

ON LOGARITHMIC K3 SURFACES

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Introduction. By surfaces we mean non-singular algebraic surfaces defined over the field of complex numbers C . A logarithmic K3 surface S is by definition a surface S with $\bar{p}_g(S)=1$, $\bar{\kappa}(S)=\bar{q}(S)=0$, in which $\bar{p}_g(S)$ is the logarithmic geometric genus, $\bar{\kappa}(S)$ is the logarithmic Kodaira dimension, and $\bar{q}(S)$ is the logarithmic irregularity. These notions will be explained in § 1.

Let \bar{S} be a completion of S with ordinary boundary D , i.e., \bar{S} is a non-singular complete surface and D is a divisor with normal crossings on \bar{S} such that $S=\bar{S}-D$. We write D as a sum of irreducible components: $D=C_1+\cdots+C_s$.

Logarithmic K3 surfaces are classified into the following three types: Type I) $\bar{p}_g(\bar{S})=1$; Then \bar{S} is a K3 surface and D consists of non-singular rational curves C_i with negative-definite intersection matrix $[(C_i, C_j)]$.

Type II_a) $\bar{p}_g(\bar{S})=0$ and a component C_1 of D is a non-singular elliptic curve; Then \bar{S} is a rational surface and the graph of D has no cycles.

Type II_b) $\bar{p}_g(\bar{S})=0$ and D consists of rational curves C_j ; Then \bar{S} is a rational surface and the graph of D has one cycle.

We define A -boundary D_A and B -boundary D_B of (\bar{S}, D) as follows: 1) If S is of type I, then $D_A=\phi$ and $D_B=D$. 2) If S is of type II_a, then $D_A=C_1$ (a non-singular elliptic curve) and $D_B=C_2+\cdots+C_s$. 3) If S is of type II_b, then $D_A=C_1+\cdots+C_r$ that is a circular boundary (for definition, see § 1 v)) and $D_B=C_{r+1}+\cdots+C_s$.

Theorem 1. If $\bar{S}-D_A$ has no exceptional curves of the first kind, then $K(\bar{S})+D_A\sim 0$.

Next, consider the case where $\bar{S}-D_A$ has exceptional curves. Let $\rho: \bar{S}\rightarrow\bar{S}_*$ be a contraction of exceptional curves of the first kind on $\bar{S}-D_A$, i.e., \bar{S}_* is a complete surface and ρ is biregular around D_A such that $\bar{S}_*-\rho(D_A)$ has no exceptional curves of the first kind. By Theorem 1, $K(\bar{S}_*)+\rho(D_A)\sim 0$.

Theorem 2. $\rho(D_B)$ is a divisor with simple normal crossings. Let $\mathcal{Z}_1, \dots, \mathcal{Z}_u$ be the connected components of $\rho(D_B)$. Then 1) if $\mathcal{Z}_i\cap\rho(D_A)\neq\phi$, \mathcal{Z}_i is an exceptional curve of the first kind such that $(\mathcal{Z}_i, \rho(D_A))=1$. 2) If $\mathcal{Z}_i\cap\rho(D_A)=\phi$,

then \mathcal{Z}_i is a curve of Dynkin type ADE on $\bar{S} - \rho(D_A)$. In case S is of type II, \mathcal{Z}_i is a curve of Dynkin type A.

For definition of curves of Dynkin type ADE, see § 1. iv).

Theorem 3. Suppose that $K(\bar{S}) + D_A \sim 0$ and D_B is a curve of Dynkin type ADE. If S is of type II_a, then (\bar{S}, D) is obtained from one of 4 classes in Table II_a by 1/2-point attachments. If S is of type II_b, then (\bar{S}, D) is obtained from one of 15 classes in TABLE II_b by canonical blowing ups and attaching several 1/2-points.

Theorem 4. Let (\bar{S}, D) be a ∂ -surface of which interior S satisfies that $\bar{\kappa}(S) = p_g(\bar{S}) = 0$ and $\bar{p}_g(S) = 1$. Suppose that a component C_1 of D is not rational. Then $\bar{q}(S) = 0$. Next, assume that D consists of rational curves. If $\bar{q}(S) = 0$, then there exists an open subset S_1 of S such that $\bar{\kappa}(S_1) = 0$ and $\bar{q}(S_1) = 1$. Furthermore, if $\bar{q}(S) = 1$, then there exists an open subset S_2 of S such that $\bar{\kappa}(S_2) = 0$ and $\bar{q}(S_2) = 2$.

Theorem 5. Let S be a surface with $\bar{\kappa}(S) = p_g(\bar{S}) = 0$ and $\bar{p}_g(S) = 1$. Then there exists an algebraic pencil $\{C_u\}$ on S whose general member C_u is isomorphic to C^* . Hence, S is not measure-hyperbolic. Moreover, the connected component of $\text{Aut}(S)$ is $\{1\}$ or C^* or C^{*2} . Further,

$$\dim \text{Aut}(S)^0 \leq \bar{q}(S).$$

Theorem 6. Let (\bar{S}, D) be a ∂ -surface whose interior S satisfies that $\bar{\kappa}(S) = 0$ and $\bar{p}_g(S) = 1$. Then, there exists a proper birational morphism $\rho: \bar{S} \rightarrow \bar{S}_*$ such that i) \bar{S}_* is relatively minimal, ii) $P_m(\bar{S}_* - \rho_*(D)) = 1$ for any $m \geq 1$, iii) $\rho_*(D) = \Delta + Y$ has only normal crossings with $K(\bar{S}_*) + \Delta \sim 0$, Y being a curve of Dynkin type.

$(\bar{S}_*, \rho_*(D))$ might be called a *supermodel* of S (or of (\bar{S}, D)). In the study of non-complete surfaces, minimal model (and even ∂ -minimal model) is not helpful. Instead, supermodel will play the important role. For full discussion of the classification theory of surfaces of non-complete surfaces, see Kawamata's recent article [18].

EXAMPLE 1. Let \bar{S} be a non-singular cubic surface in P^3 . Let E be a general hyperplane section on \bar{S} . Then $\bar{S} - E$ is a logarithmic K3 surface of type II_a and the fundamental group $\pi_1(\bar{S} - E) \cong \{1\}$. Contracting exceptional curves of the first kind, we obtain a proper birational morphism $\rho: \bar{S} \rightarrow \bar{S}_*$ in which $\bar{S}_* = P^2$. $E_1 = \rho(E)$ is a non-singular elliptic curve on P^2 . Then $\pi_1(\bar{S}_* - E_1) \cong Z/(3)$ and $\bar{S} - E \supset \bar{S}_* - E_1$.

EXAMPLE 2. Let $\varphi(y)$ be a polynomial of degree $n+1$ such that $\varphi(0) \neq 0$. Let Γ be the graph ($\subset C^2$) of a rational function $\varphi(y)/y^{n-m}$ ($0 < m < n$). By

C we denote the closure of Γ in \mathbf{P}^2 . Then $\mathbf{P}^2 - \Gamma$ is a logarithmic K3 surface of type II_b .

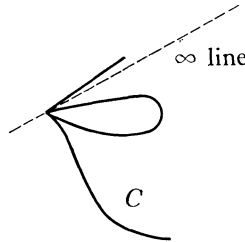


Figure 1.

EXAMPLE 3. Let $\Phi: \mathbf{C}[x, y] \rightarrow \mathbf{C}[x, y]$ be a \mathbf{C} -automorphism. Put $X(x, y) = \Phi(x)$ and $Y(x, y) = \Phi(y)$. Let $F(x, y) = Y(x, y)^{n-m}X(x, y) - \varphi(Y(x, y))$, φ being as in Example 3. Then the closure C_Φ of $V(F) = \text{Spec } k[x, y]/(F)$ in \mathbf{P}^2 is a complement of a logarithmic K3 surface of type II_b if C_Φ has an analytically reducible (i.e., non-cusp) singular point.

For instance, let $\varphi(y) = y^3 + 1$ and $\Phi(x) = x$, $\Phi(y) = y + x^2$. Then $F = (y + x^2)x - (y + x^2)^3 - 1$. Thus letting Γ be the closure of $V(F)$ in \mathbf{P}^2 , $\mathbf{P}^2 - \Gamma$ is a logarithmic K3 surface of type II_b .

EXAMPLE 4. Let $C = V((y - x^2)^2 - xy^2)$ in \mathbf{C}^2 . Denote by Γ the closure of C in \mathbf{P}^2 . Then $S = \mathbf{P}^2 - C$ has the following numerical characters: $\bar{p}_g = 0$, $\bar{P}_2 = 1$, $\bar{\kappa} = 1$, and $\bar{q} = 0$.

1. Basic notions, notations and conventions

i) ∂ -manifold and 1/2-point attachment. A pair (\bar{V}, D) consisting of a complete non-singular algebraic variety \bar{V} and a divisor D with normal crossings on \bar{V} is called a ∂ -manifold. The dimension of (\bar{V}, D) is understood as the dimension of \bar{V} . A 2-dimensional ∂ -manifold is called a ∂ -surface. We have a theory of minimal models for ∂ -manifolds (see [12]). Let (\bar{S}, D) be a ∂ -surface. Then D is not a *minimal boundary* if and only if there is an irreducible component E of D which is an exceptional curve of the first kind such that $(E, D') = 1$ or 2 , D' being defined by $D = D' + E$. We say that (\bar{S}, D) is *relatively ∂ -minimal* if $S - D$ has no exceptional curves of the first kind and if D is a minimal boundary.

Let (\bar{V}_1, D_1) and (\bar{V}_2, D_2) be ∂ -manifolds. We say that a morphism $f: \bar{V}_1 \rightarrow \bar{V}_2$ is a ∂ -morphism when $f^{-1}D_2 \subset D_1$. Here $f^{-1}(D_2)$ is the reduced divisor of the pull back f^*D_2 .

Let (\bar{S}, D) be a ∂ -surface and take a point $p \in D$. By $\lambda: \bar{S}^1 = Q_p(\bar{S}) \rightarrow \bar{S}$ denote the blowing up at p . Defining $D^1 = \lambda^{-1}(D)$, we have a ∂ -morphism $\lambda: (\bar{S}^1, D^1) \rightarrow (\bar{S}, D)$. If p is a double point of D , λ is called a *canonical blowing*

up. Then we have the linear equivalence:

$$K(\bar{S}^1)+D^1\sim\lambda^*(K(\bar{S})+D),$$

where $K(\bar{S}^1)$ and $K(\bar{S})$ denote canonical divisors on \bar{S}^1 and \bar{S} , respectively. If p is a simple point of D , define D^* by $D^1=\lambda^{-1}(p)+D^*$. $S^*=\bar{S}^1-D^*$ contains S as an open subset. S^* is called a *1/2-point attachment to S at p* . Conversely, S is called a *1/2-point detachment from S^** . To make things clear, we may say that (\bar{S}^*, D^*) is obtained from (\bar{S}, D) by attaching a 1/2-point $\lambda^{-1}(p)-D^*$ ([10]). It is easy to check that

$$K(\bar{S}^1)+D^*\sim\lambda^*(K(\bar{S})+D).$$

Hence, $K(\bar{S})+D$ modulo linear equivalence is invariant under canonical blowing ups and 1/2-point attachments.

In general letting (\bar{S}, D) be a ∂ -surface, we consider an irreducible curve E on \bar{S} satisfying that E is an exceptional curve of the first kind, $E\subseteq D$, and $(E, D)=1$. Such an E is called a *D-exceptional curve of the first kind*. Note that $E-D\cong A^1$, which is called a *1/2-point*. $S-D$ is a 1/2-point attachment to $\bar{S}-D-E$.

ii) *logarithmic genera*. Let V be an algebraic variety. Then there exists a non-singular algebraic variety V^* such that there exists a proper birational morphism $\mu: V^*\rightarrow V$. Let (\bar{V}^*, D^*) be a ∂ -manifold such that $V^*=\bar{V}^*-D^*$. Then we say that \bar{V}^* is a *completion of V^* with ordinary boundary D^** . According to Deligne [3], we have a sheaf $\Omega^1(\log D^*)$ of logarithmic 1-forms on \bar{V}^* . We have the spaces of logarithmic forms:

$$T_i(V^*) = H^0(\bar{V}^*, \Omega^i(\log D^*)), \quad 1\leq i\leq n;$$

and

$$H^0(\bar{V}^*, (\Omega^n \log D^*)^m) \quad \text{for } m=1, 2, \dots,$$

where $\Omega^i(\log D^*)=\wedge^i(\Omega^1 \log D^*)$ and $n=\dim V$. These spaces depend only on V . Hence, define

$$\bar{q}_i(V) = \dim T_i(V^*)$$

and

$$\bar{P}_m(V) = \dim H^0(\bar{V}^*, (\Omega^n \log D^*)^m).$$

We call $\bar{q}_i(V)$ the *logarithmic i -th irregularity* of V and call $\bar{P}_m(V)$ the *logarithmic m -genus* of V . Writing $\bar{q}(V)=\bar{q}_1(V)$ and $\bar{P}_g(V)=\bar{q}_n(V)=\bar{P}_1(V)$, we call them the *logarithmic irregularity* and the *logarithmic geometric genus* of V , respectively (see [4], [5]).

iii) *D-dimension and logarithmic Kodaira dimension*. In general, let \bar{V} be a normal complete algebraic variety and D a divisor on \bar{V} . By Φ_m we denote

the rational map associated with $|mD|$ under the assumption that $|mD| \neq \emptyset$. We define

$$\kappa(D, \bar{V}) = \max\{\dim \Phi_m(\bar{V}); \text{when } |mD| \neq \emptyset\},$$

which is said to be the D -dimension of \bar{V} . If $|mD|$ is empty for any $m \geq 1$, we put $\kappa(D, \bar{V}) = -\infty$. The following two facts ([6]) are very useful in the study of varieties and divisors.

1) If $\kappa(D_1, \bar{V}) \geq 0, \dots, \kappa(D_r, \bar{V}) \geq 0$, then for any $\alpha_1 > 0, \dots, \alpha_r > 0$, we have

$$\kappa(\sum D_j, \bar{V}) = \kappa(\sum \alpha_j D_j, \bar{V}).$$

2) Let $f: \bar{V} \rightarrow W$ be a surjective morphism of \bar{V} onto a normal complete variety W . For a divisor D on W and an effective divisor E which is f -exceptional (i.e., $\text{codim } f(E) \geq 2$), we have

$$\kappa(f^{-1}D + E, \bar{V}) = \kappa(D, W).$$

When \bar{V} is non-singular, we denote by $K(\bar{V})$ a canonical divisor on \bar{V} . The Kodaira dimension $\kappa(\bar{V})$ of \bar{V} is defined to be $\kappa(K(\bar{V}), \bar{V})$.

Let (\bar{V}, D) be a ∂ -manifold of dimension n . $V = \bar{V} - D$ is called the interior of (\bar{V}, D) . We see that

$$\bar{P}_m(V) = \dim H^0(\bar{V}, \mathcal{O}(m(K(\bar{V}) + D))).$$

The logarithmic Kodaira dimension of V is defined to be

$$\bar{\kappa}(V) = \kappa(K(\bar{V}) + D, \bar{V}),$$

which does not depend on the choice of the smooth completion \bar{V} of V with ordinary boundary D .

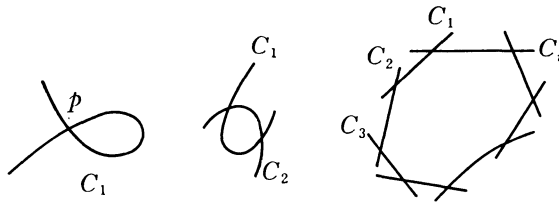
iv) *W²PB-equivalence.* If there exists a proper birational morphism $f: V_1 \rightarrow V_2$, then $\bar{P}_m(V_1) = \bar{P}_m(V_2)$ and $\bar{q}_i(V_1) = \bar{q}_i(V_2)$. A proper birational map is by definition a composition of a proper birational morphism and an inverse of a proper birational morphism. If there is a proper birational map $f: V_1 \rightarrow V_2$, then we say that V_1 is proper birationally equivalent to V_2 . In this case, $\bar{P}_m(V_1) = \bar{P}_m(V_2)$ and $\bar{q}_i(V_1) = \bar{q}_i(V_2)$.

Moreover, when V is non-singular and F a closed subset of V of $\text{codim} \geq 2$, $\bar{P}_m(V - F) = \bar{P}_m(V)$ and $\bar{q}_i(V - F) = \bar{q}_i(V)$. In such a case, we say that $i: V - F \hookrightarrow V$ is a strict open immersion.

A WPB-map $f: V_1 \rightarrow V_2$ is by definition a birational map which is a composition of proper birational maps, strict open immersions, and inverses of strict open immersions. If there exists a WPB-map $f: V_1 \rightarrow V_2$, we say that V_1 is WPB-equivalent to V_2 .

Now define $\mathcal{W} = \{f: V_1 \rightarrow V_2 \text{ birational morphism; there exist a morphism } g: V_2 \rightarrow V_3 \text{ such that } g \cdot f \text{ is a WPB-map or a morphism } h: U \rightarrow V_1 \text{ such that } f \cdot h \text{ is a WPB-map}\}$. A birational map which is a composition $f_1 f_2^{-1} f_3 \cdots f_l^{\pm 1}$, $f_j \in \mathcal{W}$, is called a *W²PB-map*. If there is a *W²PB-map* $f: V_1 \rightarrow V_2$, then we say that V_1 is *W²PB-equivalent to V_2* and $\bar{P}_m(V_1) = \bar{P}_m(V_2)$, $\bar{q}_i(V_1) = \bar{q}_i(V_2)$. Recall that a surface S is *W²PB-equivalent to a quasi-abelian surface if and only if $\kappa(S) = 0$ and $\bar{q}(S) = 2$* ([10]).

v) *circular boundary*. Let (\bar{S}, D) be a ∂ -surface. We say that D is a *circular boundary* if D is a rational curve with only one ordinary double point p such that $D - \{p\}$ is non-singular or if D is a sum of non-singular rational curves C_1, C_2, \dots, C_r such that when $r=2$, we have $(C_1, C_2) = 2$ and when $r \geq 3$, we have $(C_i, C_j) = 1$ for $i - j \equiv \pm 1 \pmod r$, and $(C_i, C_j) = 0$ for $i - j \not\equiv 0, \pm 1 \pmod r$.



Figures 3.

vi) *curve of Dynkin type*. Let (\bar{S}, Y) be a ∂ -surface. We say that Y is a *curve of Dynkin type ADE* if Y is a sum of non-singular rational curves Y_j such that $Y_j^2 = -2$ and the intersection matrix $[(Y_i, Y_j)]$ corresponds to a direct sum of Dynkin diagrams A_n, D_m, E_l . Similarly, we can define a *curve of extended Dynkin type $\tilde{A}\tilde{D}\tilde{E}$* (, which are not necessarily reduced divisors).

2. Logarithmic K3 surfaces of type I

Let S be a logarithmic K3 surface, i.e., $\bar{p}_g(S) = 1$, $\bar{q}(S) = \bar{\kappa}(S) = 0$. Let (\bar{S}, D) be a ∂ -surface of which interior is S . Then $\kappa(\bar{S}) \leq \bar{\kappa}(S) = 0$, $p_g(\bar{S}) \leq \bar{p}_g(S) = 1$. Hence, $p_g(\bar{S}) = 1$ or 0.

First, assume that $p_g(\bar{S}) = 1$. Combining this with $\kappa(\bar{S}) \leq \bar{\kappa}(S) = 0$, $\bar{q}(\bar{S}) \leq q(\bar{S}) = 0$, we see that \bar{S} is a K3 surface which may not be minimal. By contracting exceptional curves of the first kind on \bar{S} successively, we obtain a minimal K3 surface \bar{S}_* and a birational morphism $\mu: \bar{S} \rightarrow \bar{S}_*$. If $\mu(D)$ is a finite set of points, then, putting $\bar{S}_0 = \bar{S} - \mu^{-1}(\mu(D))$ and $S_* = \bar{S}_* - \mu(D)$, we have a proper birational morphism $\mu_0 = \mu|_{S_0}: S_0 \rightarrow S_*$. We obtain the following commutative diagram:

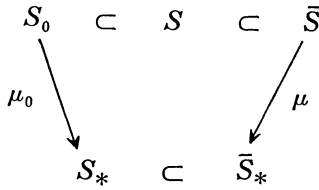


Figure 4.

Hence, by definition (see § 1 iv)) $S_0 \subset S$ and $S \subset \bar{S}$ are both W^2PB -morphisms. Hence S is W^2PB -equivalent to \bar{S}_* .

If $\mu(D)$ contains a curve, we let D_* be a purely 1-dimensional part of $\mu(D)$. Then by the previous argument, we see that S is W^2PB -equivalent to $\bar{S} - \mu^{-1}(D_*) \cap D$. Thus we may assume $D_* = \mu(D)$.

Lemma 1. *Let \bar{V} be a complete non-singular algebraic variety and D a reduced divisor on \bar{V} . Let $\mu: \bar{V}^* \rightarrow \bar{V}$ be a birational morphism such that $(\bar{V}^*, \mu^{-1}(D))$ is a ∂ -manifold. Denote by D^* the proper transform of D by μ^{-1} . Suppose that $\kappa(\bar{V}) \geq 0$. Then*

$$\begin{aligned}
 \bar{\kappa}(\bar{V}^* - D^*) &= \bar{\kappa}(\bar{V}^* - \mu^{-1}(D)) = \bar{\kappa}(\bar{V} - D) \\
 &= \kappa(K(\bar{V}) + D, \bar{V}).
 \end{aligned}$$

For a proof, see [6]. A generalization of this is the following Lemma 6, whose proof will be given there. By the above lemma, we get

$$\begin{aligned}
 0 &= \bar{\kappa}(S) = \bar{\kappa}(\bar{S} - D) = \bar{\kappa}(\bar{S}_* - D_*) \\
 &= \kappa(K(\bar{S}_*) + D_*, \bar{S}_*) = \kappa(D_*, S_*).
 \end{aligned}$$

Proposition 1. *Let \bar{S} be a minimal K3 surface and Y a reduced divisor on \bar{S} such that $\kappa(Y, \bar{S}) = 0$. Then Y turns out to be a curve of Dynkin type ADE . Moreover, $\bar{P}_m(\bar{S} - Y) = 1$ for any $m \geq 1$ and $\bar{q}(S - Y) = 0$. Hence $\bar{S} - Y$ is a logarithmic K3 surface.*

Proof. Let $\sum Y_j$ be the irreducible decomposition of Y . Then for any $m_j \geq 0$, we have $\kappa(\sum m_j Y_j, \bar{S}) = 0$ by the fact 1) in § 1 iii). By making use of Riemann Roch Theorem on \bar{S} we have

$$0 = \dim |(\sum m_j Y_j)| \geq (\sum m_j Y_j)^2 / 2 + 1$$

except for $m_1 = \dots = m_s = 0$. Hence

$$(\sum m_j Y_j)^2 \leq -2.$$

In particular, $Y_j^2 \leq -2$. In view of the adjunction formula, we have

$$-2 \leq 2\pi(Y_j) - 2 = Y_j^2.$$

Here $\pi(Y)$ denotes the *virtual genus* of Y . Thus $Y_j^2 = -2$ and $\pi(Y_j) = 0$. More generally, letting \mathcal{Q}_j be a connected reduced curve in Y , we have the exact sequences

$$0 \rightarrow \mathcal{O}(-\mathcal{Q}_j) \rightarrow \mathcal{O} \rightarrow \mathcal{O}_{\mathcal{Q}_j} \rightarrow 0$$

and

$$\begin{aligned} 0 \rightarrow H^0(\mathcal{O}) \rightarrow H^0(\mathcal{O}_{\mathcal{Q}_j}) \rightarrow H^1(\mathcal{O}(-\mathcal{Q}_j)) \rightarrow H^1(\mathcal{O}) \\ \rightarrow H^1(\mathcal{O}_{\mathcal{Q}_j}) \rightarrow H^2(\mathcal{O}(-\mathcal{Q}_j)) \rightarrow H^2(\mathcal{O}) \rightarrow 0. \end{aligned}$$

From this, it follows that $H^1(\mathcal{O}(-\mathcal{Q}_j)) = 0$ and

$$\begin{aligned} \dim H^0(\mathcal{O}(\mathcal{Q}_j)) &= \dim H^2(\mathcal{O}(-\mathcal{Q}_j)) = \dim H^1(\mathcal{O}_{\mathcal{Q}_j}) + 1 \\ &= \pi(\mathcal{Q}_j) + 1 = \mathcal{Q}_j^2/2 + 2. \end{aligned}$$

Hence $\mathcal{Q}_j^2 = -2$. In particular, if $Y_i \neq Y_j$, we have $(Y_i, Y_j) = 0$ or 1 . It is easy to see that the intersection-matrix $[(Y_i, Y_j)]$ ($Y_i \leq \mathcal{Q}_j$) corresponds to the Dynkin diagram of type A_n, D_m, E_l . Hence, Y is a curve of Dynkin type ADE . Therefore,

$$\bar{\kappa}(\bar{S} - Y) = \kappa(K(\bar{S}) + Y, \bar{S}) = 0$$

and $\bar{p}_g(\bar{S} - Y) \geq p_g(\bar{S}) = 1$. These imply that $\bar{P}_m(\bar{S} - Y) = 1$ for any $m \geq 1$.

Since $[(Y_i, Y_j)]$ is negative-definite, Y_1, \dots, Y_s are linearly independent in $\text{Pic}(\bar{S})$. We make use of the following

Lemma 2. *Let \bar{V} be a non-singular complete algebraic variety with $q(\bar{V}) = 0$ and Y a reduced divisor on \bar{V} . Let $\sum Y_j$ be the irreducible decomposition of Y . Then, putting $V = \bar{V} - Y$, we get*

$$\bar{q}(V) = \dim \text{Ker}(\bigoplus_j \mathcal{Q}Y_j \rightarrow \text{Pic}(\bar{V}) \otimes_{\mathcal{Z}} \mathcal{Q}).$$

Proof. We have the exact sequence:

$$0 = H^1(\bar{V}, \mathcal{Q}) \rightarrow H^1(V, \mathcal{Q}) \rightarrow \bigoplus \mathcal{Q}Y_j \xrightarrow{\delta} H^2(\bar{V}, \mathcal{Q}).$$

Since $q(\bar{V}) = 0$, it follows that $\text{Im } \delta \subset \text{Pic}(\bar{V}) \otimes \mathcal{Q} \subset H^2(\bar{V}, \mathcal{Q})$. Thus we obtain

$$\bar{q}(V) = \dim \text{Ker}(\bigoplus \mathcal{Q}Y_j \xrightarrow{\delta} \text{Pic}(\bar{V}) \otimes \mathcal{Q}). \quad \text{Q.E.D.}$$

We proceed with the proof of Proposition 1. By the lemma above we conclude that $\bar{q}(\bar{S} - Y) = 0$. Q.E.D.

Thus we obtain the following

Theorem I. *Let (\bar{S}, D) be a ∂ -surface whose interior is a logarithmic K3 surface S of type I. Then there exists a birational morphism $\mu: \bar{S} \rightarrow \bar{S}_*$ such that*

\bar{S}_* is a minimal K3 surface and such that $\mu(D)$ is a union of a curve Y of Dynkin type and a finite set F , and hence

$$S_0 = \bar{S} - \mu^{-1}(Y) - \mu^{-1}(F) \subset S \subset \bar{S}.$$

In other words, S is W^2PB -equivalent to $\bar{S}_* - Y$.

Note that D and Y may be empty.

Table I. \bar{S}_* being a minimal compact K3 surface

class	D	$\bar{S}_* - D$
i)	ϕ	compact
i)*	curve of Dynkin type ADE	non-compact

3. Logarithmic K3 surfaces of type II. We begin by recalling the elementary result, called \bar{p}_g -formula.

Lemma 3. Let (\bar{S}, D) be a ∂ -surface with $q(\bar{S})=0$. Let $\sum C_j$ be the irreducible decomposition of D . Then

$$\bar{p}_g(\bar{S}-D) = p_g(\bar{S}) + \sum g(C_j) + h(\Gamma(D)),$$

where $\Gamma(D)$ is the (dual) graph of the intersection of $D = \sum C_j$, $h(\Gamma)$ is the cyclotomic number of the graph Γ , and the $g(C_i)$ denote the genera of the C_i .

For a proof see ([7], the Appendix).

With the notation being in Lemma 3, we further assume that S is a logarithmic K3 surface of type II. Hence $p_g(\bar{S})=0$ and $\bar{p}_g(S)=1$. By the formula in Lemma 3, we have

$$1 = \bar{p}_g(S) = \sum g(C_j) + h(\Gamma(D)).$$

Hence, there are the following two types;

Type II_a; $g(C_1) = 1, g(C_2) = \dots = g(C_s) = 0$ and $h(\Gamma(D)) = 0$.

Type II_b; $g(C_1) = g(C_2) = \dots = g(C_s) = 0$ and $h(\Gamma(D)) = 1$.

Proposition 2. If S is a logarithmic K3 surface of type II, then S is a rational surface.

First, assume $\kappa(\bar{S})$ to be 0. Recalling $p_g(\bar{S})=q(\bar{S})=0$, we see that \bar{S} is an Enriques surface. Hence, there exists an étale covering $\pi: \tilde{S} \rightarrow \bar{S}$ where \tilde{S} is a K3 surface. Let $\tilde{D} = \pi^{-1}(D)$. Since $\tilde{S} - \tilde{D} \rightarrow \bar{S} - D$ is étale, we have $\bar{\kappa}(\tilde{S} - \tilde{D}) = \bar{\kappa}(\bar{S} - D) = 0$ by Theorem 3 [5]. Hence, $\tilde{S} - \tilde{D}$ is a logarithmic

$K3$ surface of type I. By Theorem I, \tilde{D} consists of rational non-singular curves whose intersection matrix is negative-definite. Hence D has the same property as \tilde{D} . Thus $h(\Gamma(D))=0$. This contradicts the fact that S is of type II. Therefore, it follows that $\kappa(\bar{S})=-\infty$. Recalling Castelnuovo's criterion, \bar{S} is a rational surface, because $q(\bar{S})=0$. Q.E.D.

4. Logarithmic $K3$ surfaces of type II_a . Employing the notation in § 3, we assume S to be a logarithmic $K3$ surface of type II_a . Putting $D_A=C_1$ and $D_B=C_2+\dots+C_s$, we have $D=D_A+D_B$ and $g(D_A)=1$. Hence, $\bar{p}_g(S-D_A)=1$, $\bar{\kappa}(\bar{S}-D_A)\leq\bar{\kappa}(\bar{S}-D)=0$, and $\bar{q}(\bar{S}-D_A)\leq\bar{q}(\bar{S}-D)=0$. These show that $\bar{S}-D_A$ is a logarithmic $K3$ surface of type II_a . Contracting exceptional curves of the first kind in $\bar{S}-D_A$, successively, we have a birational morphism $\mu: \bar{S}\rightarrow\bar{S}_*$ such that μ is isomorphic around $D_A\cong\mu(D_A)$ and $\bar{S}_*-\mu(D_A)$ has no exceptional curves of the first kind, i.e., $(\bar{S}_*, \mu(D_A))$ is a relatively ∂ -minimal model of (\bar{S}, D_A) .

Proposition 3. *Let (\bar{S}, C) be a relatively ∂ -minimal ∂ -surface such that C is a non-singular elliptic curve with $\bar{\kappa}(\bar{S}-C)=\bar{q}(\bar{S}-C)=0$. Then $K(\bar{S})+C\sim 0$.*

Proof. By Proposition 2, \bar{S} is a rational surface.

If $K(\bar{S})+C$ were linearly equivalent to an effective divisor $\Delta=\sum_{i=1}^s r_i E_i$ ($r_i>0$), we would derive a contradiction. Since $\kappa(\Delta, \bar{S})=\bar{\kappa}(\bar{S}-C)=0$, we know that the intersection matrix $[(E_i, E_j)]$ is negative semi-definite. In particular $E_j^2\leq 0$ for any $1\leq j\leq s$. If $E_j=C$, then $K(=K(\bar{S}))\sim\Delta-E_j=\Delta-C_1\geq 0$. This is a contradiction. Therefore $E_j\neq C$, which implies $(\Delta, C)\geq 0$. Since $\Delta^2\leq 0$, we may assume that $(\Delta, E_1)\leq 0$. Hence, $(K, E_1)\leq -(C, E_1)\leq 0$. By the adjunction formula,

$$-2\leq 2\pi(E_1)-2 = E_1^2+(K, E_1)\leq 0.$$

Hence, $\pi(E_1)=0$ or 1. We shall examine various cases, separately.

1) If $\pi(E_1)=1$, we have $E_1^2=(K, E_1)=0$.

Hence $(C, E_1)=0$. Thus $C\cap E_1=\phi$ and $(\Delta, E_1)=0$.

2) If $\pi(E_1)=0$ and $(C, E_1)\geq 1$, it follows that $(K, E_1)\leq -1$ and $-2=E_1^2+(K, E_1)\leq -1$. Hence, $\alpha) E_1^2=(K, E_1)=-1$ or $\beta) E_1^2=0$ and $(K, E_1)=-2$.

In the case of α), we have $1\leq (C, E_1)=(\Delta, E_1)-(K, E_1)\leq 1$. Hence $(\Delta, E_1)=0$, $-(C, E_1)=(K, E_1)=-1$. This implies that E_1 is a C -exceptional curve. Hence, we can contract E_1 . Note that $K+C$ is invariant under $1/2$ -point detachments (see § 1 i)). Thus we may assume that this case does not occur.

In the case of β), we use the following

Lemma 4. *Let \bar{S} be a complete surface with $p_g(\bar{S})=q(\bar{S})=0$ and E a curve*

on \bar{S} such that $\pi(E)=0$. Then

$$\dim |E| \geq 1 + E^2.$$

Proof. By Riemann Roch Theorem,

$$\dim |E| \geq (E, E-K)/2, K \text{ being } K(\bar{S}).$$

On the other hand, $(E, E+K)=2\pi(E)-2=-2$. Hence, follows the assertion. Q.E.D.

Therefore letting $S=\bar{S}-C$,

$$0 = \bar{p}_g(S) - 1 = \dim |\Delta| \geq \dim |E_1| \geq 1.$$

Thus we have arrived at a contradiction.

3) If $\pi(E_1)=(C, E_1)=0$, then $E_1^2 \leq -1$ and $(K, E_1)=-1$ or 0 . Suppose $(K, E_1)=-1$. We have $E_1^2=-1$ and $E_1 \cap C = \emptyset$. This yields that E_1 is an exceptional curve of the first kind on $\bar{S}-C$. This contradicts the hypothesis. Suppose that $(K, E_1)=0$. We have $E_1^2=-2$. Thus $E_1 \cap C = \emptyset$ and $(\Delta, E_1)=0$.

Consequently, after a finite succession of 1/2-point detachments, we have $(\Delta, E_j)=0$, and i) $E_j^2=0, \pi(E_j)=1$ or ii) $E_j^2=-2, \pi(E_j)=0$. Hence $(K, E_j)=0$ for any irreducible components E_j of Δ . Thus letting $\mathcal{D}_1, \dots, \mathcal{D}_c$ be the connected components of Δ , we have $\Delta = \sum \mathcal{D}_j$ and $\Delta^2 = \sum \mathcal{D}_j^2 = 0$. Since $\Delta^2=0$ and $\mathcal{D}_j^2 \leq 0$ for any j , it follows that $\mathcal{D}_1^2 = \dots = \mathcal{D}_c^2 = 0$. Recalling that $(K, E_i)=0$, for any i we have $(K, \mathcal{D}_j)=0$. Therefore, the \mathcal{D}_j are curves of extended Dynkin type $\bar{A}\bar{D}\bar{E}$.

Lemma 5. Let \bar{S} be a complete surface with $p_g(\bar{S})=q(\bar{S})=0$. For an effective divisor $F (\neq 0)$ on S , we have

$$\dim |F+K| = \dim H^1(\mathcal{O}_F) - 1 \geq (F, F+K)/2.$$

Moreover, if $\dim H^0(\mathcal{O}_F)=1$, then

$$H^1(\mathcal{O}(F+K)) = 0, \text{ and so } \pi(F) = \dim H^1(\mathcal{O}_F).$$

Hence,

$$\dim |F+K| = (F, F+K)/2.$$

Proof. From the exact sequence:

$$\begin{aligned} 0 \rightarrow \mathcal{C} = H^0(\mathcal{O}) \rightarrow H^0(\mathcal{O}_F) \rightarrow H^1(\mathcal{O}(-F)) \\ \rightarrow 0 = H^1(\mathcal{O}) \rightarrow H^1(\mathcal{O}_F) \rightarrow H^1(\mathcal{O}(-F)) \rightarrow 0 = H^2(\mathcal{O}), \end{aligned}$$

follows the assertion.

Q.E.D.

By this, we have

$$\dim | \mathcal{D}_i + K | \geq (\mathcal{D}_i, \mathcal{D}_i + K) / 2 = 0 .$$

But since $\bar{P}_2(S) - 1 \geq \dim | \Delta + K | \geq \dim | \mathcal{D}_i + K |$, it follows that $\dim | \mathcal{D}_i + K | = 0$. Putting $K(\mathcal{D}_i) = (\mathcal{D}_i + K) | \mathcal{D}_i$, we get the following exact sequence:

$$\begin{aligned} 0 &= H^0(\mathcal{O}(K)) \rightarrow H^0(\mathcal{O}(K + \mathcal{D}_i)) \rightarrow H^0(\mathcal{O}(K(\mathcal{D}_i))) \\ &\rightarrow H^1(\mathcal{O}(K)) = H^1(\mathcal{O}) = 0 . \end{aligned}$$

Hence,

$$\begin{aligned} \dim | K(\mathcal{D}_i) | &= \dim H^0(\mathcal{O}(K(\mathcal{D}_i))) - 1 \\ &= \dim H^0(\mathcal{O}(K + \mathcal{D}_i)) - 1 \\ &= \dim | K + \mathcal{D}_i | = 0 . \end{aligned}$$

Similarly, we have

$$\dim | K(C) | = 0, \text{ where } K(C) = (K + C) | C ,$$

since $\bar{p}_g(\bar{S} - C) - 1 = \dim | K + C | = 0$. Furthermore,

$$\begin{aligned} 0 &= \bar{p}_g(\bar{S} - C) - 1 \leq \dim | K + C + \mathcal{D}_i | \\ &\leq \dim | 2\Delta | = \bar{P}_2(\bar{S} - C) - 1 = 0 . \end{aligned}$$

Hence, $\dim | K + C + \mathcal{D}_i | = 0$. Thus,

$$*) \quad \dim | K(C + \mathcal{D}_i) | = \dim | K + C + \mathcal{D}_i | = 0 .$$

By the way, since $C \cap \mathcal{D}_i = \phi$, it follows that

$$\begin{aligned} K(C + \mathcal{D}_i) &= (K + C + \mathcal{D}_i) | (C + \mathcal{D}_i) \\ &= (K + C) | C \oplus (K + \mathcal{D}_i) | \mathcal{D}_i \\ &= K(C) \oplus K(\mathcal{D}_i) . \end{aligned}$$

Thus, $\dim | K(C + \mathcal{D}_i) | = \dim | K(C) | + \dim | K(\mathcal{D}_i) | + 1 = 1$. This contradicts *).
Q.E.D.

The following lemma is a generalization of Lemma 1.

Lemma 6. *Let (\bar{V}, D) be a ∂ -manifold and put $V = \bar{V} - D$. Assume that $\bar{\kappa}(V) \geq 0$. Let Y be a reduced divisor on V and denote by \bar{Y} the closure of Y in \bar{V} . Take a proper birational morphism $\rho: \bar{V}^* \rightarrow \bar{V}$ such that $(V^*, \rho^{-1}(\bar{Y} + D))$ is a ∂ -manifold. $\mu = \rho | V^*: V^* = \bar{V}^* - \rho^{-1}(D) \rightarrow V$ is a proper birational morphism. Then letting Y^* be the proper transform of Y by μ^{-1} , we obtain*

$$\bar{\kappa}(V^* - Y^*) = \bar{\kappa}(V - Y) = \kappa(K(\bar{V}) + D + \bar{Y}, \bar{V}) .$$

Proof. Denoting by Z^* the closure of Z in \bar{V}^* , we have $(\mu^{-1}(Y))^* = Y^* + \mathcal{E}, \mathcal{E}$ being an effective divisor which is ρ -exceptional. Similarly,

$$(\mu^*(Y))^{\sharp} = Y^{\sharp} + \mathcal{F}, \mathcal{F} \text{ being effective and } \mathcal{F}_{\text{red}} = \mathcal{E}.$$

Recall the logarithmic ramification formula ([5]):

$$K(\bar{V}^*) + \rho^{-1}(D) = \rho^*(K(\bar{V}) + D) + \bar{R}_{\mu},$$

where \bar{R}_{μ} is the logarithmic ramification divisor for μ . By definition, we have

$$\begin{aligned} \bar{\kappa}(V - Y) &= \bar{\kappa}(V^* - \mu^{-1}(Y)) \geq \bar{\kappa}(V^* - Y^*) \\ &= \kappa(K(\bar{V}^*) + \rho^{-1}(D) + Y^{\sharp}, \bar{V}^*) \\ &= \kappa(\rho^*(K(\bar{V}) + D) + \bar{R}_{\mu} + Y^{\sharp}, \bar{V}^*) \\ &= \kappa(\rho^*(K(\bar{V}) + D) + N\bar{R}_{\mu} + Y^{\sharp}, \bar{V}^*), N \gg 0. \end{aligned}$$

This follows from $\bar{\kappa}(V) \geq 0$ by using 2) of § 1. iii). On the other hand, $\bar{R}_{\mu}|_{V^*} = R_{\mu}$ and $\mu^{-1}(Y) \leq Y^* + N_1 R_{\mu}$ for some $N_1 > 0$. Hence, we have $(\mu^*Y)^{\sharp} \leq Y^{\sharp} + N_2(R_{\mu})^{\sharp}$ for some $N_2 > 0$. Choosing $N \gg 0$, we obtain

$$\begin{aligned} &\kappa(\rho^*(K(\bar{V}) + D) + N\bar{R}_{\mu} + Y^{\sharp}, \bar{V}^*) \\ &\geq \kappa(\rho^*(K(\bar{V}) + D) + (\mu^*Y)^{\sharp}, \bar{V}^*). \end{aligned}$$

We note that

$$\rho^*(D) + (\mu^*Y)^{\sharp} = \rho^*(D + \bar{Y}).$$

Hence,

$$\begin{aligned} \kappa(\rho^*(K(\bar{V}) + D) + (\mu^*Y)^{\sharp}, \bar{V}^*) &= \kappa(\rho^*(K(\bar{V}) + D + \bar{Y}), \bar{V}^*) \\ &= \kappa(K(\bar{V}) + D + \bar{Y}, \bar{V}^*). \end{aligned}$$

It is easily seen that

$$\kappa(K(\bar{V}) + D + \bar{Y}, \bar{V}^*) \geq \bar{\kappa}(V - Y) \geq \bar{\kappa}(V^* - Y^*).$$

Thus we obtain the desired equality.

Q.E.D.

We come back to the study of a logarithmic K3 surface S of type II_a. Writing $D_A = \mu(D_A)$ and $Y = \mu_*(D_B)$, we have by Lemma 6

$$\bar{\kappa}(\bar{S}_* - D_A - Y) = \bar{\kappa}(\bar{S} - D) = 0.$$

Since $K(\bar{S}_*) + D_A \sim 0$, we make use of the following proposition.

Proposition 4. *With the notation being as in Proposition 3, let Y be a reduced divisor on \bar{S} which does not contain C . Suppose that $\bar{\kappa}(\bar{S} - C - Y) = 0$. Then $\kappa(Y, \bar{S}) = 0$. Moreover, letting $\mathcal{Q}_1, \dots, \mathcal{Q}_u$ be the connected components of Y , we have the following assertions, separately.*

1) *If $\mathcal{Q}_j \cap C \neq \emptyset$, then $(\mathcal{Q}_j, C) = 1$ and \mathcal{Q}_j is an exceptional curve of the first kind in \bar{S} .*

2) *If $\mathcal{Q}_j \cap C = \emptyset$, then \mathcal{Q}_j is a curve of Dynkin type ADE.*

Proof. Letting $Y_0 = Y \cap S$, $S = \bar{S} - C$, we have \bar{Y}_0 (the closure of Y_0 in \bar{S}) $= Y$. Take a proper birational morphism $\rho: \bar{S}^* \rightarrow S$ such that $(\bar{S}^*, \rho^{-1}(C + Y))$ is a ∂ -surface. By Lemma 6, we have

$$\kappa(K(\bar{S}) + C + Y, \bar{S}) = \kappa(\bar{S} - C - Y) = 0.$$

Recalling Proposition 3, we get $\kappa(Y, \bar{S}) = 0$. Let $\sum Y_j$ be the irreducible decomposition of Y and let Q_1, \dots, Q_u be the connected components of Y . By Lemma 5, letting Q_j be a connected reduced divisor in Y , we have

$$\begin{aligned} 0 &= \dim |Q_j| = \dim |K + C + Q_j| \\ &= \dim H^1(\mathcal{O}_{C+Q_j}) - 1 \geq (C + Q_j, K + C + Q_j)/2. \end{aligned}$$

Hence, $(C + Q_j, Q_j) \leq 0$. If $C + Q_j$ is connected,

$$\begin{aligned} 0 &= \dim |K + C + Q_j| = (C + Q_j, K + C + Q_j)/2 \\ &= \pi(C + Q_j) - 1 = \pi(C) + \pi(Q_j) + (C, Q_j) - 2 \\ &= \pi(Q_j) + (C, Q_j) - 1 \geq \pi(Q_j). \end{aligned}$$

From this, it follows that $\pi(Q_j) = 0$ and $(C, Q_j) = 1$. If $C + Q_j$ is not connected, then

$$\begin{aligned} 0 &= \dim |K + C + Q_j| = \dim H^1(\mathcal{O}_{C+Q_j}) - 1 \\ &= \dim H^1(\mathcal{O}_C) + \dim H^1(\mathcal{O}_{Q_j}) - 1 \\ &= \dim H^1(\mathcal{O}_{Q_j}) = \pi(Q_j) = (Q_j, K + Q_j)/2 + 1. \end{aligned}$$

On the other hand, $(C, Q_j) = 0$ yields $(K, Q_j) = 0$, since $K + C \sim 0$. Hence, $Q_j^2 = -2$. In particular, if $Y_j \cap C \neq \emptyset$, then Y_j is a C -exceptional curve, and if $Y_j \cap C = \emptyset$, then $Y_j^2 = -2$ and $(K, Y_j) = 0$.

For any $m_j \geq 0$, define $Z = \sum m_j Y_j \neq 0$. We write $Z = \mathcal{Z}_1 + \dots + \mathcal{Z}_v$ where $\text{Supp } (\mathcal{Z}_1), \dots, \text{Supp } (\mathcal{Z}_v)$ are the connected components of $\text{Supp } Z$. By Lemma 5,

$$\begin{aligned} 0 &= \dim |Z| = \dim |Z + C + K| = \dim H^1(\mathcal{O}_{C+Z}) - 1 \\ &\geq (C + Z, C + K + Z)/2 = ((C, Z) + Z^2)/2. \end{aligned}$$

If $(C, Z) > 0$, then $Z^2 \leq -1$. Next, assume $(C, Z) = 0$. Then $(C, \mathcal{Z}_1) = \dots = (C, \mathcal{Z}_v) = 0$. This implies $(K, \mathcal{Z}_1) = \dots = (K, \mathcal{Z}_v) = 0$. Hence,

$$1 = \dim H^1(\mathcal{O}_{C+Z}) = \dim H^1(\mathcal{O}_C) + \sum \dim H^1(\mathcal{O}_{\mathcal{Z}_i}).$$

Thus $\dim H^1(\mathcal{O}_{\mathcal{Z}_i}) = 0$. Recalling Riemann Roch Theorem on \bar{S} , we have

$$(\mathcal{Z}_i, \mathcal{Z}_i + K)/2 = \dim H^1(\mathcal{O}_{\mathcal{Z}_i}) - \dim H^0(\mathcal{O}_{\mathcal{Z}_i}) \leq -1.$$

Since $(\mathcal{Z}_i, K) = 0$, we have $\mathcal{Z}_i^2 \leq -2$. Hence $\text{Supp } \mathcal{Z}_i$ is a curve of Dynkin type

and so the intersection matrix $[(Y_i, Y_j)]$ is negative-definite. Thus we complete the proof of Proposition 4.

Proposition 5. *Let \bar{S} be a complete surface and C a non-singular elliptic curve on \bar{S} . Suppose that $q(\bar{S})=0$ and $K(\bar{S})+C\sim 0$. Then $\bar{q}(\bar{S}-C)=0$, and (\bar{S}, C) is obtained from one of the following three ∂ -surfaces by attaching 1/2-points:*

- a-i) (\mathbf{P}^2, E) where E is a non-singular curve of degree 3,
- a-ii) $(\mathbf{P}^1 \times \mathbf{P}^1, E)$ where E is a non-singular curve of degree $(2, 2)$,
- a-iii) (Σ_2, E) where Σ_2 is a Hirzebruch surface of degree 2 and E a non-singular elliptic curve such that $K(\Sigma_2)+E\sim 0$.

Proof. $\bar{q}(\bar{S}-C)=0$ follows from Lemma 2. First assume that $\bar{S}=\mathbf{P}^2$ or $\Sigma_0=\mathbf{P}^1 \times \mathbf{P}^1$ or Σ_b ($b \geq 2$), that is the Hirzebruch surface of degree b .

Lemma 7. *A Hirzebruch surface Σ_b ($b \geq 1$) is a non-trivial \mathbf{P}^1 -bundle over \mathbf{P}^1 on which there exists one and only one irreducible curve Δ_∞ with negative self-intersection number $-b$. Δ_∞ is a section of $\Sigma_b \rightarrow \mathbf{P}^1$, whose fiber is denoted by F . Any section $C \neq \Delta_\infty$ is linearly equivalent to $\Delta_\infty + \alpha F$ ($\alpha \geq b$). Then $C^2 = 2\alpha - b$ and $(C, \Delta_\infty) = \alpha - b$. The smallest C^2 is b . Since $\dim |\Delta_\infty + bF| = 1 + b$, we have sections Δ_λ (λ being a point of \mathbf{C}^{1+b}), which satisfy $\Delta_\lambda \cap \Delta_\infty = \phi$ and $\Delta_\lambda^2 = b$. Moreover, $-K(\Sigma_b) \sim \Delta_\infty + \Delta_\lambda + 2F$.*

Proof. The verification is easy and omitted.

We continue the proof of Proposition 5. If $\bar{S}=\Sigma_b$, and $E \sim -K(\Sigma_b) \sim \Delta_\lambda + \Delta_\infty + 2F$, then $(E, \Delta_\infty) = -b + 2$. By the way, $E \neq \Delta_\infty$. Hence, $(E, \Delta_\infty) \geq 0$, which implies $b \leq 2$. We have to show that there exists a non-singular member in $|-K(\Sigma_2)|$.

Lemma 8. *Let $V = \mathbf{P}^1 \times \mathbf{P}^2$. Then Σ_b ($b \geq 1$) is isomorphic to a non-singular hypersurface of degree $(b, 1)$ of V .*

Proof. Letting h be a line on \mathbf{P}^2 , we put $L = p \times \mathbf{P}^2$ and $M = \mathbf{P}^1 \times h$. Then, by the adjunction formula,

$$-K(V) \sim 2L + 3M.$$

Since $bL + M$ is very ample ($b \geq 1$), a general member W of $|bL + M|$ is non-singular and

$$-K(W) \sim (2L + 3M - M - bL) | W.$$

Hence $K(W)^2 = 8$. Moreover, the projection $\pi: V \rightarrow \mathbf{P}^1$ induces the fibered surface $\pi' = \pi | W: W \rightarrow \mathbf{P}^1$, whose fiber is linearly equivalent to $L | W$. Clearly, $(L | W)^2 = 0$ and $L | W \simeq \mathbf{P}^1$. Hence, $\pi | W: W \rightarrow \mathbf{P}^1$ is a \mathbf{P}^1 -bundle. $M | W$ is a section which satisfies $(M | W)^2 = b$. Hence $W \simeq \Sigma_b$. Employing the notation in Lemma 7, we see that $\Delta_\infty \sim (M - bL) | W$ and $\Delta_\lambda \sim M | W$. Q.E.D.

When $b=2$, $-K(\Sigma_2)$ is linearly equivalent to $2M|W$. $(2M|W)^2=8$ and $2M|W$ has no base points. Therefore a general member of $|-K(\Sigma_2)|$ is a non-singular elliptic curve. A curve E on P^2 or $P^1 \times P^1$ which satisfies the condition of Proposition 5 is a non-singular curve of degree 3 or degree (2, 2), respectively.

Recalling that a relatively minimal rational surface \bar{S} is isomorphic to P^2 , $P^1 \times P^1$ or Σ_b , we have only to consider the case where there is an exceptional curve L of the first kind on \bar{S} . Since $L \neq C$ and $L^2=(K(\bar{S}), L)=-1$, we have $(C, L)=-K(\bar{S}, L)=1$. Hence, L is a C -exceptional curve. Contracting such L successively, we complete the proof.

With the notation being as in Proposition 5, let Y be a curve of Dynkin type in $S=\bar{S}-C$. Corresponding to the 1/2-point attachments, we have a proper birational morphism $\mu: \bar{S} \rightarrow \bar{S}_*$, $\bar{S}_*=P^2$ or $P^1 \times P^1$ or Σ_2 . By Lemma 6, writing $Z=\mu_*(Y)$, we have $\kappa(\bar{S}_*-\mu(C)-Z)=\kappa(\bar{S}-C-Y)=\kappa(Y, \bar{S})=0$. Hence, Z is a sum of exceptional curves and a curve of Dynkin type. Since \bar{S}_* is relatively minimal, Z is a curve of Dynkin type such that $Z \cap \mu(C)=\phi$. Thus, $Z=\Delta_\infty$ in Σ_2 . Accordingly, $\mu(Y)$ is a union of a finite set of points in $\mu(C)$ and $\Delta_\infty \subset \bar{S}_*=\Sigma_2$.

Therefore, Y is a curve of Dynkin type A. Summarizing the argument above, we obtain the following proposition.

Proposition 6. *Let (\bar{S}, D) be a relatively ∂ -minimal surface such that $S=\bar{S}-D$ is a logarithmic K3 surface. Suppose that (\bar{S}, D_A) is relatively ∂ -minimal and that there are no D -exceptional curves of the first kind on \bar{S} . Then such ∂ -surfaces (\bar{S}, D) are classified into the following table. There, $D=\sum C_i$ is the irreducible decomposition and C_1 is a non-singular elliptic irreducible curve.*

Table II_a.

class	\bar{S}	D with the self-intersection numbers	$\pi_1(S)$	S
a-i)	P^2	$C_1 \subset^9$	$Z/(3)$	affine
a-ii)	$P^1 \times P^1$	$C_1 \subset^8$	$Z/(2)$	
a-iii)	Σ_2	$C_1 \subset^8$	$Z/(2)$	non-affine
a-iii)		$C_1 \subset^8 \quad C_2 \subset^{-2}$?	

We have the following

Theorem II_a. *Let (\bar{S}, D) be a ∂ -surface whose interior S is a logarithmic K3 surface of type II_a. Then there exists a birational morphism $\mu: \bar{S} \rightarrow \bar{S}_*$ such that*

1) $\bar{S}_* = P^2$ or $P^1 \times P^1$ or Σ_2 2) $C = \mu(D_A)$ is a non-singular curve, 3) $\mu(D_B)$ is a finite set or a union of a finite set and $Z = \Delta_\infty$ on Σ_2 . The latter case occurs only when $\bar{S}_* = \Sigma_2$.

Structure of logarithmic K3 surfaces of type II_a is studied precisely by examining each class of a-i) through a-iii)* separately. We use the following notion: Let S be a surface and let μ be a proper birational morphism: $S^* \rightarrow S$ such that there exists a dominant morphism $f: S^* \rightarrow J$, J being a curve, whose general fiber $f^{-1}(u)$ is C^* . Then we say that S is a C^* -fibered surface or S has the structure of C^* -fibered surface.

Proposition 7. *Every surface of the class a-ii) or a-iii) has a structure of C^* -fibered surface.*

Proof is easy.

Proposition 8. *Let S be a surface of the class a-i) or a-iii)*. Then S does not admit the structure of C^* -fibered surface.*

Proof. First we let S be a surface of the class a-iii)*. Suppose that there exist a proper birational morphism $\mu: S^* \rightarrow S$ and a dominant morphism $f: S^* \rightarrow J$, J being a complete curve, whose general fiber is C^* . Choosing a suitable completion \bar{S}^* of S^* with smooth boundary D^* , we assume that μ defines a morphism $\bar{\mu}: \bar{S}^* \rightarrow \Sigma_2$ and $D^* = \bar{\mu}^{-1}(C_1 + C_2)$ and that f defines a morphism $\bar{f}: \bar{S}^* \rightarrow J$. By C_1^* we denote the proper transform of C_1 by μ^{-1} , which is a non-singular elliptic curve. Since a general fiber of \bar{f} is P^1 , C_1^* is not contained in a fiber of \bar{f} . Hence $\bar{f}(C_1^*) = J$. Since \bar{S}^* is rational, J is P^1 . This implies that $\bar{f}|_{C_1^*}: C_1^* \rightarrow P^1$ is a two-sheeted covering. Hence, $\bar{f}(C_2^*)$ is a point, because $\bar{f}^{-1}(u) \cap D^* = \{p_1, p_2\}$ for a general point $u \in J$. Therefore, $g = \bar{f} \cdot \bar{\mu}^{-1}: \Sigma_2 \rightarrow J$ turns out to be a morphism. Moreover, $g(C_2)$ is a point a . Hence, C_2 is a part of the singular fiber $g^{-1}(a)$. Since $C_2^2 = -2$, there is another component C_3 in $g^{-1}(a)$ such that $C_3^2 = -1$. This contradicts the fact that Σ_2 is a relatively minimal surface. It is easier to prove the same result for surfaces of the class a-i). Q.E.D.

Proposition 9. *There exists an algebraic pencil $\{C_u\}$ on each surface of the classes a-i) and a-iii)* whose general member C_u is C^* .*

Here, an algebraic pencil $\{C_u\}$ on S is understood as follows: there exist an algebraic surface S^* and a proper birational morphism $\rho: S^* \rightarrow S$ in which $\psi: S^* \rightarrow J$ is a fibered surface whose general fiber C_u^* . $\{C_u = \rho(C_u^*)\}$ is the algebraic pencil on S .

We omit the proof of Proposition 9.

If there is a proper birational map $f: S_1 \rightarrow S_2$ then the existence of the algebraic pencil $\{C_u\}$, $C_u \cong C^*$, on S_1 , induces the existence of the same thing on S_2 . Moreover, when S_1 is an open set of S_2 with $\bar{\kappa}(S_2) \geq 0$, the existence of an algebraic pencil of $C_u \cong C^*$ on S_1 implies the existence of the same thing on S_2 . In fact, there are a proper birational morphism $\rho: S_1^* \rightarrow S_1$ and a morphism $\psi: S_1^* \rightarrow J$ with $C_u = \rho(\psi^{-1}(u)) \cong C^*$ for a general $u \in J$. Let Γ_u be the closure of C_u in S_2 . Then $\bar{\kappa}(\Gamma_u) \leq 0$. If $\bar{\kappa}(\Gamma_u) = -\infty$, it would imply that $\bar{\kappa}(S) = -\infty$, a contradiction.

Accordingly we get

Proposition 10. *There is an algebraic pencil $\{C_u\}$ with the general member $C_u \cong C^*$ on any logarithmic K3 surface of type II_a .*

Corollary. *A logarithmic K3 surface of type II_a is not measure-hyperbolic.*

Proof follows from the fact that C^* is not measure-hyperbolic.

Proposition 11. *Let S be a surface in the TABLE II_a . Then, $Aut(S)$ is a finite group.*

Proof. We give a proof for a surface of the class a-iii)*. Let $\varphi \in Aut(S)$. Then φ extends to an isomorphism of $\bar{S} = \Sigma_2$, since $g(C_1) = 1$ and $C_2^2 = -2 \leq -2$ ([12]). Thus $Aut(S) \subset Aut_D(\Sigma_2) = \{\varphi \in Aut \Sigma_2; \varphi(D) = D\}$. Let $\pi: \Sigma_2 \rightarrow P^1$ be the P^1 -bundle structure of Σ_2 . We have the group extension:

$$1 \rightarrow G_1 \rightarrow Aut(\Sigma_2) \rightarrow PGL(1, k) = Aut(P^1) \rightarrow 1.$$

It is well known that G_1 is an algebraic group of dimension 4. Moreover, G_1 is an affine group. Hence $Aut(\Sigma_2)$ is an affine algebraic group. And so is $Aut_D(\Sigma_2)$. Furthermore, we have the group homomorphism $\gamma: Aut_D(\Sigma_2) \rightarrow Aut(C_1)$ which is the restriction, i.e., $\gamma(\varphi) = \varphi|_{C_1}$. Therefore, $Im \gamma$ is finite, since $Aut(C_1)$ is a finite union of elliptic curves. Put $G_2 = Ker \gamma$, which turns out to be a finite group. Thus $Aut_D(\Sigma_2)$ is finite and so is $Aut(S)$. Q.E.D.

Proposition 12. *Let \bar{S} be a rational surface and C a non-singular elliptic curve on \bar{S} . Let Y be a reduced divisor on S such that $\bar{\kappa}(\bar{S} - (C \cup Y)) = 0$. Then $\bar{q}(\bar{S} - (C \cup Y)) = 0$, i.e., $\bar{S} - (C \cup Y)$ is a logarithmic K3 surface of type II_a .*

A proof follows from the arguments in the proofs of Propositions 3 and 4. Actually, the intersection matrix of Y is negative-definite and hence we can use Lemma 2.

Proposition 13. *Let (\bar{S}, D) be a ∂ -surface whose interior S is a logarithmic K3 surface of type II_a . Suppose that 1) (\bar{S}, D) is relatively ∂ -minimal, 2) S has no $1/2$ -points, and 3) D is connected. Then (\bar{S}, D) is one of a-i) \sim a-iii) in Proposition 5.*

Proof. At the beginning of §4 we have had the decomposition: $D = D_A + D_B$. Suppose that there exists an irreducible exceptional curve E of the first kind on $\bar{S} - D_A$. In view of Proposition 4, by contracting E we have a proper birational ∂ -morphism $\lambda: (\bar{S}, D) \rightarrow (\bar{S}_1, D_1)$. We have the following cases: 1) If $E \subset D_B$ or $E \cap D_B = \emptyset$, this contradicts the hypothesis. 2) If $E \cap D_B \neq \emptyset$, then $\lambda: (\bar{S}, D + E) \rightarrow (S_1, D_1)$ is a non-canonical blowing up. In fact if λ were canonical, D would be disconnected. Thus $E - D_B \subset S$ is a $1/2$ -point. This is also a contradiction. Accordingly, we conclude that $\bar{S} - D_A$ is relatively minimal. By Proposition 4, D_B is a union of exceptional curves of the first kind. Hence $D_B = \emptyset$. Since, there are no D -exceptional curves, it follows that \bar{S} is a relatively minimal surface. Q.E.D.

5. Logarithmic K3 surfaces of type II_b. In §5, let S be a logarithmic K3 surface and let (\bar{S}, D) be a ∂ -surface such that $S = \bar{S} - D$. By C_1, \dots, C_s we denote the irreducible components of D . Since $h(\Gamma(D)) = 1$, there is a circular boundary $D_A = C_1 + \dots + C_r \leq D$. $\bar{p}_A(\bar{S} - D_A) = 1$ induces that $\bar{S} - D_A$ is also a logarithmic K3 surface of type II_b. Contracting exceptional curves of the first kind in $\bar{S} - D_A$ successively, we have a non-singular complete surface \bar{S}_* and a birational morphism $\mu: \bar{S} \rightarrow \bar{S}_*$ such that μ is isomorphic around $D_A \xrightarrow{\sim} \mu(D_A)$ and such that $\bar{S} - \mu(D_A)$ has no exceptional curves of the first kind. After choosing D to be a minimal boundary, we have a minimal boundary $D_A = \mu(D_A)$. Then (\bar{S}_*, D_A) is a relatively ∂ -minimal ∂ -surface.

We write $D = D_A + D_B$ and $Y = \mu_*(D_B)$. By Lemma 6 we have

$$0 = \bar{\kappa}(\bar{S} - D) = \bar{\kappa}(\bar{S}_* - D_A - Y).$$

From the condition $h(\Gamma(D_A)) = 1$, we infer readily that $\bar{p}_g(\bar{S}_* - D_A) = 1$. Hence, $\bar{p}_i(\bar{S}_* - D_A) = 1$ for any $i \geq 1$. However, $\bar{q}(\bar{S}_* - D_A) \geq 0$.

Proposition 14. *Let (\bar{S}, D) be a circular ∂ -surface (i.e., D is circular) which is relatively ∂ -minimal. Suppose that $\bar{\kappa}(\bar{S} - D) = 0$. Then $K(S) + D \sim 0$.*

Proof. It is easy to check that \bar{S} is a rational surface. Assuming that $|K(\bar{S}) + D|$ has a non-trivial member $\Delta = \sum r_i E_i$ ($r_i > 0$) we shall derive a contradiction.

Now, $0 = \kappa(\bar{S} - D) = \kappa(K(\bar{S}) + D, \bar{S}) = \kappa(\Delta, \bar{S}) = \kappa(\sum E_i, \bar{S})$ implies that the intersection matrix $[(E_i, E_j)]$ is negative semi-definite. We assume $(\Delta, E_1) \leq 0$ and $E_1 \not\subset D$. Then by the same reasoning as in the proof of Proposition 4, we have the following cases:

Case 1: $\pi(E_1) = 1$. Then $E_1 \cap D = \emptyset$ and $E_1^2 = (K, E_1) = 0$.

Case 2: $\pi(E_1) = 0$ and $(D, E_1) \geq 1$. Then $E_1^2 = (K, E_1) = -1$ and $(E_1, D) = 1$. Hence E_1 is D -exceptional. By detaching $1/2$ -points, we may assume that this case does not occur.

Case 3: $\pi(E_1)=0$ and $(D, E_1)=0$. Then $E_1 \cap D = \phi$ and $E_1^2 = -2$, $(K, E_1) = 0$.

In all cases we have $(\Delta_1, E_1)=0$. If $E_1 \subset D$ and $r \geq 2$, we have $D' + E_1 = D$, $E_1 = P^1$ and $(D', E_1)=2$. Hence

$$\dim |K + D'| = \bar{p}_g(\bar{S} - D') - 1 = h(\Gamma(D')) - 1.$$

On the other hand, $|K + D'| \ni (r_1 - 1)E_1 + r_2E_2 + \dots$. This is a contradiction.

Thus, $\Delta^2 = \sum r_i(\Delta, E_i) \geq 0$. Since $\kappa(\Delta, \bar{S}) = 0$, we have $\Delta^2 = 0$. By the similar argument to the proof of Proposition 4, we derive a contradiction. Q.E.D.

Proposition 15. *With the notation being as in Proposition 14, let Y be a reduced divisor on \bar{S} which does not contain any components of D . Suppose that $\bar{\kappa}(\bar{S} - D - Y) = 0$. Then $\kappa(Y, \bar{S}) = 0$. By $\mathcal{Q}_1, \dots, \mathcal{Q}_n$, we denote the connected components of Y . If $\mathcal{Q}_j \cap D \neq \phi$, then $(\mathcal{Q}_j, D) = 1$ and \mathcal{Q}_j is an exceptional curve of the first kind. If $\mathcal{Q}_j \cap D = \phi$, then \mathcal{Q}_j is a curve of Dynkin type A.*

The proof of Proposition 4 can be used again here.

Proposition 16. *Let (\bar{S}, D) be a circular ∂ -surface such that $K(\bar{S}) + D \sim 0$. Then (\bar{S}, D) is obtained from one of the following ∂ -surfaces by attaching several $1/2$ -points and canonical blowing ups.*

b-i) $\bar{S} = P^2$, $D = H_1 + H_2 + H_3$ where each H_i is a line on P^2 ,

b-ii) $\bar{S} = P^1 \times P^1$, $D = H_1 + H_2 + G_1 + G_2$, where each H_i is a line of degree $(1, 0)$ and each G_j is a line of degree $(0, 1)$,

b-iii) $\bar{S} = \sum_{\beta} F_{\beta}$, $D = \Delta_{\lambda} + \Delta_{\infty} + F_1 + F_2$, where each F is a fiber,

b-iv) $\bar{S} = P^2$, $D = H + C$, where H is a line and C is a conic,

b-v) $\bar{S} = P^1 \times P^1$, $D = C_1 + C_2$ where each C_i is a curve of degree $(1, 1)$,

b-vi) $\bar{S} = \sum_{\lambda} \Delta_{\lambda}$, $D = \Delta_0 + \Delta_{\lambda}$ ($\lambda \neq 0$), where the Δ_{λ} is a section which is different from Δ_{∞} ,

b-vii) $_{\beta}$ $\bar{S} = \sum_{\beta} F_{\beta}$, $D = F + \Delta_{\infty} + C_3$ where C_3 is a non-singular rational curve which is linearly equivalent to $\Delta_0 + F$,

b-viii) $\bar{S} = P^1 \times P^1$, $D = H_1 + G_1 + C$, where H is a line of degree $(1, 0)$, G_1 is a line of degree $(0, 1)$, and C is a curve of degree $(1, 1)$,

b-ix) $\bar{S} = P^2$, $D = C$, where C is a cubic curve with one ordinary double point,

b-x) $\bar{S} = P^2$, $D = C$, where C is a curve of degree $(2, 2)$ which has one ordinary double point,

b-xi) $\bar{S} = \sum_{\lambda} \Delta_{\lambda}$, $D = C$, where C is a rational curve with only one ordinary double point which is linearly equivalent to $2\Delta_{\lambda}$,

b-xii) $\bar{S} = P^1 \times P^1$, $D = G + C$, where G is a line of degree $(0, 1)$ and C is a curve of degree $(2, 1)$,

b-xiii) $_{\beta}$ $\bar{S} = \sum_{\beta} F_{\beta}$, $D = \Delta_{\infty} + C$, where C is a curve which is linearly equivalent to $\Delta_0 + 2F$.

Proof is easy and left to the reader.






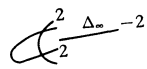
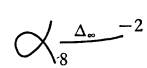
In the following Table II_b, we exhibit \bar{q} and configurations of components of D of b-i)~b-xiii).

Proposition 17. *Let (\bar{S}, D) be a circular ∂ -surface whose interior S is a logarithmic K3 surface or a surface satisfying the following conditions: 1) \bar{S} is rational, 2) $\pi(S)=0$, 3) $\bar{p}_g(S)=1$, and 4) $\bar{q}(S)=1$ or 2. Suppose that i) (\bar{S}, D) is relatively ∂ -minimal, ii) D is connected, and iii) S has no $1/2$ -points. Then (\bar{S}, D) is one of b-i)~b-xiii) _{β} in TABLE II_b.*

Proof is similar to that of Proposition 13.

Table II_b of (\bar{S}, D) , $S=\bar{S}-D$

\bar{q}	class	\bar{S}	configuration of D	$\pi_1(S)$	S
2	b-i)	P^2		Z^2	C^*
	b-ii)	$P^1 \times P^1$		Z^2	
	b-iii) _{β} ($\beta \geq 2$)	Σ_β		Z^2	
1	b-iv)	P^2		Z	
	b-v)	$P^1 \times P^1$		Z	
	b-vi)	Σ_2		Z	
	b-vii) _{β} ($\beta \geq 2$)	Σ_β		Z	
	b-viii)	$P^1 \times P^1$		Z	

\bar{q}	class	\bar{S}	configuration of D	$\pi_1(S)$	S
0	b-ix)	P^2		$Z/(3)$	
	b-x)	$P^1 \times P^1$		$Z/(2)$	
	b-xi)	Σ_2		$Z/(2)$	
	b-xii)	$P^1 \times P^1$		$Z/(2)$	
	b-xiii) _{β} ($\beta \geq 2$)	Σ_β		?	
1	b-vi)*	Σ_2		?	
0	b-xi)*			?	

Next we treat the ∂ -surface (S, D) whose boundary is not connected. As in § 4, we have to look for a curve Z of Dynkin type on $\bar{S}-D$ where (\bar{S}, D) is one of b-i) through b-xiii) _{β} . Such Z exists only in the cases b-vi) and b-xi). Then Z turns out to be Δ_∞ of Σ_2 . We write b-vi)* or b-xi)* in the case of disconnected boundaries. Therefore we obtain the following

Theorem II_b. *Let (\bar{S}, D) be a ∂ -surface whose interior S is a logarithmic K3 surface of type II_b. Then, there exists a birational morphism $\mu: \bar{S} \rightarrow \bar{S}_*$ such that $(\bar{S}_*, \mu(D_A))$ is one of b-i) through b-xiii) _{β} in TABLE II_b. Moreover, $\mu(D_B)$ is a finite set or a union of a finite set and $Z = \Delta_\infty$ on Σ_2 . The latter case occurs only when $(\bar{S}, \mu_*(D) - Z)$ is the class b-vi) or b-xi).*

REMARK. In the above theorem the hypothesis that S is a logarithmic K3 surface of type II_b is replaced by the following condition that 1) $\bar{p}_g(S) = 1$ and $\bar{e}(S) = 0$, 2) \bar{S} is rational, 3) D consists of rational curves.

In order to prove the generalized Theorem II_b, we have only to note that

Propositions 14, 15 and 16 were proved without the logarithmic irregularity condition to the effect $\bar{q}=0$.

6. Surfaces with $\bar{\kappa}=0$ and $\bar{p}_g=1$. In general, let (\bar{S}, D) be a ∂ -surface such that the interior S satisfies $\bar{p}_g(S)=1$ and $\bar{\kappa}(S)=0$. Then $\bar{p}_g(\bar{S})\leq 1$ and $\bar{\kappa}(\bar{S})\leq 0$.

Proposition 18. *If $\bar{p}_g(\bar{S})=0$, then $\bar{\kappa}(\bar{S})=-\infty$. Hence, \bar{S} is a ruled surface.*

Proof. In view of Proposition 2, it suffices to derive a contradiction from the hypothesis that $\bar{\kappa}(\bar{S})=0$, $\bar{p}_g(\bar{S})=0$, and $q(\bar{S})\geq 1$. Such a surface \bar{S} is birationally equivalent to a hyperelliptic surface, whose universal covering surface is an abelian surface. Namely, contracting exceptional curves of the first kind on \bar{S} successively, we get a hyperelliptic surface \bar{S}_* and a birational morphism $\mu: \bar{S}\rightarrow\bar{S}_*$. Then by Lemma 1,

$$\begin{aligned} 0 &= \bar{\kappa}(S) = \kappa(K(\bar{S})+D, \bar{S}) = \kappa(K(\bar{S}_*)+\mu_*(D), \bar{S}_*) \\ &= \kappa(\mu_*D, \bar{S}). \end{aligned}$$

This implies that $\mu_*D=0$. Thus

$$\begin{aligned} H^0(\mathcal{O}(K(\bar{S})+D)) &= H^0(\mathcal{O}(\mu^*(K(\bar{S}_*))+R_\mu+D)) \\ &\simeq H^0(\mathcal{O}(K(\bar{S}_*))) = 0. \end{aligned}$$

This contradicts $\bar{p}_g(S)=\dim H^0(\mathcal{O}(K(\bar{S})+D))=1$.

Q.E.D.

Consequently, we have the following cases to examine separately.

1) If $\bar{p}_g(\bar{S})=0$ and $q(\bar{S})=0$, then \bar{S} is a rational surface. Hence, letting $\sum_{j=1}^s C_j$ be the irreducible decomposition of D ,

α) if $g(C_1)=1$, then put $D_A=C_1$,

β) if $g(C_1)=\dots=g(C_s)=0$, then there is a circular boundary $D_A=C_1+\dots+C_r\subset D$.

2) If $\bar{p}_g(\bar{S})=0$ and $q(\bar{S})\geq 1$, then \bar{S} is a ruled surface of genus 1. Let $f: \bar{S}\rightarrow J$ be the Albanese map of S , J being an elliptic curve, since $\bar{p}_g(\bar{S})=0$. For a general point $y\in J$, $f^{-1}(y)$ turns out to be a non-singular rational curve. Define $C_y=f^{-1}(y)-D\cap f^{-1}(y)$. Then by Kawamata's Theorem ([14]), we obtain

$$0 = \bar{\kappa}(S) \geq \bar{\kappa}(C_y) + \bar{\kappa}(J) = \bar{\kappa}(C_y).$$

Hence, $\bar{\kappa}(C_y)=0$ follows. This implies that $C_y \simeq \mathbf{C}^*$ and $(D, f^{-1}(y))=2$. Hence, the horizontal component D_A defined to be $\{\sum C_j; f(C_j)=J\}$ satisfies that $(D_A, f^{-1}(y))=2$. Referring to the following lemma, we have

$$\dim |K(\bar{S})+D_A| = 0, \quad \text{i.e., } \bar{p}_g(\bar{S}-D_A) = 1.$$

Lemma 9. *Let \bar{V} be a complete normal variety and let A, B be divisors on \bar{V} such that $\kappa(A, \bar{V}) \geq 0$, $|A+B| \neq \emptyset$, B is effective, and $\kappa(A+B, \bar{V})=0$. Then $|A| \neq \emptyset$.*

Proof. Choose $i > 0$ such that $|iA| \neq \emptyset$ and take $X \in |A+B|$ and $Z \in |iA|$. Then $Z+iB \sim iX$. By $\kappa(X, \bar{V})=0$, we have $Z+iB=iX$. Hence, $Z=i(X-B)$ is effective. This implies that $X-B$ is effective. Q.E.D.

3) If $p_g(\bar{S})=1$, then put $D_A=0$.

In all cases above, we define D_B by $D=D_A+D_B$

Theorem III. *With the notation being as above, we suppose that $\bar{S}-D_A$ has no exceptional curves of the first kind. Then $K(\bar{S})+D_A \sim 0$.*

Proof. Recalling Propositions 3 and 14, it suffices to prove under the assumption that \bar{S} is a ruled surface with $q(\bar{S})=1$. Take $\Delta \in |K+D_A|$ and we shall derive a contradiction from the hypothesis $\Delta \neq 0$. Let $\sum r_j E_j$ be the irreducible decomposition of Δ . $[(E_i, E_j)]$ is negative semi-definite. In particular, $E_j^2 \leq 0$. First assume that $(\Delta, E_1) \leq 0$, since $\Delta^2 \leq 0$. If $E_1 \subset D_A$, then, putting $D_A=E_1+D'$, we would have $(f^{-1}(y), D') \leq 1$. This would imply $\bar{\kappa}(\bar{S}-D') = -\infty$ while $\bar{\kappa}(S-D') = \kappa(K(\bar{S})+D', \bar{S}) = \kappa(K(\bar{S})+D_A-E_1, \bar{S}) = \kappa(\Delta-E_1, \bar{S}) = \kappa((r_1-1)E_1 + \dots, \bar{S}) = 0$. Therefore, $E_1 \not\subset D_A$. Hence $(D_A, E_1) \geq 0$. Since $(\Delta, E_1) = (K, E_1) + (D_A, E_1) \leq 0$, we have $E_1^2 \leq 0$ and $(K, E_1) \leq 0$. As in the proof of Proposition 3 we have the following cases to examine separately.

- 1) If $E_1^2 = -2$, $(K, E_1) = 0$, then $\pi(E_1) = 0$ and $(D_A, E_1) = 0$.
- 2) If $E_1^2 = -1$, $(K, E_1) = -1$, then $(D_A, E_1) = 0$ or 1 . In this case, $(D_A, E_1) = 0$ contradicts the hypothesis that $\bar{S}-D_A$ has no exceptional curves of the first kind. In the case when $(D_A, E_1) = 1$, contracting E_1 corresponds to a 1/2-point detachment.
- 3) If $E_1^2 = 0$, $(K, E_1) = -2$, then $(D_A, E_1) = 2$. Since $\pi(E_1) = 0$, $f(E_1) = p \in J$. Hence, $E_1 = f^{-1}(p)$. Therefore, by Kawamata's Theorem ([14]), $\bar{\kappa}(S-E_1) \geq \bar{\kappa}(C_y) + \bar{\kappa}(J - \{p\}) = 1$. On the other hand, $\kappa(K(\bar{S})+D_A+E_1, \bar{S}) \geq \bar{\kappa}(S-E_1) \geq 1$. Since $E_1 \leq \Delta \in |K(\bar{S})+D_A|$, we have

$$\kappa(K(\bar{S})+D_A+E_1, \bar{S}) = 0.$$

This is a contradiction. Hence, we conclude that the case 3) does not occur.

4) If $E_1^2 = 0$ and $(K, E_1) = 0$, then $\pi(E_1) = 1$ and $(D_A, E_1) = 0$. In all cases, we have $(D_A, E_1) = 0$ and $(\Delta, E_1) = 0$. Therefore, $(\Delta, E_j) = 0$ for all j , hence $\Delta^2 = \sum r_j (\Delta, E_j) = 0$. Letting $\mathcal{D}_1, \dots, \mathcal{D}_u$ be the connected components of Δ , we can easily see that these are curves of extended Dynkin type $\tilde{A}\tilde{D}\tilde{E}$. In particular, $\mathcal{D}_1^2 = \dots = \mathcal{D}_u^2 = 0$.

α) If \mathcal{D}_1 consists of one irreducible component, then \mathcal{D}_1 is an elliptic curve. Hence $f(\mathcal{D}_1) = J$, and so $(\mathcal{D}_1+D_A, f^{-1}(y)) \geq 3$. This implies $\bar{\kappa}(\bar{S}-\mathcal{D}_1) \geq 1$ by

Kawamata's Theorem. By the way,

$$\kappa(K(\bar{S})+D_A+\mathcal{D}_1, \bar{S}) \geq \bar{\kappa}(\bar{S}-\mathcal{D}_1) \geq 1$$

and

$$\kappa(K(\bar{S})+D_A+\mathcal{D}_1, \bar{S}) = \kappa(\Delta+\mathcal{D}_1, \bar{S}) = 0.$$

This is a contradiction.

β) If \mathcal{D}_1 has more than 1 irreducible components, $f(\mathcal{D}_1)$ is a point. Hence \mathcal{D}_1 is a reducible member of $|f^*(y)|$. This implies $h(\Gamma(\mathcal{D}_1))=0$, a contradiction. Q.E.D.

Next, we shall consider the counterparts of Propositions 4 and 15 in the case of $q(\bar{S})=1$.

Proposition 19. *Let \bar{S} be a ruled surface of $q(\bar{S})=1$ with the Albanese fibered surface $f: \bar{S} \rightarrow J$. Let D_A be a divisor with normal crossings consisting of horizontal components such that $K(\bar{S})+D_A \sim 0$. Suppose that a reduced divisor Y on \bar{S} , each component of which is not contained in D_A , satisfies the condition that $\bar{\kappa}(\bar{S}-D_A-Y)=0$. Then $\kappa(Y, \bar{S})=0$. Moreover, letting $\mathcal{Q}_1, \dots, \mathcal{Q}_u$ be the connected components, we see that if $\mathcal{Q}_j \cap D_A \neq \emptyset$, \mathcal{Q}_j is an exceptional curve of the first kind such that $(\mathcal{Q}_j, D_A)=1$ and that if $\mathcal{Q}_j \cap D_A = \emptyset$, then \mathcal{Q}_j is a curve of Dynkin type A.*

Proof. Let $\sum Y_j$ be the irreducible decomposition of Y . If Y_j is horizontal with respect to f , then $(Y_j+D_A, f^{-1}(u)) \geq 3$ for a general $u \in J$. By Kawamata's Theorem, we get

$$\bar{\kappa}(S-Y_j) \geq \bar{\kappa}(f^{-1}(u)-Y_j-D_A) + \bar{\kappa}(J) = 1,$$

where $S = \bar{S} - D_A$.

This contradicts $\bar{\kappa}(S-Y)=0$. Hence, $f(Y)$ is a finite set of points. For a connected reduced curve $\mathcal{Q} \subset Y$, we have a point $p = f(\mathcal{Q})$, and so $\mathcal{Q} \subset f^{-1}(p)$. In view of $\bar{\kappa}(S-\mathcal{Q}) \neq 1$, we see that $\mathcal{Q} \neq f^{-1}(p)$. Therefore, \mathcal{Q} consists of non-singular rational curves Y_j with negative-definite intersection matrix $[(Y_i, Y_j)]$, $Y_i \subset \mathcal{Q}$. If $Y_j \cap D_A = \emptyset$, then $(D_A, Y_j) = 0$ and so $(K, Y_j) = -(D_A, Y_j) = 0$. Combining this with $Y_j^2 \leq -1$, we have $Y_j^2 = -2$ and $\pi(Y_j) = 0$. If $Y_j \cap D_A \neq \emptyset$, then $(Y_j, D_A) = -(Y_j, K) > 0$. Hence Y_j is an exceptional curve of the first kind and $(Y_j, D_A) = 1$. Q.E.D.

Proposition 20. *With the same notation as in Proposition 19, we further assume that \bar{S} is relatively minimal. Then*

c-i) $\bar{S} = \mathbf{P}^1 \times J, D_A = p_1 \times J + p_2 \times J,$

or

c-ii) $\bar{S} \rightarrow J$ is a C^* -bundle of degree 0 which is not $\mathbf{P}^1 \times J$, and $D_A = \Gamma_0 + \Gamma_\infty,$

Γ_0 and Γ_∞ being sections with $\Gamma_0^2 = \Gamma_\infty^2 = (\Gamma_0, \Gamma_\infty) = 0$. Note that Γ_0 is cohomologically equivalent to Γ_∞ .

Further,

c-iii) $\bar{S} \rightarrow J$ is a C^* -bundle of degree $m > 0$ and $D_A = \Gamma_0 + \Gamma_\infty$, Γ_0 and Γ_∞ being sections with $\Gamma_0^2 = m$ and $\Gamma_\infty^2 = -m$.

In order to prove this, we need the following lemma.

Lemma 10. *Let $f: \bar{S} \rightarrow J$ be a P^1 -bundle over an elliptic curve J . Then we have the following table.*

Table III

class	$\bar{S} \rightarrow J$	$\dim -K(\bar{S}) $	a member of $ -K(\bar{S}) $	$\bar{q}(\bar{S} - D_A)$
i)	$P^1 \times J$	2	$D_A = p_1 \times J + p_2 \times J$	2
ii)	C^* -bundle of degree 0	0	$D_A = \Gamma_0 + \Gamma_\infty$ ($\Gamma_0^2 = \Gamma_\infty^2 = (\Gamma_0, \Gamma_\infty) = 0$)	2
iii)	C^* -bundle of degree $m, m \geq 1$	m	$D_A = \Gamma_0 + \Gamma_\infty$ ($\Gamma_0^2 = m, \Gamma_\infty^2 = -m, (\Gamma_0, \Gamma_\infty) = 0$)	1
iv)	affine bundle A_0	0	$2\Gamma_\infty$ ($\Gamma_\infty^2 = 0$)	D_A does not exist.
v)	affine bundle A_{-1}	$-\infty$	ϕ	

For the notation used above, we refer the reader to [2] and [18]. Explicit constructions of \bar{S} in [18] are used to compute $\dim | -K(\bar{S}) |$ and to find a normal crossing divisor in $| -K(\bar{S}) |$. We omit the details.

Proposition 20 follows from the lemma above. In the case of the class c-i) or c-ii), $\bar{S} - D_A$ is a quasi-abelian surface. Attaching several 1/2-points to $\bar{S} - D_A$ at points of D_A , we have surfaces with $\bar{\kappa} = 0$ and $q = \bar{q} = 1$.

Proposition 21. *Let (\bar{S}, D) be a ∂ -surface with the interior S . Suppose that $\bar{p}_g(S) = 1, \bar{\kappa}(S) = 0$, and $q(\bar{S}) = 1$. Then \bar{S} is a ruled surface of genus 1. Moreover, D is disconnected. D_A consists of two sections of the Albanese fibered surface $f: \bar{S} \rightarrow J$ of \bar{S} . In particular, S cannot be affine.*

Proof. If $\kappa(S) = 0$, it would follow that $p_g(\bar{S}) = 0$ from the classification theory of projective surfaces. Combined with Proposition 18, this would imply $\kappa(\bar{S}) = -\infty$, a contradiction. Thus, \bar{S} turns out to be a ruled surface of genus 1. In view of Lemma 6, by contracting exceptional curves of the first kind on $\bar{S} - D_A$, we may assume that $K(\bar{S}) + D_A \sim 0$. Then we contract

successively connected exceptional curves \mathcal{Q} of the first kind $\leq D_B$ such that $(\mathcal{Q}, D_A)=1$. Thus we arrive at the situation that $D_B \cap D_A = \phi$. Detaching several half-points in $\bar{S} - D_A$, we have a relatively minimal surface \bar{S}_* and a proper birational map $\mu: \bar{S} \rightarrow \bar{S}_*$. By Lemma 6, $\bar{\kappa}(\bar{S} - \mu(D_A) - \mu_*(D_B), S) = 0$. Hence $\mu_*(D_B) \subset \mu(D_A)$. Thus we can apply Proposition 21. Especially D and D_A are disconnected. Q.E.D.

Proposition 22. *Let (\bar{S}, D) be a ∂ -surface whose interior S satisfies that $\bar{p}_g(S)=1$, $\bar{\kappa}(S)=0$, $p_g(\bar{S})=0$, and $q(\bar{S})=1$. Suppose that $\bar{q}(S)=2$. Then there are a relatively minimal ruled surface \bar{S}_* and a birational morphism $\mu: \bar{S} \rightarrow \bar{S}_*$ such that $\mu(D_B)$ is a finite set and $(\bar{S}_*, \mu(D_A))$ is c-i) or c-ii) in Proposition 20. Moreover, if $\mu(D_B) \subset \mu(D_A)$, S is proper birationally equivalent to a quasi-abelian surface.*

By these theorem and propositions, we have another proof of Theorem I in [10].

Theorem IV. *Let S be a logarithmic abelian surface, i.e., $\bar{\kappa}(S)=0$, $\bar{q}(S)=2$. Then S is W^2PB -equivalent to a quasi-abelian surface.*

Proof. Let $\alpha: S \rightarrow \mathcal{A}_S$ be a quasi-Albanese map. Let J be the closure of $\alpha(S)$ in \mathcal{A}_S . Then by Kawamata's Theorem, J turns out to be a surface \mathcal{A}_S . Hence, $\bar{p}_g(S) \geq \bar{p}_g(\mathcal{A}_S) = 1$. Therefore, we can apply Theorem III and Propositions 20, 22. We omit the details.

Corollary 1. *Let S be an affine normal surface with $\bar{\kappa}(S)=0$ and $\bar{q}(S)=2$. Then S is isomorphic to C^{*2} .*

Corollary 2. *Let S be a surface with $\bar{\kappa}(S)=q(S)=0$ and $\bar{q}(S)=2$. Then S is W^2PB -equivalent to C^{*2} .*

The above two corollaries are found in [10].

Proposition 23. *Let (\bar{S}, D) be any ∂ -surface in TABLE II_b. If $\bar{q}(S)=0$, then there is a reduced divisor R on S such that $\bar{\kappa}(S-R)=0$ and $\bar{q}(S-R)=1$. Similarly, if $\bar{q}(S)=1$, then there is R' on S such that $\bar{\kappa}(S-R')=0$ and $\bar{q}(S-R')=2$. Hence $S-R' \cong C^{*2}$.*

Proof. We use the notation in Proposition 16 and we shall look for R in each case, separately.

- i) If S is the class b-iv), take a line \bar{R} on P^2 such that $\bar{R} \cap C = \{p\}$ and $H \cap C = \{p\}$. Then $\bar{S} - D - \bar{R} \cong C^{*2}$.
- ii) If S is the class b-v), take two curves C_3 and C_4 of degree $(1, 0)$ such that, denoting by $\{p_1, p_2\}$ the intersection $C_1 \cap C_2$, $C_3 \ni p_1$ and $C_4 \ni p_2$. Defining $\bar{R} = C_3 + C_4$, we have $S - \bar{R} \cong C^{*2}$.

- iii) If S is the class b-vi), write $C_1 \cap C_2 = \{p_1, p_2\}$. Take two fibres C_3 and C_4 of $\Sigma_2 \rightarrow \mathbf{P}^1$ of such that $C_3 \ni p_1$ and $C_4 \ni p_2$. Then defining $\bar{R} = C_3 + C_4$, we have $S - \bar{R} = C^{*2}$.
- iv) If S is the class b-vii) $_{\beta}$, write $C_3 \cap \Delta_{\infty} = \{p\}$. Take a fiber \bar{R} passing through p . Then $\bar{S} - \bar{R} \cong C^{*2}$.
- v) If S is the class b-viii), write $H_1 \cap C = \{p\}$. Take a curve $\bar{R} = G_2$ of degree $(0, 1)$ passing through p . Then $S - \bar{R} = C^{*2}$.
- vi) If S is the class b-ix), by p we denote the singular point of C . Take two lines C_1, C_2 which are tangential to C at p . Putting $\bar{R} = C_1 + C_2$, we have $S - \bar{R} = C^{*2}$. Moreover, $S - C_1$ is a surface of the class b-vii) $_2$.
- vii) If S is the class b-x), by p we denote the singular point of C . Take two curves C_2 and C_3 of degree $(1, 0)$ and $(0, 1)$, respectively, such that $C_2 \ni p$ and $C_3 \ni p$. Then, putting $\bar{R} = C_2 + C_3$, we see $S - \bar{R}$ is a surface of the class b-iv).
- viii) If S is the class b-xi), by p we denote the singular point of C . Take a fiber C_2 passing through p . Defining $\bar{R} = C_2 + \Delta_{\infty}$, we see $S - \bar{R}$ is a surface of the class b-iv).
- ix) If S is the class b-xii), take a curve \bar{R} of degree $(1, 0)$ passing through a point $\in G \cap C$. Then $S - \bar{R}$ is a surface of class b-iv).
- x) If S is the class b-xiii) $_{\beta}$, take a fiber \bar{R} passing through a point $\in \Delta_{\infty} \cap C$. Then $S - \bar{R}$ is a surface of the class b-vii) $_{\beta+1}$.
- xi) If S is the class b-vi)*, take a fiber C_4 . Then $S - C_4 = C^{*2}$.
- xii) If S is the class b-xi)*, take a fiber C_4 which passes through the singular point of C . Then $S - C_4$ is a surface of the class b-iv). Q.E.D.

Therefore, we establish the following

Proposition 24. *Let S be a surface with $\bar{\kappa}(S)=0, \bar{p}_g(S)=1$ and $p_g(\bar{S})=q(\bar{S})=0$. Suppose that S is not a logarithmic K3 surface of type II $_a$. If $\bar{q}(S)=0$, then there is an open subset S_1 of S such that $\bar{\kappa}(S_1)=\bar{\kappa}(S)=0$ and $\bar{q}(S_1)=1$. Moreover if $\bar{q}(S)=1$, then there is an open subset S_2 of S such that $\bar{\kappa}(S_2)=0$ and $\bar{q}(S_2)=2$.*

Corollary. *Let S be a surface in Proposition 24. Then there is a surjective morphism $\psi: S \rightarrow J$ whose general fiber $\psi^{-1}(u) \cong C^*$. Here $J \cong \mathbf{P}^1$ or A^1 , if $\bar{q}(S)=0$. And $J \cong C^*$, if $\bar{q}(S)=1$ or 2 .*

A proof follows from the fact that S_2 with $\bar{\kappa}(S_2)=q(S_2)=0$ and $\bar{q}(S_2)=2$ is W^2PB -equivalent to C^{*2} .

EXAMPLE. Let C be an irreducible curve with a non-cuspidal singular point. Then $\mathbf{P}^2 - C$ is a logarithmic K3 surface, i.e., $\bar{\kappa}(\mathbf{P}^2 - C)=0$ if and only if there exist two irreducible curves C_1 and C_2 such that $\mathbf{P}^2 - C - C_1 - C_2 \cong C^{*2}$.

Proposition 25. *Let $C=V(\varphi)$, φ being an irreducible polynomial, be a*

curve on A^2 and let $S=A^2-C$. Suppose $\bar{\kappa}(S)=0$. Then, choosing an appropriate system of coordinates (x, y) of A^2 , φ is written as follows:

$$\varphi = x^l y + a_0 + a_1 x + \dots + a_s x^s .$$

Proof. Since $\bar{q}(S)=1$ and $\bar{\kappa}(S)=0$, it follows that $\bar{p}_g(S)=1$. Actually, assume that $\bar{p}_g(S)=0$. Then \bar{C} (the closure of C in P^2) is a rational curve whose singularities are cuspidal. If C were singular, then a general member C_λ of the fiber space $\varphi: S \rightarrow C^*$ would be of hyperbolic type, i.e., $\bar{\kappa}(C_\lambda)=1$. Kawamata's Theorem would assert that $\bar{\kappa}(S) \geq \bar{\kappa}(C_\lambda) + \bar{\kappa}(G_m) = 1$, a contradiction. Thus C is non-singular and hence $C \simeq A^1$. By the imbedding theorem of A^1 due to Abhyankar and Moh [1], we know that $S \cong A^1 \times G_m$, which implies that $\bar{\kappa}(S) = -\infty$.

Accordingly, we conclude that $\bar{p}_g(S)=1$ and $\bar{\kappa}(S)=0$. Applying Proposition 24, we have an irreducible curve C_3 such that $P^2 - C_1 \cup C_2 \cup C_3 \cong C^{*2}$, where $C_1 = P^2 - A^2$ and $C_2 = \bar{C}$. Since $\bar{p}_g(S - C_3) = 1$, C_2 or C_3 has only cuspidal singularities. We may assume that C_3 has only cuspidal singularities. Hence, applying Kawamata's Theorem and Abhyankar and Moh Theorem, we can assume that $A^2 \cap C_3$ is $V(x)$, i.e., the y -axis of the affine plane. Therefore

$$\text{Spec } k[x, y, x^{-1}, \varphi^{-1}] \cong C^{*2} .$$

From this it follows that $y \in k[x, y, x^{-1}, \varphi^{-1}] = k[x, \varphi, x^{-1}, \varphi^{-1}]$. Hence

$$y = f(x, \varphi) / x^m \varphi^n$$

where, $m, n > 0$ and $f(x, Y)$ is a polynomial. Then consider the y -derivative $\partial_y = \partial / \partial y$. Thus,

$$x^m \varphi^n + n x^m \varphi^{n-1} \partial_y \varphi = \partial_y f(x, \varphi) \partial_y \varphi .$$

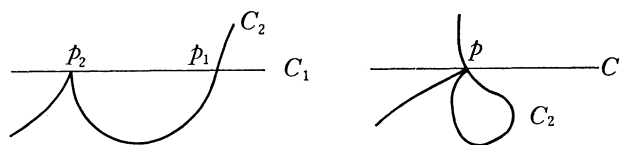
Hence,

$$x^m \varphi^n = \partial_y \varphi \{ \partial_y f(x, \varphi) - n x^m \varphi^{n-1} \} .$$

Since φ is irreducible, $\partial_y \varphi = \alpha x^l$ for some $\alpha \neq 0, l \geq 0$. This yields that $\varphi = \psi(x) + \alpha x^l y, \psi$ being a polynomial. We may assume $\alpha = 1$ and hence

$$\varphi = x^l y + a_0 + a_1 x + \dots + a_s x^s . \tag{Q.E.D.}$$

In the above, we may assume that $a_0 = 1$ and $a_s \neq 0$. We have the following cases: 1) If $l+1 \geq s$, then writing $C_1 \cap C_2 = \{p_1, p_2\}$, C_2 has the cusp singularity



at p_1 and C_1+C_2 has normal crossings at p_2 . 2) If $2+l \leq s$, then C_2 has two (analytically irreducible) branches at p , the singular point of C_2 . Hence $\mathbf{P}^2 - C_2$ is a logarithmic K3 surface of type II_b.

Proposition 26. *If S satisfies that $\bar{\kappa}(S)=0$, $\bar{p}_g(S)=1$ and $p_g(\bar{S})=0$. Then there exists an algebraic pencil $\{C_u\}$ whose general member C_u is \mathcal{C}^* . Hence S is not measure-hyperbolic.*

This follows from Corollary to Proposition 24 and Propositions 9, 21.

Proposition 27. *Let (\bar{S}, D) be a ∂ -surface in the TABLE II_b. Define $\text{Aut}(\bar{S}, D) = \{\varphi \in \text{Aut}(\bar{S}); \varphi D = D\}$. Then $\text{Aut}(\bar{S}, D)$ is a finite group if $\bar{q}(S)=0$.*

Proof. First assume that (\bar{S}, D) is the class b-ix). A point p of inflexion of D (a nodal cubic curve), is characterized by the existence of a line L on \mathbf{P}^2 such that $L \cap D = \{p\}$. There are three such points. Hence $\varphi \in \text{Aut}(\bar{S}, D)$ preserves the set of points of inflexion. Therefore the image of the homomorphism $\text{Aut}(S, D) \rightarrow \text{Aut}(D)$ is a finite group. Using the similar argument to the proof of Proposition 11, we complete the proof. We can check the finiteness of $\text{Aut}(\bar{S}, D)$ for the other classes. Q.E.D.

From the above, we infer the following Proposition, whose proof is not given here.

Proposition 28. *Let S be a logarithmic K3 surface. Then, $\text{Aut}(S)$ has at most countably many elements.*

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