

## COMBINATORIAL PREBUNDLES

### PART II\*

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#### 1. Introduction

It is well known that smooth  $m$  spheres embedded in smooth  $m+2$  manifolds have the trivial normal bundles, provided  $m \geq 3$ . This is a direct consequence from the fact that  $SO_2$  has the same homotopy type as the circle. The purpose of the paper is to show the analogous Theorem for locally flatly embedded  $PL$   $m$  spheres of codimension two except for the case  $m=4$ .

**Theorem A.** *Let  $f: S \rightarrow W$  be a locally flat  $PL$  embedding of the  $m$  sphere  $S$  into a  $PL$   $m+2$  manifold  $W$ . Suppose that  $W$  is orientable and  $m \neq 2, 4$ . Then  $f$  has the trivial normal 2 cell bundle: That is to say, the embedding  $f$  is collared.*

The assumption that  $W$  is orientable may be weakened by saying that a regular neighborhood of  $f(S)$  in  $W$  is orientable. If  $m \geq 2$ , then normal prebundles for  $f$  are clearly orientable. We have, therefore,

**Addendum.** *Every locally flat  $PL$  embedding of the  $m$  sphere of codimension two is collared, provided that  $m \geq 5$  or  $m=3$ .*

REMARK. The case  $m=4$  is unknown for the author.

From Theorem A, we shall deduce:

**Theorem B.** *The  $k(\neq 3)$ -th homotopy group  $\pi_k(PR_2)$  of the structural group  $PR_2$  of 2 prebundles is isomorphic to  $\pi_k(O_2)$ .*

The following was proven in [3]:

**Proposition 1.1.** *The structural group  $\Pi L_2$  of  $PL$  2 cell bundles has the homotopy type of the orthogonal group  $O_2$ .*

So we have:

**Corollary to Theorem B.**  $\pi_k(PR_2, \Pi L_2) \cong 0$  for  $k \neq 3$  and  $\pi_3(PR_2, \Pi L_2) \cong \pi_3(PR_2)$ .

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## 2. Applications

Let  $M$  and  $W$  be  $PL$  manifolds. Recall that a  $PL$  embedding  $f: M \rightarrow W$  is *oriented*, if  $M$  and  $W$  are oriented. Two oriented  $PL$  embeddings  $f: M \rightarrow W$  and  $g: M \rightarrow W'$  are *equivalent* if there is an orientation preserving  $PL$  homeomorphism  $h: W \rightarrow W'$  such that  $hf=g$ . The equivalence of oriented  $PL$  embeddings is clearly a proper equivalence relation. Let  $S_k$  denote the standard oriented  $PL$   $k$  sphere. A  $PL$   $m$  knot means a locally flat  $PL$  embedding  $f: S_m \rightarrow S_{m+2}$ . Then the  $PL$  homeomorphism class of  $S_{m+2}-f(S_m)$ , called the *complement*, is an invariant of the equivalence class of the knot.

By Theorem A we may sharpen Levine's unknotting theorem in codimension two as follows.

**Theorem C.** (J. Levine, [5]) *Suppose that  $m \geq 5$ . A  $PL$   $m$  knot is trivial if the complement is a homotopy circle.*

By Theorem 4.4 in [6] and by Corollary to Theorem B the following existence theorem of a normal  $PL$  2 cell bundle is derived from the obstruction theory for reducing combinatorial prebundles into  $PL$  cell bundles. (In Part III, we shall give the precise description of the obstruction theory.)

**Theorem D.** *Let  $M$  be a  $PL$   $m$  manifold. Suppose that  $M$  is compact and  $H^4(M, \pi_3(PR_2)) \cong 0$ . Then any locally flat embedding  $f$  of  $M$  into a  $PL$   $m+2$  manifold  $W$  has a normal  $PL$  2 cell bundle. More precisely, if  $K$  and  $L$  are partitions of  $M$  and  $W$  such that  $f: K \rightarrow L$  is simplicial and that  $F(K)$  is full in  $L$ , then there is a normal  $PL$  cell bundle  $v(f)$  for  $f$  which is compatible with the dual cell structures of  $K$  and  $L$ , for compatibility see [6].*

By the universal coefficient theorem the assumption  $H^4(M, \pi_3(PR_2)) \cong 0$  is always satisfied by such a manifold  $M$  that  $H_3(M)$  is torsion free and  $H_4(M) \cong 0$ .

A  $PL$  manifold pair  $(W, M)$  is *smoothable* if  $W$  and  $M$  are smoothable so that there are a smooth manifold pair  $(\mathbf{W}, \mathbf{M})$  and a smooth triangulation  $h: W \rightarrow \mathbf{W}$  such that  $h(M) = \mathbf{M}$ . Suppose that  $M$  admits a normal  $PL$  2 cell bundle  $v$  in  $W$ . Since by Proposition 1.1  $\Pi L_2$  has the same homotopy type as  $0_2$ , the normal bundle  $v$  triangulates a vector bundle. Therefore  $M$  has a normal  $PL$  microbundle in  $W$  which triangulates a vector bundle. Thus, applying Theorem D and Theorem 7.3 in [4] we have the following:

**Corollary D.1** *Let  $(W, M)$  be a locally flat  $PL$   $(m+2, m)$  manifold pair. Suppose that  $M$  is closed and  $H^4(M, \pi_3(PR_2)) \cong 0$ . If  $W$  is smoothable then the pair  $(W, M)$  is smoothable.*

It is well known that there is a non smoothable 5 connected  $PL$  12 manifold  $M$  which is piecewise linearly embeddable into the euclidean 14 space  $R^{14}$ .

Hence we have the following example.

**EXAMPLE.** There is an example of a closed 5 connected  $PL$  12 manifold

having a *PL* embedding into  $R^4$ , but having no locally flat *PL* embedding.

Recall that two oriented *PL* embeddings  $f: M \rightarrow W$  and  $g: M \rightarrow W'$  are *microequivalent* if there exist neighborhoods  $U$  and  $U'$  of  $f(M)$  and  $g(M)$  in  $W$  and  $W'$  respectively and a *PL* homeomorphism  $h: U \rightarrow U'$  preserving orientations induced from those of  $W$  and  $W'$  so that  $hf = g$ .

Let  $M$  be a closed oriented *PL* manifold. For any oriented proper embedding  $f$  of  $M$  of codimension 2, H. Noguchi has defined an invariant  $\chi(f) \in H^2(M)$  under the microequivalence class of  $f$ , which is called the *Euler class* of  $f$ .

REMARK. In his paper [7], p. 120, the class  $\chi(f)$  is denoted by  $\omega$  and called the Stiefel-Whitney class.

Finally we shall prove the following.

**Theorem E.** *Let  $M$  be a closed oriented *PL* manifold. Suppose that  $H^4(M, \pi_3(PR_2)) \cong 0$ . Then two oriented locally flat *PL* embeddings  $f: M \rightarrow W$  and  $g: M \rightarrow W'$  of  $M$  of codimension two are microequivalent if and only if  $\chi(f) = \chi(g)$ .*

### 3. Definitions and Lemmas

In the following we restrict ourselves in the *PL* category.

To prove Theorem A we need the following definition. Let  $\{E, K, \Sigma\}$  be an  $n$  prebundle. A *collared non zero section* of  $E$  is a pair  $(G, g)$  consisting of an embedding  $G: |K| \times J^{n-1} \rightarrow \partial E$  and a non zero section  $g: K \rightarrow \partial E$  such that  $G(x, 0) = g(x)$  for all  $x$  in  $|K|$ , and  $G(A \times J^{n-1}) \subset h(A \times \partial J^n)$  for all pair  $(A, h)$  in  $\Sigma$ .

**Lemma 3.1 (k)** *Let  $K$  be a  $k$  dimensional complex and let  $\{E, K, \Sigma\}$  be an  $n$  prebundle.*

*Suppose that  $E$  has a collared non zero section  $(G, g)$ .*

*Then  $E$  collapses to  $G(|K| \times J^{n-1})$ .*

Proof. We prove Lemma 3.1 ( $k$ ) by induction on the dimension  $k$ .

(0): Trivial.

( $k$ )  $\Rightarrow$  ( $k+1$ ): Assuming inductively that ( $k$ ) is proven, we prove ( $k+1$ ). Let  $A$  be an arbitrary  $k+1$  simplex of  $K$ . Since  $(G/\partial A \times J^{n-1}, g/\partial A)$  is a collared non zero section of  $E/\partial A$ , it follows from ( $k$ ) that  $E/\partial A$  collapses to  $G(\partial A \times J^{n-1})$ . Hence  $E/\partial A \cup G(A \times J^{n-1})$  is an  $n+k$  cell on the boundary of the  $n+1+k$  cell  $E/A$ . Therefore  $E/A$  collapses to  $E/\partial A \cup G(A \times J^{n-1})$ . Let  $K^k$  denote the  $k$  skeleton of  $K$ . By the above argument,  $E$  collapses to  $E/K^k \cup G(|K| \times J^{n-1})$ . By ( $k$ )  $E/K^k$  collapses to  $G(|K^k| \times J^{n-1})$ . It follows that  $E$  collapses to  $G(|K| \times J^{n-1})$ , completing the induction.

**Lemma 3.2** *Let  $M$  be a closed  $m$  manifold and let  $N$  be a normal  $n$  prebundle of an embedding  $f: M \rightarrow W$  over a partition  $K$  of  $M$  such that  $N \subset \text{Int } W$ .*

*Suppose that  $N$  has a collared non zero section  $(G, g)$ .*

Then the following three statements hold;

- (1) There is an embedding  $F: M \times J^n \rightarrow W$  such that  $F(M \times J^{n-1} \times I) = N$  and  $F(x, 0) = G(x)$  for all  $x$  in  $M \times J^{n-1}$ , and
- (2) any regular neighborhood of  $f(M)$  in  $W$  is homeomorphic to the product space  $M \times J^n$ , and
- (3)  $W$ - $f(M)$  and  $W$ - $g(M)$  are homeomorphic.

Proof. By the existence of a collar of  $\partial N$  in  $W$ , see Corollary to Lemma 24 in [11], there is an embedding  $F_1: M \times J^n \rightarrow W$  such that  $F_1(M \times J^{n-1} \times I) \subset N$  and  $F_1(x, 0) = G(x)$  for all  $x$  in  $M \times J^{n-1}$ . Since  $F_1(M \times J^{n-1} \times I)$  collapses to  $G(M \times J^{n-1})$ , and since by Lemma 3.1  $N$  also collapses to  $G(M \times J^{n-1})$ , it follows that they are regular neighborhoods of  $G(M \times J^{n-1}) \bmod \partial V$ -Int  $G(M \times J^{n-1})$  in  $W$ -Int  $V$ , where  $V$  denotes the submanifold  $F_1(M \times J^{n-1} \times [-1, 0])$ . By the uniqueness of relative regular neighborhoods there is a homeomorphism  $F_2: W \rightarrow W$  such that  $F_2|_V = \text{id.}$ , and  $F_2 F_1(M \times J^{n-1} \times I) = N$ . Then  $F = F_2 F_1$  is the required embedding in (1).

Let  $U$  denote the image  $F(M \times J^n)$ . Then  $U$  is obviously a regular neighborhood of  $g(M)$  in  $W$ . Since  $U$  collapses to  $F(M \times J^{n-1} \times I) = N$ , it follows that  $U$  is a regular neighborhood of  $f(M)$  in  $W$ . By the uniqueness of regular neighborhoods we have (2). To prove (3) we choose partitions  $K_1, K_2$  and  $L$  of  $f(M), g(M)$  and  $W$  respectively such that  $K_1, K_2$  are full subcomplexes of  $L$  and that  $N(K_1', L')$  and  $N(K_2', L')$  are contained in Int  $F(M \times J^n)$ , where  $N(K_i', L')$ ,  $i=1, 2$  stand for derived neighborhoods of  $K_i, i=1, 2$  in  $L$ . Thus we have infinite sequences of derived neighborhoods.

$U \supset N(K_i', L') \supset \dots \supset N(K_i^{(p)}, L^{(p)}) \supset \dots$   $i=1, 2$  such that for any neighborhoods  $V_1, V_2$  of  $f(M), g(M)$  in  $W$  respectively there is an integer  $p$  so that  $N(K_i^{(p)}, L^{(p)}) \subset V_i$  for  $i=1, 2$ .

By virtue of the regular neighborhood annulus theorem in [1], p. 725, there are homeomorphisms

$h_1: U$ - $f(M) \rightarrow \partial U \times [0, \infty)$  and  $h_2: U$ - $g(M) \rightarrow \partial U \times [0, \infty)$  such that  $h_i(x) = (x, 0)$  for all  $x$  in  $\partial U$  and for  $i=1, 2$ .

Thus we have the required homeomorphism  $h: W$ - $f(M) \rightarrow W$ - $g(M)$  by setting  $h|_{W$ -Int  $U = \text{id.}$  and  $h|_{U$ - $f(M) = h_2^{-1} h_1$ .

This completes the proof of Lemma 3.2.

#### 4. The proof of Theorems

In the section, we shall prove Theorems A, B and E.

Proof of Theorem A. Since  $W$  is orientable,  $f$  has an oriented normal pre-bundle  $N$  over  $S = \partial \Delta_{m+1}$ . Let  $A$  be an  $m$  simplex of  $S$  and let  $B$  denote both the complex  $S - A$  and the cell  $S$ -Int  $A$ . By Corollary 4.2 in [6],  $N/B$  and  $N/A$  are trivial prebundles. Hence we have trivializations  $h_1: B \times (J^2, 0) \rightarrow N/B$  and

$h_2: A \times (J^2, 0) \rightarrow N/A$  so that  $h_2^{-1}h_1/\partial A \times J^2: \partial A \times (J^2, 0) \rightarrow \partial A \times (J^2, 0)$  is an orientation preserving 2 prebundle isomorphism.

In case  $m=1$ ; Since  $\pi_0(PR_n) \cong \pi_0(0_n) \cong Z_2$ , for all  $n$  and the non trivial element is the class of orientation reversing homeomorphisms of  $(J^n, 0)$  onto itself, it follows that  $h_2^{-1}h_1/\partial A \times J^2$  is extendable to an isomorphism  $h_3: A \times (J^2, 0) \rightarrow A \times (J^2, 0)$ .

Hence the required isomorphism  $h: S \times (J^2, 0) \rightarrow N$  is obtained by setting  $h/B \times (J^2, 0) = h_1$  and  $h/A \times (J^2, 0) = h_2h_3$ , completing the proof in case  $m=1$ .

In case  $m=3$ ; (The proof is essentially given in [7], p. 124.)

We consider the restriction  $h' = h_2^{-1}h_1/\partial A \times \partial J^2$ .

Since  $h'$  induces the identity map of  $H_2(\partial A \times \partial J^2) + H_1(\partial A \times \partial J^2) = Z + Z$ , it follows from the Theorem 13.2 in [0] that  $h'$  is isotopic to the identity or  $T$ . But  $T$  may not be extended to a homeomorphism of  $\partial A \times J^2$  fixing  $\partial A \times O$ . Therefore  $h'$  is isotopic to the identity.

So we may extend  $h_2^{-1}h_1/\partial A \times J^2$  to a homeomorphism of  $\partial(A \times J^2)$  fixing  $\partial A \times O$ .

By the join extension, we have a homeomorphism  $h_3$  of  $A \times J^2$  fixing  $A \times O$  such that  $h_3/\partial A \times J^2 = h_2^{-1}h_1/\partial A \times J^2$ .

Thus we have the required isomorphism

$$h: S \times (J^2, 0) \rightarrow N$$

by setting  $h/B \times J^2 = h_1$  and  $h/A \times J^2 = h_2h_3$ , completing the proof in case  $m=3$ .

In case  $m \geq 5$ ; Firstly we show that  $N$  has a collared non zero section. For  $N/B$  we have a collared non zero section  $(G_1, g_1)$  by setting  $g_1(x) = h_1(x, 0, 1)$  for all  $x$  in  $B$  and  $G_1(x, u) = h_1(x, u, 1)$  for all  $(x, u)$  in  $B \times J$ . Let  $X$  and  $Y$  denote the  $m+1$  sphere  $\partial(A \times J^2)$  and the  $m-1$  sphere  $\partial A$ . Then the embedding  $\times 0^2: Y \rightarrow X$  has a trivial normal prebundle  $Y \times J^2$ . Put  $g' = h_2^{-1}g_1/Y$  and  $G' = h_2^{-1}G_1/Y \times J$ . Then  $(G', g')$  is a collared non zero section of  $Y \times J^2$ . By Lemma 3.2 there is an embedding  $f: Y \times J^2 \rightarrow X$  such that  $X - g'(Y)$  is homeomorphic to  $X - Y \times 0$ , and that  $f(Y \times J \times I) = Y \times J^2$  and  $f(x, 0) = G'(x)$  for all  $x$  in  $Y \times J$ . Since  $X - Y \times 0$  is a homotopy circle and since  $m-1 \geq 4$ , applying the argument due to J. Levine in [5], and then using the existence theorem of a compatible collar, see Lemma 24 in [11], we have a collared non zero section  $(G_2, g_2)$  of  $N/A$  such that  $G_2/Y \times J = G'$ . Thus the required collared non zero section  $(G, g)$  of  $N$  is well defined by setting  $(G, g)/(A \times J, A) = (h_2G_2, h_2g_2)$  and  $(G, g)/(B \times J, B) = (G_1, g_1)$ .

Secondly we prove that  $N$  is actually trivial.

Again by Lemma 3.2 there is an embedding  $F: S \times J^2 \rightarrow W$  such that  $F(S \times J^2) = N$  and  $F(x, 0) = G(x)$  for all  $x$  in  $S \times J$ . We will change the homeomorphism into an isomorphism. Let  $a$  and  $b$  denote interior points of  $A$  and  $B$  respectively. Then  $h_1(b \times \partial J^2) \cap F(S \times J \times 1) = F(b \times J \times 1)$ . Consider the intersection of  $h_1(b \times \partial J^2)$  and  $F(a \times \partial J^2)$ . Since  $1+1-(m+1) = 1-m < 0$ , by the general position

argument, see Chapter 6 of [11], we may assume that  $h(b \times \partial J^2) \cap F(S \times J \times 1 \cup a \times \partial J^2) = F(b \times J \times 1)$ , and moreover for a sufficiently small regular neighborhood  $C$  of  $a$  in  $\text{Int } A$ ,  $h_1(b \times \partial J^2) \cap F(S \times J \times 1 \cup C \times \partial J^2) = F(b \times J \times 1)$ .

Let  $D$  denote the  $k+1$  cell  $S \times \partial J^2 - \text{Int } (S \times J \times 1 \cup C \times \partial J^2)$ , and let  $L$  denote the 1 cell  $b \times (\partial J^2 - \text{Int } J \times 1)$ .

Then  $F^{-1}h_1(L)$  and  $L$  are two arcs in  $D$ , and  $F^{-1}h|_{\partial L} = \text{id.}$

Since  $m+1-1=m>2$ , by Corollary 1 to Lemma 9 in [11] we may also assume that  $F^{-1}h_1|_{b \times \partial J^2} = \text{id.}$  Moreover by the uniqueness of regular neighborhoods of  $b \times \partial J^2$  in  $S \times \partial J^2$ , we may assume that  $F^{-1}h_1(B \times \partial J^2) = B \times \partial J^2$ . Then the homeomorphism  $F^{-1}h_1|_{B \times \partial J^2}$  is clearly extendable to a homeomorphism  $H: S \times \partial J^2 \rightarrow S \times \partial J^2$ . Thus the homeomorphism  $FH: S \times \partial J^2 \rightarrow \partial N$  is an isomorphism of the associated 1 sphere prebundle  $\partial N$  of  $N$ . Therefore by 3.1 in [6],  $N$  is trivial, completing the proof.

Proof of Theorem B. Combining Addendum to Theorem A and the Theorem 4.6 in [6], we conclude that  $\pi_{m-1}(PR_2)$  consists of only one element for  $m \geq 5$  and  $m=3$ .

Hence  $\pi_m(PR_2) \cong 0 \cong \pi_m(0_2)$  for  $m \geq 4$  and  $m=2$ . Since  $\pi_0(PR_2) \cong Z_2 \cong \pi_0(0_2)$ , it remains to prove that  $\pi_1(PR_2) \cong \pi_1(0_2)$ .

By Proposition 1.1 and by the Proposition 3.1, (ii) in [6], we have  $\pi_1(\Pi L_2) \cong \pi_1(0_2)$  and  $\pi_1(PR_2) \cong \pi_1(\partial PR_2)$ , where  $\partial PR_2$  stands for the structural group of 1 sphere  $\partial J^2 (= S^1)$  prebundles.

Since each element of  $\pi_1(\partial PR_2)$  is represented by a homeomorphism  $h$  of  $I \times S^1$  onto itself fixing  $\partial I \times S^1$ , we associate to each element  $\{h\}$  of  $\pi_1(\partial PR_2)$  the homotopy class  $w\{h\}$  of the map

$$p_2 h(\times e): (I, \partial I) \rightarrow (S^1, e), \text{ where } e=(0, 1), p_2: I \times S^1 \rightarrow S^1 \text{ and } (\times e): I \rightarrow I \times S^1$$

stand for the maps  $(x, y) \rightarrow y$  and  $x \rightarrow (x, e)$

for  $x$  in  $I$  and  $y$  in  $S^1$ , respectively. Then the function  $w: \pi_1(\partial PR_2) \rightarrow \pi_1(S^1)$  is clearly a well defined homomorphism such that a diagram

$$\begin{array}{ccc} \pi_1(PR_2) & \cong & \pi_1(\partial PR_2) \\ i \uparrow & & \downarrow w \\ \pi_1(\Pi L_2) & \cong & \pi_1(0_2) \cong \pi_1(S^1) \end{array} \quad \text{commutes, where } i$$

stands for the homomorphism induced from the inclusion map. Hence  $w$  is surjective. It remains to prove that  $w$  is injective. Notice that for  $\{h\}$  in  $\pi_1(\partial PR_2)$ ,  $w\{h\}$  coincides with the winding number of  $h$  which is defined in [0], p. 313. Therefore by the Theorem 7.2 in [0], if  $w\{h\} = w\{g\}$ , then homeomorphisms  $h$  and  $g$  of  $I \times S^1$  fixing  $\partial I \times S^1$  are isotopic keeping  $\partial I \times S^1$  fixed.

Hence  $\{h\} = \{g\}$ , completing the proof.

Proof of Theorem E.

Suppose that  $\chi(f) = \chi(g)$ . Since  $\Pi L_2$  is homotopy equivalent to  $0_2$ , it should

be noted that the isomorphism class of every orientable 2 cell bundle  $x$  is completely determined by the Euler class  $\chi(x)$ .

Let  $K, L$  and  $L'$  denote partitions of  $M, W$  and  $W'$  respectively such that  $f: K \rightarrow L$  and  $g: K \rightarrow L'$  are simplicial and  $f(K)$  and  $g(K)$  are full in  $L$  and  $L'$  respectively. By Theorem D, there are normal cell bundles  $v(f)$  and  $v(g)$  for  $f$  and  $g$  which are compatible with the dual cell structures of  $K, L$  and  $K, L'$  respectively. It follows from the definitions of  $\chi(f)$  and  $\chi(g)$  see [7], p. 120, that  $\chi(f) = \chi(v(f))$  and  $\chi(g) = \chi(v(g))$ . Hence  $\chi(v(f)) = \chi(v(g))$ .

Therefore  $v(f)$  and  $v(g)$  are isomorphic. Thus  $f$  and  $g$  are microequivalent. This completes the proof of Theorem E.

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