

ON TRANSLATION PLANES OF ORDER q^3 WITH AN ORBIT OF LENGTH q^3-1 ON l_∞

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1. Introduction

In his paper [7], Suetake constructed a class of translation planes of cubic order q^3 and he improved his results for each prime power q such that 2 is a nonsquare element of $GF(q)$. Any plane of the class admits a collineation group G of the linear translation complement such that

(*) G has orbits of length 2 and q^3-1 on l_∞ .

In this paper we construct a new class of translation planes of order q^3 with the property (*) for each prime power q with $q \equiv 1 \pmod{2}$ (§2). If π is any translation plane of order q^3 with the property (*) and if π is not an Andre plane, then we have either (i) the linear translation complement $LC(\pi)$ is of order $3^i(q^3-1)(q-1)$ with $0 \leq i \leq 2$, or (ii) $q=3$ and $LC(\pi)$ is isomorphic to $SL(2, 13)$ (§3). In §4, we present a certain characterization of the class of planes with the property (*). Throughout the paper all sets, planes and groups are finite. Our notation is largely standard and taken from [3] and [5].

2. Description of the class of planes Π

Let $F=GF(q^3)$ be a field order of q^3 , where $q=p^n$ and p is an odd prime. Let K be a subfield of F order q . Throughout the paper we consider the translation plane π of order q^3 which is a 6 dimensional vector space over K .

To represent spread sets and collineation groups of π , we use a method of [6]; Let $w \in F-K$ and put a 3×3 matrix $W = \begin{pmatrix} 1 & 1 & 1 \\ w & \bar{w} & \bar{\bar{w}} \\ w^2 & \bar{w}^2 & \bar{\bar{w}}^2 \end{pmatrix}$, where $\bar{w} = w^q$ and $\bar{\bar{w}} = w^{q^2}$. Let $M(3, q_1)$ be the set of all matrices over $GF(q_1)$ for a prime power q_1 . Set $M(3, q)^* = W^{-1}M(3, q)W \subset M(3, q^3)$, $GL(3, q)^* = W^{-1}GL(3, q)W$ and $V^* = V(3, q)W = \{(v_1, v_2, v_3)W \mid v_1, v_2, v_3 \in K\}$. Then $M(3, q)^* = \left\{ \begin{pmatrix} a & \bar{c} & \bar{\bar{b}} \\ b & a & \bar{c} \\ c & \bar{b} & \bar{\bar{a}} \end{pmatrix} \mid a, b, c \in F \right\}$, $GL(3, q)^* = \{P \in M(3, q)^* \mid \det(P) \neq 0\}$ and $V^* = \{(a, a, \bar{a}) \mid a \in F\}$

(cf. [6]). Here “*dei*” means the determinant of a matrix. Clearly $GL(3, q)^*$ acts naturally on V^* as the general linear group of the vector space over K . The

notations $\begin{pmatrix} a & \bar{c} & \bar{b} \\ b & \bar{a} & \bar{c} \\ c & \bar{b} & \bar{a} \end{pmatrix}$ and (a, \bar{a}, \bar{a}) are abbreviated to $[a, b, c]$ and to $[a]$, respectively.

For $k \in K$, we have $k[a, b, c] = [ka, kb, kc]$ and $k[a] = [ka]$. Set $I(x) = [x, 0, 0]$, $I = I(1)$, $O = I(0)$ and $J = [0, 1, 0]$.

Under these conditions a set $\Sigma \subset GL(3, q)^* \cup \{O\}$ is defined to be a *spread set* if $O \in \Sigma$, $|\Sigma| = q^3$ and $\det(M - N) \neq 0$ for any two distinct $M, N \in \Sigma$.

The translation plane π which corresponds to Σ is defined in the usual manner ([5]); the set of points of π is $V^* \times V^*$ and the set of lines passing through the origin is $\mathcal{L} = \{L(M) \mid M \in \Sigma\} \cup \{L(\infty)\}$, where $L(M) = \{([x], [x]M) \mid x \in F\}$ and $L(\infty) = \{([0], [x]) \mid x \in F\}$.

Throughout the rest of this paper let u be a nonsquare element of K . As $q^2 + q + 1 \equiv 1 \pmod{2}$, u is also a nonsquare element of F . Let \bar{F} be the algebraic closure of F and set $\bar{F}^\pm = F - \{\pm 1\}$, $F^* = F - \{0\}$, $K^* = K - \{0\}$.

We now define Π_K a class of translation planes of order q^3 . Let Φ_K be the set of all ordered triples $(a, b, c) \in K \times K \times K$ such that

$$\Sigma_{(a,b,c)} = \{I(x)[a, b, c] I(x) \mid x \in F\} \cup \{I(x)u[a, b, c]^{-1} I(x) \mid x \in F\}$$

is a spread set. We denote by $\pi_{(a,b,c)}$ the translation plane corresponding to the spread set $\Sigma_{(a,b,c)}$ and set $\Pi_K = \{\pi_{(a,b,c)} \mid (a, b, c) \in \Phi_K\}$. Furthermore set $\Pi = \bigcup_K \Pi_K$, where K runs through all finite fields of odd characteristic.

Clearly the set of matrices $I(x)$ ($x \in F^*$) forms an abelian group of order $q^3 - 1$. Hence an ordered triple $(a, b, c) \in K \times K \times K$ is contained in Φ_K if and only if

- (i) $f(x) = \det(I(x)[a, b, c] I(x) - [a, b, c]) \neq 0$ for any $x \in \bar{F}$,
- (ii) $g(x) = \det(I(x)[i, j, k] I(x) - [i, j, k]) \neq 0$ for any $x \in \bar{F}$, where $[i, j, k] = u[a, b, c]^{-1}$, and
- (iii) $h(x) = \det(I(x)[a, b, c] I(x) - [i, j, k]) \neq 0$ for any $x \in F$.

Using these we can show that $\Phi_K = \Phi_K^{(1)} \cup \Phi_K^{(2)}$, where $\Phi_K^{(1)} = \{(a, b, c) \in K \times K \times K \mid a(a^2 - bc) = 0, b^3 + c^3 - 2abc \neq 0\}$ and $\Phi_K^{(2)} = \{(a, b, c) \in K \times K \times K \mid a(a^2 - bc) \neq 0, b^3 + c^3 - 2abc = 0\}$ (Proposition 1). As a corollary, we have

Theorem 1. $\Pi_K = \{\pi_{(a,b,c)} \mid (a, b, c) \in \Phi_K^{(1)} \cup \Phi_K^{(2)}\}$.

In the rest of this section we prove $\Phi_K = \Phi_K^{(1)} \cup \Phi_K^{(2)}$. Let $(a, b, c) \in K \times K \times K$ and set $A = a$, $B = a^2 - bc$, $C = b^3 + c^3 - 2abc$ and $D = a^3 + b^3 + c^3 - 3abc$. Then $AB + C = D = \det[a, b, c]$.

Lemma 2.1. *Assume $D \neq 0$. Then*

- (i) $f(x) = ABN(x^2 - 1) + CN(x^{q+1} - 1)$ and $g(x) = u^3 D^{-2} f(x)$. Here $N(z) = z^{q^2 + q + 1}$ for $z \in F$.

(ii) $h(x) = D^{-1} \det (([a, b, c] I(x))^2 - uI).$

Proof. By direct calculation we have (i) and $g(x) = u^3 \det (I(x) [a, b, c]^{-1} I(x)) \det ([a, b, c] - I(x)^{-1} [a, b, c] I(x)^{-1}) \det [a, b, c]^{-1} = u^3 (\det [a, b, c])^{-2} f(x)$, hence (i) holds. Similarly we have (ii).

Lemma 2.2. *Let $r(t, x) = \det (xI - [a, b, c] I(t))$ be a characteristic polynomial of $[a, b, c] I(t)$ with $t \in F$. Then*

(i) $r(t, x) = x^3 - AT(t)x^2 + BT(t^{q+1})x - DN(t)$. (Here $T(z) = z + z^q + z^{q^2}$ is the trace map.)

(ii) Let $t \in F$. Then $h(t) = 0$ if and only if $u = -BT(t^{q+1})$ and $uAT(t) = -DN(t)$.

Proof. By direct calculation we have (i). Suppose $u = -BT(t^{q+1})$ and $uAT(t) = -DN(t)$ for some $t \in F$. Then, by (i), $r(t, x) = x^3 - kx^2 - ux + uk = (x - k)(x^2 - u)$, where $k = AT(t) \in K$. Let v be a root of $x^2 - u$ in the algebraic closure \bar{F} . Then v is an eigenvalue of $[a, b, c] I(t)$. Hence $h(t) = 0$. Conversely, assume $h(t) = 0$ for some $t \in F$. Let z_1, z_2, z_3 be the eigenvalues of $[a, b, c] I(t)$. Then, by Lemma 2.1, $z_i^2 = u$ for some i . As $r(t, x)$ is a cubic polynomial over K and $z_i \in \bar{F} - F$, $r(t, x) = (x - k)(x^2 - u)$ for some $k \in K$. Hence $AT(t) = k$, $BT(t^{q+1}) = -u$ and $-DN(t) = ku$. Thus $u = -BT(t^{q+1})$ and $uAT(t) = -DN(t)$.

In Lemmas 2.4–2.7, we assume the following.

Hypothesis 2.3. $(a, b, c) \in \Phi_K$ and $ABC \neq 0$.

Lemma 2.4. *Set $i = uA/D, j = u/B$ and $w(x) = (x^3 - jx)/(x^2 - i)$. Then,*

- (i) i is nonsquare in K .
- (ii) $w(x)$ is a bijection from K onto itself.

Proof. Since $C \neq 0, i(i - j) \neq 0$ and so $(x^3 - jx, x^2 - i) = ((i - j)x, x^2 - i) = 1$. Deny (ii) and let $e \in K - \{w(t) \mid t \in K, t^2 - i \neq 0\}$. Then $x^3 - ex^2 - jx + ie$ is an irreducible polynomial over K . Let t be a root of this polynomial in \bar{F} . Then $t \in F - K$ and so $x^3 - ex^2 - jx + ie = (x - t)(x - t^q)(x - t^{q^2})$. Hence $T(t) = e, T(t^{q+1}) = -j$ and $N(t) = -ie$ and so $u = -BT(t^{q+1})$ and $-DN(t) = uAT(t)$. This contradicts (ii) of Lemma 2.2. Thus (ii) holds and (i) follows from (ii).

Lemma 2.5. *Set $k = 3i^2 + 6ij - j^2$. Then, either $9i = j$ or $F(y) = 4iy^4 - ky^2 + 4i^2j$ is nonsquare in K for each $y \in K$.*

Proof. We have $w(x) = w(y)$ if and only if $(x - y)((y^2 - i)x^2 - (i - j)yx - i(y^2 - j)) = 0$. By (i) of Lemma 2.4, $y^2 - i \neq 0$. Assume $F(y)$ is square in K for some y and set $v = \sqrt{F(y)} \in K$. Then $w(x) = w(y) = w(x')$, where $\{x, x'\} = \{((i - j)y \pm v)/2(y^2 - i)\}$. By Lemma 2.4 (ii), $y = x = x'$. Hence $v = 0$ and $y(2y^2 - 3i + j) = 0$. As $ij \neq 0, y \neq 0$ and so $0 = v^2 = 4i((3i - j)/2)^2 - (3i^2 + 6ij - j^2)$

$(3i-j)/2+4i^2j=(i-j)^2(9i-j)/2$. Therefore $9i=j$.

Lemma 2.6 $9i \neq j$.

Proof. Assume $9i=j$. Then $9AB=D$. As $D \neq 0$, $\text{char}K \neq 3$. Let $e \in K - R$, where $R = \{27(x^3 - 3x^2 - 2)/(3x + 1) \mid x \in K, x \neq -3^{-1}\}$. Since $3x + 1 \nmid 27(x^3 - 3x^2 - 2) = (3x + 1)(9x^2 - 30x + 10) - 64$, $S(x) = 27(x^3 - 3x^2 - 2) - e(3x + 1)$ is irreducible over K . Hence $S(x) = 27(x-t)(x-t^q)(x-t^{q^2})$ for some $t \in F - K$. Therefore $T(t) = 3$, $T(t^{q+1}) = -e/9$ and $N(t) = 2 + e/27$. In particular $T(t) - T(t^{q+1}) - 3N(t) + 3 = 0$. However, by Lemma 2.1(i), $f(t) = AB(N(t^2 - 1) + 8N(t^{q+1} - 1)) = AB(T(t) - T(t^{q+1}) - 3N(t) + 3)(T(t) + T(t^{q+1}) - 3N(t) - 3) = 0$, a contradiction.

Lemma 2.7. $q \leq 13$.

Proof. By Lemmas 2.5 and 2.6, $F(y)$ is nonsquare in K for any $y \in K$. Applying Lemma of [8], either $q \leq 13$ or $k^2 - 4 \times 4i \times 4i^2j = (i-j)^3(9i-j) = 0$. Again, by Lemma 2.6, $9i-j \neq 0$ and therefore $q \leq 13$.

Lemma 2.8. Let $E(y) = dy^4 + ey^2 + f$, $d, e, f \in K$ and assume that d is nonsquare in K . If $q \leq 13$ and $E(y)$ is nonsquare for each $y \in K$, then $e^2 - 4df = 0$.

Proof. Let K_1 be the set of nonzero square elements of K . The $E(y)$'s satisfying the conditions above are as follows, which we obtained by using a computer;

- (1) $K = GF(13)$: $(d, e, f) = (2m, 2m, 7m), (2m, 5m, 8m), (2m, 6m, 11m), (2m, 7m, 11m), (2m, 8m, 8m), (2m, 11m, 7m), m \in K_1$.
- (2) $K = GF(11)$: $(d, e, f) = (2m, m, 7m), (2m, 3m, 8m), (2m, 4m, 2m), (2m, 5m, 11m), (2m, 9m, 6m), m \in K_1$.
- (3) $K = GF(9) = \langle w \rangle GF(3)$, where $w^2 = -1$: $(d, e, f) = ((w+1)m, m, (w+2)m), ((w+1)m, 2m, (w+2)m), ((w+1)m, wm, (2w+1)m), ((w+1)m, 2wm, (2w+1)m), m \in K_1$.
- (4) $K = GF(7)$: $(d, e, f) = (3m, 3m, 6m), (3m, 5m, 5m), (3m, 6m, 3m), m \in K_1$.
- (5) $K = GF(5)$: $(d, e, f) = (2m, 2m, 3m), (2m, 3m, 3m), m \in K_1$.
- (6) $K = GF(3)$: $(d, e, f) = (2m, m, 2m), m \in K_1$.

Using these, we can verify that $e^2 - 4df = 0$ for each case.

Proposition 1. $\Phi_K = \Phi_K^{(1)} \cup \Phi_K^{(2)}$.

Proof. Assume $D \neq 0$ and $AB = 0$. Then $C = D \neq 0$. Hence, by Lemma 2.1, $f(x) \neq 0$ and $g(x) \neq 0$ for any $x \in \tilde{F}$. By Lemma 2.2, $h(t) \neq 0$ for any $t \in F$. Therefore $\Phi_K^{(1)} \subset \Phi_K$.

Assume $D \neq 0$ and $C = 0$. Then $AB = D \neq 0$ and so, by Lemma 2.1, $f(x) \neq 0$ and $g(x) \neq 0$ for any $x \in \tilde{F}$. If $h(t) = 0$ for some $t \in F$, then $t \neq 0$ and $T(t)T(t^{q+1}) - N(t) = 0$ by Lemma 2.2 (ii). Since $T(t)T(t^{q+1}) - N(t) = N(t+t^q)$, it follows

that $t^{q-1} = -1$. However, this implies $2|q^2+q+1$, a contradiction. Therefore $\Phi_K^{(2)} \subset \Phi_K$.

Assume $D \neq 0$ and $ABC \neq 0$. Then, by Lemmas 2.5–2.8, $k^2 - 4 \times 4i \times 4i^2 = 0$. As we have seen in the proof of Lemma 2.7, this is a contradiction. Therefore $\Phi_K = \Phi_K^{(1)} \cup \Phi_K^{(2)}$.

REMARK 2.9. We can easily verify that the planes constructed in [7] are contained in $\{\pi_{(a,b,c)} \mid (a, b, c) \in \Phi_K^{(1)}\} (\subset \Pi_K)$.

3. The planes with the orbits of length 2 and $q^3 - 1$

Throughout this section we assume the following.

Hypothesis 3.1. (i) π is a translation plane of order q^3 with kern $K = GF(q)$, where q is a power of an odd prime p .

(ii) A subgroup G of the linear translation complement of π has orbits Γ and Δ of length 2 and $q^3 - 1$, respectively, on l_∞ .

(iii) π is not an Andre plane.

Let Σ be a spread set corresponding to π and let $C(\pi)$ denote the translation complement of π . The linear translation complement $LC(\pi)$ of π is defined

by the set of all nonsingular 6×6 matrices $g = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ such that

(i) $A, B, C, D \in M(3, q)^*$.

(ii) If C is nonsingular, then $C^{-1}D \in \Sigma$. (In this case $L(\infty)g = L(C^{-1}D)$.)

(iii) If C is singular, then $C = 0$ and D is nonsingular. (In this case $L(\infty)g = L(\infty)$.)

(iv) Given $M \in \Sigma$, if $A + MC$ is nonsingular, then $(A + MC)^{-1}(B + MD) \in \Sigma$. (In this case $L(M)g = L(M_1)$, where $M_1 = (A + MC)^{-1}(B + MD)$.)

(v) Given $M \in \Sigma$, if $A + MC$ is singular, then $A + MC = 0$. (In this case $L(M)g = L(\infty)$.)

Set $\mathcal{L} = \{L(M) \mid M \in \Sigma\} \cup \{L(\infty)\}$. Then, since the restriction $LC(\pi)^{\mathcal{L}}$ is isomorphic to $LC(\pi)^{l_\infty}$, we often identify \mathcal{L} with l_∞ .

By Lemma 2.1 of [5], without loss of generality we may assume $\Gamma = \{L(\infty), L(O)\}$ and $G = LC(\pi)_\Gamma$, the global stabilizer of Γ in $LC(\pi)$. Set $H = G_{L(\infty), L(O)}$, the stabilizer of $L(\infty)$ and $L(O)$ in G . Then $|G : H| = |\Gamma| = 2$ and $\left\{ \begin{pmatrix} A & O \\ O & B \end{pmatrix} \mid A, B \in GL(3, q)^* \right\} \geq H \geq \left\{ a \begin{pmatrix} I & O \\ O & I \end{pmatrix} \mid a \in K^* \right\}$. Moreover $|\Delta| = q^3 - 1 \mid |G|$ and so $(q-1)(q^2+q+1)2 \mid |H|$.

Set $U_1 = \{I(a) \mid 0 \neq a \in F\}$ and $U = U_1 \langle J \rangle$, $J = [0, 1, 0]$. Furthermore set $\tilde{U}_1 = \left\{ \begin{pmatrix} A & O \\ O & B \end{pmatrix} \mid A, B \in U_1 \right\}$ and $\tilde{U} = \left\{ \begin{pmatrix} A & O \\ O & B \end{pmatrix} \mid A, B \in U \right\}$. Then we have

Lemma 3.2. Let K_1 or K_2 be the group of homologies in H with axis $L(\infty)$

or $L(O)$, respectively. Let f_1 or f_2 be a homomorphism from H to $GL(3, q)^*$ defined by $f_1\begin{pmatrix} A & O \\ O & B \end{pmatrix} = B$ or $f_2\begin{pmatrix} A & O \\ O & B \end{pmatrix} = A$, respectively. Then, a basis may be chosen for $\pi(=V^* \times V^*)$ so that $H \leq \tilde{U}$ and $f_i(H) \leq U$ for $i=1, 2$. In particular, $|H/K_i| \mid 3(q^3-1)$.

Proof. Clearly K_1 and K_2 are normal subgroups of H and $K_1 \cap K_2 = 1$. Hence $H/K_1 \cong f_1(H) \subset GL(3, q)^*$ and H/K_1 has a normal subgroup $K_1 K_2 / K_1 (\cong K_2)$. By Hypothesis 3.1 (ii), K_1 and K_2 are G -conjugate and $|K_1| = |K_2| \mid q^3 - 1$. In particular $p \nmid |K_2|$.

Assume K_2 is nonsolvable. Then H/K_1 has a normal subgroup isomorphic to $SL(2, 5)$ by Corollary 3.5 of [5] and $p \nmid |SL(2, 5)|$. In particular K_1 has a characteristic subgroup isomorphic to $SL(2, 5)$ and so $O_p(H/K_i) = 1$ by the structure of $\text{Aut}(PG(2, q))$, $1 \leq i \leq 2$. Let g be a natural homomorphism from $GL(3, q)^*$ into $PGL(3, q)$. Applying the results of [2], $|g(f_i(H))| \mid 3 |PSL(2, 5)|(q-1)$. Hence $|H| \mid |K_1| \times (q-1)^2 \times 180$. On the other hand $|K_1 K_2| = |K_1|^2 \mid |H|$ and so $|H| \mid (q-1)^4 (180)^2$. However, since $(q^2 + q + 1, 2 \times 5) = 1$, we have $(q^2 + q + 1, |H|) \leq 3$, contrary to $(q^3 - 1)/2 \mid |H|$. Therefore K_2 is solvable.

Assume $(|K_2|, q^2 + q + 1) > 3$. Then $(|g(f_1(K_2))|, q^2 + q + 1) > 3$. By [2], $|g(f_1(H))| \mid 3(q^2 + q + 1)(3, q - 1)$ and $f_1(H)$ is $GL(3, q)^*$ -conjugate to a subgroup of U .

Next assume $(|K_2|, q^2 + q + 1) \leq 3$. Then $g(f_1(H))$ is a subgroup of $GL(3, q)^*$ such that $(q^2 + q + 1)/(3, q - 1) \mid |g(f_1(H))|$. By [2], we have either $SL(3, q)^* \leq f_1(H)$ or $f_1(H)$ is $GL(3, q)^*$ -conjugate to a subgroup of U . Suppose $SL(3, q)^* \leq f_1(H)$ and let z be an element of order p such that $Wf_1(z)W^{-1} = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$. Then

z fixes exactly q^2 vectors in $L(\infty)$. Therefore the fixed structure of z is a subplane of π of order q^2 , contrary to Bruck's Theorem [4]. Thus, choosing a suitable basis of V^* , we may assume $f_1(H) \subset U$. By considering the mapping f_2 , similarly we may assume $f_2(H) \subset U$. Thus the lemma holds

Lemma 3.3. $G - H \subset \left\{ \begin{pmatrix} O & A \\ B & O \end{pmatrix} \mid A, B \in U \right\}$.

Proof. Let $z = \begin{pmatrix} O & A \\ B & O \end{pmatrix} \in G - H$ and let $h = \begin{pmatrix} X & O \\ O & Y \end{pmatrix} \in H$. Then $z^{-1}hz = \begin{pmatrix} B^{-1}YB & O \\ O & A^{-1}XA \end{pmatrix} \in H$ for any $h \in H$. Since $X, Y \in U$, $A^{-1}f_1(H)A, B^{-1}f_2(H)B \subset U$. Hence A and B normalize the cyclic subgroup of U of order t , where t is a prime with $t \mid (q^2 + q + 1)/(3, q - 1)$. As U is a maximal subgroup of $GL(3, q)^*$ by [2], A and B are contained in U .

Lemma 3.4. $\Sigma \cap U = \phi$.

Proof. Suppose false. By Lemmas 3.2 and 3.3, together with the transitivity of G on Δ , Σ is contained in $U \cup \{O\}$. Let $M \in \Sigma - \{O\}$. By considering $M^{-1}\Sigma$, we may assume that Σ contains I . Since $I(x)^{-1}[a, b, c]I(x) = [a, bx^{1-q}, cx^{1-q^2}]$ for $x \in F$ and $N(x^{1-q}) = N(x^{1-q^2}) = 1$, we have $(LC(\pi))_{(L(I))} \geq \left\{ \begin{pmatrix} I(x) & O \\ O & I(x) \end{pmatrix} \mid x \in F^* \right\}$. Hence π is an Andre plane by Corollary 12.2 of [5], contrary to Hypothesis 3.1 (iii).

Lemma 3.5. Set $K_0 = \left\{ \begin{pmatrix} kI & O \\ O & kI \end{pmatrix} \mid k \in K^* \right\}$. Let P be a point on Δ . Then,

(i) If $s = \begin{pmatrix} A & O \\ O & B \end{pmatrix} \in H - K_0$ fixes a point P , then either A or B is contained in $U - U_1$.

(ii) H_P/K_0 is isomorphic to a subgroup of $Z_3 \times Z_3$.

Proof. Assume $A, B \in U_1$ and set $A = I(a)$ and $B = I(b)$. Let $L(M) \in \mathcal{L}$ be a component corresponding to the line OP . Since s fixes OP , $I(a)^{-1}[x, y, z]I(b) = [x, y, z]$, where $M = [x, y, z]$. Then $[ax, ay, \bar{a}z] = [bx, by, bz]$ and so $x(a-b) = y(\bar{a}-b) = z(\bar{a}-b) = 0$. If $x=0$, then $yz \neq 0$ by Lemma 3.4. From this $\bar{a}=b=\bar{a}$ and hence $a=b \in K$. Similarly, if either $y=0$ or $z=0$, $a=b \in K$. On the other hand, if $xyz \neq 0$, $a=\bar{a}=\bar{a}=b$, which also implies $a=b \in K$. Therefore $s \in K_0$ and (i) holds.

Set $W = \left\{ \begin{pmatrix} A & O \\ O & B \end{pmatrix} \in H_P \mid A, B \in U_1 \right\}$. Then $H/W_P \leq Z_3 \times Z_3$ and by (i) $W = K_0$. Thus (ii) holds.

Lemma 3.6. $(q^3-1)(q-1) \mid |G|$ and $|G| \mid 2 \cdot 3^2(q-1)(q^3-1)$.

Proof. Since $|G| = |G : G_P| \times |G_P : H_P| |H_P : K_0| |K_0| = (q^3-1)(q-1) |G_P : H| |H : H_P| |H_P : K_0|$, the lemma follows from the previous lemma.

We denote by m_t the highest power of a prime t dividing a positive integer m .

Lemma 3.7. $|G|_2 = ((q-1)^2)_2$.

Proof. By Lemma 3.6, $(q-1)^2 \mid |G|$. Set $2^r = (q-1)_2$ and let S be a Sylow 2-subgroup of G . Then $2^{2r} \mid |S|$. Assume $2^{2r+1} \mid |S|$. Clearly S acts on the set of points $V_0 = \{([a], [b]) \mid a, b \in F^*\}$. Since $V_0 = q^6 - 2q^3 + 1 = (q-1)^2(q^2+q+1)^2$ and $2 \nmid q^2+q+1$, S is not semiregular on V_0 . Therefore some involution $s \in S$ fixes a point $Q \in V_0$. As G contains no Baer involutions, s is a homology with axis OQ by a Baer's theorem. Applying Lemma 3.3, $s = \begin{pmatrix} O & A \\ A^{-1} & O \end{pmatrix}$ for some $A \in U$. Set $L(M) = OQ$, where $M \in \Sigma$. Then $([x], [x]M)s = ([x], [x]M)$ for any $x \in F$. Hence $[x] = [x]MA^{-1}$ for any $x \in F$. Therefore

$M=A$. However, this contradicts Lemma 3.4. Thus the lemma holds.

Lemma 3.8. *Either (i) $|G|=(q-1)(q^3-1)$ or $3(q-1)(q^3-1)$ or (ii) $|G|=3^2(q-1)(q^3-1)$, $q^3-1 \mid 2|K_1|^2$ and a Sylow 3-subgroup of $K_1 \times K_2$ is not contained in \tilde{U}_1 . Moreover G contains an abelian normal Hall subgroup of order $(q^2+q+1)/(3, q-1)$.*

Proof. Deny (i). Then, by Lemmas 3.6 and 3.7, $|G|=3^2(q-1)(q^3-1)$ and so it suffices to show that $q^3-1 \mid 2|K_1|^2$.

Let R be a Hall subgroup of G such that $|R|=(q^2+q+1)/(3, q-1)$. By Lemma 3.2, R is an abelian normal subgroup of G . Since K_1 and K_2 are Frobenius complements, a Sylow 3-subgroup S of $K_1 \times K_2$ is an abelian subgroup of rank 2. Therefore $N_R(S)=C_R(S)$ by Theorem 5.2.4 of [3]. Since $|H/K_1| \mid 3(q^3-1)$, $3(q-1)/2 \mid |K_1|$. Hence $|K_1|_3=3(q-1)_3$ and $|S|=3^2((q-1)_3)^2$ as $K_1 \times K_2 \leq H$.

Assume $S \not\leq \tilde{U}_1$. Then $S \cap K_1, S \cap K_2 \not\leq \tilde{U}_1$ since $S=(S \cap K_1)(S \cap K_2)$. Hence, there exist $b, c \in F^*$, $\begin{pmatrix} [0, b, 0] & O \\ O & [0, c, 0] \end{pmatrix} \in S$. Since $[0, a, 0]^{-1} I(x) [0, a, 0] = I(x)$ for any $a, x \in F^*$, $N_R(S)=C_R(S)=1$. Therefore either $N_R(S)=1$ or $S \leq \tilde{U}_1$.

If $N_R(S)=1$, then $R \leq K_1 K_2$ since $RK_1 K_2 = N_{RK_1 K_2}(S)K_1 K_2$. Therefore $(q^2+q+1)/(3, q-1) \mid |K_1|^2 = |K_1 K_2|$ and $3(q-1)/2 \mid |K_1|$ as $(q^2+q+1, q-1) = (3, q-1)$. Hence $q^3-1=(q-1)(q^2+q+1) \mid 2|K_1|^2$.

If $S \leq \tilde{U}_1$, then $S_P = S \cap K_0$ for any $P \in l_\infty - \{L(O), L(\infty)\}$ by Lemma 3.5. Therefore $|S/S \cap K_0| = |S|/(q-1)_3 \mid q^3-1$. However, $|S|/(q-1)_2 = 9(q-1)_3$ and so $9(q-1)_3 \mid (q-1)(q^2+q+1)$, a contradiction. Thus the lemma holds.

Using the lemmas above, together with Theorem 1 of [1], we now prove the following.

Theorem 2. *Under Hypothesis 3.1, either (i) $LC(\pi)$ is a solvable group of order $3^i(q^3-1)(q-1)$ with $0 \leq i \leq 2$ or (ii) $q=3$ and $LC(\pi)$ is isomorphic to $SL(2, 13)$.*

Proof. Set $L=LC(\pi)$. If $L=G (=L_{(L(\infty), L(O))})$, then (i) follows from Lemmas 3.2, 3.3 and 3.8.

Suppose $L \neq G$. Then L is transitive on l_∞ . Since L contains no Baer involutions, from Theorem 39.3 of [5], L is not 2-transitive on l_∞ . In particular $L_P=H, P=L(\infty)$, for otherwise $1+|\Delta|/2=(q+1)(q^2-q+1) \mid |L_P|$, contrary to $(L_P)^{OP} \leq GL(3, q)$. Hence $\Gamma=P^G$ is a block of L .

Let Ω be a complete block system of L which contains Γ . Since $L_\Gamma=G$ and G is transitive on Δ , L acts doubly transitively on Ω . Since $|\Omega - \{\Gamma\}| = (q^3-1)/2$ and $|G/K_0|=3^i(q^3-1)$ with $0 \leq i \leq 2$, we have $(L_{\Gamma, \Gamma'})^\Omega \mid 18, \Gamma \neq \Gamma' \in \Omega$. By Theorem 1 of [1], $L^\Omega = PSL(2, 13)$ and $|\Omega|=14$. Therefore $q=3$,

$K_0 \cong Z_2$ and $L/K_0 \cong PSL(2, 13)$. Thus (ii) holds in this case.

4. A characterization of the class II

In this section we continue Hypothesis 3.1 and notations used in the previous section. Let Λ denote the set of primes dividing $(q^2 + q + 1)/(3, q - 1)$ and \bar{X} the restriction of $X (\leq G)$ on the line l_∞ . Furthermore we assume the following.

Hypothesis 4.1. (0) G contains K_0 , the group of kern homologies.

(i) There exists a 2-element $\bar{z} \in \bar{G}$ such that $C_{\bar{z}}(\bar{z})$ is a Λ' -group.

(ii) G contains a nontrivial planar collineation.

Lemma 4.2. $|G| = 3(q^3 - 1)(q - 1)$ and $|K_1| = |K_2| = (q - 1)/2$. Moreover $|G_P/K_0| = 3$ for any $P \in \Delta$ and $K_1 K_2 \leq Z(H)$.

Proof. Set $m = (|K_1|, (q^2 + q + 1)/(3, q - 1))$ and assume t is a prime with $t | m$. Then, as $K_1 \cong K_2$, $K_2 \times K_2$ contains a noncyclic subgroup T of order t^2 and $(K_1 \cap T)^2 = K_2 \cap T$. Hence $C_T(z) \neq 1$, contrary to Hypothesis 4.1. Thus $m = 1$ and $|K_1| | 3(q - 1)$. In particular $|G| = 3^i(q^3 - 1)(q - 1)$, $i \leq 1$. Let $P \in \Delta$. By Hypothesis 4.1 (ii), $G_P \neq K_0$ and therefore $|G| = 3(q^3 - 1)(q - 1)$ and $|G_P/K_0| = 3$ by Theorem 2.

Since $K_1 \times K_2 \leq H$ and $|H|_2 = ((q - 1)_2)^2/2$, we have $|K_1|_2 \leq (q - 1)_2/2$. By Lemma 3.2, $|H/K_1| | 3(q^3 - 1)$ and so $(q - 1)/2 | |K_1|$. On the other hand $|K_1| | q^3 - 1$. Hence, either $|K_1| = (q - 1)/2$ or $|K_1| = 3(q - 1)/2$ and $q \equiv 1 \pmod{3}$. Let X be a Hall subgroup of G of order $(q^2 + q + 1)/(3, q - 1)$. Then $[X, K_1 K_2] \leq X \cap K_1 K_2 = 1$ by Lemma 3.8. From this $K_1 K_2 \leq C_{\bar{v}}(X) \leq \bar{U}_1$. If $|K_1 K_2|_3 = |G|_3$, then $K_1 \times K_2 (\leq \bar{U}_1)$ contains a planar collineation of order 3. This is a contradiction. Therefore $|K_1 K_2|_3 < |G|_3$ and we have $|K_1| = (q - 1)/2$. In particular $K_1 \leq Z(H)$. Similarly $K_2 \leq Z(H)$.

Lemma 4.3. Let s_1 be a nontrivial planar element of G . Then a basis for π may be chosen so that $\langle s_1 \rangle = \left\langle \begin{pmatrix} J & O \\ O & J \end{pmatrix} \right\rangle$ and $H \leq \bar{U}$.

Proof. By Lemma 4.2, s_1 is an element of order 3. By Lemma 3.2 $H \leq \bar{U}$ and since s_1 is not semiregular on the lines $L(\infty)$ and $L(O)$, we may assume either (i) $s_1 = \begin{pmatrix} [0, a, 0] & O \\ O & [0, b, 0] \end{pmatrix}$ or (ii) $s_1 = \begin{pmatrix} [0, a, 0] & O \\ O & [0, 0, b] \end{pmatrix}$ for some $a, b \in F^\#$. As $(s_1)^3 = 1$, $N(a) = N(b) = 1$. There exist elements $c, d \in F^\#$ such that $c^{q-1} = a$ and $d^{q-1} = b$, respectively. Then $\begin{pmatrix} I(c) & O \\ O & I(d) \end{pmatrix}^{-1} \begin{pmatrix} JI(a) & O \\ O & JI(b) \end{pmatrix} \begin{pmatrix} I(c) & O \\ O & I(d) \end{pmatrix} = \begin{pmatrix} J & O \\ O & J \end{pmatrix}$ and $\begin{pmatrix} I(c) & O \\ O & I(d^{-q}) \end{pmatrix}^{-1} \begin{pmatrix} JI(a) & O \\ O & J^2 I(b) \end{pmatrix} \begin{pmatrix} I(c) & O \\ O & I(d^{-q}) \end{pmatrix} = \begin{pmatrix} J & O \\ O & J^2 \end{pmatrix}$. Therefore, to prove the lemma it suffices to show that $s_1 \neq \begin{pmatrix} J & O \\ O & J^2 \end{pmatrix}$.

Assume $s_1 = \begin{pmatrix} J & O \\ O & J^2 \end{pmatrix}$. Since $\begin{pmatrix} J & O \\ O & J^2 \end{pmatrix}^{-1} \begin{pmatrix} I(x) & O \\ O & I(y) \end{pmatrix} \begin{pmatrix} J & O \\ O & J^2 \end{pmatrix} = \begin{pmatrix} I(x) & O \\ O & I(\bar{y}) \end{pmatrix}$ if $\begin{pmatrix} I(x) & O \\ O & I(y) \end{pmatrix} \in H$, then $\begin{pmatrix} I(x^{q-1}) & O \\ O & I \end{pmatrix} \in K_1$, contrary to Lemma 4.2. Thus the lemma holds.

Lemma 4.4. *There exists a 2-element t_1 of $G-H$ which centralizes s_1 .*

Proof. Set $N = N_G(\langle s_1 \rangle K_0)$. By a Witt's theorem, $|N : \langle s_1 \rangle K_0| = q-1$. In particular $N \not\leq H$. Hence there is a 2-element $t_1 \in N$ such that $t_1 \notin H$. Then t_1 normalizes $\langle s_1 \times kI \rangle$, where $|\langle kI \rangle| = (q-1)_3$. Since $g^{-1}Jg = [0, x^{1-q}, 0]$ for any $g \in \{[x, 0, 0], [0, x, 0], [0, 0, x] \mid x \in F^*\}$, $t_1^{-1}s_1t_1 = s_1 \pmod{\langle kI \rangle}$. From Theorem 5.3.2 of [3], t_1 centralizes $\langle s_1 \times kI \rangle$. Therefore t_1 centralizes s_1 .

Lemma 4.5. *A basis for π can be chosen so that $\begin{pmatrix} O & uI \\ I & O \end{pmatrix}, \begin{pmatrix} J & O \\ O & J \end{pmatrix} \in G$ and $H \leq \tilde{U}$.*

Proof. Let t_1 be as in Lemma 4.4 and set $t_1 = \begin{pmatrix} O & A \\ B & O \end{pmatrix}$. By Lemma 3.3, $A, B \in U$ and as $(t_1)^2$ is a 2-element of G , we have $t_1 = g_1, g_2$ or g_3 , where $g_1 = \begin{pmatrix} O & I(a) \\ I(b) & O \end{pmatrix}, g_2 = \begin{pmatrix} O & JI(a) \\ J^2I(b) & O \end{pmatrix}$ and $g_3 = \begin{pmatrix} O & J^2I(a) \\ JI(b) & O \end{pmatrix}$. Here $a, b \in K^*$ as t_1 centralizes s_1 . Since $\begin{pmatrix} O & J \\ I & O \end{pmatrix}^{-1} g_2 \begin{pmatrix} O & J \\ I & O \end{pmatrix} = \begin{pmatrix} O & I(b) \\ I(a) & O \end{pmatrix}, \begin{pmatrix} I & O \\ O & J \end{pmatrix}^{-1} g_3 \begin{pmatrix} I & O \\ O & J \end{pmatrix} = \begin{pmatrix} O & I(a) \\ I(b) & O \end{pmatrix}$ and $\begin{pmatrix} I & O \\ O & I(b) \end{pmatrix}^{-1} g_1 \begin{pmatrix} I & O \\ O & I(b) \end{pmatrix} = \begin{pmatrix} O & I(ab) \\ I & O \end{pmatrix}$, by choosing a suitable basis for π we may assume that $t_1 = \begin{pmatrix} O & I(u_1) \\ I & O \end{pmatrix}$ for some 2-element u_1 of K^* .

Suppose $u_1 = v^2$ for some $v \in K$. Then $(v^{-1} \begin{pmatrix} I & O \\ O & I \end{pmatrix} \begin{pmatrix} O & I(u_1) \\ I & O \end{pmatrix})^2 = \begin{pmatrix} I & O \\ O & I \end{pmatrix}$. Hence G contains an involution which interchanges $L(\infty)$ and $L(O)$ and so it is a homology with axis $L(M)$ for some $M \in \Sigma - \{O\}$, contrary to Lemma 4.2. Thus u_1 is a nonsquare 2-element of K^* . From Lemma 4.2, $G \geq K_2 = \{ \begin{pmatrix} I & O \\ O & x^2I \end{pmatrix} \mid x \in K^* \}$, so that $\begin{pmatrix} O & uI \\ I & O \end{pmatrix} \in G$.

From now on we put $t_1 = \begin{pmatrix} O & uI \\ I & O \end{pmatrix}$ and $s_1 = \begin{pmatrix} J & O \\ O & J \end{pmatrix}$.

Lemma 4.6. (i) *Let $L(M)$ ($M \in \Sigma$) be a line fixed by s_1 . Then $M = [a, b, c]$ for some $a, b, c \in K$.*

(ii) *Let $L(M)$ ($M \in \Sigma - \{O\}$) be a line fixed by s_1 . Set $\Omega_1 = \{L(k^2M) \mid k \in K^*\}$ and $\Omega_2 = \{L(uK^2M^{-1}) \mid k \in K^*\}$. Then $\Omega_1 \cup \Omega_2 \cup \{L(O), L(\infty)\}$ is the set of lines of \mathcal{L} fixed by s_1 .*

Proof. Assume $L(M)s_1 = L(M)$ and set $M = [a, b, c]$. Then $J^{-1}[a, b, c]J =$

$[a, b, c]$, so that $[\bar{a}, \bar{b}, \bar{c}] = [a, b, c]$. Thus $a, b, c \in K$ and (i) holds. Moreover, since $H \geq K_1, K_2$ and $L(M)t_1 = L(uM^{-1})$, (ii) holds.

Lemma 4.7. *H contains an abelian normal subgroup X of G of order $(q^3 - 1)(q - 1)/2$ such that $K_0K_1K_2 \leq X \leq \tilde{U}_1$ and $H = X\langle s_1 \rangle$.*

Proof. By Lemma 4.2, H/K_i contains a unique cyclic subgroup X_i/K_i of order $q^3 - 1$ such that $H/K_i = (X_i/K_i)\langle s_i \rangle K_i/K_i$, $i \in \{1, 2\}$. As K_i is contained in the center of H , X_i is an abelian normal subgroup of G of order $(q^3 - 1)(q - 1)/2$.

Assume $X_1 \neq X_2$. Then $H = X_1X_2$ and hence $|H/(X_1 \cap X_2)| = 9$ and $X_1 \cap X_2$ is in the center of H . This contradicts the fact that $s_1 \in H$. Therefore $X_1 = X_2$. Set $X = X_1 = X_2$. Then X has the desired properties.

Lemma 4.8. *X contains a cyclic normal Hall Λ -subgroup Z of order $(q^2 + q + 1)/(3, q - 1)$.*

Proof. Let Z be a subgroup of X of order $(q^2 + q + 1)/(3, q - 1)$. From Lemma 4.2, $Z \cap K_1 = 1$. Since $ZK_1/K_1 \leq GL(3, q)^*$, Z is cyclic.

Lemma 4.9. *Let Y be a Sylow 3-subgroup of X . Then YK_0/K_0 is cyclic.*

Proof. Suppose false and set $3^m = (q - 1)_3$. Then $|Y| = 3^{2m}(3, q - 1)$. Since $K_1 \leq X$ and $K_1 \cap K_2 = 1$, we have $q \equiv 1 \pmod{3}$ and $YK_0/K_0 \cong Z_{3^m} \times Z_3$. As $\tilde{U}_1 \cong Z_{q^3 - 1} \times Z_{q^3 - 1}$, $\{g \in \tilde{U}_1 \mid g^3 \in K_0\} \leq YK_0/K_0$. In particular $f = \begin{pmatrix} I(r) & O \\ O & I(r) \end{pmatrix} \in Y$, where r is an element of F^* of order 3^{m+1} . Let $L(M)$ ($M \in \Sigma - \{O\}$) be a line fixed by s_1 and put $M = [a, b, c]$. Let Ω_1 and Ω_2 be as in Lemma 4.6. Since $L(M)f = L(I(r)^{-1}MI(r)) = L([a, br^{1-q}, cr^{1-q^2}])$ and $3 \mid 1 - q$, $L([a, br^{1-q}, cr^{1-q^2}])$ is a line fixed by s_1 . As $f \in H$, $L(a, br^{1-q}, cr^{1-q^2}) \in \Omega_1$. Hence $r^{1-q} = 1$, a contradiction. Thus the lemma holds.

Lemma 4.10. *H/K_0 contains a cyclic normal subgroup X/K_0 of order $(q^3 - 1)/2$ which is inverted by t_1 .*

Proof. From Lemmas 4.7-4.9, together with the fact that K_1K_0/K_0 is cyclic of order $(q - 1)/2$, H/K_0 contains a cyclic normal subgroup X/K_0 of order $(q^3 - 1)/2$. Clearly t_1 inverts K_1K_0/K_0 . Since $t_1^2 \in K_0$ and $[Z, X] \equiv 1 \pmod{K_0}$, t_1 inverts ZK_0/K_0 . Moreover t_1 inverts a Sylow 3-subgroup of X/K_0 by Lemma 4.9. Therefore t_1 inverts X/K_0 .

Lemma 4.11. *There exists an element $g \in X$ such that $g = \begin{pmatrix} I(x^{-1}) & O \\ O & I(x) \end{pmatrix}$ and $F^* = \langle x \rangle$.*

Proof. Let $g_1 = \begin{pmatrix} I(y) & O \\ O & I(z) \end{pmatrix}$ be an element of X such that $g_1 K_0$ is a genera-

tor of X/K_0 . Since $|X/K_1K_0| \equiv 1 \pmod{2}$, we may assume y and z are square elements of F^* . Since t_1 inverts $g_1 \pmod{K_0}$, $\begin{pmatrix} I(y^{-1}) & O \\ O & I(z^{-1}) \end{pmatrix} \equiv g_1^{t_1} \equiv \begin{pmatrix} I(z) & O \\ O & I(y) \end{pmatrix} \pmod{K_0}$. Hence $yz=j^2$ for some $j \in K^*$ and so $g_1=g_2 \begin{pmatrix} I & O \\ O & I(j^2) \end{pmatrix}$, where $g_2 = \begin{pmatrix} I(y) & O \\ O & I(y^{-1}) \end{pmatrix}$. On the other hand $g_3 = \begin{pmatrix} I(k) & O \\ O & I(k^{-1}) \end{pmatrix} = \begin{pmatrix} I(k^2) & O \\ O & I \end{pmatrix} \begin{pmatrix} I(k^{-1}) & O \\ O & I(k^{-1}) \end{pmatrix} \in K_1K_0=K_2K_0$, $|\langle k \rangle|=(q-1)/2$. Therefore $X=\langle g_1K_0 \rangle K_1K_0=\langle g_2, g_3 \rangle K_0$. This, together with $t_1^2 \in K_0$, implies the lemma.

Lemma 4.12. $H = \left\langle \begin{pmatrix} I(x^{-1}) & O \\ O & I(x) \end{pmatrix} \mid x \in F^* \right\rangle K_0 \langle s_1 \rangle$ and $G = H \langle t_1 \rangle$.

Proof. From Lemma 4.11, the lemma holds.

We now present a characterization of the class Π .

Theorem 3. Let π be a translation plane of order q^3 with $\ker \pi = K = GF(q)$, where $q \equiv 1 \pmod{2}$ and assume π is not an Andre plane. Then π is contained in the class Π if and only if the following three conditions are satisfied:

- (i) A subgroup G of $LC(\pi)$ has orbits of length 2 and q^3-1 on l_∞ .
- (ii) The centralizer of a 2-element $z^{l_\infty} \in G^{l_\infty}$ in G^{l_∞} is a Λ' -group, where Λ is the set of primes dividing $(q^2+q+1)/(3, q-1)$.
- (iii) G contains a nontrivial planar element.

Proof. Suppose $\pi \in \Pi_K$, $K = GF(q)$. Then it can be easily verified that $LC(\pi)$ contains the group described in Lemma 4.12. Therefore we have "only if" part of the theorem.

Conversely, let π be a plane with the properties (i)–(iii). By Lemmas 4.6 and 4.12, $\Sigma = \{I(x) [a, b, c] I(x) \mid x \in F\} \cup \{I(x) u[a, b, c]^{-1} I(x) \mid x \in F\}$, where $[a, b, c] \in GL(3, q)$. By definition of Π , π is contained in Π . Thus the theorem holds.

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