TWO DIMENSIONAL WORD WITH 2k MAXIMAL PATTERN COMPLEXITY

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1. Introduction

For an infinite 1-dimensional word $\alpha = \alpha_0 \alpha_1 \alpha_2 \cdots$ over a finite alphabet *A*, Teturo Kamae and Luca Zamboni [1] introduced the maximal pattern complexity as

 $p_{\alpha}^{*}(k) := \sup_{\tau} \#\{\alpha_{n+\tau(0)}\alpha_{n+\tau(1)}\cdots\alpha_{n+\tau(k-1)}; n = 0, 1, 2, \ldots\}$

where the supremum is taken over all sequences of integers $0 = \tau(0) < \tau(1) < \cdots < \tau(k-1)$ of length k, and $\sharp S$ denotes the cardinality of the set S. They proved that α is eventually periodic if and only if $p_{\alpha}^{*}(k)$ is bounded in k, while otherwise, $p_{\alpha}^{*}(k) \geq 2k$ $(k = 1, 2, \ldots)$.

Teturo Kamae, Rao Hui and Xue Yu-Mei [3] considered the maximal pattern complexity for 2-dimensional words defined on \mathbb{Z}^2 and proved that either $p_{\alpha}^*(k)$ is bounded in k or $p_{\alpha}^*(k) \ge 2k$ (k = 1, 2, ...) if α satisfies a 2-dimensional recurrence condition.

In this paper, we consider the maximal pattern complexity for 2-dimensional words defined on

$$\Omega := \mathbb{N}^2 \setminus \{(0,0)\}.$$

Let $\alpha = (\alpha(x, y)_{(x,y)\in\Omega}) \in A^{\Omega}$ be a 2-dimensional word over $\mathbf{A} = \{0, 1\}$ defined on Ω . Let τ be a finite set in \mathbb{Z}^2 with $(0, 0) \in \tau$ and $\sharp \tau = k$, which is called a *k*-window. For any $i \in \Omega$ with $i + \tau \subset \Omega$, we denote

$$\alpha[i+\tau] := (\alpha(i+j))_{i\in\tau} \in A^{\tau}.$$

We also denote

$$F_{\tau}(\alpha) := \{ (\alpha[i+\tau]; i \in \Omega \text{ with } i+\tau \subset \Omega \}$$

$$p_{\alpha}^{*}(k) := \sup\{ \#F_{\alpha}(\tau); \tau : k \text{-window} \} (k = 1, 2, \ldots).$$

DEFINITION 1. α is called *eventually* 2-*periodic* if there exist $p, q \in \mathbb{Z}_+$ and $a, b \in \mathbb{N}$ such that for any $(x, y) \in \Omega$, $\alpha(x, y) = \alpha(x + p, y)$ holds if $x \ge a$ and $\alpha(x, y) = \alpha(x, y + q)$ holds if $y \ge b$.

DEFINITION 2. α is called *minimal* if for any positive integer *L*, there exists *N* such that for any $(n, m) \in \Omega$ there exists $(n', m') \in \Omega$ with $|n - n'| \leq N$, $|m - m'| \leq N$ such that $\alpha(x + n', y + m') = \alpha(x, y)$ holds for any $(x, y) \in \Omega$ with x < L, y < L.

DEFINITION 3. α is called *sectionally periodic* if for any (a, b), $(p,q) \in \Omega$, the word β on $n \in \mathbb{N}$ defined by $\beta(n) = \alpha(a + np, b + nq)$ is periodic.

In this paper, we characterize the words with bounded maximal pattern complexity. We give an example of word α with $p_{\alpha}^{*}(k) = 2k$ (k = 1, 2, ...) which is minimal and sectionally periodic.

2. Words with bounded maximal pattern complexity

Theorem 1. α is eventually 2-periodic if and only if $p^*_{\alpha}(k)$ is bounded in k.

Proof. Assume that α is eventually 2-periodic. Take $p, q \in \mathbb{Z}_+$ and $a, b \in \mathbb{N}$ such that for any $(x, y) \in \Omega$, $\alpha(x, y) = \alpha(x + p, y)$ holds if $x \ge a$ and $\alpha(x, y) = \alpha(x, y + q)$ holds if $y \ge b$.

Let τ be a k-window. Let

$$\begin{split} \Omega_1 &:= \{i = (x, y) \in \Omega; \ i + \tau \subset \Omega \cap [a, \infty) \times [b, \infty)\}\\ \Omega_2 &:= \{i = (x, y) \in \Omega \setminus \Omega_1; \ i + \tau \subset \Omega \cap [a, \infty) \times [0, \infty)\}\\ \Omega_3 &:= \{i = (x, y) \in \Omega \setminus \Omega_1; \ i + \tau \subset \Omega \cap [0, \infty) \times [b, \infty)\}\\ \Omega_4 &:= \{i = (x, y) \in \Omega \setminus (\Omega_1 \cup \Omega_2 \cup \Omega_3); \ i + \tau \subset \Omega\}. \end{split}$$

For any $i = (x, y) \in \Omega_1$, we have

$$\alpha[i + (np, mq) + \tau] = \alpha[i + \tau] \quad (\forall n, m = 0, 1, 2, \ldots).$$

Therefore, there exist at most pq different elements among $\alpha[i + \tau]$ with $i = \Omega_1$. For any $i = (x, y) \in \Omega_2$, we have

$$\alpha[i + (np, 0) + \tau] = \alpha[i + \tau] \quad (\forall n = 0, 1, 2, \ldots).$$

Hence, there exist at most *pb* different elements among $\alpha[i + \tau]$ with $i = \Omega_2$.

In the same way, there exist at most qa different elements among $\alpha[i + \tau]$ with

 $i = \Omega_2$. Finally, there exist at most *ab* elements in Ω_4 .

Therefore, we have

$$\sharp F_{\alpha}(\tau) \leq pq + pb + qa + ab = (p+a)(q+b).$$

Thus, $p_{\alpha}^{*}(k) \leq (p+a)(q+b)$ for k = 1, 2, ..., and hence, $p_{\alpha}^{*}(k)$ is bounded in k.

Conversely, assume that $\sup_{k=1, 2,...} p_{\alpha}^*(k) = C < \infty$. There exist k = 1, 2,... and a k-window τ such that $\#F_{\alpha}(\tau) = C$. Take a positive integer L such that τ is contained in a square of size $L \times L$. Let σ be the $(L+1)^2$ -window such that

$$\sigma = \{(x, y) \in \Omega; \ 0 \le x \le L, \ 0 \le y \le L\}$$

and σ' be the $(L+2)^2$ -window such that

$$\sigma' = \{ (x, y) \in \Omega; \ 0 \le x \le L+1, \ 0 \le y \le L+1 \}.$$

Since

$$C = \sharp F_{\alpha}(\tau) \leq \sharp F_{\alpha}(\sigma) \leq \sharp F_{\alpha}(\sigma') \leq C,$$

we have $\sharp F_{\alpha}(\sigma) = \sharp F_{\alpha}(\sigma') = C$. This implies that each element $\xi \in F_{\alpha}(\sigma)$ has a unique extension in $F_{\alpha}(\sigma')$. Therefore, there exists a function $h: F_{\alpha}(\sigma) \to F_{\alpha}(\sigma')$ such that $h(\alpha[i + \sigma]) = \alpha[i + \sigma']$ for any $i \in \Omega$.

In particular, there exist functions $f, g: F_{\alpha}(\sigma) \to F_{\alpha}(\sigma)$ such that

(1)
$$f(\alpha[i+\sigma]) = \alpha[i+(1,0)+\sigma]$$
$$g(\alpha[i+\sigma]) = \alpha[i+(0,1)+\sigma]$$

for any $i \in \Omega$.

Since f is a transformation on a finite set, there exist $a \in \mathbb{N}$ and a period $p \in \mathbb{Z}_+$ such that

$$(2) f^{n+p} = f^n$$

any n = a, a + 1, a + 2, ... Since

$$\alpha[(x, y) + \sigma] = f^{x}(\alpha[(0, y) + \sigma])$$

by (1), it follows from (2) that

$$\alpha[(x, y) + \sigma] = \alpha[(x + p, y) + \sigma]$$

for any $(x, y) \in \Omega$ with $x \ge a$.

In particular, we have

$$\alpha(x, y) = \alpha(x + p, y)$$

for any $(x, y) \in \Omega$ with $x \ge a$. In the same way, we have

$$\alpha(x, y) = \alpha(x, y + q)$$

for any $(x, y) \in \Omega$ with $y \ge b$. Thus, α is eventually 2-periodic.

3. A word with 2k maximal pattern complexity

A window τ' is said to be an *immediate extension* of a window τ if $\tau' \supset \tau$ and $\sharp \tau' = \sharp \tau + 1$.

The following Lemma 1 is proved in [2, Theorem 3] for words defined on \mathbb{N} . It remains true for words defined on Ω .

Lemma 1. Let $\alpha \in \{0,1\}^{\Omega}$ be such that $p_{\alpha}^*(2) = 4$. Assume that for any 2-window τ and for any immediate extension τ' of τ , it holds that $\sharp F_{\alpha}(\tau') \leq \sharp F_{\alpha}(\tau) + 2$. Then, we have $p_{\alpha}^*(k) \leq 2k$ (k = 1, 2, ...).

Define a 2-dimensional word $\alpha \in \{0, 1\}^{\Omega}$ by

(3)
$$\alpha(x, y) = \begin{cases} 1 & \text{if } e_2(x) = e_2(y) \\ 0 & \text{otherwise} \end{cases}$$

for any $(x, y) \in \Omega$, where for $x \in \mathbb{N}$, $e_2(x) = n$ if and only if $2^n | x$ and $2^{n+1} \nmid x$. We also define $e_2(0) = \infty$.

REMARK 1. The word α defined by (3) together with $\alpha((0,0)) = 0$ is the fixed point of the 2-dimensional substitution

so that $\alpha = \sigma^{\infty}(0)$.

Theorem 2. For α defined by (3), we have $p_{\alpha}^*(k) = 2k$ for any $k = 1, 2, \ldots$

Proof. First we prove that $p_{\alpha}^{*}(k) \ge 2k$ (k = 1, 2, ...). It is clear that $p_{\alpha}^{*}(1) = 2$. For any k = 2, 3, ..., take a k-window $\tau := \{(0, 0), (1, 1), ..., (k - 1, k - 1)\}$. Then, since

$$\begin{aligned} \alpha[(1,1)+\tau] &= (1,1,\ldots,1)\\ \alpha[(2^k-n,\ 2^{k+1}-n)+\tau] &= (1,\ldots,1,\stackrel{(n)}{0},1,\ldots,1)\\ &(n=0,1,\ldots,k-1), \end{aligned}$$

 $F_{\alpha}(\tau)$ contains k+1 elements containing the letter 0 at most once.

Now, let us consider the elements in $F_{\alpha}(\tau)$ containing the letter 0 at least twice. They are determined by $a \in \mathbb{N}$ and $n \in \mathbb{N}$ such that $0 \le a < 2^n$ and $a + 2^n < k$ since there exists a unique element in $F_{\alpha}(\tau)$ of the form

$$(1, \ldots, 1, \overset{(a)}{0}, 1, \ldots, 1, \overset{(a+2^n)}{0}, **)$$

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which is realized as $\alpha[(2^n - a, 2^{n+1} - a) + \tau]$. There are exactly

$$L \coloneqq \sum_{n=0}^{\lfloor \log_2 k \rfloor} \min\{2^n, k-2^n\}$$

number of elements of this type. Since

$$L = \sum_{n=0}^{\lfloor \log_2 k \rfloor - 1} 2^n + k - 2^{\lfloor \log_2 k \rfloor}$$
$$= 2^{\lfloor \log_2 k \rfloor} - 1 + k - 2^{\lfloor \log_2 k \rfloor} = k - 1,$$

we have $\#F_{\alpha}(\tau) = k + 1 + k - 1 = 2k$. Thus, $p_{\alpha}^{*}(k) \ge 2k$ (k = 1, 2, ...).

To prove that $p_{\alpha}^{*}(k) \leq 2k$ (k = 1, 2, ...), it is sufficient by Lemma 1 to prove that for any 2-window τ and for any immediate extension τ' of τ , it holds that

(5)
$$\#F_{\alpha}(\tau') \leq \#F_{\alpha}(\tau) + 2.$$

Take an arbitrary 2-window $\tau = \{(0, 0) = \tau_0, \tau_1\}$ and an arbitrary immediate extension $\tau' = \{(0, 0) = \tau_0, \tau_1, \tau_2\}$ of τ .

To prove (5), we divide into 3 cases according to the parity of τ_1

Case 1:
$$\tau_1 \in e \times e$$

Case 2: $\tau_1 \in e \times o$
Case 3: $\tau_1 \in o \times o$,

where "e" stands for the set of even numbers, while "o" stands for the set of odd numbers. By symmetry, we can reduce the case $\tau_1 \in o \times e$ to Case 2.

Lemma 2. (i) In Case 1, $F_{\alpha}(\tau) = \{(0, 0), (0, 1), (1, 0), (1, 1)\}$ holds. (ii) In Case 2, $F_{\alpha}(\tau) = \{(0, 0), (0, 1), (1, 0)\}$ holds. (iii) In Case 3, $F_{\alpha}(\tau) = \{(0, 0), (0, 1), (1, 0), (1, 1)\}$ holds.

Proof. Let $\tau_1 = (u, v)$.

(i) Let $(u, v) \in e \times e$. For $(x, y) \in e \times o$, we have $\alpha[(x, y) + \tau] = (0, 0)$. If u = v, then by taking integers N and M with $e_2(u) < N < M$, we have $\alpha[(2^N, 2^M) + \tau] = (0, 1)$. If $u \neq v$, then assuming that u < v without loss of generality, we have $\alpha[(v - u, 0) + \tau] =$ (0, 1). If $u \neq v$, then we have $\alpha[(2^N v - u, 2^N v - u) + \tau] = (1, 0)$ for a sufficiently large integer N. If u = v, then by taking integers N and M with $e_2(u) < N < M$, we have $\alpha[(2^N - u, 2^M - v) + \tau] = (1, 0)$. Finally, for $(x, y) \in o \times o$, we have $\alpha[(x, y) + \tau] = (1, 1)$. (ii) Let $(u, v) \in e \times o$. Then, $\alpha[(2, 4) + \tau] = (0, 0)$, $\alpha[(v, u) + \tau] = (0, 1)$, $\alpha[(1, 1) + \tau] =$ (1,0), while $\alpha[(x, y) + \tau] = (1, 1)$ is impossible since either x and y have different parities or x + u and y + v have different parities.

(iii) Let $(u, v) \in o \times o$. For $(x, y) \in e \times o$, we have $\alpha[(x, y) + \tau] = (0, 0)$. We also have $\alpha[(2, 4) + \tau] = (0, 1)$ and $\alpha[(2^N - u, 2^M - v) + \tau] = (1, 0)$ for integers N and M such that $u + v < 2^N < 2^M$. Moreover, $\alpha[(2, 2) + \tau] = (1, 1)$.

We divide the above 3 cases into the following 10 subcases according to the parity of τ_2

Case 1-1: $\tau_1 \in e \times e$, $\tau_2 \in e \times e$ Case 1-2: $\tau_1 \in e \times e$, $\tau_2 \in e \times o$ Case 1-3: $\tau_1 \in e \times e$, $\tau_2 \in o \times o$ Case 2-1: $\tau_1 \in e \times o$, $\tau_2 \in e \times e$ Case 2-2: $\tau_1 \in e \times o$, $\tau_2 \in e \times o$ Case 2-3: $\tau_1 \in e \times o$, $\tau_2 \in o \times e$ Case 2-4: $\tau_1 \in e \times o$, $\tau_2 \in o \times o$ Case 3-1: $\tau_1 \in o \times o$, $\tau_2 \in e \times e$ Case 3-2: $\tau_1 \in o \times o$, $\tau_2 \in e \times o$ Case 3-2: $\tau_1 \in o \times o$, $\tau_2 \in e \times o$ Case 3-3: $\tau_1 \in o \times o$, $\tau_2 \in o \times o$.

Lemma 3. (i) In Case 1-2, $F_{\alpha}(\tau') \subset \{0,1\}^3 \setminus \{(0,1,1), (1,0,1), (1,1,1)\}.$

(ii) In Case 1-3, $F_{\alpha}(\tau') \subset \{0,1\}^3 \setminus \{(0,1,0), (1,0,0)\}.$

(iii) In Case 2-1, $F_{\alpha}(\tau') \subset F_{\alpha}(\tau) \times \{0,1\} \setminus \{(0,1,1)\}.$

(iv) In Case 2-2, $F_{\alpha}(\tau) \subset F_{\alpha}(\tau) \times \{0,1\} \setminus \{(1,0,1)\}.$

(v) In Case 2-3, $F_{\alpha}(\tau') \subset F_{\alpha}(\tau) \times \{0,1\} \setminus \{(1,0,1)\}.$

(vi) In Case 2-4, $F_{\alpha}(\tau') \subset F_{\alpha}(\tau) \times \{0,1\} \setminus \{(0,1,1)\}.$

(vii) In Case 3-1, $F_{\alpha}(\tau') \subset \{0,1\}^3 \setminus \{(0,0,1), (1,0,0)\}.$

(viii) In Case 3-2, $F_{\alpha}(\tau') \subset \{0, 1\}^3 \setminus \{(0, 1, 1), (1, 0, 1), (1, 1, 1)\}.$

(ix) In Case 3-3, $F_{\alpha}(\tau') \subset \{0,1\}^3 \setminus \{(0,0,1), (0,1,0)\}.$

Proof. Let $\tau_1 = (u, v)$, $\tau_2 = (u', v')$ and $(x, y) \in \Omega$.

(i) Since either x and y have different parities or x + u' and y + v' have different parities, (1, 0, 1), (1, 1, 1) do not belong to $F_{\alpha}(\tau')$. Moreover, since either x + u and y + v have different parities or x + u' and y + v' have different parities, (0, 1, 1) does not belong to $F_{\alpha}(\tau')$.

(ii) Note that $\alpha[(x, y) + \tau] \in \{(1, 0), (0, 1)\}$ implies $(x, y) \in e \times e$. Since $(x, y) \in e \times e$ implies $\alpha((x, y) + (u', v')) = 1$, (0, 1, 0) and (1, 0, 0) do not belong to $F_{\alpha}(\tau')$.

(iii)(iv)(v)(vi)(viii) They follow by applying the parity argument in the proof of (i).

(vii) It follows by the same argument as in the proof of (ii).

(ix) Note that $\alpha((x, y) + (u, v)) \neq \alpha((x, y) + (u', v'))$ implies $(x, y) \in o \times o$. Since $(x, y) \in o \times o$ implies that $\alpha((x, y)) = 1$, (0, 0, 1), (0, 1, 0) does not belong to $F_{\alpha}(\tau')$.

Lemma 4. (i) For any subcase except for Case 1-1, we have (5). (ii) For any subcase except for Case 1-1, we have

(6)
$$\sharp(F_{\alpha}(\tau') \setminus \{(0,0,0),(1,1,1)\}) \le 4$$

Proof. Clear from Lemma 2 and Lemma 3.

Now we consider Case 1-1. Assume that $\tau_1 \in e \times e$, $\tau_2 \in e \times e$. Then, we have $\alpha[(x, y) + \tau'] = (1, 1, 1)$ if $(x, y) \in o \times o$ and $\alpha[(x, y) + \tau'] = (0, 0, 0)$ if $(x, y) \in e \times o \cup o \times e$. Hence we have

$$F_{\alpha}(\tau') = \{ \alpha[(x, y) + \tau']; (x, y) \in e \times e \} \cup \{ (0, 0, 0), (1, 1, 1) \}.$$

Let $\tau'/2 := \{0, \tau_1/2, \tau_2/2\}$. Since $e_2(x) = e_2(y)$ is equivalent to $e_2(2x) = e_2(2y)$, we have $\alpha[(x, y) + \tau'] = \alpha[(x/2, y/2) + \tau'/2]$ for any $(x, y) \in e \times e$. Therefore, we have

(7)
$$F_{\alpha}(\tau') = F_{\alpha}(\tau'/2) \cup \{(0,0,0), (1,1,1)\}.$$

If $\tau'/2$ is of Case 1-1, we can apply (7) again.

By applying (7) repeatedly, we have

$$F_{\alpha}(\tau') = F_{\alpha}(\tau'/2^{e}) \cup \{(0,0,0), (1,1,1)\}$$

with $\tau'/2^e$ not of Case 1-1. Then, by (ii) of Lemma 4, we have $\#F_{\alpha}(\tau') \leq 6$. Thus, we have (5) by Lemma 2, which complete the proof of Theorem 2.

Theorem 3. The word α defined by (3) is minimal and sectionally periodic.

Proof. Take any positive integer L. Let N be a positive integer such that $L < 2^N$. Take any $(n,m) \in \Omega$. Then, there exists $(n',m') \in \Omega$ with $|n - n'| \leq 2^N$ and $|m - m'| \leq 2^N$ such that $e_2(n') \geq N$ and $e_2(m') \geq N$. Then, since $e_2(x+n') = e_2(x)$ and $e_2(y+m') = e_2(y)$ for any $(x, y) \in \Omega$ with x < L and y < L, we have $\alpha(x+n', y+m') = \alpha(x, y)$ for any $(x, y) \in \Omega$ with x < L and y < L. Thus, α is minimal.

Take any (a, b), $(p, q) \in \Omega$. Let β be a word on $n \in \mathbb{N}$ defined by $\beta(n) = \alpha(a + np, b + nq)$.

Let us consider the case where a + p = 0 or b + q = 0. Without loss of generality, assume a + p = 0. Then, we have a = p = 0 and b > 0, q > 0. Hence, β is periodic since $\beta(n) = 0$ (n = 0, 1, 2, ...).

Now assume that a+p > 0 and b+q > 0. Let us consider the case where aq-bp = 0. Suppose that p = 0. Then, a > 0 and q > 0 since a + p > 0 and p + q > 0. This contradicts with aq - bp = 0. Therefore, p > 0. By the same reason, q > 0. Since q(a+np) = p(b+nq) for n = 0, 1, 2, ..., we have $e_2(q)+e_2(a+np) = e_2(p)+e_2(b+nq)$ (n = 0, 1, 2, ...). Therefore, either $\beta(n) = 1$ (n = 0, 1, 2, ...) or $\beta(n) = 0$ (n = 0, 1, 2, ...) holds according as $e_2(q) = e_2(p)$ or not, and hence, β is periodic.

Now assume that $aq - bp \neq 0$. Let N be a positive integer such that $N > e_2(|aq - bp|)$. Then, since q(a + np) - p(b + nq) = aq - bp (n = 0, 1, 2, ...), we have $e_2(|q(a + np) - p(b + nq)|) < N$ (n = 0, 1, 2, ...). This implies that $\min\{e_2(q(a+np)), e_2(p(b+nq))\} < N$, and hence, $\min\{e_2(a+np), e_2(b+nq)\} < N$ (n = 0, 1, 2, ...). Therefore, if $e_2(a + np) = e_2(b + nq)$, then $e_2(a + np) = e_2(b + nq) < N$ holds, and hence, we have $e_2(a + (n+2^N)p) = e_2(a+np) = e_2(b+nq) = e_2(b+(n+2^N)q)$.

If $e_2(a + np) < e_2(b + nq)$, then either $e_2(a + np) < e_2(b + nq) \le N$ or $e_2(a + np) < N \le e_2(b + nq)$ holds, and hence, we have $e_2(a + (n + 2^N)p) = e_2(a + np) < \min\{e_2(b + nq), N\} \le e_2(b + (n + 2^N)q)$. In the same way, if $e_2(a + np) > e_2(b + nq)$, then $e_2(a + (n + 2^N)p) > e_2(b + (n + 2^N)q)$.

Hence, we proved that $e_2(a+np) = e_2(b+nq)$ holds if and only if $e_2(a+(n+2^N)p) = e_2(b+(n+2^N)q)$ holds, so that $\beta(n) = \beta(n+2^N)$ (n = 0, 1, 2, ...) and β is periodic. Thus, α is sectionally periodic.

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