

SMOOTH ACTIONS OF SPECIAL UNITARY GROUPS ON COHOMOLOGY COMPLEX PROJECTIVE SPACES

FUICHI UCHIDA

(Received July 24, 1974)

0. Introduction

The purpose of this paper is to study smooth $SU(n)$ -actions on a compact orientable $2m$ -manifold whose rational cohomology ring is isomorphic to $H^*(P_m(\mathbb{C}); \mathbb{Q})$. First we show the following result.

Theorem 2.1. *Let $n \geq 7$ and $0 \leq k < n-4$. Let M be a compact orientable smooth $2(n+k)$ -manifold with*

$$H^*(M; \mathbb{Q}) = H^*(P_{n+k}(\mathbb{C}); \mathbb{Q}).$$

Then for any non-trivial smooth $SU(n)$ -action on M , the stationary point set $F = F(SU(n), M)$ is an orientable $2k$ -manifold with

$$H^*(F; \mathbb{Q}) = H^*(P_k(\mathbb{C}); \mathbb{Q})$$

and there is an equivariant diffeomorphism

$$M = \partial(D^{2n} \times X) / S^1.$$

Here X is a compact connected orientable $(2k+2)$ -manifold which is acyclic over rationals, X admits a smooth S^1 -action which is free on dX , the $SU(n)$ -action is standard on D^{2n} and trivial on X , and

$$\pi_1(X) = \pi_1(M).$$

Furthermore, if

$$H^*(M; \mathbb{Z}) = H^*(P_{n+k}(\mathbb{C}); \mathbb{Z}),$$

then X is acyclic over integers, the S^1 -action on X is semi-free, and

$$H^*(F; \mathbb{Z}) = H^*(P_k(\mathbb{C}); \mathbb{Z}).$$

Corollary 2.2. *Let $n \geq 7$ and $0 \leq k < n-4$. Let M be a compact connected smooth $2(n+k)$ -manifold which is homotopy equivalent to $P_{n+k}(\mathbb{C})$. If M admits a non-trivial smooth $SU(n)$ -action, then M is diffeomorphic to $P_{n+k}(\mathbb{C})$.*

Examples of $SU(n)$ -actions on cohomology complex projective spaces are constructed in section 3. And we have the following results.

Theorem 3.1. *Let $n \geq 2$, $k \geq 1$ and $p \geq 1$. Then there is a compact orientable $2(n+k)$ -manifold M such that*

$$\pi_1(M) = \mathbf{Z}/p\mathbf{Z} \text{ and } H^*(M; \mathbf{Q}) = H^*(P_{n+k}(\mathbf{C}); \mathbf{Q})$$

and M admits a smooth $SU(n)$ -action with

$$F(SU(n), M) = P_k(\mathbf{C}).$$

Theorem 3.2. *Let $n \geq 2$ and $k \geq 3$. Let G be a finitely presentable group with $H_1(G; \mathbf{Z}) = H_2(G; \mathbf{Z}) = 0$. Then*

(a) *there is a compact orientable $2(n+k)$ -manifold M such that*

$$\pi_1(M) = G \text{ and } H^*(M; \mathbf{Z}) = H^*(P_{n+k}(\mathbf{C}); \mathbf{Z})$$

and M admits a smooth $SU(n)$ -action with

$$F(SU(n), M) = P_k(\mathbf{C}),$$

(b) *there is a smooth $SU(n)$ -action on $P_{n+k}(\mathbf{C})$ such that*

$$\pi_1(F) = G \text{ and } H^*(F; \mathbf{Z}) = H^*(P_k(\mathbf{C}); \mathbf{Z}),$$

where $F = F(SU(n), P_{n+k}(\mathbf{C}))$.

Next, in section 4, we study a signature of closed orientable manifold which admits a smooth G -action with isotropy groups of uniform dimension, and we have a result which is a generalization of the fact that $\text{Sign}(M) = 0$ if M admits a smooth circle action without stationary points.

Next we study smooth $SU(3)$ -actions on orientable 8-manifolds in section 5, and as an application we show a similar result as Theorem 2.1 for non-trivial smooth $SU(3)$ -action on a cohomology complex projective 4-space. We construct examples of stationary point free $SU(3)$ -actions on orientable 8-manifolds with non-zero signature in section 6.

As a concluding remark, classification of smooth $SU(n)$ -actions on orientable $2n$ -manifolds is done in the final section.

1. $SU(n)$ -actions with certain isotropy types

Let E be a manifold with smooth $SU(n)$ -action ($n \geq 3$). Assume that the identity component of each isotropy group is conjugate to $SU(n-1)$ or $NSU(n-1)$, the normalizer of $SU(n-1)$ in $SU(n)$. Then $S^1 = NSU(n-1)/SU(n-1)$ acts naturally on

$$X = F(SU(n-1), E),$$

the stationary point set of $SU(n-1)$. It is easily seen that

$$(1.1) \quad SU(n)/SU(n-1) \times_{S^1} X \rightarrow E, \quad [gSU(n-1), x] \rightarrow gx$$

is an equivariant diffeomorphism as $SU(n)$ -manifolds, since $g \in SU(n)$ and $g^{-1}SU(n-1)g \subset NSU(n-1)$ imply $g \in NSU(n-1)$.

Lemma 1.2. *Let V be a real vector space with linear $SU(n)$ -action ($n \geq 3$). Assume that the identity component of each isotropy group on the invariant unit sphere $S(V)$ is conjugate to $SU(n-1)$ or $NSU(n-1)$. Then $S(V) = SU(n)/SU(n-1)$ as $SU(n)$ -spaces.*

Proof. By (1.1), there is an equivariant diffeomorphism

$$S(V) = SU(n)/SU(n-1) \times_{S^1} F(SU(n-1), S(V)),$$

where $F(SU(n-1), S(V))$ is a sphere. Then it is easily seen that

$$F(SU(n-1), S(V)) = S^1$$

by the homotopy exact sequence of the fibre bundle

$$F(SU(n-1), S(V)) \rightarrow S(V) \rightarrow P_{n-1}(\mathbf{C}).$$

Considering S^1 -actions on S^1 , we have

$$S(V) = SU(n)/SU(n-1)$$

as $SU(n)$ -spaces.

q.e.d.

Lemma 1.3. *Let V be a real vector space with linear $SU(n)$ -action such that $S(V) = SU(n)/SU(n-1)$ is $SU(n)$ -spaces ($n \geq 3$). Then the $SU(n)$ -action on $V = \mathbf{R}^{2n}$ is equivalent to the standard action.*

Proof. This is a known result (see [8], Theorem I), but we give an elementary proof for the completeness. It is well-known that a real irreducible $SU(n)$ -vector space \mathbf{R}^{2n} with an invariant complex structure is equivalent to \mathbf{R}^{2n} with the standard $SU(n)$ -action. So we prove the existence of an invariant complex structure on V . Denote by \mathbf{Z}_n , the center of $SU(n)$. Then \mathbf{Z}_n is a cyclic group of order n , and the \mathbf{Z}_n -action on $S(V)$ is free, since

$$\mathbf{Z}_n \cap SU(n-1) = \{1\}.$$

Consider a direct sum decomposition

$$V = V_1 \oplus \cdots \oplus V_k$$

as \mathbf{Z}_n -vector space, where $V_i (i= 1, \dots, k)$ are irreducible. Leaving a non-zero vector $v_1 \in V_1$ fixed, we have an element $g_i \in SU(n)$ such that

$$v_i = g_i v_1 \in V_i \quad (i = 1, \dots, k)$$

by the transitivity of the $SU(n)$ -action on $S(V)$. Then

$$V_i = g_i V_1 \quad (i = 1, \dots, k).$$

Since the \mathbf{Z}_n -action on $S(V_1)$ is free, there is a complex structure \mathbf{J}_1 on V_1 such that

$$\sigma \mathbf{J}_1 = \mathbf{J}_1 \sigma, \quad \sigma v_1 = a v_1 + b \mathbf{J}_1 v_1$$

for some $a, b \in \mathbf{R}, b \neq 0$, where σ is a generator of \mathbf{Z}_n , moreover the real vector space V_1 is spanned by $\{v_1, \mathbf{J}_1 v_1\}$. Therefore there is a complex structure J on V such that

$$\mathbf{J} v_1 - \mathbf{J}_1 v_1, \quad \mathbf{J} g_i v_1 - g_i \mathbf{J}_1 v_1 \quad \text{and} \quad \sigma v = a v + b \mathbf{J} v$$

for each $v \in V$. Then

$$\begin{aligned} g \sigma v &= a g v + b g \mathbf{J} v, \\ \sigma g v &= a g v + b \mathbf{J} g v \end{aligned}$$

for any $g \in SU(n)$. Therefore the complex structure \mathbf{J} is $SU(n)$ -invariant, since $g \sigma = \sigma g$ and $b \neq 0$. q.e.d.

Let M be a closed connected manifold with smooth $SU(n)$ -action ($n \geq 3$). Assume that the identity component of each isotropy group is conjugate to one of the following

$$SU(n), \quad SU(n-1) \quad \text{and} \quad NSU(n-1).$$

Assume that the stationary point set $F = F(SU(n), M)$ is non-empty. Let U be an invariant closed tubular neighborhood of F in M . Then there is an equivariant decomposition

$$M = U \cup (SU(n)/SU(n-1) \times_{S^1} X) \cup (S^{2n-1} \times_{S^1} X),$$

where $X = F(SU(n-1), M - \text{int } U)$ with the natural S^1 -action. Since

$$dU = SU(n)/SU(n-1) \times_{S^1} \partial X = S^{2n-1} \times_{S^1} \partial X$$

as $SU(n)$ -manifolds, the S^1 -action on ∂X is free, $F = \partial X/S^1$, and the disk bundle $U \rightarrow F$ with $SU(n)$ -action is equivariantly isomorphic to the disk bundle

$$D^{2n} \times_{S^1} \partial X \rightarrow \partial X/S^1,$$

where the $SU(n)$ -action on D^{2n} is standard by Lemma 1.2 and Lemma 1.3.

Therefore the codimension of F in M is $2n$, X is connected, and there is an equivariant diffeomorphism

$$(1.4) \quad M = \partial(D^{2n} \times X)/S^1 \cong D^{2n} \times_{S^1} \partial X \cup_{S^1} S^{2n-1} \times X$$

as $SU(n)$ -manifolds.

Lemma 1.5. *Let G be a closed connected proper subgroup of $SU(n)$, ($n \geq 7$).*

If

$$\dim G > n^2 - 4n + 7 = \dim N(SU(n-2), SU(n)),$$

then G is conjugate to $SU(n-1)$ or $NSU(n-1)$ in $SU(n)$.

Proof. The inclusion $\rho: G \subset SU(n)$ gives an n -dimensional complex representation of G . First we show that the representation ρ is reducible. Suppose that ρ is irreducible. Then G is semi-simple from the Shur's lemma. If G is not simple, then there are integers $p \geq q \geq 2$ with $n = pq$, such that G is conjugate to a subgroup of the tensor product

$$SU(p) \otimes SU(q)$$

in $SU(pq)$, by considering the induced representation of the universal covering group of G . Therefore

$$\dim G \leq p^2 + q^2 - 2 \leq \left(\frac{n}{2}\right)^2 + 2 \leq \frac{n(n+1)}{2}.$$

If G is simple but not one of the type

$$A_k, D_{2k+1} \text{ and } E_6,$$

then G is conjugate to a subgroup of $SO(n)$ or $Sp(n/2)$, (see [6], p. 336, Theorem 0.20). But

$$\dim SO(n) = \frac{n(n-1)}{2}, \quad \dim Sp\left(\frac{n}{2}\right) = \frac{n(n+1)}{2}$$

and hence

$$\dim G \leq \frac{n(n+1)}{2}.$$

If G is of type D_{2k+1} ($k \geq 2$), then the lowest dimensional non-trivial irreducible complex representation is $(4k+2)$ -dimensional (see [6], p. 378, Table 30). Therefore $4k+2 \leq n$ and hence

$$\dim G = \dim SO(4k+2) = (2k+1)(4k+1) \leq \frac{n(n-1)}{2}.$$

If G is of type E_6 , then $n \geq 27$ (see [6], p. 378, Table 30). Therefore

$$\dim G = 78 \leq 3n \leq \frac{n(n+1)}{2}.$$

Finally, if G is of type A_{k-1} ($k < n$), then

$$\frac{k(k-1)}{2} \leq n,$$

by the Weyl's formula (see [14], Theorem 7.5). Therefore

$$\dim G = \dim SU(k) = k^2 - 1 \leq 3n - 2 \leq \frac{n(n+1)}{2}.$$

Consequently

$$\dim G \leq \frac{n(n+1)}{2},$$

if p : $G \subset SU(n)$ is irreducible ($n \geq 4$). Therefore p is reducible, if

$$\dim G > n^2 - 4n + 7 \quad \text{and} \quad n \geq 7.$$

Since p is reducible, G is conjugate to a subgroup of

$$N(SU(n-p), SU(n)), \left(1 \leq p \leq \frac{n}{2}\right)$$

the normalizer of $SU(n-p)$ in $SU(n)$. But

$$\dim N(SU(n-p), SU(n)) \leq n^2 - 4n + 7$$

for $2 \leq p \leq \frac{n}{2}$. Therefore G is conjugate to a subgroup G' of $NSU(n-1)$. If $G' \neq NSU(n-1)$, then

$$\dim G' \leq \dim G'' + 1$$

where $G'' = G' \cap SU(n-1)$, by the isomorphism

$$NSU(n-1)/SU(n-1) \cong S^1.$$

If $G'' = SU(n-1)$ then $G' = G'' = SU(n-1)$. If $G'' \neq SU(n-1)$, then

$$\dim G'' \leq (n-2)^2 = \dim N(SU(n-2), SU(n-1)),$$

by making use of the first part of the proof of this lemma for $SU(n-1)$ instead of $SU(n)$, and hence

$$\dim G' \leq (n-2)^2 + 1 < n^2 - 4n + 7.$$

Consequently we see that G is conjugate to $SU(n-1)$ or $NSU(n-1)$ in $SU(n)$.
q.e.d.

Lemma 1.6. *Let M be a manifold with smooth $SU(n)$ -action. If $\dim M < 4n - 8$, then*

$$\dim SU(n)_x > n^2 - 4n + 7$$

for each $x \in M$.

Proof. Since $SU(n)/SU(n)_x$ is equivariantly embedded in M ,

$$\dim SU(n) - \dim SU(n)_x \leq \dim M < 4n - 8 .$$

Hence $\dim SU(n)_x > \dim SU(n) - (4n - 8) = n^2 - 4n + 7$. q.e.d.

2. $SU(n)$ -actions on cohomology complex projective spaces

In this section we prove the following results.

Theorem 2.1. *Let $n \geq 7$ and $0 \leq k < n - 4$. Let M be a compact connected orientable smooth $2(n+k)$ -manifold with*

$$H^*(M; \mathbb{Q}) = H^*(P_{n+k}(\mathbb{C}); \mathbb{Q}) .$$

Then for any non-trivial smooth $SU(n)$ -action on M , the stationary point set $F = F(SU(n), M)$ is an orientable $2k$ -manifold with

$$H^*(F; \mathbb{Q}) = H^*(P_k(\mathbb{C}); \mathbb{Q})$$

and there is an equivariant diffeomorphism

$$M = \partial(D^{2n} \times X) / S^1 .$$

Here X is a compact connected orientable $(2k+2)$ -manifold which is acyclic over rationals, X admits a smooth S^1 -action which is free on ∂X , the $SU(n)$ -action is standard on D^{2n} and trivial on X , and

$$\pi_1(X) = \pi_1(M) .$$

Furthermore, if

$$H^*(M; \mathbb{Z}) = H^*(P_{n+k}(\mathbb{C}); \mathbb{Z}) ,$$

then X is acyclic over integers, the S^1 -action on X is semi-free, and

$$H^*(F; \mathbb{Z}) = H^*(P_k(\mathbb{C}); \mathbb{Z}) .$$

Corollary 2.2. *Let $n \geq 7$ and $0 \leq k < n - 4$. Let M be a compact connected smooth $2(n+k)$ -manifold which is homotopy equivalent to $P_{n+k}(\mathbb{C})$. If M admits a non-trivial smooth $SU(n)$ -action, then M is diffeomorphic to $P_{n+k}(\mathbb{C})$.*

Proof of Theorem 2.1. By Lemma 1.5, Lemma 1.6 and the assumption $n \geq 7$ and $0 \leq k < n - 4$, the identity component of each isotropy group of the

given $SU(n)$ -action on M is conjugate to one of the following

$$SU(n), SU(n-1) \text{ and } NSU(n-1).$$

(i) First we show that the stationary point set $F=F(SU(n), M)$ is non-empty. Assume $F=\emptyset$, then by (1.1) there is a smooth fibre bundle

$$F(SU(n-1), M) \rightarrow M \rightarrow P_{n-1}(\mathbf{C}).$$

Thus

$$\chi(M) = \chi(P_{n-1}(\mathbf{C})) \cdot \chi(F(SU(n-1)M))$$

and hence

$$k+1 \equiv 0 \pmod{n}.$$

This is impossible by the assumption $0 \leq k < n-4$. Thus $F \neq \emptyset$. Then by (1.4) there is an equivariant diffeomorphism

$$M = \partial(D^{2n} \times_{S^1} X) / S^1 = D^{2n} \times_{S^1} \partial X \cup S^{2n-1} \times_{S^1} X$$

as $SU(n)$ -manifolds. Here X is a compact connected orientable $(2k+2)$ -manifold with smooth S^1 -action which is free on ∂X .

(ii) Next we show that X is acyclic over rationals. Since

$$D^{2n} \times_{S^1} \partial X \rightarrow \partial X / S^1 = F$$

is a $2n$ -disk bundle, there is an isomorphism

$$H^i(M, S^{2n-1} \times_{S^1} X; \mathbf{Q}) = H^{i-2n}(F; \mathbf{Q}).$$

Thus

$$(2.3) \quad H^i(M; \mathbf{Q}) = H^i(S^{2n-1} \times_{S^1} X; \mathbf{Q}) \quad \text{for } i \leq 2n-2.$$

Now we show that the euler class $e(p)$ of the principal S^1 -bundle

$$p: \partial(D^{2n} \times X) \rightarrow M$$

is non-zero in $H^2(M; \mathbf{Q})$. Assume $e(p)=0$, then the euler class of the principal S^1 -bundle

$$S^{2n-1} \times X \rightarrow S^{2n-1} \times_{S^1} X$$

is zero in $H^2(S^{2n-1} \times_{S^1} X; \mathbf{Q})$, and hence there is an isomorphism

$$H^*(S^{2n-1}; \mathbf{Q}) \otimes H^*(X; \mathbf{Q}) = H^*(S^1; \mathbf{Q}) \otimes H^*(S^{2n-1} \times_{S^1} X; \mathbf{Q}).$$

Therefore

$$H^i(X; \mathbf{Q}) = \mathbf{Q} \quad \text{for } 0 \leq i \leq 2n-2$$

by (2.3) and the assumption

$$H^*(M; \mathbf{Q}) = H^*(P_{n+k}(C); \mathbf{Q}).$$

But

$$\dim X = 2k + 2 \leq 2n - 2.$$

Thus $H^{2k+2}(X; \mathbf{Q}) = \mathbf{Q}$ and this is a contradiction, since the connected manifold X has a non-empty boundary. Therefore $e(p) \neq 0$ and hence

$$(2.4) \quad H^*(\partial(D^{2n} \times X); \mathbf{Q}) = H^*(S^{2n+2k+1}; \mathbf{Q}).$$

There is an isomorphism

$$H^i(D^{2n} \times X; \mathbf{Q}) = H_{2n+2k+2-i}(D^{2n} \times X, \partial(D^{2n} \times X); \mathbf{Q})$$

by the Poincaré-Lefschetz duality, and the homomorphism

$$H_{2n+2k+2-i}(D^{2n} \times X; \mathbf{Q}) \rightarrow H_{2n+2k+2-i}(D^{2n} \times X, \partial(D^{2n} \times X); \mathbf{Q})$$

is onto for $0 < i < 2n + 2k + 2$ by (2.4). Since X is a connected $(2k + 2)$ -manifold with a non-empty boundary,

$$H_{2n+2k+2-i}(D^{2n} \times X; \mathbf{Q}) = 0 \quad \text{for } i \leq 2n,$$

and hence

$$H^i(X; \mathbf{Q}) = 0 \quad \text{for } 0 < i \leq 2n.$$

Therefore X is acyclic over rationals. Then

$$H^*(\partial X; \mathbf{Q}) = H^*(S^{2k+1}; \mathbf{Q}),$$

by the Poincaré-Lefschetz duality, and hence

$$H^*(F; \mathbf{Q}) = H^*(P_k(C); \mathbf{Q}).$$

Furthermore $F(S^1, X)$ consists just one point by the P.A. Smith theory (see [2], chapter IV) from the fact that X is acyclic over rationals and the S^1 -action is free on ∂X .

(iii) Next we show $\pi_1(X) = \pi_1(M)$. Since $F(S^1, X) = \{x_0\}$, there is an S^1 -map

$$s: \mathbb{R} \rightarrow \partial(D^{2n} \times X)$$

given by $s(y) = (y, x_0)$. Then we have an isomorphism

$$\pi_1(M) = \pi_1(\partial(D^{2n} \times X))$$

from the following commutative diagram:

$$\begin{array}{ccc} \pi_1(S^1) & \longrightarrow & \pi_1(S^{2n-1}) \\ \downarrow id & & \downarrow S_* \\ \pi_1(S^1) & \longrightarrow & \pi_1(\partial(D^{2n} \times X)) \xrightarrow{p_*} \pi_1(M). \end{array}$$

Applying the van Kampen theorem (see [5], p. 63) to the decomposition

$$\partial(D^{2n} \times X) = D^{2n} \times \partial X \cup S^{2n-1} \times X,$$

we have

$$\pi_1(X) = \pi_1(\partial(D^{2n} \times X)),$$

and hence

$$\pi_1(X) = \pi_1(M).$$

(iv) Finally we show that the assumption

$$H^*(M; Z) = H^*(P_{n+k}(C); Z)$$

implies $H^*(X, x_0; Z) = 0$. There is a commutative diagram:

$$\begin{array}{ccc} S^{2n-1} & \xrightarrow{s} & \partial(D^{2n} \times X) \\ \downarrow p_0 & & \downarrow p \\ P_{n-1}(C) & \xrightarrow{t} & M. \end{array}$$

Since $t^*e(p) = e(p_0)$ is a generator of $H^*(P_{n-1}(C); Z)$, $e(p)$ is a generator of $H^*(M; Z)$. Therefore

$$H^*(\partial(D^{2n} \times X); Z) = H^*(S^{2n+2k+1}; Z)$$

by the Gysin sequence for the principal S^1 -bundle

$$p: \partial(D^{2n} \times X) \rightarrow M,$$

and hence X is acyclic over integers and

$$H^*(F; Z) = H^*(P_k(C); Z)$$

by the same argument as in (ii). Then the S^1 -action on X is semi-free by the P.A. Smith theory from the fact that X is acyclic over integers and the S^1 -action is free on ∂X . This completes the proof of Theorem 2.1.

Proof of Corollary 2.2. If M admits a non-trivial smooth $SU(n)$ -action, then by Theorem 2.1, there is an equivariant diffeomorphism

$$M = \partial(D^{2n} \times X)/S^1$$

as $SU(n)$ -manifolds. Here X is a compact contractible $(2k+2)$ -manifold with smooth semi-free S^1 -action with just one stationary point x_0 . Therefore the

S^1 -action on $D^{2n} \times X$ is semi-free and its stationary point is only $(0, x_0)$. Let U be an invariant closed disk around the point $(0, x_0)$. One may assume that the S^1 -action on U is linear. Put

$$W = (D^{2n} \times X - \text{int } U) / S^1.$$

Then

$$\partial W = dU / S^1 \cup \partial(D^{2n} \times X) / S^1 = P_{n+k}(\mathbf{C}) \cup M.$$

Since

$$\pi_1(M) = \pi_1(W) = 0,$$

$$H_*(W, M; \mathbf{Z}) = 0$$

and

$$\dim W = 2n + 2k + 1 \geq 6,$$

we have

$$M = P_{n+k}(\mathbf{C})$$

by applying the h -cobordism theorem (see [10], Theorem 9.1) to the triad $(W; M, P_{n+k}(\mathbf{C}))$. This completes the proof of Corollary 2.2.

3. Construction of $SU(n)$ -actions

In this section we construct $SU(n)$ -actions on cohomology complex projective spaces, and we have the following results.

Theorem 3.1. *Let $n \geq 2$, $k \geq 1$ and $p \geq 1$. Then there is a compact orientable $2(n+k)$ -manifold M such that*

$$\pi_1(M) = \mathbf{Z}/p\mathbf{Z} \quad \text{and} \quad H^*(M; \mathbf{Q}) = H^*(P_{n+k}(\mathbf{C}); \mathbf{Q})$$

and M admits a smooth $SU(n)$ -action with

$$F(SU(n), M) = P_k(\mathbf{C}).$$

Theorem 3.2. *Let $n \geq 2$ and $k \geq 3$. Let G be a finitely presentable group with $H_1(G; \mathbf{Z}) = H_2(G; \mathbf{Z}) = 0$. Then*

(a) *there is a compact orientable $2(n+k)$ -manifold M such that*

$$\pi_1(M) = G \quad \text{and} \quad H^*(M; \mathbf{Z}) = H^*(P_{n+k}(\mathbf{C}); \mathbf{Z})$$

and M admits a smooth $SU(n)$ -action with

$$F(SU(n), M) = P_k(\mathbf{C}),$$

(b) *there is a smooth $SU(n)$ -action on $P_{n+k}(\mathbf{C})$ such that*

$$\pi_1(F) = G \quad \text{and} \quad H^*(F; \mathbf{Z}) = H^*(P_k(\mathbf{C}); \mathbf{Z}),$$

where $F = F(SU(n), P_{n+k}(\mathbf{C}))$.

First we prepare the following lemma. It is proved by a similar argument as in the proof of Theorem 2.1 and Corollary 2.2, so we omit the proof.

Lemma 3.3. *Let X be a compact orientable $(2k+2)$ -manifold which is acyclic over Z (resp. Q). Assume that X admits a smooth S^1 -action which is free on ∂X . If $n \geq 2$, then*

- (a) $M = \partial(D^{2n} \times X) / S^1$ is a cohomology $P_{n+k}(\mathbf{C})$ over Z (resp. Q),
- (b) $\pi_1(M) = \pi_1(X)$.

Moreover if $n+k \geq 3$ and X is contractible, then $M = P_{n+k}(\mathbf{C})$.

Now we construct an acyclic S^1 -manifold. Let W be a closed orientable smooth homology $(2k+1)$ -sphere over Z (resp. Q) and let

$$(3.4) \quad Y = P_k(\mathbf{C}) \times [0, 1] \# W, \quad (k \geq 1).$$

Then F is a compact connected orientable smooth $(2k+1)$ -manifold with boundary

$$\partial Y = P_k(\mathbf{C}) \times 0 \cup P_k(\mathbf{C}) \times 1.$$

It is easily seen that

$$(3.5) \quad \pi_1(Y) = \pi_1(W),$$

$$(3.6) \quad H^i(Y; \mathbf{Z}) = H^i(P_k(\mathbf{C}); \mathbf{Z}) \oplus H^i(W; \mathbf{Z}), \quad (0 < i \leq 2k).$$

Furthermore there is a smooth principal S^1 -bundle

$$p: E \rightarrow Y$$

such that $\partial_i E \rightarrow P_k(\mathbf{C}) \times i$, ($i=0, 1$) is equivalent to the Hopf bundle $S^{2k+1} \rightarrow P_k(\mathbf{C})$, where $\partial_i E = p^{-1}(P_k(\mathbf{C}) \times i)$. Then

$$(3.7) \quad \pi_1(E) = \pi_1(Y),$$

$$(3.8) \quad H^*(E, \partial_i E; A) = 0$$

where $A = Z$ (resp. Q), by (3.6) and the Gysin sequence for S^1 -bundles. Furthermore

$$X = E \cup_{\partial_1 E} D^{2k+2}$$

is a compact orientable manifold with a semi-free smooth S^1 -action which is linear and free on $\partial X = \partial_0 E = S^{2k+1}$. It is easily seen that

$$(3.9) \quad \pi_1(X) = \pi_1(W), \quad \text{by (3.5) and (3.7),}$$

$$(3.10) \quad X \text{ is acyclic over } Z \text{ (resp. } Q), \quad \text{by (3.8).}$$

Proof of Theorem 3.1. Put $W=S^{2k+1}/\mathbf{Z}_p$ lens space, in (3.4). Then there is a compact orientable $(2k+2)$ -manifold X with a semi-free smooth S^1 -action which is linear and free on $\partial X=S^{2k+1}$, such that $\pi_1(X)=\mathbf{Z}_p$ and X is acyclic over \mathbf{Q} . Then by Lemma 3.3, the $SU(n)$ -manifold

$$M = \partial(D^{2n} \times X)/S^1$$

is a compact orientable $2(n+k)$ -manifold such that

$$\pi_1(M) = \mathbf{Z}_p, H^*(M; \mathbf{Q}) = H^*(P_{n+k}(\mathbf{C}); \mathbf{Q})$$

and

$$F(SU(n), M) = \partial X/S^1 = P_k(\mathbf{C}). \quad \text{q.e.d.}$$

REMARK 3.11. It is known that if G is a finitely presentable group with $H_1(G; \mathbf{Z})=H_2(G; \mathbf{Z})=0$, then for each $m \geq 7$, there is a compact contractible smooth n -manifold P such that

$$\pi_1(\partial P) = G \quad (\text{see [12]}).$$

It is known that there are infinitely many groups satisfying the above condition.

Proof of Theorem 3.2 (a). Let $k \geq 3$. Put $W=\partial P$, a smooth homology $(2k+1)$ -sphere over Z with $\pi_1(\partial P)=G$, in (3.4). Then there is a compact orientable $(2k+2)$ -manifold X with a semi-free smooth S^1 -action which is linear and free on $\partial X=S^{2k+1}$, such that $\pi_1(X)=G$ and X is acyclic over Z . Then by Lemma 3.3, the $SU(n)$ -manifold

$$M = \partial(D^{2n} \times X)/S^1$$

is a compact orientable $2(n+k)$ -manifold such that

$$\pi_1(M) = G, H^*(M; Z) = H^*(P_{n+k}(\mathbf{C}); Z)$$

and

$$F(SU(n), M) = P_k(\mathbf{C}). \quad \text{q.e.d.}$$

Proof of Theorem 3.2 (b). Let $k \geq 3$. For a given group G satisfying the hypothesis, there is a compact contractible smooth $(2k+1)$ -manifold P such that

$$\pi_1(\partial P) = G$$

by Remark 3.11. Let

$$Y = P_k(\mathbf{C}) \times [0, 1] \# P,$$

a boundary connected sum with boundary

$$\partial Y = P_k(\mathbf{C}) \# \partial P \cup P_k(\mathbf{C}) \times 1.$$

Then $P_k(\mathbf{C}) \times 1$ is a deformation retract of Y , and hence there is a smooth principal S^1 -bundle

$$p : E \rightarrow Y,$$

such that $\partial_1 E \rightarrow P_k(\mathbf{C}) \times 1$ is equivalent to the Hopf bundle $S^{2k+1} \rightarrow P_k(\mathbf{C})$, where $\partial_1 E = p^{-1}(P_k(\mathbf{C}) \times 1)$. Then

$$X = E \cup_{\partial_1 E} D^{2k+2}$$

is a compact contractible $(2k+2)$ -manifold with a semi-free smooth S^1 -action. Then by Lemma 3.3, the $SU(n)$ -manifold

$$M = \partial(D^{2n} \times X) / S^1$$

is diffeomorphic to $P_{n+k}(\mathbf{C})$ for $n \geq 2$, and

$$F(SU(n), M) = \partial X / S^1 = P_k(\mathbf{C}) \# \partial P.$$

Therefore there is a smooth $SU(n)$ -action on $P_{n+k}(\mathbf{C})$ such that

$$\pi_1(F) = G \text{ and } H^*(F; \mathbf{Z}) = H^*(P_k(\mathbf{C}); \mathbf{Z}),$$

where $F = F(SU(n), P_{n+k}(\mathbf{C}))$.

q.e.d.

4. Signature of certain smooth G -manifolds

The purpose of this section is to study a signature of closed orientable manifold which admits a smooth G -action with isotropy groups of uniform dimension. We have the following result.

Theorem 4.1. *Let G be a compact Lie group and H a closed connected subgroup. Let M be a compact orientable manifold without boundary. Assume that M admits a smooth G -action such that the identity component of an isotropy group G_x is conjugate to H in G for each point x of M . Then $F(H, M)$, the stationary point set with respect to the H -action, is orientable, and*

- (a) if $\dim N(H) \neq \dim H$, then $\text{Sign}(M) = 0$,
- (b) if $\dim N(H) = \dim H$, then

$$|N(H)/H| \text{Sign}(M) = \text{Sign}(G/H) \text{Sign}(F(H, M)).$$

Here $N(H)$ is the normalizer of H in G , $|N(H)/H|$ is the order of the finite group $N(H)/H$.

The result is a generalization of the fact that $\text{Sign}(M) = 0$ if M admits a smooth circle action without stationary points.

Lemma 4.2. *Let G be a compact Lie group and H a closed connected subgroup. Let M be a smooth G -manifold such that the identity component of G_x is*

conjugate to H in G for each point x of M . Then

- (a) the $W(H)$ -action on $F(H, M)$ is almost free (i.e. all isotropy groups are discrete), where $W(H) = N(H)/H$,
- (b) there is an equivariant diffeomorphism

$$M = G \times_{N(H)} F(H, M) = G/H \times_{W(H)} F(H, M),$$

- (c) if M is orientable, then $F(H, M)$ is orientable.

Proof. By the assumption, the identity component of G_x is equal to H for each point x of $F(H, M)$, and the mapping

$$/: G \times F(H, M) \rightarrow M$$

given by $f(g, x) = gx$ is surjective. Moreover $f(g, x)$ is in $F(H, M)$ if and only if $g \in N(H)$, thus $W(H)$ acts on $F(H, M)$ naturally and (b) is proved. Next, if an isotropy group $W(H)_x$ is not discrete for a point x of $F(H, M)$, then

$$\dim G_x \neq \dim H.$$

This contradicts our assumption, and (a) is proved. By (b), the product manifold $G/H \times F(H, M)$ is a total space of a principal $W(H)$ -bundle over M . Therefore $G/H \times F(H, M)$ is orientable, if M is orientable, and hence $F(H, M)$ is orientable. q.e.d.

Lemma 4.3. *Let G be a compact Lie group which is not discrete. Let M be a compact orientable smooth manifold without boundary. Then, $\text{Sign}(M) = 0$ if M admits an almost free smooth G -action.*

Proof. G contains a circle subgroup and the circle action on M has no stationary points. Therefore $\text{Sign}(M) = 0$. q.e.d.

Proof of Theorem 4.1. Denote by $W(H)^0$, the identity component of $W(H)$. Then

$$G/H \times_{W(H)^0} F(H, M)$$

is a total space of a principal $W(H)/W(H)^0$ -bundle over M by Lemma 4.2. (b). Therefore

$$|\text{Sign}(W(H)/W(H)^0)| \cdot \text{Sign}(M) = \text{Sign}(G/H \times_{W(H)^0} F(H, M)).$$

Next, $G/H \times_{W(H)^0} F(H, M)$ is a total space of a smooth fibre bundle over an orientable manifold $(G/H)/W(H)$ with a fibre $F(H, M)$ and a structure group $W(H)^0$ which is connected. Therefore

$$\text{Sign}(G/H \times_{W(H)^0} F(H, M)) = \text{Sign}((G/H)/W(H)^0) \cdot \text{Sign}(F(H, M))$$

for a certain orientation of $F(H, M)$ by [4]. By the above equations,

$$I W(H)/W(H)^0 | \text{Sign}(M) = \text{Sign}((G/H)/W(H)^0) \text{Sign}(F(H, M)).$$

Now, if $\dim W(H) \neq 0$ then $\text{Sign}(F(H, M)) = 0$ by Lemma 4.2 (a) and Lemma 4.3. If $\dim W(H) = 0$, then

$$I W(H) | \text{Sign}(M) = \text{Sign}(G/H) \text{Sign}(F(H, M)).$$

This completes the proof.

REMARK 4.4. Let G be an arbitrary compact connected Lie group and T be a maximal torus. Then $\text{Sign}(G/T) = 0$, since G/T is stably parallelizable (see [3], section 5.4).

REMARK 4.5. Let G be a compact connected Lie group and H a closed connected subgroup. Then $\text{Sign}(G/H) = 0$ if

$$\text{rank } G \neq \text{rank } H \quad (\text{see [7]}).$$

Because the left translation on G/H of a maximal torus of G has no stationary points.

5. $SU(3)$ -actions on orientable 8-manifolds

The purpose of this section is to prove the following result.

Theorem 5.1. *Let M be a closed connected orientable 8-manifold. Assume that M admits a non-trivial smooth $SU(3)$ -action with a principal isotropy type (H) . Then*

- (a) $H^4(M; \mathbf{Q}) = 0$, if $\dim H = 0$,
- (b) $\text{Sign}(M) = 0$, if $\dim H = 1$ and M has not isotropy types $(NSU(2))$ and $(T_{(2)})$,
- (c) $\text{Sign}(M) = 0$, if $\dim H = 2$,
- (d) $H^4(M; \mathbf{Q}) = 0$, if $\dim H = 3$ and M has not an isotropy type $(NSU(2))$,
- (e) $M = P_2(\mathbf{C}) \times F(NSU(2), M)$, if $\dim H = 4$.

Here $NSU(2)$ is the normalizer of $SU(2)$ in $SU(3)$, the identity component of $T_{(2)}$ is a maximal torus of $SU(3)$ and $T_{(2)}$ has 2-components.

First we recall an additivity property of the signature due to S.P. Novikov (see [1], p. 588). Suppose that Y is a compact oriented $4n$ -manifold with boundary dY . Let $\hat{H}^{2n}(Y; \mathbf{Q})$ denote the image of the natural homomorphism

$$j^*: H^{2n}(Y, \partial Y; \mathbf{Q}) \rightarrow H^{2n}(Y; \mathbf{Q}).$$

Then the bilinear form B on $i^{2n}(Y \setminus Q)$ defined by

$$B(j^*(a), j^*(b)) = ab[Y]$$

is symmetric and non-degenerate by Poincare-Lefschetz duality. We can now define $\text{Sign}(Y)$ as the signature of B . Suppose now that Y' is another compact oriented $4n$ -manifold with boundary $\partial Y' = -\partial Y$. Then $X = Y \cup_{\partial Y} Y'$ is a closed oriented $4n$ -manifold and

$$(5.2) \quad \text{Sign}(X) = \text{Sign}(Y) + \text{Sign}(Y').$$

REMARK 5.3. Let ξ be an orientable k -plane bundle over a closed orientable manifold X . Denote by $t(\xi)$, $e(\xi)$ and $D(\xi)$, the Thom class, the Euler class and the disk bundle of ξ , respectively. Then $D(\xi)$ is a compact orientable manifold and there is a commutative diagram:

$$\begin{CD} H^*(D(\xi), \partial D(\xi)) @>j^*>> H^*(D(\xi)) \\ @V\cong VV \uparrow \psi @VV\cong V \uparrow \pi^* \\ H^*(X) @<e(\xi)<< H^*(X) \end{CD}$$

Here ψ is the Thom isomorphism defined by

$$\psi(a) = \pi^*(a) \cdot t(\xi).$$

There is an equation

$$\psi(a) \cdot \psi(b) = (-1)^{k \cdot p} \psi(ab \cdot e(\xi)) \quad \text{for } b \in H^p(X).$$

Therefore we can calculate $\text{Sign}(D(\xi))$ from the information about the cohomology ring $H^*(X)$ and the Euler class $e(\xi)$.

Now we prepare the following results.

Lemma 5.4.

- (a) $H^*(SU(3); \mathbf{Z}) = \wedge_{\mathbf{Z}}(x_3, x_5)$, $\text{deg } x_i = i$, $(i=3, 5)$.
- (b) $H^*(SU(3)/SU(2); \mathbf{Z}) = H^*(S^5; \mathbf{Z})$ and the right translation of $NSU(2)/SU(2) = S^1$ induces a trivial action on $H^*(SU(3)/SU(2); \mathbf{Z})$.
- (c) $H^*(SU(3)/SO(3); \mathbf{Q}) = H^*(S^5; \mathbf{Q})$, and the right translation of $NSO(3)/SO(3) = \mathbf{Z}_3$ induces a trivial action on $H^*(SU(3)/SO(3); \mathbf{Q})$.
- (d) $H^*(SU(3)/T; \mathbf{Z}) = \mathbf{Z}[u_1, u_2, u_3]/(s_1, s_2, s_3)$,

where T is a maximal torus of $SU(3)$ consists of all diagonal matrices, s_k is the k -th elementary symmetric polynomials, and $\text{deg } u_i = 2$, $(i=1, 2, 3)$. Furthermore the induced action of $N(T)/T = S_3$, the symmetric group on 3-elements, is given by

$$a^*(u_i) = u_{\sigma(i)}, \quad a \in S_3.$$

- (e) $H^*(SU(3)/D(m, n); \mathbf{Q}) = \wedge_{\mathbf{Q}}(x_2, x_5)$, $\text{deg } x_i = i$, $(i=2, 5)$. Here $D(m, n)$ is a closed one-dimensional subgroup defined by

$$D(m, n) = \left\{ \begin{pmatrix} z^m & & \\ & z^n & \\ & & z^{-(m+n)} \end{pmatrix}; z \in \mathbf{C}, |z|=1 \right\}$$

for any pair of integers $(m, n) \neq (0, 0)$.

Proof. Since $SU(3)/SU(2) = S^5$ (b) is true. (a) is proved by making use of the Gysin sequence for

$$SU(2) \rightarrow SU(3) \rightarrow S^5.$$

(c) is proved from

$$\pi_1(SU(3)/SO(3)) = \mathbf{0} \text{ and } \pi_2(SU(3)/SO(3)) = \mathbf{Z}.$$

(d) is a classical result (see [9]). In fact $u_i = p_i^*(u)$, where u is a generator of $H^2(P_2(\mathbf{C}); \mathbf{Z})$ and $p_i: SU(3)/T \rightarrow P_2(\mathbf{C})$ is defined by

$$p_i((x_{ab}) \cdot T) = (x_{1i}: x_{2i}: x_{3i}).$$

Finally (e) is proved from the fact that the Euler class of principal S^1 -bundle $\pi: SU(3)/D(m, n) \rightarrow SU(3)/T$ is

$$e(\pi) = nu_1 + mu_2,$$

and hence the homomorphism

$$H^2(SU(3)/T; \mathbf{Q}) \xrightarrow{\cdot e(\pi)} H^4(SU(3)/T; \mathbf{Q})$$

is an isomorphism.

q.e.d.

Lemma 5.5.

(a) Let φ be an 8-dimensional non-trivial real representation of $SU(3)$. Let (H_φ) be the principal isotropy type of the linear action given by φ . Then there are only the following cases:

- (i) $\varphi = Ad_{SU(3)}, H_\varphi = T$: a maximal torus of $SU(3)$,
- (ii) $\varphi = \rho_3 + \text{trivial summand}, H_\varphi = SU(2)$,

where $\rho_3: SU(3) \rightarrow O(6)$ is the standard representation.

(b) Let ψ be a 4-dimensional non-trivial real representation of $NSU(2)$. Let (H_ψ) be the principal isotropy type of the linear action given by ψ . Then there are only the following cases:

- (i) $\psi = Ad_{NSU(2)}, H_\psi = T$: a maximal torus of $NSU(2)$,
- (ii) $\psi = \sigma_k, H_\psi = D(k-1, -k), (k \in \mathbf{Z})$,

where the representation $\sigma_k: NSU(2) \rightarrow U(2) \subset O(4)$ is given by

$$\sigma_k \begin{pmatrix} x_{11} & x_{12} & 0 \\ x_{21} & x_{22} & 0 \\ 0 & 0 & y \end{pmatrix} = \begin{pmatrix} y^k x_{11} & y^k x_{12} \\ y^k x_{21} & y^k x_{22} \end{pmatrix}.$$

(iii) ψ is induced from a non-trivial real representation of S^1 , via the natural projection $NSU(2) \rightarrow NSU(2)/SU(2) \cong S^1$, and $H_\psi^0 = SU(2)$, where H_ψ^0 is the identity component of H_ψ .

We omit the proof (see [8], Theorem 1).

From now on we assume that M is a closed connected orientable smooth 8-manifold and M admits a non-trivial smooth $SU(3)$ -action with a principal isotropy type (H) . Then $SU(3)/H$ is orientable by the differentiable slice theorem (see [11], Lemma 3.1).

We will prove Theorem 5.1 by the following many propositions.

Proposition 5.6. *Assume that $SU(3)_x^0$ is conjugate to H^0 in $SU(3)$ for each $x \in M$. Here G^0 is the identity component of G and $SU(3)_x$ is the isotropy group at x . Then,*

- (a) $\text{Sign}(M) = 0$, if $\dim H = 1$ or 2 ,
- (b) $H^4(M; \mathbf{Q}) = 0$, if $\dim H = 0$ or 3 ,
- (c) $M = P_2(\mathbf{C}) \times F(NSU(2), M)$, if $\dim H = 4$.

Proof. If $\dim H = 1$ or 2 , then $\text{Sign}(M) = 0$ by Theorem 4.1 and Remarks 4.4, 4.5. If $\dim H = 0$, then $M = SU(3)/H$ and hence $H^4(M; \mathbf{Q}) = 0$ by Lemma 5.4 (a). By Lemma 4.2, there is an equivariant diffeomorphism

$$M = SU(3)/H^0 \times_{\mathbf{K}} F = N(H^0)/H^0, F = F(H^0, M).$$

If $\dim H = 4$, then H^0 is conjugate to $NSU(2)$ in $SU(3)$ and $N(NSU(2)) = NSU(2)$. Therefore

$$M = P_2(\mathbf{C}) \times F(NSU(2), M).$$

Finally if $\dim H = 3$, then H^0 is conjugate to $SO(3)$ or $SU(2)$ in $SU(3)$. If $H^0 = SO(3)$, then $\dim F = 3$ and

$$H^4(M; \mathbf{Q}) = H^4(SU(3)/SO(3) \times F, \mathbf{Q}) = 0$$

by Lemma 5.4 (c). Next if $H^0 = SU(2)$, then $\dim F = 4$, F admits a smooth S^1 -action without stationary points and there is an equivariant diffeomorphism

$$M = S^5 \times_{S^1} F.$$

There is a sufficiently large integer n such that the S^1/\mathbf{Z}_n -action on the orbit space F/\mathbf{Z}_n is free. Then there is an isomorphism

$$H^*(M; \mathbf{Q}) = H^*(M'; \mathbf{Q}),$$

where

$$M' = (S^5/\mathbf{Z}_n \times F/\mathbf{Z}_n)/(S^1/\mathbf{Z}_n),$$

and there is a fibre bundle

$$S^5/\mathbf{Z}_n \rightarrow M' \rightarrow F/S^1$$

with a structure group S^1/\mathbf{Z}_n . Here $F/S^1 = (F/\mathbf{Z}_n)/(S^1/\mathbf{Z}_n)$ is a 3-dimensional rational cohomology manifold. Therefore

$$H^4(M; \mathbf{Q}) = H^4(M'; \mathbf{Q}) = 0. \quad \text{q.e.d.}$$

REMARK 5.7. Now Theorem 5.1 is proved for $\dim H = 0$ or 4 . Moreover, Theorem 5.1 is proved for the case $H^0 = SO(3)$, since $SO(3)$ is not conjugate to any subgroup of $NSU(2)$ in $SU(3)$ and H with $H^0 = SO(3)$ is not a principal isotropy group of any 8-dimensional real representation of $SU(3)$ by Lemma 5.5.

Proposition 5.8. *Suppose $\dim H = 1$. Then $\text{Sign}(M) = 0$, if M has not isotropy types $(NSU(2))$ and $(T_{(2)})$.*

Proof. By Proposition 5.6 (a), one may assume that there is an isotropy type (K_1) with $\dim K_1 > 1$. Then by making use of the differentiable slice theorem, there is an isotropy type (K_2) and there is an equivariant decomposition

$$M = D(\nu_1) \cup D(\nu_2),$$

where $D(\nu_i)$ is an equivariant normal disk bundle of an embedding $SU(3)/K_i$ CM , and

$$\partial D(\nu_1) = -\partial D(\nu_2) = SU(3)/H.$$

Thus

$$\text{Sign}(M) = \text{Sign}(D(\nu_1)) + \text{Sign}(D(\nu_2)).$$

Since

$$H^4(SU(3)/K; \mathbf{Q}) = 0 \quad \text{for } \dim K \neq 2, 4,$$

by Lemma 5.4, $\text{Sign}(D(\nu_i)) = 0$ for $\dim K_i \neq 2, 4$. Let K be a 2-dimensional closed subgroup of $SU(3)$. Then K is conjugate to one of the following

$$T, T_{(2)}, T_{(3)} \quad \text{and} \quad N(T) = T_{(6)}.$$

Here $T_{(i)}^0 = T$ and $T_{(i)}$ has i -components. By Lemma 5.4 (d),

$$\begin{aligned} H^4(SU(3)/T; \mathbf{Q}) &= \mathbf{Q} \oplus \mathbf{Q}, \\ H^4(SU(3)/T_{(2)}; \mathbf{Q}) &= \mathbf{Q}, \\ H^4(SU(3)/T_{(3)}; \mathbf{Q}) &= H^4(SU(3)/N(T); \mathbf{Q}) = 0. \end{aligned}$$

Thus $\text{Sign}(D(v_i))=0$, if $K_i=T_{(3)}$ or $N(T)$. If $K_i=T$, then $\text{Sign}(D(v_i))=0$ from Lemma 5.4 (d) and Remark 5.3. q.e.d.

REMARK 5.9. If $\dim H=2$ in Theorem 5.1, then $H=T$ or $T_{(3)}$, since $SU(3)/T_{(2)}$ and $SU(3)/N(T)$ are non-orientable by Lemma 5.4(d). Theorem 5.1 is proved for $H=T_{(3)}$ by Proposition 5.6, since $T_{(3)}$ is not conjugate to any subgroup of $NSU(2)$ in $SU(3)$ and $T_{(3)}$ is not a principal isotropy group of any 8-dimensional real representation of $SU(3)$ by Lemma 5.5. Therefore, it remains to prove Theorem 5.1 for the cases $H=T$ and $H^0=SU(2)$.

Proposition 5.10. *Suppose $H=T$. Then $\text{Sign}(M)=0$.*

Proof. If $F(NSU(2), M)$ is empty, then $\text{Sign}(M)=0$ by Proposition 5.6. Now we assume that $F(NSU(2), M)$ is not empty. Then

$$\dim F(NSU(2), M) = 1$$

by Lemma 5.5, and any stationary point (if exists) of $SU(3)$ is isolated by Lemma 5.5 (a). Let

$$F(SU(3), M) = \{x_1, \dots, x_k\}, \quad (k \geq 0)$$

and let D_i be an invariant closed disk around x_i , such that

$$D_i \cap D_j = \mathbf{0} \quad \text{for } i \neq j.$$

Let $D=D_1 \cup \dots \cup D_k$ and $E=M - \text{int } D$. Then

$$D_i \cap F(NSU(2), E) \neq \emptyset, \quad (i = 1, \dots, k)$$

by Lemma 5.5 (a). Let

$$E_0 = \{x \in E \mid (SU(3))_x = (NSU(2))\},$$

let U_0 be an invariant closed tubular neighborhood of E_0 in E , and let $U=U_0 \cup D$. Then $M - \text{int } U$ is connected and

$$(SU(3))_x^0 = (T), \quad \text{for } x \in M - \text{int } U.$$

Therefore, there is an equivariant diffeomorphism

$$M - \text{int } U = SU(3)/T \times_{N(T)/T} F, \quad F = F(T, M - \text{int } U)$$

by Lemma 4.2, and there is a commutative diagram:

$$\begin{array}{ccc} H^*(M - \text{int } U; \mathbf{Q}) & \xrightarrow{i^*} & H^*(\partial(M - \text{int } U); \mathbf{Q}) \\ \cong \downarrow p^* & & \cong \downarrow p^* \\ H^*(SU(3)/T \times F; \mathbf{Q})^{N(T)/T} & \xrightarrow{i_0^*} & H^*(SU(3)/T \times \partial F; \mathbf{Q})^{N(T)/T} \end{array}$$

Here i_0^* is injective, since $H^{odd}(SU(3)/T\mathbf{Q})=0$ by Lemma 5.4 (d), $\dim F=2$, and each connected component of F has non-empty boundary from the connectedness of $M-\text{int } U$. Thus

$$\hat{H}^*(M-\text{int } U; \mathbf{Q}) = 0 ,$$

and hence $\text{Sign}(M-\text{int } U)=0$. Next, let U_1, \dots, U_n be connected components of U . Then we can prove that

$$\begin{aligned} \hat{H}^*(U_i; \mathbf{Q}) &= 0 , & \text{if } U \cap D = \emptyset , \\ H^*(U_i; \mathbf{Q}) &= 0 , & \text{if } U_i \cap D \neq \emptyset , \end{aligned}$$

and hence

$$\text{Sign}(U) = \text{Sign}(U_1) + \dots + \text{Sign}(U_n) = 0 .$$

Therefore

$$\text{Sign}(M) = \text{Sign}(M-\text{int } U) + \text{Sign}(U) = 0 . \qquad \text{q.e.d.}$$

We recall the following result which is essentially proved in the proof of Proposition 5.6 (b).

Lemma 5.11. *Let X be a compact connected orientable smooth n -manifold (∂X is empty or not). Let $n=7$ or 8 . Assume that X admits a smooth $SU(3)$ -action with*

$$(SU(3)_x^0) = (SU(2)) \quad \text{for } x \in X.$$

Then

$$H^{n-4}(X; \mathbf{Q}) = 0 .$$

Proposition 5.12. *Assume that $H^0=SU(2)$ and M has not an isotropy type $(NSU(2))$. Then $H^4(M; \mathbf{Q})=0$.*

Proof. If $F(SU(3), M)=\emptyset$, then $H^4(M; \mathbf{Q})=0$ by Lemma 5.11. Next if $F(SU(3), M) \neq \emptyset$, then $\dim F(SU(3), M)=2$ by Lemma 5.5 (a). Let U be an invariant closed tubular neighborhood of $F(SU(3), M)$ in M . Then there is an exact sequence:

$$H^3(\partial U; \mathbf{Q}) \rightarrow H^4(M; \mathbf{Q}) \rightarrow H^4(U; \mathbf{Q}) \oplus H^4(M-\text{int } U; \mathbf{Q}) .$$

Here

$$H^3(\partial U; \mathbf{Q}) = H^4(M-\text{int } U; \mathbf{Q}) = 0$$

by Lemma 5.11, and

$$H^4(U; \mathbf{Q}) = H^4(F(SU(3), M); \mathbf{Q}) = 0 .$$

Therefore

$$H^4(M; \mathbf{Q}) = 0 . \qquad \text{q.e.d.}$$

This completes the proof of Theorem 5.1.

6. SZ7(3)-actions on cohomology $P_4(\mathbf{C})$

In the previous paper [13] we have considered smooth $SU(3)$ -actions on homotopy $P_3(\mathbf{C})$. In this section, first we prove the following result as an application of Theorem 5.1.

Theorem 6.1. *Let M be a compact connected orientable 8-manifold such that*

$$H^*(M; \mathbf{Q}) = H^*(P_4(\mathbf{C}); \mathbf{Q}) .$$

Then for any non-trivial smooth $SU(3)$ -action on M , the stationary point set is a 2-sphere and the principal isotropy type is $(SU(2))$. Furthermore there is an equivariant diffeomorphism

$$M = \partial(D^6 \times X) / S^1 .$$

Here X is a compact connected orientable 4-manifold which is acyclic over rationals, X admits a smooth S^1 -action which is free on ∂X , the $SU(3)$ -action is standard on D^6 and trivial on X .

Proof. Denote by (H) , the principal isotropy type of the given $SU(3)$ -action on M . Since $\text{Sign}(M) \neq 0$, the following are the only possible cases from Theorem 5.1,

- (a) $\dim H=1$ and M has an isotropy type $(NSU(2))$ or $(T_{(2)})$,
- (b) $H^0 = SU(2)$ and M has an isotropy type $(NSU(2))$,
- (c) $H = NSU(2)$ and $M = P_2(\mathbf{C}) \times F(NSU(2), M)$.

If $H = NSU(2)$, then $\chi(M) = 5$ is divisible by $\chi(P_2(\mathbf{C})) = 3$, and this is a contradiction. Next if $\dim H = 1$, then there is a decomposition

$$M = D(\nu_1) \cup D(\nu_2)$$

as in the proof of Proposition 5.8, where $D(\nu_i)$ is a normal disk bundle over $SU(3)/K_i$. One may assume $K_1 = NSU(2)$ or $T_{(2)}$, and hence

$$\chi(SU(3)/K_1) = 3$$

by Lemma 5.4. On the other hand,

$$5 = \chi(M) = \chi(SU(3)/K_1) + \chi(SU(3)/K_2)$$

Thus $\chi(SU(3)/K_2) = 2$, and hence $K_2 = T_{(3)}$ by Lemma 5.4. Since $H^2(SU(3)/T_{(3)}; \mathbf{Q}) = 0$, there is a contradiction in the following exact sequence of rational cohomology groups :

$$\begin{aligned} H^1(\partial D(\nu_1)) \rightarrow H^2(M) &\rightarrow H^2(SU(3)/K_1) \oplus H^2(SU(3)/K_2) \\ &\rightarrow H^2(\partial D(\nu_1)) \rightarrow H^3(M) . \end{aligned}$$

Therefore we obtain $H^0=SU(2)$. If $F(SU(3),M)=\emptyset$, then there is a fibre bundle

$$F(SU(2),M) \rightarrow M \rightarrow P_2(\mathbf{C}) .$$

Thus $\chi(M)=5$ is divisible by $\chi(P_2(\mathbf{C}))=3$, and this is a contradiction. Hence $F(SU(3),M) \neq \emptyset$ and this implies $H=SU(2)$ by Lemma 5.5 (a). Let U be an invariant tubular neighborhood of $F(SU(3),M)$ in M . Then

$$X = F(SU(2), M - \text{int } U)$$

is a compact connected orientable 4-manifold with the natural action of $NSU(2)/SU(2)=S^1$ which is free on ∂X . Furthermore there is an equivariant diffeomorphism

$$M = \partial(D^6 \times X)/S^1 ,$$

and X is acyclic over rationals by the same argument as in the proof of Theorem 2.1. Finally,

$$F(SU(3), M) = \partial X/S^1 = S^2 . \qquad \text{q.e.d.}$$

Next, as a complementary part of Theorem 5.1, we give examples of certain $SU(3)$ -actions on 8-manifolds with non-zero signature.

Let $\psi : NSU(2) \rightarrow U(3)$ be a unitary representation of $NSU(2)$. Then ψ induces a smooth $NSU(2)$ -action ψ_* on $P_2(\mathbf{C})$. Denote by $M(\psi)$, the orbit manifold of the free smooth action of $NSU(2)$ on $SU(3) \times P_2(\mathbf{C})$ given by

$$h \cdot (g, x) = (gh^{-1}, \psi_*(h, x)), \quad g \in SU(3), \quad h \in NSU(2), \quad x \in P_2(\mathbf{C}) .$$

Then the compact connected orientable 8-manifold $M(\psi)$ admits a natural smooth $SU(3)$ -action without stationary points and

$$\text{Sign}(M(\psi)) = 1 .$$

EXAMPLE 6.2. Let $\alpha_k : NSU(2) \rightarrow U(3)$ be a unitary representation given by

$$\alpha_k \left(\begin{array}{ccc|ccc} * & * & 0 & /1 & 0 & 0 \\ * & * & 0 & | & 0 & 1 & 0 \\ 0 & 0 & y & \backslash & 0 & 0 & y^k \end{array} \right) .$$

Then $M(\alpha_k)$ has just two isotropy types

$$(SU(2)_{(k)}) \quad \text{and} \quad (NSU(2)) ,$$

where $SU(2)_{(k)}$ has k -components and its identity component is $SU(2)$. (see Theorem 5.1 (d))

EXAMPLE 6.3. Let $\beta_k : NSU(2) \rightarrow U(3)$ be a unitary representation given by

$$\beta_k \begin{pmatrix} x_{11} & x_{12} & 0 \\ x_{21} & x_{22} & 0 \\ 0 & 0 & y \end{pmatrix} = \begin{pmatrix} x_{11} & x_{12} & 0 \\ x_{21} & x_{22} & 0 \\ 0 & 0 & y^k \end{pmatrix}.$$

Then $M(\beta_k)$ has just three isotropy types

$$(D(k, -k-1)), (T) \text{ and } (NSU(2)),$$

where $D(k, -k-1)$ is a closed one-dimensional subgroup defined in Lemma 5.4. (see Theorem 5.1 (b))

EXAMPLE 6.4. Let $\gamma: NSU(2) \rightarrow U(3)$ be a unitary representation given by

$$\gamma \begin{pmatrix} a & b & 0 \\ c & d & 0 \\ 0 & 0 & * \end{pmatrix} = \begin{pmatrix} a^2 & \sqrt{2}ab & b^2 \\ \sqrt{2}ac & ad+bc & \sqrt{2}bd \\ c^2 & \sqrt{2}cd & d^2 \end{pmatrix}.$$

Then $M(\gamma)$ has just three isotropy types

$$(D(1, 1)_{(2)}), (T) \text{ and } (T_{(2)}),$$

where $G_{(2)}$ is a subgroup of $SU(3)$ such that $G_{(2)}$ has 2-components and its identity component is G . (see Theorem 5.1 (b))

7. Classification of smooth $SU(n)$ -actions on orientable $2n$ -manifolds

Let M be a compact connected $2n$ -manifold with non-trivial smooth $SU(n)$ -action, then the identity component of each isotropy group is conjugate to one of the following

$$SU(n), SU(n-1) \text{ and } NSU(n-1),$$

for $n \geq 5$. This is proved similarly as Lemma 1.5. Therefore there is an equivariant diffeomorphism

$$M = \partial(D^{2n} \times X) / S^1$$

as $SU(n)$ -manifolds by (1.1) and (1.4). Here X is a compact connected 2-dimensional S^1 -manifold and the S^1 -action on dX is free if dX is non-empty. Furthermore if M is orientable, then X is also orientable. Next we remark that for orientable 2-dimensional S^1 -manifold X , if the isotropy group $S^1_x \neq S^1$ for $x \in X$, then S^1_x is a principal isotropy group by the differentiable slice theorem, and hence the S^1 -space $X - F(S^1, X)$ has just one isotropy type.

(i) If X has just one isotropy type (S^1), then $\partial X = \emptyset$ and

$$M = P_{n-1}(\mathbb{C}) \times X.$$

(ii) If X has just one isotropy type (\mathbf{Z}_k) , then

$$\begin{aligned} M &= S^{2n} && \text{if } \partial X \neq \emptyset, \\ M &= L^{2n-1}(k) \times S^1 && \text{if } \partial X = \emptyset. \end{aligned}$$

Here $L^{2n-1}(k) = S^{2n-1}/\mathbf{Z}_k$ is a standard lens space.

(iii) If X has just two isotropy types (\mathbf{Z}_k) and (S^1) , then

$$\begin{aligned} M &= P_n(\mathbf{C}) && \text{if } \partial X \neq \emptyset, \\ M &= S^{2n-1} \times_{S^1} S^2_{(k)} && \text{if } \partial X = \emptyset. \end{aligned}$$

Here $S^2_{(k)}$ is a 2-sphere with the S^1 -action given by

$$e^{i\theta} (x_0, x_1, x_2) = (x_0, x_1 \cos k\theta + x_2 \sin k\theta, -x_1 \sin k\theta + x_2 \cos k\theta).$$

This completes the classification.

OSAKA UNIVERSITY

References

- [1] M.F. Atiyah and I.M. Singer: *The index of elliptic operators III*, Ann. of Math. 87 (1968), 546-604.
- [2] A. Borel et al.: *Seminar on Transformation Groups*, Ann. of Math. Studies, 46, Princeton Univ. Press, 1960.
- [3] A. Borel and F. Hirzebruch: *Characteristic classes and homogeneous spaces III*, Amer. J. Math. 82 (1960), 491-504.
- [4] S.S. Chern, F. Hirzebruch and J.P. Serre: *On the index of a fibered manifold*, Proc. Amer. Math. Soc. 8 (1957), 587-596.
- [5] R. Crowell and R. Fox: *Introduction to Knot Theory*, Ginn and Co., 1963.
- [6] E.B. Dynkin: *The maximal subgroups of the classical groups*, Amer. Math. Soc. Transl. 6 (1957), 245-378.
- [7] A. Hattori: *The index of coset spaces of compact Lie groups*, J. Math. Soc. Japan 14 (1962), 26-36.
- [8] W.Y. Hsiang: *On the principal orbit type and P.A. Smith theory of $SU(p)$ actions*, Topology 6 (1967), 125-135.
- [9] J. Leray: *Sur l'anneau d'homologie de l'espace homogène*, C. R. Acad. Sci. Paris, 223 (1946), 412-415.
- [10] J. Milnor: *Lectures on the h -cobordism Theorem*, Princeton Math. Notes, 1965.
- [11] D. Montgomery, H. Samelson and C.T. Yang: *Exceptional orbits of highest dimension*, Ann. of Math. 64 (1956), 131-141.
- [12] I. Tamura: *Variety of varieties* (Japanese), Sugaku 21 (1969), 275-285.
- [13] F. Uchida: *Linear $SU(n)$ -actions on complex projective spaces*, Osaka J. Math. 11 (1974), 473-481.
- [14] H. Weyl: *The Classical Groups*, 2nd ed. Princeton Univ. Press, 1946.