

# AN INTEGRAL FORMULA FOR THE CHERN FORM OF A HERMITIAN BUNDLE

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## Introduction

We shall consider a Hermitian  $n$ -vector bundle  $E$  over a complex manifold  $X$ . When  $X$  is compact (without boundary), S.S. Chern defined in his paper [3] the Chern classes (the basic characteristic classes of  $E$ )  $\hat{C}_i(E)$ ,  $i = 1, \dots, n$ , in terms of the basic forms  $\Phi_i$  on the Grassmann manifold  $H(n, N)$  and the classifying map  $f$  of  $X$  into  $H(n, N)$ . Moreover he proved ([3], [4]) that if  $E_k$  denotes the  $k$ -general Stiefel bundle associated with  $E$ , the  $(n - k + 1)$ -th Chern class  $\hat{C}_{n-k+1}(E)$  coincides with the characteristic class  $C(E_k)$  of  $E_k$  defined as follows: Let  $K$  be a simplicial decomposition of  $X$  and  $K^{2(n-k)+1}$  the  $2(n - k) + 1$ -shelton of  $K$ . Then there exists a section  $s$  of  $E_k|K^{2(n-k)+1}$  so that one can define the obstruction cocycle  $c(s)$  of  $s$ . The cohomology class of  $c(s)$  is independent of such a section  $s$ . Thus one denotes by  $C(E_k)$  the cohomology class of  $c(s)$  which is called the characteristic class of  $E_k$ . The above fact is well known as the second definition of the Chern classes ([3]).

On the other hand, in case when  $X$  is with boundary, R. Bott and S.S. Chern established the so-called Gauss-Bonnet theorem ([1]), which gives an integral formula for the above second definition of the  $n$ -th Chern class  $\hat{C}_n(E)$ , that is, if  $C_n(E)$  denotes the  $n$ -th Chern form induced by a norm on  $E$  (c.f. Prop. 2.1),

$$\int_X C_n(E) = \int_{\partial X} s^* \eta_n + \sum_{j=1}^l \text{zero}(p_j; s),$$

where the  $p_j$  are the zero points of a section  $s$  of  $X$  into  $E$ , the  $\text{zero}(p_j; s)$  denote the zero-numbers of  $s$  at  $p_j$ , and  $\eta_n$  is the  $n$ -th boundary form of  $E$  (cf. Def. 3.1).

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The main purpose of this paper is to generalize their theorem to give an integral formula (Theorem 4.1) for the  $i$ -th Chern form  $C_i(E)$  ( $1 \leq i \leq n$ ) induced by a norm on a Hermitian  $n$ -vector bundle  $E$  over a complex manifold  $X$  of a complex dimension  $m$ , according to [1] and the obstruction theory [3] and [4].

Roughly speaking, our main theorem 4.1, which is called the generalized relative Gauss-Bonnet theorem, is as follows: Let  $E_k$  be the  $k$ -general Stiefel bundle associated with  $E$  and  $\pi_k^*E$  the induced bundle of  $E$  under the projection  $\pi_k$  of  $E_k$  onto  $X$ . Suppose there exist a real  $2(m-n+k-1)$ -dimensional oriented submanifold  $A$  (with smooth boundary  $\partial A$ ) of  $X$  (here  $m = \dim_{\mathbf{C}} X$ ), and a smooth section  $s$  of  $(X-A)$  into  $E$ . Then for any interior point  $q$  of  $A$  we can define the  $k$ -th complement obstruction number  $obs_k^\perp(q, s, A)$  (c.f. Def. 4.2). Let  $V$  be a real  $2(n-k+1)$ -dimensional oriented manifold and  $D$  a compact domain with smooth boundary  $\partial D$ . Now given a smooth map  $f$  of  $V$  into  $X$ , we obtain the intersection numbers  $n(p_i, f, A)$  of the singular chain  $f: D \rightarrow X$  and  $A$  at the points  $p_j \in D \cap f^{-1}(A)$  ( $i = 1, \dots, l$ ).

Then our integral formula is given by

$$\int_D f^* C_{n-k+1}(E) = \int_{\partial D} f^* s^* \eta_{n-k+1}(\pi_k^* E) \\ + \sum_{j=1}^l obs_k^\perp(f(p_j), s, A) \cdot n(p_j, f, A).$$

As an application of our theorem, we obtain Levine's "The First Main Theorem [7]" concerning holomorphic mappings  $f$  from a non-compact complex manifold  $V$  into the  $n$ -complex projective space  $\mathbf{P}^n(\mathbf{C})$  (c.f. §5).

Finally we note that technics in [2] are used in the proof of Theorem 4.1.

In Section 1 we review the theory of the Chern forms as described in [1]. In Section 2 we refine this theory for the case of complex analytic Hermitian bundles and state the duality formula according to [1]. In Section 3 we define an  $(n, k)$ -trivial bundle and its boundary form (c.f. Def. 3.1 and 3.2). Furthermore we study the boundary form  $\eta_{n-k+1}(\pi_k^* E)$  of the  $(n, k)$ -trivial bundle  $\pi_k^*(E)$  associated with a Hermitian  $n$ -bundle  $E$  over a complex manifold  $X$ , which plays an important role in our theorem. In Section 4 we define the  $k$ -th obstruction number (c.f. Def. 4.1 and 4.2), and prove the generalized relative Gauss-Bonnet theorem.

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§1. The Chern forms

**1.1 The Chern forms.** Let  $E$  be a  $C^\infty$ -vector bundle of fibre dimension  $n$  over a  $C^\infty$ -manifold  $X$ . We denote by  $T^* = T^*(X)$  the cotangent bundle of  $X$  and by  $A(X) = \sum_j A^j(X)$  the graded ring of  $C^\infty$ -complex valued differential forms on  $X$ . More generally we write  $A(X; E)$  for the differential forms on  $X$  with values in  $E$ . Thus if  $\Gamma(E)$  denotes the smooth sections of  $E$ , then it follows that  $A(X; E) = A(X) \otimes_{A^0(X)} \Gamma(E)$ .

**DEFINITION 1.1.** A *connection* on  $E$  is a differential operator  $D: \Gamma(E) \rightarrow \Gamma(T^* \otimes E)$  satisfying the following rule:

$$(1.1) \quad D(f \cdot s) = df \cdot s + f \cdot Ds$$

for  $f \in A^0(X)$ ,  $s \in \Gamma(E)$ .

Suppose now that  $E$  has a definite connection  $D$ . Let  $s = \{s_i\}_{1 \leq i \leq n}$  be a frame of  $E$  over  $V$ , where  $V$  is an open subset of  $X$ . Then there exist 1-forms  $\theta_{ij}$  on  $V$  which satisfy the following relations:

$$(1.2) \quad Ds_i = \sum_{j=1}^n \theta_{ij} s_j \quad i = 1, \dots, n$$

These 1-forms  $\theta_{ij}$  define a matrix of 1-forms on  $V$ , denoted by  $\theta(s, D) = \|\theta_{ij}\|$ , which is called the *connection matrix* relative to the frame  $s$ . From  $\theta(s, D)$  we now define a matrix  $K(s, D) = \|K_{ij}\|$  of 2-forms on  $V$  by  $K_{ij} = d\theta_{ij} - \sum_k \theta_{ik} \wedge \theta_{kj}$ . In matrix notation:

$$(1.3) \quad K(s, D) = d\theta(s, D) - \theta(s, D) \wedge \theta(s, D).$$

$K(s, D)$  is called the *curvature matrix* of  $D$  relative to the frame  $s$ ,

Let us consider any two frames  $s$  and  $s'$  of  $E|V$ . Then there exist elements  $A_{ij} \in A^0(V)$  such that  $s'_i = \sum_j A_{ij} s_j$  and in matrix notation we write simply  $s' = As$ . Then we have the following transformation law

$$(1.4) \quad AK(s, D) = K(s, D)A \quad s' = As.$$

From this and the fact that even forms commute with one another, we have

**DEFINITION 1.2.** The *Chern form* of  $E$  relative to  $D$ , denoted by  $C(E, D)$ , is a global form on  $X$  defined as follows: Let us cover  $X$  by  $\{V_\alpha\}$  which

admit frames  $s^\alpha$  over  $V_\alpha$ : Let  $\det \{1_n + iK(s, D)/2\pi\}$  denote determinants of matrices  $1_n + iK(s^\alpha, D)/2\pi$ , where  $i = \sqrt{-1}$  and  $1_n$  is the unit matrix. Then we set

$$(1.5) \quad C(E, D)|_{V_\alpha} = \det \{1_n + iK(s^\alpha, D)/2\pi\}.$$

Moreover in terms of the transformation law (1.4), the curvature matrices  $K(s^\alpha, D) = \|K_{ij}\|$  determine a definite element  $K[E, D] \in A^2(X: \text{Hom}(E, E))$  as follows: Let  $t$  be any element of  $\Gamma(E)$ . Then for each open set  $V_\alpha$  there exists elements  $f_i^\alpha \in A^0(V_\alpha)$  such that  $t = \sum_{i=1}^n f_i^\alpha s_i^\alpha$ ,  $s^\alpha = \{s_i^\alpha\}_{1 \leq i \leq n}$ . Here we put

$$(1.6) \quad K[E, D] \cdot t = \sum_{i,j=1}^n f_i^\alpha K_{ij}^\alpha \cdot s_j^\alpha \quad \text{on } V_\alpha.$$

$K[E, D]$  is called the *curvature element* of  $E$  relative to  $D$ .

**1.2. Reformulation of the Chern forms.** We observe that by using the curvature element  $K[E, D]$ , we can reformulate the Chern form  $C(E, D)$  in the following manner.

**DEFINITION 1.3.** Let  $M_n$  denote the vector space of  $n \times n$  matrices over  $C$ . A  $k$ -linear function  $\varphi$  on  $M_n$  is called *invariant* if for any  $B \in GL(n: C)$ ,

$$(1.7) \quad \varphi(A_1, \dots, A_k) = \varphi(BA_1B^{-1}, \dots, BA_kB^{-1}) \quad \text{for } A_i \in M_n.$$

We denote by  $I^k(M_n)$  the vector space of all the  $k$ -linear invariant functions.

Now given  $\varphi \in I^k(M_n)$  and an open set  $V$  of  $X$ , we extend  $\varphi$  to a  $k$ -linear mapping, denoted by  $\varphi_v$ , from  $M_n \otimes A(V)$  into  $A(V)$  by putting

$$\varphi_v(A_1\omega_1, \dots, A_k\omega_k) = \varphi(A_1, \dots, A_k)\omega_1 \wedge \dots \wedge \omega_k$$

for  $A_i \in M_n$ ,  $\omega_i \in A(V)$ .

On the other hand if  $\xi \in A(X: \text{Hom}(E, E))$  and if  $s = \{s_i\}$  is a frame of  $E|V$ , then  $\xi$  determines a matrix of forms  $\xi(s) = \|\xi(s)_{ij}\| \in M_n \otimes A(V)$  by  $\sum_j \xi(s)_{ij} s_j = \xi \cdot s_i$ , and under the substitution  $s' = As$ , these matrices transform by  $\xi(s') = A\xi(s)A^{-1}$ . Hence given  $\xi_i \in A(X: \text{Hom}(E, E))$  ( $i = 1, \dots, k$ ) and  $\varphi \in I^k(M_n)$ ; we can define a form  $\varphi(\xi_1, \dots, \xi_k) \in A(X)$  as follows: Let  $s$  be a frame of  $E|V$ . Then set

$$(1.8) \quad \varphi(\xi_1, \dots, \xi_k)|V = \varphi_v(\xi_1(s), \dots, \xi_k(s))$$

where the  $\xi_i(s)$  are matrices of  $\xi_i$  relative to  $s$ .

For simplicity we put  $\varphi(\xi, \dots, \xi) = \varphi((\xi))$ .

Now let  $D$  be a connection on  $E$  and let  $C(E, D)$  and  $K[E, D]$  denote the Chern form and the curvature element of  $E$  relative to  $D$  respectively. Then we want to construct  $k$ -linear invariant functions  $b_k^n \in I^k(M_n)$  ( $k=1, \dots, n$ ) such that

$$C(E, D) = 1 + \sum_{k=1}^n b_k^n (\kappa K[E, D]) \quad \kappa = i/2\pi.$$

For this purpose let  $L$  be a  $k$ -tuples  $(i_1, \dots, i_k)$  of integers from  $\{1, \dots, n\}$  such that  $i_1 < \dots < i_k$ . Then we define linear mappings  $L_l$  on  $M_n$  ( $l = 1, \dots, k$ ) as follows: For any  $A = \|a_{i,j}\| \in M_n$ , we put

$$L_l(A) = \begin{pmatrix} a_{i_1 i_l} \\ \vdots \\ a_{i_k i_l} \end{pmatrix} \quad l = 1, \dots, k.$$

If  $A_a = \|a_{i,j}^a\| \in M_n$ , ( $a = 1, \dots, k$ ), then  $\det \{L_1(A_1), \dots, L_k(A_k)\}$  denotes the determinant of the matrix  $\|a_{i_\beta i_\gamma}^a\|_{1 \leq \beta, \gamma \leq k}$ . With this notation  $k$ -linear functions  $b_k^n$  are defined as follows: For any  $A_a \in M_n$  ( $a = 1, \dots, k$ ),

$$(1.9) \quad b_k^n(A_1, \dots, A_k) = \sum_{\sigma, L} \frac{1}{k!} \det \{L_1(A_{\sigma(1)}), \dots, L_k(A_{\sigma(k)})\},$$

where the summation is extended over all permutations  $\sigma$  of  $\{1, \dots, k\}$  and all  $k$ -tuples  $L = (i_1, \dots, i_k)$  of integers from  $\{1, \dots, n\}$  such that  $i_1 < \dots < i_k$ .

It is clear from definition that the  $b_k^n$  are symmetric, that is, for any permutation  $\sigma$  of  $\{1, \dots, k\}$ ,

$$b_k^n(A_1, \dots, A_k) = b_k^n(A_{\sigma(1)}, \dots, A_{\sigma(k)}) \quad A_i \in M_n.$$

Therefore in a case of  $A_1 = \dots = A_k = A$ , it follows that

$$(1.10) \quad b_k^n((A)) = \sum_L \det \{L_1(A), \dots, L_k(A)\}$$

Hence we find that

$$(1.11) \quad \det(1_n + A) = 1 + \sum_{k=1}^n b_k^n((A)) \quad A \in M_n,$$

where  $1_n$  is the unit matrix of  $M_n$ .

LEMMA 1.1. *The  $k$ -linear function  $b_k^n$  is invariant, i.e.,  $b_k^n \in I^k(M_n)$ .*

*Proof.* Let  $\lambda_1, \dots, \lambda_k$  be indeterminates and let  $A_1, \dots, A_k$  be any fixed elements of  $M_n$ . Then it follows from (1.10) and (1.11) that

$$(1.12) \quad \det(1_n + \sum_{\alpha=1}^k \lambda_\alpha A_\alpha) = 1 + \sum_{r=1}^n \left[ \sum_{L=(i_1, \dots, i_k)} \sum_{j_1, \dots, j_r=1}^k \lambda_{j_1} \dots \lambda_{j_r} \right. \\ \left. \det \{L_1(A_{j_1}) \cdots L_r(A_{j_r})\} \right]$$

Since both sides of (1.2) are considered smooth functions of  $k$  variables  $\lambda_1, \dots, \lambda_k$ , we operate  $\partial^k / \partial \lambda_1 \cdots \partial \lambda_k$  on each side of (1.12) at the origin  $(\lambda_1, \dots, \lambda_k) = (0, \dots, 0) = 0$ . Then from  $\frac{\partial^k}{\partial \lambda_1 \cdots \partial \lambda_k} \Big|_0 (\lambda_{j_1} \cdots \lambda_{j_r})$

$$= \begin{cases} 1 & \text{if } r = k \text{ and } \{j_1, \dots, j_r\} = \{1, \dots, k\} \\ 0 & \text{otherwise,} \end{cases}$$

$$(1.13) \quad \frac{\partial^k}{\partial \lambda_1 \cdots \partial \lambda_k} \Big|_0 \det(1_n + \sum_{\alpha=1}^k \lambda_\alpha A_\alpha) = \sum_{\sigma, L=(i_1, \dots, i_k)} \det \{L_1(A_{\sigma(i_1)}) \cdots L_k(A_{\sigma(i_k)})\}$$

Thus it follows from (1.9) and (1.13) that

$$(1.14) \quad b_k^n(A_1, \dots, A_k) = \frac{1}{k!} \frac{\partial^k}{\partial \lambda_1 \cdots \partial \lambda_k} \Big|_0 \det(1_n + \sum_{\alpha=1}^k \lambda_\alpha A_\alpha).$$

It is clear from (1.14) that  $b_k^n$  is invariant. Q.E.D.

Now let  $C(E, D)$  and  $K[E, D]$  be as before. Then in views of Lemma 1.1 and (1.11), we find that the  $b_k^n$  are invariant and satisfy the next relation:

$$(1.15) \quad C(E, D) = 1 + \sum_{k=1}^n b_k^n((\kappa K[E, D])).$$

Notice that  $b_k^n((\kappa K[E, D]))$  becomes a global form of degree  $2k$  on  $X$  because of  $K[E, D] \in A^2(X; \text{Hom}(E, E))$ . Here we have

**DEFINITION 1.4.** Let  $K[E, D]$  be the curvature element of  $E$  relative to  $D$ . Let  $b_k^n$  denote the  $k$ -linear invariant function defined by (1.9). Then the  $2k$ -form  $b_k^n((\kappa K[E, D]))$  is called the  $k$ th Chern form of  $E$  relative to  $D$ , denoted by  $C_k(E, D)$ .

With this notation the relation (1.15) becomes

$$(1.15)' \quad C(E, D) = 1 + \sum_{k=1}^n C_k(E, D), \quad C_k(E, D) = b_k^n((\kappa K[E, D])).$$

Moreover, applying the next proposition to the invariant functions  $b_k^n$ , it follows that

$$(1.16) \quad dC_k(E, D) = 0 \quad k = 1, \dots, n$$

so that

$$(1.17) \quad dC(E, D) = 0$$

PROPOSITION 1.2. [1]. *Let  $E$  be a  $C^\infty$ -vector bundle of fibre dimension  $n$  over a  $C^\infty$ -manifold  $X$  with a connection  $D$ . Let  $K[E, D]$  be the curvature element. Given any  $\varphi \in I^k(M_n)$ , then we obtain*

$$(1.18) \quad d\varphi((K[E, D])) = 0.$$

Next we introduce notations used in the later sections, For  $\varphi \in I^k(M_n)$  we abbreviate  $\sum_{\alpha=1}^k \varphi(A, \dots, B, \dots, A)$  to  $\varphi'((A: B))$ . We put for any  $A, B \in M_n$

$$\widetilde{\det}((A)) = 1 + \sum_{k=1}^n b_k^n((A)) \quad \text{and} \quad \widetilde{\det}'((A: B)) = \sum_{k=1}^n b_k^n'((A: B)).$$

Then it follows that

$$(1.19) \quad \widetilde{\det}'((A: B)) = \left. \frac{\partial}{\partial \lambda} \right|_0 \det(1_n + A + \lambda B),$$

$$(1.20) \quad \widetilde{\det}((\kappa K[E, D])) = C(E, D).$$

In order to prove (1.19) it is sufficient to notice that  $\det(1_n + A + \lambda B) = 1 + \sum_{k=1}^n b_k^n((A + \lambda B))$ . (1.20) is trivial.

REMARK. A connection  $D$  on  $E$  is extended uniquely to an antiderivation of the  $A(X)$  module  $A(X; E)$ , so as to satisfy the law:

$$(1.21) \quad D(\theta \cdot s) = d\theta \cdot s + (-1)^p \theta \cdot Ds \quad \theta \in A^p(X), \quad s \in \Gamma(E).$$

Then from the definition (1.6) of the curvature element  $K[E, D]$ , we find that

$$(1.22) \quad D^2s = K[E, D] \cdot s \quad \text{for any } s \in \Gamma(E).$$

## § 2. The duality formula

**2.1. The canonical connection of a Hermitian bundle.** Let  $E$  be a holomorphic vector bundle over a complex manifold  $X$ . Then a norm  $N$  on  $E$  is a real-valued function  $N: E \rightarrow \mathbf{R}$  such that the restriction of  $N$  to any fibre is a Hermitian norm on that fibre. Thus for each  $x \in X$ , a positive definite Hermitian form, denoted by  $\langle u, v \rangle_N$ , or simply  $\langle u, v \rangle$ , is defined by putting for any  $u, v \in E_x$ ,

$$\langle u, v \rangle_N = \frac{1}{2} \{N(u + v) - N(u) - N(v)\} + i \frac{1}{2} \{N(u + iv) - N(u) - N(v)\}.$$

Moreover this Hermitian form  $\langle , \rangle_N$  is extended as follows: For any sections  $s$  and  $s'$ , we define  $\langle s, s' \rangle$  as the function  $\langle s, s' \rangle(x) = \langle s(x), s'(x) \rangle$  and we set in general  $\langle \theta \cdot s, \theta' \cdot s' \rangle = \theta \wedge \bar{\theta}' \langle s, s' \rangle$ ,  $\theta, \theta' \in A(X)$ . A holomorphic vector bundle with a norm is called a hermitian vector bundle. Let  $E$  be a Hermitian vector bundle. Then we will find from the following Proposition 2.1 that  $E$  has a canonical connection induced by a norm on  $E$ . It is our aim to study the Chern form of  $E$  relative to this canonical connection.

Now let  $X$  be a complex manifold. The complex valued differential forms  $A(X)$  split into a direct sum  $\sum A^{p,q}(X)$  where  $A^{p,q}(X)$  is generated over  $A^0(X)$  by forms of the type  $df_1 \wedge \cdots \wedge df_p \wedge d\bar{f}_{p+1} \wedge \cdots \wedge d\bar{f}_{p+q}$ , the  $f_i$  being local holomorphic functions on  $X$ . Therefore  $d$  splits into  $d' + d''$  where

$$d': A^{p,q} \longrightarrow A^{p+1,q} \text{ and } d'': A^{p,q} \longrightarrow A^{p,q+1}.$$

If  $E$  is a vector bundle over  $X$ , then  $A(X; E)$  split into the direct sum  $\sum A^{p,q}(X; E) = \sum A^{p,q}(X) \otimes \Gamma(E)$  according to the decomposition of  $A(X)$ . Hence any connection  $D$  on  $E$  is decomposed into  $D' + D''$ :

$$D': \Gamma(E) \longrightarrow A^{1,0}(X; E) \text{ and } D'': \Gamma(E) \longrightarrow A^{0,1}(X; E).$$

With these preliminaries we obtain

**PROPOSITION 2.1.** [1]. Let  $N$  be a norm on a Hermitian vector bundle  $E$ . Then  $N$  induces a canonical connection  $D = D(N)$  on  $E$  which is characterized by the two conditions:

$$(2.1) \quad D \text{ preserves the norm } N, \text{ i.e., for any } s, s' \in \Gamma(E)$$

$$d\langle s, s' \rangle = \langle Ds, s' \rangle + \langle s, D's' \rangle.$$

$$(2.2) \quad \text{If } s \text{ is a holomorphic section of } E|V, \text{ then } D''s = 0 \text{ on } V.$$

This proposition shows that if  $s = \{s_i\}$  is a holomorphic frame of  $E|V$  and if  $N(s)$  denotes the matrix of functions  $N(s) = \|\langle s_i, s_j \rangle\|$ , then the connection matrix  $\theta(s, N)$  of  $D(N)$  relative to the frame  $s$  is given by

$$(2.3) \quad \theta(s, N) = d'N(s) \cdot N(s)^{-1} \quad \text{on } V,$$

and the curvature matrix  $K(s, N)$  is expressed as follows:

$$(2.4) \quad K(s, N) = d''\theta(s, N), \quad \text{whence } K(s, N) \text{ is of type } (1,1) \\ \text{and } d''K(s, N) = 0.$$



It follows from (2.4) and Definition 1.4 that the  $k$ th Chern forms  $C_k(E, D(N))$  are of type  $(k, k)$ .

Suppose now that  $E$  is a line bundle. Then a holomorphic frame is a nonvanishing holomorphic section  $s$  of  $E|V$ , so that, relative to  $s$ ,

$$\theta(s, N) = d' \log N(s) \text{ and } K[E, D(N)] \cdot s = d'' d' \log N(s).$$

Thus if  $E$  admits a global nonvanishing holomorphic sections  $s$ , then

$$(2.5) \quad C_1(E, D(N)) = \frac{i}{2\pi} d'' d' \log N(s).$$

(Note that the invariant function  $b_1^1$  defining  $C_1(E, D(N))$  becomes the identity mapping of  $M_1 = C$ .)

**2.2. Homotopy lemma.** We state the homotopy lemma on which the duality formula is based.

**DEFINITION 2.1.** A connection  $D$  on a holomorphic bundle  $E$  over  $X$ , is called of type  $(1, 1)$  if

- (i) For any holomorphic section  $s$  of  $E|V$ ,  $D''s = 0$
- (ii) The curvature matrix  $K(s, D)$  relative to a holomorphic frame  $s$  over  $V$ , are of type  $(1, 1)$ , i.e.,  $K[E, D] \in A^{1,1}(X: \text{Hom}(E, E))$ .

It is obvious from (2.4) that a canonical connection  $D(N)$  is of type  $(1, 1)$ .

**DEFINITION 2.2.** A family of connections  $D_t$  of type  $(1, 1)$  will be called bounded by  $L_t \in A^0(X: \text{Hom}(E, E))$  if for any frame  $s$ ,

$$dD_t(s)/dt = d'L_t(s) + \{L_t(s) \cdot \theta(s, D_t) - \theta(s, D_t)L_t(s)\}.$$

Then we obtain the following homotopy lemma.

**PROPOSITION 2.2.** [1]. *Let  $D_t$  be a smooth family of connections of type  $(1, 1)$  on a holomorphic vector bundle  $E$ . Suppose that  $D_t$  is bounded by  $L_t \in A^0(X: \text{Hom}(E, E))$ . Then for any  $\varphi \in I^k(M_n)$ ,  $n = \dim E$ ,*

$$(2.6) \quad \begin{aligned} & \varphi((K[E, D_t])) - \varphi((K[E, D_a])) \\ & = d'' d' \int_a^b \varphi'((K[E, D_t]: L_t)) dt \end{aligned}$$

**2.3. The duality formula.** Now let us consider an exact sequence of holomorphic vector bundles:

$$(2.7) \quad 0 \longrightarrow E_I \longrightarrow E \longrightarrow E_{II} \longrightarrow 0$$

over a complex manifold  $X$ . We write  $\xi$  for the homomorphism from  $E$  onto  $E_{II}$  defining (2.7). Let  $N$  be a norm on  $E$ . Then the norm  $N$  on  $E$  induces norms  $N_I$  on  $E_I$  and  $N_{II}$  on  $E_{II}$  as follows: Let  $E_I^\perp$  be the orthocomplement of  $E_I$ , i.e., if for each  $x \in X$ , we put  $(E_I^\perp)_x = \{a \in E_x : \langle a, b \rangle_N = 0, \text{ for all } b \in E_x\}$ , then  $E_I^\perp = \cup_{x \in X} (E_I^\perp)_x$ .

Hence  $E_I^\perp$  becomes the  $C^\infty$ -vector bundle over  $X$ . The restriction of  $\xi$  to  $E_I^\perp$  is the  $C^\infty$ -isomorphism of  $E_I^\perp$  and  $E_{II}$ . Let  $\hat{\xi}$  denote the inverse mapping of  $\xi|_{E_I^\perp}$ . Then the norm  $N_{II}$  on  $E_{II}$  is defined by

$$N_{II}(a') = N(\hat{\xi} \cdot a') \quad \text{for any } a' \in E_{II}.$$

On the other hand, the norm  $N_I$  on  $E_I$  is the restriction of  $N$  to  $E_I$ .

To the exact sequence (2.7), there correspond the canonical connections  $D(N) = D(\text{on } E)$ ,  $D(N_i)$  (on  $E_i$ ) and the Chern forms  $C(E) = C(E, D(N))$ ,  $C(E_i, D(N_i))$ .

Now let  $P_i (i = I, II)$  be the orthogonal projections

$$(2.8) \quad P_I : E \longrightarrow E_I \quad \text{and} \quad P_{II} : E \longrightarrow E_I^\perp.$$

Since  $P_i (i = I, II)$  are elements of  $\Gamma(\text{Hom}(E, E))$ , these are interpreted as degree zero operator, that is,  $P_i(\theta \cdot s) = \theta \cdot P_i \cdot s$ ,  $\theta \in A(X)$ ,  $s \in \Gamma(E)$ . Then the connection  $D = D(N)$  is decomposed into four parts

$$(2.9) \quad D = \sum_{i,j} P_i D P_j \quad j, i = I, II.$$

With these preliminaries we obtain

LEMMA 2.3, [1]. *In the decomposition*

(i)  $P_i D P_i (i \neq j)$  are degree zero operators of type (1,0) and (0,1) respectively:

$$(2.10) \quad P_{II} D' P_I = 0, \quad P_I D' P_{II} = 0.$$

(ii)  $P_i D P_i$  induces the connection  $D(N_i)$  on  $E_i \cdot i = I, II$ .

*Proof.* The first statement is already proved in [1]. We shall prove only (ii). Let  $\xi, \hat{\xi}$  be as above. Then  $\xi$  and  $\hat{\xi}$  are considered as degree zero operators. Therefore it is clear that  $\xi D \hat{\xi}$  defines a connection on  $E_{II}$ . We show that  $\xi D \hat{\xi}$  is the canonical connection  $D(N_{II})$ . In order to prove this, it is sufficient to check the conditions (2.1) and (2.2) in Proposition

2.1. At first, (2.1) follows directly from the definition of  $N_{II}$  and the fact that  $D$  preserves the inner product  $\langle \cdot, \cdot \rangle_N$ :

Let  $t, t'$  be sections of  $E_{II}$ . Then it follows that

$$\begin{aligned} d\langle t, t' \rangle_{N_{II}} &= d\langle \hat{\xi}t, \hat{\xi}t' \rangle = \langle D\hat{\xi}t, \hat{\xi}t' \rangle_N + \langle \hat{\xi}t, D\hat{\xi}t' \rangle_N \\ &= \langle \xi D\hat{\xi}t, t' \rangle_{N_{II}} + \langle t, \xi D\hat{\xi}t' \rangle_{N_{II}}. \end{aligned}$$

For (2.2), let  $s$  be a holomorphic section of  $E|V$ . Then,  $D$  satisfying the condition (2.2), it follows that  $D''s = 0$  on  $V$ . Hence from (2.9) we have

$$0 = D''s = (P_I D'' P_{II} + P_I D'' P_I) \cdot s + P_{II} D' P_{II} s + P_{II} D'' P_I s.$$

Thus we find from (2.10) that if  $s$  is a holomorphic section of  $E|V$ , then

$$(2.11) \quad P_{II} D'' P_{II} s = 0 \quad \text{on } V.$$

Now let  $t$  be a holomorphic section of  $E_{II}|V$ . Then for each  $x \in V$ , there exist a neighborhood  $V(x) \subset V$  of  $x$  and a holomorphic section  $s$  of  $E|V(x)$  such that  $\hat{\xi} \cdot s = t$  on  $V(x)$ . On the other hand, it is clear that  $(\xi D\hat{\xi})'' = \xi D''\hat{\xi}$ ,  $\xi = \xi P_{II}$  and  $\hat{\xi}\hat{\xi} = P_{II}$ . Therefore we have

$$(\xi D\hat{\xi})'' \cdot t = \xi D''\hat{\xi} \cdot t = \xi D''\hat{\xi} \cdot \xi s = \xi P_{II} D'' P_{II} s.$$

From (2.11) it follows that  $(\xi D\hat{\xi})'' t = 0$  on  $V(x)$ . Thus we have proved that  $(\xi D\hat{\xi})'' t = 0$  on  $V$ . Therefore  $\xi D\hat{\xi}$  is the canonical connection  $D(N_{II})$ .

Hence if we identify  $E_I^\perp$  and  $E_{II}$  under the isomorphism  $\hat{\xi}$ , then we can also identify  $P_{II} D P_{II}$  and  $\xi D\hat{\xi}$ . Therefore, as we have proved,  $P_{II} D P_{II}$  is regarded as the connection  $D(N_{II})$  on  $E_{II}$ . Similarly it is proved that  $P_I D P_I$  induces the connection  $D(N_I)$  on  $E_I$ . Q.E.D.

Now a family  $D_t$  which we need for the duality theorem is given by

$$(2.12) \quad D_t = D + (e^t - 1)P_{II} D P_I \quad \text{for all } t \in \mathbf{R}.$$

From (i) in Lemma 2.3 and the fact that  $D$  is the connection of type (1.1),  $D_t$  is a connection of type (1,1) for every  $t \in \mathbf{R}$ . We have further

LEMMA 2.4, [1]. *The family  $D_t$  defined by (2.12) is "bounded" by the element  $P_I \in \Gamma(\text{Hom}(E, E))$ .*

Using the identifications  $P_i D P_i = D(N_i)$  ( $i = I, II$ ), we obtain the following decompositions of  $K[E, D_t]$  according to  $P_i$  ( $i = I, II$ ), [1]: Let  $P_i K[E, D_t] P_j$  be denoted by  $K_{j_i}[E, D_t]$ . Then we have

$$(2.13) \quad K_{II}[E, D_t] = K[E_I, D(N_I)] + e^t \square_I$$

$$(2.14) \quad K_{I I I}[E, D_t] = K[E_{II}, D(N_{II})] + e^t \square_{II}$$

$$(2.15) \quad K_{I I I}[E, D_t] = e^t K_{I I I}[E, D], \quad K_{I I I}[E, D_t] = K_{I I I}[E, D]$$

where  $\square_I = P_I D P_I D P_I$  and  $\square_{II} = P_{II} D P_{II} D P_{II}$ .

Notice that  $\xi K[E, D] \hat{\xi} \in A^{1,1}(X; \text{Hom}(E_{II}, E_{II}))$  is identified with  $K_{II, II}[E, D]$  under the isomorphism  $\hat{\xi}: E_{II} \longrightarrow E_I^\perp$ . Under this identification,  $\square_{II}$  is also considered as the element of  $A^2(X; \text{Hom}(E_{II}, E_{II}))$ , that is, from (2.14),

$$\square_{II} = K_{II, II}[E, D] - K[E_{II}, D(N_{II})] \in A^2(X; \text{Hom}(E_{II}, E_{II})).$$

We are now in a position to state the duality theorem. Let us suppose that  $\dim E = n$  and let  $b_k^n \in I^k(M_n)$ . ( $k=1, \dots, n$ ) and let  $\widetilde{\det}$  be as defined in §1. Then from Lemma 2.4 we can apply Proposition 2.2 to  $D_t, P_I$  and  $\widetilde{\det}$ . Here it follows that

$$(2.16) \quad C(E, D) - C(E, D_t) = d'' d' \int_t^0 \widetilde{\det}'((\kappa K[E, D_t]; \kappa P_I)).$$

In the case of  $\dim E_I = 1$ , we calculate (2.16). Let us take a frame  $u = \{u_i\}_{1 \leq i \leq n}$  of  $E$  over an open set  $V$  of  $X$  such that  $u_1$  and  $v = \{u_i\}_{2 \leq i \leq n}$ , respectively, form frames of  $E_I|V$  and  $E_I^\perp|V$ . Then  $v = \{u_i\}_{2 \leq i \leq n}$  is considered

as the frame of  $E_{II}|V$ . As, relative to the frame  $u$ ,  $P_I(u) = \left( \begin{array}{c|c} 1 & 0 \\ \hline 0 & 0 \end{array} \right)$  we

find from (1.19), (2.13), (2.14) and (2.15) that  $\det'((\kappa K[E, D_t]; \kappa P_I)|_V) =$

$$\frac{\partial}{\partial \lambda} \Big|_{\lambda=0} \{1_n + \kappa K[E, D_t](u) + \lambda \kappa P_I(u)\} = \frac{\partial}{\partial \lambda} \Big|_{\lambda=0} \det$$

$$\left( \frac{1 + \kappa K[E_I, D(N_I)](u_1) + \kappa e^t \square_I(u_1) + \lambda \kappa}{\kappa K_{I I I}[E, D](u)} \Big| \frac{\kappa e^t K_{I I I}[E, D](u)}{1_{n-1} + \kappa K[E_{II}, D(N_{II})](v) + \kappa e^t \square_{II}(v)} \right)$$

$$= \kappa \det \{1_{n-1} + \kappa K[E_{II}, D(N_{II})](v) + e^t \kappa \square_{II}(v)\}$$

$$= \kappa \{1 + \sum_{k=1}^{n-1} b_k^{n-1} v((\kappa K[E_{II}, D(N_{II})](v) + \kappa e^t \square_{II}(v))\}$$

$$= \kappa \{1 + \sum_{k=1}^{n-1} b_k^{n-1} ((\kappa K[E_{II}, D(N_{II})] + \kappa e^t \square_{II})|_V),$$

so that,  $\widetilde{\det}'((\kappa K[E, D_t]; \kappa P_I)) = \kappa \{1 + \sum_{k=1}^{n-1} b_k^{n-1} ((\kappa K[E_{II}, D(N_{II})] + e^t \kappa \square_{II})$  on  $X$ .

For simplicity put  $b_\alpha^{n-1}((A: (l)B)) = b_\alpha^{n-1}(\overbrace{A, \dots, A}^{\alpha-l}, \overbrace{B, \dots, B}^l)$   $A, B \in M_{n-1}$  and set  $b_0^{n-1}((A)) = 1$ ,  $A \in M_{n-1}$ . Then in terms of the symmetry of  $b_\alpha^{n-1}$  and

$$K[E_{II}, D(N_{II})], \square_{II} \in A^2(X; \text{Hom}[E_{II}, E_{II}]),$$

$$= \sum_{l=1}^{\alpha} \binom{\alpha}{l} e^{lt} b_\alpha^{n-1}((\kappa K[E_{II}]: (l)\kappa \square_{II}))$$

where  $K[E_{II}] = K[E_{II}, D(N_{II})]$  and  $\binom{\alpha}{0} = 1$  for  $l = 0$ . Therefore it follows that

$\widetilde{\det}'((\kappa K[E, D_t]: \kappa P_I))$   
 $= \kappa \sum_{\alpha=0}^{n-1} b_{\alpha}^{n-1}((\kappa K[E_{II}])) + \kappa \sum_{\alpha=0}^{n-1} \sum_{i=1}^{\alpha} \binom{\alpha}{i} e^{i t} b_{\alpha}^{n-1}((\kappa K[E_{II}]: (l)\kappa \square_{II}])).$  Hence as  
 $d'' d'(\sum_{\alpha=0}^{n-1} b_{\alpha}^{n-1}((\kappa K[E_{II}])) = d'' d' C_{\alpha}(E_{II}) = 0,$  we have

$$\begin{aligned} & \lim_{t=-\infty} d'' d' \int_t^0 \widetilde{\det}'((\kappa K[E, D_t]: \kappa P_I)) \\ &= \kappa \sum_{\alpha=1}^{n-1} \sum_{i=1}^{\alpha-1} \frac{1}{l} \binom{\alpha}{i} b_{\alpha}^{n-1}((\kappa K[E_{II}, D(N_{II})]: (l)\kappa \square_{II}])). \end{aligned}$$

On the other hand, it is obvious that

$$\lim_{t=-\infty} C(E, D_t) = C(E_I) \cdot C(E_{II}).$$

Thus we obtain from (2.16) the duality formula for the case of  $\dim E_I = 1$ :

$$\begin{aligned} (2.17) \quad & C(E) - C(E_I) \cdot C(E_{II}) \\ &= \kappa d'' d' \sum_{\alpha=1}^{n-1} \sum_{i=1}^{\alpha} \frac{1}{l} \binom{\alpha}{i} b_{\alpha}^{n-1}((\kappa K[E_{II}, D(N_{II})]: (l)\kappa \square_{II}])). \end{aligned}$$

Here we put, in general,

$$C_0(E) = 1 \quad \text{and} \quad C_{\alpha}(E) = 0 \quad \text{if} \quad \alpha > \dim E.$$

Then using  $b_{\alpha}^{n-1}((\kappa K[E_{II}, D(N_{II})]: (l)\kappa \square_{II}])) \in A^{2\alpha}(X)$ , we obtain from (2.17) the following

**PROPOSITION 2.5.** *Let  $0 \longrightarrow E_I \longrightarrow E \longrightarrow E_{II} \longrightarrow 0$  be an exact sequence of holomorphic vector bundles over a complex manifold  $X$ , and let  $C(E)$ , and  $C(E_i)$   $i = I, II$  be the Chern forms induced by a norm  $N$  on  $E$ . Suppose now  $\dim E = n$ . Then if  $\dim E_I = 1$ , we obtain*

$$\begin{aligned} (2.18) \quad & C_{n-k+1}(E) - C_1(E_I) \cdot C_{n-k}(E_{II}) - C_{n-k+1}(E_{II}) \\ &= \kappa d'' d' \sum_{i=1}^{n-k} \frac{1}{l} \binom{n-k}{i} b_{n-k}^{n-1}((\kappa K[E_{II}, D(N_{II})]: (l)\kappa \square_{II}))), \end{aligned}$$

$$k = 1, \dots, n,$$

where  $\square_{II} = P_{II} K[E, D(N)] P_{II} - K[E_{II}, D(N_{II})] \in A^2(X: \text{Hom}(E_{II}, E_{II}))$ .

Here we require explicit representations of  $K[E_{II}, D(N_{II})]$  and  $\square_{II}$ .

**LEMMA 2.6.** *Notations being as above, let  $u = \{u_i\}_{1 \leq i \leq n}$  be a frame of  $E|V$  such that  $u_1$  and  $v = \{u_i\}_{2 \leq i \leq n}$ , respectively, are frames of  $E_I|V$  and  $E_I^{\perp}|V$ . Then, relative to the frame  $v$ ,*

$$(2.19) \quad K[E_{II}, D(N_{II})](v) = \|d\theta_{ij} - \sum_{k=2}^n \theta_{ik} \wedge \theta_{kj}\|_{2 \leq i, j \leq n}$$

$$(2.20) \quad \square_{II}(v) = \|-\theta_{i1} \wedge \theta_{1j}\|_{2 \leq i, j \leq n}.$$

*Proof.* It is trivial from assumptions that

$$P_{II}DP_{II} \cdot u_i = \sum_{j=2}^n \theta_{ij} \cdot u_j \quad i = 2, \dots, n.$$

Therefore it follows from (1.22) and  $P_{II}DP_{II} = D(N_{II})$  that

$$\begin{aligned} K[E_{II}, D(N_{II})] \cdot u_i &= (P_{II}DP_{II})^2 \cdot u_i \\ &= \sum_{j=2}^n (d\theta_{ij} - \sum_{k=2}^n \theta_{ik} \wedge \theta_{kj}) u_j, \quad 2 \leq i \leq n. \end{aligned}$$

Thus (2.19) is proved. On the other hand, it follows that; for each integer  $i$  ( $2 \leq i \leq n$ ),

$$\begin{aligned} P_{II}K[E, D]P_{II} \cdot u_i &= P_{II}D^2P_{II}u_i = P_{II}D^2u_i \\ &= \sum_{j=2}^n (d\theta_{ij} - \sum_{k=1}^n \theta_{ik} \wedge \theta_{kj}) u_j \end{aligned}$$

Then, relative to the frame  $v$ ,

$$P_{II}K[E, D]P_{II}(v) = \|d\theta_{ij} - \sum_{k=1}^n \theta_{ik} \wedge \theta_{kj}\|_{2 \leq i, j \leq n}.$$

Therefore (2.20) follows immediately:

$$\begin{aligned} \square_{II}(v) &= P_{II}K[E, D]P_{II}(v) - K[E_{II}, D(N_{II})](v) \\ &= \|-\theta_{i1} \wedge \theta_{1j}\|_{2 \leq i, j \leq n}. \end{aligned}$$

Q.E.D.

Using these relations (2.19) and (2.20), we shall apply Proposition 2.5 to the case when  $E$  is the product bundle  $X \times \mathbf{C}^n$  over  $X$ . Let  $(, )$  be the inner product of  $\mathbf{C}^n$  defined as follows: Let  $e_1, \dots, e_n$  be the natural basis of  $\mathbf{C}^n$  and let  $z^1, \dots, z^n$  denote the complex coordinates corresponding to this basis. Then put

$$(2.21) \quad (u, v) = \sum_{i=1}^n \bar{z}^i(u) \bar{z}^i(v) \quad u, v \in \mathbf{C}^n.$$

We take a norm  $N_0$  on the product bundle  $E$  to be one induced by the inner product  $(, )$  of  $\mathbf{C}^n$ . Then we have

**COROLLARY 2.7.** *Let  $0 \rightarrow E_I \rightarrow E \rightarrow E_{II} \rightarrow 0$  be as in Proposition 2.5. Suppose that  $E$  is the product bundle  $X \times \mathbf{C}^n$  over  $X$  and that  $\dim E_I = 1$ . Then it follows that*

$$(2.22) \quad C_k(E_{II}) = (-C_1(E_I))^k \quad 1 \leq k.$$

*Proof.* Let  $s = \{s_i\}_{1 \leq i \leq n}$  be a global holomorphic frame of  $E$  defined by

$$s_i(x) = (x, e_i) \quad x \in X, \quad i = 1, \dots, n$$

Further let  $E_I$  denote the orthocomplement to  $E_I$  and let us take a frame  $u = \{u_i\}_{1 \leq i \leq n}$  of  $E|V$  as defined in Lemma 2.6. Then there exist elements  $a_{ij} \in A(V)$  such that  $v_i = \sum_{j=1}^n a_{ij} \cdot s_j$   $i = 1, \dots, n$ . Let  $A$  be the matrix of functions  $\|a_{ij}\|$ , and let put  $A^{-1} = \|b_{ij}\|$ . Then from  $D(N_0) \cdot s_i = 0$  ( $i = 1, \dots, n$ ) we have

$$D(N_0) \cdot u_i = \sum_{k=1}^n (\sum_{l=1}^n da_{lk} b_{ki}) \cdot u_j.$$

Therefore if we put  $\omega_{ij} = \sum_{k=1}^n da_{ik} b_{kj}$  ( $i, j = 1, \dots, n$ ), it follows that, relative to the frame  $u$ ,

$$\theta(u, D(N_0)) = \|\omega_{ij}\|_{1 \leq i, j \leq n}.$$

Thus if  $N_{o_{II}}$  denotes a norm on  $E_{II}$  induced by  $N_0$ , we find from (2.19) and (2.20) that, relative to the frame  $v = \{u_i\}_{2 \leq i \leq n}$ ,

$$(2.23) \quad K[E_{II}, D[N_{o_{II}}]](v) = \|d\omega_{ij} - \sum_{k=2}^n \omega_{ik} \wedge \omega_{kj}\|$$

$$(2.24) \quad \square_{II}(v) = \|\omega_{i1} \wedge \omega_{1j}\|.$$

On the other hand, it is proved that

$$(2.25) \quad d\omega_{ij} - \sum_{k=1}^n \omega_{ik} \wedge \omega_{kj} = 0, \quad i, j = 1, \dots, n.$$

We obtain from (2.23), (2.24) and (2.25),

$$(2.26) \quad K[E_{II}, D(N_{o_{II}})] = -\square_{II}.$$

Hence the right hand side of (2.17) equals zero. Indeed it follows that, for each  $k$ , ( $1 \leq k \leq n$ ),

$$\begin{aligned} b_{n-k}^{n-1}((\kappa K[E_{II}, D(N_{o_{II}})]): (l)\kappa \square_{II}) &= (-1)^l b_{n-k}^{n-1}((\kappa K[E_{II}, D(N_{o_{II}})]) \\ &= (-1)^l C_{n-k}(E_{II}). \end{aligned}$$

From  $dC_{n-k}(E_{II}) = 0$ , we find that  $d'' d' b_{n-k}^{n-1}((\kappa K[E_{II}]: (l)\kappa \square_{II})) = 0$ . Thus we have from (2.17)

$$(2.27) \quad C_{n-k+1}(E) - C_1(E_I) \cdot C_{n-k}(E_{II}) = C_{n-k+1}(E_{II}), \quad k = 1, \dots, n.$$

It is trivial that  $C(E) = 1$ , that is,  $C_0(E) = 1$  and  $C_k(E) = 0$ , if  $k \geq 1$ . Therefore from (2.27)

$$(2.28) \quad C_l(E_{II}) = -C_1(E_I) \cdot C_{l-1}(E_{II}) \quad l = 1, \dots, n.$$

By noting  $C_n(E_{II}) = 0$  and  $C_0(E_{II}) = 1$ , (2.22) follows directly from (2.28).

Q.E.D.

### § 3. The $(n, k)$ -trivial bundle

**3.1.** Let  $E$  be a Hermitian vector bundle of fibre dimension  $n$  over a complex manifold  $X$ , which admits  $k$  linearly independent holomorphic sections, say  $s_1, \dots, s_k$ , ( $1 \leq k \leq n$ ). At first, let us introduce the next notation: Let  $V$  be a complex vector space and let  $v_1, \dots, v_k$  be  $k$  vectors of  $V$ . Then we denote by  $[v_1, \dots, v_k]$  the linear subspace of  $V$  spanned by the vectors  $v_1, \dots, v_k$ .

Since  $s_1, \dots, s_k$  are  $k$  linearly independent holomorphic sections of  $E$ , we can define, with the notation above, the following holomorphic vector bundles over  $X$ :

$$(3.1) \quad E_0^I = \bigcup_{x \in X} [s_1(x)]$$

$$(3.2) \quad E_i^I = \bigcup_{x \in X} [s_{i+1}(x)]/[s_1(x), \dots, s_i(x)] \quad i = 1, \dots, k-1$$

$$(3.3) \quad E_i^{II} = \bigcup_{x \in X} E_x/[s_1(x), \dots, s_i(x)] \quad i = 1, \dots, k.$$

For convenience sake put  $E_0^{II} = E$ . Then one notes that each  $E_i^I$  is a subbundle of  $E_i^{II}$  of fibre dimension 1, and that  $E_i^{II}$  is of fibre dimension  $(n-i)$  for  $i = 0, \dots, k$ . Now let  $\xi_i: E_{i-1}^{II} \rightarrow E_i^{II}$  ( $i = 1, \dots, k$ ) be homomorphisms defined by setting, for each  $x \in X$

$$\xi_1(e) = e/[s_1(x)] \quad \text{and} \quad \xi_i(e/[s_1(x), \dots, s_i(x)]) = e/[s_1(x), \dots, s_{i+1}(x)],$$

$$i = 2, \dots, k,$$

for any  $e \in E_x$ . Then there exists a system of exact sequences:

$$(3.4) \quad 0 \rightarrow E_{i-1}^I \rightarrow E_{i-1}^{II} \rightarrow E_i^{II} \rightarrow 0 \quad (i = 1, \dots, k)$$

over  $X$ . Let  $N$  be a norm on  $E$ . First of all, in terms of the exact sequence:  $0 \rightarrow E_0^I \rightarrow E_0^{II} \rightarrow E_1^{II} \rightarrow 0$ , the norm  $N$  on  $E = E_0^{II}$  induces norms  $N_0^I$  on  $E_0^I$  and  $N_1^{II}$  on  $E_1^{II}$  as defined in § 2. Next  $N_1^{II}$  induces norms  $N_1^I$  on  $E_1^I$  and  $N_2^{II}$  on  $E_2^{II}$  from  $0 \rightarrow E_1^I \rightarrow E_1^{II} \rightarrow E_2^{II} \rightarrow 0$ . Thus the norm  $N$  on  $E$  induces norms  $N_{i-1}^I$  on  $E_{i-1}^I$  and  $N_i^{II}$  on  $E_i^{II}$  inductively. Here we write  $C(E)$ ,  $C(E_{i-1}^I)$  and  $C(E_i^{II})$  ( $i = 1, \dots, k$ ) for the Chern forms induced by the norm  $N$ . We shall now apply the duality formula (2.17) to each exact sequence of (3.4). Let  $0 \rightarrow E_{i-1}^I \rightarrow E_{i-1}^{II} \rightarrow E_i^{II} \rightarrow 0$  be as in (3.4). Let  $(E_{i-1}^I)^\perp$  denote the orthocomplement to  $E_{i-1}^I$



and let  $P_{i-1}^{II}: E_{i-1}^{II} \rightarrow (E_{i-1}^I)^\perp$  be the projection. Then we define an element  $\square_i \in A^2(X: \text{Hom}(E_i^{II}, E_i^{II}))$  by

$$(3.5) \quad \square_i = P_{i-1}^{II} K[E_{i-1}^{II}, D(N_{i-1}^{II})] P_{i-1}^{II} - K[E_i^{II}, D(N_i^{II})]$$

where  $K(E_\alpha^{II}, D(N_\alpha^{II}))$  is the curvature element of the canonical connection  $D(N_\alpha^{II})$  induced by  $N_\alpha^{II}$  ( $\alpha = i-1, i$ ). Then noting that  $\dim E_{i-1}^{II} = (n-i+1)$ , we have from (2.17)

$$(3.6) \quad \begin{aligned} & C_{n-k+1}(E_{i-1}^{II}) - C_1(E_{i-1}^I) \cdot C_{n-k}(E_i^{II}) - C_{n-k+1}(E_i^{II}) \\ &= \kappa d'' d' \sum_{l=1}^{n-k} \frac{1}{l} \binom{n-k}{l} b_{n-k}^{n-1} ((\kappa K E_i^{II}, D(N_i^{II})) : (l)\kappa \square_i), \quad i = 1, \dots, k. \end{aligned}$$

Let  $\tilde{s}_i: X \rightarrow E_{i-1}^I$  ( $i = 1, \dots, k$ ) be holomorphic sections defined as follows: For each  $x \in X$ ,

$$(3.7) \quad \tilde{s}_1(x) = s_1(x), \text{ and } \tilde{s}_i(x) = s_i(x) / [s_1(x), \dots, s_{i-1}(x)] \text{ for } i = 2, \dots, k.$$

Then these sections become global nonvanishing holomorphic sections, so that from (2.5)

$$(3.8) \quad C_1(E_{i-1}^I) = \chi d'' d' \log N_{i-1}^I(s_i) \quad i = 1, \dots, k.$$

As  $\sum_{i=1}^k \{C_{n-k+1}(E_{i-1}^{II}) - C_{n-k+1}(E_i^{II})\} = C_{n-k+1}(E)$  and  $d' C_{n-k}(E_i^{II}) = 0$   $i = 1, \dots, k$ , it follows from (3.6) and (3.8) that

$$(3.9) \quad \begin{aligned} & C_{n-k+1}(E) \\ &= \kappa d'' d' \sum_{i=1}^k \{ \log N_{i-1}^I(\tilde{s}_i) C_{n-k}(E_i^{II}) + \sum_{l=1}^{n-k} \frac{1}{l} \binom{n-k}{l} b_{n-k}^{n-i} \\ & \quad ((\kappa K E_i^{II}, D(N_i^{II})) : \kappa \square_i) \}. \end{aligned}$$

Put

$$(3.10) \quad \begin{aligned} & \eta_{n-k+1}(E, N, \{s_i\}_{1 \leq i \leq k}) \\ &= -\frac{1}{4} d^c \sum_{i=1}^k \left\{ \log N_{i-1}^I(\tilde{s}_i) \cdot C_{n-k}(E_i^{II}) + \sum_{l=1}^{n-k} \frac{1}{l} \binom{n-k}{l} b_{n-k}^{n-i} \right. \\ & \quad \left. ((\kappa K [E_i^{II}, D(N_i^{II})) : (l)\kappa \square_i) \right\}. \end{aligned}$$

where  $d^c = i(d' - d')$ .

Then from  $dd^c = -2id''d'$ ,  $C_{n-k+1}(E) = d\eta_{n-k+1}(E, N, \{s_i\}_{1 \leq i \leq k})$ . One notes that  $\eta_{n-k+1}(E, N, \{s_i\}_{1 \leq i \leq k})$  is an element of  $A^{2(n-k)+1}(X)$ .

**DEFINITION 3.1.** Let  $E$  be a holomorphic vector bundle of fibre dimension  $n$  with a norm  $N$ , over a complex manifold  $X$ . Suppose further

$E$  admits  $k$  linearly independent holomorphic sections  $s_1, \dots, s_k$ . Then  $E$  is called the  $(n, k)$ -trivial bundle with the norm  $N$  and the  $k$ -frames  $= \{s_i\}_{1 \leq i \leq k}$ , over  $X$ , or simply the  $(n, k)$ -trivial bundle with  $(N, s)$  over  $X$ . Moreover the  $2(n - k) + 1$ -form  $\eta_{n-k+1}(E, N, s)$  on  $X$  defined by (3.10) is called the boundary form of the  $(n, k)$ -trivial bundle  $E$ .

With this definition, we resume discussions above as

**PROPOSITION 3.1.** *Let  $E$  be an  $(n, k)$ -trivial bundle with  $(N, s)$ , over a complex manifold  $X$ , and let  $\eta_{n-k+1}(E, N, s)$  be the boundary form of  $E$ . If  $C_{n-k+1}(E)$  denotes the  $(n - k + 1)$ th Chern form induced by the norm  $N$  on  $E$ , then*

$$(3.11) \quad C_{n-k+1}(E) = d\eta_{n-k+1}(E, N, s).$$

**3.2. The properties of boundary forms.** We shall next study a local expression of the boundary form  $\eta_{n-k+1}(E, N, s)$ . Let  $E$  be an  $(n, k)$ -trivial bundle with  $(N, s = \{s_i\})$  over  $X$ . Then a frame  $u = \{u_i\}_{1 \leq i \leq n}$  of  $E$  over an open set  $V$  of  $X$ , is called a *compatible frame* with the  $k$ -frame  $s$  if:

- (i)  $u$  is an orthonormal frame of  $E|V$ .
- (ii) For each  $x \in X$ ,  $[u_1(x), \dots, u_i(x)] = [s_1(x), \dots, s_i(x)]$   $i = 1, \dots, k$ , i.e.,  $u_1, \dots, u_k$  are global orthonormal sections constructed from the  $k$ -frame  $s$ , in terms of Schmidt's orthogonalization.

Let  $0 \rightarrow E_{i-1}^I \rightarrow E_{i-1}^{I'} \xrightarrow{\hat{\xi}_i} E_i^I \rightarrow 0$  be as defined in (3.4) and put  $\xi_0 =$  identity mapping of  $E$ . Let  $u = \{u_i\}_{1 \leq i \leq n}$  be a compatible frame of  $E|V$  with the  $k$ -frame  $s$ . Then for each  $i$ , ( $1 \leq i \leq k$ ),  $\{\xi_{i-1} \cdots \xi_0 u_i\}_{i \leq t \leq n}$  becomes an orthonormal frame of  $E_{i-1}^{I'}$  such that  $\xi_{i-1} \cdots \xi_0 u_i$  and  $\{\hat{\xi}_i \cdots \hat{\xi}_1 u_i\}_{i+1 \leq t \leq n}$  form orthonormal frames of  $E_{i-1}^I|V$  and  $E_i^I|V$  respectively. Moreover if  $\hat{\xi}_i: E_i^I \rightarrow (E_{i-1}^I)^\perp$  denotes the inverse mapping of  $\xi_i|(E_{i-1}^I)^\perp$ ,  $i = 1, \dots, k$ , then from (ii) in Lemma 2.3 it follows that  $D(N_i^I) = \hat{\xi}_i \cdots \hat{\xi}_1 D\hat{\xi}_1 \cdots \hat{\xi}_i$ ,  $i = 1, \dots, k$ . Combining these facts with Lemma 2.6, we can prove inductively

**LEMMA 3.2.** *Let  $u$  be a compatible frame of  $E|V$  with the  $k$ -frame  $\theta$  and let  $\theta(u, D(N)) = \|\theta_{ij}\|$  be the connection matrix of the connection  $D(N)$  relative to the frame  $u$ . Let us put, for each  $i$ , ( $i = 1, \dots, k$ ),*

$$(3.12) \quad \Theta_{ii} = \|d\theta_{si} - \sum_{t=i+1}^n \theta_{st} \wedge \theta_{it}\|_{i+1 \leq s, t \leq n}$$

$$(3.13) \quad \Theta_i = \|-\theta_{si} \wedge \theta_{it}\|_{i+1 \leq s, t \leq n}$$

$$(3.14) \quad s_i = \sum_{j=1}^i g_{ij} u_j, \quad g_{ij} \in A^0(X).$$

Then relative to the frame  $\{\xi_{i-1} \cdots \xi_1 u_t\}_{i+1 \leq t \leq n}$ ,

$$(3.15) \quad K[E_i^{t'}, D(N_i^{t'})] = \Theta_{ii}$$

$$(3.16) \quad \square_i = \Theta_i$$

$$(3.17) \quad N_{i-1}^i(\tilde{s}_i) = |g_{ii}|^2, \quad \text{for } i = 1, \dots, k.$$

Therefore we obtain from (3.10)

$$(3.18) \quad \begin{aligned} & \eta_{n-k+1}(E, N, s)|V \\ &= \frac{-1}{4\pi} d^c \sum_{i=1}^k \left\{ \log |g_{ii}|^2 b_{n-k}^{n-i} ((\kappa \Theta_{ii})) + \sum_{i=1}^{n-k} \frac{1}{l} \binom{n-k}{i} b_{n-k}^{n-i} ((\kappa \Theta_{ii}; (l) \kappa \Theta_0)) \right\}. \end{aligned}$$

From this lemma we have

**COROLLARY 3.3.** *The boundary form  $\eta_{n-k+1}(E, N, s)$  is a real form on  $X$ .*

*Proof.* At first, let  $u = \{u_i\}_{1 \leq i \leq n}$  be a compatible frame of  $E|V$  with  $s$  and put  $\theta(u, D(N)) = \|\theta_{ij}\|$ . Then since  $D(N)$  preserves the inner product  $\langle \cdot, \cdot \rangle_N$  and  $\langle u_i, u_j \rangle_N = \delta_{ij}$ ,  $i, j = 1, \dots, n$ , we observe that  $\bar{\theta}_{ij} = -\theta_{ji}$ ,  $i, j = 1, \dots, n$ . Therefore if  $\Theta_{ii}$  and  $\Theta_i$  are as defined by (3.12) and (3.13) respectively, then  $\bar{\Theta}_{ii} = -{}^t\Theta_{ii}$  and  $\bar{\Theta}_i = -{}^t\Theta_i$  for each  $i$ . On the other hand, from the definition (1.9) of  $b_k^n$ ,

$$b_k^n(A_1, \dots, A_k) = b_k^n({}^tA_1, \dots, {}^tA_k) \quad A_i \in M_n.$$

Hence,  $b_{n-k}^{n-i}((\bar{\kappa} \bar{\Theta}_{ii})) = b_{n-k}^{n-i}((\kappa {}^t\Theta_{ii})) = b_{n-k}^{n-i}((\kappa \Theta_{ii}))$ , and  $b_{n-k}^{n-i}((\bar{\kappa} \bar{\Theta}_{ii}; (l) \bar{\kappa} \bar{\Theta}_i)) = b_{n-k}^{n-i}((\kappa \Theta_{ii}; (l) \kappa \Theta_i))$ . Further, as  $\bar{d}^c = d^c$  this corollary is proved. Q.E.D.

**3.3 Naturality of boundary forms.** We shall next state the naturality of the boundary form. For this purpose, in general, let  $E$  be a Hermitian vector bundle over a complex manifold  $X$ , and let  $Y$  be a complex manifold. Now given a holomorphic mapping  $f: Y \rightarrow X$ , we have the induced bundle, denoted by  $f^*E$ , of  $E$  under  $f$  defined as follows: Let  $\Pi: E \rightarrow X$  be the projection. Then

$$f^*E = \{(y, e) \in Y \times E : f(y) = \Pi(e)\}.$$

If  $t \in \Gamma(E)$ , then  $t \cdot f$  is considered as an element of  $\Gamma(f^*E)$ . Let  $N$  be a norm on  $E$ . Then a norm  $f^*N$  on  $f^*E$  is defined by,  $f^*N(y, e) = N(e)$ ,  $(y, e) \in f^*E$ . This norm  $f^*N$  is called the *induced norm* of  $N$  under  $f$ . It is

trivial from definition that

$$(3.20) \quad f^*\langle t, t' \rangle_N = \langle t \cdot f, t' \cdot f \rangle_{f^*N}, \quad t, t' \in \Gamma(E).$$

Moreover we can define a connection  $f^*D$  on  $f^*E$  as follows: Let  $t \in \Gamma(f^*E)$ . For each  $x \in X$ , we take a neighborhood  $V$  of  $x$  such that there exists a frame  $s = \{s_i\}$  of  $E|V$ . Then there exist elements such  $f_i \in A^0(f^{-1}(V))$  that  $t = \sum_i f_i \cdot (s \cdot f)$  on  $f^{-1}(V)$ . If  $\theta(s, D(N)) = \|\theta_{ij}\|$  the connection matrix relative to the frame  $s$ , then put

$$(3.21) \quad f^*D \cdot t = \sum_i df_i \cdot (s_i f) + \sum_{i,j} f_i \cdot f^* \theta_{ij} (s_j f) \text{ on } V.$$

That this definition is well-defined need not the assumption that  $f$  is holomorphic. However the next Lemma 3.4 follows from the facts that  $f$  is holomorphic and that  $D(N) = D$  is the canonical connection induced by the norm  $N$  on  $E$ .

**LEMMA 3.4.** *The connection  $f^*D$  is equal to the canonical connection  $D(f^*N)$ , i.e.,  $f^*D(N) = D(f^*N)$ .*

This is proved as (ii) in Lemma 2.3. Let  $u = \{u_i\}$  be a frame of  $E|V$ . Then we denote by  $f^*u = \{u_i \cdot f\}$  the induced frame of  $f^*E|f^{-1}(V)$ . Then we observe from Lemma 3.4 that

$$(3.22) \quad f^*\theta(u, D(N)) = \theta(f^*u, D(f^*N)).$$

If  $C(E)$  and  $C(f^*E)$  denote the Chern form induced by norms  $N$  and  $f^*N$ , respectively, then

$$(3.23) \quad f^*C(E) = C(f^*E).$$

Now let  $E$  be an  $(n, k)$ -trivial bundle with  $(N, s)$  over a complex manifold  $X$ . Let  $Y$  be a complex manifold and let  $f: Y \rightarrow X$  be a holomorphic mapping. Then the induced bundle  $f^*E$  becomes the  $(n, k)$ -trivial bundle with  $(f^*N, f^*s)$  over  $Y$ . Hence if  $\eta_{n-k+1}(E, N, s)$  and  $\eta_{n-k+1}(f^*E, f^*N, f^*s)$  denote the boundary forms of  $E$  and  $f^*E$  respectively, then we obtain

**PROPOSITION 3.5.** *(Naturality of boundary form)*

$$(3.24) \quad f^*\eta_{n-k+1}(E, N, s) = \eta_{n-k+1}(f^*E, f^*N, f^*s)$$

*Proof.* As  $d^c f^* = f^* d^c$ , this proposition follows directly from (3.18), (3.20) and (3.22). Q.E.D.

**3.4. The  $k$ -general Stiefel bundle.** We shall study properties of the boundary form of an  $(n, k)$ -trivial bundle constructed from a Hermitian vector bundle. At first let  $V$  be a complex vector space of dimension  $n$ . Then we denote by  $F_k(V)$  the  $k$ -general Stiefel manifold consisting of all the  $k$ -frames  $(v_1, \dots, v_k)$  of  $V$ . Now let  $E$  be a Hermitian vector bundle of fibre dimension  $n$  over a complex manifold  $X$ . Then let  $E_k$  be a holomorphic bundle defined by

$$(3.25) \quad E_k = \bigcup_{x \in X} F_k(E_x).$$

This bundle  $E_k$  is called the  $k$ -general Stiefel bundle of  $E$ . Clearly  $E_k$  has the  $k$ -general Stiefel manifold  $F_k(\mathbf{C}^n)$  as fibre. Let  $\pi_k: E_k \rightarrow X$  be the projection. Then we obtain the induced bundle  $\pi_k^*E$  of  $E$  under  $\pi_k$ . This induced bundle  $\pi_k^*E$  is a holomorphic vector bundle of fibre dimension  $n$  over  $E_k$ , which admits  $k$  linearly independent holomorphic sections of  $\pi_k^*E$ , say  $s_1, \dots, s_k$ , defined by setting

$$(3.26) \quad s_i(v_1, \dots, v_k) = \{(v_1, \dots, v_k), v_i\}, \quad (v_1, \dots, v_k) \in E_k \quad i = 1, \dots, k.$$

Moreover let  $N$  be a norm on  $E$ . Then  $\pi_k^*E$  becomes the  $(n, k)$ -trivial bundle with the induced norm  $\pi_k^*N$  and the  $k$ -frame  $s = \{s_i\}_{1 \leq i \leq k}$  over  $E_k$ . Therefore if  $\eta_{n-k+1}(\pi_k^*E, \pi_k^*N, s)$  denotes the boundary form of  $\pi_k^*E$ , and if  $C_{n-k+1}(\pi_k^*E)$  is the  $(n - k + 1)$ th Chern form induced by the norm  $\pi_k^*N$  on  $\pi_k^*E$ , then from Proposition 3.1,  $C_{n-k+1}(\pi_k^*E) = d\eta_{n-k+1}(\pi_k^*E, \pi_k^*N, s)$ . Further let  $C_{n-k+1}(E)$  be the  $(n - k + 1)$ th Chern form induced by the norm  $N$  on  $E$ . Then it follows from (3.23) that  $\pi_k^*C_{n-k+1}(E) = C_{n-k+1}(\pi_k^*E)$ . We have

$$(3.27) \quad \pi_k^*C_{n-k+1}(E) = d\eta_{n-k+1}(\pi_k^*E, \pi_k^*N, s) \quad \text{on } E_k.$$

Let  $x$  be any fixed point of  $X$ , and let us take a neighborhood  $V$  of  $x$  such that  $\varphi: V \times F_k(\mathbf{C}^n) \rightarrow \pi_k^{-1}(V)$  is a trivialization of  $E_k|V$ . Then we define a holomorphic mapping  $\varphi_x: F_k(\mathbf{C}^n) \rightarrow E_k$  by

$$(3.28) \quad \varphi_x(v_1, \dots, v_k) = \varphi\{x, (v_1, \dots, v_k)\} \quad (v_1, \dots, v_k) \in F_k(\mathbf{C}^n).$$

This mapping  $\varphi_x$  is called the inclusion map at  $x$ . Then it is obvious from (3.27) that a  $2(n - k) + 1$ -form

$\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s)$  on  $F_k(\mathbf{C}^n)$  is a closed form, i.e.,

$$d\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s) = 0,$$

and that  $\varphi_x^* \pi_k^* E = (\pi_k \cdot \varphi_x)^* E$  is the product bundle  $F_k(\mathbf{C}^n) \times E_x$  over  $F_k(\mathbf{C}^n)$ . Let us consider the product bundle  $F_k(\mathbf{C}^n) \times \mathbf{C}^n$  over  $F_k(\mathbf{C}^n)$ . We consider  $F_k(\mathbf{C}^n) \times \mathbf{C}^n$  as the  $(n, k)$ -trivial bundle with  $(\text{No. } s^o)$  defined as follows: We take a norm  $\text{No}$  to be one induced by the inner product  $(\cdot, \cdot)$  of  $\mathbf{C}^n$  as defined in §2, and we define a  $k$ -frame  $s^o = \{s_i^o\}_{1 \leq i \leq k}$  by  $s_i^o(v_1, \dots, v_k) = \{(v_1, \dots, v_k), v_i\}$  for  $(v_1, \dots, v_k) \in F_k(\mathbf{C}^n)$ ,  $i = 1, \dots, k$ .

Then the boundary form of  $F_k(\mathbf{C}^n) \times \mathbf{C}^n$  is also a cocycle form.

DEFINITION 3.2. Let  $-\Phi_k$  be the boundary form of the  $(n, k)$ -trivial bundle  $F_k(\mathbf{C}^n) \times \mathbf{C}^n$  with  $(\text{No. } s^o)$ . Then  $\Phi_k$  is called *the obstruction form* of  $F_k(\mathbf{C}^n)$ .

PROPOSITION 3.6. *Notations being as above, let  $\{\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s)\}$  and  $\{\Phi_k\}$ , respectively, denote the cohomology class of  $\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s)$  and  $\Phi_k$ . Then*

$$(3.29) \quad -\{\Phi_k\} = \{\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s)\}$$

$$(3.30) \quad \{\Phi_k\} \text{ is a generator of } 2(n-k) + 1\text{-dimensional cohomology group of } F_k(\mathbf{C}^n), H^{2(n-k)+1}(F_k(\mathbf{C}^n); \mathbf{Z}) = \mathbf{Z}.$$

*Proof.* At first we shall prove (3.29). Since  $\varphi_x$  is a holomorphic map, it follows from (3.24) that

$$\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s) = \eta_{n-k+1}((\pi_k \varphi_x)^* E, (\pi_k \varphi_x)^* N, \varphi_x^* s).$$

There exists an element  $g \in GL(n; \mathbf{C})$  such that the  $(n, k)$ -trivial bundle  $(\pi_k \varphi_x)^* E$  with  $\{(\pi_k \varphi_x)^* N, \varphi_x^* s\}$  is identified with the  $(n, k)$ -trivial bundle  $F_k(\mathbf{C}^n) \times \mathbf{C}^n$  with  $(\text{No. } s^o)$  under the transformation  $T_g$  of  $F_k(\mathbf{C}^n)$  defined by,  $T_g(v_1, \dots, v_k) = (g \cdot v_1, \dots, g \cdot v_k)$  for any  $(v_1, \dots, v_k) \in F_k(\mathbf{C}^n)$ , that is,

$$\begin{aligned} & T_g^* \eta_{n-k+1}((\pi_k \varphi_x)^* E, (\pi_k \varphi_x)^* N, \varphi_x^* s) \\ &= \eta_{n-k+1}(T_g^*(\pi_k \varphi_x)^* E, T_g^*(\pi_k \varphi_x)^* N, T_g^* \varphi_x^* s) \\ &= \eta_{n-k+1}(F_k(\mathbf{C}^n) \times \mathbf{C}^n, \text{No. } s^o) = -\Phi_k. \end{aligned}$$

However  $T_g$  is homotopic to the identity mapping of  $F_k(\mathbf{C}^n)$ . Thus, (3.29) is proved. On the other hand, (3.30) follows from the next lemma.

LEMMA 3.7. Let  $F: \mathbf{C}^{n-k+1} - \{0\} \rightarrow F_k(\mathbf{C}^n)$  be a mapping defined by

$$F(v) = (e_1, \dots, e_{k-1}, v) \text{ for any } v \in \mathbf{C}^{n-k+1} - \{0\}$$

where  $\mathbf{C}^{n-k+1}$  is regarded as the subspace  $\overbrace{0 \times \dots \times 0}^{k-1} \times \mathbf{C}^{n-k+1}$  of  $\mathbf{C}^n$ , and  $e_1, \dots, e_n$  is the natural basis of  $\mathbf{C}^n$ .

Then if  $S_{n-k+1}(\mathbf{C})$  is the unit sphere about the origin in  $\mathbf{C}^{n-k+1}$ , it follows that the restriction of  $F^*\Phi_k$  to  $S_{n-k+1}(\mathbf{C})$  becomes the normalized volume element of  $S_{n-k+1}(\mathbf{C})$ , i.e.,

$$(3.31) \quad \int_{S_{n-k+1}(\mathbf{C})} F^*\Phi_k = 1.$$

*Proof.* For simplicity put  $E = F_k(\mathbf{C}^n) \times \mathbf{C}^n$ . Since  $-\Phi_k$  is the boundary form of  $E$  with  $(\text{No}, s^o)$  and  $F: \mathbf{C}^{n-k+1} - \{0\} \rightarrow F_k(\mathbf{C}^n)$  is holomorphic,  $F^*(-\Phi_k)$  is the boundary form of the  $(n, k)$ -trivial bundle  $F^*E$  with  $(F^*\text{No}, F^*s^o)$ , over  $\mathbf{C}^{n-k+1} - \{0\}$ . In terms of the definitions of  $F$  and the  $k$ -frame  $s^o$ , we have

$$s_i^o F(v) = e_i \quad i = 1, \dots, k-1, \text{ and } s_k^o F(v) = v \text{ for } v \in \mathbf{C}^{n-k+1} - \{0\}.$$

Hence  $F^*(-\Phi_k)$  is equal to the boundary form of the  $(n-k+1, 1)$ -trivial bundle  $E(\mathbf{C}) = (\mathbf{C}^{n-k+1} - \{0\}) \times \mathbf{C}^{n-k+1}$  with the norm  $\text{No}$  and the 1-frame  $s_1$  defined by  $s_1(v) = v \times v, v \in \mathbf{C}^{n-k+1} - \{0\}$ . Here let us consider the following exact sequence:

$$0 \rightarrow E(\mathbf{C})_0^I \rightarrow E(\mathbf{C}) \rightarrow E(\mathbf{C})_1^{II} \rightarrow 0$$

where  $E(\mathbf{C})_0^I = \bigcup_{v \in \mathbf{C}^{n-k+1} - \{0\}} [s_1(v)]$  and  $E(\mathbf{C})_1^{II} = \bigcup_{v \in \mathbf{C}^{n-k+1} - \{0\}} \mathbf{C}^{n-k+1} / [s_1(v)]$ . Then  $C_1(E(\mathbf{C})_0^I) = \frac{i}{2\pi} d''d' \log \text{No}(s_1)$ , so that, from Corollary 2.7,  $C_{n-k}(E(\mathbf{C})_1^{II}) = \left(-\frac{i}{2\pi} d''d' \log \text{No}(s_1)\right)^{n-k}$ . Let  $z^1, \dots, z^{n-k+1}$  be complex coordinates of  $\mathbf{C}^{n-k+1}$ . Then as  $\text{No}(s_1(v)) = (v, v) = \sum_{j=1}^{n-k+1} z^j(v) \bar{z}^j(v)$ , we obtain

$$\begin{aligned} F^*(-\Phi_k) &= -\frac{1}{4\pi} d^c \log \text{No}(s_1) \cdot C_{n-k}(E(\mathbf{C})_1^{II}) \\ &= -\frac{1}{4\pi} d^c \log \sum_{j=1}^{n-k+1} |z^j|^2 \cdot \left(-\frac{i}{2\pi} d''d' \log \sum_{j=1}^{n-k+1} |z^j|^2\right)^{n-k}. \end{aligned}$$

Therefore  $F^*\Phi_k$  is the normalized volume element of  $S_{n-k+1}(\mathbf{C})$ , [2]. Q.E.D.

One notes that in the case of  $k = 1$ , the mapping  $F$  defined in Lemma 3.7 becomes the identity mapping of  $\mathbf{C}^n - \{0\}$ , so that, the restriction of the

obstruction from  $\phi_1$  of  $F_2(\mathbb{C}^n) = \mathbb{C}^n - \{0\}$  to the unit sphere  $S_{n-1}(\mathbb{C}^n)$ ,  $\phi_1|_{S_{n-1}(\mathbb{C}^n)}$ , is the normalized volume element of  $S_{n-1}(\mathbb{C}^n)$ .

**§ 4. The generalized relative Gauss-Bonnet formula.**

**4.1.** In this section we shall establish an integral formula for the  $i$ th Chern form  $C_i(E)$ . In the case of  $i = \dim E = \dim X$ , Bott and Chern established the integral formula of  $C_n(E)$  as the relative Gauss-Bonnet theorem. Here we want to extend this theorem.

Let  $E$  be a holomorphic vector bundle of fibre dimension  $n$  with a norm  $N$ , over an  $m$ -dimensional complex manifold  $X$ , and let  $E_k$  be the  $k$ -general Stiefel bundle of  $E$  with the projection  $\pi_k: E_k \rightarrow X$ . Let  $\pi_k^*E$  be the  $(n, k)$ -trivial bundle with the induced norm  $\pi_k^*N$  and the  $k$ -frame defined by (3.26). We denote by  $\eta_{n-k+1}(\pi_k^*E)$  the boundary form of  $\Pi_k^*E$  and by  $C_{n-k+1}(E)$  the  $(n - k + 1)$ th Chern form induced by the norm  $N$  on  $E$ . Now let  $A$  be a real  $2(m - n + k - 1)$ -dimensional oriented submanifold of  $X$  with boundary  $\partial A$ , and let  $s: (X - A) \rightarrow E_k$  be a smooth section. Moreover let  $V$  be a real  $2(n - k + 1)$ -dimensional (non-compact) oriented manifold and let  $D \subset V$  be a compact domain with the smooth boundary  $\partial D$ . Then we obtain

**THEOREM 4.1.** *Let us suppose that there exists a smooth mapping  $f: V \rightarrow X$  such that  $f^{-1}(A) \cap D = \{p_1, \dots, p_l\}$  is a set of isolated points,  $f^{-1}(A) \cap \partial D = \phi$ , and  $f(D) \cap \partial A = \phi$ . If  $n(p_j, f, A)$  denotes the intersection number at  $(p_j; f(p_j))$  of the singular chains  $f: D \rightarrow X$  and  $\iota_A: A \rightarrow X$  ( $\iota_A =$  the inclusion map), for each  $j$ , then*

$$(4.1) \quad \int_D f^*C_{n-k+1}(E) = \int_{\partial D} f^* s^*\eta_{n-k+1}(\pi_k^*E) + \sum_{j=1}^l \text{obs}_k(p_j, sf, D)$$

$$(4.2) \quad \text{obs}_k(p_j, sf, D) = \text{obs}_k^\perp(f(p_j), s, A)n(p_j, f, A), \quad j = 1, \dots,$$

$$(4.3) \quad \int_D f^*C_{n-k+1}(E) = \int_{\partial D} f^* s^*\eta_{n-k+1}(\pi_k^*E) + \sum_{j=1}^l \text{obs}_k^\perp(f(p_j), s, A)n(p_j, f, A),$$

where  $\text{obs}_k(p_j, sf, D)$  and  $\text{obs}_k^\perp(f(p_j), s, A)$  are integers defined in Definition 4.1 and 4.2, respectively.

**4.2. Definition of obstruction numbers.** Before the proof of this theorem we define  $\text{obs}_k(p_j, sf, D)$  and  $\text{obs}_k^\perp(f(p_j), s, A)$ . Let  $\Phi_k$  be the obstruction form of the  $k$ -general Stiefel manifold  $F_k(\mathbb{C}^n)$ . Let  $Y$  be a real  $2(n - k + 1)$ -



dimensional oriented manifold  $Y$  with boundary  $\partial Y$ . Let  $p$  be any point in  $(Y - \partial Y)$ . Now, given a smooth mapping  $t: Y - \{p\} \rightarrow E_k$  such that  $\pi_k t$  can be regarded as the smooth mapping from  $Y$  into  $X$ , we define an integer, denoted by  $obs_k(p, t, Y)$  as follows: Let  $\pi_k t(p) = q \in X$  and choose a neighborhood  $V(q)$  of  $q$  which admits a trivialization  $\varphi: V(q) \times F_k(\mathbf{C}^n) \rightarrow \pi_k^{-1}(V(q))$  of  $E_k|V(q)$ . Then let  $\psi: \pi_k^{-1}(V(q)) \rightarrow F_k(\mathbf{C}^n)$  be a holomorphic mapping defined by

$$(4.4) \quad \psi \cdot \varphi\{q', (v_1, \dots, v_k)\} = (v_1, \dots, v_k), \quad q' \in V(q), \quad (v^1, \dots, v^k) \in F_k(\mathbf{C}^n).$$

Next take a chart  $(U_\delta(p), h = (y^1, \dots, y^{2(n-k+1)}))$  of  $Y$  at  $p$  such that  $h(p) = 0$ ,  $h(U_\delta(p))$  is the ball of radius  $U\delta$ , ( $\delta > 0$ ) and  $\pi_k t(U_\delta(p)) \subset V(q)$ . For an  $\varepsilon$ -ball  $U_\varepsilon(p)$ ,  $0 < \varepsilon < \delta$ , let us take the normalized volume element  $\omega_k$  of  $\partial U_\varepsilon(p)$ . Further let  $\gamma: U_\delta(p) - \{p\} \rightarrow \partial U_\varepsilon(p)$  be a smooth mapping defined by

$$(4.5) \quad \gamma_\varepsilon(p') = h^{-1}\left(\varepsilon \frac{y^1(p')}{\|h(p')\|}, \dots, \varepsilon \frac{y^{2(n-k+1)}(p')}{\|h(p')\|}\right) \quad p' \in U_\delta(p),$$

where  $\|h(p')\| = (\sum_{j=1}^{2(n-k+1)} (y^j(p'))^2)^{1/2}$

Then  $\gamma_\varepsilon^* \omega_k$  becomes a cocycle form on  $(U_\delta(p) - \{p\})$  whose cohomology class  $\{\gamma_\varepsilon^* \omega_k\}$  is a generator of  $H^{2(n-k)+1}(U_\delta(p) - \{p\}; \mathbf{Z}) = \mathbf{Z}$ . On the other hand as  $\{\Phi_k\}$  is also a generator of  $H^{2(n-k)+1}(F_k(\mathbf{C}^n); \mathbf{Z}) = \mathbf{Z}$ , it follows from the fact that  $\psi \cdot t$  is a smooth mapping of  $(U_\delta(p) - \{p\})$  into  $F_k(\mathbf{C}^n)$  that there exists an integer  $n$  such that

$$(4.6) \quad \{(\psi \cdot t)^* \Phi_k\} = n \{\gamma_\varepsilon^* \omega_k\}, \quad \text{i.e.,}$$

$$(4.6)' \quad n = \int_{\partial U_\varepsilon(p)} (\psi t)^* \Phi_k.$$

Here put,  $obs_k(p, t, Y) = n = \int_{\partial U_\varepsilon(p)} (\psi \cdot t)^* \Phi$

DEFINITION 4.1. The integer  $obs_k(p, t, Y)$  defined by (4.6) or (4.6)' is called the  $k$ th obstruction number of  $t$  at  $p$  relative to  $Y$ . We show that (4.6)' is independent of  $U_\varepsilon(p)$  and  $\psi$ . It is clear from  $d\Phi_k = 0$  and Stokes formula that

$$(4.7) \quad \int_{\partial U_\varepsilon(p)} (\psi t)^* \Phi_k = \lim_{\varepsilon \rightarrow 0} \int_{\partial U_\varepsilon(p)} (\psi t)^* \Phi_k$$

We have

LEMMA 4.2. *Let notations be as above. Then*

$$(4.8) \quad \int_{\partial U_\varepsilon(p)} (\psi \cdot t)^* \Phi_k = \lim_{\varepsilon \rightarrow 0} \int_{\partial U_\varepsilon(p)} t^* \eta_{n-k+1}(\pi_k E), \quad 0 < \varepsilon < \delta.$$

*Proof.* Let  $\varphi_q: F_k(\mathbf{C}^n) \rightarrow E_k$  be the inclusion map at  $q = \pi_k t(p)$  defined from the trivialization  $\varphi: V(q) \times F_k(\mathbf{C}^n) \rightarrow \pi_k^{-1}(V(q))$ . From  $d\eta_{n-k+1}(\pi_k^* E) = \pi_k^* C_{n-k+1}(E)$ , we have

$$d\varphi^* \eta_{n-k+1}(\pi_k^* E) = C_{n-k+1}(E) \quad \text{on } V(q) \times F_k(\mathbf{C}^n).$$

Moreover, as  $dC_{n-k+1}(E) = 0$ , we obtain a  $2(n-k) + 1$ -form  $\omega$  on  $U(q)$  such that  $C_{n-k+1}(E)|V(q) = d\omega$ . Then  $\varphi^* \eta_{n-k+1}(\pi_k E) - \omega$  is a cocycle form on  $V(q) \times F_k(\mathbf{C}^n)$ . However  $H^{2(n-k)+1}(V(q) \times F_k(\mathbf{C}^n)) = H^{2(n-k)+1}(F_k(\mathbf{C}^n)) = \mathbf{R}$ . Therefore there exists a real number  $a$  such that

$$\{\varphi^* \eta_{n-k+1}(\pi_k E) - \omega\} = a\{\Phi_k\} \quad \text{on } V(q) \times F_k(\mathbf{C}^n).$$

Let  $j_q: F_k(\mathbf{C}^n) \rightarrow V(q) \times F_k(\mathbf{C}^n)$  be a mapping defined by

$$j_q(v_1, \dots, v_k) = \{q, (v_1, \dots, v_k)\} \quad (v_1, \dots, v_k) \in F_k(\mathbf{C}^n).$$

Then from (3.29),  $a\{\Phi_k\} = a\{j_q^* \Phi_k\} = \{(\varphi j_q)^* \eta_{n-k+1}(\pi_k^* E) - j_q^* \omega\} = \{\varphi_q^* \eta_{n-k+1}(\pi_k^* E)\} = -\{\Phi_k\}$ . Hence  $a = -1$ . Therefore we have

$$(4.9) \quad \{\varphi^* \eta_{n-k+1}(\pi_k E) - \omega\} = -\{\Phi_k\} \quad \text{on } V(q) \times F_k(\mathbf{C}^n).$$

Since  $\pi_k t$  is a smooth mapping of  $U_\delta(p)$  into  $V(q)$ , Lemma 4.2 follows directly from (4.7) and (4.9) as follows:

$$\begin{aligned} \int_{\partial U_\varepsilon(p)} (\psi t)^* \Phi_k &= \lim_{\varepsilon \rightarrow 0} \int_{\partial U_\varepsilon(p)} (\psi t)^* \Phi_k = \lim_{\varepsilon \rightarrow 0} \int (\varphi^{-1} t)^* \Phi_k \\ &= \lim_{\varepsilon \rightarrow 0} \int_{\partial U_\varepsilon(p)} (\varphi^{-1} t)^* (\omega - \varphi^* \eta_{n-k+1}(\pi_k^* E)) \\ &= \lim_{\varepsilon \rightarrow 0} \int_{\partial U_\varepsilon(p)} (\pi_k t)^* \omega - \lim_{\varepsilon \rightarrow 0} \int_{\partial U_\varepsilon(p)} t^* \eta_{n-k+1}(\pi_k^* E) \\ &= - \lim_{\varepsilon \rightarrow 0} \int_{\partial U_\varepsilon(p)} t^* \eta_{n-k+1}(\pi_k^* E). \end{aligned} \quad \text{Q.E.D.}$$

Thus Definition 4.1. is well-defined. This definition is extended as follows: Let  $p \in Y - \partial Y$ . If  $p$  is an isolated singular point of a smooth mapping  $t$ , that is, there exists a neighborhood  $U(p)$  of  $p$  such that  $t$  is a smooth mapping of  $(U(p) - \{p\})$  into  $E_k$ , and  $\pi_k t$  is differentiable on  $U(p)$ , then we can

define  $obs_k(p, t, U(p))$ . Then put

$$obs_k(p, t, Y) = obs_k(p, t, U(p)).$$

In particular, the 1th obstruction,  $obs_1(p, t, Y)$ , becomes the degree of  $t$  at  $p$  because  $\Phi_1$  is regarded as the normalized volume element of the unit sphere in  $C^n$ . If  $t$  is a smooth mapping of  $Y$  into  $E$  such that

- $\alpha)$   $t \neq 0$  on  $\partial Y$
- $\beta)$   $t$  has isolated zeroes only, say  $p_1, \dots, p_l$ ,

then for each point  $p_j$ ,  $obs_1(p_j, t, Y)$  is the order of vanishing of  $t$ , so that we write by zero  $(p_j, t, Y)$  the 1th obstruction of  $t$  at  $p_j$  relative to  $Y$ .

**4.3.** Let  $A$  be the submanifold of  $X$  as defined in Theorem 5.1. Let  $q$  be a point in  $(A - \partial A)$ . Then a *complemental submanifold to  $A$  at  $q$* , denoted by  $A_q^\perp$ , is a real  $2(n - k + 1)$ -dimensional oriented submanifold of  $X$  (with boundary  $A_q^\perp$ ) satisfying the following conditions:

$$(4.10) \quad A_q^\perp \cap A = \{q\} \quad q \in A_q^\perp - \partial A_q^\perp$$

$$(4.11) \quad \text{There exists a chart } (U, h = (z^1, \dots, z^{2(n-k+1)})) \text{ at } q \text{ in } X \text{ such that, } h(q) = (0, \dots, 0) \\ y^1, \dots, y^{2(n-k+1)}) \text{ at } q \text{ in } X \text{ such that, } h(q) = (0, \dots, 0) \\ A_q^\perp \cap U = \{q' \in U : z^1(q') = \dots = z^{2(m-n+k-1)}(q') = 0\} \\ A \cap U = \{q' \in U : y^1(q') = \dots = y^{2(n-k+1)}(q') = 0\}$$

$$(4.12) \quad A_q^\perp \text{ is compact.}$$

Then we choose the orientation of  $A_q^\perp$  as follows: Put  $u = (z^1, \dots, z^{2(m-n+k-1)})$  and  $v = (y^1, \dots, y^{2(n-k+1)})$ . If  $h$  and  $u$  are positive coordinates systems on  $U$  and  $A \cap U$  respectively, then  $v$  is also the positive coordinates system on  $A_q^\perp \cap U$ .

Since  $A$  is the submanifold of  $X$ , there exists, of course, such a submanifold of  $X$ . Now let  $s: (X - A) \rightarrow E_k$  be the smooth cross section and let  $q \in (A - \partial A)$ . Then taking a complemental submanifold  $A_q^\perp$  to  $A$  at  $q$ , we can define the  $k$ th obstruction number  $obs_k(q, s, A_q^\perp)$ . It will be shown in the proof of Theorem 4.1 that  $obs_k(q, s, A_q^\perp)$  is independent of  $A_q^\perp$ .

**DEFINITION 4.2.** For any point  $q \in (A - \partial A)$ ,  $obs_k^\perp(q, s, A)$  which is called the  $k$ th obstruction number of  $s$  at  $q$  corresponding to  $A$ , is defined as follows:

Let  $A_q^\perp$  be a complementary submanifold to  $A$  at  $q$ . Then put

$$(4.13) \quad obs_k^\perp(q, s, A) = obs_k(q, s, A_q^\perp).$$

**4.4. Proof of Theorem 4.1.** Without loss of generality we can assume that  $f^{-1}(A) \cap D = \{p\}$ ,  $p \in \partial D$  and  $f(p) \in \partial A$ . and that  $f(D)$  is contained in a coordinate  $\delta_1$ -ball  $U_{\delta_1}$  of  $f(p)$  which admits a trivialization  $\varphi: U_{\delta_1} \times F_k(\mathbf{C}^n) \rightarrow \pi_k^{-1}(U_{\delta_1})$  of  $E_k|U_{\delta_1}$ . Let  $V_{\varepsilon_1}(p)$  be an  $\varepsilon_1$ -ball of  $p$  contained completely in  $D$  and let put  $D_{\varepsilon_1} = D - V_{\varepsilon_1}(p)$ . Since  $s, f: D_{\varepsilon_1} \rightarrow E_k$  is the smooth mapping and  $\pi_k^* C_{n-k+1}(E) = d\eta_{n-k+1}(\pi_k^* E)$  on  $E_k$ , we obtain from Stokes formula

$$\int_{D_{\varepsilon_1}} f^* C_{n-k+1}(E) = \int_{\partial D} f^* \{s^* \eta_{n-k+1}(\pi_k^* E)\} - \int_{\partial \varepsilon V_{\varepsilon_1}(p)} (s \cdot f)^* \eta_{n-k+1}(\pi_k^* E).$$

Here let  $\psi: \pi_k^{-1}(U_{\delta_1}) \rightarrow F_k(\mathbf{C}^n)$  be as defined by (4.4). Then from (4.7),

$$-\lim_{\varepsilon \rightarrow 0} \int_{\partial \varepsilon V_{\varepsilon_1}(p)} (s \cdot f)^* \eta_{n-k+1}(\pi_k^* E) = \int_{\partial V_{\varepsilon_1}(p)} (\psi(sf))^* \Phi_k \quad 0 < \varepsilon < \varepsilon_1.$$

Therefore

$$\int_D f^* C_{n-k+1}(E) = \int_{\partial D} f^* \{s^* \eta_{n-k+1}(\pi_k^* E)\} + \int_{\partial V_{\varepsilon_1}(p)} (\psi(sf))^* \Phi_k.$$

This relation implies (4.1) because of  $\int_{\partial V_{\varepsilon_1}(p)} (\psi(s \cdot f))^* \Phi_k = obs_k(p, s, f, D)$ . In

order to prove (4.2) and (4.3), we calculate the integration  $\int_{\partial V_{\varepsilon_1}(p)} (\psi(sf))^* \Phi_k$ .

Let  $\varepsilon$  be fixed ( $0 < \varepsilon < \varepsilon_1$ ). Let us put  $q = f(p) \in X$  and take a complementary submanifold  $A_q^\perp$  to  $A$  at  $q$ . Then from the conditions (4.10) and (4.11) it follows that  $A_q^\perp \cap A = \{q\}$  and that there exists a chart  $\{U, h = (z^1, \dots, z^{2(m-n+k-1)}, y^1, \dots, y^{2(n-k+1)})\}$  in  $X$  at  $q$  such that  $h(q) = 0$

$$A \cap U = \{q' \in U: y^1(q') = \dots = y^{2(n-k+1)}(q') = 0\}$$

$$A_q^\perp \cap U = \{q' \in U: z^1(q') = z^{2(m-n+k-1)}(q') = 0\}$$

Assume  $U = U_{\delta_1}$  and put  $U_{\delta_1}(q) = U_{\delta_1}$ . Further we assume that  $f(V_{\varepsilon_1}(p)) \subset U_{\delta_1}(q) \subsetneq U_{\delta_1}(q)$ ,  $0 < \delta < \delta_1$ . Let put  $u = (z^1, \dots, z^{2(m-n+k-1)})$  and  $v = (y^1, \dots, y^{2(n-k+1)})$ . Then let us consider a homotopy mapping  $H_t$  given by

$$H_t = h^{-1}\{(1-t)u \times v\}f: V_{\varepsilon_1}(p) \rightarrow U_{\delta_1}(q), \text{ for all } t \in [0, 1].$$

For  $t = 1$ ,  $H_1$  is the smooth mapping of  $V_{\varepsilon_1}(p)$  into  $A_q^\perp \cap U_{\delta_1}(q)$ , and for each  $t \in [0, 1]$ ,  $V(p) \cap H_t^{-1}(A) = \phi$  and  $H_t(V_{\varepsilon_1}(p)) \cap A = \{q\}$ . Hence, as  $f = H_0$  is ho-

motopic to  $H_1$ , we obtain

$$(4.14) \quad \int_{\partial V_i(p)} (\psi s f)^* \Phi_k = \int_{\partial V_i(p)} H_1^*(\psi s)^* \Phi_k$$

If  $\iota_{A_q^\perp}: A_q^\perp \rightarrow X$  denotes the inclusion mapping, then from  $H_1(V_i(p)) \subset A_q^\perp \cap U_\delta(q)$ , (note  $f(V_i(p)) \subset U_\delta(q)$ ),

$$(4.15) \quad \int_{\partial V_i(p)} H^*(\psi s)^* \Phi_k = \int_{\partial V_i(p)} H_1^*(\psi s \iota_{A_q^\perp})^* \Phi_k$$

Here if  $\omega_k$  denotes the normalized volume element of  $\partial(A_q^\perp \cap U_\delta(q))$ , and if  $\gamma_\delta: (A_q^\perp \cap U_\delta(q) - \{q\}) \rightarrow \partial(A_q^\perp \cap U_\delta(q))$  denotes a smooth mapping as defined by (4.5), then from  $\{(\psi s \iota_{A_q^\perp})^* \Phi_k\} = \text{obs}_k(q, s, A_q^\perp) \{\gamma_\delta^* \omega_k\}$ ,

$$(4.16) \quad \int_{\partial V_i(p)} H_1^*(\psi \cdot s \cdot A_q^\perp)^* \Phi_k = \text{obs}_k(q, s, A_q^\perp) \int_{\partial V_i(p)} (\gamma_\delta H_1)^* \omega_k$$

It follows from (4.14), (4.16) and (4.16) that

$$(4.17) \quad \int_{\partial V_i(p)} (\psi \cdot s f)^* \Phi_k = \text{obs}_k(q, s, A_q^\perp) \int_{\partial V_i(p)} (\gamma_\delta H_1)^* \omega_k$$

where  $H_1$  is homotopic to  $f$ .

To prove that  $\int_{\partial V_i(p)} (\gamma_\delta H_1)^* \omega_k$  is equal to the intersection number at  $(p, H_1(p) = q)$  of the singular chains  $H_1 = h^{-1}(0 \times v f): V_i(p) \rightarrow X$  and  $\iota_A: A \rightarrow X$ , we change the mapping  $v \cdot f$  for a mapping  $g_1: V_{i_1}(p) \rightarrow v(U_{\delta_1}(q)) \subset \mathbf{R}^{2(n-k+1)}$  which agrees with  $v \cdot f$  on a neighborhood of the boundary  $\partial V_i(p)$ , which is homotopic to  $v \cdot f$ , and which has a maximal rank at each  $p' \in G_1^{-1}(0)$ . In terms of Thom's Transversality Lemma [6], there exists such a mapping  $g_1$ . Hence put  $G_1 = h^{-1}(0 \times g_1)$ . Then  $G_1$  is, of course, homotopic to  $H_1$ . Thus from (4.17),

$$(4.18) \quad \int_{\partial V_i(p)} (\psi s f)^* \Phi_k = \text{obs}_k(q, s, A_q^\perp) \int_{\partial V_i(p)} (\gamma_\delta G_1)^* \omega_k$$

$$(4.19) \quad G_1 = h^{-1}(0 \times g_1): V_{i_1}(p) \rightarrow U_{\delta_1}(q), \text{ has a maximal rank at each } p' \in G_1^{-1}(q).$$

$$(4.20) \quad G_1 \text{ is homotopic to } f, \text{ and each } p' \in G_1^{-1}(q) \text{ belongs to } V_i(p) - \partial V_i(p)$$

Then we have

LEMMA 4.3.

$$(4.21) \quad \int_{\partial V_\varepsilon(p)} (\mathcal{r}_\delta G_1)^* \omega_k = n(q, f, A).$$

*Proof.* From definition of  $G_1$  it is clear that  $G_1(V_\varepsilon(p)) \cap A = \{q\}$ ,  $G_1(\partial V_\varepsilon(p)) \cap A = \emptyset$  and  $G_1(V_\varepsilon(p)) \cap \partial A = \emptyset$ . Therefore from (4.20),  $n(p, f, A) = n(V_\varepsilon(p), G_1, A)$ . Hence, at first, we compute  $n(V_\varepsilon(p), G_1, A)$ . Let put  $\alpha = 2(m - n + k - 1)$  and  $\beta = 2(n - k + 1)$ . Let  $h = (z^1, \dots, z^\alpha, y^1, \dots, y^\beta)$ ,  $u = (z^1, \dots, z^\alpha)$  and  $v = (y^1, \dots, y^\beta)$ , respectively, be coordinate systems on  $U_{\delta_1}(q)$ ,  $A \cap U_{\delta_1}(q)$  and  $A^\perp \cap U_{\delta_1}(q)$ , as before. Assume now that  $h$  and  $u$  are positive coordinate systems. Then, from the choice of the orientation of  $A^\perp$ ,  $v$  is also the positive coordinate system. Let  $(x^1, \dots, x^\beta)$  be a coordinate system of  $V_{\varepsilon_1}(p)$  which is positive. Let us put  $G_1^{-1}(q) = \{p'_1, \dots, p'_s\}$ , that is,  $g_1^{-1}(0) = \{p'_1, \dots, p'_s\}$ . Then we define a mapping  $\iota_A \times G_1: (A \cap U_{\delta_1}(q)) \times V_{\varepsilon_1}(p) \rightarrow X$  by

$$\begin{aligned} x^i(\iota_A \times G_1)(q', p') &= z^i \iota_A(q') & i = 1, \dots, \alpha \\ y^i(\iota_A \times G_1)(q', p') &= y^i G_1(p') & i = 1, \dots, \beta \end{aligned}$$

Here for each  $p'_j \in G_1^{-1}(q)$ , let  $J_{(p'_j, q)}(\iota_A \times G_1)$  be the Jacobian of the mapping  $\iota_A \times G_1$  at  $(p'_j, q)$ , that is,

$$J_{(p'_j, q)}(\iota_A \times G) = \left| \frac{\partial(z^1(\iota_A \times G_1), \dots, z^\alpha(\iota_A \times G_1), y^1(\iota_A \times G_1), \dots, y^\beta(\iota_A \times G_1))}{\partial(z^1, \dots, z^\alpha, x^1, \dots, x^\beta)} \right|_{(p'_j, q)}$$

Then it follows from  $z^i(\iota_A \times G_1) = z^i$  that

$$(4.22) \quad \begin{aligned} J_{(p'_j, p)}(\iota_A \times G_1) &= \left| \frac{\partial(y^1(\iota_A \times G_1), \dots, y^\beta(\iota_A \times G_1))}{\partial(x^1, \dots, x^\beta)} \right|_{(p'_j, q)} \\ &= \left| \frac{\partial(y^1 \cdot g_1, \dots, y^\beta \cdot g_1)}{\partial(x^1, \dots, x^\beta)} \right|_{p'_j} \quad \text{for each } p'_j \in g_1^{-1}(0) \end{aligned}$$

so that, from (4.19),  $J_{(p'_j, q)}(\iota_A \times G_1) \neq 0$  for each  $p'_j$ . Since the right hand side of (4.22) is the Jacobian  $J_{p'_j}(g_1)$  of the mapping  $g_1: V_\varepsilon(p) \rightarrow \mathbf{R}^{2(n-k+1)}$  at  $p'_j$ , it follows from definition of the intersection number ([5]) that

$$(4.23) \quad n(V_\varepsilon(p), G_1, A) = \sum_{j=1}^s \text{sign } J_{p'_j}(g_1)$$

Thus we have:  $n(p, f, A) = \sum_{j=1}^s \text{sign } J_{p'_j}(g_1)$  where the  $p'_j$  are points of  $g_1^{-1}(0)$ .

Next we shall calculate  $\int_{\partial V_\varepsilon(p)} (\mathcal{r}_\delta G_1)^* \omega_k$ . Since  $\omega_k$  is the normalized volume

element of  $\partial(A_{\frac{1}{2}} \cap U_{\delta}(q))$ , and for each  $p' \in (V_{\varepsilon_1}(p) - g_1^{-1}(0))$

$$\tau_{\delta} G_1(p') = h^{-1}(\overline{0, \dots, 0}, \delta \frac{y^1 g_1(p')}{\|g_1(p')\|}, \dots, \delta \frac{y^n g_1(p')}{\|g_1(p')\|})$$

where  $\|g_1(p')\| = \sqrt{\sum_{j=1}^n (y^j(p'))^2}$ ,

We can reformulate  $\int_{\partial V_{\varepsilon}(p)} (\tau_{\delta} G_1)^* \omega_k$  as follows: Let  $y^1, \dots, y^n$  be coordinates of  $\mathbf{R}^n$  and let  $S_{n-1}$  be the unit sphere about the origin in  $\mathbf{R}^n$ . We denote by  $\omega$  the normalized volume element of  $S_{n-1}$ . Let  $\tau: \mathbf{R}^n - \{0\} \rightarrow S_{n-1}$  be the boundary mapping defined by

$$\tau(y^1, \dots, y^n) = (y^1 / (\sqrt{\sum (y^i)^2}), \dots, y^n / (\sqrt{\sum (y^i)^2})).$$

Further let  $D_1$  be a compact domain of  $\mathbf{R}^n$ . Now, given a smooth mapping  $g: \mathbf{R}^n \rightarrow \mathbf{R}^n$  such that  $g_1^{-1}(0) \cap D_1 = \{p'_1, \dots, p'_s\}$ ,  $g_1^{-1}(0) \cap \partial D_1 = \emptyset$  and for each  $p'_j$ ,  $J_{p'_j}(g_1) \neq 0$ .

Under this situation, we show that

$$(4.24) \quad \int_{\partial D_1} (\tau g_1)^* \omega = \sum_{j=1}^s \text{sign } J_{p'_j}(g_1).$$

Indeed, let  $V_{\varepsilon}(p'_j)$  be  $\varepsilon$ -balls about  $p'_j$  in  $D_1$  which are pairwise disjoint. Put  $D_{1,\varepsilon} = D - \cup V_{\varepsilon}(p'_j)$ . Then, as  $\tau \cdot g_1 = g / \|g_1\|$  is differentiable on  $D_{1,\varepsilon}$ , we have from Stokes formula,  $\int_{\partial D} (\tau g_1)^* \omega = \sum_{j=1}^s \int_{\partial V_{\varepsilon}(p'_j)} (\tau g_1)^* \omega$ . In terms of  $J_{p'_j}(g_1) = 0$ , ( $j, \dots, s$ ), we can assume that for each  $j$ ,  $\|g_1\| = \varepsilon$  on  $\partial V_{\varepsilon}(p'_j)$ , and  $J(g_1) \neq 0$  on  $V_{\varepsilon}(p'_j)$ . Now let  $\text{vol}(S_{n-1})$  denote the volume of  $S_{n-1}$  and let put  $\tau = \sum_{j=1}^n (-1)^{j-1} y^j dy^1 \wedge \dots \wedge dy^{j-1} \wedge dy^{j+1} \wedge \dots \wedge dy^n$ . Then  $\omega = \frac{1}{\text{vol}(S_{n-1})} \tau|_{S_{n-1}}$ . By noting that  $y^i \left(\frac{1}{\varepsilon} g_1\right) = \frac{1}{\varepsilon} y^i(g_1)$ , ( $i=1, \dots, n$ ), we have: for each  $j$ ,

$$\begin{aligned} \int_{\partial V_{\varepsilon}(p'_j)} (\tau g_1)^* \omega &= \int_{\partial V_{\varepsilon}(p'_j)} \left(\frac{g_1}{\varepsilon}\right)^* \omega = \frac{1}{\text{vol}(S_{n-1})} \int_{\partial V_{\varepsilon}(p'_j)} \left(\frac{g_1}{\varepsilon}\right)^* \tau \\ &= \frac{1}{\varepsilon^n \text{vol}(S_{n-1})} \int_{\partial V_{\varepsilon}(p'_j)} g_1^* \tau \\ &= \frac{n}{\varepsilon^n \text{vol}(S_{n-1})} \int_{V_{\varepsilon}(p'_j)} g_1^* (dy^1 \wedge \dots \wedge dy^n) \\ &= \frac{n}{\varepsilon^n \text{vol}(S_{n-1})} \text{sign } J_{p'_j}(g_1) \int_{(y^1 g_1)^2 + \dots + (y^n g_1)^2 \leq \varepsilon^2} d(y^1 g_1) \cdot \dots \cdot d(y^n g_1) \\ &= \text{sign } J_{p'_j}(g_1). \end{aligned}$$

Thus (4.24) is proved, so that, we have proved Lemma 4.3. Q.E.D.

Now we return to the proof of Theorem 4.1. At first it follows from (4.18), (4.21) and  $q = f(p)$  that

$$\int_{\partial V, \iota(p)} (\psi s f)^* \Phi_k = \text{obs}_k(f(p), s, A_{f(p)}^\perp) n(p, f, A),$$

that is,

$$(4.25) \quad \text{obs}_k(p, s f, D) = \text{obs}_k(f(p), s, A_{f(p)}^\perp) n(p, f, A).$$

In particular, let us take any complementary submanifold  $A_q^\perp$  to  $A$  at  $q \in (A - \partial A)$  as a compact domain  $D$  and the inclusion mapping  $\iota_{A_q^\perp} \rightarrow X$ . Then clearly  $n(q, \iota_{A_q^\perp}, A) = 1$ , so that, from (4.25) we have

$$\text{obs}_k(q, s, A_q^\perp) = \text{obs}_k(q, s, A_q^\perp).$$

Thus  $\text{obs}_k^\perp(q, s, A)$  is independent of  $A_q^\perp$ . Therefore

$$\text{obs}_k(p, s f, D) = \text{obs}_k^\perp(f(p), s, A) \cdot n(p, f, A).$$

Hence (4.2) is proved. On the other hand, (4.3) follows immediately from (4.1) and (4.2). Q.E.D.

**4.5. COROLLARY 4.4,** (c.f. [1]). *Let  $E$  be a Hermitian vector bundle of fibre dimension  $n$  over an  $m$ -dimensional complex manifold  $X$ , ( $n \leq m$ ) and let  $s: X \rightarrow E$  be a smooth section of  $E$  which is  $\neq 0$  on  $\partial X$ , and which is transversal to the zero of  $s$ . Let  $\text{zero}(s)$  be the set of zeroes of  $s$ . Then  $\text{zero}(s)$  becomes a real  $2(m-n)$ -dimensional oriented closed submanifold of  $X$  and the proper homology class of  $\text{zero}(s)$  is the Poincaré dual of  $C_n(E)$ .*

*Proof.* Notice that the 1-general Stiefel bundle  $E_1$  of  $E$  is the subbundle of  $E$ , i.e.,  $E_1 = \{e \in E: e \neq 0\}$ . Let  $q$  be any point of  $\text{zero}(s)$ . From  $q \in X - \partial X$ , we can take a neighborhood  $V$  in  $X$  about  $q$ , which admits a trivialization  $\varphi: V \times \mathbf{C}^n \rightarrow E|V$ . Here let  $\psi: E|V \rightarrow \mathbf{C}^n$  be a holomorphic mapping defined by,

$$(4.26) \quad \psi \cdot \varphi(q', v) = v, \quad q' \in V, v \in \mathbf{C}^n.$$

Then put  $\psi s = (s_1, \dots, s_n)$  and  $s_i = s^i + \sqrt{-1} s^{n-i}$ ,  $i = 1, \dots, n$ . That  $s$  is transversal to the zero section of  $X$  in  $E$ , implies that  $ds_{q'}^1 \wedge \dots \wedge ds_{q'}^{2n} = 0$



for each  $q' \in V \cap \text{zero}(s)$ . We obtain a family of charts  $\{V_\alpha, h_\alpha = (s_\alpha^1, \dots, s_\alpha^{2n}, t_\alpha^1, \dots, t_\alpha^{2(m-n)})\}$  of  $X$  such that  $\{V_\alpha\}$  cover  $\text{zero}(s)$ , and for each  $\alpha$ ,

(i)  $V_\alpha$  admits a trivialization  $\varphi_\alpha: V \times \mathbb{C}^n \longrightarrow E|V_\alpha$ , and so,

$$\psi_\alpha: E|V_\alpha \longrightarrow \mathbb{C}^n \text{ defined by (4.26).}$$

(ii)  $s_\alpha^1, \dots, s_\alpha^{2n}$  are real-valued functions defined by  $\psi_\alpha$  and  $s$ ,

$$\text{i.e., } \psi_\alpha s = (s_\alpha^1 + \sqrt{-1} s_\alpha^n, \dots, s_\alpha^n + -1 s_\alpha^{2n}).$$

(iii)  $V_\alpha \cap \text{zero}(s) = \{q \in V_\alpha: s_\alpha^1(q) = \dots = s_\alpha^{2n}(q) = 0\}$

(iv)  $h_\alpha$  is the positive coordinate system on  $V_\alpha$ .

Therefore  $\text{zero}(s)$  is a real  $2(m-n)$ -dimensional closed submanifold of  $X$ , which admits charts  $\{V_\alpha \cap \text{zero}(s), (t_\alpha^1, \dots, t_\alpha^{2(m-n)})\}$ . We want to prove that  $\text{zero}(s)$  is orientable. Let us suppose  $V_\alpha \cap V_\beta \cap \text{zero}(s) \neq \emptyset$ . Then there exists a translation function  $g_{\alpha\beta} = \|(g_{\alpha\beta}^i)\|$  on  $V_\alpha \cap V_\beta$  such that

$$s_\alpha^i = \sum_{j=1}^{2n} (g_{\alpha\beta}^i)^j s_\beta^j \quad i = 1, \dots, 2n, \text{ and } \det(g_{\alpha\beta}) > 0.$$

Let us put  $a(q) = \det \left( \begin{array}{cccc} \frac{\partial t_\alpha^1}{\partial t_\beta^1}, \dots, \frac{\partial t_\alpha^1}{\partial t_\beta^{2(m-n)}} & \frac{\partial t_\alpha^1}{\partial s_\beta^1}, \dots, \frac{\partial t_\alpha^1}{\partial s_\beta^{2n}} \\ \vdots & \vdots & \vdots & \vdots \\ \frac{\partial t_\alpha^{2(m-n)}}{\partial t_\beta^1}, \dots, \frac{\partial t_\alpha^{2(m-n)}}{\partial t_\beta^{2(m-n)}} & \frac{\partial t_\alpha^{2(m-n)}}{\partial s_\beta^1}, \dots, \frac{\partial t_\alpha^{2(m-n)}}{\partial s_\beta^{2n}} \\ \frac{\partial s_\alpha^1}{\partial t_\beta^1}, \dots, \frac{\partial s_\alpha^1}{\partial t_\beta^{2(m-n)}} & \frac{\partial s_\alpha^1}{\partial s_\beta^1}, \dots, \frac{\partial s_\alpha^1}{\partial s_\beta^{2n}} \\ \vdots & \vdots & \vdots & \vdots \\ \frac{\partial s_\alpha^{2n}}{\partial t_\beta^1}, \dots, \frac{\partial s_\alpha^{2n}}{\partial t_\beta^{2(m-n)}} & \frac{\partial s_\alpha^{2n}}{\partial s_\beta^1}, \dots, \frac{\partial s_\alpha^{2n}}{\partial s_\beta^{2n}} \end{array} \right)_q$

for each  $q \in V_\alpha \cap V_\beta$ . Hence, as  $\partial s_\alpha^i / \partial t_\beta^j(q) = 0$  for any  $q \in V_\alpha \cap V_\beta \cap \text{zero}(s)$ ,  $i = 1, \dots, 2n$ ,  $j = 1, \dots, 2(m-n)$ , it follows from (iv) that  $a(q) = \det \left( \frac{\partial t_\alpha^i}{\partial t_\beta^j} \right) \det(g_{\alpha\beta}) > 0$   $q \in V_\alpha \cap V_\beta \cap \text{zero}(s)$ , so that, from  $\det(g_{\alpha,\beta}) > 0$ , we find that

$$\det \left( \frac{\partial t_\alpha^i}{\partial t_\beta^j} \right) > 0 \text{ on } V_\alpha \cap V_\beta \cap \text{zero}(s).$$

Therefore  $\text{zero}(s)$  is orientable. As  $s \neq 0$  on  $\partial X$ ,  $\text{zero}(s)$  has not the boundary. We shall next prove the second statement. For simplicity put  $A = \text{zero}(s)$ . Since  $s$  is the smooth cross-section of  $E|(X-A)$ , and  $\partial A = \emptyset$ , we can define  $\text{obs}_\perp^1(q, s, A)$  for any  $q \in A$ . Let  $q \in V_\alpha \cap A$ . Then we

calculate  $obs_1^\perp(q, s, A)$ . From the condition (iii) the set  $A_q^\perp = \{q' \in V_\alpha : t_\alpha^1(q') = \dots = t_\alpha^{2(m-n)}(q') = 0\}$  becomes a complementary submanifold to  $A$  at  $q$ . Then, of course,  $(s_\alpha^1, \dots, s_\alpha^{2n})$  is the coordinate system of  $A_q^\perp \cap V_\alpha$ . Hence the restriction of  $\phi_\alpha \cdot s$  to  $A_q^\perp$  is considered as the inclusion mapping as follows: Let us put  $v_\alpha(s_\alpha^1, \dots, s_\alpha^{2n})$  and let  $z^1, \dots, z^n$  be complex coordinates of  $C^n$ . If  $x^1, \dots, x^{2n}$  are coordinates of  $R^{2n}$  with  $x^i + \sqrt{-1} x^{n+i} = z_i$ , then from definition of  $s_\alpha^i$ , ( $i = 1, \dots, 2n$ ),

$$s_\alpha^i \phi_\alpha s v_\alpha^{-1}(s_\alpha^1, \dots, s_\alpha^{2n}) = s_\alpha^i \quad i = 1, \dots, 2n.$$

Therefore we have from  $obs_1(q, s, A_q^\perp) = \text{zero}(q, s, A_q^\perp)$ ,  $obs_1(q, s, A_q^\perp) = 1$ . Thus for any  $q \in A$ , we obtain

$$(4.27) \quad obs_1^\perp(q, s, A) = 1 \quad A = \text{zero}(s).$$

Now let  $\gamma$  be a smooth singular  $2n$ -cycle in the interior of  $X$  such that every singular chain  $\sigma$  in  $\gamma$  which intersects  $\text{zero}(s)$ , meets  $\sigma$  in an isolated interior point. Hence we can apply Theorem 4.1 to each singular chain  $\sigma$  in  $\gamma$ . Then from (4.3) and (4.27),

$$\int_\sigma C_n(E) = \int_{\sigma\sigma} s^* \eta_n(\pi_1^\# E) + n(\sigma, \text{zero}(s))$$

where  $n(\sigma, \text{zero}(s))$  is the intersection number of  $\sigma$  and  $\text{zero}(s)$ . Hence summing over  $\sigma$  in  $\gamma$ , we find

$$\int_\gamma C_n(E) = n(\gamma, \text{zero}(s)). \quad \text{Q.E.D.}$$

**COROLLARY 4.5.** [1]. (*The relative Gauss-Bonnet theorem*). *Let  $E$  be a Hermitian  $n$ -bundle over an  $n$ -complex manifold  $X$  with the boundary  $\partial X$ . Now, given a smooth section  $s$  of  $E$  such that*

- i)  $s \neq 0$  on  $\partial X$ ,
- ii)  $s$  has isolated zeroes only, then we have

$$\sum_{j=1}^l \text{zero}(p_j; s) = \int_X C_n(E) - \int_{\partial X} s^* \eta_n(\pi_1^\# E)$$

where the  $p_j$  are zeroes of  $s$ .

Indeed, if we apply (4.1) to the case when  $k = 1$ ,  $\dim X = \dim E = n$ ,  $D = X$ , and  $f$  is the identity mapping of  $X$ , then this corollary follows from the fact that  $obs_1(p_j, s, X) = \text{zero}(p_j; s)$   $j = 1, \dots, l$  Q.E.D.

§ 5. An application to complex projective space

In this section we will investigate Levine's "The First Main Theorem" for holomorphic mappings of non-compact, complex manifolds into complex projective space [2].

Let  $\mathbf{p}^n(\mathbf{C})$  be  $n$ -dimensional complex projective space of all the  $n$ -dimensional subspaces of  $\mathbf{C}^{n+1}$ , and let  $V$  be a non-compact real  $2(n-k+1)$ -dimensional oriented manifold. Let  $D \subset V$  be a compact domain with the smooth boundary  $\partial D$ . We assume that there exists a smooth mapping  $f$  of  $V$  into  $\mathbf{p}^n(\mathbf{C})$ .

**THEOREM 5.1, ([2]).** *Let  $A$  be a complex  $(k-1)$ -dimensional linear subspace of  $\mathbf{p}^n(\mathbf{C})$  such that  $f^{-1}(A) \cap D$  is a set of isolated points in  $(D - \partial D)$ . Let  $\iota$  denote the inclusion mapping of  $A$  into  $\mathbf{p}^n(\mathbf{C})$ . If  $n(D, f, A)$  denotes the intersection number of the singular chains  $f: D \rightarrow \mathbf{p}^n(\mathbf{C})$  and  $\iota: A \rightarrow \mathbf{p}^n(\mathbf{C})$ , and if  $V(D)$  denotes the volume of  $f(D)$ , then*

$$(5.1) \quad V(D) - n(D, f, A) = \int_{\partial D} f^*A$$

where  $A$  is a real  $2(n-k)+1$ -form on  $(\mathbf{p}^n(\mathbf{C}) - A)$ , which is given by (5.11).

The volume element of  $\mathbf{p}^n(\mathbf{C})$  is the one induced by the standard unitary invariant Kähler metric, normalized so that the volume of  $\mathbf{p}^n(\mathbf{C})$  equals 1.

(Levine assumes in [2] that  $V$  is a complex manifold and that  $f$  is holomorphic.)

*Proof.* In order to prove this by using Theorem 4.1, let us consider the canonical holomorphic vector bundles  $L, T$ , and  $E$  over  $\mathbf{p}^n(\mathbf{C})$ , defined as follows, ([1]):

$$(5.2) \quad T \text{ is the product bundle } \mathbf{p}^n(\mathbf{C}) \times \mathbf{C}^{n+1}$$

$$(5.3) \quad L \text{ is the subbundle of } T \text{ consisting of all the pairs } (l, v), \text{ where } v \in l.$$

$$(5.4) \quad E \text{ is the quotient bundle } T/L \text{ (Note } \dim E = n). \text{ Then, over } \mathbf{p}^n(\mathbf{C}) \text{ we obtain the following exact sequence:}$$

$$(5.5) \quad 0 \rightarrow L \rightarrow T \rightarrow E \rightarrow 0.$$

Let  $N_0$  be the norm on  $T$  induced by the inner product  $(, )$  of  $\mathbf{C}^{n+1}$  as before. In terms of (5.5), the norm  $N_0$  on  $T$  induces norms  $N_1$  on  $L$  and  $N_2$  on  $E$  as stated in § 2. We shall apply Theorem 4.1 to this holomorphic

$n$ -bundle  $E$  with the norm  $N_0$ , over  $\mathbf{p}^n(\mathbf{C})$ . Let  $C(E)$ ,  $E_k$  and  $\eta_{n-k+1}(\pi_k^\# E)$  be as defined in previous sections. Now let  $z^0, \dots, z^n$  be homogeneous coordinates of  $\mathbf{p}^n(\mathbf{C})$  corresponding to the natural basis  $e_0, \dots, e_n$  of  $\mathbf{C}^{n+1}$ . Here put

$$(5.6) \quad \Omega = \frac{i}{2\pi} d' d'' \log \sum_{j=0}^n z^j \bar{z}^j.$$

It is well-known ([5]) that  $\Omega$  is the real 2-form on  $\mathbf{p}^n(\mathbf{C})$  induced by the standard, unitary invariant, Kähler metric. Then we have

LEMMA 5.2. *Let  $C_l(E)$  be the  $l$ th Chern form of  $E$ . Then we obtain*

$$(5.7) \quad C_l(E) = \Omega^l, \quad (l = 1, \dots, n)$$

*Proof.* Let  $V_j$  be open sets defined by  $V_j = \{l \in \mathbf{p}^n(\mathbf{C}) : z^j(l) \neq 0\}$ ,  $i = 0, \dots, n$ . For each  $j$  let  $(\xi^0, \dots, \xi^{j-1}, \xi^{j+1}, \dots, \xi^n)$  be the coordinate system on  $V_j$  defined by  $\xi^i = z^i/z^j$ ,  $i = 0, \dots, j-1, j+1, \dots, n$ . Then we obtain a holomorphic nonvanishing section  $s_j; V_j \rightarrow L$  given by

$$s_j(l) = \{l, (\xi^0(l), \dots, \xi^{j-1}(l), 1, \xi^{j+1}(l), \dots, \xi^n(l))\}.$$

Of course, from definition of the norm  $N$ , on  $L$ ,

$$N_1(s_j(l)) = 1 + (\xi(l), \xi(l))_j \quad \text{for each } l \in V_j$$

where  $(\xi(l), \xi(l))_j = \xi^0(l)\bar{\xi}^0(l) + \dots + \xi^{j-1}(l)\bar{\xi}^{j-1}(l) + \xi^{j+1}(l)\bar{\xi}^{j+1}(l) + \dots + \xi^n(l)\bar{\xi}^n(l)$ . Therefore it follows from (2.5) that  $C_1(L)|_{V_j} = -\frac{i}{2\pi} d' d'' \log(1 + (\xi, \xi)_j)$ , so that, from (5.6) we have  $C_1(L) = -\Omega$ . However in terms of Corollary 2.7,  $C_l(E) = (-C_1(L))^l$ . Hence (5.7) is proved. Q.E.D.

Further we can prove

LEMMA 5.3.

$$(5.8) \quad \int_{\mathbf{p}^n(\mathbf{C})} C_n(E) = 1$$

*Proof.* Let  $v \in \mathbf{C}^{n+1}$  and let  $\hat{s}_v: \mathbf{p}^n(\mathbf{C}) - [v] \rightarrow E_1 \subset E$  be a holomorphic section defined by  $\hat{s}_v(l) = (l, v/l)$ ,  $l \in \mathbf{p}^n(\mathbf{C}) - [v]$ . Then from Corollary 4.5 we have

$$\int_{\mathbf{p}^n(\mathbf{C})} C_n(E) = \text{zero } ([v], \hat{s}_v).$$

It is sufficient to prove  $\text{zero } ([v], \hat{s}_v) = 1$ . For convenience sake we assume

$v = e_0$ . Then we obtain a frame  $t = \{t_i\}_{1 \leq i \leq n}$  of  $E|V_0$  given by  $t_i(l) = (l, -e_i/l)$   $l \in V_0$ . Let  $\varphi: V_0 \times \mathbf{C}^n \rightarrow E|V_0$  be the trivialization defined by

$$\varphi(l, v) = \sum_{i=1}^n z^i(v)t_i(l) \quad (l, v) \in V_0 \times \mathbf{C}^n$$

where  $z^1, \dots, z^n$  are complex coordinates of  $\mathbf{C}^n$ . Further let  $\psi: E|V_0 \rightarrow \mathbf{C}^n$  be a holomorphic mapping defined by  $\varphi$ , i.e.,  $\psi\varphi(l, v) = v$ , for  $(l, v) \in V_0 \times \mathbf{C}^n$ . To show zero  $([e_0], \hat{s}_{e_0}) = 1$ , we estimate the mapping  $\psi \cdot \hat{s}_{e_0}: V_0 \rightarrow \mathbf{C}^n$ . If  $\xi^1, \dots, \xi^n$  denote the coordinates on  $V_0$ , as before, then it is easy to prove that

$$\psi(l) = (\xi^1(l), \dots, \xi^n(l)) \quad \text{for each } l \in V_0$$

Therefore Q.E.D.  
 $\text{zero}([e_0], \hat{s}_{e_0}) = 1.$

From Lemma 5.2 and 5.3,  $C_n(E) = \Omega^n$  becomes the normalized volume element of  $\mathbf{p}^n(\mathbf{C})$ . Moreover from the fact that  $C(E)$  (or  $\Omega$ ) is invariant under unitary transformations it follows that: Let  $A^\perp$  be any complex  $(n - k + 1)$ -dimensional linear subspace of  $\mathbf{p}^n(\mathbf{C})$ . Then

$$(5.9) \quad \int_{A^\perp} C_{n-k+1}(E) = \int_{A^\perp} \Omega^{n-k+1} = 1.$$

Now let  $f, D, V(D)$  and  $A$  be as described in Theorem 5.1. Then, of course, we have

$$(5.10) \quad V(D) = \int_D f^* \Omega^{n-k+1} = \int_D f^* C_{n-k+1}(E).$$

Let  $l$  be any fixed point in  $A$  and let us take an orthonormal basis  $v_0, \dots, v_n$  of  $\mathbf{C}^{n+1}$  such that

- ( $\alpha$ )  $v_0, \dots, v_{k-1}$  belong to  $A$
- ( $\beta$ )  $v_{k-1} \in l$ .

Then we denote by  $A_l^\perp$  the complex  $(n - k + 1)$ -dimensional projective space consisting of all the 1-dimensional subspace of  $[v_{k-1}, \dots, v_n]$ . Note  $A \cap A_l^\perp = \{l\}$ . It is obvious that  $A_l^\perp$  is a complemental submanifold to  $A$  at  $l$  without boundary. Moreover we define a holomorphic  $s$  section  $s: (\mathbf{p}^n(\mathbf{C}) - A) \rightarrow E_k$  by  $s(l) = \{l, (v_0/l, \dots, v_{k-1}/l)\}$  for all  $l \in (\mathbf{p}^n(\mathbf{C}) - A)$ . It is clear that  $s$  is the well-defined section. Here put

$$(5.11) \quad A = s^* \eta_{n-k+1}(\pi_k^* E) \quad \text{on } \mathbf{p}^n(\mathbf{C}) - A.$$

The boundary form  $\eta_{n-k+1}(\pi_k^* E)$  is a real  $2(n-k) + 1$ -form, and so is. Hence, from (4.3) we have:  $\int_{A_t^\perp} C_{n-k+1}(E) = \int_{A_t^\perp} A + \text{obs}_k^\perp(l, s, A) n(l, A_t^\perp, A)$  where  $\iota_{A_t^\perp}: A_t^\perp \rightarrow \mathbf{p}^n(\mathbf{C})$  is the inclusion mapping. However  $\partial A_t^\perp = \phi$ ,  $n(l, A_t^\perp, A) = 1$ , and from (5.9),  $\int_{A_t^\perp} C_{n-k+1}(E) = 1$ . so that, we have: for any  $l \in A$   $\text{obs}_k^\perp(l, s, A) = 1$ . Again using (4.3) we have

$$(5.12) \quad \int_D f^* C_{n-k+1}(E) = \int_{\partial D} f^* A + \sum_{j=1}^l n(p_j, f, A)$$

where

$$f^{-1}(A) \cap D = \{p_1, \dots, p_l\}.$$

But, from definition of  $n(D, f, A)$ ,  $\sum_{j=1}^l n(p_j, f, A) = n(D, f, A)$ . (5.1) follows from (5.10) and (5.12). Q.E.D.

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