, we see from Lemma 6 and (1) that the r -part of h_t^-/h_{t-1}^- is smaller than or equals to $\varpi_p^{\phi(\ell^t)}$. Therefore, we obtain the assertion. \square

The following assertion is an immediate consequence of Lemma 6 and the class number formula for the imaginary quadratic field $K_0 = \mathbb{Q}(\sqrt{-p})$ ([25, Theorem 4.17]).

Lemma 7. *Under the above setting, a prime number r does not divide h_0^- when $r > 2\varpi_p$.*

When $n > 1$, Proposition 3 is an assertion on the relative class number of a proper subfield of $\mathbb{Q}(\zeta_p)$. However, in a special setting where $n = r^e$ is a power of an odd prime number r , we can derive assertions on h_p^- as follows.

Proposition 4. *Let $p = 2r^e\ell^f + 1$ be a prime number where r and ℓ are different odd prime numbers and $e, f \geq 1$. Then r does not divide h_p^- when r satisfies the condition (i) in Theorem 1 and the inequality $r > 2\varpi_p$.*

Proposition 5. *Let $p = 2r\ell + 1$ be a prime number where r and ℓ are different odd prime numbers, and assume that r is a primitive root modulo ℓ (the condition (i') in Remark 1(I)). Then r divides h_p^- if and only if it divides h_0^- .*

Proof of Proposition 4. We see from Proposition 3 and Lemma 7 that r does not divide the relative class number h_f^- of K_f . As $[\mathbb{Q}(\zeta_p) : K_f] = r^e$, the condition $r \nmid h_f^-$ is equivalent to $r \nmid h_p^-$ by [16, Theorem 5]. Hence, we obtain the assertion. \square

Proof of Proposition 5. We see that r does not divide h_1^-/h_0^- from Theorem 2 (I) noting that $r \geq r - 1 = n - 1$ under the notation in Theorem 2. Thus, the relative class number h_1^- is divisible by r if and only if so is h_0^- . As $[\mathbb{Q}(\zeta_p) : K_1] = r$, we obtain the assertion by [16, Theorem 5]. \square

In [1, Proposition 3.2], Agoh showed that $r \nmid h_p^-$ for any prime number p of the form $p = 4r + 1$ where r is a prime number with $r \equiv 3 \pmod{4}$. We can give similar type of assertions using Lemma 7 and Proposition 5 as follows.

EXAMPLE 2. (I) Let $p = 6r + 1$; the case $\ell = 3$ in Proposition 5. Let r be an odd prime number such that $r \equiv 2 \pmod{3}$ and $p = 6r + 1$ is a prime number; $r = 5, 11, 17, 23, 47, \dots$. By Lemma 7, we have $r \nmid h_0^-$ when

$$(16) \quad r = \frac{p-1}{6} > 2\varpi_p = \frac{\log p + \kappa}{2\pi} \sqrt{p}.$$

Then it follows from Proposition 5 that $r \nmid h_p^-$. We can show that (16) is satisfied when $p = 6r + 1 > 25$ in an elementary way. The minimal case where $r = 5$ and $p = 31$ satisfies the last inequality. Hence, we see that $r \nmid h_p^-$ for $p = 6r + 1$ whenever $r \equiv 2 \pmod{3}$.

(II) Let $p = 10r + 1$; the case $\ell = 5$ in Proposition 5. The prime numbers r with $r \equiv 2, 3 \pmod{5}$ for which $p = 10r + 1$ is a prime number are $r = 3, 7, 13, 43, 97, \dots$. Using Lemma 7 and Proposition 5 for this type of p , we see that among such r , $r \nmid h_0^-$ and hence $r \nmid h_p^-$ for $r \geq 13$ in a way similar to (I). For $r = 3$ or 7 , we have $h_0^- = r$ (and $h_1^-/h_0^- = 1$) from the table [21, Appendix].

(III) Let $p = 14r + 1$; the case $\ell = 7$ in Proposition 5. The prime numbers r with $r \equiv 3, 5 \pmod{7}$ for which $p = 14r + 1$ is a prime number are $3, 5, 17, 47, 59, \dots$. Again using Lemma 7 and Proposition 5, we see that among such r , $r \nmid h_0^-$ and hence $r \nmid h_p^-$ for $r \geq 47$. For $r = 3, 5$ or 17 , we see that $r \nmid h_0^-$ from [21, Appendix], and hence that $r \nmid h_p^-$ by Proposition 5. Therefore, $r \nmid h_p^-$ for $p = 14r + 1$ when r satisfies $r \equiv 3, 5 \pmod{7}$.

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