

## ON SMOOTH $\mathbf{Sp}(p, q)$ -ACTIONS ON $S^{4p+4q-1}$

Dedicated to the memory of Professor Katsuo Kawakubo

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### 0. Introduction

Consider the standard  $\mathbf{Sp}(p) \times \mathbf{Sp}(q)$  action on the  $(4p + 4q - 1)$ -sphere  $S^{4p+4q-1}$ . This action has codimension-one principal orbits with  $\mathbf{Sp}(p-1) \times \mathbf{Sp}(q-1)$  as the principal isotropy subgroup. Furthermore, the fixed point set of the restricted  $\mathbf{Sp}(p-1) \times \mathbf{Sp}(q-1)$  action is diffeomorphic to the seven-sphere  $S^7$ .

In the previous papers [4, 5], we have studied smooth  $\mathbf{SO}_0(p, q)$ -actions on  $S^{p+q-1}$ , each of which is an extension of the standard  $\mathbf{SO}(p) \times \mathbf{SO}(q)$  action on  $S^{p+q-1}$ . In this paper, we shall study smooth  $\mathbf{Sp}(p, q)$ -actions on  $S^{4p+4q-1}$ , each of which is an extension of the standard  $\mathbf{Sp}(p) \times \mathbf{Sp}(q)$  action on  $S^{4p+4q-1}$ , and we shall show such an action is characterized by a pair  $(\phi, f)$  satisfying certain conditions, where  $\phi$  is a smooth  $\mathbf{Sp}(1, 1)$ -action on  $S^7$ , and  $f: S^7 \rightarrow \mathbf{P}_1(\mathbf{H})$  is a smooth mapping.

The pair  $(\phi, f)$  was introduced by Asoh [1] to consider smooth  $\mathbf{SL}(2, \mathbf{C})$ -actions on the 3-sphere, and was improved by our previous papers [4, 5]. The pair was used also by Mukōyama [2] to consider smooth  $\mathbf{Sp}(2, \mathbf{R})$ -actions on the 4-sphere. He studies also smooth  $\mathbf{SU}(p, q)$ -actions on  $S^{2p+2q-1}$  [3]. Here, we notice that the Lie groups  $\mathbf{SL}(2, \mathbf{C})$  and  $\mathbf{Sp}(2, \mathbf{R})$  are locally isomorphic to  $\mathbf{SO}_0(3, 1)$  and  $\mathbf{SO}_0(3, 2)$ , respectively.

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### 1. Standard representation of $\mathbf{Sp}(p, q)$

Let  $\mathbf{Sp}(p, q)$  denote the group of complex matrices of degree  $2p + 2q$  defined by the equations

$${}^tAJ_{p+q}A = J_{p+q}, \quad {}^tAK_{p,q}\bar{A} = K_{p,q}.$$

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Here,

$$J_n = \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}, \quad K_{p,q} = \begin{bmatrix} -I_p & 0 & 0 & 0 \\ 0 & I_q & 0 & 0 \\ 0 & 0 & -I_p & 0 \\ 0 & 0 & 0 & I_q \end{bmatrix}.$$

Consider the linear mapping  $\mathbf{J} = cKJ: \mathbf{C}^{2p+2q} \rightarrow \mathbf{C}^{2p+2q}$ . Here,  $K = K_{p,q}$ ,  $J = J_{p+q}$  and  $c$  is the complex conjugation. Since  $K^2 = I$ ,  $J^2 = -I$  and  $KJ = JK$ , we obtain  $\mathbf{J}^2 = -I$ . Furthermore, we see  $\mathbf{J}(zX) = \bar{z}\mathbf{J}(X)$  for each  $X \in \mathbf{C}^{2p+2q}$  and  $z \in \mathbf{C}$ . Hence, the linear mapping  $\mathbf{J}$  defines a quaternion structure on  $\mathbf{C}^{2p+2q}$ . We see  $\mathbf{J}(AX) = A\mathbf{J}(X)$  for each  $A \in \mathbf{Sp}(p, q)$  and  $X \in \mathbf{C}^{2p+2q}$ , by the definition of  $\mathbf{Sp}(p, q)$ . Therefore, the quaternion structure  $\mathbf{J}$  is  $\mathbf{Sp}(p, q)$ -equivariant.

Now we decompose an element  $X$  of  $\mathbf{C}^{2p+2q}$  into  $X = {}^t[U_1, V_1, U_2, V_2]$ , where  $U_1, U_2 \in \mathbf{C}^p$  and  $V_1, V_2 \in \mathbf{C}^q$ . Then we see

$$\mathbf{J}^t[U_1, V_1, U_2, V_2] = {}^t[-\bar{U}_2, \bar{V}_2, \bar{U}_1, -\bar{V}_1].$$

Hence we obtain the following equation for each  $\alpha, \beta \in \mathbf{C}$ :

$$(\alpha I + \beta \mathbf{J}) \begin{bmatrix} U_1 \\ V_1 \\ U_2 \\ V_2 \end{bmatrix} = \begin{bmatrix} \alpha U_1 - \beta \bar{U}_2 \\ \alpha V_1 + \beta \bar{V}_2 \\ \alpha U_2 + \beta \bar{U}_1 \\ \alpha V_2 - \beta \bar{V}_1 \end{bmatrix}.$$

Therefore, we can identify naturally  $\mathbf{C}^{2p+2q}$  having the quaternion structure  $\mathbf{J}$  with the quaternion vector space  $\mathbf{H}^{p+q}$  having the right scalar multiplication by the following correspondence:

$${}^t[U_1, V_1, U_2, V_2] \rightarrow {}^t[U_1 + jU_2, V_1 - jV_2].$$

Denote by  $\mathbf{I}(a, b, c, d)$  the isotropy group at

$$a\mathbf{e}_1 + b\mathbf{e}_{p+1} + c\mathbf{e}_{p+q+1} + d\mathbf{e}_{2p+q+1}$$

with respect to the standard representation of  $\mathbf{Sp}(p, q)$  on  $\mathbf{C}^{2p+2q}$ , where  $\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_{2p+2q}$  are the standard basis of  $\mathbf{C}^{2p+2q}$  and  $a, b, c, d$  are complex numbers with  $(a, b, c, d) \neq (0, 0, 0, 0)$ . Then, we see the followings:

$$\begin{aligned} \dim \frac{\mathbf{Sp}(p, q)}{\mathbf{I}(a, b, c, d)} &= 4p + 4q - 1, \\ \mathbf{I}(1, 0, 0, 0) &= \mathbf{I}(0, 0, 1, 0) = \mathbf{Sp}(p - 1, q), \\ \mathbf{I}(0, 1, 0, 0) &= \mathbf{I}(0, 0, 0, 1) = \mathbf{Sp}(p, q - 1), \end{aligned}$$

$$\bigcap_{(a,b,c,d) \neq (0,0,0,0)} \mathbf{I}(a, b, c, d) = \mathbf{Sp}(p - 1, q - 1).$$

For  $(a, b, c, d) \neq (0, 0, 0, 0)$  and  $(a', b', c', d') \neq (0, 0, 0, 0)$ , we define an equivalence relation:

$$(a + jc, b - jd) \sim (a' + jc', b' - jd') \iff \begin{cases} a' + jc' = (a + jc)(\alpha + j\beta), \\ b' - jd' = (b - jd)(\alpha + j\beta) \end{cases}$$

for some quaternion  $\alpha + j\beta \neq 0$ . The set of equivalence classes is naturally identified with the 1-dimensional quaternion projective space  $\mathbf{P}_1(\mathbf{H})$ . Then, we see the following:

$$(a + jc, b - jd) \sim (a' + jc', b' - jd') \iff \mathbf{I}(a, b, c, d) = \mathbf{I}(a', b', c', d').$$

## 2. Certain closed subgroups of $\mathbf{Sp}(p, q)$

Put

$$\begin{aligned} \mathbf{Sp}(p) \times \mathbf{Sp}(q) &= \mathbf{Sp}(p, q) \cap \mathbf{U}(2p + 2q), \\ \mathbf{Sp}(p - 1) \times \mathbf{Sp}(q - 1) &= \mathbf{I}(1, 0, 0, 0) \cap \mathbf{I}(0, 1, 0, 0) \cap \mathbf{U}(2p + 2q). \end{aligned}$$

Then,  $\mathbf{Sp}(p) \times \mathbf{Sp}(q)$  is the maximal compact subgroup of  $\mathbf{Sp}(p, q)$ , and  $\mathbf{Sp}(p - 1) \times \mathbf{Sp}(q - 1)$  is the principal isotropy subgroup of the standard  $\mathbf{Sp}(p) \times \mathbf{Sp}(q)$  action on  $\mathbf{C}^{2p+2q}$  which is the restriction of the standard representation of  $\mathbf{Sp}(p, q)$ .

Now we shall search all subalgebras  $\mathcal{G}$  of  $\text{Lie } \mathbf{Sp}(p, q)$  satisfying the following conditions:

$$\begin{aligned} \mathcal{G} &\supset \text{Lie}(\mathbf{Sp}(p - 1) \times \mathbf{Sp}(q - 1)), \quad \mathcal{G} \neq \text{Lie } \mathbf{Sp}(p, q), \\ \dim \text{Lie } \mathbf{Sp}(p, q) - \dim \mathcal{G} &\leq 4p + 4q - 1. \end{aligned}$$

Here,  $\text{Lie } \mathbf{Sp}(p, q)$  denotes the Lie algebra of  $\mathbf{Sp}(p, q)$  which is a Lie subalgebra of  $M_{2p+2q}(\mathbf{C})$  with the bracket operation  $[A, B] = AB - BA$ , and so on.

Let  $\text{Ad}: \mathbf{Sp}(p, q) \rightarrow \text{Aut}(\text{Lie } \mathbf{Sp}(p, q))$  be the adjoint representation defined by  $AMA^{-1}; A \in \mathbf{Sp}(p, q), M \in \text{Lie } \mathbf{Sp}(p, q)$ . Then we can decompose  $\text{Lie } \mathbf{Sp}(p, q)$  into

$$\text{Lie } \mathbf{Sp}(p, q) = \mathcal{K} \oplus \mathcal{S} \oplus \mathcal{U} \oplus \mathcal{V} \oplus \mathcal{T}$$

as a direct sum of  $\text{Ad}|_{(\mathbf{Sp}(p-1) \times \mathbf{Sp}(q-1))}$ -invariant vector spaces. Here,

$$\begin{aligned} \mathcal{K} &= \text{Lie}(\mathbf{Sp}(p - 1) \times \mathbf{Sp}(q - 1)), \\ \mathcal{S} &= \nu_{p-1} \otimes \nu_{q-1}^*, \\ \mathcal{U} &= \nu_{p-1} \oplus \nu_{p-1}, \\ \mathcal{V} &= \nu_{q-1} \oplus \nu_{q-1}, \end{aligned}$$

$$\mathcal{T} = \mathbf{R}^{10}.$$

Then the desired algebra  $\mathcal{G}$  can be decomposed into

$$\mathcal{G} = \mathcal{K} \oplus (\mathcal{G} \cap \mathcal{S}) \oplus (\mathcal{G} \cap \mathcal{U}) \oplus (\mathcal{G} \cap \mathcal{V}) \oplus (\mathcal{G} \cap \mathcal{T}).$$

Under the bracket operation, we obtain the following data.

$$\begin{aligned} [\mathcal{K}, \mathcal{S}] &= \mathcal{S}, & [\mathcal{K}, \mathcal{U}] &= \mathcal{U}, & [\mathcal{K}, \mathcal{V}] &= \mathcal{V}, & [\mathcal{K}, \mathcal{T}] &= \mathbf{0}, \\ [\mathcal{T}, \mathcal{S}] &= \mathbf{0}, & [\mathcal{T}, \mathcal{U}] &= \mathcal{U}, & [\mathcal{T}, \mathcal{V}] &= \mathcal{V}, & [\mathcal{T}, \mathcal{T}] &= \mathcal{T}, \\ [\mathcal{S}, \mathcal{U}] &= \mathcal{V}, & [\mathcal{S}, \mathcal{V}] &= \mathcal{U}, & [\mathcal{U}, \mathcal{V}] &= \mathcal{S}, \\ [\mathcal{U}, \mathcal{U}] &\subset \mathcal{K} \oplus \mathcal{T}, & [\mathcal{V}, \mathcal{V}] &\subset \mathcal{K} \oplus \mathcal{T}. \end{aligned}$$

Moreover we obtain the following.

$$\begin{aligned} \dim \mathcal{S} &= 4(p-1)(q-1), & \dim \mathcal{U} &= 8p-8, \\ \dim \mathcal{V} &= 8q-8, & \dim \mathcal{T} &= 10. \end{aligned}$$

By a routine work, we obtain the following result.

**Lemma 2.1.** *Suppose  $p \geq 2$  and  $q \geq 2$ . Let  $\mathcal{G}$  be a proper Lie subalgebra of  $\text{Lie Sp}(p, q)$  satisfying the following conditions:*

$$\begin{aligned} \mathcal{G} &\supset \text{Lie}(\mathbf{Sp}(p-1) \times \mathbf{Sp}(q-1)), & \mathcal{G} &\neq \text{Lie Sp}(p, q), \\ \dim \text{Lie Sp}(p, q) - \dim \mathcal{G} &\leq 4p + 4q - 1. \end{aligned}$$

Then,  $\mathcal{G}$  is one of the following:

- (1)  $\mathcal{G} \supset \text{Lie I}(a, b, c, d)$  for some  $(a, b, c, d) \neq (0, 0, 0, 0)$  such that  $\mathcal{G} \cap (\mathcal{U} \oplus \mathcal{V}) = (\text{Lie I}(a, b, c, d)) \cap (\mathcal{U} \oplus \mathcal{V})$ .
- (2)  $\mathcal{G} = \text{Lie}(\mathbf{Sp}(p, 1) \times \mathbf{Sp}(q-1))$  for  $q = 2$ .
- (3)  $\mathcal{G} = \text{Lie}(\mathbf{Sp}(p-1) \times \mathbf{Sp}(1, q))$  for  $p = 2$ .
- (4)  $p = q = 2$ ,  $\dim \mathcal{G} = 21$  and  $\mathcal{G}$  satisfies the following condition:  $\mathcal{G} \cap \text{Lie}(\mathbf{Sp}(2) \times \mathbf{Sp}(2)) = A^{-1} \text{Lie}(\Delta \mathbf{Sp}(1) \times (\mathbf{Sp}(1) \times \mathbf{Sp}(1)))A$ , for some  $A \in \mathbf{Sp}(2) \times \mathbf{Sp}(2)$ .

### 3. Smooth $\text{Sp}(p, q)$ actions on $S^{4p+4q-1}$

Consider the standard action of  $\mathbf{Sp}(p) \times \mathbf{Sp}(q)$  on  $S^{4p+4q-1}$  defined by

$$\begin{aligned} \psi: (\mathbf{Sp}(p) \times \mathbf{Sp}(q)) \times S^{4p+4q-1} &\longrightarrow S^{4p+4q-1}, \\ \psi(A, X) &= AX; \quad A \in \mathbf{Sp}(p) \times \mathbf{Sp}(q), \quad X \in S^{4p+4q-1}. \end{aligned}$$

The action  $\psi$  has  $\mathbf{Sp}(p-1) \times \mathbf{Sp}(q-1)$  as the principal isotropy type and  $\mathbf{Sp}(p) \times \mathbf{Sp}(q-1)$  and  $\mathbf{Sp}(p-1) \times \mathbf{Sp}(q)$  as singular isotropy types. Moreover the codimension of principal orbits is one.

Put  $G = \mathbf{Sp}(p, q)$ ,  $K = \mathbf{Sp}(p) \times \mathbf{Sp}(q)$  and  $H = \mathbf{Sp}(p - 1) \times \mathbf{Sp}(q - 1)$ .

Here, we consider  $S^{4p+4q-1}$  as the unit sphere of  $C^{2p+2q}$ . Then the fixed point set  $F(H)$  of restricted  $H$ -action is the 7-sphere as follows:

$$F(H) = \{a\mathbf{e}_1 + b\mathbf{e}_{p+1} + c\mathbf{e}_{p+q+1} + d\mathbf{e}_{2p+q+1}\},$$

where  $a, b, c, d$  are complex numbers satisfying  $|a|^2 + |b|^2 + |c|^2 + |d|^2 = 1$ .

Let us consider a smooth  $G$ -action  $\Phi$  on  $S^{4p+4q-1}$  such that the restricted  $K$ -action of  $\Phi$  coincides with the standard action  $\psi$ .

Then we obtain a mapping  $f: F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$  defined by the condition

$$f(Y) = (a + jc : b - jd) \iff G_Y \supset \mathbf{I}(a, b, c, d).$$

Since the isotropy subgroup  $G_Y$  at  $Y \in F(H)$  contains  $H$ ,  $G_Y$  contains a unique subgroup of the form  $\mathbf{I}(a, b, c, d)$  by Lemma 2.1.

**Lemma 3.1.** *For any smooth  $G$ -action  $\Phi$  on  $S^{4p+4q-1}$  such that the restricted  $K$ -action of  $\Phi$  coincides with the standard action  $\psi$ , the relations  $G_{\mathbf{e}_1} = \mathbf{Sp}(p - 1, q)$  and  $G_{\mathbf{e}_{p+1}} = \mathbf{Sp}(p, q - 1)$  are hold. In particular, the orbits through  $\mathbf{e}_1$  and  $\mathbf{e}_{p+1}$  are open in  $S^{4p+4q-1}$ .*

Proof. First we obtain  $G_{\mathbf{e}_1} \supset \mathbf{Sp}(p - 1, q)$  and  $G_{\mathbf{e}_{p+1}} \supset \mathbf{Sp}(p, q - 1)$  by the following facts:

$$\begin{aligned} \mathbf{Sp}(p - 1) \times \mathbf{Sp}(q) \subset \mathbf{I}(a, b, c, d) &\iff b = d = 0, \\ \mathbf{Sp}(p) \times \mathbf{Sp}(q - 1) \subset \mathbf{I}(a, b, c, d) &\iff a = c = 0, \\ \mathbf{I}(1, 0, 0, 0) = \mathbf{Sp}(p - 1, q), \quad \mathbf{I}(0, 1, 0, 0) = \mathbf{Sp}(p, q - 1). \end{aligned}$$

On the other hand, by Lemma 2.1 we obtain  $G_{\mathbf{e}_1} \subset \mathbf{Sp}(1) \times \mathbf{Sp}(p - 1, q)$  and  $G_{\mathbf{e}_{p+1}} \subset \mathbf{Sp}(p, q - 1) \times \mathbf{Sp}(1)$ . By considering the restricted  $K$ -action  $\psi$ , we obtain  $G_{\mathbf{e}_1} = \mathbf{Sp}(p - 1, q)$  and  $G_{\mathbf{e}_{p+1}} = \mathbf{Sp}(p, q - 1)$ . In particular, since  $\dim G / \mathbf{Sp}(p - 1, q) = \dim G / \mathbf{Sp}(p, q - 1) = 4p + 4q - 1$ , the orbits through  $\mathbf{e}_1$  and  $\mathbf{e}_{p+1}$  are open in  $S^{4p+4q-1}$ . □

**Lemma 3.2.** *For any smooth  $G$ -action  $\Phi$  on  $S^{4p+4q-1}$  such that the restricted  $K$ -action of  $\Phi$  coincides with the standard action  $\psi$ , the mapping  $f: F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$  defined by the condition*

$$f(Y) = (a + jc : b - jd) \iff G_Y \supset \mathbf{I}(a, b, c, d)$$

*is smooth.*

Proof. First we define 10 elements of  $\text{Lie } G$  as follows:

$$\begin{aligned}
A_1 &= E_{1,p} - E_{p,1} + E_{p+q+1,2p+q} - E_{2p+q,p+q+1}, \\
A_2 &= -i(E_{1,p} + E_{p,1} - E_{p+q+1,2p+q} - E_{2p+q,p+q+1}), \\
A_3 &= E_{2p+q,1} - E_{1,2p+q} + E_{p+q+1,p} - E_{p,p+q+1}, \\
A_4 &= i(E_{2p+q,1} + E_{1,2p+q} + E_{p+q+1,p} + E_{p,p+q+1}), \\
C &= E_{p,p+1} + E_{p+1,p} - E_{2p+q,2p+q+1} - E_{2p+q+1,2p+q}, \\
B_1 &= E_{p+1,p+q} - E_{p+q,p+1} + E_{2p+q+1,2p+2q} - E_{2p+2q,2p+q+1}, \\
B_2 &= -i(E_{p+1,p+q} + E_{p+q,p+1} - E_{2p+q+1,2p+2q} - E_{2p+2q,2p+q+1}), \\
B_3 &= E_{2p+2q,p+1} - E_{p+1,2p+2q} + E_{2p+q+1,p+q} - E_{p+q,2p+q+1}, \\
B_4 &= i(E_{2p+2q,p+1} + E_{p+1,2p+2q} + E_{2p+q+1,p+q} + E_{p+q,2p+q+1}), \\
D &= E_{p+q,1} + E_{1,p+q} - E_{2p+2q,p+q+1} - E_{p+q+1,2p+2q}.
\end{aligned}$$

Then we see the following relations:

$$\begin{aligned}
b_1 A_1 + b_2 A_2 + d_1 A_3 + d_2 A_4 + C &\in \text{Lie } \mathbf{I}(1, b, 0, d), \\
a_1 B_1 + a_2 B_2 + c_1 B_3 + c_2 B_4 + D &\in \text{Lie } \mathbf{I}(a, 1, c, 0),
\end{aligned}$$

where each coefficients are real numbers defined by  $a = a_1 + ia_2$ ,  $b = b_1 + ib_2$ ,  $c = c_1 + ic_2$  and  $d = d_1 + id_2$ . Moreover, we see that each of  $A_1$ ,  $A_2$ ,  $A_3$ ,  $A_4$ ,  $B_1$ ,  $B_2$ ,  $B_3$  and  $B_4$  is an element of  $\text{Lie } K$ .

Now we define a Lie algebra homomorphism  $\Phi^+ : \text{Lie } G \longrightarrow \Gamma(S^{4p+4q-1})$  by

$$\Phi^+(M)_Y(h) = \lim_{t \rightarrow 0} \frac{h(\Phi(\exp(-tM), Y)) - h(Y)}{t},$$

where  $\Gamma(-)$  denotes the Lie algebra consisting of smooth vector fields on a given manifold,  $M \in \text{Lie } G$  and  $h$  is a smooth function defined on an open neighborhood of  $Y$ . For  $M \in \text{Lie } G$ , we see  $M \in \text{Lie } G_Y \iff \Phi^+(M)_Y = 0$ .

Now we see that the tangent vector fields  $\Phi^+(A_1)$ ,  $\Phi^+(A_2)$ ,  $\Phi^+(A_3)$ ,  $\Phi^+(A_4)$ ,  $\Phi^+(B_1)$ ,  $\Phi^+(B_2)$ ,  $\Phi^+(B_3)$  and  $\Phi^+(B_4)$  are linearly independent at each point  $Y$  of  $F(H)$ . Because, if they are linearly dependent at  $Y \in F(H)$ , a non-trivial linear combination of  $A_1$ ,  $A_2$ ,  $A_3$ ,  $A_4$ ,  $B_1$ ,  $B_2$ ,  $B_3$  and  $B_4$  is contained in  $\text{Lie } G_Y$  and it is a contradiction to the isotropy types of the standard  $K$ -action  $\psi$ .

Let us denote by  $(M, M')_Y$  the inner product of two tangent vector fields  $\Phi^+(M)$ ,  $\Phi^+(M')$  at  $Y$  with respect to the standard Riemannian metric on  $S^{4p+4q-1}$ . Denote by  $A[Y]$ ,  $B[Y]$  the Gram matrices as follows:

$$\begin{aligned}
(A_s, A_t)_Y &: (s, t)\text{-component of } A[Y], \\
(B_s, B_t)_Y &: (s, t)\text{-component of } B[Y].
\end{aligned}$$

Then  $A[Y]$ ,  $B[Y]$  are non-singular at each point  $Y \in F(H)$ . Moreover, we see the following:

$$f(Y) = (1 : b - jd) \implies A[Y] \begin{bmatrix} b_1 \\ b_2 \\ d_1 \\ d_2 \end{bmatrix} = - \begin{bmatrix} (A_1, C)_Y \\ (A_2, C)_Y \\ (A_3, C)_Y \\ (A_4, C)_Y \end{bmatrix},$$

$$f(Y) = (a + jc : 1) \implies B[Y] \begin{bmatrix} a_1 \\ a_2 \\ c_1 \\ c_2 \end{bmatrix} = - \begin{bmatrix} (B_1, D)_Y \\ (B_2, D)_Y \\ (B_3, D)_Y \\ (B_4, D)_Y \end{bmatrix}.$$

Hence we see that each of  $a_1, a_2, b_1, b_2, c_1, c_2, d_1$  and  $d_2$  is a smooth function of  $Y$  on an open set of  $F(H)$ . In fact,  $b_i, d_j$  are smooth on the open set of  $F(H)$  defined by  $(a, c) \neq (0, 0)$  and  $a_i, c_j$  are smooth on the open set of  $F(H)$  defined by  $(b, d) \neq (0, 0)$ .

Therefore, the mapping  $f : F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$  is smooth. □

Denote by  $N(p, q)$  the centralizer of  $\mathbf{Sp}(p - 1, q - 1)$  in  $\mathbf{Sp}(p, q)$ . Then the group  $N(p, q)$  acts naturally on

$$\mathbf{C}^4 = \{a\mathbf{e}_1 + b\mathbf{e}_{p+1} + c\mathbf{e}_{p+q+1} + d\mathbf{e}_{2p+q+1}\}$$

as the restriction of the standard action of  $\mathbf{Sp}(p, q)$  on  $\mathbf{C}^{2p+2q}$ . By the correspondence

$$\mathbf{C}^4 \ni a\mathbf{e}_1 + b\mathbf{e}_{p+1} + c\mathbf{e}_{p+q+1} + d\mathbf{e}_{2p+q+1} \longleftrightarrow \begin{bmatrix} a + jc \\ b - jd \end{bmatrix} \in \mathbf{H}^2,$$

the group  $N(p, q)$  acts naturally on  $\mathbf{P}_1(\mathbf{H})$ . In fact, for  $n \in N(p, q)$

$$n(a + jc : b - jd) = (a' + jc' : b' - jd')$$

if and only if

$$\begin{aligned} & n(a\mathbf{e}_1 + b\mathbf{e}_{p+1} + c\mathbf{e}_{p+q+1} + d\mathbf{e}_{2p+q+1}) \\ &= a'\mathbf{e}_1 + b'\mathbf{e}_{p+1} + c'\mathbf{e}_{p+q+1} + d'\mathbf{e}_{2p+q+1}. \end{aligned}$$

Notice that  $N(p, q)$  is naturally isomorphic to  $\mathbf{Sp}(1, 1)$ . On the other hand, the group  $N(p, q)$  acts naturally on  $F(H)$  as the restriction of the given action  $\Phi$ .

**Lemma 3.3.** *For any smooth  $G$ -action  $\Phi$  on  $S^{4p+4q-1}$  such that the restricted  $K$ -action of  $\Phi$  coincides with the standard action  $\psi$ , the mapping  $f : F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$*

defined in Lemma 3.2 is  $N(p, q)$ -equivariant. In particular,

$$f(Y) = (a + jc : b - jd) \implies N(p, q)_Y \supset N(p, q) \cap \mathbf{I}(a, b, c, d).$$

Proof. Suppose  $f(Y) = (a + jc : b - jd)$  for  $Y \in F(H)$ . Then  $G_Y$  contains  $\mathbf{I}(a, b, c, d)$ . Let  $n \in N(p, q)$ . Then  $G_{\Phi(n, Y)} = nG_Yn^{-1}$  contains  $n\mathbf{I}(a, b, c, d)n^{-1}$ . On the other hand, we see that  $n(a + jc : b - jd) = (a' + jc' : b' - jd')$  if and only if  $n\mathbf{I}(a, b, c, d)n^{-1} = \mathbf{I}(a', b', c', d')$ . By these fact, we obtain  $f(\Phi(n, Y)) = nf(Y)$ . Hence the mapping  $f: F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$  is  $N(p, q)$ -equivariant. Moreover,  $G_Y \supset \mathbf{I}(a, b, c, d)$  implies

$$N(p, q)_Y \supset N(p, q) \cap \mathbf{I}(a, b, c, d). \quad \square$$

#### 4. Construction of $\mathbf{Sp}(p, q)$ -actions

Under the natural isomorphism of  $N(p, q)$  to  $\mathbf{Sp}(1, 1)$ , we define  $M(\theta) \in N(p, q)$  as the matrix corresponding to the following

$$\left[ \begin{array}{cc|cc} \cosh \theta & \sinh \theta & & \\ \sinh \theta & \cosh \theta & & \\ \hline & & \cosh \theta & -\sinh \theta \\ & & -\sinh \theta & \cosh \theta \end{array} \right].$$

Now we prepare the following result.

**Lemma 4.1.** *The equation*

$$\mathbf{Sp}(p, q) = (\mathbf{Sp}(p) \times \mathbf{Sp}(q))N(p, q)\mathbf{I}(a, b, c, d)$$

holds for each  $(a, b, c, d) \neq (0, 0, 0, 0)$ .

Proof. Consider the standard action of  $\mathbf{Sp}(p, q)$  on  $\mathbf{C}^{2p+2q}$ . Put

$$Y = a\mathbf{e}_1 + b\mathbf{e}_{p+1} + c\mathbf{e}_{p+q+1} + d\mathbf{e}_{2p+q+1}.$$

For any  $g \in \mathbf{Sp}(p, q)$ , we decompose  $gY = {}^t[U_1, V_1, U_2, V_2]$ , where  $U_1, U_2 \in \mathbf{C}^p$  and  $V_1, V_2 \in \mathbf{C}^q$ . Then we see

$$-\|U_1\|^2 + \|V_1\|^2 - \|U_2\|^2 + \|V_2\|^2 = -|a|^2 + |b|^2 - |c|^2 + |d|^2.$$

Hence, we can choose  $k \in K = \mathbf{Sp}(p) \times \mathbf{Sp}(q)$  as follows:

$$k^{-1}gY = s\mathbf{e}_1 + t\mathbf{e}_{p+1} : s = \sqrt{\|U_1\|^2 + \|U_2\|^2}, \quad t = \sqrt{\|V_1\|^2 + \|V_2\|^2}.$$



Next, we can choose  $M(\theta) \in N(p, q)$  as follows:

$$M(-\theta)k^{-1}gY = \sqrt{|a|^2 + |c|^2}\mathbf{e}_1 + \sqrt{|b|^2 + |d|^2}\mathbf{e}_{p+1}.$$

Finally, we can choose  $n \in N(p, q) \cap K$  such that  $n^{-1}M(-\theta)k^{-1}gY = Y$ . In particular, we obtain  $n^{-1}M(-\theta)k^{-1}g \in \mathbf{I}(a, b, c, d)$ .  $\square$

As in the previous section, we use the notations  $G = \mathbf{Sp}(p, q)$ ,  $K = \mathbf{Sp}(p) \times \mathbf{Sp}(q)$  and  $H = \mathbf{Sp}(p - 1) \times \mathbf{Sp}(q - 1)$ .

Moreover, we use the notations  $\mathbf{I}(a, b, c, d)$ ,  $F(H)$  and  $N(p, q)$ .

In this section, we suppose the following situation:

1. a smooth action  $\phi: N(p, q) \times F(H) \rightarrow F(H)$  is given.
2. an  $N(p, q)$ -equivariant smooth mapping  $f: F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$  is given.
3. the following conditions are satisfied:
  - (a)  $n \in N(p, q) \cap K, Y \in F(H) \implies \phi(n, Y) = \psi(n, Y)$ .
  - (b)  $f(Y) = (a + jc : b - jd) \implies N(p, q)_Y \supset N(p, q) \cap \mathbf{I}(a, b, c, d)$ .

Notice that such a situation is realized if there is a smooth  $G$ -action on  $S^{4p+4q-1}$  which is an extension of the standard  $K$ -action  $\psi$  on  $S^{4p+4q-1}$ . These facts are proved in lemmas 3.2, 3.3.

We shall show how to construct a smooth  $G = \mathbf{Sp}(p, q)$ -action on  $S^{4p+4q-1}$  from the pair  $(\phi, f)$ . First, we prepare several lemmas.

**Lemma 4.2.** *The following relations hold.*

$$\begin{aligned} f(Y) = (1 : 0) &\iff K_Y = \mathbf{Sp}(p - 1) \times \mathbf{Sp}(q), \\ f(Y) = (0 : 1) &\iff K_Y = \mathbf{Sp}(p) \times \mathbf{Sp}(q - 1). \end{aligned}$$

*Proof.* Notice that the isotropy subgroup  $K_Y$  for  $Y \in F(H)$  is one of the following:

$$\mathbf{Sp}(p - 1) \times \mathbf{Sp}(q - 1), \mathbf{Sp}(p - 1) \times \mathbf{Sp}(q), \mathbf{Sp}(p) \times \mathbf{Sp}(q - 1).$$

Under the natural isomorphism of  $N(p, q)$  to  $\mathbf{Sp}(1, 1)$ , the group  $K \cap N(p, q)$  can be identified with  $\mathbf{Sp}(1) \times \mathbf{Sp}(1)$ . Here we denote

$$K \cap N(p, q) = \mathbf{Sp}(1) \times \mathbf{Sp}(1).$$

Under this identification, we see  $(\mathbf{Sp}(1) \times \mathbf{Sp}(1))_{(\alpha:\beta)} = 1 \times 1$  for each  $(\alpha : \beta) \in \mathbf{P}_1(\mathbf{H})$  satisfying  $\alpha\beta \neq 0$ . Hence we see that  $K_Y = \mathbf{Sp}(p - 1) \times \mathbf{Sp}(q - 1)$ , if  $f(Y) = (\alpha : \beta)$  satisfying  $\alpha\beta \neq 0$ . On the other hand, if  $f(Y) = (a + jc : b - jd)$ , then we see

$$K_Y \supset K \cap N(p, q)_Y \supset (\mathbf{Sp}(1) \times \mathbf{Sp}(1)) \cap \mathbf{I}(a, b, c, d).$$

In particular, we see

$$\begin{aligned}(\mathbf{Sp}(1) \times \mathbf{Sp}(1)) \cap \mathbf{I}(1, 0, 0, 0) &= 1 \times \mathbf{Sp}(1), \\ (\mathbf{Sp}(1) \times \mathbf{Sp}(1)) \cap \mathbf{I}(0, 1, 0, 0) &= \mathbf{Sp}(1) \times 1.\end{aligned}$$

By these facts, we obtain the desired result.  $\square$

**Lemma 4.3.**  $Y \in F(H)$ ,  $f(Y) = (a + jc : b - jd)$  be given. Then

$$g = k_1 n_1 h_1 = k_2 n_2 h_2 \implies \psi(k_1, \phi(n_1, Y)) = \psi(k_2, \phi(n_2, Y))$$

for any  $k_1, k_2 \in K$ ;  $n_1, n_2 \in N(p, q)$ ;  $h_1, h_2 \in \mathbf{I}(a, b, c, d)$ .

Proof. Put

$$X = X(a, b, c, d) = a\mathbf{e}_1 + b\mathbf{e}_{p+1} + c\mathbf{e}_{p+q+1} + d\mathbf{e}_{2p+q+1}.$$

First, we consider the standard representation of  $G = \mathbf{Sp}(p, q)$  on  $\mathbf{C}^{2p+2q}$ . We can describe by the above notation

$$n_t X(a, b, c, d) = X_t = X(a_t, b_t, c_t, d_t), \quad (t = 1, 2).$$

By the assumption  $g = k_1 n_1 h_1 = k_2 n_2 h_2$ , we obtain

$$gX(a, b, c, d) = k_1 X(a_1, b_1, c_1, d_1) = k_2 X(a_2, b_2, c_2, d_2).$$

Hence we obtain  $gX = k_1 X_1 = k_2 X_2$ . Put  $k = k_1^{-1} k_2$ . Then we obtain  $K_{X_1} = K_{kX_2} = kK_{X_2}k^{-1}$ . By the form of isotropy subgroups, we obtain

$$(a) \quad K_{X_1} = K_{X_2}, \quad k \in N(K_{X_t}) \quad (t = 1, 2)$$

By Lemma 4.2, we obtain the following:

$$(b) \quad \begin{aligned}(a_t, c_t) \neq (0, 0) \neq (b_t, d_t) &\iff K_{X_t} = \mathbf{Sp}(p-1) \times \mathbf{Sp}(q-1) \\ (a_t, c_t) \neq (0, 0) = (b_t, d_t) &\iff K_{X_t} = \mathbf{Sp}(p-1) \times \mathbf{Sp}(q) \\ (a_t, c_t) = (0, 0) \neq (b_t, d_t) &\iff K_{X_t} = \mathbf{Sp}(p) \times \mathbf{Sp}(q-1)\end{aligned}$$

Moreover, we obtain

$$(c) \quad k_1^{-1} k_2 n_2 n_1^{-1} \in \mathbf{I}(a_1, b_1, c_1, d_1)$$

because the element  $k_1^{-1} k_2 n_2 n_1^{-1}$  leaves the point  $X_1$  fixed.

Now we consider case by case.

[1] The case  $(b_1, d_1) = (0, 0)$ . By (a), (b), we see  $(b_2, d_2) = (0, 0)$ . By  $n_1 X = X_1$ ,

$$f(\phi(n_1, Y)) = n_1 f(Y) = (a_1 + j c_1 : 0) = (1 : 0).$$

Then, by (b), we see  $K_{\phi(n_1, Y)} = \mathbf{Sp}(p-1) \times \mathbf{Sp}(q)$ . On the other hand,

$$k_1^{-1} k_2 n_2 n_1^{-1} \in \mathbf{I}(a_1, 0, c_1, 0) = \mathbf{I}(1, 0, 0, 0) = \mathbf{Sp}(p-1, q)$$

by (c). By the second half of (a), we obtain  $k_1^{-1} k_2 \in (\mathbf{Sp}(1) \times \mathbf{Sp}(p-1)) \times \mathbf{Sp}(q)$  and hence we can decompose

$$k_1^{-1} k_2 = k' k'' : k' \in \mathbf{Sp}(p-1) \times \mathbf{Sp}(q), k'' \in \mathbf{Sp}(1) \times 1.$$

Then  $k'' n_2 n_1^{-1} \in N(p, q) \cap \mathbf{Sp}(p-1, q) = 1 \times \mathbf{Sp}(1)$  and hence we obtain

$$k_1^{-1} k_2 n_2 n_1^{-1} \in K \cap \mathbf{Sp}(p-1, q) = \mathbf{Sp}(p-1) \times \mathbf{Sp}(q).$$

Under these preparation, we obtain

$$\begin{aligned} \psi(k_2, \phi(n_2, Y)) &= \psi(k_2, \phi(n_2 n_1^{-1} n_1, Y)) \\ &= \psi(k_2, \phi(n_2 n_1^{-1}, \phi(n_1, Y))) \\ &= \psi(k_2, \psi(n_2 n_1^{-1}, \phi(n_1, Y))) \\ &= \psi(k_2 n_2 n_1^{-1}, \phi(n_1, Y)) \\ &= \psi(k_1, \psi(k_1^{-1} k_2 n_2 n_1^{-1}, \phi(n_1, Y))) \\ &= \psi(k_1, \phi(n_1, Y)). \end{aligned}$$

[2] The case  $(a_1, c_1) = (0, 0)$  is similarly proved.

[3] The case  $(a_1, c_1) \neq (0, 0) \neq (b_1, d_1)$ . In this case, we see  $(a_2, c_2) \neq (0, 0) \neq (b_2, d_2)$  by (a), (b). Now we can decompose

$$k_1^{-1} k_2 = k' k'' : k' \in \mathbf{Sp}(p-1) \times \mathbf{Sp}(q-1), k'' \in \mathbf{Sp}(1) \times \mathbf{Sp}(1)$$

by the second half of (a). Then,  $k'' n_2 n_1^{-1} \in \mathbf{I}(a_1, b_1, c_1, d_1)$  by (c). Since  $\mathbf{I}(a_1, b_1, c_1, d_1) = n_1 \mathbf{I}(a, b, c, d) n_1^{-1}$ , we obtain  $k'' n_2 = n_1 h$ ;  $h \in \mathbf{I}(a, b, c, d)$ , where  $h \in N(p, q) \cap \mathbf{I}(a, b, c, d) \subset N(p, q)_Y$ . Under these preparation, we obtain

$$\begin{aligned} \psi(k_2, \phi(n_2, Y)) &= \psi(k_1 k' k'', \phi(n_2, Y)) \\ &= \psi(k_1 k'', \phi(n_2, Y)) \\ &= \psi(k_1, \phi(k'', \phi(n_2, Y))) \\ &= \psi(k_1, \phi(k'' n_2, Y)) \\ &= \psi(k_1, \phi(n_1 h, Y)) \end{aligned}$$

$$\begin{aligned}
&= \psi(k_1, \phi(n_1, \phi(h, Y))) \\
&= \psi(k_1, \phi(n_1, Y)).
\end{aligned}$$

This completes the proof. □

Now we define  $\Phi(g, Y) \in S^{4p+4q-1}$  for each  $g \in G, Y \in F(H)$  by

$$\Phi(g, Y) = \psi(k, \phi(n, Y)).$$

Here we decompose  $g = knh : k \in K, n \in N(p, q)$  and  $h \in \mathbf{I}(a, b, c, d)$ , for  $f(Y) = (a + jc : b - jd)$ . Lemma 4.3 assures the well-definedness of  $\Phi(g, Y)$ .

**Lemma 4.4.** *Suppose*

$$\psi(k_1, Y_1) = \psi(k_2, Y_2) ; Y_1, Y_2 \in F(H), k_1, k_2 \in K.$$

*Then the relation  $\Phi(gk_1, Y_1) = \Phi(gk_2, Y_2)$  holds for any  $g \in G = \mathbf{Sp}(p, q)$ .*

*Proof.* By the assumption,  $K_{Y_1} = K_{Y_2}$  and there is a decomposition

$$k_1^{-1}k_2 = k''k' : k' \in K_{Y_2}, k'' \in \mathbf{Sp}(1) \times \mathbf{Sp}(1).$$

Now we give a decomposition

$$gk_1 = knh : k \in K, n \in N(p, q), h \in \mathbf{I}(a_1, b_1, c_1, d_1).$$

Here we assume  $f(Y_t) = (a_t + jc_t : b_t - jd_t)$ , ( $t = 1, 2$ ). Then

$$gk_2 = gk_1k''k' = knhk''k'.$$

On the other hand, we obtain

$$\mathbf{I}(a_1, b_1, c_1, d_1) = k''\mathbf{I}(a_2, b_2, c_2, d_2)(k'')^{-1}$$

from  $Y_1 = \psi(k'', Y_2) = \phi(k'', Y_2)$ . Hence we see

$$h \in \mathbf{I}(a_1, b_1, c_1, d_1) \implies h' = (k'')^{-1}hk'' \in \mathbf{I}(a_2, b_2, c_2, d_2).$$

Put  $n' = nk''$ . Then,  $n' \in N(p, q)$  and  $gk_2 = kn'h'k'$ . In this decomposition, we can show  $k' \in \mathbf{I}(a_2, b_2, c_2, d_2)$  by considering the isotropy subgroup at  $Y_2$  case by case. Hence we see

$$\Phi(gk_2, Y_2) = \psi(k, \phi(n', Y_2))$$

$$\begin{aligned} &= \psi(k, \phi(n, \psi(k'', Y_2))) \\ &= \psi(k, \phi(n, Y_1)) \\ &= \Phi(gk_1, Y_1). \end{aligned} \quad \square$$

By this lemma, we may define a mapping  $\Phi: G \times S^{4p+4q-1} \longrightarrow S^{4p+4q-1}$  by  $\Phi(g, \psi(k, Y)) = \Phi(gk, Y) : g \in G, k \in K, Y \in F(H)$ . The right-hand side is already defined.

It is easy to see that the mapping  $\Phi$  is an abstract action of  $G$  on  $S^{4p+4q-1}$  which is an extension of the standard  $K$ -action  $\psi$  and an extension of the given  $N(p, q)$ -action  $\phi$ . It remains to show  $\Phi$  is smooth.

First we state the following result which is an accurate form of Lemma 4.1. The proof is quite similar, so we omit it.

**Lemma 4.5.** *There is a decomposition*

$$g = kM(\theta)h : k \in K, \theta \in \mathbf{R}, h \in \mathbf{I}(1, \beta, 0, 0)$$

for any  $\beta > 0$  and any  $g \in G$ .

Put

$$\mathbf{P}_1(\mathbf{R}) = \{(a : b) \in \mathbf{P}_1(\mathbf{H}) \mid a, b \in \mathbf{R}\}.$$

Then,  $\mathbf{P}_1(\mathbf{R})$  is a 1-dimensional submanifold of  $\mathbf{P}_1(\mathbf{H})$ . Define

$$S = f^{-1}(\mathbf{P}_1(\mathbf{R})).$$

Because the isotropy subgroups at two points  $(1 : 0)$ ,  $(0 : 1)$  are both  $\mathbf{Sp}(1) \times \mathbf{Sp}(1)$  with respect to the standard  $N(p, q)$ -action on  $\mathbf{P}_1(\mathbf{H})$ , we see that the orbits through these points are open and hence the given  $N(p, q)$ -equivariant smooth mapping  $f: F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$  is transversal on  $\mathbf{P}_1(\mathbf{R})$ . Hence  $S$  is a 4-dimensional submanifold of  $F(H)$ . Put

$$S_+ = \{Y \in S \mid f(Y) = (1 : \beta), \beta > 0\}.$$

Then  $S_+$  is an open submanifold of  $S$ .

Hereafter, we denote  $\beta = \beta(Y)$  for  $Y \in S_+$  such that  $f(Y) = (1 : \beta)$ .

Now we see the following:

$$f(\phi(M(\theta), Y)) = (\cosh \theta + \beta \sinh \theta : \sinh \theta + \beta \cosh \theta)$$

for  $Y \in S_+$  and  $\theta$ , where  $\beta = \beta(Y)$ . Hence  $\phi(M(\theta), Y) \in S$  in general. Therefore,

$\phi(M(\theta), Y) \in S_+$  if and only if

$$(\cosh \theta + \beta \sinh \theta)(\sinh \theta + \beta \cosh \theta) > 0.$$

In this case, we obtain the following:

$$\beta(\phi(M(\theta), Y)) = \beta + \frac{(1 - \beta^2) \tanh \theta}{1 + \beta \tanh \theta}.$$

Here we define a matrix  $P(Y)$  of degree  $2p + 2q$  as follows:

$$P(Y) = \frac{1}{1 + \beta^2} (E_{1,1} + \beta E_{1,p+1} + \beta E_{p+1,1} + \beta^2 E_{p+1,p+1}).$$

We see  $\text{trace } P(Y) = 1$ . Notice that

$$\text{trace}(gP(Y)g^*) = \cosh 2\theta + \frac{2\beta}{1 + \beta^2} \sinh 2\theta$$

for the decomposition  $g = kM(\theta)h : k \in K, h \in \mathbf{I}(1, \beta, 0, 0)$ , where  $Y \in S_+, \beta = \beta(Y)$ .

Now we define

$$\begin{aligned} \mathbf{D}_+ &= \{(\theta, Y) \in \mathbf{R} \times S_+ \mid \phi(M(\theta), Y) \in S_+\}, \\ W_+ &= \left\{ (g, Y) \in G \times S_+ \mid \pm \text{trace}(gP(Y)g^*) \neq \frac{1 - \beta^2}{1 + \beta^2}, \beta = \beta(Y) \right\}. \end{aligned}$$

Clearly  $\mathbf{D}_+$  is an open set of  $\mathbf{R} \times S_+$  and  $W_+$  is an open set of  $G \times S_+$ .

Now we have the following results, whose proof is quite similar to that of [4, Lemma 4.7]. So we omit the proof.

**Lemma 4.6.** *For  $(g, Y) \in G \times S_+, (g, Y) \in W_+$  if and only if there is a decomposition*

$$g = kM(\theta)h : k \in K, h \in \mathbf{I}(1, \beta, 0, 0), \quad \phi(M(\theta), Y) \in S_+$$

where  $\beta = \beta(Y)$ .

**Lemma 4.7.** *There is a smooth mapping  $\Delta: W_+ \rightarrow K/H \times \mathbf{D}_+$  defined by  $\Delta(g, Y) = (kH, (\theta, Y))$ , where  $g = kM(\theta)h; k \in K, \theta \in \mathbf{R}$ , and  $h \in \mathbf{I}(1, \beta, 0, 0)$  for  $\beta = \beta(Y)$ .*

Put  $W(\Phi) = (1 \times \psi)(\mu \times 1)^{-1}(W_+)$ , where  $\psi$  is the  $K$ -action and  $\mu$  is the multiplication on  $G$ . Then  $W(\Phi)$  is an open set of  $G \times S^{4p+4q-1}$  and we obtain the following

commutative diagram:

$$\begin{array}{ccc}
 G \times K \times S_+ & \xrightarrow{1 \times \psi} & G \times S^{4p+4q-1} \\
 \downarrow \mu \times 1 & & \uparrow \cup \\
 G \times S_+ \supset W_+ & \longrightarrow & W(\Phi) \\
 \downarrow \Delta & & \downarrow \Phi \\
 K/H \times \mathbf{D}_+ & \xrightarrow{\phi'} & S^{4p+4q-1},
 \end{array}$$

where  $\phi'(kH, (\theta, Y)) = \psi(k, \phi(M(\theta), Y))$ . Since  $1 \times \psi$  is a smooth submersion, we see that the restriction  $\Phi|_{W(\Phi)}$  is a smooth mapping.

Define  $S_1(\Phi) = \{\Phi(g, \mathbf{e}_1) \mid g \in G\}$  and  $S_2(\Phi) = \{\Phi(g, \mathbf{e}_{p+1}) \mid g \in G\}$ .

We shall show that these two sets are open in  $S^{4p+4q-1}$  and the  $G$ -action  $\Phi$  is smooth on these sets.

Here we define the standard  $G$ -action  $\Psi_0$  on  $S^{4p+4q-1}$  by

$$\Psi_0(g, X) = \|gX\|^{-1}gX; \quad g \in G, \quad X \in S^{4p+4q-1}.$$

Define  $S_1(\Psi_0) = \{\Psi_0(g, \mathbf{e}_1) \mid g \in G\}$ , and  $S_2(\Psi_0) = \{\Psi_0(g, \mathbf{e}_{p+1}) \mid g \in G\}$ . By the natural correspondence

$$\Phi(g, \mathbf{e}_1) \mapsto \Psi_0(g, \mathbf{e}_1), \quad \Phi(g, \mathbf{e}_{p+1}) \mapsto \Psi_0(g, \mathbf{e}_{p+1}),$$

we obtain  $G$ -equivariant mappings  $F_\varepsilon : S_\varepsilon(\Phi) \rightarrow S_\varepsilon(\Psi_0)$  for  $\varepsilon = 1, 2$ .

We can denote  $\Phi(M(\theta), \mathbf{e}_1) = \phi(M(\theta), \mathbf{e}_1) = X(a(\theta), b(\theta), c(\theta), d(\theta))$ . Since  $f(X(*, 0, *, 0)) = (1 : 0)$  and  $f(X(0, *, 0, *)) = (0 : 1)$ , we see

$$\begin{aligned}
 \text{(a)} \quad & (b(\theta), d(\theta)) \neq (0, 0) \quad (\forall \theta \neq 0), \\
 & (a(\theta), c(\theta)) \neq (0, 0) \quad (\forall \theta).
 \end{aligned}$$

Next, using

$$-K_{p,q} \in K \cap \mathbf{I}(1, 0, 0, 0), \quad (-K_{p,q})M(\theta) = M(-\theta)(-K_{p,q}),$$

we obtain

$$\begin{aligned}
 \Phi((-K_{p,q})M(\theta), \mathbf{e}_1) &= \psi(-K_{p,q}, X(a(\theta), b(\theta), c(\theta), d(\theta))) \\
 &= X(a(\theta), -b(\theta), c(\theta), -d(\theta)), \\
 \Phi(M(-\theta)(-K_{p,q}), \mathbf{e}_1) &= X(a(-\theta), b(-\theta), c(-\theta), d(-\theta)).
 \end{aligned}$$

Hence we see that  $a(\theta)$  and  $c(\theta)$  are even functions, and  $b(\theta)$  and  $d(\theta)$  are odd functions. In particular, there exist smooth even functions  $b_0(\theta), d_0(\theta)$  such that  $b(\theta) = b_0(\theta)\theta$  and  $d(\theta) = d_0(\theta)\theta$ .

Now we define  $\Delta \mathbf{Sp}(1)$  as the subgroup of  $K \cap N(p, q) = \mathbf{Sp}(1) \times \mathbf{Sp}(1)$  consisting of matrices in the form

$$\begin{bmatrix} a & & -\bar{c} & \\ & a & & \bar{c} \\ c & & \bar{a} & \\ & -c & & \bar{a} \end{bmatrix}.$$

By direct calculation, we see

(b)  $M(\theta)$  is commutative with each element of  $\Delta \mathbf{Sp}(1)$ .

Moreover, we obtain

$$\begin{bmatrix} a & & -\bar{c} & \\ & a & & \bar{c} \\ c & & \bar{a} & \\ & -c & & \bar{a} \end{bmatrix} X(x, y, x', y') \longleftrightarrow (a + jc) \begin{bmatrix} x + jx' \\ y - jy' \end{bmatrix}$$

under the natural correspondence

$$X(x, y, x', y') \longleftrightarrow \begin{bmatrix} x + jx' \\ y - jy' \end{bmatrix}.$$

This means the action of  $\Delta \mathbf{Sp}(1)$  on  $F(H)$  corresponds to the left scalar multiplication. In particular, we obtain

(c) The  $\Delta \mathbf{Sp}(1)$ -action on  $F(H)$  is free.

Moreover, we see the set  $S = f^{-1}(\mathbf{P}_1(\mathbf{R}))$  is  $\Delta \mathbf{Sp}(1)$ -invariant.

Since  $f(\phi(M(\theta), \mathbf{e}_1)) = (1 : \tanh \theta)$ , we see the curve  $\phi(M(\theta), \mathbf{e}_1)$  is transverse to each orbit of the  $\Delta \mathbf{Sp}(1)$ -action, by the facts (b), (c). Hence we obtain

(d)  $\frac{d}{d\theta}(|b(\theta)|^2 + |d(\theta)|^2) \neq 0 \quad (\forall \theta \neq 0)$

Here we obtain  $(a'(\theta), b'(\theta), c'(\theta), d'(\theta)) \neq (0, 0, 0, 0) \quad (\forall \theta)$  by making use of the equation  $f(\phi(M(\theta), \mathbf{e}_1)) = (1 : \tanh \theta)$ . Since  $a(\theta), c(\theta)$  are even functions, we see  $a'(0) = c'(0) = 0$ , and hence  $(b_0(0), d_0(0)) = (b'(0), d'(0)) \neq (0, 0)$ . Combining this result with (a), we obtain

(e)  $(a(\theta), c(\theta)) \neq (0, 0) \neq (b_0(\theta), d_0(\theta)) \quad (\forall \theta)$

Here we define new smooth functions by

$$\sigma(\theta) = \sqrt{|a(\theta)|^2 + |c(\theta)|^2}, \quad \tau_0(\theta) = \sqrt{|b_0(\theta)|^2 + |d_0(\theta)|^2}$$



$$\alpha(\theta) = \frac{\overline{a(\theta) + jc(\theta)}}{\sigma(\theta)}, \quad \beta(\theta) = \frac{\overline{b_0(\theta) - jd_0(\theta)}}{\tau_0(\theta)}$$

Moreover we define  $\tau(\theta) = \tau_0(\theta)\theta$ . Then,  $\tau(\theta)$  is an odd function and  $\alpha(\theta), \beta(\theta)$  are even function with values in quaternions of modulus one. Moreover,

$$\begin{bmatrix} (a(\theta) + jc(\theta))\alpha(\theta) \\ (b(\theta) - jd(\theta))\beta(\theta) \end{bmatrix} = \begin{bmatrix} \sigma(\theta) \\ \tau(\theta) \end{bmatrix}.$$

By (d), we obtain

$$\frac{d}{d\theta}\tau(\theta) = \frac{(d/d\theta)(|b(\theta)|^2 + |d(\theta)|^2)}{2\sqrt{|b(\theta)|^2 + |d(\theta)|^2}} \neq 0 \quad (\forall \theta \neq 0).$$

Then  $\tau'(0) = \tau_0(0) > 0$  by (e). Hence we see  $\tau'(\theta) > 0$  ( $\forall \theta$ ). Therefore,  $\tau: \mathbf{R} \rightarrow (-r, r)$  ( $0 < r \leq 1$ ) is a smooth diffeomorphism. The existence of such  $r$  is assured by the equation  $|a(\theta)|^2 + |b(\theta)|^2 + |c(\theta)|^2 + |d(\theta)|^2 = 1$  ( $\forall \theta$ ).

Here we use the following identification again

$$\mathbf{C}^{2p+2q} \ni U_1 \oplus V_1 \oplus U_2 \oplus V_2 \longleftrightarrow (U_1 + jU_2) \oplus (V_1 - jV_2) \in \mathbf{H}^{p+q}.$$

By the diffeomorphism  $\tau: \mathbf{R} \rightarrow (-r, r)$ , we can describe

$$S_1(\Phi) = \{U \oplus V \in \mathbf{H}^{p+q} \mid \|V\| < r, \|U\|^2 + \|V\|^2 = 1\}.$$

First we define  $h_1: S_1(\Phi) \rightarrow S_1(\Phi)$  by

$$h_1(U \oplus V) = U\alpha(\tau^{-1}(\|V\|)) \oplus V\beta(\tau^{-1}(\|V\|)).$$

Then  $h_1$  is a  $K$ -equivariant deffeomorphism by definition. Moreover, we obtain the following:

(f) 
$$h_1(\Phi(M(\theta), \mathbf{e}_1)) = \sigma(\theta)\mathbf{e}_1 \oplus \tau(\theta)\mathbf{e}_{p+1} \quad (\forall \theta)$$

Since the function  $\tanh \theta / \sqrt{1 + (\tanh \theta)^2}$  is a diffeomorphism and odd function from  $\mathbf{R}$  onto the open interval  $(-1/\sqrt{2}, 1/\sqrt{2})$ , we can define  $\gamma: (-r, r) \rightarrow (-1/\sqrt{2}, 1/\sqrt{2})$  by the equation

$$\gamma(\tau(\theta)) = \frac{\tanh \theta}{\sqrt{1 + (\tanh \theta)^2}} \quad (\forall \theta).$$

Then the mapping  $\gamma$  is a diffeomorphism and odd function. So we define an even function  $\gamma_0: (-r, r) \rightarrow \mathbf{R}$  by  $\gamma(\theta) = \gamma_0(\theta)\theta$  ( $\forall \theta$ ).

Next we define  $h_2: S_1(\Phi) \rightarrow S_1(\Psi_0)$  by  $U \oplus V \mapsto U\gamma_1 \oplus V\gamma_0(\|V\|)$ , where  $\gamma_1 = \|U\|^{-1}\sqrt{1 - \gamma(\|V\|)^2}$ . Then  $h_2$  is also a  $K$ -equivariant diffeomorphism by definition. Moreover, we obtain the following:

$$(g) \quad h_2(\sigma(\theta)\mathbf{e}_1 \oplus \tau(\theta)\mathbf{e}_{p+1}) = \Psi_0(M(\theta), \mathbf{e}_1)$$

The composition  $h_2 \circ h_1$  is also a  $K$ -equivariant diffeomorphism and

$$(h_2 \circ h_1)(\Phi(M(\theta), \mathbf{e}_1)) = \Psi_0(M(\theta), \mathbf{e}_1)$$

by (f), (g). By making use of Lemma 4.5, we see  $(h_2 \circ h_1)(\Phi(g, \mathbf{e}_1)) = \Psi_0(g, \mathbf{e}_1)$  for each  $g \in G$ .

Consequently, we see  $F_1 = h_2 \circ h_1$  and hence  $F_1: S_1(\Phi) \rightarrow S_1(\Psi_0)$  is a smooth diffeomorphism. By the quite similar argument, we see that the  $G$ -equivariant mapping  $F_2: S_2(\Phi) \rightarrow S_2(\Psi_0)$  is also a smooth diffeomorphism.

Since the family of three open sets  $W(\Phi)$ ,  $G \times S_1(\Phi)$  and  $G \times S_2(\Phi)$  is an open covering of  $G \times S^{4p+4q-1}$  and the restriction of  $\Phi: G \times S^{4p+4q-1} \rightarrow S^{4p+4q-1}$  is smooth on these three open sets, we see that the action  $\Phi$  of  $G$  on  $S^{4p+4q-1}$  is smooth.

Consequently, we obtain the following result.

**Theorem 4.8.** *Let a smooth action  $\phi: N(p, q) \times F(H) \rightarrow F(H)$  and an  $N(p, q)$ -equivariant smooth mapping  $f: F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$  be given. Suppose that the following conditions are satisfied:*

1.  $n \in N(p, q) \cap K, Y \in F(H) \implies \phi(n, Y) = \psi(n, Y)$ .
2.  $f(Y) = (a + jc : b - jd) \implies N(p, q)_Y \supset N(p, q) \cap \mathbf{I}(a, b, c, d)$ .

*Then there exists a smooth  $G$ -action  $\Phi$  on  $S^{4p+4q-1}$  uniquely, which is an extension of the standard  $K$ -action  $\psi$  and an extension of the given  $N(p, q)$ -action  $\phi$ . Moreover, the isotropy subgroup at  $Y \in F(H)$  contains  $\mathbf{I}(a, b, c, d)$ , if  $f(Y) = (a + jc : b - jd)$ .*

## 5. Construction of $(\phi, f)$

In the previous section, we show how to construct a smooth action of  $\mathbf{Sp}(p, q)$  on  $S^{4p+4q-1}$  from a pair  $(\phi, f)$ , where  $\phi$  is a smooth  $N(p, q)$ -action on  $S^7 = F(H)$  whose restriction on  $K \cap N(p, q)$  coincides with the restriction of the standard action of  $K = \mathbf{Sp}(p) \times \mathbf{Sp}(q)$  and  $f: F(H) \rightarrow \mathbf{P}_1(\mathbf{H})$  is a smooth  $N(p, q)$ -equivariant mapping satisfying the conditions in Theorem 4.8.

Now we consider how to construct such a pair  $(\phi, f)$ . Define the circle  $S_0$  in  $S^{4p+4q-1}$  and involutions  $J_{\pm}$  on  $S_0$  by

$$S_0 = \{s\mathbf{e}_1 + t\mathbf{e}_{p+1} \mid s^2 + t^2 = 1; s, t \in \mathbf{R}\},$$

$$J_\varepsilon(\mathbf{se}_1 + \mathbf{te}_{p+1}) = \begin{cases} -\mathbf{se}_1 + \mathbf{te}_{p+1} & (\varepsilon = +), \\ \mathbf{se}_1 - \mathbf{te}_{p+1} & (\varepsilon = -). \end{cases}$$

Now we give a pair  $(\phi_0, f_0)$  of a smooth one-parameter group  $\phi_0: \mathbf{R} \times S_0 \rightarrow S_0$  and a smooth function  $f_0: S_0 \rightarrow \mathbf{P}_1(\mathbf{R})$  satisfying the conditions

- (a)  $J_\varepsilon \phi_0(\theta, Y) = \phi_0(-\theta, J_\varepsilon(Y)) \quad (\varepsilon = \pm)$
- (b)  $f_0(Y) = (a : b) \implies f_0(J_\varepsilon(Y)) = (-a : b) \quad (\varepsilon = \pm)$   
 $f_0(Y) = (a : b) \implies$
- (c)  $f_0(\phi_0(\theta, Y)) = (a \cosh \theta + b \sinh \theta : a \sinh \theta + b \cosh \theta)$
- (d)  $f_0(Y) = (1 : 0) \iff Y = \pm \mathbf{e}_1$
- (e)  $f_0(Y) = (0 : 1) \iff Y = \pm \mathbf{e}_{p+1}$

From the pair  $(\phi_0, f_0)$ , we can construct a desired pair  $(\phi, f)$ . The method is quite similar as one in the previous section and as one in [5, §5], so we omit the description. Notice that each open orbit of  $N(p, q)$ -action  $\phi$  corresponds to an equivalence class of open orbits of the one-parameter group  $\phi_0$ , where two open orbits of the one-parameter group  $\phi_0$  are equivalent if the one is mapped onto the other by the involutions  $J_\pm$ .

The next problem is how to construct a pair  $(\phi_0, f_0)$  satisfying the conditions (a)–(e). First we prepare the following lemma [1, Lemma 10.1].

**Lemma 5.1.** *There exist smooth functions  $A, B$  defined on  $\mathbf{R}$  satisfying the conditions*

- (1)  $A(x)$ : odd function,  $B(x)$ : even function,
- (2)  $|A(x)| < 1$  ( $|x| < 1$ ),  $A(x) = 1$  ( $x \geq 1$ ),  $A(x) = -1$  ( $x \leq -1$ ),
- (3)  $B(x) = 0$  ( $|x| \geq 1$ ),
- (4)  $A'(x) > 0$  ( $|x| < 1$ ),
- (5)  $B(x)A'(x) = A(x)^2 - 1$  ( $\forall x$ ).

For each positive integer  $m$ , define new smooth functions  $A_m, B_m, C_m$  by

$$A_m(\tau) = A(\omega_0)^{-1} A(\omega_{2m-1}) A(\omega_{4m-2})^{-1} \quad (0 < \tau < \pi),$$

$$B_m(\tau) = s \sum_{j=0}^{4m-2} (-1)^j B(\omega_j) \quad (0 \leq \tau \leq \pi),$$

$$C_m(\tau) = -A_m \left( \tau + \frac{\pi}{2} \right) \quad \left( -\frac{\pi}{2} < \tau < \frac{\pi}{2} \right).$$

Here  $s = \pi/(8m - 4)$  and  $\omega_j = (\tau - 2js)/s$ . Then the following conditions are satisfied by (1)–(5):

- (6)  $B_m(\tau)A'_m(\tau) = A_m(\tau)^2 - 1$ ,

$$(7) \quad A_m(\pi - \tau) = -A_m(\tau), \quad B_m(\pi - \tau) = B_m(\tau),$$

$$(8) \quad A_m(\tau)C_m(\tau) = 1 \quad (0 < \tau < \pi/2).$$

Put

$$L_Y = -t \left( \frac{\partial}{\partial s} \right)_Y + s \left( \frac{\partial}{\partial t} \right)_Y, \quad Y = s\mathbf{e}_1 + t\mathbf{e}_{p+1},$$

which is the unit tangent vector field on  $S_0$ . We see  $L(\xi J_\pm) = -L(\xi) \circ J_\pm$  for any smooth function  $\xi$  on  $S_0$ . Denote by  $Y = Y(\tau) \in S_0$  as follows:

$$Y(\tau) = (\cos \tau)\mathbf{e}_1 + (\sin \tau)\mathbf{e}_{p+1}.$$

Now we define smooth functions on an open set of  $S_0$  by

$$g(Y) = \begin{cases} B_m(\tau) & 0 \leq \tau \leq \pi, \\ B_m(-\tau) & -\pi \leq \tau \leq 0, \end{cases}$$

$$h(Y) = \begin{cases} -A_m(\tau) & 0 < \tau < \pi, \\ A_m(-\tau) & -\pi < \tau < 0, \end{cases}$$

$$k(Y) = \begin{cases} -C_m(\tau) & -\frac{\pi}{2} < \tau < \frac{\pi}{2}, \\ C_m(\pi - \tau) & \frac{\pi}{2} < \tau < \frac{3\pi}{2}. \end{cases}$$

Moreover we define

$$f_0(Y) = \begin{cases} (h(Y) : 1) & Y \neq \pm\mathbf{e}_1, \\ (1 : k(Y)) & Y \neq \pm\mathbf{e}_{p+1}. \end{cases}$$

Then we obtain a smooth function  $f_0: S_0 \rightarrow \mathbf{P}_1(\mathbf{R})$  by (7), (8).

Since  $J_+Y(\tau) = Y(\pi - \tau)$  and  $J_-Y(\tau) = Y(-\tau)$ , we obtain

$$g(J_\pm(Y)) = g(Y),$$

$$f_0(Y) = (a : b) \implies f_0(J_\pm(Y)) = (-a : b).$$

Then we see that the function  $f_0$  satisfies the conditions (b), (d), (e).

Now we define a one-parameter group  $\phi_0$  on  $S_0$  as the one corresponding to the tangent vector field  $gL$ , that is,  $\phi_0$  is defined by the following:

$$g(Y)L_Y(\xi) = \lim_{\theta \rightarrow 0} \frac{\xi(\phi_0(\theta, Y)) - \xi(Y)}{\theta}$$

for  $Y \in S_0$  and any smooth function  $\xi$  on  $S_0$ . On the other hand, we see

$$g(Y)L_Y(h) = 1 - h(Y)^2 \quad \text{for } Y \neq \pm\mathbf{e}_1,$$

$$g(Y)L_Y(k) = 1 - k(Y)^2 \quad \text{for } Y \neq \pm\mathbf{e}_{p+1}$$

by (6)–(8). Hence we obtain  $(d\xi/d\theta)(\phi_0(\theta, Y)) = 1 - \xi(\phi_0(\theta, Y))^2$  for  $\xi = h, k$ . Therefore we obtain  $\xi(\phi_0(\theta, Y)) = (\xi(Y) + \tanh \theta)/(1 + \xi(Y) \tanh \theta)$  for  $\xi = h, k$ . Then we see the pair  $(\phi_0, f_0)$  satisfies the condition (c). Moreover, we obtain  $J_{\pm}\phi_0(\theta, J_{\pm}Y) = \phi_0(-\theta, Y)$ . So the condition (a) holds for  $\phi_0$ .

Consequently, the pair  $(\phi_0, f_0)$  satisfies all conditions (a)–(e). Put  $\Phi_m$  the corresponding smooth action of  $\mathbf{Sp}(p, q)$  on  $S^{4p+4q-1}$ . Then we see the action  $\Phi_m$  has just  $2m$  open orbits on  $S^{4p+4q-1}$ .

Now we can state the following result.

**Theorem 5.2.** *For any positive integer  $m$ , there exists a smooth action of  $\mathbf{Sp}(p, q)$  on  $S^{4p+4q-1}$ , which has just  $2m$  open orbits.*

## 6. Concluding remark

For any real number  $c$ , a smooth action  $\Psi_c$  of  $\mathbf{Sp}(p, q)$  on  $S^{4p+4q-1}$  is defined by  $\Psi_c(A, X) = AX\|AX\|^{-1} \exp(ic \log \|AX\|)$ , where  $i = \sqrt{-1}$ . We call  $\Psi_c$  the twisted linear action [6]. For  $c = 0$ , the action  $\Psi_0$  is described by  $\Psi_0(A, X) = AX\|AX\|^{-1}$ . This is the standard action considered in the second half of the section 4.

The restricted  $\mathbf{Sp}(p) \times \mathbf{Sp}(q)$ -action of the twisted linear action  $\Psi_c$  is the standard action and we see that the twisted linear action  $\Psi_c$  has just three orbits and two of them are open orbits and one of them is compact orbit of codimension 1. Moreover we see that a matrix  $M$  is contained in the isotropy algebra at a point  $X$  of the compact orbit, if and only if  $MX = (1 - ic)mX$  for some real number  $m$ .

By a routine work, we obtain the following result.

**Theorem 6.1.** *Between two twisted linear actions  $\Psi_c$  and  $\Psi_{c'}$ , there exists an equivariant homeomorphism if and only if  $|c| = |c'|$ .*

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