

## LINEAR $SU(n)$ -ACTIONS ON COMPLEX PROJECTIVE SPACES

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### 0. Introduction

Let  $U_*$  be the bordism ring of weakly complex manifolds and let  $G$  be a compact Lie group. Denote by  $SF(G)$ , an ideal in  $U_*$  of those bordism classes represented by a weakly complex manifold on which the group  $G$  acts smoothly without stationary points and the action preserves a weakly complex structure.

For a compact abelian Lie group  $G$  the ideal  $SF(G)$  was computed by tom Dieck [8]. Such ideals are similarly defined in the bordism ring  $\Omega_*$  of oriented manifolds and those were computed for certain abelian groups by Floyd [3] and Stong [7]. But it seems that there is no useful method to compute the ideal  $SF(G)$  for a non-abelian Lie group  $G$ .

First we give an upper bound and a lower bound of  $SF(G)$  for any compact Lie group  $G$ . To state our result precisely we introduce some notations as follows. Denote by  $I(G)$ , a set of positive integers such that  $n \in I(G)$  if and only if there is an  $n$ -dimensional complex  $G$ -vector space without  $G$ -invariant one-dimensional subspaces, by  $m(G)$  the maximum dimension of proper closed subgroups of  $G$ , and put

$$n(G) = \dim G - m(G).$$

It is known that the bordism ring  $U_* = \sum_{k \geq 0} U_{2k}$  is generated by a set of bordism classes

$$\{[P_n(\mathbf{C})], [H_{p,q}(\mathbf{C})]; n \geq 0, p \geq q > 0\}$$

as a ring. Now we define ideals  $L(G)$ ,  $M(G)$  in  $U_*$  as follows. Let  $L(G)$  be an ideal in  $U_*$  generated by a set

$$\{[P_n(\mathbf{C})], [H_{m+n,n}(\mathbf{C})]; n+1 \in I(G), m \geq 0\}$$

and let

$$M(G) = \sum_{2k \geq n(G)} U_{2k}.$$

Then we have following results,

**Theorem 0.1.** *For any compact Lie group  $G$ ,*

$$L(G) \subset SF(G) \subset M(G).$$

**Corollary.**  $SF(SU(2)) = SF(U(2)) = \sum_{n>0} U_{2n}$ .

For each positive integer  $n$ ,  $P_n(\mathbf{C})$  admits a linear  $SU(2)$ -action without stationary points, but for example  $P_3(\mathbf{C})$  does not admit a linear  $SU(3)$ -action without stationary points. Thus we next consider  $SU(3)$ -actions on  $P_3(\mathbf{C})$  and we have a following result. Denote by  $hP_3(\mathbf{C})$ , a compact smooth 6-dimensional manifold homotopy equivalent to  $P_3(\mathbf{C})$ .

**Theorem 0.2.** (a) *Any smooth  $SU(3)$ -action on  $hP_3(\mathbf{C})$  has at least one stationary point.* (b) *Any non-trivial smooth  $SU(3)$ -action on  $hP_3(\mathbf{C})$  is equivariantly diffeomorphic to a linear  $SU(3)$ -action on  $P_3(\mathbf{C})$ .*

### 1. Weakly complex $G$ -manifolds without stationary points

Let  $G$  be a Lie group and  $V$  be an  $n$ -dimensional complex  $G$ -vector space. Denote by  $P(V)$  the complex projective space  $P_{n-1}(\mathbf{C})$  with an induced  $G$ -action. We call such a  $G$ -action on  $P_{n-1}(\mathbf{C})$  a linear  $G$ -action. Then  $P(V)$  is a weakly complex  $G$ -manifold in the sense of Conner-Floyd [1]. Denote by  $[v]$  a point of  $P(V)$  represented by a non-zero vector  $v$  of  $V$ . Then

**Lemma 1.1.** *A point  $[v]$  of  $G$ -manifold  $P(V)$  is a stationary point if and only if the vector  $v$  spans a  $G$ -invariant one-dimensional subspace of  $V$ .*

**Lemma 1.2.** *Any smooth  $G$ -action on a manifold  $M$  is trivial, if  $\dim M < n(G) = \dim G - m(G)$ . Here  $m(G)$  is the maximum dimension of proper closed subgroups of  $G$ .*

*Proof.* If  $x \in M$  is not a stationary point, then the isotropy subgroup  $G_x$  at  $x$  is a proper closed subgroup of  $G$ , the orbit  $G \cdot x$  is a submanifold of  $M$ , and  $G \cdot x$  is diffeomorphic to the homogeneous space  $G/G_x$ . Then

$$\dim M \geq \dim(G \cdot x) = \dim G - \dim G_x \geq \dim G - m(G).$$

REMARK. The integer  $m(G)$  was calculated by Mann [5] for compact connected simple Lie groups  $G$ , by making use of Dynkin's work [2].

*Proof of Theorem 0.1.* Let  $V$  be an  $n$ -dimensional complex  $G$ -vector space and  $W$  be an  $m$ -dimensional complex  $G$ -vector space. The canonical  $G$ -action on the dual space  $V^* = \text{Hom}_{\mathbf{C}}(V, \mathbf{C})$  is defined by

$$(g \cdot u)(v) = u(g^{-1} \cdot v); g \in G, u \in V^*, v \in V.$$

Define

$$H(V \oplus W, V^*) = \{([v+w], [u]) \in P(V \oplus W) \times P(V^*) : u(v) = 0\},$$

then  $H(V \oplus W, V^*)$  is a manifold  $H_{m+n-1, n-1}(\mathbf{C})$  with a weakly complex  $G$ -action. If  $V$  has no  $G$ -invariant one-dimensional subspaces, then the  $G$ -action on  $H(V \oplus W, V^*)$  has no stationary points by Lemma 1.1. Therefore the inclusion  $L(G) \subset SF(G)$  is proved. Next the inclusion  $SF(G) \subset M(G)$  follows from Lemma 1.2. This completes the proof of Theorem 0.1.

Next we consider the case for  $G = SU(n)$ , the special unitary group. Let  $I(G)$  be the set of positive integers defined in the introduction. Then by definition

$$(1.3) \quad n_1, n_2 \in I(G) \text{ implies } n_1 + n_2 \in I(G).$$

**Lemma 1.4.** *Any binomial coefficient  $\binom{n+k-1}{k}$  is contained in  $I(SU(n))$  for  $n \geq 2$  and  $k \geq 1$ .*

Proof. Denote by  $V_n$  the complex vector space  $\mathbf{C}^n$  with the standard  $SU(n)$ -action. Then the  $k$ -th symmetric product  $S_k(V_n)$  is irreducible as a complex  $SU(n)$ -vector space for each  $k \geq 1$  and

$$\dim_{\mathbf{C}} S_k(V_n) = \binom{n+k-1}{k}.$$

**Corollary 1.5.**  $SF(SU(2)) = SF(U(2)) = \sum_{n>0} U_{2n}$ .

Proof. Since  $I(SU(2)) = I(U(2))$  consists all positive integers  $n \geq 2$  by Lemma 1.4,

$$L(SU(2)) = L(U(2)) = \sum_{n>0} U_{2n}.$$

On the other hand,

$$M(SU(2)) = M(U(2)) = \sum_{n>0} U_{2n}$$

by the connectivity of  $SU(2)$  and  $U(2)$ .

## 2. $SU(3)$ -actions on $P_3(\mathbf{C})$

Let us first recall some basic facts in differentiable transformation groups.

(i) Let  $G$  be a compact Lie group acting on a manifold  $M$ . Then by averaging an arbitrary given Riemannian metric on  $M$ , we may have a  $G$ -invariant Riemannian metric on  $M$ .

(ii) Let  $x \in M$ , then the isotropy subgroup  $G_x$  acts on a normal vector space  $N_x$  of the orbit  $G \cdot x$  at  $x$  orthogonally; we call it the normal representation of  $G_x$  at  $x$  and denote by  $\rho_x$ .

(iii) (The differentiable slice theorem) Let  $E(\nu)$  be the normal bundle of the orbit  $G \cdot x = G/G_x$ . Then

$$E(\nu) = G \times_{G_x} N_x$$

where  $G_x$  acts on  $N_x$  via  $\rho_x$ . We note that  $G$  acts naturally on  $E(\nu)$  as bundle mappings and we may choose small positive real number  $\varepsilon$  such that the exponential mapping gives an equivariant diffeomorphism of the  $\varepsilon$ -disk bundle of  $E(\nu)$  onto an invariant tubular neighborhood of  $G \cdot x$ . ([6], Lemma 3.1)

(iv) Let  $H \subset G$  be a closed subgroup. Denote by  $(H)$ , the set of all subgroups of  $G$  which are conjugate to  $H$  in  $G$ . We introduce the following partial ordering relation " $<$ " by defining  $(H_1) < (H_2)$  if and only if there exist  $H_1 \in (H_1)$  and  $H_2 \in (H_2)$  such that  $H_1 \subset H_2$ . If  $M$  is connected, then there exists an absolute minimal  $(H)$  among the conjugate classes in  $\{G_x | x \in M\}$ , moreover the set

$$M_{(H)} = \{x \in M | G_x \in (H)\}$$

is a dense open submanifold. The conjugate class  $(H)$  is called the type of principal isotropy subgroups. ([6], (2.2) and (2.4))

Combining (iii) and (iv), we have a following lemma.

**Lemma 2.1.** *If  $M$  is connected, then the normal representation of  $G_x$  at  $x \in M$  is trivial if and only if  $G_x$  is a principal isotropy subgroup.*

Now we consider  $SU(3)$ -actions. Let  $H$  be a closed subgroup of  $SU(3)$ . Denote by  $N(H)$  the normalizer of  $H$  in  $SU(3)$ .

**Lemma 2.2.** (a) *Let  $H$  be a closed connected proper subgroup of  $SU(3)$  with  $\dim H \geq 3$ , then  $H$  is conjugate to  $SU(2)$ ,  $SO(3)$  or  $N(SU(2))$ . (b) There are isomorphisms,  $N(SU(2))/SU(2) \cong S^1$ , the circle group;  $N(SO(3))/SO(3) \cong Z_3$ , the cyclic group of order 3;  $N(N(SU(2))) = N(SU(2))$ , as the subgroups of  $SU(3)$ . (c)  $N(SU(2))$  does not contain any subgroup which is conjugate to  $SO(3)$ .*

*Proof.* (a) is proved by considering the structure of Lie algebra of  $SU(3)$  and the 3-dimensional unitary representations of  $SU(2)$ . (b) is proved by direct calculation. (c) is true since  $N(SU(2)) \subset SU(3)$  is not irreducible but  $SO(3) \subset SU(3)$  is irreducible.

REMARK.  $\dim SU(3) = 8$  and  $\dim SU(2) = \dim SO(3) = 3$ .

**Lemma 2.3.** *Let  $M$  be an orientable connected 6-dimensional manifold with smooth  $SU(3)$ -action. If an isotropy subgroup  $SU(3)_x$  is of 3-dimensional, then  $SU(3)_x$  is a principal isotropy subgroup.*

*Proof.* First we may prove that the homogeneous space  $SU(3)/SU(3)_x$  is an orientable 5-manifold by Lemma 2.2. Thus the normal bundle  $E(\nu)$  of

$SU(3)/SU(3)_x$  is a trivial line bundle, since  $M$  and  $SU(3)/SU(3)_x$  are orientable. But if the normal representation of  $SU(3)_x$  at  $x \in M$  is non-trivial, then the normal bundle  $E(\nu)$  is non-orientable. This is a contradiction. Therefore the result follows from Lemma 2.1.

Now we consider non-trivial smooth  $SU(3)$ -actions on  $hP_3(\mathbf{C})$ , a compact 6-dimensional manifold with the homotopy type of  $P_3(\mathbf{C})$ .

**Lemma 2.4.** (a) *Any isotropy subgroup is of dimension  $\geq 3$ .* (b)  *$hP_3(\mathbf{C})$  does not admit only one type ( $H$ ) of isotropy subgroups for any proper subgroup  $H$  of  $SU(3)$ .*

*Proof.* If  $\dim SU(3)_x \leq 1$ , then the 6-dimensional manifold  $hP_3(\mathbf{C})$  contains a submanifold  $SU(3)/SU(3)_x$  of dimension  $\geq 7$ . This is a contradiction. Next if  $\dim SU(3)_x = 2$ , then  $SU(3)/SU(3)_x$  is an open and closed submanifold of  $hP_3(\mathbf{C})$ . Therefore

$$hP_3(\mathbf{C}) = SU(3)/SU(3)_x.$$

By an exact sequence of homotopy groups

$$\pi_2(SU(3)) \rightarrow \pi_2(SU(3)/SU(3)_x) \rightarrow \pi_1(SU(3)_x) \rightarrow \pi_1(SU(3)),$$

we obtain  $\pi_1(SU(3)_x) = Z$ , an infinite cyclic group, since  $SU(3)$  is 2-connected. On the other hand, since  $\dim SU(3)_x = 2$ , the identity component of  $SU(3)_x$  is isomorphic to a 2-dimensional toral group, and hence  $\pi_1(SU(3)_x) = Z \oplus Z$ . This is a contradiction. Next we prove (b). It is sufficient to consider the case

$$\dim H = 3 \text{ or } 4,$$

by (a) and Lemma 2.2. If  $hP_3(\mathbf{C})$  admits only one type ( $H$ ) of isotropy subgroups, then there is a differentiable fibering

$$SU(3)/H \rightarrow h_3P(\mathbf{C}) \xrightarrow{p} h_3P(\mathbf{C})/SU(3),$$

and the orbit space  $hP_3(\mathbf{C})/SU(3)$  is a compact manifold without boundary, by the differentiable slice theorem (iii). First if  $\dim H = 3$ , then the orbit space is of one-dimensional and hence

$$hP_3(\mathbf{C})/SU(3) = S^1.$$

By exact sequences

$$\begin{aligned} \pi_3(S^1) &\rightarrow \pi_2(SU(3)/H) \rightarrow \pi_2(hP_3(\mathbf{C})) \xrightarrow{p_*} \pi_2(S^1), \\ \pi_2(SU(3)) &\rightarrow \pi_2(SU(3)/H) \rightarrow \pi_1(H) \rightarrow \pi_1(SU(3)), \end{aligned}$$

we obtain  $\pi_1(H) = Z$ . On the other hand  $\pi_1(H) = 0$  or  $Z_2$ , since  $\pi_1(SU(2)) = 0$

and  $\pi_1(SO(3))=Z_2$ . This is a contradiction. Next if  $\dim H=4$ , then  $H$  is conjugate to  $N(SU(2))$  and the orbit space  $hP_3(\mathbf{C})/SU(3)$  is of 2-dimensional. Since

$$SU(3)/N(SU(2))=P_2(\mathbf{C}),$$

there is an exact sequence

$$\pi_1(hP_3(\mathbf{C})) \rightarrow \pi_1(hP_3(\mathbf{C})/SU(3)) \rightarrow \pi_0(P_2(\mathbf{C})).$$

Thus the orbit space is a simply connected 2-dimensional compact manifold without boundary. Therefore

$$hP_3(\mathbf{C})/SU(3) = S^2.$$

Then there is a contradiction in the following exact sequence

$$\pi_4(hP_3(\mathbf{C})) \rightarrow \pi_4(hP_3(\mathbf{C})/SU(3)) \rightarrow \pi_3(P_2(\mathbf{C})),$$

since  $\pi_4(S^2)=Z_2$ .

REMARK 2.5. By the above consideration, if there is a smooth  $SU(3)$ -action on  $hP_3(\mathbf{C})$  without stationary points, then  $hP_3(\mathbf{C})$  admits just two types ( $H$ ) and ( $N(SU(2))$ ) of isotropy subgroups, where the identity component of  $H$  is  $SU(2)$ .

Proof of Theorem 0.2 (a). If there is a smooth  $SU(3)$ -action on  $hP_3(\mathbf{C})$  with just two types ( $H$ ) and ( $N(SU(2))$ ) of isotropy subgroups, where the identity component of  $H$  is  $SU(2)$ , then  $hP_3(\mathbf{C})$  is a special  $SU(3)$ -manifold in the sense of Hirzebruch-Mayer [4]. Therefore the orbit space  $hP_3(\mathbf{C})/SU(3)$  is a compact smooth manifold with boundary, and hence

$$hP_3(\mathbf{C})/SU(3) = [0,1].$$

Let  $p:hP_3(\mathbf{C})\rightarrow[0,1]$  be a projection and

$$X_0 = p^{-1}\left(\left[0, \frac{1}{2}\right]\right), X_1 = p^{-1}\left(\left[\frac{1}{2}, 1\right]\right).$$

Then  $X_0$  and  $X_1$  are diffeomorphic to the disk bundle of  $n$ -fold tensor product of the canonical complex line bundle over  $P_2(\mathbf{C})$  for certain positive integer  $n$ , by the differentiable slice theorem (iii). Therefore  $X_0 \cap X_1$  is a 5-dimensional rational homology sphere. Then there is a contradiction in the following exact sequence of cohomology groups with rational coefficients,

$$H^1(X_0 \cap X_1) \rightarrow H^2(hP_3(\mathbf{C})) \rightarrow H^2(X_0) \oplus H^2(X_1) \rightarrow H^2(X_0 \cap X_1).$$

Therefore any smooth  $SU(3)$ -action on  $hP_3(\mathbf{C})$  has at least one stationary point, by Remark 2.5.

**Lemma 2.6.** *Consider a non-trivial smooth  $SU(3)$ -action on a connected 6-dimensional manifold  $M$ . Let  $x \in M$  be a stationary point. Then the normal representation  $SU(3) \rightarrow O(6)$  is equivalent to the standard inclusion  $SU(3) \subset O(6)$ , and  $SU(2)$  is a principal isotropy subgroup.*

*Proof.* This follows from the fact that non-trivial 6-dimensional real representation of  $SU(3)$  is isomorphic to the real restriction of the standard 3-dimensional complex representation.

**REMARK 2.7.** Denote by  $V_3$ , the 3-dimensional complex vector space  $\mathbb{C}^3$  with the standard  $SU(3)$ -action. Then  $P(\mathbb{C}^1 \oplus V_3)$  is the complex projective space  $P_3(\mathbb{C})$  with a non-trivial linear  $SU(3)$ -action, where the  $SU(3)$ -action on  $\mathbb{C}^1$  is trivial. Denote by  $D^6$  the unit disk in  $V_3$ . Then there is an equivariant decomposition

$$P(\mathbb{C}^1 \oplus V_3) = (SU(3) \times_{N(SU(2))} D^2) \cup_h D^6,$$

where the  $N(SU(2))$ -action on  $D^2$  is induced from the standard action of  $N(SU(2))/SU(2) = S^1$  on  $D^2$  and  $h$  is an equivariant diffeomorphism on boundaries.

**Lemma 2.8.** *Any equivariant diffeomorphism on  $\partial D^6$  is extendable to an equivariant diffeomorphism on  $D^6$ .*

*Proof.* Since the  $SU(3)$ -action on  $\partial D^6$  is transitive, it is easy to prove that any equivariant diffeomorphism on  $\partial D^6$  is given by a scalar multiplication

$$(z_1, z_2, z_3) \rightarrow (uz_1, uz_2, uz_3),$$

where  $(z_1, z_2, z_3) \in \partial D^6$ ,  $u \in \mathbb{C}$  and  $|u| = 1$ . Such a diffeomorphism is canonically extended to an equivariant diffeomorphism on  $D^6$ .

*Proof of Theorem 0.2 (b).* Let  $hP_3(\mathbb{C})$  admit a non-trivial smooth  $SU(3)$ -action. Then we can use Lemma 2.6, via Theorem 0.2 (a). Thus  $SU(2)$  is a principal isotropy subgroup, and hence the possible types of isotropy subgroups are

$$(SU(2)), (N(SU(2))) \text{ and } (SU(3)),$$

by Lemma 2.2 and Lemma 2.3. In any case,  $hP_3(\mathbb{C})$  becomes a special  $SU(3)$ -manifold with the orbit space  $[0,1]$ . If the type  $(N(SU(2)))$  does not appear, then  $hP_3(\mathbb{C})$  is diffeomorphic to  $D^6 \cup D^6$ . This is a contradiction. Therefore  $hP_3(\mathbb{C})$  has isotropy subgroups of type  $(N(SU(2)))$  and of type  $(SU(3))$ . Hence, by the differentiable slice theorem (iii), there is an equivariant decomposition

$$hP_3(\mathbb{C}) = (SU(3) \times_{N(SU(2))} D^2) \cup_h D^6,$$

where  $k$  is an equivariant diffeomorphism on boundaries. Moreover there is an equivariant diffeomorphism from  $hP_3(\mathbf{C})$  to  $P(\mathbf{C}^1 \oplus V_3)$ , by making use of Lemma 2.8 and Remark 2.7.

### 3. Concluding remarks

**3.1.** If  $G=T^n$ , the  $n$ -dimensional toral group, then it is known that for any smooth  $G$ -action on an oriented compact manifold  $M$  without boundary, each connected component of the stationary point set  $M^G$  is canonically oriented and the index formula

$$I(M) = I(M^G)$$

holds. Thus we ask whether the above is true or not when  $G$  is a compact connected Lie group. The answer is no as follows. Denote by  $S_k(V_n)$  the  $k$ -th symmetric product of  $V_n$  which is  $\mathbf{C}^n$  with the standard  $SU(n)$ -action. If  $n \geq 2$  and  $n-1 < 2^a$ , then

$$t = \dim_{\mathbf{C}} S_{2^a}(V_n)$$

is odd, and there is a linear  $SU(n)$ -action on  $P_{s+t}(\mathbf{C})$  with  $P_s(\mathbf{C})$  as the stationary point set for each integer  $s$ . This example shows that the index formula is false for  $SU(n)$ -actions in general. Similarly we can construct linear  $SO(n)$ -actions on  $P_{s+t}(\mathbf{R})$  with  $P_s(\mathbf{R})$  as the stationary point set. This example shows that there are smooth  $SO(n)$ -actions for which the stationary point sets are not orientable.

**3.2.** Let  $V_n$  be as above, then  $SU(n)$ -manifold  $P(\mathbf{C}^1 \oplus V_n)$  has only one stationary point for each  $n \geq 2$ . Such a phenomenon does not appear for compact  $G$ -manifold without boundary when  $G$  is an abelian group such as a toral group or a finite cyclic group of prime order.

**3.3.** Let  $G$  be a compact Lie group. Denote by  $F_A$  the family of all closed subgroups of  $G$ , and by  $F_P$  the family of all closed proper subgroups of  $G$ . Then there is an exact sequence of bordism modules of weakly complex  $G$ -manifolds,

$$\cdots \rightarrow U_*(G; F_P) \xrightarrow{i_*} U_*(G; F_A) \xrightarrow{j_*} U_*(G; F_A, F_P) \xrightarrow{\partial_*} U_*(G; F_P) \rightarrow \cdots$$

It is known that  $i_*$  is trivial for  $G=T^n$  and almost trivial for  $G$  a finite cyclic group of prime order. On the other hand, we can prove that  $i_*$  is injective when  $G$  is a compact connected semi-simple Lie group, by making use of projective space bundles associated to complex  $G$ -vector bundles.



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