

## FUNCTION-THEORETIC METRICS AND BOUNDARY BEHAVIOUR OF FUNCTIONS MEROMORPHIC OR HOLOMORPHIC IN THE UNIT DISK

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§1. **Introduction.** The metrics to which the title of the present paper refers are expressed in the form of elements of arc length as follows:

- (i)  $|dw|$  in the finite  $w$ -plane  $W_1 : |w| < \infty$ .
- (ii)  $\frac{|dw|}{1 + |w|^2}$  in the Riemann  $w$ -sphere  $W_2 : |w| \leq \infty$ .
- (iii)  $\frac{|dw|}{1 - |w|^2}$  in the open unit disk  $W_3 : |w| < 1$ .

Let  $D : |z| < 1$  be the open unit disk and let  $\Gamma : |z| = 1$  be the unit circle in the  $z$ -plane. We fix a constant  $\rho$ ,  $1/2 < \rho < 1$ , once and for all and we denote by  $\mathcal{D}(\zeta)$  the open disk  $\{z; |z - \rho\zeta| < 1 - \rho\}$  for  $\zeta \in \Gamma$ . By a segment  $X$  at  $\zeta \in \Gamma$  we mean an open rectilinear segment connecting  $\zeta$  and a point of  $D$ . Let  $w = f(z)$  be a function from  $D$  into  $W_j$  ( $j = 1, 2, 3$ ), being meromorphic or holomorphic in  $D$ , and set for  $z = re^{i\theta} \in D$ ,

$$\begin{aligned}\delta_1(r, \theta) &= |f'(re^{i\theta})|; \\ \delta_2(r, \theta) &= \frac{|f'(re^{i\theta})|}{1 + |f(re^{i\theta})|^2}; \\ \delta_3(r, \theta) &= \frac{|f'(re^{i\theta})|}{1 - |f(re^{i\theta})|^2};\end{aligned}$$

corresponding respectively to  $j = 1, 2$  and  $3$ . The word "capacity" always means "logarithmic capacity". Then our result is stated in the following

**THEOREM.** *Let  $M$  be a subset of  $\Gamma$  which is a Borel set in the plane and set*

$$\sigma = \bigcup_{\zeta \in M} \mathcal{D}(\zeta).$$

Let  $w = f(z)$  be a meromorphic or holomorphic function from  $D$  into  $W_j$  such that

$$(1) \quad \iint_D \{\delta_j(r, \theta)\}^2 r dr d\theta < \infty \quad (j = 1, 2, 3).$$

Then there exists a subset  $E_j$  of  $M$ , being of capacity zero<sup>\*)</sup>, such that for any  $\zeta \in M - E_j$  and for any segment  $X$  at  $\zeta$  we have

$$(2) \quad \int_X \delta_j(r, \theta) |dz| < \infty \quad (z = re^{i\theta} \in X)$$

according as  $j = 1, 2, 3$ .

The condition (2) for  $j = 1, 2, 3$  implies the existence of a limiting value  $f(\zeta) \in W_j$  of  $f(z)$  as  $X \ni z \rightarrow \zeta$  according as  $j = 1, 2, 3$ . Then by the theorem of Lindelöf-Iversen-Gross [1, p. 5] combined with our condition (1), the function  $f$  has the angular limit  $f(\zeta)$  at  $\zeta$ , in other words,  $\zeta$  is a Fatou point [1, p. 59] of  $f$ . It should therefore be noted that our theorem in the case  $j = 1, 2$  gives "localization" of Beurling-Tsuji's theorem ([3, Theorems 3 and 4], [4, p. 344]).

An application of the theorem for  $j = 3$  is the following. Let  $G \subset W_3$  be a Jordan domain whose non-Euclidean area is finite and let  $w = \Phi(z)$  be a one-to-one conformal map from  $D$  onto  $G$  in the  $w$ -plane. Furthermore, let  $\Phi(\zeta)$  be the Carathéodory extension of  $\Phi$  to  $\Gamma$ . Then we have  $|\Phi(\zeta)| < 1$  except perhaps for a set of  $\zeta \in \Gamma$  of capacity zero. Therefore, the boundary of  $G$  touches the circle  $|w| = 1$  at a "thin" set in this sense.

**§ 2. Three lemmas.** Let  $0 < \alpha < \pi/2$  and let  $\Delta = \{re^{i\theta}; 0 < r \leq 1, |\theta| \leq \alpha\}$ . We let  $\Delta^* \supset \Delta$  be an open disc whose boundary contains the origin and we use the same notation  $\delta_j(r, \theta)$  as in § 1 for a function  $f$  defined in  $\Delta^*$  ( $j = 1, 2, 3$ ). We begin with two lemmas [4, p. 342, Theorem VIII. 47 and p. 343, Theorem VIII. 48] expressed in one.

**LEMMA  $j(j = 1, 2)$ .** Let  $w = f(z)$  be a function from  $\Delta^*$  into  $W_j$ , being meromorphic or holomorphic in  $\Delta^*$ . Assume that  $f$  does not take three distinct points of  $W_2$  in  $\Delta^*$  and set

$$A_j(\theta) = \int_0^1 \delta_j(r, \theta) dr$$

for  $|\theta| \leq \alpha$ . Assume furthermore that both  $A_j(-\alpha)$  and  $A_j(\alpha)$  are finite. Then  $A_j(\theta)$  is bounded for  $|\theta| \leq \alpha$ .

<sup>\*)</sup> In other words, the outer logarithmic capacity of  $E_j$  is zero.

The following lemma needs a proof.

LEMMA 3. *Let  $w = f(z)$  be a holomorphic function from  $\Delta^*$  into  $W_3$ . Set*

$$A_3(\theta) = \int_0^1 \delta_3(r, \theta) dr$$

for  $|\theta| \leq \alpha$  and assume that both  $A_3(-\alpha)$  and  $A_3(\alpha)$  are finite. Then  $A_3(\theta)$  is bounded for  $|\theta| \leq \alpha$ .

*Proof.* As  $f$  is bounded in  $\Delta^*$ , by the same argument as in the next paragraph to the theorem in §1 the origin is a Fatou point of  $f$  at which  $f$  has the angular limit  $f(0)$  with  $|f(0)| < 1$ . This implies that we have a positive constant  $B$  such that  $(1 - |f(re^{i\theta})|^2)^{-1} < B$  on  $\Delta$ . On the other hand, both  $A_1(-\alpha)$  and  $A_1(\alpha)$  are finite because of  $\delta_3(r, \theta) \geq \delta_1(r, \theta)$  for  $|\theta| \leq \alpha$ . Lemma 3 follows from Lemma 1 combined with  $A_3(\theta) \leq BA_1(\theta)$  for  $|\theta| \leq \alpha$ .

**§ 3. Proof of Theorem.** In the following  $z = re^{i\theta}$  and  $e^{i\omega}$  are always points of  $D$  and  $M$  respectively. To avoid unnecessary complexity we drop the suffix  $j$  of  $\delta_j(r, \theta)$  if the argument is true for  $j = 1, 2, 3$ . We remark that  $\delta_2(r, \theta)$  is not defined at the poles of  $f$ ; but this is not essential in the following proof.

We set

$$h(r, \theta) = \begin{cases} \delta(r, \theta) & \text{for } z \in \sigma, \\ 0 & \text{for } z \in D - \sigma. \end{cases}$$

Let  $\phi \equiv \phi(r, \theta) = \pi - \arg(re^{i\theta} - 1)$ , where  $0 < r < 1$ ,  $|\theta| \leq \pi$  and  $\pi/2 < \arg(re^{i\theta} - 1) < 3\pi/2$ . Then by  $\tan \phi = r \sin \theta / (1 - r \cos \theta)$  we have

$$\begin{aligned} (3) \quad \frac{\partial \phi}{\partial \theta} &= -\frac{\partial}{\partial \theta} \arg(re^{i\theta} - 1) \\ &= \frac{\partial}{\partial \theta} \operatorname{Im} \log \{1/(re^{i\theta} - 1)\} \\ &= r(\cos \theta - r)/(1 - 2r \cos \theta + r^2). \end{aligned}$$

We next consider the function

$$(4) \quad H(\omega; r, \theta) = h(r, \theta + \omega) \frac{\partial \phi}{\partial \theta}.$$

Then  $H(\omega; r, \theta)$ , for a fixed  $\omega$ , is Lebesgue measurable for  $0 < r < 1$  and  $|\theta| \leq \pi$ ; and  $H(\omega; r, \theta) \geq 0$  in the disk

$$S = \{re^{i\theta}; \cos \theta > r\}$$

and further  $H(\omega; r, \theta) \leq 0$  in  $D - S$  by (3). Therefore we may consider two integrals:

$$J_1(\omega) = \iint_S H(\omega; r, \theta) dr d\theta \geq 0$$

and

$$J_2(\omega) = - \iint_{D-S} H(\omega; r, \theta) dr d\theta \geq 0$$

for  $e^{i\omega} \in M$ . We first assert that

(I)  $J_2(\omega) < +\infty$  for any  $e^{i\omega} \in M$ , so that  $H(\omega; r, \theta)$  possesses a definite integral on  $D$  [2, p. 20] and that

$$(5) \quad J(\omega) \equiv \iint_D H(\omega; r, \theta) dr d\theta = J_1(\omega) - J_2(\omega).$$

We let, for the proof,  $C_r$  be the circle  $|z| = r$ ,  $0 < r < 1$ . Then

$$- \frac{\partial \phi}{\partial \theta} = r(r - \cos \theta)/(1 - 2r \cos \theta + r^2) \leq r/(r + 1) < r$$

for  $re^{i\theta} \in C_r - S$ . This can be proved by considering  $-\frac{\partial \phi}{\partial \theta}$  as a function of  $\cos \theta$  (cf. [4, p. 346]). Therefore by (3) and (4) we have

$$(6) \quad -H(\omega; r, \theta) \leq rh(r, \theta + \omega), \quad re^{i\theta} \in C_r - S.$$

We estimate  $J_2(\omega)$  upwards by (6) and by Schwarz's inequality as follows:

$$\begin{aligned} J_2(\omega) &= - \int_0^1 dr \int_{C_r-S} H(\omega; r, \theta) d\theta \leq \int_0^1 dr \int_{C_r-S} rh(r, \theta + \omega) d\theta \\ &= \iint_{D-S} h(r, \theta + \omega) r dr d\theta \leq \iint_D h(r, \theta + \omega) r dr d\theta \\ &= \iint_D h(r, \theta) r dr d\theta \leq \pi^{1/2} \left[ \iint_D \{h(r, \theta)\}^2 r dr d\theta \right]^{1/2} \\ &= (\pi U)^{1/2} < +\infty, \end{aligned}$$

where

$$(7) \quad U = \iint_D \{h(r, \theta)\}^2 r dr d\theta = \iint_D \{\delta(r, \theta)\}^2 r dr d\theta < +\infty$$

by our assumption (1) in the theorem. This completes the proof of (I).

Let  $\mathcal{L}(\omega, \varphi)$  be the chord of the circle  $|z - \rho e^{i\omega}| = 1 - \rho$ , with one end-point  $e^{i\omega}$ , making the directed angle  $\varphi$ ,  $|\varphi| < \pi/2$ , with the radius of  $D$  at  $e^{i\omega}$ . We shall use the notation  $\mathcal{L}(0, \varphi)$  though  $\zeta = 1$  may not be in  $M$ . The chord  $\mathcal{L}(\omega, \varphi)$  has the length

$$(8) \quad \lambda(\varphi) = (2 - 2\rho) \cos \varphi,$$

being independent of  $\omega$ . We then set for  $-\pi/2 < \varphi < \pi/2$ ,

$$(9) \quad L(\omega, \varphi) = \int_{\mathcal{L}(\omega, \varphi)} \delta_2(r, \theta) |dz| \quad (z = re^{i\theta} \in \mathcal{L}(\omega, \varphi))$$

and we consider the function  $\chi(\omega)$  on  $M$  defined by

$$(10) \quad \chi(\omega) = \int_{-\pi/2}^{\pi/2} L(\omega, \varphi) \cos \varphi d\varphi.$$

(II) *The function  $\chi(\omega)$  is Borel measurable on  $M$ .*

We shall prove this for  $\delta_2(r, \theta)^*$ . In other cases the proofs are simpler and hence are omitted.

Let  $\gamma_k$  ( $k = 1, 2, \dots$ ) be the circle  $|z| = r_k$ ,  $2\rho - 1 \leq r_k < 1$ , such that  $r_k \nearrow 1$  and the set  $\bigcup_{k=1}^{\infty} \gamma_k$  contains all the poles of  $f$  in the half-open ring  $\{z; 2\rho - 1 \leq |z| < 1\}$ . Let  $R_\nu$  ( $\nu = 1, 2, \dots$ ) be the open set, being o the form of a summation of ring domains whose boundaries are concentric circles with the centre  $z = 0$ , such that

$$R_1 \supset R_2 \supset \dots \supset \bigcap_{\nu=1}^{\infty} R_\nu = \bigcup_{k=1}^{\infty} \gamma_k.$$

Let  $2\rho - 1 < \beta_1 < \dots < \beta_m < \dots < 1$ ,  $\beta_m \nearrow 1$  and let  $D_m$  be the closed ring  $\{z; 2\rho - 1 \leq |z| \leq \beta_m\}$ . We then set  $D_{m\nu} = D_m - R_\nu$  for  $m, \nu = 1, 2, \dots$ . We note first that

$$(11) \quad L(\omega, \varphi) = \int_{\mathcal{L}(\omega, \varphi)} \delta_2(r, \theta) |dz| = \int_{\mathcal{L}(0, \varphi)} \delta_2(r, \theta + \omega) |dz|$$

$(z = re^{i\theta} \in \mathcal{L}(0, \varphi) \text{ in the last expression})$

and we then consider

$$L_{m\nu}(\omega, \varphi) \equiv \int_{\mathcal{L}(0, \varphi) \cap D_{m\nu}} \delta_2(r, \theta + \omega) |dz|$$

$(z = re^{i\theta} \in \mathcal{L}(0, \varphi) \cap D_{m\nu}).$

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\*)  $\delta_2$  may be extended continuously to the poles of  $f$  and our proof will be rather simplified (*Added in proof*).

We shall show that for any  $e^{i\omega_0} \in M$  we have  $L_{m\nu}(\omega, \varphi) \rightarrow L_{m\nu}(\omega_0, \varphi)$  as  $\omega \rightarrow \omega_0$  uniformly for  $-\pi/2 < \varphi < \pi/2$ , so that

$$\chi_{m\nu}(\omega) \equiv \int_{-\pi/2}^{\pi/2} L_{m\nu}(\omega, \varphi) \cos \varphi d\varphi$$

is continuous on  $M$ . Indeed,

$$\begin{aligned} & |L_{m\nu}(\omega, \varphi) - L_{m\nu}(\omega_0, \varphi)| \\ & \leq \int_{\mathcal{L}(0, \varphi) \cap D_{m\nu}} |\delta_2(r, \theta + \omega) - \delta_2(r, \theta + \omega_0)| |dz| \\ & \leq \{ \max_{re^{i\theta} \in D_{m\nu}} |\delta_2(r, \theta + \omega) - \delta_2(r, \theta + \omega_0)| \} \times \\ & \times \{ \sup_{|\varphi| < \pi/2} \int_{\mathcal{L}(0, \varphi) \cap D_{m\nu}} |dz| \}, \end{aligned}$$

so that our assertion follows from the uniform continuity of the function  $\delta_2(r, \theta)$  on the compact set  $D_{m\nu}$ . Set

$$L_m(\omega, \varphi) = \int_{\mathcal{L}(0, \varphi) \cap D_m} \delta_2(r, \theta + \omega) |dz|$$

and further set

$$\chi_m(\omega) = \int_{-\pi/2}^{\pi/2} L_m(\omega, \varphi) \cos \varphi d\varphi.$$

Then  $\chi_{m\nu}(\omega) \nearrow \chi_m(\omega)$  as  $\nu \nearrow \infty$  and  $\chi_m(\omega) \nearrow \chi(\omega)$  as  $m \nearrow \infty$ . This proves our proposition (II).

(III) *The inequality  $J_1(\omega) \geq (2\rho - 1)\chi(\omega)$  holds for any  $e^{i\omega} \in M$ .*

We remember that  $\mathcal{D}(1)$  is the disk  $|z - \rho| < 1 - \rho$  and we let

$$J_1^*(\omega) = \iint_{\mathcal{D}(1)} H(\omega; r, \theta) dr d\theta.$$

Then  $J_1(\omega) \geq J_1^*(\omega)$  since  $S \supset \mathcal{D}(1)$  and  $H(\omega; r, \theta) \geq 0$  in  $S$ . To estimate  $J_1^*(\omega)$  downwards, we set for  $re^{i\theta} \in \mathcal{D}(1)$ ,

$$t = |re^{i\theta} - 1| \text{ and } \psi = \pi - \arg(re^{i\theta} - 1) \text{ for}$$

$$\pi/2 < \arg(re^{i\theta} - 1) < 3\pi/2.$$

Then  $1 > r = (1 - 2t \cos \psi + t^2)^{1/2}$ , and on the chord  $\mathcal{L}(0, \psi)$ , for a fixed  $\psi$ ,  $|\psi| < \pi/2$ , we have

$$\begin{aligned} dr &= (t - \cos \psi)(1 - 2t \cos \psi + t^2)^{-1/2} dt \\ &\geq (\cos \psi - t)(-dt) \quad (\text{for } dt \leq 0). \end{aligned}$$

We note that  $r$  decreases as  $t$  increases on  $\mathcal{L}(0, \psi)$  and  $\cos \psi \geq t$  since  $re^{i\theta} \in \mathcal{D}(1) \subset S$ . Furthermore, on the circle  $C_r : |z| = r, 0 < r < 1$ , we have

$$H(\omega; r, \theta)d\theta = h(r, \theta + \omega)d\psi$$

by (4). We therefore obtain

$$\begin{aligned} J_1^*(\omega) &= \int_{2\rho-1}^1 dr \int_{C_r \cap \mathcal{D}(1)} H(\omega; r, \theta)d\theta \\ &= \int_{2\rho-1}^1 dr \int_{C_r \cap \mathcal{D}(1)} h(r, \theta + \omega)d\psi \\ &= \iint_{\mathcal{D}(1)} h(r, \theta + \omega)drd\psi \\ &= \int_{-\pi/2}^{\pi/2} d\psi \int_{\mathcal{L}(0, \psi)} h(r, \theta + \omega)dr \\ &\geq \int_{-\pi/2}^{\pi/2} d\psi \int_0^{\lambda(\psi)} \delta(r, \theta + \omega)(\cos \psi - t)dt \end{aligned}$$

(where  $\lambda(\psi)$  is defined in (8); we note that  $h(r, \theta + \omega) = \delta(r, \theta + \omega)$  for  $re^{i\theta} \in \mathcal{D}(1)$  since  $\sigma \supset \mathcal{D}(e^{i\omega})$ )

$$\geq (2\rho - 1) \int_{-\pi/2}^{\pi/2} d\psi \int_0^{\lambda(\psi)} \delta(r, \theta + \omega) \cos \psi dt$$

(because of  $\cos \psi - t \geq (2\rho - 1) \cos \psi$  for  $0 \leq t \leq \lambda(\psi)$ )

$$= (2\rho - 1) \int_{-\pi/2}^{\pi/2} L(\omega, \psi) \cos \psi d\psi$$

(cf. (11); the formula (11) is true for  $\delta$ )

$$= (2\rho - 1)\chi(\omega).$$

(IV) *The set  $E = \{e^{i\omega} \in M; \chi(\omega) = +\infty\}$  is of capacity zero.*

By (II) the set  $E$  is a Borel set in the plane, so that  $E$  is capacitable by the celebrated Choquet theorem. Therefore we have only to prove that  $E$  is of inner capacity zero. Assume on the contrary that  $E$  contains a closed set  $F$  of positive capacity and let

$$u(z) = \int_F \log(1/|z - e^{i\omega}|)d\mu(\omega) \leq V < +\infty$$

be the conductor potential [4, p. 55] of  $F$ , where  $V$  is a constant and  $\mu$  is a Borel measure on  $F$  of total mass  $\mu(F) = 1$ . Then we have [4, p. 345]

$$(12) \quad \iint_D \left( \frac{\partial u}{\partial r} \right)^2 r dr d\theta \leq \pi V/2$$

and

$$(13) \quad r \frac{\partial u}{\partial r} = - \int_F \frac{\partial}{\partial \theta} \arg (re^{i\theta} - e^{i\omega}) d\mu(\omega).$$

We next consider the function

$$(14) \quad \begin{aligned} Q(\omega; r, \theta) &\equiv H(\omega; r, \theta - \omega) \\ &= -h(r, \theta) \frac{\partial}{\partial \theta} \arg (re^{i\theta} - e^{i\omega}) \\ &= h(r, \theta) r \{ \cos(\theta - \omega) - r \} / \{ 1 - 2r \cos(\theta - \omega) + r^2 \} \end{aligned}$$

for  $re^{i\theta} \in D$  and  $e^{i\omega} \in F$  (cf. (3), (4)). Then  $Q$  is a Borel measurable function on the product space  $D \times F$  and by (13) and (14) we have

$$h(r, \theta) r \frac{\partial u}{\partial r} = \int_F Q(\omega; r, \theta) d\mu(\omega).$$

On the other hand, both  $h(r, \theta)$  and  $\frac{\partial u}{\partial r}$  are square summable on  $D$  with respect to the measure  $r dr d\theta$  by (7) and (12). Therefore, we have by Schwarz's inequality,

$$\begin{aligned} J &\equiv \iint_D dr d\theta \int_F Q(\omega; r, \theta) d\mu(\omega) \\ &= \iint_D h(r, \theta) r \frac{\partial u}{\partial r} dr d\theta \neq \pm \infty. \end{aligned}$$

By Fubini's theorem [2, p. 87] applied to the positive and the negative parts of  $Q$  respectively we have

$$(15) \quad J = \int_F d\mu(\omega) \iint_D Q(\omega; r, \theta) dr d\theta \neq \pm \infty.$$

Now, by (3), (4), (5) and (14) we have

$$\begin{aligned} J(\omega) &= \iint_D h(r, \theta + \omega) \frac{\partial}{\partial \theta} \{- \arg (re^{i\theta} - 1)\} dr d\theta \\ &= \iint_D h(r, \theta) \frac{\partial}{\partial \theta} \{- \arg (re^{i\theta} - e^{i\omega})\} dr d\theta \\ &= \iint_D Q(\omega; r, \theta) dr d\theta, \end{aligned}$$



so that by (15),

$$J = \int_F J(\omega) d\mu(\omega) \neq \pm \infty.$$

However, by (5), (III) and the very definition of  $E$  we have  $J(\omega) = +\infty$  for  $e^{i\omega} \in F \subset E$ . This is a contradiction.

(V) *The set  $E$  is the exceptional set in the statement of the theorem.*

Let  $e^{i\omega} \in M - E$ . Then  $\chi(\omega) < +\infty$ , so that by the definition of  $\chi(\omega)$  (cf. (10)), the quantity  $L(\omega, \varphi)$  (cf. (9)) is finite for a.e.,  $\varphi$ ,  $|\varphi| < \pi/2$ . Consequently, there are two chords  $\sphericalangle(\omega, \varphi_1)$  and  $\sphericalangle(\omega, \varphi_2)$ ,  $-\pi/2 < \varphi_1 < \varphi_2 < \pi/2$ , at  $e^{i\omega}$  such that  $L(\omega, \varphi_k) < +\infty$ ,  $k = 1, 2$ . By Lemma  $j$  for  $j = 1, 2, 3$  and by our assumption (1) we know that  $L(\omega, \varphi) < +\infty$  for any  $\varphi$ ,  $\varphi_1 < \varphi < \varphi_2$ . Repeating this process, we have the required property (2) at the point  $e^{i\omega} \in M - E$ .

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