## A contribution to the theory of metrization

By Jun-iti NAGATA (Received March 18, 1957)

Since Alexandroff and Urysohn's work various theorems concerning metrizability of a toplogical space were gotten by many mathematicians. Their methods of proofs, however, are generally various and rather complicated. The purpose of this paper is to give a systematic account of the theory of metrization. A main theorem on the metrizability of a  $T_1$ -space will be proved first, and then it will be shown that this theorem contains a large number of metrization theorems as direct consequences.

To prove our main theorem we use the following theorem due to E. Michael<sup>1)</sup> as well as the well-known theorem of P. Alexandroff and P. Urysohn.

Michael's theorem. A regular topological space R is paracompact if and only if every open covering of R has an open refinement  $\mathfrak{B} = \bigcup_{i=1}^{\infty} \mathfrak{B}_i$ , where each  $\mathfrak{B}_i$  is a locally finite collection of open subsets of R.

THEOREM 1. In order that a  $T_1$ -topological space R is metrizable it is necessary and sufficient that one can assign a nbd (=neighborhood) basis  $\{U_n(x)|n=1, 2, \dots\}$  for every point x of R such that for every n and each point x of R there exist nbds  $S_n^1(x)$ ,  $S_n^2(x)$  of x satisfying

i) 
$$y \notin U_n(x)$$
 implies  $S_n^2(y) \cap S_n^1(x) = \phi$ ,  
ii)  $y \in S_n^1(x)$  implies  $S_n^2(y) \subseteq U_n(x)$ .

*Proof.* Since the necessity is clear, we prove only the sufficiency. To begin with, R is regular because the condition i) of this proposition implies  $\overline{S_n^1(x)} \subseteq U_n(x)$ . Next, to show that R is paracompact, we take an arbitrary open covering  $\mathfrak{B}=\{V_x|\alpha<\tau\}$  of  $R^3$ . If we let

$$\begin{split} &V_{n,\alpha} = {}^{\cup} \left\{ \left( S_n^1(x) \right)^{\circ} | U_n(x) \subseteq V_{\alpha} \right\}, \\ &V_{m,n,\alpha} = {}^{\cup} \left\{ U_m(x) \mid U_m(x) \subseteq V_{n,\alpha} \right\}, \\ &V_{m,n,\alpha}' = {}^{\cup} \left\{ S_m^1(x) \mid U_m(x) \subseteq V_{n,\alpha} \right\}, \\ &M_{m,n,\alpha} = \left( V_{m,n,\alpha}' - \underset{\beta < \alpha}{\cup} V_{n,\beta} \right)^{\circ} \qquad (m,n=1,2,\cdots,\alpha < \tau), \end{split}$$

then  $\mathfrak{M}_{m,n}=\{M_{m,n,\alpha}|\alpha<\tau\}$  for each m,n is a locally finite open collection.

To show the local finiteness of  $\mathfrak{M}_{m,n}$  we choose, for an arbitrary point p of

The content of this paper is the datail of our brief note in Proc. of Japan Acad, vol. 33,

<sup>1)</sup> See [4]. 2) ii) can't be omitted. Let  $R = \{(x, y) | C \le x \le 1, y \ge C\}$ ,  $U_n(p) = \{q | d(p, q) < 1/n\}$  for p = (x, y) with y > 0,  $U_n(p) = \{(x', y') | y' < n - \sqrt{n^2 - (x' - x)^2}, | x' - x| < 1/n\} \cup \{p\}$  for p = (x, 0). Then R with the nbd basis  $\{U_n(p)\}$  is a non-metrizable  $T_1$  space satisfying i).

<sup>3)</sup> In this proof we denote by  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\tau$  ordinal numbers and by  $A^{\circ}$  the interior of A.

R,  $\alpha$  ( $\leq_{\tau}$ ) such that  $p \in V_{m,n,\alpha}$ ,  $p \notin V_{m,n,\beta}$  ( $\beta < \alpha$ ). Then it follows from the condition i) that  $S_m^2(p) \cap V_{m,n,\beta}' = \phi$  ( $\beta < \alpha$ ), which implies  $S_m^2(p) \cap M_{m,n,\beta} = \phi$  ( $\beta < \alpha$ ). If  $\alpha <_{\tau}$ , then since  $p \in V_{m,n,\alpha} \subseteq V_{n,\alpha}$  and  $V_{n,\alpha}$  is open, we obtain a nbd  $V_{n,\alpha}$  of p satisfying  $V_{n,\alpha} \cap M_{m,n,\gamma} = \phi$  ( $\gamma > \alpha$ ). Therefore the nbd  $S_m^2(p) \cap V_{n,\alpha}$  of p intersects at most one of elements of  $\mathfrak{M}_{m,n}$ , proving the local finiteness of  $\mathfrak{M}_{m,n}^{4}$ .

To assert that  $\bigcup_{m,n=1}^{\infty} \mathfrak{M}_{m,n} = \mathfrak{M}$  covers R, we consider an arbitrary point p of R. Let  $p \in V_{\alpha}$ ,  $p \notin V_{\beta}$   $(\beta < \alpha)$ ,  $\alpha < \tau$ , then we can choose n such that  $U_n(p) \subseteq V_{\alpha}$ . Since  $p \in (S_n^1(p))^{\circ} \subseteq V_{n,\alpha}$  for this n, we can choose m satisfying  $U_m(p) \subseteq V_{n,\alpha}$ . In consequence we have  $S_m^1(p) \subseteq V'_{m,n,\alpha}$ . On the other hand, it follows from  $p \notin V_{\beta}$   $(\beta < \alpha)$  and from i) that  $S_n^2(p) \cap V_{n,\beta} = \phi$   $(\beta < \alpha)$ . This implies

$$S_{m}^{1}(p) \cap S_{n}^{2}(p) \subseteq V_{m,n,\alpha}^{\prime} - \underset{\beta \leq \alpha}{\cup} V_{n,\beta}$$

and consequently  $p \in M_{m,n,a}$ , i.e.  $\mathfrak{M}$  covers R.

Since  $\mathfrak{M} < \mathfrak{V}$  is obvious, we can conclude, from Michael's theorem, the paracompactness of R. Thus it follows from A. H. Stone's theorem<sup>5</sup> that R is fully normal.

To complete the proof, let us show that  $\{S(p,\mathfrak{S}_m)|m=1,2,\cdots\}^{6}$  for  $\mathfrak{S}_m=\{(S_m^2(y))^\circ|y\in R\}$  is a nbd basis of each point p of R. Let U(p) be an arbitrary nbd of p, and choose n satisfying  $U_n(x)\subseteq U(x)$ , then we can find  $m\geq n$  such that  $U_m(x)\subseteq S_n^1(x)$ . If  $(S_m^2(y))^\circ\ni x$ , then considering  $S_m^2(y)\cap S_m^1(x)\models \phi$ , we have  $y\in U_m(x)\subseteq S_n^1(x)$  from i). Hence it follows from ii) that  $S_m^2(y)\subseteq S_n^2(y)\subseteq U_n(x)$  because we can assume, without loss of generality, that  $m\geq n$  implies  $S_m^2(y)\subseteq S_n^2(y)$  for every  $y\in R$ . Therefore we have  $S(x,\mathfrak{S}_m)\subseteq U_n(x)\subseteq U(x)$ , i.e.  $\{S(p,\mathfrak{S}_m)|m=1,2,\cdots\}$  is a nbd basis of p. Thus we conclude the metrizability of R by the metrization theorem of P. Alexandroff and P. Urysohn.

Now we turn our attention to the application of this theorem. Some of the following theorems are well-known, and some of them are probably unknown.

THFOREM 2. (Yu. Smirnov and the author)<sup>7)</sup> A regular space R is metrizable if and only if there exists an open basis  $\mathfrak{B} = \bigcup_{n=1}^{\infty} \mathfrak{B}_n$  of R, where each  $\mathfrak{B}_n$  is a locally finite collection of open sets.

*Proof.*<sup>8)</sup> We define nbds  $U_{n,m}(x)$  and  $S_{n,m}^1(x)$  of each point x of R for every pair n,m of positive integers as follows: Let  $V_n(x) = \bigcap \{V | x \in V \in V_n\}$ . Then if  $x \in U \subseteq \overline{U} \subseteq V_n(x)$  for some  $U \in \mathfrak{V}_m$ , we let  $U_{n,m}(x) = V_n(x)$ ,  $S_{n,m}^1(x) = U$ ; otherwise we let  $U_{n,m}(x) = S_{n,m}^1(x) = R$ . Moreover we put

$$S_{n,m}^{2}(x) = V_{n}(x) \cap \left[ \bigcap \left\{ (\overline{V})^{c} | x \in \overline{V}, V \in \mathfrak{B}_{m} \right\} \right]^{9}$$

<sup>4)</sup> If  $\alpha = \tau$ ,  $S_m^2(p)$  intersects no set of  $\mathfrak{M}_{m,n}$ .

<sup>5)</sup> Full normality and paracompactness are equivalent in  $T_2$ -spaces. See [11].

<sup>6)</sup>  $S(p, \mathfrak{U}) = \bigcup \{U \mid p \in U \in \mathfrak{U}\}, S(A, \mathfrak{U}) = \bigcup \{U \mid U \cap A \neq \emptyset, U \in \mathfrak{U}\} \text{ for a covering } \mathfrak{U}, \text{ a point } p \text{ and a subset } A.$ 

<sup>7)</sup> See [10] and [7].

 $<sup>8) \ \ \,</sup>$  From now forth we prove only the sufficiencies since the necessities are generally obvious.

<sup>9)</sup> We denote by  $V^c$  or C(V) the complement set of V.

Since  $\mathfrak{V}_n$  and  $\mathfrak{V}_m$  are locally finite, we have nbds  $U_{n,m}(x)$ ,  $S_{n,m}^1(x)$ ,  $S_{n,m}^2(x)$  of xsatisfying the conditions i) ii) of Theorem 1. For every nbd U(x) of an arbitrary point x of R we can choose n, m and  $V \in \mathfrak{V}_m$  such that

$$U(x) \supseteq V_n(x) \supseteq \overline{V} \supseteq V \ni x$$

because R is regular and  $\mathfrak{B}$  is an open basis of R. Hence  $U_{n,n}(x) = V_n(x) \subseteq U(x)$ , i.e.  $\{U_{n,m}(x)|n,m=1,2\cdots\}$  is a nbd basis of x. Thus we conclude, by Theorem 1, the metrizability of R.

Theorem 3. (K. Morita)<sup>10)</sup> A  $T_1$ -space R is metrizable if and only if there exists a countable collection  $\{\mathfrak{F}_n|n=1,2\cdots\}$  of locally finite closed coverings of R such that  $S(x, \mathfrak{F}_n) \subseteq U(x)$  for any nbd U(x) of any point x of R and for some n.

*Proof.* Since  $\mathfrak{F}_n$  is locally finite,  $N_n(x) = \{F^c | x \in F^c, F \in \mathfrak{F}_n\}$  is an open nbd of any point x of R. If  $S(x, \mathfrak{F}_n) \subseteq N_n(x)$ , then we let

$$U_{n,m}(x) = N_n(x), \qquad S_{n,m}^1(x) = N_m(x).$$

If  $S(x, \mathfrak{F}_m) \not\equiv N_n(x)$ , then we let

$$U_{n, m}(x) = S_{n, m}^{1}(x) = R.$$

Moreover we let generally  $S_{n,m}^2(x) = N_m(x)$ .

Then  $U_{n,m}(x)$ ,  $S^1_{n,m}(x)$ ,  $S^2_{n,m}(x)$  are nbds of x satisfying the conditions i) ii) of Theorem 1. Since  $\mathfrak{F}_m$  covers R,  $N_m(y)\subseteq S(y,\mathfrak{F}_m)$  for every  $y\in R$ ; hence  $y\in R$  $U_{n,m}(x)$  implies  $S_{n,m}^2(y) = N_m(y) \subseteq (S(x, \mathfrak{F}_m))^c \subseteq (N_m(x))^c = (S_{n,m}^1(x))^c$ .  $y \in S_{n,m}^{1}(x)$  implies

$$S_{n,m}^{2}(y) = N_{m}(y) \subseteq N_{m}(x) \subseteq N_{n}(x) = U_{n,m}(x).$$

Thus this theorem is a direct consequence of Theorem 1.

THEOREM 4.  $(A. H. Frink)^{11}$  A  $T_1$ -space R is metrizable if and only if one can assign a nbd basis  $\{V_n(x) | n=1, 2 \cdots \}$  for every point x of R such that for every n and  $x \in R$  there exists m = m(n, x) satisfying the condition:  $V_m(x) \cap V_m(y)$  $\neq \phi$  implies  $V_m(y) \subseteq V_n(x)$ .

*Proof.* Letting  $\mathfrak{V}_n = \{V_n(x) | x \in R\}, \mathfrak{W}_n = \{V_{m(n,x)}(x) | x \in R\}, \text{ we define nbds}$  $U_n(x)$ ,  $S_n^1(x)$ ,  $S_n^2(x)$  of any point x of R by

$$U_n(x) = S(x, \mathfrak{B}_n), S_n^1(x) = S(x, \mathfrak{W}_n), S_n^2(x) = V_{m(n, x)}(x).$$

If  $S_n^2(y) \cap S_n^1(x) \neq \phi$ , then there exists z such that  $x \in V_{m(n,z)}(z) \not\equiv (V_{m(n,y)}(y))^c$ . In the case of  $m(n,z) \leq m(n,y)$  we have  $V_{m(n,y)}(y) \subseteq V_n(z) \ni x$  since we can assume, without loss of generality, that  $m \ge n$  implies  $V_n(x) \subseteq V_n(x)$ . Therefore

$$S_n^2(y) = V_{m(n, y)}(y) \subseteq S(x, \mathfrak{V}_n) = U_n(x).$$

In the case of  $m(n, z) \ge m(n, y)$  we have  $x \in V_{m(n,z)}(z) \subseteq V_n(y)$  and consequently

$$S_n^2(y) \subseteq V_n(y) \subseteq U_n(x)$$
.

For a given nbd U(x) of x we take n with  $V_n(x) \subseteq U(x)$ . Then we have

<sup>10)</sup> See [5].

<sup>11)</sup> See [3].

$$S\left(x,\mathfrak{D}_{m(n,x)}\right)=U_{m(n,x)}\left(x\right)\subseteq U\left(x\right).$$

Hence this theorem is also a direct consequence of Theorem 1.

THEOREM 5. (the author)<sup>12)</sup> A  $T_1$ -space R is metrizable if and only if there exists a family  $\{f_{\alpha} | \alpha \in A\}$  of real valued functions of R such that

- i)  $\beta \in B f_{\beta}$  and  $\beta \in B f_{\beta}$  are continuous for every  $B \subseteq A$ ,
- ii) for any nbd U(x) of any point x of R there exists  $\alpha \in A$  and a real number  $\varepsilon: f_{\alpha}(x) < \varepsilon, f_{\alpha}((U(x))^{\varepsilon}) \ge \varepsilon.$ <sup>13)</sup>

*Proof.* We let  $A(x) = \left\{ \alpha \mid f_{\alpha}(x) < \frac{r+r'}{2} \right\}$  for each point x of R. For every pair r < r' of rational numbers we let

$$U_{r,r'}(x) = \left\{ y \middle| \underset{\alpha \in A(x)}{\cup} f_{\alpha}(y) < r' \right\} \cap \left\{ y \middle| \underset{\alpha \in C(A(x))}{\int} f_{\alpha}(y) > r \right\},$$

$$S_{r,r'}^{1}(x) = \left\{ y \middle| \underset{\alpha \in A(x)}{\cup} f_{\alpha}(y) < \frac{r+r'}{4} \right\} \cap \left\{ y \middle| \underset{\alpha \in C(A(x))}{\cap} f_{\alpha}(y) > \frac{r+r'}{2} - \frac{r'-r}{4} \right\}.$$

Let  $p \in U_{r,r'}(x)$   $(x \in S \subseteq R)$  and let  $\underset{\alpha \in A(x)}{\cup} f_{\alpha}(p) \geq r'$   $(x \in S_1), \underset{\alpha \in C(A(x))}{\cup} f_{\alpha}(p) \leq r$   $(x \in S_2).$ 

Then we can choose  $\alpha(x) \in A(x)$  for every  $x \in S_1$  such that  $f_{\alpha(x)}(p) > \frac{r+r'}{2} + \frac{r'-r}{3}$  (< r'). Hence we have, by the continuity of  $\int_{x \in S_1} f_{\alpha(x)}(p)$ , a nbd U(p) of p satisfying

$$\sum_{x \in S_1} f_{\alpha(x)}(U(p)) > \frac{r+r'}{2} + \frac{r'-r}{4}$$
.

In the same way we can choose  $\alpha(x) \in C(A(x))$  for every  $x \in S_2$  and a nbd V(p) of p satisfying

$$\underset{x \in S_2}{\cup} f_{\alpha(x)}\big(V(p)\big) < \frac{r+r'}{2} - \frac{r'-r}{4} \; .$$

Thus we get a nbd  $U(\phi) \cap V(\phi)$  of  $\phi$  with

$$U(p) \cap V(p) \cap S^1_{r,r'}(x) = \phi \quad (x \in S).$$

Similarly we have, by the continuities of  $f_{\alpha}$  and  $f_{\alpha}$  a nbd W(p) for any point p such that  $p \in S^1_{r,r'}(x)$  implies  $W(p) \subseteq U_{r,r'}(p)$ .

To show that  $\{U_{r,\,r'}(x)|r,\,r'\text{ are rational numbers with }r< r'\}$  is a nbd basis of x, we take, for a given nbd U(x) of x,  $\alpha \in A$ ,  $\varepsilon$  with  $f_{\alpha}(x)< \varepsilon$ ,  $f_{\alpha}((U(x))^{c}) \geq \varepsilon$ . Moreover we choose rational numbers  $r,\,r'$  with  $f_{\alpha}(x)< r< r'< \varepsilon$ . Then it follows from  $\alpha \in A(x)$  that

$$U_{r,r'}(x) \subseteq \{y \mid \underset{\alpha \in A(x)}{\cup} f_{\alpha}(y) < r'\} \subseteq \{y \mid f_{\alpha}(y) < r'\} \subseteq U(x),$$

asserting the metrizability of R by Theorem 1.

THEOREM 6. (A. H. Stone, K. Morita and S. Hanai)<sup>14)</sup> Let f be a closed continuous mapping of a metric space  $R_1$  onto a topological space  $R_2$ . Then  $R_2$  is metrizable if and only if the boundary  $Bf^{-1}(y)$  of the inverge image  $f^{-1}(y)$  is

<sup>12)</sup> See [8]

<sup>13)</sup> We denote by  $f_{\alpha}(A) \geq \varepsilon$  the fact that  $f_{\alpha}(x) \geq \varepsilon$   $(x \in A)$ .

<sup>14)</sup> See [12] and [6]. With respect to the proof of the necessity, see also, those papers.

compact for every point y of  $R_2$ .

*Proof.* Let  $U_{n,x}=S_{1,n}(Bf^{-1}(x)) \cup f^{-1}(x)^{15}$ , then  $U_{n,x}$  is evidently an open set. We define nbds  $U_n(x)$  and  $S_n^1(x)$  of each point x of R by

$$U_n(x) = f(U_{n,x}), S_{n-1}^1(x) = (f(U_{n,x}^c))^c \qquad (\subseteq U_n(x))$$

For a given nbd U(x) of x we can choose n satisfying  $S_{1/n}(Bf^{-1}(x)) \subseteq f^{-1}(U(x))$  since  $f^{-1}(U(x))$  is an open set containing  $f^{-1}(x)$  and  $Bf^{-1}(x)$  is compact. Hence  $f(U_{n\cdot x})=U_n(x)\subseteq U(x)$ , i.e.  $\{U_n(x)\mid n=1,2\cdots\}$  is a nbd basis of x.

Next  $p \notin U_n(x)$   $(x \in S \subseteq R_2)$  and  $p \in S_n^1(y)$   $(y \in T \subseteq R_2)$  imply  $f^{-1}(p) \cap U_{n,x} = \phi$   $(x \in S)$  and  $f^{-1}(p) \subseteq U_{n+1,y}$   $(y \in T)$ ; hence there exists an open set  $U(f^{-1}(p))$  containing  $f^{-1}(p)$  such that

$$U(f^{-1}(p)) \cap U_{n+1,x} = \phi \quad (x \in S), \ U(f^{-1}(p)) \subseteq U_{n,y} \ (y \in T).$$

Thus we have, by the closedness of f, a nbd  $f(U(f^{-1}(p)))$  of p with

$$f\left(U\left(f^{-1}(p)\right)\right) \cap S_n^1(x) = \phi \quad (x \in S), \ f\left(U\left(f^{-1}(p)\right)\right) \subseteq U_n\left(y\right) \quad (y \in T);$$

hence  $R_2$  is metrizable by Theorem 1.

The following is a generalization of the theorem in our previous paper<sup>16)</sup> and is a slight modification of Theorem 1 too.

Theorem 7. A  $T_1$ -space R is metrizable if and only if there exists a nbd basis  $\{S_n(x) | n = 1, 2 \cdots \}$  of every point x of R satisfying

- i)  $y \in S_n(x)$  implies  $x \in S_n(y)$ ,
- ii) for every n and  $x \in R$  one can assign nbds  $S'_n(x)$   $S''_n(x)$  such that  $y \notin S_n(x)$  implies  $S'_n(y) \cap S'_n(x) = \phi$  and such that  $y \notin S'_n(x)$  implies  $S''_n(y) \ni x$ .

*Proof.* Put  $U_n(x) = S_n(x)$ ,  $S_n^1(x) = S_n^{'}(x) \cap S_n^{'}(x)$ ,  $S_n^2(x) = S_n^{''}(x)$ , then  $y \notin U_n(x)$  implies  $x \notin S_n(y)$  and consequently  $S_n^{''}(x) \cap S_n^{'}(y) = \phi$ . Therefore  $z \in S_n^1(x) \subseteq S_n^{''}(x)$  implies  $y \notin S_n^{''}(z)$ , i.e.  $S_n^2(z) \subseteq S_n(x)$ . Since  $y \notin U_n(x)$  implies  $S_n^2(y) \cap S_n^1(x) \subseteq S_n^{''}(y) \cap S_n^{'}(x) = \phi$ , we can conclude the validity of this theorem from Theorem 1.

THEOREM 8.<sup>17)</sup> A  $T_1$ -space R is metrizable if and only if there exists a non-negative function  $\varphi(x, y)$  of  $R \times R$  satisfying

- i)  $\varphi(x, y) = \varphi(y, x)$
- ii)  $d(x, A) = \inf \{ \varphi(x, y) | y \in A \}$  is, for every subset A of R, a continuous function of x,
- iii)  $\{S_n(x) | n = 1, 2 \cdots \}$  for  $S_n(x) = \{y | \varphi(x, y) < 1/n \}$  is a nbd basis of any point x of R.

*Proof.* We let  $S_n'(x) = S_{n+1}(x)$ . Then  $p \in U_n(x)$   $(x \in A)$  and  $p \in S_n'(y)$   $(y \in B)$  imply  $d(p,A) \ge 1/n$  and  $d(p,B) \ge 1/(n+1)$  and hence there exists a nbd  $S_n''(p)$  of p satisfying

$$d(S_{n}^{"}(p), A) > 1/(n+1), d(S_{n}^{"}(p), B) > 0.$$

In consequence

- 16) Lemma 2 of [8].
- 17) This theorem is an extension of Corollary 6 of [8].

<sup>15)</sup>  $S_{1/n}(A) = \{ y \mid \inf \{ \rho(y, x) \mid x \in A \} < 1/n \}.$ 

$$S_n''(\phi) \cap S_n'(x) = \phi \quad (x \in A), S_n''(\phi) \oplus y \quad (y \in B).$$

Therefore R is metrizable by Theorem 7.

COROLLARY. A  $T_1$ -space R is metrizable if and only if there exists a non-negative function  $\varphi(x, y)$  of  $R \times R$  satisfying

- i)  $\varphi(x, y) = \varphi(y, x)$
- ii)  $d(x, F) = \inf \{ \varphi(x, y) | y \in F \}$  is, for every closed set F of R, a continuous function of x,
- iii)  $\{S_n(x)|n=1, 2\cdots\}$  for  $S_n(x)=\{y|\varphi(x,y)<1/n\}$  is a nbd basis of any point x of R.

*Proof.* Since  $d(x, A) = d(x, \overline{A})$  is obvious for any subset A of R, this proposition is a direct consequence of Theorem 8.

The following two theorems are directly deduced from Theorem 1 and from Theorem 7 respectively and can be considered extensions of Chittenden's theorem.

THEOREM 9. A  $T_1$ -space R is metrizable if and only if there exists a non-negative function  $\varphi(x, y)$  of  $R \times R$  satisfying

- i)  $\varphi(x, y) = \varphi(y, x)$
- ii) for every  $\varepsilon > 0$  and every  $x \in R$  one can assign  $\delta(\varepsilon, x) > 0$  such that  $\varphi(x, z) < \delta(\varepsilon, x)$  and  $\varphi(y, z) < \delta(\varepsilon, y)$  imply  $\varphi(x, y) < \varepsilon$  and such that  $\varphi(x, z) < \delta(\varepsilon, x)$  and  $\varphi(z, y) < \delta(\varepsilon, z)$  imply  $\varphi(x, y) < \varepsilon$ ,
- iii)  $\{S_n(x) | n = 1, 2 \cdots \}$  for  $S_n(x) = \{y | \varphi(x, y) < 1/n \}$  is a nbd basis of any point x of R.

*Proof.* It is obvious.

THEOREM 10. A  $T_1$ -space R is metrizable if and only if there exists a non-negative valued function  $\varphi(x, y)$  of  $R \times R$  satisfying

- i)  $\varphi(x, y) = \varphi(y, x)$
- ii) for every  $\varepsilon > 0$  and  $x \in R$  one can assign  $\delta_1(\varepsilon) > 0$  and  $\delta_2(\varepsilon, x) > 0$  such that  $\varphi(x, z) < \delta_1(\varepsilon)$  and  $\varphi(y, z) < \delta_2(\varepsilon, y)$  imply  $\varphi(x, y) < \varepsilon$ ,
- iii)  $\{S_n(x)|n=1, 2\cdots\}$  for  $S_n(x)=\{y|\varphi(x,y)<1/n\}$  is a nbd basis of any point x of R.

*Proof.* It is obvious.

The following is an extension of Alexandroff and Urysohn's theorem.

Theorem 11. A  $T_1$ -space R is metrizable if and only if there exists a countable collection  $\{\mathfrak{U}_n|n=1,2\cdots\}$  of open coverings such that

- i) for every  $\mathfrak{U}_n$  we can choose an open covering  $\mathfrak{V}_n$  satisfying  $\overline{S(A,\mathfrak{V}_n)}\subseteq S(A,\mathfrak{U}_n)$  for every closed subset A of R,
  - ii)  $\{S(x, \mathfrak{U}_n) | n = 1, 2 \cdots \}$  is a nbd basis of any point x of R.

Proof. Letting

$$S_n(x) = S(x, \mathfrak{U}_n), S'_n(x) = S(x, \mathfrak{V}_n),$$

for  $p \notin S_n(x)$   $(x \in A)$  we have, by  $\overline{S(A, \mathfrak{V}_n)} \subseteq S(A, \mathfrak{U}_n)$ , a nbd  $W_n(p)$  of p satisfying  $W_n(p) \cap S'_n(x) = \phi$   $(x \in A)$ . Since  $S''_n(p) = W_n(p) \cap S(p, \mathfrak{V}_n)$  evidently satisfies the

condition of Theorem 7, we conclude the metrizability of R.

THEOREM 12.  $(R. H. Bing)^{18}$ A regular space R is metrizable if and only if there exists a countable collection  $\{\mathfrak{U}_n|n=1,2\cdots\}$  of open collections such that

- i) the sum of the closures of any subcollection of  $\mathfrak{U}_n$  is closed,
- ii)  $\{S(x, \mathfrak{U}_n) | n = 1, 2, \dots, S(x, \mathfrak{U}_n) \neq \emptyset \}$  is a nbd basis of each point x of R.

*Proof.* For every triad l, m, n of positive integers and every point x of Rwe define nbds  $S_{i, m, n}(x)$  and  $S'_{i, m, n}(x)$  by

$$S_{l, m, n}(x) = S(x, \mathfrak{U}_n), S'_{l, m, n}(x) = S(x, \mathfrak{U}_n)$$

if

$$x \in \overline{S(x, \mathfrak{U}_l)} \subseteq S(x, \mathfrak{U}_m) \subseteq \overline{S(x, \mathfrak{U}_m)} \subseteq S(x, \mathfrak{U}_n),$$

and  $S_{l,m,n}(x) = S'_{l,m,n}(x) = R$  otherwise.

It follows from the regularity of R that  $\{S_{l,m,n}(x)|l, m, n=1, 2, \dots\}$  is a nbd basis of x.

If 
$$p \notin S_{l, m, n}(x)$$
  $(x \in A)$ , then  $p \notin S(A, \mathfrak{U}_n) \supseteq \bigcup_{x \in A} \overline{S(x, \mathfrak{U}_m)} = \overline{S(A, \mathfrak{U}_m)}$ ,

which implies 
$$V(p) \cap S'_{l,m,n}(x) = \phi$$
  $(x \in A)$  for some nbd  $V(p)$  of  $p$ . If  $p \notin S'_{l,m,n}(y)$ 

 $(y \in B)$ , then

$$p \notin S(B, \mathfrak{U}_m) \supseteq_{y \in B} \overline{S(y, \mathfrak{U}_l)} = \overline{S(B, \mathfrak{U}_l)},$$

which implies  $W(p) \ni y \ (y \in B)$  for some nbd W(p) of p. Letting  $S''_{l,m,n}(p) = V(p)$ W(p), we have three nbds satisfying the condition i), ii) of Theorem 7. Thus this theorem is also a direct consequence of Theorem 7.

With respect to a topologically complete space<sup>19</sup>), we have a simpler condition for metrizability than those of Theorem 1 and Theorem 7.

THEOREM 13. A topologically complete space R is completely metrizable if and only if one can assign a nbd basis  $\{U_n(x) | n=1, 2 \cdots \}$  for every point x of R such that for every n and each point x of R there exist nods  $S_n^1(x)$ ,  $S_n^2(x)$  of x satisfying that  $y \notin U_n(x)$  implies  $S_n^2(y) \cap S_n^1(x) = \phi$ .

*Proof.* If we review the proof of Theorem 1, then we know that the condition ii) was not used to prove the full normality of R. Hence R is fully normal in the present instance. Since R is fully normal and topologically complete, there exists, by N. A. Shanin's theorem<sup>20</sup>, a countable collection  $\{\mathfrak{V}_n | n=1, 2\cdots\}$ of open coverings having the following property: If a maximum filter  $\mathfrak{F}=\{\mathfrak{F}_a|$  $\alpha \in A$  of closed sets is divergent, then we can choose  $\mathfrak{V}_n$  satisfying  $\overline{S(x,\mathfrak{V}_n)} \subseteq F_{\alpha}^c$ for every  $x \in R$  and some  $F_{\alpha} \in \mathfrak{F}$ .

On the other hand let  $\mathfrak{S}_n = \{(S_n^2(x))^{\circ} | x \in R\}$ , then for any x, y with  $x \neq y$  we

<sup>18)</sup> See [1].

<sup>19)</sup> A T<sub>2</sub>-space R is called a topologically complete space if R is homeomorphic with a  $G_{\delta}$  -set of some compact  $T_2$ -space.

<sup>20)</sup> A  $T_2$ -space R is topologically complete if and only if there exists a countable collection  $\{\mathfrak{U}_n|n=1,2,\cdots\}$  of open coverings such that if a maximum filter  $\mathfrak{F}$  of closed sets is divergent, then we can choose  $\mathfrak{U}_n$  with  $\mathfrak{U}_n < \mathfrak{W} = \{F^c | F \in \mathfrak{F}\}$ . See [9].

have  $U_m(x) \cap U_n(y) = \phi$  for some m, n. Let us assume  $m \geq n$ , then  $x \in (S_m^2(z))^\circ$  implies  $z \in U_m(x)$  and consequently  $z \notin U_n(y)$  because we can assume without loss of generality, that  $m \geq n$  implies  $S_m^2(x) \subseteq S_n^2(x)$  ( $x \in R$ ). Hence  $S_m^2(x) \cap S_n^1(y) = \phi$ , which means  $y \notin \overline{S(x, \mathfrak{S}_m)}$ . In the same way we can show  $x \notin \overline{S(y, \mathfrak{S}_m)}$ .

Letting  $\mathfrak{B}_n = \mathfrak{B}_n \wedge \mathfrak{S}_n$  we have a countable collection  $\{\mathfrak{B}_n | n = 1, 2 \cdots \}$  of open coverings having the property of  $\{\mathfrak{B}_n\}$  and that of  $\{\mathfrak{S}_n\}$ . Now we shall show that  $\{S(x, \mathfrak{B}_{n_1} \wedge \mathfrak{B}_{n_2} \wedge \cdots \wedge \mathfrak{B}_{n_k}) | n_i = 1, 2 \cdots (i = 1, \dots k), k = 1, 2 \cdots \}$  is a nbd basis of any point x of R. If we assume the contrary:

 $S(x,\mathfrak{W}_{n_1})\cap S(x,\mathfrak{W}_{n_2})\cap\cdots\cap S(x,\mathfrak{W}_{n_k})=S(x,\mathfrak{W}_{n_1}\wedge\cdots\wedge\mathfrak{W}_{n_k}) \oplus U(x)$  for some open nbd U(x) of x and every  $n_i$ , k, then  $\{(U(x))^c, \overline{S(x,\mathfrak{W}_n)} \mid n=1,2\cdots\}$  = $\mathfrak{G}$  is a family of closed sets having the finite intersection property. Since all the sets of  $\mathfrak{G}$  have obviously no common point, if we construct a maximum filter  $\mathfrak{F}$  of closed sets such that  $\mathfrak{G}\subseteq\mathfrak{F}$ ,  $\mathfrak{F}$  is divergent. Hence we can choose  $\mathfrak{W}_n$  satisfying  $\overline{S(x,\mathfrak{W}_n)}\subseteq F^c$  for some  $F\in\mathfrak{F}$ . This contradicts  $\overline{S(x,\mathfrak{W}_n)}\in\mathfrak{G}\subseteq\mathfrak{F}$  since  $\mathfrak{F}$  is a filter. Thus we have the countable collection  $\{\mathfrak{W}_{n_1}\wedge\cdots\wedge\mathfrak{W}_{n_k}|n_i=1,2\cdots(i=1,\cdots,k),k=1,2\cdots\}$  of open coverings such that  $\{S(x,\mathfrak{W}_{n_1}\wedge\cdots\wedge\mathfrak{W}_{n_k})\}$  is a nbd basis of x, which combining with the full normality of x induces, by Alexandroff and Urysohn's theorem, the metrizability of x and consequently the complete metrizability of x by x by x is Theoremx.

## Bibliography

- R H. Bing, Metrization of topological spaces, Canadian Journ. of Math, vol. 3 (1951).
- [2] E. Cech, On bicompact spaces, Ann. of Math. 38 (1939).
- [3] A. H. Frink, Distance function and the metrization problem, Bull. Amer. Math. Soc. vol. 43 (1937).
- [4] E. Michael, A note on paracompact spaces, Proc. of Amer. Math. Soc., vol. 4, No. 3 (1953).
- [5] K. Morita, A condition for the metrizability of topological spaces and for n-dimensionality, Science Reports of the Tokyo Kyoiku Daigaku Sec. A, vol. 5, No. 114 (1955).
- [6] K. Morita and S. Hanai, Closed mappings and metric spaces, Proc. Japan Acad. vol. 32. No. 1 (1956).
- [7] J. Nagata, On a necessary and sufficient condition of metrizability Journ. of Inst. of Polytech. Osaka City Univ., Ser. A, vol. 1, No. 2 (1950).
- [8] J. Nagata, On coverings and continuous functions, Journ. of Inst. of Polytech. Osaka City Univ., Ser. A, vol. 7. No. 1-2 (1956).
- [9] N. A. Shanin, On the theory of bicompact extension of topological spaces, C. R. USSR, 38, No, 5-6 (1943).
- [10] Yu. Smirnov, A necessary and sufficient condition for metrizability of topological spaces, Doklady Akad. Nauk, SSSR. N. S. vol. 77 (1551).
- [11] A. H. Stone, Paracompactness and product spaces, Bull. Amer. Math. Soc., vol. 54, No. 10 (1948).
- [12] A. H. Stone, Metrizability of decomposition spaces, Proc. of Amer. Math. Soc., vol. 7, No. 4 (1956).

<sup>21)</sup> A topological space R is completely metrizable if and only if R is metrizable and topologically complete. See [2].