

## A RELATION BETWEEN ORDER AND DEFECTS OF MEROMORPHIC MAPPINGS OF $C^n$ INTO $P^N(C)$

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### 1. Introduction

Let  $f$  be a meromorphic mapping of the  $n$ -dimensional complex plane  $C^n$  into the  $N$ -dimensional complex projective space  $P^N(C)$ . We denote by  $T(r, f)$  the characteristic function of  $f$  and by  $N(r, f^*H)$  the counting function for a hyperplane  $H \subset P^N(C)$ .<sup>1)</sup> The purpose of this paper is to establish the following results.

**THEOREM 1.** *Let  $f: C^n \rightarrow P^N(C)$  be a meromorphic mapping of finite order  $\rho$  which is not a positive integer. Then for any  $N + 1$  hyperplanes  $H_\mu \subset P^N(C)$ ,  $\mu = 0, 1, \dots, N$ , in general position*

$$(1.1) \quad K(f) = \overline{\lim}_{r \rightarrow \infty} \frac{\sum_{\mu=0}^N N(r, f^*H_\mu)}{T(r, f)} \geq k(\rho),$$

where  $k(\rho)$  is a positive constant depending only on  $\rho$  and satisfies

$$(1.2) \quad k(\rho) \geq \frac{2\Gamma^4(3/4) |\sin \pi\rho|}{\pi^2\rho + \Gamma^4(3/4) |\sin \pi\rho|} .^{2)}$$

In case  $0 \leq \rho < 1$ , we shall also obtain

**THEOREM 2.** *The positive constant  $k(\rho)$  in (1.1) satisfies*

$$(1.3) \quad k(\rho) \geq 1 - \rho \quad \text{for } 0 \leq \rho < 1.$$

*Remark.* When  $\rho$  takes values near 0, the evaluation (1.3) is better than (1.2). On the other hand (1.2) is better than (1.3) when  $\rho$  is close to 1.

From these theorems we have readily

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1) Throughout the present paper we only consider hyperplanes  $H$  such that  $f^*H$  do not contain the origin.

2) As usual,  $\Gamma(\cdot)$  stands for the gamma-function.

**COROLLARY.** *If a meromorphic mapping  $f: \mathbf{C}^n \rightarrow \mathbf{P}^N(\mathbf{C})$  admits  $N + 1$  hyperplanes in general position whose defects are equal to one, then the order of  $f$  is infinite or a positive integer.*

In case  $n = N = 1$ , the existence of the positive lower bound  $k(\rho)$  in (1.1) was first proved by R. Nevanlinna [7, Chap. III] and he posed the problem to determine the best possible value of  $k(\rho)$ . In the same case Theorem 1 was proved by Edrei-Fuchs [1] and they determined the correct value of  $k(\rho)$  for  $0 \leq \rho < 1$  in [2]. In case  $n = 1$  and  $N \geq 1$ , Toda [10] obtained the evaluation (1.2) and moreover Ozawa [8] obtained the correct value of  $k(\rho)$  for  $\rho < 1$ .

One notes that  $k(\rho)$  may be determined independently of the dimension  $n$ .

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## 2. Notation

Let  $(z_1, \dots, z_n)$  be the natural coordinate system in  $\mathbf{C}^n$  and set

$$\begin{aligned} \|z\|^2 &= \sum_{\mu=1}^n z_\mu \bar{z}_\mu, & B(r) &= \{\|z\| < r\}, \\ A(r) &= A \cap B(r) \quad \text{for a subset } A \subset \mathbf{C}^n, \\ d^c &= \frac{i}{4\pi}(\bar{\partial} - \partial), \\ \chi &= (dd^c \log \|z\|^2)^{n-1}, & \eta &= d^c \log \|z\|^2 \wedge \chi. \end{aligned}$$

For a positive divisor  $D$  on  $\mathbf{C}^n$  not containing the origin, set

$$n(t, D) = \int_{D(t)} \chi, \quad N(r, D) = \int_0^r \frac{n(t, D)}{t} dt.$$

In case  $n = 1$ ,  $n(t, D)$  is the number of elements of  $D$  in  $B(t)$  with counting multiplicities. Let  $L$  denote the hyperplane bundle over  $\mathbf{P}^N(\mathbf{C})$  and  $\omega$  the positive definite curvature form of  $L$  arising from an hermitian metric  $h$  in  $L$ . For a meromorphic mapping  $f: \mathbf{C}^n \rightarrow \mathbf{P}^N(\mathbf{C})$  which is holomorphic at the origin, the characteristic function is defined by

$$T(r, f) = \int_0^r \frac{dt}{t} \int_{B(t)} f^* \omega \wedge \chi.$$

It is noted that the pull-back form  $f^*\omega$  is a differential form with coefficients belonging to  $L^1_{loc}$  which is closed and positive in the sense of currents (cf. Lelong [6]) and that  $T(r, f)$  is independent of the curvature form  $\omega$  of  $L$ , up to an  $O(1)$ -term (cf. Griffiths-King [3]).

Let  $S(r)$  be a real, non-negative and increasing function of  $r \geq 0$ . Then  $\overline{\lim}_{r \rightarrow \infty} \log S(r)/\log r$  is called the order of  $S(r)$ . In particular the order of  $T(r, f)$  ( $N(r, D)$  resp.) is called the order of  $f$  ( $D$  resp.). Let  $U$  be an open set in  $\mathbf{P}^N(\mathbf{C})$  such that  $L|_U \cong U \times \mathbf{C}$ . Then the restriction  $\sigma|_U$  of a global holomorphic section  $\sigma \in H^0(\mathbf{P}^N(\mathbf{C}), L)$  is naturally regarded as a holomorphic function in  $U$  and similarly  $h|_U$  as a positive smooth function in  $U$ . The length of  $\sigma$  is defined by

$$|\sigma| = \left( \frac{|\sigma|_U|^2}{h|_U} \right)^{1/2} \quad \text{in } U,$$

which is independent of the local trivialization,  $L|_U \cong U \times \mathbf{C}$ . For a hyperplane  $H$  in  $\mathbf{P}^N(\mathbf{C})$ , choose always a global section  $\sigma \in H^0(\mathbf{P}^N(\mathbf{C}), L)$  so that the divisor  $(\sigma)$  is equal to  $H$  and  $|\sigma| \leq 1$ , and set

$$m(r, H) = \int_{\partial B(r)} \log \frac{1}{f^*|\sigma|} \eta.$$

Now the following is well-known (Nevanlinna's first main theorem):

$$(2.1) \quad T(r, f) = N(r, f^*H) + m(r, H) + \log f^*|\sigma|(0)$$

provided that  $f^*H \not\ni 0$ .

In case  $N = 1$ ,  $f$  is a meromorphic function in  $\mathbf{C}^n$ . Let  $(f)_0$  and  $(f)_\infty$  denote respectively the divisors of zeros and poles of  $f$  and suppose that  $(f)_0 \cup (f)_\infty \not\ni 0$ . Then (2.1) yields that

$$(2.2) \quad \begin{aligned} T(r, f) &= N(r, (f)_\infty) + \int_{\partial B(r)} \log^+ |f| \eta + O(1) \\ &= N(r, (f)_0) + \int_{\partial B(r)} \log^+ \frac{1}{|f|} \eta + O(1), \end{aligned}$$

where  $\log^+ s = \max\{0, \log s\}$  for  $s \geq 0$ . We return to the general case,  $N \geq 1$ . We set for a hyperplane  $H$

$$\delta(H, f) = 1 - \overline{\lim}_{r \rightarrow \infty} \frac{N(r, f^*H)}{T(r, f)}$$

which is called the defect of  $H$ .

### 3. An estimate for canonical functions

For an entire function  $F$  in  $\mathbb{C}^n$ , we set

$$M(r, F) = \max_{\|z\|=r} |F(z)| .$$

LEMMA 1. *Let  $F$  be an entire function. Then for  $r < R$*

$$(3.1) \quad T(r, F) + O(1) \leq \log M(r, F) \leq \frac{1 - (r/R)^2}{(1 - r/R)^{2n}} \{T(R, F) + O(1)\} .$$

*Proof.* The first inequality follows from (2.2). We prove the second. Let  $\text{Aut}(B(R))$  denote the group of holomorphic automorphisms of  $B(R)$ . For  $z_0 \in B(R)$ , there is an element  $\gamma(\cdot, z_0) \in \text{Aut}(B(R))$  with  $\gamma(z_0, z_0) = 0$ . We define

$$\begin{aligned} \phi(z, z_0) &= dd^c \log \|\gamma(z, z_0)\|^2 , \\ \chi(z, z_0) &= \phi(z, z_0)^{n-1} \\ \eta(z, z_0) &= d^c \log \|\gamma(z, z_0)\|^2 \wedge \chi(z, z_0) . \end{aligned}$$

Since the isotropy subgroup of  $\text{Aut}(B(R))$  at the origin consists of unitary transformations of the coordinates, these differential forms are independent of the choice of  $\gamma(\cdot, z_0)$ . Note that  $\chi(z, 0) = \chi(z)$  and  $\eta(z, 0) = \eta(z)$ . Since  $\log |F \circ \gamma(\cdot, z_0)^{-1}|$  is plurisubharmonic in a neighborhood of  $\overline{B(R)}$ ,

$$\begin{aligned} (3.2) \quad \log |F(z_0)| &= \log |F \circ \gamma(0, z_0)^{-1}| \\ &\leq \int_{\partial B(R)} \log |F \circ \gamma(z, z_0)^{-1}| \eta(z) = \int_{\partial B(R)} \log |F(z)| \eta(z, z_0) \\ &\leq \int_{\partial B(R)} \log^+ |F(z)| \eta(z, z_0) . \end{aligned}$$

Let  $\log M(r, F) = \log |F(z_0)|$  with  $z_0 \in \partial B(r)$ . By a unitary transformation of the coordinates, we can carry  $z_0$  to  $(r, 0, \dots, 0)$ . Therefore we may assume that  $z_0 = (r, 0, \dots, 0)$ . Let us take  $\gamma(z, z_0)$  as follows:

$$\gamma(z, z_0) = \frac{R}{R - (r/R)z_1} (z_1 - r, \sqrt{1 - (r/R)^2} z_2, \dots, \sqrt{1 - (r/R)^2} z_n) .$$

By an elementary calculation we have

$$\begin{aligned} \phi(z, z_0) &\leq \frac{1}{(1 - r/R)^2} \phi(z, 0) , \\ d^c \log \|\gamma(z, z_0)\|^2 &= \frac{R^2 - r^2}{|R - (r/R)z_1|^2} d^c \log \|\gamma(z, 0)\|^2 \end{aligned}$$

and so  $\eta(z, z_0) \leq \{1 - (r/R)^2\}\eta(z)/(1 - r/R)^{2n}$ . Combining this with (3.2) and (2.2), we obtain the required inequality. Q.E.D.

Let  $\ell$  be a complex line in  $C^n$  through the origin and  $F_\ell(u)$  denote the restriction of  $F$  on  $\ell$ . From Lemma 1 it follows that for every  $\ell$ ,

$$(3.3) \quad \text{order of } F_\ell(u) \leq \text{order of } F(z) .$$

Let  $D$  be a positive divisor on  $C^n$  not containing the origin and suppose that for an integer  $q$

$$(3.4) \quad \int_0^\infty \frac{1}{t^{q+1}} dn(t, D) < \infty .$$

Then according to Lelong [5, Theorem 5] (see also Stoll [9]), there exists an entire function  $F$  such that  $(F) = D$ ,  $F(0) = 1$ , all the partial derivatives of  $\log F$  of order  $\leq q$  vanish at the origin, the order of  $F$  is not greater than  $\max\{q, \text{order of } D\}$  and

$$(3.5) \quad \begin{aligned} \log |F(z)| \leq & A(n, q) \left\{ \|z\|^q \int_0^{\|z\|} \frac{n(t, D)}{t^{q+1}} dt \right. \\ & \left. + \|z\|^{q+1} \int_{\|z\|}^\infty \frac{n(t, D)}{t^{q+2}} dt \right\} , \end{aligned}$$

where  $A(n, q)$  is a constant depending only on  $n$  and  $q$ . Such a function  $F$  is called the canonical function of genus  $q$  associated with the divisor  $D$ .

Let  $D$  be a positive divisor on  $C^n$  not containing the origin, whose order is less than  $q + 1$ . Then (3.4) is satisfied. Let  $F$  be the canonical function of genus  $q$  associated with  $D$ ,  $\ell$  a complex line in  $C^n$  through the origin and suppose that  $F_\ell(u)$  does not vanish for all  $u \in \ell \cong C$ . Then by (3.3),  $F_\ell(u) = e^{P(u)}$  where  $P(u)$  is a polynomial of degree  $\leq q$ . Since all the derivatives of  $\log F$  of order  $\leq q$  vanish at the origin and  $F(0) = 1$ ,  $P(u) \equiv 0$  and then  $F_\ell(u) \equiv 1$ . Regarding  $\ell$  as a point of  $P^{n-1}(C)$  in the natural manner, we see

LEMMA 2. *Let  $E = \{\ell \in P^{n-1}(C) : \ell \cdot D = \phi\}$ , ( $\ell \cdot D = \text{intersection of } \ell \text{ and } D \text{ with counting multiplicities}$ ). Then  $E$  is an analytic subset and for  $\ell \in E$ ,  $F_\ell \equiv 1$  and for  $\ell \notin E$ ,  $F_\ell$  coincides with the Weierstrass product of genus  $q$  associated with  $\ell \cdot D$ .*

Remark. It follows from (3.3) that  $\int_0^\infty dn(t, \ell \cdot D)/t^{q+1} < \infty$ .

*Proof.* The first two assertions follow immediately from the above arguments. We show the last. Let  $\Pi(u)$  denote the Weierstrass product of genus  $q$  associated with  $\ell \cdot D$ . Noting that the orders of  $\Pi(u)$  and  $F_\delta(u)$  are less than  $q + 1$ , we have

$$F_\delta(u) = e^{P(u)} \Pi(u),$$

where  $P(u)$  is a polynomial of degree  $\leq q$ . For the same reason as above,  $P(u) \equiv 0$ . Q.E.D.

Let us set

$$\phi(t) = \frac{1}{2\pi} \int_0^{2\pi} \frac{d\theta}{|te^{i\theta} - 1|}.$$

Then by Edrei-Fuchs [1, p. 303] we have for  $0 < \beta < 1$

$$(3.6) \quad \int_0^\infty \phi(t)t^{\beta-1} dt \leq \frac{\pi^2}{\Gamma^4(3/4) \sin(\pi\beta)}.$$

LEMMA 3. *The above canonical function  $F$  satisfies*

$$(3.7) \quad \int_{\partial B(r)} \log^+ |F| \eta \leq \frac{1}{2} \int_0^r \frac{n(t, D)}{t} dt + \frac{r^q}{2} \int_0^\infty n(t, D) t^{-q-1} \phi\left(\frac{t}{r}\right) dt.$$

Furthermore in case  $q = 0$  we have

$$(3.8) \quad \int_{\partial B(r)} \log^+ |F| \eta \leq \int_0^r \frac{n(t, D)}{t} dt + r \int_r^\infty \frac{n(t, D)}{t^2} dt.$$

*Proof.* First we show (3.7). From Lemma 2 and Edrei-Fuchs [1, p. 302] we obtain for  $u \in \ell \in \mathbf{P}^{n-1}(\mathbf{C})$  with  $\|u\| = r$

$$\begin{aligned} & \frac{1}{2\pi} \int_0^{2\pi} \log^+ |F_\delta(ue^{i\theta})| d\theta + \frac{1}{2\pi} \int_0^{2\pi} \log^+ \frac{1}{|F_\delta(ue^{i\theta})|} d\theta \\ & \leq r^q \int_0^\infty \frac{n(t, \ell \cdot D)}{t^{q+1}} \phi\left(\frac{t}{r}\right) dt. \end{aligned}$$

From Nevanlinna's first main theorem and  $F_\delta(0) = 1$  it follows that

$$(3.9) \quad \frac{1}{\pi} \int_0^{2\pi} \log^+ |F_\delta(ue^{i\theta})| d\theta \leq N(r, \ell \cdot D) + r^q \int_0^\infty \frac{n(t, \ell \cdot D)}{t^{q+1}} \phi\left(\frac{t}{r}\right) dt.$$

Letting  $\lambda(\ell)$  denote the standard volume form on  $\mathbf{P}^{n-1}(\mathbf{C})$  defined by  $\chi$ , we have

$$(3.10) \quad \int_{\partial B(r)} \log^+ |F| \eta = \int_{\ell \in P^{n-1}(C)} \lambda(\ell) \frac{1}{2\pi} \int_0^{2\pi} \log^+ |F_\ell(z e^{i\theta})| d\theta ,$$

where  $z \in \ell$  and  $\|z\| = r$ . Since  $n(t, D) = \int n(t, \ell \cdot D) \lambda(\ell)$  by definition, using Fubini's theorem we get (3.7) from Lemma 2, (3.9) and (3.10).

In case  $q = 0$  we have by Lemma 2 and Hayman [4, p. 28]

$$\log |F_\ell(u)| \leq \int_0^r \frac{n(t, \ell \cdot D)}{t} dt + r \int_r^\infty \frac{n(t, \ell \cdot D)}{t^2} dt$$

for  $u \in \ell \in P^{n-1}(C)$  with  $\|u\| = r$ . Then the rest of the proof is similar to the above. Q.E.D.

#### 4. Representation of meromorphic mappings

In this section let us fix a homogeneous coordinate system  $(w_0; \dots; w_N)$  in  $P^N(C)$ . Then we may take

$$(4.1) \quad \begin{aligned} h &= \sum_{\mu=0}^N |w_\mu|^2 / |w_\nu|^2 \quad \text{if } w_\nu \neq 0, \\ \omega &= dd^c \log \left( \sum_{\mu=0}^N |w_\mu|^2 \right). \end{aligned}$$

A meromorphic mapping  $f: C^n \rightarrow P^N(C)$  is represented as

$$(4.2) \quad f = (f_0; \dots; f_N),$$

where  $f_\mu$  are entire functions and  $\text{codim } \{f_0 = \dots = f_N = 0\} \geq 2$ . If  $f = (f_0; \dots; f_N)$  is another representation of  $f$ , then there is an entire function  $g$  such that  $f'_\mu = e^g f_\mu$  for all  $\mu$ . By (4.1) and (4.2) we have

$$(4.3) \quad T(r, f) = \int_{\partial B(r)} \log \left( \sum_{\mu=0}^N |f_\mu|^2 \right)^{1/2} \eta - \log \left( \sum_{\mu=0}^N |f_\mu(0)|^2 \right)^{1/2}$$

provided that  $\sum_{\mu=0}^N |f_\mu(0)|^2 \neq 0$ , i.e.,  $f$  is holomorphic at the origin.

**LEMMA 4.** *Let  $f: C^n \rightarrow P^N(C)$  be a meromorphic mapping of order  $< q + 1$  and suppose that  $f^*\{w_\mu = 0\}$ ,  $\mu = 0, \dots, N$  do not contain the origin. Then  $f$  is represented as*

$$f = (F_0; F_1 e^{P_1}; \dots; F_N e^{P_N}),$$

where each  $F_\mu$  is the canonical function of genus  $q$  associated with  $f^*\{w_\mu = 0\}$  if  $f^*\{w_\mu = 0\} \neq \emptyset$ , or  $\equiv 1$  if  $f^*\{w_\mu = 0\} = \emptyset$  and  $P_\mu$  are polynomials in  $z_1, \dots, z_n$  of degree  $\leq q$ .

*Proof.* By the assumption and (2.1) the orders of  $f^*\{w_\mu = 0\}$  are less than  $q + 1$ . Thus we may take the canonical functions  $F_\mu$  of genus  $q$  associated with  $f^*\{w_\mu = 0\}$  (if  $f^*\{w_\mu = 0\} = \phi$ , we take  $F_\mu \equiv 1$ ).  $f$  is represented as

$$(4.4) \quad f = (F_0; F_1 e^{g_1}; \dots; F_N e^{g_N}),$$

where  $g_\mu$  are entire functions. Hence it suffices to show that the order of  $e^{g_\mu}$ , say  $e^{g_1}$ , is less than  $q + 1$ . From (4.4), (4.1) and (2.1) it follows that

$$(4.5) \quad \int_{\partial B(r)} \log^+ \left| \frac{F_1}{F_0} e^{g_1} \right| \eta \leq T(r, f) + O(1).$$

Noting that  $\log^+ ab \leq \log^+ a + \log^+ b$ , we have

$$\begin{aligned} \int_{\partial B(r)} \log^+ |e^{g_1}| \eta &\leq \int_{\partial B(r)} \log^+ \left| \frac{F_1}{F_2} e^{g_1} \right| \eta + \int_{\partial B(r)} \log^+ |F_0| \eta \\ &\quad + \int_{\partial B(r)} \log^+ \frac{1}{|F_1|} \eta. \end{aligned}$$

From (2.2),

$$\int_{\partial B(r)} \log^+ \frac{1}{|F_1|} \eta \leq \int_{\partial B(r)} \log^+ |F_1| \eta + O(1).$$

So we see that

$$\int_{\partial B(r)} \log^+ |e^{g_1}| \eta \leq T(r, f) + T(r, F_0) + T(r, F_1) + O(1).$$

As the orders of  $f, F_0$  and  $F_1$  are less than  $q + 1$ , so is that of  $e^{g_1}$ .

Q.E.D.

## 5. Proof of Theorem 1

First we take a homogeneous coordinate system  $(w_0; w_1; \dots; w_N)$  in  $P^N(C)$  so that  $H_\mu = \{w_\mu = 0\}$ . Let  $q$  denote the largest integer not exceeding  $\rho$ . By Lemma 4,  $f$  is represented as

$$f = (F_0; F_1 e^{P_1}; \dots; F_N e^{P_N}).$$

By (4.3) and Lemma 4 we see that

$$\begin{aligned} T(r, f) &\leq \sum_{\mu=0}^N \int_{\partial B(r)} \log^+ |F_\mu| \eta + \sum_{\mu=1}^N \int_{\partial B(r)} \log^+ |e^{P_\mu}| \eta + O(1) \\ &\leq \sum_{\mu=0}^N \int_{\partial B(r)} \log^+ |F_\mu| \eta + O(r^q). \end{aligned}$$



Now we apply Lemma 3 to this. Setting  $n(t) = \sum_{\mu=0}^N n(t, f^*H_\mu)$  and  $N(r) = \int_0^r n(t)dt/t$ , we get from (3.7)

$$2T(r, f) \leq N(r) + r^a \int_0^\infty n(t)t^{-a-1}\phi\left(\frac{t}{r}\right)dt + O(r^a).$$

Similarly to Edrei-Fuchs [1, §4] this inequality yields

$$2 - K(f) \leq K(f)\rho \int_0^\infty t^{\rho-a-1}\phi(t)dt.$$

From this and (3.6) we deduce that

$$K(f) \geq \frac{2\Gamma^4(3/4)|\sin \pi\rho|}{\pi^2\rho + \Gamma^4(3/4)|\sin \pi\rho|}.$$

Hence we have (1.2).

Q.E.D.

### 6. Proof of Theorem 2

As in the previous section,  $f$  may be represented as

$$f = (F_0; c_1F_1; \dots; c_NF_N),$$

where  $c_\mu$  are non-zero constants. By (4.3) we have

$$T(r, f) \leq \sum_{\mu=0}^N \int_{\partial B(r)} \log^+ |F_\mu| \eta + O(1).$$

Using the same notation  $n(t)$  and  $N(r)$  as in section 5, we have by Lemma 3

$$T(r, f) \leq N(r) + r \int_r^\infty \frac{n(t)}{t^2} dt + O(1).$$

In view of integration by parts this implies

$$(6.1) \quad T(r, f) \leq r \int_r^\infty \frac{N(t)}{t^2} dt + O(1).$$

Noting that the order of  $N(r)$  is  $\rho$ , by Hayman [4, Lemma 4.7] we can take a sequence  $r \uparrow \infty$  for an arbitrarily small  $\varepsilon > 0$  such that

$$(6.2) \quad N(t) \leq \left(\frac{t}{r}\right)^{\rho+\varepsilon} N(r) \quad \text{for } t \geq r.$$

From (6.1) and (6.2) we get

$$\begin{aligned}
T(r, f) &\leq r^{1-\rho-\varepsilon} N(r) \int_r^\infty t^{\rho+\varepsilon-2} dt + O(1) \\
&= \frac{N(r)}{1-\rho-\varepsilon} + O(1).
\end{aligned}$$

Thus  $K(f) \geq 1 - \rho - \varepsilon$ . Letting  $\varepsilon \rightarrow 0$ , we deduce (1.3). Q.E.D.

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