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# NON-DEGENERATE REAL HYPERSURFACES IN COMPLEX MANIFOLDS ADMITTING LARGE GROUPS OF PSEUDO-CONFORMAL TRANSFORMATIONS. I

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## Introduction

Let S (resp. S') be a (real) hypersurface (i.e. a real analytic submanifold of codimension 1) of an n-dimensional complex manifold M (resp. M'). A homeomorphism f of S onto S' is called a pseudo-conformal homeomorphism if it can be extended to a holomorphic homeomorphism of a neighborhood of S in M onto a neighborhood of S' in M. In case such an f exists, we say that S and S' are pseudo-conformally equivalent. A hypersurface S is called non-degenerate (index r) if its Levi-form is non-degenerate (and its index is equal to r) at each point of S.

In his paper [6], N. Tanaka has shown that if a hypersurface S is connected and non-degenerate at a point, then the group A(S) of all pseudo-conformal transformations of S becomes a Lie transformation group of S with dim.  $A(S) \leq n^2 + 2n$ .

The purpose of this paper is to determine, under pseudo-conformal equivalence, non-degenerate hypersurfaces S for which the groups A(S) have either the largest dimension  $n^2 + 2n$  or the second largest dimension.

Our main results are stated as follows;

THEOREM 7.2. Let M be a complex manifold of dimension n. Let S be a connected non-degenerate (index r) homogeneous hypersurface  $\left(0 \le r \le \left[\frac{n-1}{2}\right]\right)$ . Then we have the following classification table:

$$egin{aligned} Q_r &= \left\{ (z_0, \, \cdots, z_n) \in P^n(C) \, | \, -\sqrt{-1} z_0 ar{z}_n 
ight. \ &- \sum\limits_{i=1}^r z_i ar{z}_i \, + \sum\limits_{i=r+1}^{n-1} z_i ar{z}_i \, + \sqrt{-1} z_n ar{z}_0 = 0 
ight\} \, , \end{aligned}$$

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	the case of the largest dimension		the case of the second largest dimension	
(n,r)	$\dim_{\bullet} A(S)$	S	$\dim_{\bullet} A(S)$	S
n=3 & r=1	$15(=n^2+2n)$	$Q_1$	$11(=n^2+2)$	$Q_1^*(1)$
n=5 & $r=2$	$35(=n^2+2n)$	$Q_2$	$26(=n^2+1)$	$Q_2^*(2)$ or $Q_2^*$
otherwise	$n^2 + 2n$	$Q_r$	$n^2 + 1$	$Q_r^*$

$$egin{aligned} Q_r^* &= \{(z_0,\,\cdots,z_n)\in Q_r\,|\,z_0\, lpha\,0\}\;,\ Q_1^*(1) &= \{(z_0,\,\cdots,z_3)\in Q_1|\,|z_0|+|z_1-z_2|\, lpha\,0\}\;,\ Q_2^*(2) &= \{(z_0,\,\cdots,z_5)\in Q_2|\,|z_0|+|z_1-z_4|+|z_2-z_3|\, lpha\,0\}\;, \end{aligned}$$

where  $P^n(C)$  is the complex projective space of dimension n with its homogeneous coordinate  $(z_0, \dots, z_n)$ .

This is a partial generalization of the results of E. Cartan [2] in the case n=2.

THEOREM 7.4. Let M be a complex manifold of dimension n. Let S be a connected hypersurface of M which is non-degenerate of index r at a point of S. If dim.  $A(S) = n^2 + 2n$ , then S is pseudo-conformally equivalent to  $Q_r$ .

Now we will describe the method of proving our theorems. Let S be a non-degenerate (index r) hypersurface of a complex manifold, and let A(S) be the group of all pseudo-conformal transformations of S and  $\alpha(S)$  be its Lie algebra. Then according to N. Tanaka [6], [7] we can associate with S a principal fibre bundle P(S, G'(r)) together with an infinitesimal structure  $\omega$  on it, which is a Cartan connection of type (G(r), G'(r)), the so-called normal pseudo-conformal connection. Here G(r)is the group of all projective transformations leaving  $Q_r$  invariant and G'(r) is the isotropy subgroup of it at a point o of  $Q_r$  (cf. I). Let g(r)be the Lie algebra of G(r). If we fix a point  $p_0$  of S, then the connection form  $\omega$  induces an injective linear map of  $\alpha(S)$  (identified with the Lie algebra of right invariant vector fields of P leaving the Cartan connection invariant) into the graded Lie algebra  $g(r) = \sum_{k=-2}^{2} g_{k}(r)$ . So we can induce a filtration of a(S) at  $p_0$  via the map  $\omega$ . With respect to this filtration  $\alpha(S) = \mathfrak{h}$  becomes a filtered Lie algebra. Moreover it is seen that the associated graded Lie algebra h of h becomes a graded subalgebra of g(r) (cf. II). So under the dimension hypothesis of A(S)

and the homogeneity assumption, we can determine explicitly the possibilities of  $\tilde{\mathfrak{h}}$ . In fact we determine the graded subalgebras of  $\mathfrak{g}(r)$  of the minimum codimension satisfying a certain (homogeneity) condition Moreover under the dimension hypothesis of A(S) (more precisely if  $\hat{h}$  coincides with one of the graded subalgebras of g(r) obtained in IV) we will see that S is flat, that is, the curvature form of the connection vanishes identically and that  $\alpha(S)$  is isomorphic with  $\hat{\mathfrak{h}}$  (cf V). Conversely let g be one of the graded subalgebras of g(r) obtained in IV. Then we can construct a model space Q corresponding to  $\mathfrak{g}$  as follows; let G be the analytic subgroup of G(r) corresponding to g. Q is defined as the orbit of G passing through  $o \in Q_r$ . Then Q is a connected nondegenerate (index r) homogeneous flat hypersurface of  $P^n(C)$  for which G is the identity component of A(Q) (cf. VI). On the other hand, the bundle  $A(S)(S, A_{p_0}(S))$  can be regarded as a subbundle of P(S, G'(r)), if we assume that S is homogeneous. Moreover the structure equation of the connection determines the Maurer-Cartan equation of A(S). these facts we see that, in order to find a pseudo-conformal homeomorphism between two homogeneous hypersurfaces S and S', we have only to find a group isomorphism between A(S) and A(S') which satisfies certain additional conditions (cf. III). So under the dimension hypothesis we compare  $A^{0}(S)$  with the corresponding G satisfying  $\mathfrak{g} \cong \mathfrak{a}(S)$ . In this way we see that S is pseudo-conformally equivalent to the corresponding Q (cf. VII).

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## Preliminary remarks.

Throughout this paper we always assume the differentiability of class  $C^{\omega}$ . We use the notations and terminology in S. Kobayashi-K. Nomizu [5] without special references (e.g. the differential of a mapping, fundamental vector fields, homomorphisms of fibre bundles).

Let I be a hermitian matrix of degree n. We denote by U(I) the unitary group defined by I;  $U(I) = \{\sigma \in GL(n, C) | {}^t \overline{\sigma} I \sigma = I \}$ , where  ${}^t \sigma$  is the transposed matrix of  $\sigma$  and  $\overline{\sigma}$  is the complex conjugate matrix of  $\sigma$ . We denote by u(I) the Lie algebra of U(I). Moreover we denote by

SU(I) the special unitary group defined by I;  $SU(I) = \{\sigma \in U(I) | \det \sigma = 1\}$ . We denote by  $\mathfrak{Su}(I)$  the Lie algebra of SU(I).

#### I. Pseudo-conformal geometry.

In this section we will review the fundamental concepts of the pseudo-conformal geometry and state the results of Tanaka, following N. Tanaka [6], [7], which are necessary for later considerations.

1. The *H*-structure. Let M and M' be complex manifolds of dimension n ( $n \ge 2$ ). Let S (resp. S') be a (real) hypersurface, that is a (2n-1)-dimensional real analytic regular submanifold, of M (resp. M').

DEFINITION 1.1. A homeomorphism f of S onto S' is called a pseudo-conformal homeomorphism if it can be extended to a holomorphic homeomorphism of a neighborhood of S in M onto a neighborhood of S' in M'.

Let p be an arbitrary point of S. We denote by  $T_p(S)$  the tangent space to S at p and by J the complex structure of M. We set

$$D_p = T_p(S) \cap J(T_p(S)) .$$

Then  $D_p$  is a maximal complex vector subspace of  $T_p(M)$  contained in  $T_p(S)$  and dim.  $D_p = n - 1$ .

Take the natural base  $\{e_i\}_{1 \le i \le n}$  of the *n*-dimensional complex number space  $C^n$ . We denote by  $\mathfrak{m}$  the (2n-1)-dimensional real vector subspace of  $C^n$  spanned by the 2n-1 vectors  $e_1, \dots, e_n, \sqrt{-1}e_1, \dots, \sqrt{-1}e_{n-1}$  and by  $\mathfrak{m}_*$  the (n-1)-dimensional complex vector subspace of  $C^n$  spanned by the n-1 vectors  $e_1, \dots, e_{n-1}$ . We define a closed subgroup H of the general linear group GL(n, C) by setting

$$H = \{ \sigma \in GL(n, C) \mid \sigma(\mathfrak{m}) = \mathfrak{m} \}.$$

Each element of H is represented as a matrix of the following form

$$\begin{pmatrix} \mathbf{B} & \mathbf{C} \\ \mathbf{0} & a \end{pmatrix}$$

where  $a \in \mathbb{R} \setminus \{0\}$ ,  $B \in GL(n-1, \mathbb{C})$  and  $C \in \mathbb{C}^{n-1}$ . Hence we get

$$H = \{ \sigma \in GL(\mathfrak{m}) \, | \, \sigma(\mathfrak{m}_*) = \mathfrak{m}_* \text{ and } \sigma \, | \, \mathfrak{m}_* \text{ is complex linear} \}$$

We denote by L(S) the bundle of linear frames of S. A linear frame

x at a point p of S is a linear isomorphism of m onto  $T_p(S)$ , where we identify m with  $R^{2n-1}$  through the natural isomorphism. We define a subbundle F of L(S) by

$$F = \{x \in L(S) \, | \, x(\mathfrak{m}_{\pmb{\ast}}) = D_{{}^{\varpi(x)}} \text{ and } x \, | \, \mathfrak{m}_{\pmb{\ast}} \text{ is complex linear} \}$$
 ,

where w is the bundle projection of L(S) onto S. Then F becomes a principal fibre bundle over S with the structure group H. F(S,H) is called the pseudo-conformal H-bundle associated with the hypersurface S (cf. [6]).

Remark 1.2. The "Fundamental theorem" (i.e. Theorem 1 [6]) says that a  $C^{\omega}$ -homeomorphism f of a hypersurface S onto another hypersurface S' is a pseudo-conformal homeomorphism if and only if f induces an isomorphism between the corresponding pseudo-conformal H-bundles, preserving the canonical 1-forms.

2. The Levi-form. Let  $\theta^*$  be the canonical 1-form on F (cf. [5]), that is,

$$\theta_x^*(X) = x^{-1}(\varpi_*(X)) = \begin{pmatrix} \theta_1^*(X) \\ \vdots \\ \theta_n^*(X) \end{pmatrix} \in \mathfrak{m} \subset C^n \quad \text{for } x \in F, X \in T_x(F) ,$$

where  $\theta_i^*$   $(i=1,2,\cdots,n)$  is the *i*-th component of  $\theta^*$ . Note that  $\theta_i^*$   $(i=1,\cdots,n-1)$  is a *C*-valued 1-form on *F* and  $\theta_n^*$  is a *R*-valued 1-form on *F*. We pay attention to  $\theta_n^*$ , which characterizes the maximal complex tangent space  $D_p$  of  $T_p(S)$ . First we notice

LEMMA 1.3. Let x be an arbitrary point of F, and let X and Y be tangent vectors at x. Then we have

- (i)  $\theta_n^*(X) = 0$  if and only if  $w_*(X) \in D_{w(x)}$
- (ii)  $d\theta_n^*(X, Y) = 0$  if  $\varpi_*(X) \in D_{\varpi(x)}$  and  $\varpi_*(Y) = 0$ .

Lemma 1.3 is easily proved from the definition of F and the following

$$egin{cases} R_{\sigma}^* heta_n^* = a^{-1} heta_n^* & ext{for } \sigma = egin{pmatrix} B & C \ 0 & a \end{pmatrix} \in H \ heta_n^* (A^*) = 0 & ext{for } A \in ext{the Lie algebra of } H \end{cases}$$

where  $R_{\sigma}$  is a right action on F induced by  $\sigma \in H$  and  $A^*$  is the fundamental vector field corresponding to A (cf. [5]).

From Lemma 1.3 we can define a skew-symmetric bilinear mapping  $K_x$  of  $D_x \times D_x$  into R by

$$K_x(X, Y) = -2 d\theta_{n_x}^*(X^*, Y^*)$$
  $p = w(x), X, Y \in D_p$ ,

where  $X^*$  (resp.  $Y^*$ ) is any vector at x such that  $w_*(X^*) = X$  (resp.  $w_*(Y^*) = Y$ ). One should note that we can also write

$$K_x(X, Y) = \theta_{n_x}^*([X^*, Y^*])$$
,

where  $X^*$  (resp.  $Y^*$ ) is any vector field around x such that  $\theta_n^*(X^*) = 0$  (resp.  $\theta_n^*(Y^*) = 0$ ) and  $w_*(X_x^*) = X$  (resp.  $w_*(Y_x^*) = Y$ ). Hence from the integrability condition of the complex structure of the ambient space M we have

LEMMA 1.4. Let x be an arbitrary point of F. Then

$$K_x(X, Y) = K_x(JX, JY)$$
 for  $X, Y \in D_{m(x)}$ ,

where J is the complex structure of M.

Now Lemma 1.3 and Lemma 1.4 imply

LEMMA 1.5 ([6]). There exist a 1-form  $\beta$  and unique C-valued functions  $L_{ij}$   $(i, j = 1, 2, \dots, n-1)$  on F such that

$$d heta_n^*+\sum\limits_{i,j=1}^{n-1}L_{ij} heta_i^*\wedgear{ heta}_j^*+eta\wedge heta_n^*=0$$
  $(L_{ij}+ar{L}_{fi}=0)$  ,

where  $\bar{\theta}_i^*$  is the complex conjugate 1-form of  $\theta_i^*$ .

For  $x \in F$ , we set  $L(x) = (L_{ij}(x))$ . Then  $\sqrt{-1}L(x)$  is a hermitian matrix of degree n-1. We call  $\sqrt{-1}L(x)$  the Levi-form at  $x \in F$ . The Levi-form at x defines a hermitian inner product of  $D_{\sigma(x)}$ . In fact if we set;

$$L_r(X,Y) = K_r(JX,Y) + \sqrt{-1}K_r(X,Y)$$
 for  $X,Y \in D_{r(x)}$ ,

then we have easily

$$L_{x}(X, Y) = 2 \sum_{i,j=1}^{n-1} \sqrt{-1} L_{ij}(x) \xi_{i} \overline{\eta}_{j}$$
,

where

$$x^{-1}(X) = egin{pmatrix} \xi_1 \ dots \ \xi_{n-1} \ 0 \end{pmatrix}, \qquad x^{-1}(Y) = egin{pmatrix} \eta_1 \ dots \ \eta_{n-1} \ 0 \end{pmatrix} \in \mathfrak{m}_* \ .$$

Now we will define the notion of a non-degenerate hypersurface and its index. Let p be a point of S. For  $x \in \pi^{-1}(p)$ ,  $L_x$  is a hermitian inner product of  $D_p$ . Let k(x) (resp. l(x)) be the dimension of a maximal subspace on which  $L_x$  is positive definite (resp. negative definite). We define an integer valued function  $\lambda(p)$  on S by  $\lambda(p) = \min \max \ \text{of} \ k(x)$  and l(x). The integer  $\lambda(p)$  is well-defined, that is,  $\lambda(p)$  is independent of the choise of  $x \in \pi^{-1}(p)$  ([6]), and satisfies  $0 \le \lambda(p) \le \left\lceil \frac{n-1}{2} \right\rceil$ .

DEFINITION 1.6. Let p be a point of S.

- (1) S is called non-degenerate at p if the Levi-form is non-degenerate at p.
  - (2) S is called of index r at p if  $\lambda(p) = r$ .

S is called a non-degenerate hypersurface if its Levi-form is non-degenerate at each point of S. Obviously the index of a non-degenerate hypersurface S is constant on each connected component of S.

**3.** Quadrics. Let us fix an integer r satisfying  $0 \le r \le \left[\frac{n-1}{2}\right]$ .

We will give the model space of non-degenerate (index r) hypersurface ([6]).

Let  $P^n(C)$  be the *n*-dimensional complex projective space, and let  $z_0, z_1, \dots, z_n$  be the system of its homogeneous coordinates. We define the hermitian matrices  $I_r$  and  $\tilde{I}_r$  of degree n-1 and n+1 by

$$I_r = \begin{pmatrix} -E_r & 0 \\ 0 & E_{n-r-1} \end{pmatrix}, \qquad \tilde{I}_r = \begin{pmatrix} 0 & 0 & \sqrt{-1} \\ 0 & I_r & 0 \\ -\sqrt{-1} & 0 & 0 \end{pmatrix}$$

where  $E_s$  is the unit matrix of degree s.

Let  $Q_r$  be the quadric of  $P^n(C)$  defined by  $\tilde{I}_r$ , that is,

$$egin{aligned} Q_r &= \left\{ (z_0,\,\cdots,z_n) \in P^n(C) \,|\, -\sqrt{-1}z_0ar{z}_n 
ight. \ &\qquad -\sum\limits_{i=1}^r z_iar{z}_i \,+\, \sum\limits_{i=r+1}^{n-1} z_iar{z}_i \,+\, \sqrt{-1}z_nar{z}_0 = 0 
ight\} \,. \end{aligned}$$

It is known [6] that  $Q_r$  is a connected non-degenerate hypersurface of  $P^n(C)$  and its index is r.

Let P(n,C) be the group of all projective transformations. We consider the subgroup G(r) of P(n,C) which consists of all projective transformations leaving  $Q_r$  invariant. G(r) acts effectively and transitively on  $Q_r$  as a group of pseudo-conformal transformations. Moreover if we identify P(n,C) with GL(n+1,C)/GL(1,C), the identity component of G(r) is  $U(\tilde{I}_r)/U(1) = SU(\tilde{I}_r)/n$ , where U(1) (resp. n) is the center of  $U(\tilde{I}_r)$  (resp.  $SU(\tilde{I}_r)$ ). G(r) is connected in case  $r \neq \frac{n-1}{2}$  and it has

two connected components in case  $r = \frac{n-1}{2}$  (n: odd integer). We denote by G'(r) the isotropy subgroup of G(r) at  $o = (1, 0, \dots, 0) \in Q_r$ .

Now we will explain the graded structure of the Lie algebra g(r) of G(r). Since the identity component of G(r) is  $SU(\tilde{I}_r)/\pi$ , g(r) can be identified with  $\mathfrak{SU}(\tilde{I}_r)$ , that is,

$$g(r) = \{X \in \mathfrak{gl}(n+1, C) \mid {}^t \overline{X} \tilde{I}_r + \tilde{I}_r X = 0, \text{ trace } X = 0\}.$$

g(r) is isomorphic with  $\mathfrak{Su}(r+1,n-r)$ , and so it is simple. Each element X of g(r) can be written explicitly as a matrix of the form

$$egin{pmatrix} -\overline{u} & -\sqrt{-1} \ ^t \overline{w} I_r & w_n \ \dot{\xi} & v & w \ \dot{\xi}_n & \sqrt{-1} \ ^t ar{\xi} I_r & u \end{pmatrix}$$

where  $\xi_n$ ,  $w_n \in R$ ,  $\xi$ ,  $w \in C^{n-1}$ ,  $v \in \mathfrak{u}(I_r)$ , and  $u - \overline{u} + \text{trace } v = 0$ . For an

element 
$$E_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$
 of  $g(r)$ , ad  $(E_0)$  (i.e. ad  $(E_0)(X) = [E_0, X]$ ) is a

semi-simple endomorphism of g(r). Its eigenvalues are -2, -1, 0, 1, and 2. We set  $g_k(r) = \{X \in g(r) \mid \text{ad } (E_0)(X) = kX\}$ . Then  $g(r) = \sum_{k=-2}^2 g_k(r)$ , and g(r) becomes a graded Lie algebra with respect to this decomposition. More precisely  $\{g_k(r)\}_{k \in \mathbb{Z}}$  satisfies

$$[g_k(r), g_l(r)] \subset g_{k+l}(r)$$
,

where we set  $g_k(r) = \{0\}$  for  $|k| \ge 3$ . Moreover if we set

$$\begin{cases} m(r) = \sum_{k=-2}^{-1} g_k(r) , \\ g'(r) = \sum_{k=0}^{2} g_k(r) , \end{cases}$$

then we have  $g(r) = m(r) \oplus g'(r)$ . m(r) and g'(r) are subalgebras of g(r). It is easily seen that g'(r) coincides with the Lie algebra of G'(r).

Remark 1.7. Let  $\chi$  be the natural homomorphism of GL(n+1,C) onto P(n,C)=GL(n+1,C)/GL(1,C). Setting  $\hat{G}(r)=\chi^{-1}(G(r))$ , we have

$$\hat{G}(r) = \{ \sigma \in GL(n+1, C) | {}^t \bar{\sigma} \tilde{I}_r \sigma = \pm \tilde{I}_r \}.$$

Hence we get

(1) if 
$$r \neq \frac{n-1}{2}$$
 
$$\hat{G}(r) = U(\tilde{I}_r)$$

(2) if 
$$r = \frac{n-1}{2} (n)$$
: odd integer)  $\hat{G}(r) = U(\tilde{I}_r) \cup \sigma_0(U(\tilde{I}_r))$ ,

where

$$\sigma_0 = egin{pmatrix} 1 & 0 & 0 \ 0 & I_r^* & 0 \ 0 & 0 & -1 \end{pmatrix}, \qquad I_r^* = egin{pmatrix} 0 & E_r \ E_r & 0 \end{pmatrix}.$$

In particular the Lie algebra of  $\hat{G}(r)$  is  $\mathfrak{u}(\tilde{I}_r)$ . Note that the kernel of  $\chi_*$  coincides with the center  $\mathfrak{u}(1)$  of  $\mathfrak{u}(\tilde{I}_r)$  and  $\mathfrak{u}(\tilde{I}_r) = \mathfrak{u}(1) \oplus \mathfrak{gu}(\tilde{I}_r)$  (direct sum). Moreover we have  $\chi_* \circ \operatorname{Ad}_{\hat{G}(r)}(\sigma) = \operatorname{Ad}_{G(r)}(\chi(\sigma)) \circ \chi_*$  from  $\chi \circ I_\sigma = I_{\chi(\sigma)} \circ \chi$  ( $I_\sigma$  is the inner automorphism induced by  $\sigma$ ). Since we are identifying  $\mathfrak{g}(r)$  with  $\mathfrak{gu}(\tilde{I}_r)$ ,  $\operatorname{Ad}_{G(r)}(\chi(\sigma))$  is identified with the restriction of  $\operatorname{Ad}_{\hat{G}(r)}(\sigma)$  to  $\mathfrak{gu}(\tilde{I}_r)$ .

**4. Pseudo-conformal** G'(r)-bundles. First we consider the linear isotropy group of G'(r). We identify the tangent space at o to  $Q_r = G(r)/G'(r)$  with  $\mathfrak{m}(r)$  ( $\cong \mathfrak{g}(r)/\mathfrak{g}'(r)$ ). Moreover we identify  $\mathfrak{m}(r)$  with  $\mathfrak{m}$  via

$$\mathfrak{m}\ni\begin{pmatrix}\xi_1\\\vdots\\\xi_n\end{pmatrix}\mapsto\begin{pmatrix}0&0&0\\\xi&0&0\\\xi_n&\sqrt{-1}\,{}^t\bar{\xi}I_r&0\end{pmatrix}\in\mathfrak{m}(r)\qquad\xi_n\in\boldsymbol{R},\,\xi=\begin{pmatrix}\xi_1\\\vdots\\\xi_{n-1}\end{pmatrix}\in\boldsymbol{C}^{n-1}\;.$$

We consider the linear isotropy representation l;  $G'(r) \to GL(\mathfrak{m})$ . Let  $\tilde{G}(r) = l(G'(r))$  be the linear isotropy group of G'(r). Then  $\tilde{G}(r)$  is a closed subgroup of H. In fact let  $\tau = \chi(\sigma)$  be an element of G'(r), where  $\sigma$  is given by

$$\sigma = egin{pmatrix} ar{a}^{-1} & -arepsilon\sqrt{-1}ar{a}^{-1}\ ^tar{C}I_rB & d \ 0 & B & C \ 0 & 0 & arepsilon a \end{pmatrix}$$

$$(\varepsilon = \pm 1, a, d \in C, C \in C^{n-1}, f \overline{B}I_r B = \varepsilon I_r, \sqrt{-1}(\overline{a}d - a\overline{d}) = {}^t \overline{C}I_r C).$$

Then we have

$$l( au) = egin{pmatrix} ar{a}B & ar{a}C \ 0 & arepsilon \, |a|^2 \end{pmatrix}$$
 ,

which is easily seen from the following commutative diagram

$$g(r) \xrightarrow{\operatorname{Ad}(\tau)} g(r)$$

$$p \downarrow \qquad \qquad \downarrow p \qquad \tau \in G'(r)$$

$$\mathfrak{m}(r) \xrightarrow{l(\tau)} \mathfrak{m}(r)$$

(p is the projection of g(r) onto m(r) corresponding to  $g(r) = m(r) \oplus g'(r)$ ). From this we get easily ([6])

$$\widetilde{G}(r) = \left\{ \sigma = \begin{pmatrix} B & C \\ 0 & a \end{pmatrix} \in H \middle| a^{-1} {}^{t} \overline{B} I_{r} B = I_{r} \right\}.$$

Let S be a hypersurface which is non-degenerate of index r at every point. Then at each point x of F the Levi-form  $\sqrt{-1}L(x)$  is a hermitian matrix of signature (r, n-r-1) or (n-r-1, r), where we say that a hermitian matrix L is of signature (p, q) if L has p negative eigenvalues and q positive eigenvalues. We set

$$\tilde{F} = \{x \in F \mid \sqrt{-1}L(x) = I_x\}.$$

Then since  $L(x\sigma) = a^{-1} \, {}^t \bar{B} L(x) B$  for  $\sigma = \begin{pmatrix} B & C \\ 0 & a \end{pmatrix} \in H$  (cf. Lemma 4 [6]),  $\tilde{F}$  becomes a principal fibre bundle over S with the structure group  $\tilde{G}(r)$ . Obviously  $\tilde{F}(S, \tilde{G}(r))$  is a subbundle of F(S, H) (therefore of L(S)).  $\tilde{F}(S, \tilde{G}(r))$  is called the pseudo-conformal  $\tilde{G}(r)$ -bundle associated with S ([6], [7]).

Remark 1.8 (cf. [7]). Let  $\tilde{\theta}_1, \dots, \tilde{\theta}_n$  be the components of the canonical 1-form  $\tilde{\theta}$  on  $\tilde{F}$ . Then from the definition of  $\sqrt{-1}L(x)$  (cf. Lemma 1.5), we have

$$\mathrm{d} ilde{ heta}_n + \sqrt{-1}\sum\limits_{i=1}^{n-1}arepsilon_i ilde{ heta}_i \wedge ilde{ heta}_i \equiv 0 \ \mathrm{mod}\ ilde{ heta}_n$$
 ,

where

$$arepsilon_i = egin{cases} -1 & 1 \leq i \leq r \ 1 & ext{otherwise} \ . \end{cases}$$

Identifying  $\mathfrak{m}$  with  $\mathfrak{m}(r)$ , we write the  $\mathfrak{m}(r)$ -valued 1-form  $\tilde{\theta}$  in the form  $\tilde{\theta} = \tilde{\theta}_{-2} + \tilde{\theta}_{-1}$ , where  $\tilde{\theta}_k$  is the  $\mathfrak{g}_k(r)$ -component of  $\tilde{\theta}$  (k = -2, -1). Then we can write

$$d\tilde{\theta}_{-2} + \frac{1}{2}[\tilde{\theta}_{-1} \wedge \tilde{\theta}_{-1}] \equiv 0 \mod \tilde{\theta}_{-2}$$

where [,] is the bracket operation of m(r).

5. Tanaka's theorem. Digressing from hypersurfaces we will now mention about the Cartan connection and its curvature (cf. [4]).

Let M be a manifold of dimension n. Let G be a Lie group, and G' be a closed subgroup of G with dim. G/G'=n. We denote by  $\mathfrak{g},\mathfrak{g}'$  the Lie algebras of G and G' respectively.

DEFINITION 1.9. Let M, G and G' be as above.  $(P, \omega)$  is called a Cartan connection of type (G, G') over M if P and  $\omega$  satisfy the following

- (1) P is a principal fibre bundle over M with the structure group G'.
  - (2)  $\omega$  is a g-valued 1-form on P satisfying the following conditions.
    - (a)  $R_a^* \omega = \operatorname{Ad}(a^{-1})\omega$  for  $a \in G'$ ,
    - (b)  $\omega(A^*) = A$  for  $A \in \mathfrak{g}'$ ,

where  $A^*$  is the fundamental vector field corresponding to A.

(c) 
$$\omega(X) = 0$$
 implies  $X = 0$ .

From (c)  $\omega$  defines an absolute parallelism on P. Hence for  $U \in \mathfrak{g}$ , we can define a vector field  $U^*$  on P by  $U_z^* = \omega_z^{-1}(U)$ ,  $z \in P$ . For  $A \in \mathfrak{g}'$  it is obvious from (b) that  $A^*$  above coincides with the fundamental vector field corresponding to A.

The curvature form  $\Omega$  of a Cartan connection  $(P, \omega)$  is defined by

$$\Omega = d\omega + \frac{1}{2} [\omega \wedge \omega]$$
.

DEFINITION 1.10. Let S be a non-degenerate (index r) hypersurface, and let  $\tilde{F}(S, \tilde{G}(r))$  be the corresponding  $\tilde{G}(r)$ -bundle over S. A triplet  $(P, \omega, \bar{l})$  is called a pseudo-conformal connection over S if  $P, \omega$  and  $\bar{l}$  satisfy the following

- (1)  $(P, \omega)$  is a Cartan connection of type (G(r), G'(r)) over S.
- (2)  $\tilde{l}$  is a bundle homomorphism of P(S, G'(r)) onto  $\tilde{F}(S, \tilde{G}(r))$  corresponding to  $l; G'(r) \to \tilde{G}(r)$ , which preserves the base space and satisfies

 $l^*\tilde{\theta} = \theta$ , where  $\tilde{\theta}$  is the canonical 1-form on  $\tilde{F}$  and  $\theta$  is the  $\mathfrak{m}(r)$ -component of  $\omega$ .

Let  $\Omega$  be the curvature form of a pseudo-conformal connection  $(P, \omega, \bar{l})$ . Let B be the Killing form of g(r). We have  $B(g_k(r), g_l(r)) = 0$  if  $k + l \neq 0$ . Moreover the bilinear mapping  $g_k(r) \times g_{-k}(r) \ni (X, Y) \mapsto B(X, Y) \in \mathbb{R}$  gives a duality between  $g_k(r)$  and  $g_{-k}(r)$ . Then the "Ricci" curvature  $\Omega^*$ , which is a g(r)-valued 1-form on P, is defined by

$$\Omega_z^*(X) = \sum_{k=-2}^{-1} \sum_i [u_i^{-k}, \Omega_z((u_i^{-k})^*, X)] \qquad X \in T_z(P)$$

where  $\{u_i^k\}_i$  is a base of  $\mathfrak{g}_k(r)$  and  $\{u_i^{-k}\}_i$  is the dual base of  $\{u_i^k\}_i$ . Now we state the results of Tanaka.

THEOREM A [7]. Let M and M' be complex manifolds of dimension n. Let S (resp. S') be a non-degenerate (index r) hypersurface of M (resp. M'). Then there exists a pseudo-conformal connection  $(P, \omega, \bar{l})$  (resp.  $(P', \omega', \bar{l}')$ ) over S (resp. S'), which satisfies

$$\varOmega_{-2}=\varOmega_{-1}=\varOmega^*=0 \qquad (resp. \ \varOmega'_{-2}=\varOmega'_{-1}=\varOmega'^*=0)$$
 ,

where  $\Omega_k$  (resp.  $\Omega'_k$ ) is the  $g_k(r)$ -component of  $\Omega$  (resp.  $\Omega'$ ).

And suppose that f is a pseudo-conformal homeomorphism of S onto S'. Then there corresponds a unique bundle isomorphism  $\tilde{f}$  of P(S, G'(r)) onto P'(S', G'(r)) which induces the given f on S and satisfies  $\tilde{f}^*\omega' = \omega$ . Conversely every bundle isomorphism  $\tilde{f}$  of P(S, G'(r)) onto P'(S', G'(r)) satisfying  $\tilde{f}^*\omega' = \omega$  induces a pseudo-conformal homeomorphism of S onto S'.

The above P(S, G'(r)), whose existence and uniqueness (up to a isomorphism commuting with  $\bar{l}$ ) are guaranteed in the theorem, is called the pseudo-conformal G'(r)-bundle associated with S and  $(P, \omega)$  is called the normal pseudo-conformal connection.

Let S be a non-degenerate (index r) hypersurface, and let P(S, G'(r)) be the corresponding G'(r)-bundle over S. We now consider the Lie algebra  $\tilde{a}(S)$  of all infinitesimal pseudo-conformal transformations of S. We set  $\tilde{a}(P) = \{X \in \mathfrak{X}(P) | L_X \omega = 0, R_{a*} X = X \text{ for } a \in G'(r)\}$ , where  $\mathfrak{X}(P)$  is the Lie algebra of all vector fields on P and  $L_X$  is the Lie differentiation with respect to X. Then the infinitesimal version of Theorem A reads;

THEOREM A'. Let S be a non-degenerate (index r) hypersurface, and

let P(S, G'(r)) be the corresponding G'(r)-bundle over S. Let  $\pi$  be the bundle projection of P onto S. Then  $\pi_*$  is a Lie algebra isomorphism of  $\tilde{\alpha}(P)$  onto  $\tilde{\alpha}(S)$ .

# II. Filtration of $\alpha(S)$ .

First we will examine the filtration of g(r). For  $g(r) = \sum_{k=-2}^{2} g_k(r)$ , we set for each integer l

$$\begin{cases} \mathscr{L}_l(r) = \sum_{k=l}^2 \mathfrak{g}_k(r) & (l = -2, -1, 0, 1, 2) , \\ \mathscr{L}_l(r) = \mathscr{L}_{-2}(r) & (l \leq -3) , & \mathscr{L}_l(r) = 0 \ (l \geq 3) . \end{cases}$$

With respect to this filtration  $g(r) = \mathcal{L}_{-2}(r)$  becomes a filtered Lie algebra, that is,  $\{\mathcal{L}_k(r)\}_{k\in\mathbb{Z}}$  satisfy  $[\mathcal{L}_k(r),\mathcal{L}_l(r)] \subset \mathcal{L}_{k+l}(r)$ .

LEMMA 2.1. For  $a \in G'(r)$ , Ad (a) preserves this filtration.

*Proof.* Recall that the Lie algebra of G'(r) coincides with  $\mathfrak{g}'(r) = \mathscr{L}_0(r)$ .

- (1) in case G'(r) is connected (i.e.  $r \neq \frac{n-1}{2}$ ). For  $X \in \mathfrak{g}'(r) = \mathscr{L}_0(r)$ , ad (X) preserves the filtration. Hence Ad  $(\exp X) = \exp$  ad (X) preserves the filtration.
- (2) in case G'(r) is not connected (i.e.  $r=\frac{n-1}{2}$ ). G'(r) has two connected components. But in this case we can find an element  $\tau_0=\chi(\sigma_0)$  of G'(r), which does not belong to the identity component, such that  $\mathrm{Ad}\,(\tau_0)$  preserves the filtration, e.g.

$$\sigma_{\scriptscriptstyle{0}} = egin{pmatrix} 1 & 0 & 0 \ 0 & I_r^* & 0 \ 0 & 0 & -1 \end{pmatrix}, \qquad I_r^* = egin{pmatrix} 0 & E_{\scriptscriptstyle{r}} \ E_{\scriptscriptstyle{T}} & 0 \end{pmatrix}.$$

(In fact Ad  $(\tau_0)$  preserves also the grading of g(r).) Q.E.D.

From now on in this section let S be a non-degenerate (index r) hypersurface. And let  $(P, \omega, \bar{l})$  be the normal pseudo-conformal connection over S.

Let us fix an arbitrary point z of P. Since each element of  $\tilde{\alpha}(P)$  is an infinitesimal automorphism of the absolute parallelism defined by  $(P,\omega)$ , it is known (cf. [5; p232 Lemma]) that the linear map  $\omega_z : \tilde{\alpha}(P) \ni X \mapsto \omega_z(X_z) \in \mathfrak{g}(r)$ , is injective.

LEMMA 2.2. For  $X, Y \in T_z(P)$ , we have

- (1)  $\omega_{z}(X) \in \mathcal{L}_{-1}(r)$  if and only if  $\pi_{*}(X) \in D_{\pi(z)}$ ,
- (2)  $\omega_{\mathbf{z}}(x) \in \mathcal{L}_{\mathbf{0}}(r) = \mathfrak{g}'(r)$  if and only if  $\pi_{\mathbf{z}}(X) = 0$ ,
- (3)  $\Omega_z(X, Y) = 0$  if  $\pi_*(X) = 0$  or  $\pi_*(Y) = 0$ ,

where  $\Omega$  is the curvature form of the connection.

*Proof.* (1) and (2) follow immediately from  $\tilde{l}(z)$  ( $\mathfrak{g}_{-1}(r)$ ) =  $D_{\pi(z)}$  and the following commutative diagram which is a direct consequence of the equality  $\tilde{l}^*\tilde{\theta} = \theta$  (=  $p\omega$ );

$$T_{z}(P) \xrightarrow{\omega_{z}} g(r)$$

$$\pi_{*} \downarrow \qquad \qquad \downarrow p .$$

$$T_{\pi(z)}(S) \xleftarrow{l(z)} \mathfrak{m}(r)$$

In fact for  $X \in T_z(P)$  we have

$$p\omega_{z}(X) = \theta_{z}(X) = \tilde{\theta}_{\bar{l}(z)}(\bar{l}_{*}X) = (\bar{l}(z))^{-1}(\varpi_{*}\bar{l}_{*}X) = (\bar{l}(z))^{-1}(\pi_{*}X).$$

In order to prove (3), we have only to show  $\Omega(U^*, A^*) = 0$  for  $U \in \mathfrak{g}(r)$  and  $A \in \mathfrak{g}'(r)$ . First we note that  $[U^*, A^*] = [U, A]^*$ . In fact from  $R_{a*}U^* = (\operatorname{Ad}(a^{-1})U)^*$ ,  $a \in G'(r)$ , we have

$$[U^*, A^*] = -L_{A^*}U^* = (-L_AU)^* = [U, A]^*$$
.

Therefore, from the structure equation, we get  $\Omega(U^*, A^*) = 0$ . Q.E.D. We set  $\tilde{\alpha}_z(P) = \{X \in \tilde{\alpha}(P) | \pi_{*z}(X) = 0\}$ . Then

LEMMA 2.3. For  $X, Y \in \tilde{a}(P)$ , we have

$$-\omega_z([X,Y]) = [-\omega_z(X), -\omega_z(Y)] - 2\Omega_z(X,Y).$$

In particular if either X or Y belongs to  $\tilde{a}_{z}(P)$ , then we have

$$-\omega_z([X,Y]) = [-\omega_z(X), -\omega_z(Y)].$$

*Proof.* From  $L_{\mathbb{X}}\omega=0$ , we have  $X\omega(Z)=\omega([X,Z])$  for  $Z\in\mathfrak{X}(P)$ . Hence the assertion is clear from the structure equation and Lemma 2.2 (3).

Let A(S) be the group of all pseudo-conformal transformations of S. We consider the subset a(S) of  $\tilde{a}(S)$  consisting of complete vector fields in  $\tilde{a}(S)$ . Then a(S) is a subalgebra of  $\tilde{a}(S)$  which is naturally isomorphic with the Lie algebra of A(S). Moreover a(S) can be regarded as a sub-

algebra  $\mathfrak{h}$  of  $\tilde{\mathfrak{a}}(P)$  via  $\pi_* \colon \tilde{\mathfrak{a}}(P) \to \tilde{\mathfrak{a}}(S)$ . In fact  $\mathfrak{h}$  coincides with the subalgebra  $\mathfrak{a}(P)$  of  $\tilde{\mathfrak{a}}(P)$  which consists of complete vector fields in  $\tilde{\mathfrak{a}}(P)$ .

Now let us fix a point  $p_0$  of S and choose a point  $z_0$  of the fibre  $\pi^{-1}(p_0)$  over  $p_0$ . We set for each integer k

$$\mathfrak{h}_k = \mathfrak{h} \cap \omega_{z_0}^{-1}(\mathscr{L}_k(r))$$
.

Then  $\mathfrak{h}_k = \mathfrak{h}$   $(k \leq -2)$  and  $\mathfrak{h}_k = \{0\}$   $(k \geq 3)$ . Note that the above definition is independent of the choice of  $z_0$  in  $\pi^{-1}(p_0)$ , which is easily seen from Lemma 2.1 and the equalities  $R_a^*\omega = \operatorname{Ad}(a^{-1})\omega$  and  $R_{a*}X = X$ ,  $a \in G'(r)$ ,  $X \in \tilde{\mathfrak{a}}(P)$ . Hence the above defines a filtration of  $\mathfrak{a}(S)$  at  $p_0$ . From Lemma 2.2 and Lemma 2.3 we have

PROPOSITION 2.4. With respect to the above filtration, a(S) becomes a filtered Lie algebra. In particular  $(a(S))_{-1}$  and  $(a(S))_0$  are given by

$$(\alpha(S))_{-1} = \{ X \in \alpha(S) \, | \, X_{p_0} \in D_{p_0} \} ,$$

$$(\alpha(S))_0 = \{ X \in \alpha(S) \, | \, X_{p_0} = 0 \} .$$

Next we will consider the associated graded Lie algebra  $\tilde{\mathfrak{h}}$  of the filtered Lie algebra  $\mathfrak{h}$ . Setting  $\tilde{\mathfrak{h}}_k = \mathfrak{h}_k/\mathfrak{h}_{k+1}$  for each integer k (note  $\tilde{\mathfrak{h}}_k = \{0\}$  for  $|k| \geq 3$ ), we define  $\tilde{\mathfrak{h}}$  by

$$\tilde{\mathfrak{h}} = \sum_{k=-2}^{2} \tilde{\mathfrak{h}}_{k}$$
 (vector space direct sum).

The bracket operation of  $\tilde{\mathfrak{h}}$  is defined in a natural manner. Obviously we have dim.  $\mathfrak{h}=\dim \tilde{\mathfrak{h}}.$ 

First observe that there exists an injective linear map  $\nu_{z_0}^k$  of  $\tilde{\mathfrak{h}}_k$  into  $\mathfrak{g}_k(r)$  which satisfies the following commutative diagram

where  $\mu_k$  is the natural projection of  $\mathfrak{h}_k$  onto  $\tilde{\mathfrak{h}}_k = \mathfrak{h}_k/\mathfrak{h}_{k+1}$  and  $p_k$  is the projection of  $\mathfrak{g}(r)$  onto  $\mathfrak{g}_k(r)$  corresponding to  $\mathfrak{g}(r) = \sum_{k=-2}^2 \mathfrak{g}_k(r)$ . We define an injective linear map  $\nu_{z_0}$  of  $\tilde{\mathfrak{h}}$  into  $\mathfrak{g}(r)$  by setting

$$u_{z_0} = \nu_{z_0}^{-2} \times \nu_{z_0}^{-1} \times \cdots \times \nu_{z_0}^{2}$$
.

**LEMMA 2.5.** Notations being as above, the linear map  $\nu_{z_0}$  is an injective homomorphism of  $\tilde{h}$  into g(r).

Hence setting  $\tilde{\mathfrak{h}}_{z_0} = \nu_{z_0}(\tilde{\mathfrak{h}})$ , we see that  $\tilde{\mathfrak{h}}_{z_0}$  is a graded subalgebra of g(r) which is isomorphic with  $\tilde{\mathfrak{h}}$  and satisfies dim.  $\tilde{\mathfrak{h}}_{z_0} = \dim \alpha(S)$ .

Proof of Lemma 2.5. It suffices to show  $\nu_{z_0}([\tilde{X}_k, \tilde{Y}_l]) = [\nu_z(\tilde{X}_k), \nu_{z_0}(\tilde{Y}_l)]$  for  $\tilde{X}_k \in \tilde{\mathfrak{h}}_k$  and  $\tilde{Y}_l \in \tilde{\mathfrak{h}}_l$ . Choose  $X_k \in \tilde{\mathfrak{h}}_k$  (resp.  $Y_l \in \tilde{\mathfrak{h}}_l$ ) such that  $\tilde{X}_k = \mu_k(X_k)$  (resp.  $\tilde{Y}_l = \mu_l(Y_l)$ ). Then

$$\nu_{z_0}([\tilde{X}_k, \tilde{Y}_l]) = -p_{k+l}\omega_{z_0}([X_k, Y_l]).$$

Set  $-\omega_{z_0}(X_k) = \sum_{i=k}^2 \overline{X}_i$ ,  $\overline{X}_i \in \mathfrak{g}_i(r)$  (resp.  $-\omega_{z_0}(Y_i) = \sum_{i=1}^2 \overline{Y}_i$ ,  $\overline{Y}_i \in \mathfrak{g}_i(r)$ ). Then from the definition of  $\nu_{z_0}$  and the graded structure of  $\mathfrak{g}(r)$ , we have

$$u_{z_0}( ilde{X}_k) = \overline{X}_k$$
 ,  $u_{z_0}( ilde{Y}_l) = \overline{Y}_l$ 

and

$$p_{k+1}([-\omega_{z_0}(X_k), -\omega_{z_0}(Y_l)]) = [\overline{X}_k, \overline{Y}_l]$$

(1) in case  $k \ge 0$  or  $l \ge 0$ . From Lemma 2.3 we have  $-\omega_{z_0}([X_k, Y_l])$  =  $[-\omega_{z_0}(X_k), -\omega_{z_0}(Y_l)]$ . Hence we get

$$\nu_{z_0}([\tilde{X}_k,\tilde{Y}_l]) = [\overline{X}_k,\overline{Y}_l] = [\nu_{z_0}(\tilde{X}_k),\nu_{z_0}(\tilde{Y}_l)].$$

(2) otherwise. Non-trivial case is when k = l = -1. Form the above we have

$$\begin{array}{l} \nu_{z_0}([\tilde{X}_{-1},\tilde{Y}_{-1}]) = p_{-2}(-\omega_{z_0}([X_{-1},Y_{-1}]) \;, \\ [\nu_{z_0}(\tilde{X}_{-1}),\nu_{z_0}(\tilde{Y}_{-1})] = p_{-2}([-\omega_{z_0}(X_{-1}),-\omega_{z_0}(Y_{-1})]) \;. \end{array}$$

In this case we have from Lemma 2.3

$$-\omega_{z_0}([X_{-1},Y_{-1}]) = [-\omega_{z_0}(X_{-1}), -\omega_{z_0}(Y_{-1})] - 2\Omega_{z_0}(X_{-1},Y_{-1}).$$

But, due to Theorem A, the  $\mathfrak{g}_{-2}(r)$ -component  $\Omega_{-2}$  of  $\Omega$  vanishes identically. Hence we get  $\nu_{z_0}([\tilde{X}_{-1},\tilde{Y}_{-1}])=[\nu_{z_0}(\tilde{X}_{-1}),\nu_{z_0}(\tilde{Y}_{-1})].$  Q.E.D.

Remark 2.6. Clearly the representation  $\nu_{z_0}$  of  $\tilde{\mathfrak{h}}$  into  $\mathfrak{g}(r)$  is dependent on the choice of  $z_0$  in  $\pi^{-1}(p_0)$ . Choose another point  $z_1 = z_0 a$ , if Ad (a) preserves the grading of  $\mathfrak{g}(r)$ , we get from  $R_a^* \omega = \operatorname{Ad}(a^{-1})\omega$ 

$$\tilde{\mathfrak{h}}_{z_0a}=\operatorname{Ad}(a^{-1})\tilde{\mathfrak{h}}_{z_0}$$
.

Moreover if we define a vector subspace  $\mathfrak{h}_{z_0}$  of  $\mathfrak{g}(r)$  by  $\mathfrak{h}_{z_0} = \omega_{z_0}(\mathfrak{h})$ , we get similarly

$$\mathfrak{h}_{z_0a}=\operatorname{Ad}(a^{-1})\mathfrak{h}_{z_0}$$
 ,  $a\in G'(r)$  .

Remark 2.7. The discussion in this section can be well applied to a connected hypersurface S which is non-degenerate of index r at a point; Let  $S^*$  be the set of all points of S at which S is non-degenerate of index r. Obviously  $S^*$  is an open subset of S. Hence  $S^*$  is a non-degenerate (index r) hypersurface. Let  $P^*(S^*, G'(r))$  be the corresponding G'(r)-bundle over  $S^*$ . We consider the restriction map res of  $\mathfrak{a}(S)$  into  $\tilde{\mathfrak{a}}(S^*)$ . Since we are considering, exclusively, real analytic hypersurfaces, each infinitesimal pseudo-conformal transformation of S is a real analytic vector field on S. Hence the connectedness of S implies that res;  $\mathfrak{a}(S) \to \tilde{\mathfrak{a}}(S^*)$  is an injective homomorphism. On the other hand  $(\pi^*)_*$  is an isomorphism of  $\tilde{\mathfrak{a}}(P^*)$  onto  $\tilde{\mathfrak{a}}(S^*)$ . Hence we can define a subalgebra  $\mathfrak{h}$  of  $\tilde{\mathfrak{a}}(P^*)$  by  $\mathfrak{h} = (\pi^*)_*^{-1} \circ res (\mathfrak{a}(S))$ . Then  $\mathfrak{h}$  is isomorphic with  $\mathfrak{a}(S)$ . Therefore if we fix a point  $p_0$  of  $S^*$ , we can define a filltration of  $\mathfrak{h}$  (and consequently of  $\mathfrak{a}(S)$ ) at  $p_0$  similarly as in this section.

# III. Relations between A(S) $(S, A_{p_0}(S))$ and P(S, G'(r)).

Throughout this section we assume that S is a connected non-degenerate (index r) homogeneous (i.e. A(S) acts transitively on S) hypersurface. Let  $(P, \omega, \bar{l})$  be the normal pseudo-conformal connection over S. We denote by  $\tilde{\sigma}$  the connection-preserving bundle isomorphism of P(S, G'(r)) induced by  $\sigma \in A(S)$ . Then from I. Theorem A, A(S) acts effectively on P as an automorphism group of the Cartan connection  $(P, \omega)$ .

Let us fix a point  $p_0 \in S$  and take a point  $z_0 \in \pi^{-1}(p_0)$ . And we define  $\iota_{z_0}$ ;  $A(S) \to P$  by  $\iota_{z_0}(\sigma) = \tilde{\sigma}(z_0)$ ,  $\sigma \in A(S)$ . Then it is known ([4]) that  $\iota_{z_0}$  is an imbedding of A(S) as a closed submanifold of P.

Let  $A_{p_0}(S)$  be the isotropy subgroup of A(S) at  $p_0 \in S$ . Obviously we have

$$\iota_{z_0}(A_{p_0}(S)) \subset \pi^{-1}(p_0)$$
.

On the other hand the fibre  $\pi^{-1}(p_0)$  of P(S, G'(r)) is diffeomorphic with G'(r) via a diffeomorphism  $\gamma_{z_0}$  of G'(r) onto  $\pi^{-1}(p_0)$ , where  $\gamma_{z_0}(a) = z_0 a$ ,  $a \in G'(r)$ . Therefore the composite map  $\rho_{z_0} = \gamma_{z_0}^{-1} \circ \iota_{z_0}$  is an imbedding of  $A_{p_0}(S)$  into G'(r) and  $\rho_{z_0}(A_{p_0}(S))$  is closed in G'(r). Moreover we have

LEMMA 3.1. The map  $\rho_{z_0}$ ;  $A_{p_0}(S) \to G'(r)$  is an injective homomorphism. And  $\rho_{z_0}(A_{p_0}(S))$  is a closed subgroup of G'(r). Moreover  $(\rho_{z_{0*}})_e = \omega_{z_0} \cdot (\iota_{z_{0*}})_e$ , where e is the unit of  $A_{p_0}(S)$ .

*Proof.* Suppose  $\rho_{z_0}(\sigma_i) = a_i$  (i = 1, 2), that is,  $\tilde{\sigma}_i(z_0) = z_0 \cdot a_i$ , then  $\iota_{z_0}(\sigma_1 \cdot \sigma_2) = \tilde{\sigma}_1 \cdot \tilde{\sigma}_2(z_0) = \tilde{\sigma}_1(z_0 \cdot a_2) = (z_0 \cdot a_1)a_2 = z_0(a_1 \cdot a_2)$ .

Hence we get  $\rho_{z_0}(\sigma_1 \cdot \sigma_2) = a_1 \cdot a_2 = \rho_{z_0}(\sigma_1) \cdot \rho_{z_0}(\sigma_2)$ .  $\rho_{z_0}(A_{p_0}(S))$  is closed in G'(r) since  $A_{p_0}(S)$  is a closed subgroup of A(S),  $\iota_{z_0}(A(S))$  is a closed submanifold of P and  $\pi^{-1}(p_0)$  is closed in P. In order to prove  $(\rho_{z_{0*}})_e = \omega_{z_0} \cdot (\iota_{z_{0*}})_e$ , it suffices to show  $\omega_{z_0} = (\gamma_{z_{0*}})_e^{-1}$ , where e' is the unit element of G'(r), which is clear from the definition of the fundamental vector field  $A^*$  corresponding to A and  $\omega(A^*) = A$ .

Since A(S) acts transitively on S, A(S) is a principal  $A_{p_0}(S)$ -bundle over  $S = A(S)/A_{p_0}(S)$ . Then we have

PROPOSITION 3.2. The imbedding  $c_{z_0}$ ;  $A(S) \to P$  is an injective bundle homomorphism of  $A(S)(S, A_{p_0}(S))$  into P(S, G'(r)) corresponding to  $\rho_{z_0}$ ;  $A_{p_0}(S) \to G'(r)$ , which preserves the base space S.

Hence  $A(S)(S, A_{p_0}(S))$  can be regarded as a subbundle of P(S, G'(r)) via  $\iota_{z_0}$ .

Proof of Proposition 3.2. Let  $\tau$  be an element of  $A_{p_0}(S)$ . Let  $\sigma \in A(S)$ . Then we get easily the following commutative diagram

$$egin{aligned} A(S) & \stackrel{\iota_{z_0}}{\longrightarrow} P \\ R_{ au} & & \downarrow R_{
ho_{z_0}}( au), \qquad au \in A_{p_0}(S) \,. \\ A(S) & \stackrel{\iota_{z_0}}{\longrightarrow} P \end{aligned}$$

Therefore  $\iota_{z_0}$  is a bundle homomorphism corresponding to  $\rho_{z_0}$ . Moreover  $\iota_{z_0}$  induces the identity transformation of S, which follows from  $\pi \cdot \iota_{z_0}(\sigma) = \pi \cdot \tilde{\sigma}(z_0) = \sigma \cdot \pi(z_0) = \sigma(p_0)$  for  $\sigma \in A(S)$ . Q.E.D.

Now we will consider the relation between the Maurer-Cartan form on A(S) and the normal pseudo-conformal connection form  $\omega$  on P. First observe

LEMMA 3.3. Let  $\omega$  be the connection form on P and let  $\Omega$  be its curvature form. Then  $\iota_{z_0}^*\omega$  and  $\iota_{z_0}^*\Omega$  are  $\mathfrak{g}(r)$ -valued left invariant forms on A(S).

*Proof.* Let  $\sigma \in A(S)$ . We denote by  $L_{\sigma}$  the left translation of A(S) by  $\sigma$ . Then we get easily the following commutative diagram.

$$\begin{array}{ccc} A(S) \xrightarrow{\iota_{z_0}} P & & \\ L_{\sigma} & & \int \check{\sigma} & & \text{for } \sigma \in A(S) \ . \\ A(S) \xrightarrow{\iota_{z_0}} P & & & \end{array}$$

Therefore  $\iota_{z_0}^*\omega$  is left invariant since  $\tilde{\sigma}^*\omega = \omega$ ,  $\sigma \in A(S)$ . From the structure equation  $d\omega + \frac{1}{2}[\omega \wedge \omega] = \Omega$ , it is obvious that  $\iota_{z_0}^*\Omega$  is also left invariant. Q.E.D.

In this section we denote by a(S) the Lie algebra of A(S). Then we have easily

$$\iota_{z_0}^*\omega(\mathfrak{a}(S)) = \omega_{z_0}(\mathfrak{h}) = \mathfrak{h}_{z_0},$$

where  $\mathfrak{h} = \mathfrak{a}(P)$  (cf. II).

In case  $\Omega = 0$  we have

PROPOSITION 3.4. Suppose that the curvature form  $\Omega$  of the normal pseudo-conformal connection vanishes identically. Then the linear map  $\iota_{z_0}^*\omega$ ;  $\alpha(S) \to g(r)$  is a Lie algebra isomorphism of  $\alpha(S)$  into g(r). Hence  $\mathfrak{h}_{z_0}(=\iota_{z_0}^*\omega(\alpha(S)))$  is a subalgebra of g(r) which is isomorphic with  $\alpha(S)$ . Moreover if we identify  $\alpha(S)$  with  $\mathfrak{h}_{z_0}, \iota_{z_0}^*\omega$  is the Maurer-Cartan form of A(S).

*Proof.* From  $\Omega = 0$  we get  $d\iota_{z_0}^*\omega + \frac{1}{2}[\iota_{z_0}^*\omega \wedge \iota_{z_0}^*\omega] = 0$ . Let  $A, B \in \mathfrak{a}(S)$ . Then we have

$$2 d\iota_{z_0}^* \omega(A, B) = -\iota_{z_0}^* \omega([A, B]) ,$$

since  $\iota_{z_0}^*\omega$  is left invariant. Hence we get  $\iota_{z_0}^*\omega([A,B]) = [\iota_{z_0}^*\omega(A), \iota_{z_0}^*\omega(B)].$  Q.E.D.

Now we will consider an equivalence of two non-degenerate (index r) homogeneous hypersurfaces. Let M and M' be complex manifolds of dimension n. Let S (resp. S') be a connected non-degenerate (index r) homogeneous hypersurface of M (resp. M'). And let  $(P, \omega, \overline{l})$  (resp.  $(P', \omega', \overline{l}')$ ) be the normal pseudo-conformal connection over S (resp. S'). We denote by  $A^0(S)$  the identity component of A(S), and set  $A^0_{p_0}(S) = A^0(S) \cap A_{p_0}(S)$ . Note that the identity component  $A^0(S)$  acts transitively on S.

PROPOSITION 3.5. Notations being as above, let  $p_0 \in S$  and  $p_0' \in S'$ . Suppose that for points,  $z_0 \in \pi^{-1}(p_0)$ ,  $z_0' \in \pi'^{-1}(p_0')$  suitably chosen, there exists a group isomorphism  $\varphi$  of  $A^0(S)$  onto  $A^0(S')$  satisfying i), ii);

i) 
$$\varphi(A_{p_0}^0(S)) = A_{p_0}^0(S')$$
,

ii) 
$$\varphi^* \iota_{z_0}^* \omega' = \iota_{z_0}^* \omega$$
.

Then the bundle isomorphism  $\varphi$  of  $A^{0}(S)$   $(S, A_{p_{0}}^{0}(S))$  onto  $A^{0}(S')$   $(S', A_{p_{0}}^{0}(S'))$  induces a pseudo-conformal homeomorphism of S onto S'.

*Proof.* From i) it is obvious that  $\varphi$  induces a bundle isomorphism of  $A^{\varrho}(S)(S,A^{\varrho}_{p_{\varrho}}(S))$  onto  $A^{\varrho}(S')(S',A^{\varrho}_{p_{\varrho}}(S'))$ . Since  $A^{\varrho}(S)(S,A^{\varrho}_{p_{\varrho}}(S))$  (resp.  $A^{\varrho}(S')(S',A^{\varrho}_{p_{\varrho}}(S'))$ ) is a subbundle of P(S,G'(r)) (resp. P'(S',G'(r))),  $\varphi$  induces a bundle isomorphism  $\tilde{\varphi}$  of P(S,G'(r)) onto P'(S',G'(r)) which satisfies the following commutative diagram

$$A^{0}(S) \xrightarrow{\varphi} A^{0}(S')$$

$$\iota_{z_{0}} \downarrow \qquad \qquad \downarrow \iota_{z'_{0}} \qquad \cdot$$

$$P \xrightarrow{\widetilde{\varphi}} P'$$

From ii) we get  $\iota_{z_0}^* \tilde{\varphi}^* \omega' = \iota_{z_0}^* \omega$ . Moreover, since  $\tilde{\varphi}$  is a bundle isomorphism, we have  $\tilde{\varphi}^* \omega' = \omega$ . Therefore, from I. Theorem A,  $\tilde{\varphi}$  induces a pseudoconformal homeomorphism of S onto S'. Q.E.D.

#### IV. Graded subalgebras of g(r).

First we will go into details about the structure of the graded Lie algebra  $g(r) = \sum_{k=-2}^{2} g_k(r)$ .

Identifying  $\mathfrak{g}(r)$  with  $\mathfrak{Su}(\tilde{I}_r)$  we represent each element X of  $\mathfrak{g}(r)$  as a matrix of the following form

$$X = egin{pmatrix} -\overline{u} & -\sqrt{-1} \ ^t \overline{w} I_r & w_n \ \xi & v & w \ \xi_n & \sqrt{-1} \ ^t ar{\xi} I_r & u \end{pmatrix}$$
 ,

where  $\xi_n, w_n \in \mathbb{R}$ ,  $u \in \mathbb{C}$  (and  $\overline{u}$  is the complex conjugate of u),  $\xi, w \in \mathbb{C}^{n-1}$ ,  $v \in \mathfrak{u}(I_r)$  and  $u - \overline{u} + \text{trace } v = 0$ . For  $\xi \in \mathbb{C}^{n-1}$ , we define an element  $\xi \in \mathfrak{g}_{-1}(r)$  and an element  $\xi \in \mathfrak{g}_{1}(r)$  by

$$\xi = \begin{pmatrix} 0 & 0 & 0 \\ \xi & 0 & 0 \\ 0 & \sqrt{-1}\,{}^t\!\bar{\xi}I_r & 0 \end{pmatrix}, \qquad \tilde{\xi} = \begin{pmatrix} 0 & -\sqrt{-1}{}^t\!\bar{\xi}I_r & 0 \\ 0 & 0 & \xi \\ 0 & 0 & 0 \end{pmatrix}.$$

Moreover for  $a \in \mathbb{R}$ , we define an element  $\tilde{a} \in \mathfrak{g}_{-2}(r)$  and an element  $\tilde{a} \in \mathfrak{g}_{2}(r)$  by

$$\tilde{a} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ a & 0 & 0 \end{pmatrix}, \qquad \tilde{a} = \begin{pmatrix} 0 & 0 & a \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

For  $\xi, w \in \mathbb{C}^{n-1}$ , we set  $\langle \xi, w \rangle = {}^{t} \bar{\xi} I_{r} w$ .  $\langle , \rangle$  is an indefinite hermitian inner product of  $\mathbb{C}^{n-1}$  of type (r, n-r-1). Then for  $\tilde{a} \in \mathfrak{g}_{2}(r)$ ,  $\tilde{w} \in \mathfrak{g}_{1}(r)$ ,

$$\underline{\xi}\in\mathfrak{g}_{\scriptscriptstyle{-1}}\!(r)\ \ \text{and}\ \ X_{\scriptscriptstyle{0}}=\begin{pmatrix} -\,\overline{u}&0&0\\0&v&0\\0&0&u \end{pmatrix}\in\mathfrak{g}_{\scriptscriptstyle{0}}\!(r),\ \ \text{we have}$$

$$[\xi, \tilde{\tilde{a}}] = \widetilde{a\xi} \in \mathfrak{g}_{\scriptscriptstyle 1}(r)$$

$$(4.2) \quad [\xi,\,\tilde{w}] = \begin{pmatrix} \sqrt{-1}\langle w,\xi\rangle & 0 & 0 \\ 0 & -\sqrt{-1}(\xi\,{}^t\overline{w} + w\,{}^t\bar{\xi})I_r & 0 \\ 0 & 0 & \sqrt{-1}\langle\xi,w\rangle \end{pmatrix} \in \mathfrak{g}_{\mathbf{0}}(r)$$

$$[X_0, \tilde{w}] = vw - uw \in \mathfrak{g}_1(r)$$

$$[\tilde{w}_1, \tilde{w}_2] = \sqrt[4.4]{-1}(\langle w_2, w_1 \rangle - \langle w_1, w_2 \rangle) \in \mathfrak{g}_2(r) .$$

From the above we easily obtain

LEMMA 4.1.

$$[g_{-1}(r), g_2(r)] = g_1(r), \quad [g_1(r), g_1(r)] = g_2(r), \quad [g_{-1}(r), g_1(r)] = g_0(r).$$

Now we will consider a graded subalgebra  $\mathfrak{f} = \sum_{k=-2}^{2} \mathfrak{f}_{k}$  of  $\mathfrak{g}(r)$  which satisfies

$$f_{-2} = g_{-2}(r)$$
 and  $f_{-1} = g_{-1}(r)$ .

First we have

LEMMA 4.2. If  $f_2 \neq \{0\}$ , then f = g(r).

*Proof.* Since dim.  $g_2(r) = 1$ , we have  $f_2 = g_2(r)$ . Hence from  $f_{-2} = g_{-2}(r)$ ,  $f_{-1}(r) = g_{-1}(r)$ , and Lemma 4.1 we get f = g(r). Q.E.D.

Therefore from now on we further assume  $\xi_2 = \{0\}$ . Let  $\delta_r$  be a linear isomorphism of  $C^{n-1}$  onto  $g_1(r)$  defined by  $\delta_r(\xi) = \tilde{\xi}, \xi \in C^{n-1}$ . Then we have

LEMMA 4.3.  $f_1$  is an abelian subalgebra of g(r);  $\delta_r^{-1}(f_1)$  is a complex isotropic vector subspace of the (indefinite) hermitian space  $(C^{n-1}, \langle \rangle)$ . In particular dim.  $f_1 = 2s \leq 2r$ .

*Proof.* Let  $\tilde{w} \in f_1$  and  $\xi \in f_{-1} = g_{-1}(r)$ . Then we have from (4.2) and (4.3)

ad 
$$(\tilde{w})^2(\xi) = [\tilde{w}, [\tilde{w}, \xi]] = \overbrace{-\sqrt{-1}\langle w, w \rangle \xi - 2\sqrt{-1}\langle \xi, w \rangle w} \in \mathfrak{f}_1$$
.

Moreover from (4.4) we have

ad 
$$(\tilde{w})^3(\xi) = -3(\langle \xi, w \rangle + \langle w, \xi \rangle)\langle w, w \rangle \in \mathfrak{f}_2$$

Since  $\langle , \rangle$  is a non-degenerate hermitian form, we can find  $\xi_1 \in \mathbb{C}^{n-1}$  such that  $\langle \xi_1, w \rangle = -\frac{1}{2}$ . Hence from  $f_2 = \{0\}$ , we have

ad 
$$(\tilde{w})^3(\underline{\xi}_1) = \widetilde{3\langle w, w \rangle} = 0$$
 (i.e.  $\langle w, w \rangle = 0$ ) for any  $\tilde{w} \in \underline{t}_1$ .

Moreover we have ad  $(\tilde{w})^2(\xi_1) = \sqrt{-1}w \in \xi_1$ . Therefore  $\delta_r^{-1}(\xi_1)$  is a complex vector subspace of  $C^{n-1}$ . On the other hand let  $w_1, w_2 \in \delta_r^{-1}(\xi_1)$ . Then from

$$\begin{cases} \tilde{w}_1 + \tilde{w}_2 = \overbrace{w_1 + w_2} \in \mathring{\mathfrak{t}}_1 \;, \\ [\tilde{w}_1, \tilde{w}_2] = \overbrace{\sqrt{-1}(\langle w_2, w_1 \rangle - \langle w_1, w_2 \rangle)} \in \mathring{\mathfrak{t}}_2 \;, \end{cases}$$

we get  $[\tilde{w}_1, \tilde{w}_2] = 0$  (i.e.  $\langle w_1, w_2 \rangle = \langle w_2, w_1 \rangle$ ) and  $\langle w_1 + w_2, w_1 + w_2 \rangle = 0$ . Hence we get  $\langle w_1, w_2 \rangle = 0$ . Q.E.D.

Let  $\{e_i\}_{1 \leq i \leq n-1}$  be the natural base of  $C^{n-1}$ . Setting  $w_i = e_i + e_{n-i}$   $(i = 1, 2, \dots, s)$ , we consider a complex vector subspace of  $C^{n-1}$  spanned by the s vectors  $w_1, \dots, w_s$ . This subspace is an s-dimensional complex isotropic subspace of the (indefinite) hermitian space  $(C^{n-1}, \langle , \rangle)$ . We denote by  $c_s(r)$  its image under  $\delta_r$ . Then  $c_s(r)$  is an abelian subalgebra of g(r) of dimension 2s contained in  $g_1(r)$ .

Now recall the following which is a direct consequence of Witt's theorem (cf. [1, p. 121]).

LEMMA B. Let  $V_1$  and  $V_2$  be s-dimensional complex isotropic vector subspaces of the indefinite hermitian space  $(C^{n-1}, \langle , \rangle)$ . Then there exists an element  $\sigma$  of  $U(I_r)$  which sends  $V_1$  onto  $V_2$ .

Then we have

LEMMA 4.4. Let s be the complex dimension of  $\delta_r^{-1}(\mathfrak{f}_1)$ . Then there exists  $\tau_1 \in G'(r)$  such that Ad  $(\tau_1)$  preserves the grading of  $\mathfrak{g}(r)$  and satisfies Ad  $(\tau_1)\mathfrak{f}_1 = \mathfrak{c}_s(r)$ .

*Proof.*  $\delta_r^{-1}(\mathfrak{f}_1)$  and  $\delta_r^{-1}(\mathfrak{c}_s(r))$  are s-dimensional complex isotropic subspaces of  $(C^{n-1}, \langle , \rangle)$ . Hence from Lemma B we can find  $\sigma_1 \in U(I_r)$  such

$$\text{that } \sigma_{\scriptscriptstyle \rm I}(\delta_r^{\scriptscriptstyle -1}(\mathring{\mathtt{f}}_{\scriptscriptstyle \rm I})) = \delta_r^{\scriptscriptstyle -1}(\mathfrak{c}_s(r)). \quad \text{Set } \sigma_{\scriptscriptstyle \rm I}' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \sigma_{\scriptscriptstyle \rm I} & 0 \\ 0 & 0 & 1 \end{pmatrix}, \ \text{then } \ \sigma_{\scriptscriptstyle \rm I}' \ \text{belongs to } \ U(\tilde{I}_r)$$

 $\subset \hat{G}(r)$ . Hence  $\tau_1 = \chi(\sigma_1')$  is an element of G'(r). In fact  $\tau_1$  belongs to the analytic subgroup of G'(r) corresponding to the subalgebra  $g_0(r)$  of g'(r). In particular Ad  $(\tau_1)$  preserves the grading of g(r). On the other hand

Ad 
$$(\tau_1)\tilde{w} = \widetilde{\sigma_1 w}$$
 for  $\tilde{w} \in \mathfrak{g}_1(r)$ ,

so we can conclude Ad  $(\tau_1)f_1 = c_s(r)$ .

Q.E.D.

Next we will consider  $f_0$ . We define a subalgebra  $\mathfrak{b}_s(r)$  of  $\mathfrak{g}_0(r)$  by

$$\mathfrak{b}_s(r) = \{ X \in \mathfrak{g}_0(r) \mid \mathrm{ad}(X)(\mathfrak{c}_s(r)) \subset \mathfrak{c}_s(r) \} .$$

Then we have

LEMMA 4.5. Notations being the same as in Lemma 4.4, we have

- (i) Ad  $(\tau_1)\mathfrak{k}_0 \subset \mathfrak{b}_s(r)$  and  $[\mathfrak{g}_{-1}(r), \mathfrak{c}_s(r)] \subset \mathfrak{b}_s(r)$
- (ii) dim.  $\mathfrak{b}_s(r) = \dim \mathfrak{g}_0(r) s(2(n-1) 3s)$ .

*Proof.* (i) is clear from Ad  $(\tau_1)\mathfrak{k}_1 = \mathfrak{c}_s(r)$ ,  $[\mathfrak{k}_0,\mathfrak{k}_1] \subset \mathfrak{k}_1$ , (4.2) and (4.3). In order to prove (ii) we first note that  $\mathfrak{g}_0(r)$  can be decomposed into the direct sum of  $\langle \{E_0\} \rangle_R$  and  $\mathfrak{u}(I_r)$ , where  $\langle \{E_0\} \rangle_R$  is the line spanned by

$$E_{\scriptscriptstyle 0} = egin{pmatrix} 1 & 0 & 0 \ 0 & 0 & 0 \ 0 & 0 & -1 \end{pmatrix}$$

and  $\mathfrak{u}(I_r)$  is identified with the subalgebra of  $\mathfrak{g}_0(r)$  which consists of matrices of the form

$$egin{pmatrix} -rac{1}{2}\operatorname{trace} v & 0 & 0 \ 0 & v & 0 \ 0 & 0 & -rac{1}{2}\operatorname{trace} v \end{pmatrix} \ \ ext{with} \ \ ^t\overline{v}I_r + I_rv = 0 \; .$$

For 
$$X = \begin{pmatrix} -\overline{u} & 0 & 0 \\ 0 & v & 0 \\ 0 & 0 & u \end{pmatrix} \in \mathfrak{g}_0(r)$$
, we have from (4.3)

ad 
$$(X)(\tilde{w}) = vw - uw$$
  $\tilde{w} \in c_s(r)$ .

Since  $\delta_r^{-1}(c_s(r))$  is a complex vector subspace of  $C^{n-1}$ , we have  $\widetilde{uw} \in c_s(r)$ . Hence X belongs to  $\mathfrak{b}_s(r)$  if and only if  $v(\delta_r^{-1}(c_s(r)) \subset \delta_r^{-1}(c_s(r))$ . Obviously  $E_0$  belongs to  $\mathfrak{b}_s(r)$ . Therefore in order to calculate the dimension of  $\mathfrak{b}_s(r)$ , we have only to calculate the dimension of a subalgebra of  $\mathfrak{u}(I_r)$  which consists of all elements leaving the subspace  $\delta_r^{-1}(c_s(r))$  invariant. A direct computation shows the above equality (ii). Q.E.D.

We set  $g^*(r,s) = g_{-2}(r) \oplus g_{-1}(r) \oplus b_s(r) \oplus c_s(r)$ . In the case s = 0, we write  $g^*(r)$  instead of  $g^*(r,0)$ , that is,  $g^*(r) = g_{-2}(r) \oplus g_{-1}(r) \oplus g_0(r)$ . Then from the above lemmas we have

PROPOSITION 4.6. Let  $\mathfrak{f}$  be a proper graded subalgebra of  $\mathfrak{g}(r)$  satisfying  $\mathfrak{f}_{-2} = \mathfrak{g}_{-2}(r)$  and  $\mathfrak{f}_{-1} = \mathfrak{g}_{-1}(r)$ . Then there exists  $\tau \in G'(r)$  such that  $\mathrm{Ad}(\tau)$  preserves the grading of  $\mathfrak{g}(r)$  and  $\mathrm{Ad}(\tau)\mathfrak{f} \subset \mathfrak{g}^*(r,s)$ , where  $2s = \dim_{\mathbb{F}_1}(\leq 2r)$ .

From this we obtain dim.  $f \leq \dim g^*(r,s) = n^2 + 1 - s(2(n-2) - 3s)$ . Since s is an integer satisfying  $0 \leq s \leq r$ , from the above considerations we obtain

PROPOSITION 4.7. Let  $\mathfrak{f}$  be a proper graded subalgebra of  $\mathfrak{g}(r)$  satisfying  $\mathfrak{f}_{-2} = \mathfrak{g}_{-2}(r)$  and  $\mathfrak{f}_{-1} = \mathfrak{g}_{-1}(r)$ . Then we have

(1) The case n=3 and r=1

We have dim.  $\mathfrak{k} \leq n^2 + 2 = 11$ . The equality holds if and only if there exists  $\tau \in G'(1)$  such that Ad( $\tau$ ) preserves the grading of  $\mathfrak{g}(1)$  and

Ad 
$$(\tau)^{*} = \mathfrak{g}^{*}(1,1)$$
.

(2) The case n = 5 and r = 2

We have dim.  $f \le n^2 + 1 = 26$ . The equality holds if and only if there exists  $\tau \in G'(2)$  such that Ad( $\tau$ ) preserves the grading of g(2) and

Ad 
$$(\tau)^* = g^*(2,2)$$
 or  $g^*(2)$ .

(3) Otherwise

We have dim.  $f \leq n^2 + 1$ . The equality holds if and only if there exists  $\tau \in G'(r)$  such that Ad( $\tau$ ) preserves the grading of g(r) and

$$\mathrm{Ad}\,(\tau)\mathfrak{k}=\mathfrak{g}^*(r)\;.$$

Remark 4.8. Let D(r) be an (n-2)-dimensional complex vector subspace of  $\mathbb{C}^{n-1}$  spanned by the n-2 vectors  $w_1, e_2, \cdots$ , and  $e_{n-2}$ , where  $w_1 = e_1 + e_{n-1}$ . We set  $\mathfrak{b}^1(r) = \{\tilde{\xi} \in \mathfrak{g}_1(r) | \xi \in D(r)\}, \ \mathfrak{b}^{-1}(r) = \{\underline{\xi} \in \mathfrak{g}_{-1}(r) | \xi \in D(r)\},$ 

 $e(r) = \{X \in g_0(r) \mid \text{ad } (X)(b^i(r)) \subset b^i(r) \ i = 1, 2\}, \ c_s^*(r) = \{\xi \in g_{-1}(r) \mid \xi \in \delta_r^{-1}(c_s(r))\}$ and  $b_s^*(r) = \{X \in g_0(r) \mid \text{ad } (X)(c_s^*(r)) \subset c_s^*(r)\} \ (=b_s(r)).$  Moreover we set

$$\begin{cases} g^{0}(r) = g_{-2}(r) + b^{-1}(r) + e(r) + b^{1}(r) + g_{2}(r) , \\ g^{**}(r, s) = c_{s}^{*}(r) + b_{s}^{*}(r) + g_{1}(r) + g_{2}(r) . \end{cases}$$

Then without the homogeneity assumption we have

PROPOSITION 4.9. Let  $\mathfrak{f}$  be a proper graded subalgebra of  $\mathfrak{g}(r)$ . Then we have

(1) The case n=3 and r=1; dim.  $f \leq n^2+2=11$ . The equality holds if and only if there exists  $\tau \in G'(1)$  such that  $Ad(\tau)$  preserves the grading of g(1) and

Ad 
$$(\tau)$$
f =  $g^*(1,1)$  or  $g^{**}(1,1)$ .

(2) The case n=5 and r=2; dim.  $\mathfrak{k} \leq n^2+1=26$ . The equality holds if and only if there exists  $\tau \in G'(2)$  such that  $\operatorname{Ad}(\tau)$  preserves the grading of  $\mathfrak{g}(2)$  and

Ad 
$$(\tau)^* = g^*(2, 2), g^{**}(2, 2), g^*(2), g'(2), or g^0(2).$$

(3) The case  $n \ge 2$  and r = 0; dim.  $f \le n^2 + 1$ , the equality holds if and only if there exists  $\tau \in G'(0)$  such that Ad( $\tau$ ) preserves the grading of g(0) and

Ad 
$$(\tau)\mathfrak{k} = \mathfrak{g}^*(0)$$
 or  $\mathfrak{g}'(0)$ .

(4) Otherwise; dim.  $f \leq n^2 + 1$ . The equality holds if and only if there exists  $\tau \in G'(r)$  such that Ad( $\tau$ ) preserves the grading of g(r) and

Ad 
$$(\tau)$$
f =  $\mathfrak{q}^*(r)$ ,  $\mathfrak{q}'(r)$  or  $\mathfrak{q}^0(r)$ .

#### **V.** Determination of $(\alpha(S), \alpha_{p_0}(S))$ .

Throughout this section we assume that S is a connected non-degenerate (index r) homogeneous hypersurface. Let  $(P, \omega, \overline{l})$  be the normal pseudo-conformal connection over S. Moreover we naturally identify the Lie algebra a(S) of A(S) with the Lie algebra of all infinitesimal pseudo-conformal transformations of S which generate (global) 1-parameter groups of pseudo-conformal transformations.

Now let us fix a point  $p_0$  of S. As in the section II, we introduce the filtration of a(S) at  $p_0$  through the connection form  $\omega$ . Notations

being as in the section II, we first consider the associated graded Lie algebra h of h.

LEMMA 5.1. Let  $z_0 \in \pi^{-1}(p_0)$ . Suppose that A(S) has the largest dimension  $n^2 + 2n$ , then  $\nu_{z_0}$ ;  $\tilde{\mathfrak{h}} \to \mathfrak{g}(r)$  is a Lie algebra isomorphism of  $\tilde{\mathfrak{h}}$ onto g(r).

This lemma is clear from Lemma 2.5 and dim.  $g(r) = \dim \tilde{h}$  (=n<sup>2</sup>) + 2n).

Let z be an arbitrary point of  $\pi^{-1}(p_0)$ . Since A(S) acts transitively on S,  $\tilde{\mathfrak{h}}_z = \nu_z(\tilde{\mathfrak{h}})$  satisfies  $(\tilde{\mathfrak{h}}_z)_{-2} = \mathfrak{g}_{-2}(r)$  and  $(\tilde{\mathfrak{h}}_z)_{-1} = \mathfrak{g}_{-1}(r)$ . Therefore from Proposition 4.7 and Remark 2.6 we get

LEMMA 5.2. Suppose that A(S) has the second largest dimension, then there exists  $z_1 \in \pi^{-1}(p_0)$  such that

- (3)  $\tilde{\mathfrak{h}}_{z_1} = \mathfrak{g}^*(r)$ otherwise.

As for  $\mathfrak{h}_z = \omega_z(\mathfrak{h})$ , we have

**Lemma 5.3.** Let  $z_0 \in \pi^{-1}(p_0)$ . Suppose that A(S) has the largest dimension  $n^2 + 2n$ , then  $-\omega_{z_0}$ ;  $\mathfrak{h} \to \mathfrak{g}(r)$  is a linear isomorphism of  $\mathfrak{h}$  onto g(r).

This lemma is also clear from dim.  $g(r) = \dim \mathfrak{h}$ .

LEMMA 5.4. Suppose that A(S) has the second largest dimension, then there exists  $z_0 \in \pi^{-1}(p_0)$  such that

- $if \ n = 3 \ and \ r = 1,$ (2)  $\mathfrak{h}_{z_0} = \mathfrak{g}^*(2,2) \ or \ \mathfrak{g}^*(2)$   $if \ n = 5 \ and \ r = 2,$ (3)  $\mathfrak{h}_{z_0} = \mathfrak{g}^*(r)$

as vector subspaces of g(r).

In order to prove Lemma 5.4, it suffices to show the following lemma. (Note that  $g^*(r, s)(0 \le s \le r)$  contains  $E_0$ ).

Lemma 5.5. If  $\tilde{\mathfrak{h}}_{z_1}$  contains  $E_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$  for some point  $z_1$  of

 $\pi^{-1}(p_0)$ , then there exists a point  $z_0$  of  $\pi^{-1}(p_0)$  such that  $\mathfrak{h}_{z_0}$  coincides with  $\tilde{\mathfrak{h}}_{z_1}$  as a vector subspace of  $\mathfrak{g}(r)$ .

*Proof.* Since the filtration of  $\mathfrak{h}_z$  is given by  $(\mathfrak{h}_z)_k = \mathfrak{h}_z \cap \mathscr{L}_k(r)$  ( $\mathscr{L}_k(r)$ ) =  $\sum_{l=k}^2 \mathfrak{g}_l(r)$ ), we have the following commutative diagram

$$\begin{array}{ccc}
\mathfrak{f}_k & \xrightarrow{-\omega_z} & (\mathfrak{f}_z)_k \subset \mathfrak{g}(r) \\
\mu_k \downarrow & & \downarrow p_k \\
\tilde{\mathfrak{f}}_k & \xrightarrow{\nu_z} & (\tilde{\mathfrak{f}}_z)_k \subset \mathfrak{g}_k(r)
\end{array}$$

where  $p_k$  is the projection of g(r) onto  $g_k(r)$  corresponding to the decomposition  $g(r) = \sum_{k=-2}^2 g_k(r)$ . From the assumption  $(\tilde{\mathfrak{h}}_{z_1})_0$  contains  $E_0$ . Hence there exists  $E \in (\mathfrak{h}_{z_1})_0$  such that  $p_0(E) = E_0$ . Since E belongs to  $\mathscr{L}_0(r) = \sum_{k=0}^2 g_k(r)$ , there exist  $\tilde{w}_0 \in g_1(r)$  and  $\tilde{c}_0 \in g_2(r)$  such that  $E = E_0 + \tilde{w}_0 + \frac{1}{0}\tilde{c}$ . Now we set  $A_0 = \tilde{w}_0 + \frac{1}{2}\tilde{c}_0$ . Then  $A_0$  belongs to  $\mathscr{L}_1(r)$  and satisfies Ad  $(\exp A_0)(E) = E_0$ . Moreover  $a_0 = \exp A_0$  is an element of G'(r). Set  $a_0 = a_1a_0^{-1}$ , then from Remark 2.6 we have  $a_0 = a_1a_0^{-1}$ . In particular  $a_0 = a_1a_0^{-1}$ , contains  $a_0 = a_1a_0^{-1}$ . In particular  $a_0 = a_1a_0^{-1}$ , then from Remark 2.6 we have  $a_0 = a_1a_0^{-1}$ .

First we will see that  $\tilde{\mathfrak{h}}_{z_0}$  coincides with  $\tilde{\mathfrak{h}}_{z_1}$ . From the above diagram we have  $(\tilde{\mathfrak{h}}_{z_i})_k = p_k(\tilde{\mathfrak{h}}_{z_i} \cap \mathscr{L}_k(r))$  (i=0,1). For  $X \in \tilde{\mathfrak{h}}_{z_1} \cap \mathscr{L}_k(r)$ , Ad  $(a_0)(X)$  = exp ad  $(A_0)(X)$  lies in  $\tilde{\mathfrak{h}}_{z_0} \cap \mathscr{L}_k(r)$ . This is obvious from  $\tilde{\mathfrak{h}}_{z_0} = \operatorname{Ad}(a_0)\tilde{\mathfrak{h}}_{z_1}$  and Lemma 2.1. Moreover, since  $A_0 \in \mathscr{L}_1(r)$ , we have ad  $(A_0)(\mathscr{L}_k(r)) \subset \mathscr{L}_{k+1}(r)$ . Hence we get  $p_k(\operatorname{Ad}(a_0)(X)) = p_k(X)$ . Therefore  $(\tilde{\mathfrak{h}}_{z_0})_k = (\tilde{\mathfrak{h}}_{z_1})_k$ .

Next we will see that  $\mathfrak{h}_{z_0}$  coincides with  $\mathfrak{h}_{z_0}$  as a vector subspace of  $\mathfrak{g}(r)$ . First one should note that Lemma 2.3 implies  $[(\mathfrak{h}_{z_0})_0, \mathfrak{h}_{z_0}] \subset \mathfrak{h}_{z_0}$  and that  $\mathfrak{h}_{z_0}$  contains  $E_0$ . Let X be an arbitrary element of  $\mathfrak{h}_{z_0}$ , and  $X_k$   $(k=-2,-1,\cdots,2)$  be the  $\mathfrak{g}_k(r)$ -component of X. From  $[(\mathfrak{h}_{z_0})_0,\mathfrak{h}_{z_0}] \subset \mathfrak{h}_{z_0}$  and  $(\mathfrak{h}_{z_0})_0 \ni E_0$ , we obtain

$$\begin{cases} -X_{-2} + X_2 = \frac{1}{6} (\operatorname{ad} (E_0)^3(X) - \operatorname{ad} (E_0)(X)) \in \mathfrak{h}_{z_0} \\ X_{-2} + X_2 = \frac{1}{12} (\operatorname{ad} (E_0)^4(X) - \operatorname{ad} (E_0)^2(X)) \in \mathfrak{h}_{z_0} \\ -X_{-1} + X_1 = \frac{1}{3} (\operatorname{4ad} (E_0)(X) - \operatorname{ad} (E_0)^3(X)) \in \mathfrak{h}_{z_0} \\ X_{-1} + X_1 = \frac{1}{3} (\operatorname{4ad} (E_0)^2(X) - \operatorname{ad} (E_0)^4(X)) \in \mathfrak{h}_{z_0} \end{cases}.$$

Hence we get  $X_{-2}, X_{-1}, X_1, X_2 \in \mathfrak{h}_{z_0}$ . Therefore  $X_k$  (k = -2, -1, 0, 1, 2) lies in  $\mathfrak{h}_{z_0}$ , that is,  $\mathfrak{h}_{z_0}$  decomposes as follows

$$\mathfrak{h}_{z_0} = \sum\limits_{k=-2}^2 \mathfrak{h}_{z_0} \, \cap \, \mathfrak{g}_k(r)$$
 .

In other words,  $\mathfrak{h}_{z_0}$  is a graded subspace of  $\mathfrak{g}(r)$ . Then from the construction of the assoicated graded Lie algebra, we have  $(\mathfrak{h}_{z_0})_k = \mathfrak{h}_{z_0} \cap \mathfrak{g}_k(r)$ .

Therefore we obtain  $\mathfrak{h}_{z_0} = \tilde{\mathfrak{h}}_{z_0}$ .

Q.E.D.

Next we will see that the curvature form  $\Omega$  of the normal pseudo-conformal connection of S vanishes identically if A(S) has either the largest dimension  $n^2 + 2n$  or the second largest dimension. First we will show the following proposition.

Proposition 5.6. If  $\mathfrak{h}_{z_0}$  contains  $E_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$  for some point  $z_0$  of  $\pi^{-1}(p_0)$ , then  $\Omega_z = 0$  for any  $z \in \pi^{-1}(p_0)$ .

*Proof.* The proof is quite analogous to that of IV. Theorem 3.2 of [4]. Recall that  $\mathfrak{h} = \mathfrak{a}(P) = \{X \in \mathfrak{X}(P) \mid L_X \omega = 0, \ R_{a*}X = X, \ a \in G'(r),$  and X is complete} (see II). Since  $\mathfrak{h}_{z_0} = \omega_{z_0}(\mathfrak{a}(P))$ , there exists  $X_0 \in \mathfrak{a}(P)$  such that  $(X_0)_{z_0} = \omega_{z_0}^{-1}(E_0) = (E_0)_{z_0}^*$ . First we know

LEMMA C (cf. [5; p. 233]). For the curvature form  $\Omega = d\omega + \frac{1}{2}[\omega \wedge \omega]$ , we have

(1) 
$$A^*(\Omega(\xi^*, \eta^*)) = -[A, \Omega(\xi^*, \eta^*)] + \Omega([A, \xi]^*, \eta^*) + \Omega(\xi^*, [A, \eta]^*)$$
  
 $for \ \xi, \eta \in \mathfrak{g}(r), \ A \in \mathfrak{g}'(r),$ 

(2) 
$$L_X \Omega = 0$$
 and  $X(\Omega(\xi^*, \eta^*)) = 0$  for  $X \in \mathfrak{a}(P), \, \xi, \, \eta \in \mathfrak{g}(r)$ .

Applying the above lemma to  $(X_0)_{z_0} = (E_0)_{z_0}^*$ , we obtain

$$[E_0, \Omega_{z_0}(\xi^*, \eta^*)] = \Omega_{z_0}([E_0, \xi]^*, \eta^*) + \Omega_{z_0}(\xi^*, [E_0, \eta]^*).$$

Since  $\Omega(U^*, A^*) = 0$  for  $U \in \mathfrak{g}(r)$  and  $A \in \mathfrak{g}'(r)$  (cf. II. Lemma 2.2), we have only to show  $\Omega(\xi^*, \eta^*) = 0$  for  $\xi, \eta \in \mathfrak{m}(r) = \mathfrak{g}_{-2}(r) \oplus \mathfrak{g}_{-1}(r)$ . For the sake of simplicity we show the above equality in the case  $\xi, \eta \in \mathfrak{g}_{-1}(r)$ . Let  $\Omega_k$   $(k = -2, -1, \dots, 2)$  be the  $\mathfrak{g}_k(r)$ -component of  $\Omega$ . From I. Theorem A, we have  $\Omega_{-1} = 0$  and  $\Omega_{-2} = 0$ . Hence from (5.1) we get

$$(\Omega_1)_{z_0}(\xi^*,\eta^*) + 2(\Omega_2)_{z_0}(\xi^*,\eta^*) = -2(\Omega_0 + \Omega_1 + \Omega_2)_{z_0}(\xi^*,\eta^*) , \qquad \xi,\eta \in \mathfrak{g}_{-1}(r) .$$

From this it follows  $(\Omega_k)_{z_0}(\xi^*, \eta^*) = 0$  (k = 0, 1, 2). Therefore we obtain  $\Omega_{z_0} = 0$ . For any  $z \in \pi^{-1}(p_0)$ , there exists  $a \in G'(r)$  such that  $z_0 = za$ . Then from  $R_a^*\omega = \operatorname{Ad}(a^{-1})\omega$ , we have  $\Omega_z = \operatorname{Ad}(a)R_a^*\Omega_{z_0} = 0$ . Q.E.D.

From Lemma 5.3, Lemma 5.4 and Proposition 5.6 we get

PROPOSITION 5.7. Let S be a non-degenerate homogeneous hypersurface. If A(S) has either the largest dimension  $n^2 + 2n$  or the second largest dimension, then S is flat, that is, the curvature form of the normal pseudo-conformal connection vanishes identically.

Hence from Proposition 3.4,  $\iota_z^*\omega$  is a Lie algebra isomorphism of a(S) into g(r) for any  $z \in P$ .

Summarizing the results of this section we obtain.

THEOREM 5.8. Let M be a complex manifold of dimension n. Let S be a connected non-degenerate (index r) homogeneous hypersurface of M. Let  $p_0$  be an arbitrary point of S.

- (1) If dim.  $A(S) = n^2 + 2n$ , then  $\iota_{z_0}^* \omega$  is a Lie algebra isomorphism of  $\mathfrak{a}(S)$  onto  $\mathfrak{g}(r)$  for any  $z_0 \in \pi^{-1}(p_0)$ .
  - (2) If dim.  $A(S) < n^2 + 2n$ , we have the following three cases.
- (i) The case n=3 and r=1; We have dim.  $A(S) \leq n^2+2=11$ . The equality holds if and only if there exists  $z_0 \in \pi^{-1}(p_0)$  such that  $\iota_{z_0}^* \omega$  is a Lie algebra isomorphism of  $\alpha(S)$  onto  $\mathfrak{g}^*(1,1)$ .
- (ii) The case n=5 and r=2; We have dim.  $A(S) \leq n^2+1=26$ . The equality holds if and only if there exists  $z_0 \in \pi^{-1}(p_0)$ , such that  $\iota_{z_0}^* \omega$  is a Lie algebra isomorphism of  $\alpha(S)$  onto  $g^*(2,2)$  or  $g^*(2)$ .
- (iii) Otherwise; We have dim.  $A(S) \leq n^2 + 1$ . The equality holds if and only if there exists  $z_0 \in \pi^{-1}(p_0)$  such that  $\iota_{z_0}^* \omega$  is a Lie algebra isomorphism of  $\alpha(S)$  onto  $\mathfrak{g}^*(r)$ .

# VI. Model spaces.

We consider the analytic subgroups (i.e. connected Lie subgroups) of G(r) corresponding to g(r) and  $g^*(r,s)$  ( $0 \le s \le r$ ). The identity component  $G^0(r)$  of G(r) corresponds to g(r). We denote by  $G^*(r,s)$  the analytic subgroup of G(r) corresponding to  $g^*(r,s)$ . In particular we set  $G^*(r) = G^*(r,0)$ .

First we will characterize  $G^*(r,s)$  geometrically. Let  $\chi$  be the natural homomorphism of  $U(\tilde{I}_r)$  onto  $G^0(r)$  (=  $U(\tilde{I}_r)/U(1)$ ). We set  $\hat{G}^*(r,s) = \chi^{-1}(G^*(r,s))$ . Take the natural base  $\{e_i\}_{0 \le i \le n}$  of  $C^{n+1}$  and set  $w_i = e_i + e_{n-i}$  ( $i=1,2,\cdots,s$ ). We denote by  $C_s(r)$  the (s+1)-dimensional complex vector subspace of  $C^{n+1}$  spanned by the (s+1) vectors  $w_1, w_2, \cdots, w_s$  and  $e_n$ . Then  $C_s(r)$  is an (s+1)-dimensional complex isotropic subspace of the indefinite hermitian space  $(C^{n+1}, \tilde{I}_r)$ .

# LEMMA 6.1.

$$\hat{G}^*(r,s) = \{ \sigma \in U(\tilde{I}_r) | \sigma(C_s(r)) = C_s(r) \}.$$

*Proof.* Since we are identifying g(r) with  $\mathfrak{gu}(\tilde{I}_r)$ ,  $\chi_*$  is identified with the projection of  $\mathfrak{u}(\tilde{I}_r)$  onto  $\mathfrak{gu}(\tilde{I}_r)$  corresponding to the decomposition  $\mathfrak{u}(\tilde{I}_r) = \mathfrak{u}(1) \oplus \mathfrak{gu}(\tilde{I}_r)$ , where  $\mathfrak{u}(1)$  is the center of  $\mathfrak{u}(\tilde{I}_r)$ . For  $X \in \mathfrak{u}(\tilde{I}_r)$ ;

$$X = \begin{pmatrix} -\overline{u} & -\sqrt{-1} \ {}^t\overline{w}I_r & w_n \\ \xi & v & w \\ \xi_n & \sqrt{-1} \ {}^t\overline{\xi}I_r & u \end{pmatrix} \qquad \xi_n, w_n \in \mathbf{R}, \ \xi, w \in \mathbf{C}^{n-1}, \ v \in \mathfrak{u}(I_r), \ \text{we note}$$

$$\mathfrak{g}^*(r,s)\ni\chi_*(X) \text{ if and only if} \begin{cases} w_n=0\\ w\in\delta_r^{-1}(\mathfrak{c}_s(r))\;,\\ v(\delta_r^{-1}(\mathfrak{c}_s(r))\subset\delta_r^{-1}(\mathfrak{c}_s(r))\;. \end{cases}$$

On the other hand for  $(0, \eta, z_n) \in C_s(r)$  we have

$$Xinom{0}{\eta_{z_n}} = inom{-\sqrt{-1}\langle w,\eta 
angle + w_n z_n}{v\eta + z_n w} \ \sqrt{-1}\langle \xi,\eta 
angle + u z_n \ igg) \,.$$

Hence we have

$$X(C_s(r)) \subset C_s(r) ext{ if and only if} egin{cases} -\sqrt{-1}\langle w,\eta 
angle + w_n z_n = 0 \ v\eta + z_n w \in \delta_r^{-1}(\mathfrak{c}_s(r)) \end{cases}$$
 for  $z_n \in \mathcal{C}, \ \eta \in \delta_r^{-1}(\mathfrak{c}_s(r))$ 

From the above  $g^*(r,s) \ni \chi^*(X)$  if and only if  $X(C_s(r)) \subset C_s(r)$ . We set  $K = \{\sigma \in U(\tilde{I}_r) | \sigma(C_s(r)) = C_s(r)\}$ . From  $G^*(r,s) = \hat{G}^*(r,s)/U(1)$ , we see that  $\hat{G}^*(r,s)$  is connected. In fact,  $\hat{G}^*(r,s)$  is the analytic subgroup of  $U(\tilde{I}_r)$  corresponding to  $\chi_*^{-1}(g^*(r,s))$ . Therefore  $\hat{G}^*(r,s)$  coincides with the identity component of K.

In order to prove  $\hat{G}^*(r,s) = K$ , we have only to show that K is connected. For this we take a base  $\{f_i\}_{0 \le i \le n}$  of  $C^{n+1}$  such that  $\{f_i\}_{0 \le i \le n}$  forms a base of  $C_s(r)$  and with respect to this base the hermitian form  $\tilde{I}_r$  is represented as a matrix of the following form

$$ilde{I}_r = egin{pmatrix} 0 & E_{s+1} & 0 \ E_{s+1} & 0 & 0 \ 0 & 0 & I_s^* \end{pmatrix}, \qquad I_s^* = egin{pmatrix} -E_{r-s} & 0 \ 0 & E_{n-(r+s+1)} \end{pmatrix}.$$

(The existence of such a base is guaranteed by the Witt's theorem). Then each  $\sigma \in K$  is represented as a matrix of the form

$$egin{pmatrix} A & -rac{1}{2}A(C+{}^tar{K}I_s^*K) & -A{}^tar{K}I_s^*B \ 0 & {}^tar{A}^{-1} & 0 \ 0 & K & B \end{pmatrix}; \ A \in GL(s+1,\pmb{C}), \ B \in U(I_s^*), \ {}^tar{C}+\pmb{C}=0 \ . \end{cases}$$

From this we see that K is homeomorphic with  $GL(s+1,C) \times U(I_s^*) \times \mathfrak{u}(s+1) \times M(n-2s-1,s+1;C)$ , where M(n-2s-1,s+1;C) is the set of all complex  $(n-2s-1) \times (s+1)$  matrices. In particular K is connected. Q.E.D.

Now we consider the orbit of  $G^0(r)$  or  $G^*(r,s)$  passing through o of  $Q_r$  as the model space corresponding to g(r) or  $g^*(r,s)$ .

Since  $G^{0}(r)$  acts transitively on  $Q_{r}$ , the model space corresponding to g(r) is  $Q_{r}$  itself. We denote by  $Q_{r}^{*}(s)$  the model space corresponding to  $g^{*}(r,s)$ . In particular we set  $Q_{r}^{*}=Q_{r}^{*}(0)$ .

LEMMA 6.2.

$$Q_r^* = \{(z_0, z_1, \dots, z_n) \in Q_r | z_0 \neq 0\}$$

and

$$Q_r^*(s) = \{(z_0, z_1, \dots, z_n) \in Q_r | |z_0| + |z_1 - z_{n-1}| + \dots + |z_s - z_{n-s}| \neq 0\}$$

$$(s \ge 1)$$

Proof. We consider the orbital decomposition of  $Q_r$  by  $G^*(r,s)$ . We denote by (,) the indefinite hermitian inner product of  $C^{n+1}$  defined by  $\tilde{I}_r$ . And set  $(C_s(r))^{\perp} = \{\zeta \in C^{n+1} | (\zeta, \eta) = 0 \text{ for } \eta \in C_s(r) \}$ . Then from Lemma 6.1 we see that each  $\sigma \in \hat{G}^*(r,s)$  leaves  $(C_s(r))^{\perp}$  invariant as well. On the other hand we have  $Q_r = \{\zeta = (\zeta_0, \dots, \zeta_n) | (\zeta, \zeta) = 0\}$  in homogeneous coordinate. Then using the arguments in the proof of the Witt's theorem ([1, p. 121]), we easily see that  $Q_r$  is decomposed by  $G^*(r,s)$  into the following three orbits;

$$egin{aligned} R^0_{ au}(s) &= \{ \kappa(\zeta) \in Q_{ au} | \zeta \in (C_s(r))^\perp \} \;, \ R^1_{ au}(s) &= \{ \kappa(\zeta) \in Q_{ au} | \zeta \in C_s(r) \} \;, \ R^2_{ au}(s) &= \{ \kappa(\zeta) \in Q_{ au} | \zeta \in (C_s(r))^\perp ackslash C_s(r) \} \;, \end{aligned}$$

where  $\kappa$  is the projection of  $\mathbb{C}^{n+1}\setminus\{0\}$  onto  $\mathbb{P}^n(\mathbb{C})$ . From  $o=\kappa(e_0), e_n\in \mathbb{C}_s(r)$  and  $(e_0,e_n)=\sqrt{-1}\neq 0$ , we see  $o\in R^0_r(s)$ . Hence we have  $Q^*_r(s)=\mathbb{R}^0_r(s)$ .

Remark 6.3. From the above we have the orbital decomposition of  $Q_r$  by  $G^*(r,s)$ ;

$$Q_r = Q_r^*(s) \cup R_r^1(s) \cup R_r^2(s)$$
.

Note that

- (1)  $R_r^1(s) = {\tilde{0}}$  if and only if s = 0, where  $\tilde{0} = \kappa(e_n)$ ,
- (2)  $R_r^2(s) = \emptyset$  if and only if s = r.

Hence we have

$$egin{aligned} Q_r &= Q_r^* \, \cup \, \{ ilde{o} \} \, \cup \, R_r^2(0) & \left( 1 \leq r \leq \left[ rac{n-1}{2} 
ight] 
ight), \ Q_0 &= Q_0^* \, \cup \, \{ ilde{o} \} \; , \ Q_r &= Q_r^*(r) \, \cup \, R_r^1(r) \; . \end{aligned}$$

From Lemma 6.2 we see that  $Q_r^*(s)$  is a connected open subset of  $Q_r$ , hence it is a connected non-degenerate (index r) homogeneous flat hypersurface of  $P^n(C)$ .

Next we will determine the groups  $A(Q_r)$ ,  $A(Q_r^*(s))$  of all pseudo-conformal transformations of  $Q_r$ ,  $Q_r^*(s)$ .

Proposition 6.4 ([6]).  $A(Q_r) = G(r)$ .

Proof. Let us fix a frame  $x_0 \in F(Q_r, \tilde{G}(r))$  at o. For  $\tau \in G(r)$  we set  $\bar{l}_0(\tau) = \tau_*(x_0)$ . Then  $\bar{l}_0$  is a bundle homomorphism of G(r)  $(Q_r, G'(r))$  onto  $\tilde{F}(Q_r, \tilde{G}(r))$  corresponding to l,  $G'(r) \to \tilde{G}(r)$ , which preserves the base space  $Q_r$ . It is known ([6; Theorem 6]) that G(r)  $(Q_r, G'(r))$  together with  $\bar{l}_0$  is the pseudo-conformal G'(r)-bundle over  $Q_r$  and that the Maurer-Cartan form on G(r) coincides with the normal pseudo-conformal connection form. Hence we have  $A(Q_r) = G(r)$  as a Lie transformation group. Q.E.D.

Proposition 6.5

(1) In the case 
$$r \neq \frac{n-1}{2}$$
,  $A(Q_r^*(s)) = G^*(r, s)$ ,

(2) In the case 
$$r = \frac{n-1}{2}(n; \text{ odd}), A(Q_r^*(s)) = G^*(r, s) \cup \tau_s(G^*(r, s)),$$
where  $\tau_s = \chi(\sigma_s)$ ;

$$\sigma_s = egin{pmatrix} 1 & 0 & 0 \ 0 & I_s^* & 0 \ 0 & 0 & -1 \end{pmatrix}, \quad I_s^* = egin{pmatrix} 0 & 0 & E_s \ 0 & I_s^{**} & 0 \ E_s & 0 & 0 \end{pmatrix}, \quad I_s^{**} = egin{pmatrix} 0 & E_{r-s} \ E_{r-s} & 0 \end{pmatrix}.$$

**Proof.** Let  $\pi_r$  be the projection of G(r) onto  $Q_r$  (i.e.  $\pi_r(\tau) = \tau(o)$  for  $\tau \in G(r)$ ). Since  $Q_r^*(s)$  is an open subset of  $Q_r$ , the restriction  $\pi_r^{-1}(Q_r^*(s))$  ( $Q_r^*(s), G'(r)$ ) of G(r) ( $Q_r, G'(r)$ ) is the pseudo-conformal G'(r)-bundle over  $Q_r^*(s)$  and the restriction  $\omega_s$  of the Maurer-Cartan form of G(r) coincides with the normal pseudo-conformal connection form. Hence we get  $A(Q_r^*(s)) = \{\tau \in G(r) | \tau(Q_r^*(s)) = Q_r^*(s)\}$ . On the other hand we have  $Q_r^*(s) = \{\zeta = (\zeta_0, \cdots, \zeta_n) \in Q_r | \zeta \in (C_s(r))^\perp \}$  and  $G^*(r, s) = \{\chi(\sigma) \in G^0(r) | \sigma(C_s(r)) = C_s(r) \}$ . From these we see easily  $A(Q_r^*(s)) \cap G^0(r) = G^*(r, s)$ . In case G(r) is not connected (i.e. in case  $r = \frac{n-1}{2}$ ), we can find an element  $\tau_s \in A(Q_r^*(s))$  which does not belong to  $G^0(r)$ .

From the above we have  $P(Q_r^*(s), G'(r)) = \pi_r^{-1}(Q_r^*(s))$   $(Q_r^*(s), G'(r))$  and  $A^0(Q_r^*(s)) = G^*(r, s)$ . Let  $e \in \pi_r^{-1}(o)$  be the unit element of G(r). Then the natural inclusion  $\iota_e$  of  $G^*(r, s)$  into G(r) induces the imbedding  $\iota_e$  of  $A^0(Q_r^*(s))$  into  $P(Q_r^*(s), G'(r))$  in the sense of Proposition 3.2. In fact, letting  $z_0$  and  $\rho_{z_0}$  be the same as in Proposition 3.2 we may take e as  $z_0$ , then  $\rho_{z_0}$  coincides with the natural inclusion of the isotropy subgroup of  $G^*(r, s)$  at o into G'(r). Moreover  $\iota_e^*\omega_s$  is just the Maurer-Cartan form on  $G^*(r, s)$ . In particular we have  $\mathfrak{h}_e = \mathfrak{g}^*(r, s)$ , where the notation  $\mathfrak{h}_e$  is the same as in Proposition 3.4.

Now we will investigate in detail the model spaces  $Q_r$ ,  $Q_r^*(s)$  and their groups  $G^0(r)$ ,  $G^*(r,s)$  of pseudo-conformal transformations.

First we have

PROPOSITION 6.6. Let us fix an integer r with  $0 \le r \le \left[\frac{n-1}{2}\right]$   $(n \ge 2)$ . Then  $P^n(C) \supset Q_r$ ,  $Q_r^*(s)$   $(0 \le s \le r)$  are all simply connected.

*Proof.* (1) Simply connectedness of  $Q_r$ ; We consider

$$Q'_r=\left\{(z_0,\cdots,z_n)\in P^n(C)\,\middle|\, -\sum\limits_{i=0}^r z_iar{z}_i\,+\,\sum\limits_{i=r+1}^n z_iar{z}_i\,=\,0
ight\}\,.$$

Then  $Q'_r$  and  $Q_r$  are projectively equivalent (hence they are pseudoconformally equivalent). One should note that  $Q'_0$  is the (2n-1)-dimensional unit sphere in  $C^n = \{(z_0, \dots, z_n) \in P^n(C) | z_0 \neq 0\}$ . We will show the simply connectedness of  $Q'_r$   $(r \geq 1)$ . From Proposition 6.4 we know  $A^0(Q'_r) = U(r+1, n-r)/U(1)$ . Moreover it is easily seen that the maximal compact subgroup  $K = U(r+1) \times U(n-r)$  of U(r+1, n-r) acts transitively on  $Q'_r$ , where

$$K = \left\{ \sigma \in U(r+1, n-r) \middle| \sigma = egin{pmatrix} \sigma_1 & 0 \ 0 & \sigma_2 \end{pmatrix} \sigma_1 \in U(r+1), & \sigma_2 \in U(n-r) 
ight\}.$$

Let o' be a point of  $Q'_r$  with homogeneous coordinate  $(1, 0, \dots, 0, 1)$ . Then the isotropy subgroup L of K at o' is given by

Hence L is isomorphic with  $U(1) \times U(r) \times U(n-r-1)$ . From the above  $Q'_r$  is homeomorphic with K/L. Then the following homotopy exact sequence of the principal fibre bundle  $K(Q'_r, L)$  shows the simply connectedness of  $Q'_r$ ;

$$\longrightarrow \pi_1(L,e) \xrightarrow{i_*} \pi_1(K,e) \xrightarrow{p_*} \pi_1(Q'_r,o') \xrightarrow{\Delta} \pi_0(L,e)$$
.

In fact, the arcwise connectedness of L implies  $\pi_0(L, e) = \{0\}$ . Hence we have only to check that  $i_*$  is onto. Since we suppose  $r \ge 1$ , we have

$$\begin{cases} \pi_1(K,e) = \pi_1(U(r+1),e) \times \pi_1(U(n-r),e) & (\cong \mathbf{Z} \oplus \mathbf{Z}), \\ \pi_1(L,e) = \pi_1(U(1),e) \times \pi_1(U(r),e) \times \pi_1(U(n-r-1),e) & (\cong \mathbf{Z} \oplus \mathbf{Z} \oplus \mathbf{Z}). \end{cases}$$

Moreover the generator of  $\pi_1(U(r), e) \subset \pi_1(L, e)$  is also the generator of  $\pi_1(U(r+1), e) \subset \pi_1(K, e)$  and similarly the generator of  $\pi_1(U(n-r-1, e)) \subset \pi_1(L, e)$  is also the generator of  $\pi_1(U(n-r), e) \subset \pi_1(K, e)$ . Hence  $i_*$  is onto.

(2) Simply connectedness of  $Q_r^*$ ; We identify  $C^n$  with the set of points of  $P^n(C)$  for which  $z_0 \neq 0$ . Then from  $Q_r^* = Q_r \cap C^n$ , we have

$$Q_r^* = \left\{ (z_1', \cdots, z_n') \in C^n \, \middle| \, \operatorname{Im} z_n' = \frac{1}{2} \left( -\sum_{i=1}^r |z_i'|^2 + \sum_{i=r+1}^{n-1} |z_i'|^2 \right) \right\}$$
 ,

where Im  $z'_n$  is the imaginary part of  $z'_n$ . Hence it is clear that  $Q_r^*$  is diffeomorphic with  $\mathbb{R}^{2n-1}$ . In particular  $Q_r^*$  is simply connected.

(3) Simply connectedness of  $Q_r^*(s)$   $(1 \le s \le r)$ ; From Lemma 6.2 we have the orbital decomposition of  $Q_r$  by  $G^*(r,s)$ ;  $Q_r = Q_r^*(s) \cup R_r^1(s) \cup R_r^2(s)$ . From dim. $_c C_s(r) = s + 1$  we have dim.  $R_r^1(s) = 2s \le 2r$ . Moreover from dim. $_c (C_s(r))^{\perp} = n - s$ , we have dim.  $R_r^2(s) = 2(n - s) - 3$  provided that s < r (if s = r,  $R_r^2(r) = \emptyset$ ). Hence if  $s \ge 1$ , both  $R_r^1(s)$  and  $R_r^2(s)$  are regular submanifolds of  $Q_r$  of codimension greater than or

equal to 3. Obviously  $R_r^1(s)$  is closed in  $Q_r$  and  $R_r^2(s)$  is closed in  $Q_r \setminus R_r^1(s)$ . Therefore the simply connectedness of  $Q_r^*(s)$  follows from (1) and the next proposition.

PROPOSITION D (cf. [3; VII Proposition 9.6]). Let M be a connected manifold, and let S be a closed submanifold of M with dim.  $S \leq \dim M$  — 3. Then  $M \setminus S$  is connected and  $\pi_1(M)$  is isomorphic with  $\pi_1(M \setminus S)$ .

Q.E.D.

Next we consider  $G^0(r)$  and  $G^*(r,s)$ . We set  $G'_0(r) = G^0(r) \cap G'(r)$ . Since  $Q_r = G^0(r)/G'_0(r)$  is simply connected,  $G'_0(r)$  is connected.

Proposition 6.7.  $G^{0}(r)$  satisfies the following;

- (1) There exists an element  $\tau_0$  of  $G^0(r)$  such that o is the only fixed point of  $\tau_0$  in  $Q_r$ .
  - (2) The center  $Z(G^{0}(r))$  of  $G^{0}(r)$  is reduced to the unit.
  - (3) The normalizer  $N_{G^0(r)}(G'_0(r))$  of  $G'_0(r)$  in  $G^0(r)$  coincides with  $G'_0(r)$ .

*Proof.* (1) Let  $\kappa$  be the projection of  $C^{n+1}\setminus\{0\}$  onto  $P^n(C)$ . Let  $\sigma \in U(\tilde{I}_r)$  and  $p = \kappa(\zeta) \in Q_r$  (i.e.  $(\zeta, \zeta) = 0$ ). Then for  $\chi(\sigma) \in G^0(r)$  we have

$$\chi(\sigma)(p) = p$$
 if and only if  $\sigma(\zeta) = \lambda \zeta$  for some  $\lambda \in \mathbb{C} \setminus \{0\}$ .

Hence  $\chi(\sigma)$  fixes a point  $p = \kappa(\zeta)$  of  $Q_r$  if and only if  $\zeta$  is an isotropic eigenvector of  $\sigma$ . Therefore finding an element of  $G^0(r)$  having  $o = \kappa(e_0)$  as the only fixed point in  $Q_r$  is equivalent to finding an element of  $U(\tilde{I}_r)$  having  $\langle e_0 \rangle_c$  as the only isotropic eigenline. Here we mean by an eigenline of  $\sigma$  a 1-dimensional subspace invariant by  $\sigma$ . Using the Witt's theorem one can easily construct such an element  $\sigma \in U(\tilde{I}_r)$ .

- (2) Let  $\tau \in Z(G^0(r))$  and let  $\tau_0$  be as in (1). From  $\tau_0 \cdot \tau = \tau \cdot \tau_0$  we have  $\tau_0(\tau(o)) = \tau(\tau_0(o)) = \tau(o)$ . Hence  $\tau(o)$  is a fixed point of  $\tau_0$ . But  $\tau_0$  fixes o alone. Therefore  $\tau(o) = o$ . Since  $G^0(r)$  acts transitively on  $Q_\tau$ , we see easily  $\tau$  fixes every point of  $Q_\tau$ . Then since  $G^0(r)$  acts effectively on  $Q_\tau$ ,  $\tau$  is the unit of  $G^0(r)$ .
- (3) Let  $\tau \in G^0(r)$ . Since  $G'_0(r)$  is the isotropy subgroup of  $G^0(r)$  at  $o \in Q_r$ ,  $\tau(G'_0(r))\tau^{-1}$  is the isotropy subgroup of  $G^0(r)$  at  $\tau(o)$ . Hence each element of  $\tau(G'_0(r))\tau^{-1}$  fixes  $\tau(o)$ . Now let  $\tau_1 \in N_{G^0(r)}(G'_0(r))$ , and let  $\tau_0$  be as in (1). Since  $\tau_1(G'_0(r))\tau_1^{-1} = G'_0(r)$ , each element of  $G'_0(r)$  fixes  $\tau_1(o)$ . In particular  $G'_0(r) \ni \tau_0$  fixes  $\tau_1(o)$ . Hence we have  $\tau_1(o) = o$ , that is,  $\tau_1 \in G'_0(r)$ . Therefore we get  $N_{G^0(r)}(G'_0(r)) \subset G'_0(r)$ . The opposite inclusion is obvious.

Q.E.D.

Let  $G_o^*(r,s)$  be the isotropy subgroup of  $G^*(r,s)$  at  $o \in Q_r^*(s)$ . Since  $Q_r^*(s) = G^*(r,s)/G_o^*(r,s)$  is simply connected,  $G_o^*(r,s)$  is connected.

Proposition 6.8.  $G^*(r,s)$   $(0 \le s \le r)$  satisfies the following

- (1) There exists an element  $\tau_0^s$  of  $G^*(r,s)$  such that o is the only fixed point of  $\tau_0^s$  in  $Q_r^*(s)$ .
  - (2) The center  $Z(G^*(r,s))$  of  $G^*(r,s)$  is reduced to the unit.
- (3) The normalizer  $N_{G^*(r,s)}(G_o^*(r,s))$  of  $G_o^*(r,s)$  in  $G^*(r,s)$  coincides with  $G_o^*(r,s)$ .
- *Proof.* (1) Since  $Q_r^*(s) = \{\zeta = (\zeta_0, \dots, \zeta_n) \in Q_r | \zeta \in (C_s(r))^\perp \}$  and  $\hat{G}^*(r, s) = \{\sigma \in U(\tilde{I}_r) | \sigma(C_s(r)) = C_s(r) \}$ , we have only to find an element  $\sigma_0^s$  of  $U(\tilde{I}_r)$  which satisfies
  - (i)  $\sigma_0^s(C_s(r)) = C_s(r)$
- (ii)  $\langle e_0 \rangle_c$  is the only isotropic eigenline of  $\sigma_0^s$  that is not included in  $(C_s(r))^{\perp}$ .

(cf. the proof of (1) Proposition 6.7). Using the Witt's theorem one can easily construct such an element  $\sigma_0^s \in U(\tilde{I}_r)$ .

Since  $G^*(r,s)$  acts effectively and transitively on  $Q_r^*(s)$ , in view of (1), (2) and (3) can be proved similarly as in Proposition 6.7. Q.E.D.

## VII. Determination of $(A(S), A_{p_0}(S))$ .

In this section let  $\mathfrak{g}$  be  $\mathfrak{g}(r)$  or  $\mathfrak{g}^*(r,s)$   $(s=0,1,\cdots,r)$ . Let G be the analytic subgroup of G(r) with Lie algebra  $\mathfrak{g}$ , and let Q be the model space corresponding to  $\mathfrak{g}$  which is defined in VI. Moreover let G' be the isotropy subgroup of G at  $o \in Q$ , and let  $\mathfrak{g}'$  be its Lie algebra. Hence in the case  $\mathfrak{g}=\mathfrak{g}(r)$  (resp.  $\mathfrak{g}^*(r,s)$ ), we have  $G=G^0(r)$  (resp.  $G^*(r,s)$ ),  $Q=Q_r$  (resp.  $Q_r^*(s)$ ) and  $G'=G'_0(r)$  (resp.  $G_o^*(r,s)$ ). From Propositions 6.6, 6.7 and 6.8 we have

- (1) Q = G/G' is connected and simply connected.
- (2) The center Z(G) of G is reduced to the unit.
- (3) The normalizer  $N_G(G')$  of G' in G coincides with G'.
- (4) g' contains  $E_0 \in g(r)$  which defines the grading of g(r).

As we see in VI, Q is a connected non-degenerate (index r) homogeneous flat hypersurface of  $P^n(C)$  for which G is the identity component of A(Q).

Now we have

PROPOSITION 7.1. Let g, g', Q, G and G' be as above. Let S be a connected non-degenerate (index r) homogeneous hypersurface, and let  $(P, \omega, \overline{l})$  be the normal pseudo-conformal connection over S. For  $p_0 \in S$  we suppose that there exists a point  $z_1 \in \pi^{-1}(p_0)$  such that  $\tilde{\mathfrak{h}}_{z_1} = \mathfrak{g}$ . Then S is pseudo-conformally equivalent to Q.

*Proof.* Since  $\mathfrak{g}'$  contains  $E_0$ , we see from Lemma 5.5, Proposition 5.6 and Proposition 3.4 that there exists a point  $z_0 \in \pi^{-1}(p_0)$  such that  $\iota_{z_0}^*\omega$  is a Lie algebra isomorphism of  $\mathfrak{a}(S)$  onto  $\mathfrak{g}$ . In particular we have  $\iota_{z_0}^*\omega(\mathfrak{a}_{p_0}(S))=\mathfrak{g}'$ . On the other hand, from Lemma 3.1 we have  $(\rho_{z_{0*}})_e=\omega_{z_0}(\iota_{z_{0*}})_e$ , that is,  $\rho_{z_{0*}}=\iota_{z_0}^*\omega$  as a Lie algebra homomorphism. Let  $(A_{p_0}(S))^0$  be the identity component of  $A_{p_0}(S)$ . Then  $\rho_{z_0}$  is a group isomorphism of  $(A_{p_0}(S))^0$  onto G'.

Next we compare  $A^0(S)$  with G. Since G is connected and  $Z(G) = \{e\}$ , the adjoint representation  $\mathrm{Ad}_G$  of G is an isomorphism of G onto the adjoint group  $\mathrm{Int}(\mathfrak{g})$ . Hence the adjoint representation  $\mathrm{Ad}_{\mathfrak{g}}$  of  $\mathfrak{g}$  is also faithful. On the other hand the adjoint representation  $\mathrm{Ad}_{A^0(S)}$  of  $A^0(S)$  is a homomorphism of  $A^0(S)$  onto  $\mathrm{Int}(\mathfrak{g}(S))$ . Set  $h = \iota_{\mathfrak{s}_0}^* \omega$ . Then since h is a Lie algebra isomorphism of  $\mathfrak{a}(S)$  onto  $\mathfrak{g}$ , h naturally induces a group isomorphism  $\tilde{h}$  of  $\mathrm{Int}(\mathfrak{a}(S))$  onto  $\mathrm{Int}(\mathfrak{g})$ . More precisely we set  $(\tilde{h}(\tau))(X) = h \cdot \tau \cdot h^{-1}(X)$  for  $\tau \in \mathrm{Int}(\mathfrak{a}(S))$ ,  $X \in \mathfrak{g}$ . Then we have  $\tilde{h}_* \cdot \mathrm{ad}_{\mathfrak{a}(S)} = \mathrm{ad}_{\mathfrak{g}} \cdot h$ .

Now we set  $\varphi = (\mathrm{Ad}_G)^{-1} \cdot \tilde{h} \cdot \mathrm{Ad}_{A^0(S)}$ . Then  $\varphi$  is a homomorphism of  $A^0(S)$  onto G such that  $\varphi_* = h$ . Moreover we consider a mapping  $\psi$  of  $A^0(S)/\varphi^{-1}(G')$  onto Q which satisfies the following commutative diagram

$$\begin{array}{ccc} A^{\scriptscriptstyle 0}\!(S) & \stackrel{\varphi}{-\!\!\!\!-\!\!\!\!-\!\!\!\!\!-} G \\ \downarrow & \downarrow & \downarrow \\ A^{\scriptscriptstyle 0}\!(S)/\varphi^{\scriptscriptstyle -1}\!(G') & \stackrel{\psi}{-\!\!\!\!\!-\!\!\!\!-} Q = G/G' \,. \end{array}$$

Then  $\psi$  is a  $C^{\circ}$ -homeomorphism of  $A^{\circ}(S)/\varphi^{-1}(G')$  onto Q. Since  $\varphi_* = h$ , we have  $\varphi_*(\mathfrak{a}_{p_0}(S)) = \mathfrak{g}'$ . Hence the Lie algebra of  $\varphi^{-1}(G')$  coincides with  $\mathfrak{a}_{p_0}(S)$ . On the other hand  $\varphi^{-1}(G')$  is connected since Q (therefore  $A^{\circ}(S)/\varphi^{-1}(G')$ ) is simply connected. Hence we have  $\varphi^{-1}(G') = (A_{p_0}(S))^{\circ}$ . From  $N_G(G') = G'$  and the connectedness of G', we see that G' is the only Lie subgroup of G with Lie algebra  $\mathfrak{g}'$ . On the other hand  $\varphi(A^{\circ}_{p_0}(S))$  is a Lie subgroup of G with Lie algebra  $\varphi_*(\mathfrak{a}_{p_0}(S)) = \mathfrak{g}'$ . Hence we have  $\varphi(A^{\circ}_{p_0}(S)) = G'$ . In particular  $A^{\circ}_{p_0}(S) \subset \varphi^{-1}(G') = (A_{p_0}(S))^{\circ}$ . Therefore we

conclude  $A_{p_0}^0(S)=(A_{p_0}(S))^0$ , that is,  $A_{p_0}^0(S)$  is connected. Moreover comparing the restriction of  $\varphi$  to  $A_{p_0}^0(S)$  with  $\rho_{z_0}$ , we have  $\varphi_*=\rho_{z_{0*}}=h$ . Hence we get  $\varphi|_{A_{p_0}^0(S)}=\rho_{z_0}$ . In particular  $\varphi|_{A_{p_0}^0(S)}$  is an isomorphism of  $A_{p_0}^0(S)$  onto G'.

Now from  $\varphi^{-1}(G') = A_{p_0}^0(S)$  and  $S = A^0(S)/A_{p_0}^0(S)$ , the above diagram can be rewritten as follows

$$A^{\circ}(S) \stackrel{\varphi}{\longrightarrow} G$$

$$\downarrow \qquad \qquad \downarrow$$

$$S \stackrel{\psi}{\longrightarrow} Q.$$

Since  $\psi$  is a  $C^{\omega}$ -homeomorphism of S onto Q and the restriction of  $\varphi$  to  $A^{0}_{p_{0}}(S)$  is an isomorphism of  $A^{0}_{p_{0}}(S)$  onto  $G', \varphi$  becomes a bundle isomorphism of  $A^{0}(S)$   $(S, A^{0}_{p_{0}}(S))$  onto G(Q, G'). Hence  $\varphi$  is a group isomorphism of  $A^{0}(S)$  onto G.

Now we compare two (connected non-degenerate (index r) homogeneous) hypersurface S and Q. Let  $(\pi_r^{-1}(Q), \omega_Q, \bar{l}_0)$  be the normal pseudoconformal connection over Q (for the notations see Proposition 6.5). If we choose points  $z_0 \in \pi^{-1}(p_0)$  and  $e \in \pi_r^{-1}(o)$ , then  $\varphi$  satisfies the assumption of Proposition 3.5 since  $\varphi(A_{p_0}^0(S)) = G'$ ,  $\varphi_* = \iota_{z_0}^* \omega$  (as Lie algebra isomorphisms) and  $\iota_e^* \omega_Q$  is the Maurer-Cartan form of G. Therefore  $\psi$  is a pseudo-conformal homeomorphism of S onto Q. Q.E.D.

From Theorem 5.8 and the above proposition, we have the main theorem of this paper.

THEOREM 7.2. Let M be a complex manifold of dimension n. Let S be a connected non-degenerate (index r) homogeneous hypersurface of M.

(1) If dim.  $A(S) = n^2 + 2n$ , then S is pseudo-conformally equivalent to

$$egin{aligned} Q_r &= \left\{ (z_{\scriptscriptstyle 0},\, \cdots, z_{\scriptscriptstyle n}) \in P^{\scriptscriptstyle n}(C) \,\middle|\, -\sqrt{-1}z_{\scriptscriptstyle 0}ar{z}_{\scriptscriptstyle n} \,-\, \sum\limits_{i=1}^r z_iar{z}_i \,+\, \sum\limits_{i=r+1}^{n-1} z_iar{z}_i 
ight. \ &+\, \sqrt{-1}z_{\scriptscriptstyle n}ar{z}_{\scriptscriptstyle 0} = 0 
ight\} \,. \end{aligned}$$

- (2) If dim.  $A(S) < n^2 + 2n$ , we have the following three cases.
- (i) the case n=3 and r=1; We have dim.  $A(S) \le n^2 + 2 = 11$ . The equality holds if and only if S is pseudo-conformally equivalent to

$$Q_1^*(1) = \{(z_0, \dots, z_3) \in Q_1 | |z_0| + |z_1 - z_2| \neq 0\}.$$

(ii) the case n = 5 and r = 2; We have dim.  $A(S) \le n^2 + 1 = 26$ . The equality holds if and only if S is pseudo-conformally equivalent to

$$Q_2^*(2) = \{(z_0, \dots, z_5) \in Q_2 | |z_0| + |z_1 - z_4| + |z_2 - z_3| \neq 0 \}$$

or

$$Q_2^* = \{(z_0, \cdots, z_5) \in Q_2 | z_0 \neq 0\}$$
.

(iii) otherwise; We have dim.  $A(S) \leq n^2 + 1$ . The equality holds if and only if S is pseudo-conformally equivalent to

$$Q_r^* = \{(z_0, \dots, z_n) \in Q_r | z_0 \neq 0\}$$
.

In Theorem 7.2, if we specify the ambient space M, then the question arises whether a hypersurface S with dim.  $A(S) = n^2 + 2n$  (or  $n^2 + 1$ ) exists in M, in other words, whether  $Q_r$  (or  $Q_r^*$ ) can be pseudo-conformally imbedded in M or not. In general this is a very hard problem. Concerning with this we observe

COROLLARY 7.3. Let  $C^n$  be the complex number space of dimension n. Let S be a connected non-degenerate (index r) homogeneous hypersurface of  $C^n$ . Then we have

(1) In the case r=0 (i.e. in the case S is strongly pseudo-convex) A(S) has the largest dimension  $n^2+2n$ , if and only if S is pseudo-conformally equivalent to the unit sphere  $S^{2n-1}$ . And A(S) has the second largest dimension  $n^2+1$ , if and only if S is pseudo-conformally equivalent to the hyperconic

$$Q_0^* = \left\{ (z_1, \cdots, z_n) \in C^n \middle| \operatorname{Im} z_n = rac{1}{2} \sum_{i=1}^{n-1} |z_i|^2 
ight\}.$$

(2) In the case  $1 \leq r < \left\lceil \frac{n-1}{2} \right\rceil$ 

A(S) has the largest dimension  $n^2 + 1$ , if and only if S is pseudo-conformally equivalent to

$$Q_r^* = \left\{ (z_1, \, \cdots, z_n) \in C^n \, \middle| \, \mathrm{Im} \, z_n = rac{1}{2} igg( -\sum_{i=1}^r |z_i|^2 + \sum_{i=r+1}^{n-1} |z_i|^2 igg) 
ight\} \, .$$

(3) In the case  $r = \left\lceil \frac{n-1}{2} \right\rceil$ , we have the following three cases.

- (i) n = 3 We have dim.  $A(S) \le n^2 + 2 = 11$ .
- (ii) n = 5 We have dim.  $A(S) \le n^2 + 1 = 26$ .
- (iii) otherwise; A(S) has the largest dimension  $n^2 + 1$ , if and only if S is pseudo-conformally equivalent to  $Q_x^*$ .

Before the proof, recall the following

PROPOSITION E (cf. [5; VII Proposition 4.6], [6; Corollary to Theorem 5]). Let S be a compact hypersurface of  $C^n$ . Then there exists a point  $p_0$  of S such that S is strongly pseudo-convex at  $p_0$ .

Proof of Corollary 7.3. If dim.  $A(S) = n^2 + 2n$ , S is pseudo-conformally equivalent to  $Q_r$  from Theorem 7.2. Hence S is compact. Then r must be zero as the above proposition shows. In other words, if  $r \ge 1$ ,  $Q_r$  cannot be realized as a hypersurface of  $C^n$ . On the other hand from the proof of Proposition 6.6, we know that  $Q_0$  is projectively equivalent to  $S^{2n-1}$ . Other assertions of the corollary is obvious from Theorem 7.2.

We don't know whether  $Q_1^*(1)$  (resp.  $Q_2^*(2)$ ) can be pseudo-conformally imbedded into  $C^3$  (resp.  $C^5$ ).

Finally we will see that in the case dim.  $A(S) = n^2 + 2n$ , the homogeneity assumption is dispensable. In fact we have

THEOREM 7.4. Let M be a complex manifold of dimension n. Let S be a connected hypersurface of M which is non-degenerate of index r at a point  $p_0 \in S$ . If dim.  $A(S) = n^2 + 2n$ , then S is pseudo-conformally equivalent to  $Q_r$ .

Proof. We denote by a(S) the Lie algebra of all infinitesimal pseudo-conformal transformations of S which generate global 1-parameter groups of transformations. Then a(S) is naturally isomorphic with the Lie algebra of A(S). Let  $S^*$  be the set of points of S at which S is non-degenerate of index r. Obviously  $S^*$  is an open subset of S containing  $p_0$ . Hence  $S^*$  is a non-degenerate (index r) hypersurface. Let  $(P^*, \omega^*, l^*)$  be the normal pseudo-conformal connection over  $S^*$ . We consider the Lie algebra  $\tilde{a}(S^*)$  of all infinitesimal pseudo-conformal transformations of  $S^*$ . Since  $S^*$  is an open subset of S and each element of a(S) is a real analytic vector field on S, the restriction map  $S^*$ 0 into  $\tilde{a}(S^*)$ 1 is an injective homomorphism. Set  $\tilde{a}(P^*) = \{X \in \mathfrak{X}(P^*) | L_X \omega^* = 0, R_{a*} X^* = X \ a \in G'(r)\}$ 2. Since  $(\pi^*)_*$ 3 is an isomorphism of  $\tilde{a}(P^*)$ 5 onto  $\tilde{a}(S^*)$ 5, we

have dim.  $\tilde{\alpha}(S^*) \leq n^2 + 2n$ . On the other hand from the assumption we have dim.  $\alpha(S) = n^2 + 2n$ . Hence *res* is an isomorphism of  $\alpha(S)$  onto  $\tilde{\alpha}(S^*)$ . In particular *res* maps the isotropy subalgebra  $\alpha_{p_0}(S)$  of  $\alpha(S)$  at  $p_0$  onto the isotropy subalgebra  $\tilde{\alpha}_{p_0}(S^*)$  of  $\tilde{\alpha}(S^*)$  at  $p_0$ . Then from dim.  $\tilde{\alpha}_{p_0}(S^*) = n^2 + 1$ , we have dim.  $\alpha_{p_0}(S) = n^2 + 1$ .

Now we consider the orbit  $S^{**}$  of  $A^0(S)$  passing through  $p_0$ . Then as is easily seen from dim.  $a(S) = n^2 + 2n$  and dim.  $a_{p_0}(S) = n^2 + 1$ ,  $S^{**} = A^0(S)/A^0_{p_0}(S)$  is an open submanifold of S. Hence  $S^{**}$  is a connected non-degenerate (index r) homogeneous hypersurface. Moreover we have dim.  $A(S^{**}) = n^2 + 2n$ . In fact we have only to show that  $A^0(S)$  acts effectively on  $S^{**}$ , which is clear since  $S^{**}$  is an open subset of S and pseudo-conformal transformations of S are  $C^o$ -homeomorphisms of S. Therefore from Theorem 7.2  $S^{**}$  is pseudo-conformally equivalent to  $Q_r$ . In particular  $S^{**}$  is compact. On the other hand  $S^{**}$  is an open subset of a connected hypersurface S. Hence we must have  $S = S^{**}$ . Therefore S is pseudo-conformally equivalent to  $Q_r$ .

COROLLARY 7.5. Let S be a compact connected hypersurface of  $C^n$ . If dim.  $A(S) = n^2 + 2n$ , then S is pseudo-conformally equivalent to the unit sphere  $S^{2n-1}$ .

This is clear from the above theorem and Proposition E.

Remark 7.6. In the case of second largest dimension  $(r \ge 1)$ , the homogeneity assumption is indispensable. In fact  $Q_r \setminus \{\tilde{o}\} = Q_r^* \cup R_r^2(0)$   $(r \ge 1)$  is a connected (inhomogeneous) hypersurface of  $P^n(C)$  for which  $G^*(r)$  is the identity component of  $A(Q_r \setminus \{\tilde{o}\})$ . We will treat the inhomogeneous second largest dimension case in a forthcoming paper.

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