

## EVERY CURVE OF GENUS NOT GREATER THAN EIGHT LIES ON A $K3$ SURFACE

MANABU IDE

**Abstract.** Let  $C$  be a smooth irreducible complete curve of genus  $g \geq 2$  over an algebraically closed field of characteristic 0. An ample  $K3$  extension of  $C$  is a  $K3$  surface with at worst rational double points which contains  $C$  in the smooth locus as an ample divisor.

In this paper, we prove that all smooth curve of genera  $2 \leq g \leq 8$  have ample  $K3$  extensions. We use Bertini type lemmas and double coverings to construct ample  $K3$  extensions.

### §1. Introduction

Let  $C$  be a smooth irreducible complete curve of genus  $g \geq 2$  over an algebraically closed field  $k$  of characteristic 0. An *ample  $K3$  extension* of  $C$  is a  $K3$  surface  $S$  with at worst rational double points which contains  $C$  in the smooth locus as an ample divisor. If  $C$  is contained in a smooth  $K3$  surface, then we obtain an ample  $K3$  extension by contracting all  $(-2)$ -curves disjoint from  $C$ .

The purpose of this paper is to show

**MAIN THEOREM.** *All smooth curves of genera  $2 \leq g \leq 8$  have ample  $K3$  extensions. Moreover, they have smooth ample extensions except the following cases;*

- $g = 6, 7, 8$  and  $K_C = 2D$  where  $D$  is a  $g_{g-1}^2$ , or
- $g = 8$  and  $K_C = A + 2B$  where  $A$  is a  $g_4^1$  and  $B$  is a  $g_5^1$ .

In these exceptional cases, the canonical model  $C \subset \mathbb{P}^{g-1}$  is contained in a weighted projective variety. Rational double points come from the singularities of the weighted projective variety (Lemma 2.6).

Since the dimension of the moduli space of curves of genus  $g$  is  $3g - 3$  and the dimension of the moduli space of pairs  $(S, C)$  of a  $K3$  surface  $S$

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and a curve  $C \subset S$  of genus  $g$  is  $19 + g$ , general smooth curves have no ample  $K3$  extensions for  $g \geq 12$ . For  $g = 10$ , by [M4], general curves have no ample  $K3$  extensions. For  $g = 11, 9$ , by [MM] and [M4], general curves have ample  $K3$  extensions, but special cases are still unknown.

In [ELMS], D. Eisenbud, H. Lange, G. Martens, and F.-O. Schreyer studied curves of Clifford dimension  $r$ , genus  $4r - 2$ , degree  $4r - 3$ , and Clifford index  $2r - 3$ . They made an example of such a curve of Clifford dimension  $r = 6$  which does not lie on any  $K3$  surfaces. In [W], J. Wahl studied Gaussian map on a curve  $C$ , which is the map  $\phi : \bigwedge^2 H^0(\omega_C) \rightarrow H^0(\omega_C^3)$ , essentially defined by  $f dz \wedge g dz \mapsto (fg' - f'g) dz^3$ . And he showed that if  $\phi$  is surjective then  $C$  does not lie on any  $K3$  surface. An easiest example of a curve with surjective Gaussian map is a complete intersection of two quintic in  $\mathbb{P}^3$ .

In Section 2, we prepare some lemmas to construct ample  $K3$  extensions, namely, double covering and Bertini type lemmas. In Section 3, we study hyperelliptic curves, trigonal curves, and bielliptic curves, and construct  $K3$  extensions which preserve the hyperelliptic pencils, trigonal pencils, and 2:1-morphisms onto the elliptic curves respectively by these lemmas. In Section 4, we construct  $K3$  extensions of remaining curves.

NOTATION AND CONVENTIONS. For a smooth variety  $X$ , we denote by  $K_X$  the canonical divisor class of  $X$  and by  $\omega_X := \mathcal{O}_X(K_X)$  the canonical line bundle. A  $g_d^r$  on a curve is a line bundle  $\mathcal{L}$  of degree  $d$  such that  $h^0(\mathcal{L}) \geq r + 1$ .

## §2. How to make a $K3$ extension

### 2.1. $K3$ extension as a double cover

Let  $X$  be a scheme and  $\mathcal{L}$  a line bundle over  $X$ . A global section  $s \in H^0(X, \mathcal{L}^{-2})$  yields an algebra structure on  $\mathcal{O}_X \oplus \mathcal{L}$ . Then  $\pi : Y = \text{Spec}(\mathcal{O}_X \oplus \mathcal{L}) \rightarrow X$  is a double covering branched along  $B = (s)_0$ .

LEMMA 2.1. *Let  $X$  be a smooth regular surface (i.e., smooth complete surface with  $H^1(X, \mathcal{O}_X) = 0$ ). Let  $B$  be a smooth member of  $|-2K_X|$ . Then the double cover  $\pi : Y \rightarrow X$  branched over  $B$ , obtained as above, is a smooth  $K3$  surface.*

*Proof.* The double covering  $Y$  is obviously smooth, and has the irregularity

$$h^1(Y, \mathcal{O}_Y) = h^1(X, \mathcal{O}_X \oplus \mathcal{O}_X(K_X)) = h^1(X, \mathcal{O}_X) + h^1(X, \mathcal{O}_X(K_X)) = 0$$

by our assumption. Since the canonical divisor class  $K_Y$  of  $Y$  is linearly equivalent to  $\pi^*K_X + R$  where  $R$  is the ramification divisor class, and  $R$  is linearly equivalent to  $\pi^*\mathcal{O}_X(-K_X)$  in this situation, we conclude that  $K_Y$  is linearly equivalent to zero.  $\square$

**2.2. Bertini type lemmas for smooth extension**

Let  $S$  be a surface in  $\mathbb{P}^g$  and  $C$  a hyperplane section of  $S$ . Then we have a commutative diagram;

$$\begin{array}{ccc} S & \subset & \mathbb{P}^g \\ & \cup & \cup \text{ hyperplane section} \\ S \cap \mathbb{P}^{g-1} = C & \subset & \mathbb{P}^{g-1}. \end{array}$$

LEMMA 2.2. ([R, 3.3]) *Assume that  $S \subset \mathbb{P}^g$  is a surface with at worst rational double points. Then the following conditions are equivalent;*

- (i)  $S$  is a K3 surface embedded by a very ample complete linear system.
- (ii) Every smooth hyperplane section is a canonical curve of genus  $g$ .
- (iii) One smooth hyperplane section is a canonical curve of genus  $g$ .

According to this lemma, we only need to show that the extension  $S$  is smooth or  $S$  has at worst rational double points as its singularities for our main theorem. We shall often use Bertini’s theorem which guarantees us the existence of smooth extensions; if  $\Lambda$  is a base point free linear system on a smooth variety  $X$ , then every general member of  $\Lambda$  is smooth ([GH, p. 137]). The same holds true under the weaker assumption that there exists a member which is smooth at  $p$  for every base point  $p$  of  $\Lambda$ .

LEMMA 2.3. (Bertini type lemma for complete linear sections) *Let  $\Lambda$  be a linear system of dimension  $n$  on  $X$ . Assume that the base locus  $B$  of the system  $\Lambda$  is smooth of codimension  $n + 1$ , i.e.,  $B$  is a complete intersection of basis divisors of  $\Lambda$ , then general members of  $\Lambda$  are smooth.*

*Proof.* General members  $D$  of a linear system  $\Lambda$  are smooth away from the base loci. Since  $B$  is smooth complete intersection of  $D$  and  $n$  divisors of  $\Lambda$ ,  $D$  is also smooth around  $B$ .  $\square$

LEMMA 2.4. (Bertini type lemma for two divisors) *Let  $W$  be a smooth divisor and  $\mathcal{L}$  a line bundle on  $X$ . Let  $D \subset W$  be a smooth member of the linear system  $|\mathcal{L}|_W$ . Assume that  $H^1(X, \mathcal{L}(-W)) = 0$  and the linear system  $|\mathcal{L}(-W)|$  is base point free. Then  $D$  has a smooth extension, i.e., there is a smooth divisor  $\tilde{D} \in |\mathcal{L}|$  on  $X$  which satisfies  $\tilde{D} \cap W = D$ .*

*Proof.* Since  $H^1(X, \mathcal{L}(-W)) = 0$ , the restriction map

$$H^0(X, \mathcal{L}) \longrightarrow H^0(W, \mathcal{L}|_W)$$

is surjective, and therefore there is a divisor  $\overline{D} \in |\mathcal{L}|$  such that  $\overline{D} \cap W = D$ .

Consider the linear subsystem

$$\Lambda = \langle \overline{D}, |\mathcal{L}(-W)| + W \rangle \subset |\mathcal{L}|$$

generated by  $\overline{D}$  and the members of  $|\mathcal{L}(-W)| + W$ . Since  $|\mathcal{L}(-D)|$  is base point free, the base locus of  $\Lambda$  is  $\overline{D} \cap W = D$ . By Bertini's theorem, there is a divisor  $\tilde{D} \in \Lambda$  which is smooth away from  $D = \tilde{D} \cap W$ . Since  $D = \tilde{D} \cap W$  is smooth complete intersection,  $\tilde{D}$  is smooth around  $D$ , hence smooth everywhere.  $\square$

LEMMA 2.5. (Bertini type lemma for more divisors) *Let  $D_1, \dots, D_s$ , and  $W$  be divisors on  $X$ . Assume that  $C := W \cap D_1 \cap \dots \cap D_s$  is a smooth complete intersection, and  $D_i \cap Bs|D_i - W| = \emptyset$  for  $i = 1, \dots, s$ . Then there exist divisors  $\tilde{D}_1, \dots, \tilde{D}_s$  such that  $\tilde{D}_i \sim D_i$  for  $i = 1, \dots, s$ ,  $S := \tilde{D}_1 \cap \dots \cap \tilde{D}_s$  is smooth, and  $S \cap W = C$ .*

*Proof.* We prove the case  $s = 2$ . Induction goes for  $s \geq 2$ .

First, consider the linear system

$$\Lambda_1 = \langle D_1, |D_1 - W| + W \rangle \subset |D_1|$$

on  $X$ . Since  $D_1 \cap Bs|D_1 - W| = \emptyset$ , we have  $Bs(\Lambda_1) = D_1 \cap W$ . Let  $\tilde{D}_1$  be a general member of  $\Lambda_1$ , then  $\tilde{D}_1$  is smooth away from  $D_1 \cap W = \tilde{D}_1 \cap W$ .

Next, consider the linear system

$$\Lambda_2 = ((D_2, |D_2 - W| + W)|_{\tilde{D}_1} \subset |(D_2|_{\tilde{D}_1})|$$

on  $\tilde{D}_1$ . Since  $D_2 \cap Bs|D_2 - W| = \emptyset$ , we have  $Bs(\Lambda_2) = \tilde{D}_1 \cap D_2 \cap W = C$  which is a smooth complete intersection. Therefore a general member  $D'_2 \in \Lambda_2$  satisfies  $D'_2 \cap W = \tilde{D}_1 \cap D_2 \cap W = C$  and is smooth away from  $\text{Sing}(\tilde{D}_1) \cup Bs(\Lambda_2) \subset (W \cap \tilde{D}_1) \cup C$ . Since  $D'_2$  meets  $W$  only at  $C$ ,  $D'_2$  is smooth away from  $C$ .

It is clear, from the definition of  $\Lambda_2$ , that there exist an extension  $\tilde{D}_2 \in |D_2|$  of  $D'_2$ , i.e.,  $\tilde{D}_2 \cap \tilde{D}_1 = D'_2$ . Since  $S = \tilde{D}_1 \cap \tilde{D}_2 = D'_2$  is smooth away from  $C = W \cap \tilde{D}_1 \cap \tilde{D}_2$ ,  $S$  is smooth everywhere.  $\square$

A weighted projective variety  $X \subset \mathbb{P}(a_1 : a_2 : \cdots : a_n)$  is said to be *quasi-smooth* if its affine cone  $\text{Cone}(X) \subset \mathbb{A}(a_1 : a_2 : \cdots : a_n) = \mathbb{A}^n$  is smooth outside the vertex  $0 \in \mathbb{A}^n$ . If a weighted projective variety  $X$  is quasi-smooth, then  $X$  has at worst cyclic quotient singularities.

LEMMA 2.6. (Bertini type lemma for weighted projective varieties) *Let  $X$  be a quasi-smooth weighted projective variety. Assume that  $C$  is a smooth complete intersection of divisors in  $X$ , and satisfies the same assumptions as in Lemma 2.3, 2.4, or 2.5.*

*Then there is an extension  $S$  of  $C$  which has at worst cyclic quotient singularities. Moreover, if  $C$  is smooth curve and  $X$  is Gorenstein, then the extension  $S$  has at worst rational double points.*

*Proof.* Since  $C$  is smooth, its affine cone  $\text{Cone}(C)$  is smooth outside the vertex. By Bertini type lemmas, we can construct an extension  $\text{Cone}(S)$  of  $\text{Cone}(C)$ , which is smooth outside the vertex. Therefore  $S$  has at worst cyclic quotient singularities.

If  $C$  is a curve and  $X$  is Gorenstein, then the extension  $S$  is a surface with at worst Gorenstein cyclic quotient singularities. Therefore these singularities are rational double points. □

### §3. Curves with very special linear systems

The main tool in this section is the rational normal scrolls  $\mathbb{F} = \mathbb{F}(a_1, \dots, a_n)$ . We denote by  $H$  (instead of  $M$  in [R]) the pull back of the hyperplane section divisor class by the natural projective morphism  $\mathbb{F} \rightarrow \mathbb{P}^N$  ( $N = \sum(a_i + 1) - 1$ ), and by  $L$  the fiber (class) of the projection  $\mathbb{F} \rightarrow \mathbb{P}^1$ . As in [R], we denote by  $F_i$  the  $i$ -th coordinate divisor  $\{x_i = 0\}$ , which is a divisor of class  $H - a_iL$ .

#### 3.1. Hyperelliptic cases

Let  $C$  be a smooth hyperelliptic curve of genus  $g$ . Then the canonical divisor  $K_C$  defines a two-to-one map  $\Phi_{|K_C|}$  from  $C$  onto a rational normal curve  $\overline{C}$  of degree  $g - 1$  in  $\mathbb{P}^{g-1}$ . The morphism  $\Phi_{|K_C|} : C \rightarrow \overline{C} (\subset \mathbb{P}^{g-1})$  is branched over  $2g + 2$  points  $P_1, \dots, P_{2g+2}$ . Since  $C$  is smooth, these points are distinct.

We consider a commutative diagram

$$\begin{array}{ccc}
 \mathbb{F} & \hookrightarrow & \mathbb{P}^g \\
 \cup & & \cup \\
 C & \xrightarrow{2:1} & \overline{C} \hookrightarrow \mathbb{P}^{g-1},
 \end{array}$$

where  $\mathbb{F}$  is the two-dimensional rational normal scroll of degree  $g - 1$  and  $\overline{\mathcal{C}}$  is embedded into  $\mathbb{F}$  as a hyperplane section. The canonical divisor of  $\mathbb{F}$  is  $K_{\mathbb{F}} = -2H + (g - 3)L$ . We take

$$\begin{cases} \mathbb{F}(\frac{g-1}{2}, \frac{g-1}{2}) & \text{if } g \text{ is odd,} \\ \mathbb{F}(\frac{g}{2}, \frac{g}{2} - 1) & \text{if } g \text{ is even.} \end{cases}$$

as  $\mathbb{F}$ .

**PROPOSITION 3.1.** *If  $2 \leq g \leq 9$ , there is a smooth curve  $B \in |-2K_{\mathbb{F}}|$  which passes through  $P_1, \dots, P_{2g+2}$ .*

*Proof.* Since  $-2K_{\mathbb{F}} \sim 4H - 2(g - 3)L$  and  $\overline{\mathcal{C}} \sim H$ , we have an exact sequence

$$0 \longrightarrow \mathcal{O}_{\mathbb{F}}(3H - 2(g - 3)L) \longrightarrow \mathcal{O}_{\mathbb{F}}(-2_{\mathbb{F}}) \longrightarrow \mathcal{O}_{\overline{\mathcal{C}}}(-2K_{\mathbb{F}}) \longrightarrow 0.$$

Since the degree of  $\mathcal{O}_{\overline{\mathcal{C}}}(-2K_{\mathbb{F}})$  is

$$\begin{aligned} (4H - 2(g - 3)L)H &= 4H^2 - 2(g - 3)HL \\ &= 4(g - 1) - 2(g - 3) = 2g + 2 \end{aligned}$$

on  $\overline{\mathcal{C}} \cong \mathbb{P}^1$ , we have  $\mathcal{O}_{\overline{\mathcal{C}}}(-2K_{\mathbb{F}}) \cong \mathcal{O}_{\mathbb{P}^1}(2g + 2)$  and  $P_1 + \dots + P_{2g+2}$  is a smooth member of the system  $|\mathcal{O}_{\overline{\mathcal{C}}}(-2K_{\mathbb{F}})|$ .

If  $g$  is odd, we have

$$\begin{aligned} H^1(\mathbb{F}, \mathcal{O}_{\mathbb{F}}(3H - 2(g - 3)L)) \\ &= H^1(\mathbb{P}^1, (\text{Sym}^3(\mathcal{O}_{\mathbb{P}^1}(\frac{g-1}{2}) \oplus \mathcal{O}_{\mathbb{P}^1}(\frac{g-1}{2})))(-2(g - 3))) \\ &= H^1(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(\frac{9-g}{2})^{\oplus 4}), \end{aligned}$$

and this vanishes for  $g \leq 11$ . Moreover, since

$$3H - 2(g - 3)L = 3(H - \frac{g-1}{2}L) + (\frac{9-g}{2})L,$$

the linear system  $|3H - 2(g - 3)L|$  is base point free for  $g \leq 9$ . Therefore there is a smooth extension  $B \in |-2K_{\mathbb{F}}|$  of  $P_1 + \dots + P_{2g+2} \in |-2K_{\mathbb{F}}|_{\overline{\mathcal{C}}}$  by Lemma 2.4.

If  $g$  is even, since

$$\begin{aligned} \pi_* \mathcal{O}_{\mathbb{F}}(3H - 2(g - 3)L) &\cong \\ \mathcal{O}_{\mathbb{P}^1}(6 - \frac{g}{2}) \oplus \mathcal{O}_{\mathbb{P}^1}(5 - \frac{g}{2}) \oplus \mathcal{O}_{\mathbb{P}^1}(4 - \frac{g}{2}) \oplus \mathcal{O}_{\mathbb{P}^1}(3 - \frac{g}{2}), \end{aligned}$$

$H^1(\mathbb{F}, \mathcal{O}_{\mathbb{F}}(3H - 2(g - 3)L))$  vanishes for  $g \leq 8$ , and the linear system  $|3H - 2(g - 3)L|$  is base point free for  $g \leq 6$ . Therefore, by Lemma 2.4, there is a smooth extension  $B \in |-2K_{\mathbb{F}}|$  for  $g = 2, 4, 6$ .

If  $g = 8$ , the system  $|3H - 2(g - 3)L| = |3H - 10L|$  has  $F_1 \sim H - 4L$  as its base component, and the system  $|3H - 10L - F_1| = |2(H - 3L)|$  is base point free. We may assume that  $P$  does not intersect  $F_1$ , since there is an action of  $PGL(1)$  on  $\overline{C} \cong \mathbb{P}^1$ . Let  $B \subset \mathbb{F}$  be an extension of the 18 branch points  $P = P_1 + \dots + P_{18} \subset \overline{C}$  such that  $F_1 \not\subset B$ . We now consider the linear system

$$\begin{aligned} \Lambda &= \langle B, |3H - 10L| + \overline{C} \rangle \\ &= \langle B, |2(H - 3L)| + F_1 + \overline{C} \rangle. \end{aligned}$$

By Lemma 2.4, we can choose  $B$  so general that  $B$  is smooth outside  $B \cap F_1$ . Since  $F_1 \cong \mathbb{P}^1$  is smooth, general members of  $\Lambda$  are smooth at  $B \cap F_1$ . Hence general members of  $\Lambda$  are smooth everywhere.  $\square$

### 3.2. Trigonal cases

Let  $C$  be a smooth non-hyperelliptic trigonal curve of genus  $g \geq 5$ . Then  $C$  is contained in a 2-dimensional rational normal scroll  $\mathbb{F} = \mathbb{F}(a_1, a_2)$  of degree  $a_1 + a_2 = g - 2$ , and  $C$  is a divisor linearly equivalent to  $3H - (g - 4)L$ . By [S], we have a bound

$$\frac{2g - 2}{3} \geq a_1 \geq a_2 \geq \frac{g - 4}{3}.$$

If  $g = 5$ ,  $C$  is contained in  $\mathbb{F} = \mathbb{F}(2, 1)$  and  $C$  is a divisor of class  $3H - L$ . There is a commutative diagram

$$\begin{array}{ccc} \tilde{\mathbb{F}} := \mathbb{F}(1, 1, 1) & \xhookrightarrow{\tilde{\varphi}} & \mathbb{P}^5 \\ \cup & & \cup \\ C \subset \mathbb{F} := \mathbb{F}(2, 1) & \xhookrightarrow{\varphi} & \mathbb{P}^4, \end{array}$$

and  $\mathbb{F}$  is a divisor linearly equivalent to the hyperplane section  $\tilde{H}$  on  $\tilde{\mathbb{F}}$ . Since  $2\tilde{H} - \tilde{L} = 2(\tilde{H} - \tilde{L}) + \tilde{L}$ , the system  $|2\tilde{H} - \tilde{L}|$  is base point free. We have

$$\begin{aligned} H^1(\tilde{\mathbb{F}}, \mathcal{O}_{\tilde{\mathbb{F}}}(2\tilde{H} - \tilde{L})) &= H^1(\mathbb{P}^1, \text{Sym}^2(\mathcal{O}_{\mathbb{P}^1}(1)^{\oplus 3})(-1)) \\ &= H^1(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus 6}) = 0, \end{aligned}$$

and therefore by Lemma 2.4, there is a smooth surface  $S$  of class  $3\tilde{H} - \tilde{L}$  in  $\tilde{\mathbb{F}}$ . Thus  $C$  has a smooth  $K3$  extension.

For a smooth trigonal curve of genus  $g$ , what we have to do is;

- (1) classify the type  $(a_1, a_2)$  of  $\mathbb{F}$  and find a type  $(b_1, b_2, b_3)$  of  $\tilde{\mathbb{F}}$  suitable for extension,
- (2) check the vanishing of  $H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(4 - g))$ , and
- (3) check the freeness of the system  $|2\tilde{H} - (g - 4)\tilde{L}|$ .

where  $\tilde{\mathcal{E}} = \mathcal{O}_{\mathbb{P}^1}(b_1) \oplus \mathcal{O}_{\mathbb{P}^1}(b_2) \oplus \mathcal{O}_{\mathbb{P}^1}(b_3)$ .

The table below is the answer to (1). The condition (2) holds for  $5 \leq g \leq 9$ , and (3) holds for  $g = 5, 6, 8$ .

Table 1: trigonal curves

genus	$\mathbb{F}$	$\tilde{\mathbb{F}}$	base locus	vanishing of $H^1$
5	(2, 1)	(1, 1, 1)	$\emptyset$	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-1)) = 0$
6	(3, 1) (2, 2)	(2, 1, 1)	$\emptyset$	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-2)) = 0$
7	(4, 1) (3, 2)	(2, 2, 1)	$F_1 \cap F_2$	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-3)) = 0$
8	(4, 2) (3, 3)	(2, 2, 2)	$\emptyset$	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-4)) = 0$
9	(5, 2) (4, 3)	(3, 2, 2) (3, 3, 1)	$F_1$ $F_1 \cap F_2$	$H^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-5)) = 0$
10	(6, 2) (5, 3) (4, 4)	(4, 2, 2) (3, 3, 2) (4, 3, 1)	$F_1$ $F_1 \cap F_2$ $F_1 \cap F_2$	$h^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-6)) = 1$ $h^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-6)) = 0$ $h^1(\mathbb{P}^1, (\text{Sym}^2 \tilde{\mathcal{E}})(-6)) = 1$

For  $g = 7$ , since  $H^1(\tilde{\mathbb{F}}, \mathcal{O}_{\tilde{\mathbb{F}}}(2\tilde{H} - 3\tilde{L})) = 0$ , there is an extension  $S' \in |3\tilde{H} - 3\tilde{L}|$  of  $C$ . The linear pencil

$$\Lambda = \langle S', |2\tilde{H} - 3\tilde{L}| + \mathbb{F} \rangle$$

has the base locus  $Bs\Lambda = (S' \cap \mathbb{F}) \cup (S' \cap Bs|2\tilde{H} - 3\tilde{L}|) = C \cup (S' \cap F_1 \cap F_2)$ .

We can choose the linear embedding  $\mathbb{F} \subset \mathbb{F}(2, 2, 1)$  so that  $C$  does not contain  $F_1 \cap F_2 \cap \mathbb{F}$ . Therefore  $S'$  does not contain  $F_1 \cap F_2 \cong \mathbb{P}^1$ . Since  $S'$



and  $F_1 \cap F_2$  have the intersection number

$$\begin{aligned} (S')(F_1)(F_2) &= (3\tilde{H} - 3\tilde{L})(\tilde{H} - 2\tilde{L})^2 \\ &= 3\tilde{H}^3 - 15\tilde{H}^2\tilde{L} \\ &= 3 \cdot 5 - 15 \cdot 1 = 0, \end{aligned}$$

we conclude that  $S' \cap F_1 \cap F_2$  is empty. Hence a general member  $S$  of  $\Lambda$  is smooth by Lemma 2.4. Thus  $C$  has a smooth K3 extension  $S$ .

**3.3. Bielliptic cases**

Let  $C \subset \mathbb{P}^{g-1}$  be a smooth bielliptic canonical curve of genus  $g$ . By definition, there is a two-to-one morphism  $f : C \rightarrow E$  from  $C$  onto an elliptic curve  $E$ . For any point  $p$  in  $E$ , set  $f^*(p) = q_1 + q_2$ , and define the line  $l_p$  in  $\mathbb{P}^{g-1}$  as follows;

$$l_p = \begin{cases} \text{the line passing through } q_1 \text{ and } q_2 & \text{if } q_1 \neq q_2, \\ \text{the tangent line to } C \text{ at } q_1 & \text{if } q_1 = q_2. \end{cases}$$

Let  $p, p'$  be points in  $E$  and set  $f^*(p) = q_1 + q_2$  and  $f^*(p') = q'_1 + q'_2$ . Then

$$h^0(C, \mathcal{O}_C(q_1 + q_2 + q'_1 + q'_2)) = h^0(E, \mathcal{O}_E(p + p')) = 2,$$

and therefore  $q_1, q_2, q'_1$ , and  $q'_2$  are all lie in a 2-plane by the geometric version of Riemann-Roch theorem ([ACGH]). Since  $C$  is non-degenerate, this implies that all the lines  $l_p$ 's pass through a common point  $p \in \mathbb{P}^{g-1} \setminus C$ . The projection from  $p$  gives a two-to-one map  $\pi_p : C \rightarrow E_{g-1}$  from  $C$  onto an elliptic curve  $E_{g-1} \subset \mathbb{P}^{g-2}$  of degree

$$\deg E_{g-1} = \frac{1}{2} \deg C = g - 1.$$

Every elliptic curves  $E := E_{g-1}$  of degree  $g-1$  in  $\mathbb{P}^{g-2}$ , where  $5 \leq g-1 \leq 8$ , is smoothly extended to del Pezzo surfaces  $S := S_{g-1}$  of degree  $g - 1$  in  $\mathbb{P}^{g-1}$ . The extension  $S$  is the blowing-up  $\pi : S \rightarrow \mathbb{P}^2$  of  $\mathbb{P}^2$  at  $9 - (g - 1)$  points, and the elliptic curve  $E$  is the strict transform of a nonsingular cubic curve which passes through all the center of the blowing-up.

Let  $B = B_1 + \dots + B_{2g-2}$  be the branch locus of  $\pi_p : C \rightarrow E$ , and  $R = R_1 + \dots + R_{2g-2}$  be the ramification locus. Then  $K_C \sim \pi_p^*(K_E) + R \sim R$  since  $E$  is elliptic. We distinguish the ambient spaces  $\mathbb{P}^{g-1}$  of  $C$  and  $S$ ,

and denote them by  $\mathbb{P}_1^{g-1}$  and  $\mathbb{P}_2^{g-1}$  respectively. Let  $H_i$  ( $i = 1, 2$ ) be the hyperplane divisor classes of  $\mathbb{P}_i^{g-1}$ . Then  $H_1|_C = K_C \sim R$  and hence

$$2H_2|_E \sim \pi_{p*}H_1|_C \sim \pi_{p*}R \sim B.$$

On the other hand, we have  $H_2|_E \sim -K_S|_E$ , thus we conclude that

$$B \sim (-2K_S)|_E.$$

**PROPOSITION 3.2.** *There is a smooth curve  $X \in |-2K_S|$  on  $S$  which passes through  $B_1, \dots, B_{2g-2}$ .*

*Proof.* Let  $h \in \text{Pic}(S)$  be the pull-back of a line of  $\mathbb{P}^2$  and  $e = e_1 + \dots + e_{10-g}$  be the sum of all the exceptional divisors. Since  $K_S \sim -3h + e$  and  $E \sim 3h - e \sim -K_S$ , there is an exact sequence

$$0 \longrightarrow \mathcal{O}_S(-K_S) \longrightarrow \mathcal{O}_S(-2K_S) \longrightarrow \mathcal{O}_E(-2K_S) \longrightarrow 0.$$

Since  $-K_S \sim H_2|_S$ , the system  $|-K_S| = |\mathcal{O}_S(H_2)| = |\mathcal{O}_S(1)|$  is base point free and  $H^1(\mathcal{O}_S(-K_S)) = H^1(\mathcal{O}_S(1))$  vanishes. Therefore, by Lemma 2.5,  $B \in |(-2K_S)|_E|$  extends to a smooth curve  $X \in |-2K_S|$ .  $\square$

#### §4. Curves without very special linear systems

##### 4.1. Genus $\leq 5$

Every curve of genus 2 is hyperelliptic, so we have done before. Every non-hyperelliptic curve of genus 3 is a plane quartic, every non-hyperelliptic curve of genus 4 is a complete intersection of hypersurfaces of degree three and four in  $\mathbb{P}^3$ , and every non-hyperelliptic non-trigonal curve of genus 5 is a complete intersection three quadric hypersurfaces. Hence they are  $K3$  by Lemma 2.5.

##### 4.2. Genus 6

Let  $C$  be a smooth non-hyperelliptic, non-trigonal, non-bielliptic canonical curve of genus 6. There are two cases remaining;

1.  $C$  is not plane quintic, and
2.  $C$  is smooth plane quintic.

**Case 1.** In this case, by [M2], there is a commutative diagram

$$\begin{array}{ccc} G = \text{Grass}(5, 2) & \subset & \mathbb{P}^9 \\ & \cup & \cup \\ C & \subset & S_5 = G \cap \mathbb{P}^5 \subset \mathbb{P}^5, \end{array}$$

where  $S_5$  is a quintic del Pezzo surface and  $C$  is a hyperquadric section of  $S_5$ .

Let  $H_1, H_2, H_3, H_4$  be the hyperplanes and  $Q$  the hyperquadric in  $\mathbb{P}^9$  such that  $C = G \cap H_1 \cap H_2 \cap H_3 \cap H_4 \cap Q$ . Then the systems  $|(H_i - H_1)|_G| = |\mathcal{O}_G|$  and  $|(Q - H_1)|_G| = |\mathcal{O}_G(1)|$  are base point free and  $H^1(\mathcal{O}_G) = H^1(\mathcal{O}_G(1)) = 0$ . Therefore there are extensions  $\tilde{H}_2, \tilde{H}_3, \tilde{H}_4$  and  $\tilde{Q}$  such that  $S := G \cap \tilde{H}_2 \cap \tilde{H}_3 \cap \tilde{H}_4 \cap \tilde{Q}$  is a smooth surface. Thus  $C$  has a smooth K3 extension.

**Case 2.** If  $C$  has a  $g_5^2$ , then there is an isomorphism from  $C$  onto a smooth plane quintic  $C_5 = \{f(x_0, x_1, x_2) = 0\} \subset \mathbb{P}^2$ , and the canonical model is the image of  $C_5$  under the Veronese embedding  $\mathbb{P}^2 \hookrightarrow \mathbb{P}^5$ .

Let  $L = \{l(x_0, x_1, x_2) = 0\} \subset \mathbb{P}^2$  be a line which meets  $C_5$  transversally at 5 distinct points. Let  $S \rightarrow \mathbb{P}^2$  be the blowing-up at  $L \cap C_5$ , and  $\bar{L}$  and  $\bar{C}_5$  be the strict transform of  $L$  and  $C_5$  respectively. Then  $\bar{L} + \bar{C}_5$  is a smooth member of  $|-2K_S|$ , and therefore the double covering  $X \rightarrow S$  is the smooth K3 surface which contains a curve isomorphic to  $C$ .

*Remark.* The pull back of  $L$  is a  $(-2)$ -curve on the smooth K3 surface  $X$ . Collapsing this and we get a singular ample K3 extension  $\tilde{X} = \{l(x)y^2 + f_5(x) = 0\}$  in the weighted projective space  $\mathbb{P}(1 : 1 : 1 : 2)$ .

**4.3. Genus 7**

Let  $C$  be a smooth non-hyperelliptic non-trigonal non-bielliptic curve of genus 7. There are three cases remaining;

1.  $C$  has a  $g_4^1$  but no  $g_6^2$ ,
2.  $C$  has a  $g_6^2$  but is not bielliptic.
3.  $C$  is non-tetragonal (i.e.,  $C$  has no  $g_4^1$ 's)

For Case 3, our main theorem is immediate from the Bertini type lemma 2.3 and the Mukai linear section theorem.

**THEOREM 4.1.** ([M3]) *A curve  $C$  of genus 7 is a transversal linear section of the 10-dimensional orthogonal Grassmannian  $X \subset \mathbb{P}^{15}$  if and only if  $C$  is not tetragonal.*

**Case 1.** Let  $\alpha$  be a  $g_4^1$  and  $\beta := \omega_C \alpha^{-1}$  its Serre adjoint. Then  $\beta$  is a  $g_8^3$  by the Riemann-Roch theorem. Since  $C$  has no  $g_6^2$  the morphism  $\Phi_{|\beta|} : C \rightarrow \mathbb{P}^3 = \mathbb{P}^*H^0(\beta)$  is an embedding and the multiplication map

$$\mu : H^0(\alpha) \otimes H^0(\beta) \longrightarrow H^0(\omega_C)$$

is surjective by [M3]. Hence we have a linear embedding

$$\mu^* : \mathbb{P}^6 = \mathbb{P}^*(H^0(\omega_C)) \longrightarrow \mathbb{P}^*(H^0(\alpha) \otimes H^0(\beta))$$

and there is a commutative diagram

$$\begin{array}{ccc} \mathbb{P}^1 \times \mathbb{P}^3 & \xrightarrow{\text{Segre}} & \mathbb{P}^7 \\ \Phi_{|\alpha| \times \Phi_{|\beta|}} \uparrow & & \uparrow \mu^* \\ C & \xrightarrow{\text{canonical}} & \mathbb{P}^6. \end{array}$$

By [M3],  $C$  is a complete intersection of divisors of bidegrees  $(1, 1)$ ,  $(1, 2)$  and  $(1, 2)$  in  $\mathbb{P}^1 \times \mathbb{P}^3$ . Let  $W = (\mathbb{P}^1 \times \mathbb{P}^3) \cap \mu^*(\mathbb{P}^6)$  be the divisor of bidegree  $(1, 1)$  and  $D_1, D_2$  the divisors of degree  $(1, 2)$  such that  $C = W \cap D_1 \cap D_2$ . Since  $|D_i - W| = |\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^3}(0, 1)|$  is base point free for  $i = 1, 2$  and  $H^1(\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^3}(0, 1)) = 0$ , by Lemma 2.5, we have extensions  $\tilde{D}_1$  and  $\tilde{D}_2$  of  $D_1$  and  $D_2$  respectively such that  $S = \tilde{D}_1 \cap \tilde{D}_2$  is a smooth surface. Thus  $C$  has a  $K3$  extension.

**Case 2.** Let  $\alpha$  be a  $g_6^2$  and  $\beta = \omega_C \alpha^{-1}$  its Serre adjoint. Then  $\beta$  is also a  $g_6^2$  by the Riemann-Roch theorem.

If  $\alpha$  is not isomorphic to  $\beta$ , we have a commutative diagram

$$\begin{array}{ccc} \mathbb{P}^2 \times \mathbb{P}^2 & \xrightarrow{\text{Segre}} & \mathbb{P}^8 \\ \Phi_{|\alpha| \times \Phi_{|\beta|}} \uparrow & & \uparrow \mu^* \\ C & \xrightarrow{\text{canonical}} & \mathbb{P}^6. \end{array}$$

By [M3], all morphisms in the diagram are embeddings, and  $C$  is a complete intersection of divisors of bidegrees  $(1, 1)$ ,  $(1, 1)$  and  $(2, 2)$  in  $\mathbb{P}^2 \times \mathbb{P}^2$ . Let  $H_1$  and  $H_2$  be divisors of bidegree  $(1, 1)$  and  $D$  a divisor of bidegree  $(2, 2)$  such that  $C = H_1 \cap H_2 \cap D$ . Then the systems  $|H_2 - H_1| = |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^2}|$  and  $|D - H_1| = |\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^2}(1, 1)|$  are base point free and  $H^1(\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^2}) = H^1(\mathcal{O}_{\mathbb{P}^2 \times \mathbb{P}^2}(1, 1)) = 0$ . Therefore, by Lemma 2.5, we have extensions  $\tilde{H}_2$  and  $\tilde{D}$  such that  $S := \tilde{H}_2 \cap \tilde{D}$  is a smooth  $K3$  extension of  $C$ .

If  $\alpha$  is isomorphic to  $\beta$ , then by [M3] the canonical embedding  $C \hookrightarrow \mathbb{P}^6$  factors through the weighted projective space  $\mathbb{P}(1 : 1 : 1 : 2)$ , and  $C$  is a complete intersection of two divisors  $D_3$  and  $D_4$  in  $\mathbb{P}(1 : 1 : 1 : 2)$  of degree 3 and 4 respectively. By Lemma 2.6, we can extend these divisors to  $\tilde{D}_3$  and  $\tilde{D}_4$  in  $\mathbb{P}(1 : 1 : 1 : 2 : 2)$  of degree 3 and 4 such that  $S = \tilde{D}_3 \cap \tilde{D}_4$  has at

worst cyclic quotient singularities. These singularities are Gorenstein since  $\mathbb{P}(1 : 1 : 1 : 2 : 2)$  is so. Thus  $S$  has only rational double points as its singularities and  $S$  is an ample  $K3$  extension of  $C$ .

**4.4. Genus 8**

Let  $C$  be a non-hyperelliptic, non-trigonal, non-bielliptic smooth curve of genus 8. We have one of the following;

1.  $C$  has a  $g_4^1$  but has no  $g_6^2$ ,
2.  $C$  has a  $g_6^2$  but is not bielliptic,
- 3-1.  $C$  has a  $g_7^2$   $\alpha$  such that  $\alpha^2 \not\cong \omega_C$ , but  $C$  has no  $g_4^1$ ,
- 3-2.  $C$  has a  $g_7^2$   $\alpha$  such that  $\alpha^2 \cong \omega_C$ , but  $C$  has no  $g_4^1$ , or
4.  $C$  has no  $g_7^2$ .

For Case 4, it is immediate from Bertini type lemma 2.3 and the Mukai linear section theorem.

**THEOREM 4.2.** ([M2]) *A curve  $C$  of genus 8 is a transversal linear section of the 8-dimensional Grassmannian variety  $Gr(2, 6) \subset \mathbb{P}^{14}$  if and only if it has no  $g_7^2$*

**Case 1.** In this case we have

**THEOREM 4.3.** ([M1], [MI]) *The canonical curve  $C$  is the complete intersection of four divisors in  $\mathbb{P}^1 \times \mathbb{P}^4$  of bidegrees  $(1, 1)$ ,  $(1, 1)$ ,  $(1, 2)$  and  $(0, 2)$ .*

Let  $X$  be the unique irreducible divisor of bidegree  $(0, 2)$  in  $\mathbb{P}^1 \times \mathbb{P}^4$  which contains  $C$ . Let  $D'_1$ ,  $D'_2$ , and  $E'$  be the divisors on  $X$  of bidegrees  $(1, 1)$ ,  $(1, 1)$  and  $(1, 2)$  respectively, such that  $C = D'_1 \cap D'_2 \cap E'$  in  $X$ . Since  $|E' - D'_2|$  and  $|D'_1 - D'_2|$  are base point free linear systems and since  $H^1(\mathcal{O}_X(D'_1 - D'_2)) = 0$ , there are divisors  $D'_0$  and  $E'_0$  of bidegrees  $(1, 1)$  and  $(1, 2)$  such that  $S = D'_0 \cap E'_0$  is smooth away from the singular locus  $\text{Sing}(X)$  of  $X$ .

If  $X$  is  $\mathbb{P}^1 \times \mathbb{P}(1 : 1 : 2 : 2)$ , then  $\dim \text{Sing}(X) = 2$  and we can choose  $D'_0$  and  $E'_0$  so general that  $S = D'_0 \cap E'_0$  has at worst ordinally double points as its singularities.

If  $X$  is  $\mathbb{P}^1 \times \text{Cone}(\mathbb{P}^1 \times \mathbb{P}^1 \subset \mathbb{P}^3)$  or  $\mathbb{P}^1 \times (\text{smooth quadric})$ , then  $\dim \text{Sing}(X) \leq 1$  and therefore a general intersection  $S = D'_0 \cap E'_0$  does not meet  $\text{Sing}(X)$ . Hence  $S$  is smooth.

**Case 2.** By [M1], the canonical curve  $C$  is the complete intersection of two divisors in  $X$  of classes  $|-K_X|$  and  $|\frac{1}{2}K_X|$ , where  $X$  is a blowing-up of  $\mathbb{P}^3$  at a one point. Then  $|\frac{1}{2}K_X|$  is very ample and therefore  $C$  is a hyperplane section of  $D$ . Since  $|\frac{1}{2}K_X| = |2h - e|$  is base point free,  $C$  has a smooth extension  $\tilde{D} \in |-K_X|$  by Lemma 2.5.

**Case 3.** Let  $\alpha$  be a  $g_7^2$  and  $\beta = \omega_C \alpha^{-1}$  its Serre adjoint. By the Riemann-Roch theorem,  $\beta$  is also a  $g_7^2$ .

**Case 3-1.** If  $\alpha$  is not isomorphic to  $\beta$ , then by [MI], the canonical curve  $C$  is the complete intersection of three divisors in  $\mathbb{P}^2 \times \mathbb{P}^2$  of bidegrees  $(1, 1)$ ,  $(1, 2)$  and  $(2, 1)$ .

Let  $W = (\mathbb{P}^2 \times \mathbb{P}^2) \cap \mathbb{P}^7$  be the unique divisor of bidegree  $(1, 1)$ , and  $D_1, D_2$  divisors of bidegrees  $(1, 2)$  and  $(2, 1)$  respectively such that  $C = W \cap D_1 \cap D_2$ . Then  $|D_i - W|$  is base point free,  $H^0(D_i - W) \neq 0$ , and  $H^1(D_1 - D_2) = 0$ . Therefore, by the Lemma 2.5, there are divisors  $\tilde{D}_1, \tilde{D}_2$  of bidegrees  $(1, 2)$  and  $(2, 1)$  such that  $S := \tilde{D}_1 \cap \tilde{D}_2$  is smooth and  $\tilde{D}_1 \cap \tilde{D}_2 \cap W = C$ . Thus  $S$  is a smooth  $K3$  extension of  $C$ .

**Case 3-2.** If  $\alpha$  is isomorphic to  $\beta$ , then the canonical embedding factors through a weighted projective space

$$\mathbb{P}(1 : 1 : 1 : 2 : 2) = \mathbb{P}(1^3 : 2^2) = \text{Proj } k[x_0, x_1, x_2, y_0, y_2],$$

where  $\{x_0, x_1, x_2\}$  is a basis of  $H^0(\alpha)$  and  $\{y_0, y_1, \text{Sym}^2(x)\}$  that of  $H^0(\alpha^2) = H^0(\omega_C)$ .

$$C \hookrightarrow \mathbb{P}(1^3 : 2^2) \hookrightarrow \mathbb{P}(2^6 : 2^2) \cong \mathbb{P}^7 = \mathbb{P}^*H^0(\omega_C).$$

**THEOREM 4.4.** ([MI]) *The canonical model  $C$  is the complete linear section of the weighted Grassmann  $G := Gr(2, (\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{3}{2}, \frac{3}{2})) \subset \mathbb{P}(1^3 : 2^6 : 3^1)$ ,*

$$[C \subset \mathbb{P}(1^3 : 2^2)] = [G \subset \mathbb{P}(1^3 : 2^6 : 3^1)] \cap \mathbb{P}(1^3 : 2^2).$$

Since  $C$  is smooth, its affine cone

$$\text{Cone}(C) = \text{Cone}(G) \cap \mathbb{A}(1 : 1 : 1 : 2 : 2) \subset \mathbb{A}(1^3 : 2^6 : 3^1),$$

is smooth away from the vertex. By the Bertini type lemma 2.6, there is a general 5-dimensional plane  $\mathbb{P}(1 : 1 : 1 : 2 : 2 : 2)$  containing  $\mathbb{P}(1 : 1 : 1 : 2 : 2)$  such that  $S := G \cap \mathbb{P}(1 : 1 : 1 : 2 : 2)$  has at worst rational double points. Therefore  $C$  has an ample  $K3$  extension.

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*Graduate School of Mathematics*  
*Nagoya University*  
*Furō-chō, Chikusa-ku*  
*Nagoya 464-8602*  
*Japan*

CURRENT ADDRESS:  
*Tokoha Gakuen University*  
*1-22-1, Sena, Aoi-ku*  
*Shizuoka-shi, 420-0911*  
*Japan*

m-ide@tokoha-u.ac.jp