

H. Imai
Nagoya Math. J.
Vol. 59 (1975), 45-58

THE VALUE DISTRIBUTION OF HARMONIC MAPPINGS BETWEEN RIEMANNIAN N -SPACES

HIDEO IMAI

We are concerned with the value distribution of a mapping of an open Riemannian n -space ($n \geq 3$) into a Riemannian n -space. The value distribution theory of an analytic mapping of Riemann surfaces was initiated by S. S. Chern [1] and developed mainly by L. Sario [8], [9], [10], [11], and then by H. Wu [14], [15]. The most crucial part in Sario's theory is the introduction of a kernel function on an arbitrary Riemann surface to describe appropriately the proximity of two points. His method indicates that the potential theoretic method is one of the powerful methods in the value distribution theory.

Our main object is to generalize the first main theorem to the higher dimensional Riemannian spaces according to Sario's method. But in view of the intrinsic restriction, we need to confine ourselves to a mapping which does not destroy the harmonic structure. For this purpose we introduce the notion of a harmonic mapping which was first considered by C. Constantinescu-A. Cornea in the theory of harmonic spaces ([2]). Roughly speaking, it is a mapping preserving harmonic functions. We will see that the first main theorem is valid for an arbitrary harmonic mapping.

In our present paper we will first introduce the harmonic mapping between Riemannian spaces. We will show that it is a C^2 -mapping and a local isometry except for the set of singular points of the mapping (Lemmas 1 and 4). To construct the characteristic function, the function obtained from Sario's kernel by applying Laplacian plays the role of the volume element on Riemannian spaces. In section 4 we will see that the total volume of any Riemannian spaces (which is either open

Received March 5, 1973.

Revised October 17, 1974.

The author wishes to express his indebtedness to Professor Mitsuru Nakai for his valuable advice.

or closed) is equal to 2 with respect to this volume element. Finally we will obtain the first main theorem for harmonic mappings which states that the counting number of the pre-image of a non-singular point a and the mean proximity of the image of the relative boundary to a point a is determined only by the given region of the domain space and the given harmonic mappings.

1. Let R be a Riemannian n -space ($n \geq 3$), i.e., a connected, separable and orientable n -dimensional ($n \geq 3$) C^∞ -manifold with a C^∞ -metric tensor g_{ij} . A relatively compact subregion Ω of R , the boundary of which are piecewise C^∞ , is called regular. A sequence $\{\Omega_n\}_{n=1}^\infty$ of regular regions with $\bar{\Omega}_n \subset \Omega_{n+1}$ and $R = \bigcup \Omega_n$ is called a regular exhaustion of R . For an open set ω of R , $H(\omega)$ stands for the class of harmonic functions on ω and $H^c(\omega)$ for the subclass of $H(\omega)$, consisting of functions which can be continuously extendable to the closure $\bar{\omega}$ of ω . Denote by $g_V(x, \zeta)$ Green's function of V with its pole at ζ and with the normalization $-\int_{\partial V} *dg(x, \zeta) = 1$ for any parametric ball V at ζ .

Let S be another Riemannian n -space with a C^∞ -metric tensor \bar{g}_{kl} . The mapping $f: R \rightarrow S$ is called an isometry if f is a diffeomorphism of R onto $f(R)$ and $g^{ij}(x) = \bar{g}^{kl}(f(x))$ for each x in R . For each point x in R , if there exist open neighbourhoods U of x and V of $y = f(x)$ such that f is an isometry of U onto V , f is called a local isometry. As usual, a point $x_0 \in R$ is a singular point of f if $\det\left(\frac{\partial y^j}{\partial x^i}\right) = 0$ at $x = x_0$, where $x = (x^1, \dots, x^n)$ is a local parameter about x and $y^j = f^j(x)$ with $f(x) = (f^1(x), \dots, f^n(x))$. For the singular point x_0 , $y_0 = f(x_0)$ is called a singular value of f . Similarly, for any C^1 -function φ in R , a point x_0 in R is a critical point of φ if $\left(\frac{\partial \varphi}{\partial x^1}, \dots, \frac{\partial \varphi}{\partial x^n}\right) = 0$ at $x = x_0$, and for the critical point x_0 of φ , $\varphi(x_0)$ is called a critical value of φ .

2. Let f be a continuous mapping of R to S . A mapping f is called *harmonic* if for any point x in R , any neighbourhood V of $y = f(x)$ in $f(R)$, and any harmonic function u_x in V , $u_x \circ f$ is harmonic on the pre-image $f^{-1}(V)$ of V under f . This definition is a version of the harmonic mapping in the theory of harmonic spaces which was introduced by C. Constantinescu-A. Cornea [2]. We will consider the properties of harmonic mappings according to those in the theory of harmonic spaces. First we will show that f becomes automorphically a C^2 -mapping.

LEMMA 1. *Let f be a harmonic mapping of R to S . Then f is a C^2 -mapping.*

Proof. It suffices to show that f is locally C^2 -mapping. For each point $x_0 \in R$, let $y_0 = f(x_0)$. Denote by V_0 and Ω the concentric parametric balls about y_0 in S such that $V_0 \not\subset \Omega$ and by $g_\alpha(y, y_0)$ the Green's function of Ω with a pole at y_0 . Without loss of generality, we may assume that there are no critical points of $g_\alpha(y, y_0)$ in $\Omega - \{y_0\}$, because of the compactness of $\bar{\Omega}$ and of Sard's theorem. Let $\alpha_1, \alpha_2, \dots, \alpha_n$ be real numbers such that $0 < \alpha_1 < \dots < \alpha_n < \min_{\partial V_0} g_\alpha(y, y_0)$ and $\eta_k = (\eta_1^k, \dots, \eta_n^k)$ be a point in the hypersurface $\{g_\alpha(y, y_0) = \alpha_k\}$ with $k = 1, 2, \dots, n$. Since $g_\alpha(\eta_k, y) = O(\rho(\eta_k, y)^{2-n})$ with $\rho(\eta_k, y)^2 = g_{ij}(\eta_k^i - y_j^0)(\eta_k^j - y_j^0)$ and $y^0 = (y_1^0, \dots, y_n^0)$, we may choose η_k such that the k -th coordinate of η_k is the only non-zero coordinate. Then, since $\text{grad } g_\alpha(y, y_0) \neq 0$ at $y = \eta_k$, the k -th component of $\left(\frac{\partial}{\partial y_1} g_\alpha(y, y_0), \dots, \frac{\partial}{\partial y_n} g_\alpha(y, y_0)\right)$ at $y = \eta_k$ is the only non-zero component, where (y_1, \dots, y_n) is a local parameter of the ball Ω . By the symmetry of Green's function, $g_\alpha(\eta_k, y_0) = g_\alpha(y_0, \eta_k)$ and then the components of $\left(\frac{\partial}{\partial y_1} g_\alpha(y_0, \eta_k), \dots, \frac{\partial}{\partial y_n} g_\alpha(y_0, \eta_k)\right)$ at $y = \eta_k$ ($k = 1, 2, \dots, n$) are not zero only at the k -th component. We set $u_k(y) = g_\alpha(y, \eta_k)$ for $k = 1, 2, \dots, n$. Clearly $H(V_0) \supset \{u_k\}_{k=1}^n$ and $\det\left(\frac{\partial}{\partial y_i} u_j(y)\right)_{\substack{1 \leq i \leq n \\ 1 \leq j \leq n}} \neq 0$ at $y = y_0$. Let V be a connected neighbourhood of y_0 such that $\det\left(\frac{\partial}{\partial y_i} u_j(y)\right) \neq 0$ in $f(R)$ and U be a connected component of $f^{-1}(V)$. Then, by the hypothesis, $u_k \circ f \in H(U)$ and hence $u_k \circ f$ ($k = 1, 2, \dots, n$) is a C^2 -function in U . Since, by the construction of $\{u_k\}_{k=1}^n$, (u_1, \dots, u_n) serves as a local parameter in V , f is a C^2 -mapping in U .

In order to show the global property of a harmonic mapping, we need the following approximation Lemma, the original form of which can be found in [6].

LEMMA 2 ([6]). *Let G be a Riemannian space and ω be an open subset of G . For any $u \in H(\omega)$ and any compact subset K of ω , u can be uniformly approximated by functions in $H(G)$ on K if and only if the complement $\complement \omega$ of ω does not contain connected components.*

LEMMA 3. *Let f be a C^2 -mapping of R to S . Then, f is a*

harmonic mapping if and only if $u \cdot f$ is harmonic on R for every $u \in H(f(R))$.

Proof. Since the “if part” is trivial, we will show the “only if part”. Let $x \in R$ be any point and V be any neighbourhood of $y = f(x)$ in $f(R)$. Without loss of generality, we may assume that the complement $\complement V$ of V has no connected compact components in S . Indeed otherwise, we can choose an open covering $\{V_j\}$ of V in $f(R)$ such that each V_j has no connected compact components in S and the point $y = f(x)$ is contained in some V_j . Let u_x be any function in $H(V)$ and $\{V_n\}_{n=1}^\infty$ be a regular exhaustion of V such that $V_n \subset \bar{V}_n \subset V_{n+1}$ for each n and $V = \bigcup_{n=1}^\infty V_n$. For every $n \geq 2$, we denote by h_n a harmonic function in S with the property that $|u_x - h_n| < 1/n$ on \bar{V}_{n-1} . This procedure is possible by Lemma 2. Then, by the hypothesis, $h_n \cdot f \in H(R)$ and $|u_x \circ f - h_n \circ f| < 1/n$ on $f^{-1}(\bar{V}_{n-1})$ for each n . Hence taking the limit, $u_x \circ f$ coincides with some function $h \in H(R)$ on $f^{-1}(V)$. Therefore we have $u_x \circ f \in H(f^{-1}(V))$.

LEMMA 4. *For any harmonic mapping f of R to S , f is a local isometry except for the set of singular points of f .*

Proof. Let x_0 be any non-singular point of f . Then there exist open neighbourhoods U of x_0 and V of $y_0 = f(x_0)$ such that f is a bijective C^2 -mapping of U onto V . Since, by the hypothesis, f preserves harmonic functions, it is an isometry of U onto V (c.f. [3, p 387]).

The following properties of harmonic mappings are known from the general theory of harmonic spaces.

LEMMA 5. *Let f be a harmonic mapping of R to S . If a subset A of $f(R)$ is polar, $f^{-1}(A)$ is also a polar set. If s' is a superharmonic function in an open subset G of $f(R)$, then $s' \cdot f$ is superharmonic in $f^{-1}(G)$.*

COROLLARY 1. *Under a harmonic mapping f , $f^{-1}(y_0)$ is the set of n -dimensional Newtonian capacity zero for each singular value $y_0 \in f(R)$, and $f^{-1}(y)$ is at most countable point set for each non-singular value $y \in f(R)$.*

Proof. Let y_0 be a singular value of f and V be a neighbourhood of y_0 in $f(R)$. Then there exists the Green's function $g_V(y, y_0)$ of V .

By Lemma 5, $g_\nu(f(x), y_0)$ is superharmonic in $f^{-1}(V)$ and $g_\nu(f(x), y_0) = \infty$ on $f^{-1}(y_0)$. Therefore the set $f^{-1}(y_0)$ is polar and it is a set of n -dimensional Newtonian capacity zero. The second part is clear by Lemma 4 and the σ -compactness of R .

A typical example of a harmonic mapping is the projection of the covering Riemannian space. In this case the harmonic mapping has no singular points.

3. We will recall the Sario kernel on S and then consider the properties of the composed Sario's kernel under a harmonic mapping f . These are the basic tool in the value distribution theory by Sario's method.

In S we take the fixed point y_j ($j = 0, 1$) and then the disjoint parametric ball V_j ($j = 0, 1$) with the center at y_j . Let $g_{V_j}(y, y_j)$ ($j = 0, 1$) be the corresponding Green's function. Use Sario's principal operator L_1 (c.f. [7]), construct the harmonic function $t_0(y) = t_0(y, y_0, y_1)$ on $S - \{y_0, y_1\}$ such that $t_0(y) - g_{V_0}(y, y_0)$ and $t_0(y) + g_{V_1}(y, y_1)$ are harmonic in V_0 and V_1 respectively, and that $t_0 = L_1 t_0$ in a neighbourhood of the ideal boundary. Normalize $t_0(y)$ in such a way that $t_0(y) - g_{V_0}(y, y_0) \rightarrow 0$ as $y \rightarrow y_0$ in V_0 . Then the functions $s_0(y) = \log(1 + e^{t_0(y)})$ and $s_0(y) - g_{V_0}(y, y_0)$ are finitely continuous in $S - \{y_0\}$ and V_0 respectively. For an arbitrary point a in $S - \{y_0\}$, we construct the function $t(y, a) = t(y, a, y_0)$ in a similar manner to $t_0(y, y_0, y_1)$ except for the normalization: $t(y, a) + g_{V_0}(y, y_0) \rightarrow s_0(a)$ as $y \rightarrow y_0$ in V_0 . For convenience, in these constructions we always take y_0, y_1 and a from the set of non-singular values of f .

Let $s_1(y, a) = s_0(y) + t(y, a)$ and make $s_1(y, y_0) = s_0(y_0)$. Then $s_1(y, a)$ is bounded from below. We set $s(y, a) = s_1(y, a) + c$, where the constant c is chosen so as to have $s(y, a) > 0$ on $S \times S$. The function $s(y, a)$ is called *Sario's kernel* on S which is a symmetric kernel. The details for Sario's kernel may be found in [4], [7] and [13]. Sario's kernel has the following properties;

LEMMA 6 ([4]). On $S - \{y_0, y_1\}$

$$\Delta_y s_0(y) = e^{t_0(y)} (1 + e^{t_0(y)})^{-2} |\text{grad } t_0(y)|^2$$

holds, and hence $\Delta_y s_0(y)$ is non-negative there.

LEMMA 7 ([4]). Sario's kernel $s(y, a)$ is jointly continuous on $S \times S$.

For every regular region Ω' of S which contains the point a , the decomposition

$$s(y, a) = g_{\rho'}(y, a) + v_{\rho'}(y, a)$$

is given, where $g_{\rho'}$ is the Green's function on Ω' and $v_{\rho'}$ is a finitely continuous function on $\Omega' \times \Omega'$.

Let $f: R \rightarrow S$ be a harmonic mapping and $\{a_j\}$ be the pre-image of a under f . Since a is a non-singular value of f , $\{a_j\}$ is a totally disconnected point set in R . Choose one a_j in $\{a_j\}$ and denote by U and V the isometric neighbourhoods of a_j and a under f , respectively. α stands for the boundary of U .

LEMMA 8. For an arbitrary harmonic mapping f and each a_j in $\{a_j\}$,

$$\int_{\alpha} *ds(f(x), f(a_j)) = \int_{\alpha} *dg_U(x, a_j) = \int_{\alpha} *dg_V(f(x), f(a_j)) = -1$$

is valid and hence, for any $\varphi \in H^c(\bar{U})$,

$$\varphi(a_j) = \int_{\alpha} \varphi(x) *dg_V(f(x), f(a_j)) .$$

Proof. Since the harmonic structure is invariant under isometries, the property of Green's function implies that $g_U(x, a_j) = g_V(f(x), f(a_j))$ and $\int_{\alpha} *dg(x, a_j) = \int_{\alpha} *dg(f(x), f(a_j)) = -1$. Also, by Lemma 7, $\int_{\alpha} *ds(f(x), f(a_j)) = \int_{\alpha} *dg_V(f(x), f(a_j))$. Thus we obtain the first part. The second part follows immediately by Green's formula.

The composed function $s_0(f(x))$ has the following properties;

LEMMA 9. On $R - f^{-1}(y_0) \cup f^{-1}(y_1)$

$$\Delta_x s_0(f(x)) = e^{t_0(f(x))} (1 + e^{t_0(f(x))})^{-2} |\text{grad}_x t_0(f(x))|^2$$

holds, where $|\text{grad}_x t_0(f(x))|^2 = g^{i,j}(x) \frac{\partial t_0 f}{\partial x^i} \frac{\partial t_0 f}{\partial x^j}(x)$. Consequently $\Delta_x s_0(f(x))$ is subharmonic there.

Proof. For any point $x = (x^1, x^2, \dots, x^n)$ in $R - f^{-1}(y_0) \cup f^{-1}(y_1)$,

$$\frac{\partial}{\partial x^i} s_0(f(x)) = e^{t_0(f(x))} (1 + e^{t_0(f(x))})^{-1} \frac{\partial}{\partial x^i} t_0(f(x)) ,$$

$$\begin{aligned} & \left(\frac{\partial}{\partial x^i} g^{ij} + g^{ij} \frac{\partial}{\partial x^i} \log \sqrt{g} \right) \frac{\partial}{\partial x^j} s_0(f(x)) \\ &= e^{t_0(f(x))} (1 + e^{t_0(f(x))})^{-1} \left(\frac{\partial}{\partial x^i} g^{ij} + g^{ij} \frac{\partial}{\partial x^i} \log \sqrt{g} \right) \frac{\partial}{\partial x^j} t_0(f(x)), \end{aligned}$$

and

$$\begin{aligned} g^{ij} \frac{\partial^2}{\partial x^i \partial x^j} s_0(f(x)) &= e^{t_0(f(x))} (1 + e^{t_0(f(x))})^{-2} |\mathbf{grad}_x t_0(f(x))|^2 \\ &+ e^{t_0(f(x))} (1 + e^{t_0(f(x))})^{-1} g^{ij} \frac{\partial^2}{\partial x^i \partial x^j} t_0(f(x)). \end{aligned}$$

Since $\Delta_x t_0(f(x)) = 0$ on $R - f^{-1}(y_0) \cup f^{-1}(y_1)$, we obtain

$$\Delta_x s_0(f(x)) = e^{t_0(f(x))} (1 + e^{t_0(f(x))})^{-2} |\mathbf{grad}_x t_0(f(x))|^2.$$

4. We will construct the volume element of S which is necessary in order to define the characteristic function. Let $\lambda^2(y) dV_y$ be the n -form on $S - \{y_0, y_1\}$ defined by

$$\lambda^2(y) = e^{t_0(y)} (1 + e^{t_0(y)})^{-2} |\mathbf{grad}_y t_0(y)|^2,$$

with $\lambda(y) \geq 0$ and dV_y be the volume element on S , i.e., locally $dV_y = n\omega_n r^n dr$ with $\omega_n r^n$ the volume of the ball of the radius r . Evidently $\lambda^2(y) dV_y$ is non-negative and finite on $S - \{y_0, y_1\}$.

LEMMA 10. As $r = |y - y_j| \rightarrow 0$ ($j = 0, 1$)

$$\lambda^2(y) \sim \exp(-r^{2-n})$$

holds. Hence $\lambda^2(y)$ is non-negative and finite on S and the set of zero points of $\lambda^2(y)$ consists of y_0, y_1 , and the critical points of $t_0(y)$.

Proof. The points that we need to be checked are y_0 and y_1 . On $|y - y_j| = r$ in the parametric ball V_j about y_j ($j = 0, 1$), $|\mathbf{grad}_y t_0(y)|^2 \sim r^{2-2n}$. Since $e^{t_0(y)} (1 + e^{t_0(y)})^{-2} < e^{-t_0(y)}$ and $t_0(y) \sim r^{2-n}$ on $r = |y - y_0|$,

$$\lambda^2(y) \sim \{\exp(-r^{2-n})\} r^{2-2n} \sim \left\{ \exp - \frac{1}{r^{n-2}} [1 - (2 - 2n)r^{n-2} \log r] \right\}$$

holds on $r = |y - y_0|$. Since $-r^{n-2} \log r \rightarrow 0$ as $r \rightarrow 0$,

$$\lambda^2(y) \sim \exp(-r^{2-n})$$

holds as $r = |y - y_0| \rightarrow 0$.

Similarly, in the vicinity of y_1 on $r = |y - y_1|$,

$$\begin{aligned} \lambda^2(y) &\sim [\exp(-r^{2-n})][1 + \exp(-r^{2-n})]^{-2} r^{2-2n} \\ &\sim [1 + \exp(-r^{2-n})]^{-2} \exp\left\{-\frac{1}{r^{n-2}}[1 - (2-2n)r^{n-2} \log r]\right\}, \end{aligned}$$

where $t_0(y) \sim -r^{2-n}$ on $r = |y - y_1|$. As $r = |y - y_1| \rightarrow 0$, $[1 + \exp(-r^{2-n})]^{-2} \rightarrow 1$. Therefore, we have

$$\lambda^2(y) \sim \exp(-r^{2-n})$$

as $r \rightarrow 0$ in V_{y_1} .

By virtue of Lemma 10, $\lambda^2(y)dV_y$ can be continued to a non-negative finitely continuous n -form on S and

$$\Delta_y s(y, a) = \lambda^2(y)$$

on $S - \{a\}$. Therefore we may regard $\lambda^2(y)dV_y$ as a volume element on S . Set $\omega(y) = \lambda^2(y)dV_y$. We will evaluate the total volume of S with respect to $\omega(y)$.

In the case where S is open, let $\{\Omega'_n\}$ be a regular exhaustion of S . For a C^2 -function φ on S , we define the Dirichlet integral $D_S(\varphi) = D(\varphi)$ of φ over S as $\lim_{a'_n \rightarrow S} D_{a'_n}(\varphi)$ with $D_{a'_n}(\varphi) = \int_{a'_n} d\varphi \wedge *d\varphi$. Similarly we can define $\int_S \omega(y) = \lim_{a'_n \rightarrow S} \int_{a'_n} \omega(y)$. With these definitions we have;

LEMMA 11. *For any S which is either open or closed, the total volume of S with respect to the volume element $\omega(y)$ is equal to 2. In other words,*

$$\int_S \omega(y) = 2.$$

Proof. Let S be open and α_j ($j = 0, 1$) be the level hypersurface $\{y \in S : t_0(y) = r_j\}$ ($j = 0, 1$). Set $D_0 = \{y_0\} \cup \{t_0(y) \leq r_0\}$ and $D_1 = \{y_1\} \cup \{t_0(y) \geq r_1\}$. Then D_0 and D_1 are compact for sufficiently small r_0 and sufficiently large r_1 . Moreover, by Sard's theorem, we can choose such a pair of r_0 and r_1 that α_j ($j = 0, 1$) contains no critical points of $t_0(y)$. For such a fixed pair of r_0 and r_1 , let $\{\Omega'_n\}$ be a regular exhaustion of S with $\Omega'_n \supseteq D_0 \cup D_1$ for each n . By the same reason, we may assume that each $\partial\Omega'_n$ contains no critical points of t_0 . For any $r \in (r_0, r_1)$, set $\alpha(r, t_0) = \{y \in S : t_0(y) = r\}$ and $\alpha_{a'_n}(r, t_0) = \alpha(r, t_0) \cap \Omega'_n$. Since $\int_{\partial\Omega'_n} t_0^* dt_0 \rightarrow 0$ as $\Omega'_n \rightarrow S$ ([7]), Green's formula implies that

$$D_{S-D_0 \cup D_1}(t_0) = \lim_{\rho'_n \rightarrow S} \int_{\alpha_0 + \alpha_1} t_0^* dt_0 = r_1 - r_0 .$$

Thus, by Fubini's theorem, we have

$$\begin{aligned} D_{S-D_0 \cup D_1}(t_0) &= \lim_{\rho'_n \rightarrow S} \int_{\alpha_{\rho'_n}(r, t_0)} \left(\int_{r_0}^{r_1} dt_0 \right)^* dt_0 \\ &= (r_1 - r_0) \lim_{\rho'_n \rightarrow S} \int_{\alpha_{\rho'_n}(r, t_0)}^* dt_0 . \end{aligned}$$

These two equations imply that, for $r \in (r_0, r_1)$,

$$\lim_{\rho'_n \rightarrow S} \int_{\alpha_{\rho'_n}(r, t_0)}^* dt_0 = 1 \quad \text{a.e..}$$

This guarantees the calculation ;

$$\begin{aligned} \int_{S-D_0 \cup D_1} \omega(y) &= \lim_{\rho'_n \rightarrow S} \int_{r_0}^{r_1} e^r (1 + e^r)^{-2} \left\{ \int_{\alpha_{\rho'_n}(r, t_0)}^* dt_0 \right\} dr \\ &= \{(1 + e^{r_1})^{-1} - (1 + e^{r_0})^{-1}\} \end{aligned}$$

By Lemma 10,

$$\int_{D_0} \omega(y) = 0(|r_0|) \quad \text{and} \quad \int_{D_1} \omega(y) = 0(r_1) .$$

Thus we conclude that

$$\int_S \omega(y) = \lim_{\substack{r_1 \rightarrow \infty \\ r_0 \rightarrow -\infty}} \left\{ \int_{S-D_0 \cup D_1} \omega(y) + \int_{D_0 \cup D_1} \omega(y) \right\} = 2 .$$

In the case where S is compact, the number of critical points of t_0 are finite. Evaluating directly we have the same conclusion. Thus the proof is completed.

As a by-product we have ;

COROLLARY 2. *In the case of a non-compact S , we have for $r \in (-\infty, \infty)$*

$$\lim_{\rho'_n \rightarrow S} \int_{\alpha_{\rho'_n}(r, t_0)}^* dt_0 = 1 \quad \text{a.e..}$$

5. We are now ready to give the first main theorem according to Sario's method ([8], [9], [11], and [12]). We will state some notation and formulate the first main theorem in this section, while the proof shall be given in section 6.

Let $f: R \rightarrow S$ be an arbitrary harmonic mapping between an open

Riemannian n -space R and a Riemannian n -space S . On R choose a parametric ball R_0 with the border β_0 . A subregion Ω of $R - \bar{R}_0$ will be called an adjacent regular region if $\Omega \cup \bar{R}_0$ is a regular region of R . Given such an Ω . We form the harmonic function $u = u_\Omega$ in $\bar{\Omega}$ which vanishes on β_0 and is equal to a constant $k = k_\Omega$ and which satisfies

$\int_{\beta_0}^* du = 1$, where the constant $k = k_\Omega$ is determined by the harmonic modulus $e^{1/k}$ of Ω . As for the harmonic modulus we refer to [13].

For $h \in (0, k_\Omega]$, let β_h be the level hypersurface of $u = h$ and Ω_h be the adjacent regular region $u^{-1}((0, h))$ and, for the given non-singular value $a \in S - \{y_0, y_1\}$ of f , let $\nu(h, a)$ be the number of the pre-images of a in Ω_h . We choose the A -function (counting function) as

$$A(h, a) = \int_0^h \nu(r, a) dr .$$

It reflects the frequency of the a -points. For the B -function (proximity function) we take

$$B(h, a) = \int_{\beta_h - \beta_0} s(f(x), a)^* du .$$

The integrand is the proximity of the image of $\beta_h - \beta_0$ to a under f and hence $B(h, a)$ represents the mean proximity of the image of $\beta_h - \beta_0$ to a .

As in section 4 we define

$$\lambda^2(f(x)) = e^{t_0(f(x))} (1 + e^{t_0(f(x))})^{-2} |\text{grad}_x t_0(f(x))|^2 .$$

Then $\lambda^2(f(x))dV_x$ with the locally Euclidian volume element dV_x on R is a non-negative finitely continuous n -form on R by Lemma 10 and

$$\Delta_x s(f(x), a) = \lambda^2(f(x))$$

holds on $R - f^{-1}(a)$. We set $\omega(f(x)) = \lambda^2(f(x))dV_x$. This is independent of the point a , since $\omega(f(x)) = 0(1)$ at each point of $f^{-1}(a)$.

The C -function (characteristic function) $C(h)$ is defined as

$$C(h) = \int_0^h \left\{ \int_{R_r} \omega(f(x)) \right\} dr ,$$

where $R_r = \Omega_r \cup \bar{R}_0$. The counting function and the proximity function are essentially determined by the point a and h , where h is determined by the region Ω_h . But the characteristic function depends only on Ω_h

and independent of a . We will see;

THEOREM. *For any harmonic mapping f of an open Riemannian n -space R to a Riemannian n -space S and for any non-singular value $a \in S$ of f ,*

$$A(k_a, a) + B(k_a, a) = C(k_a)$$

holds.

We stress here that the A -, B -, and C -functions are function of adjacent regular region Ω , not the scalors. The functions $u_R = \lim_{\Omega \rightarrow R} u_\Omega$ and $e^{1/k} = e^{1/\lim_{\Omega \rightarrow R} k_\Omega}$ exist and the latter is referred to as the harmonic modulus of R . According as $k_R < \infty$ or $k_R = \infty$, R is said to be *hyperbolic* or *parabolic*. Suppose that R is hyperbolic. If R is *regular* in the sense that $u_R^{-1}([0, h])$ is compact for each $h \in [0, k_R)$, then we can view that the A -, B -, and C -functions are functions on $[0, k_R)$ and our Theorem will be the proper generalization of the Nevanlinna first main theorem for the disk $|z| < 1$. However, in general, R is not regular and we have to take Ω as variables. If R is parabolic, then the situation is much clearer. In this case we can make use of the Evans harmonic function $p(x)$ on R instead of u_R . It is defined as follows (see [5] and [13]): $p(x)$ is a positive harmonic function on $R - \bar{R}_0$ with $p|_{\beta_0} = 0$, $p = \infty$ at the ideal boundary β of R and $\int_{\beta_0} *dp = 1$. Clearly $p^{-1}([0, h])$ is compact for each $h \in [0, \infty)$ and the A -, B -, and C -functions are functions on $[0, \infty)$, and our Theorem with this variation is the proper generalization of the Nevanlinna first main theorem for the plane $|z| < \infty$.

6. We will prove Theorem. Let $\{a_j\}$ be the pre-image of a in $\Omega = \Omega_k$. The set $\{a_j\} \cap \Omega_h$ has no accumulation points in $\bar{\Omega}_h$ for every $h \in [0, k)$, since a is non-singular and $\{a_j\} \cap \Omega_h$ is compact in $\bar{\Omega}_h$. Without loss of generality we may assume that $\partial\Omega_h$ contains no a -points. In fact, since the only singularity of $s(f(x), a)$ is Newtonian, the hyper-surface integrals of $s(f(x), a)$ that we shall consider will be finite and continuous in h and our formulas will be extended to the case $a_j \in \partial\Omega_h$. For each a_j in Ω_h , choose a disjoint parametric ball Δ_j about a_j which is contained in Ω_h and set $\alpha_j = \partial\Delta_j$. Applying Green's formula to the functions $v(x) = h - u(x)$ and $s(f(x), a)$,

$$(1) \quad \int_{\beta_1 - \beta_0 - \Sigma \alpha_j} v(x) * ds(f(x), a) - s(f(x), a) * dv(x) \\ = \int_{\Omega_h - \cup \Delta_j} v(x) * ds(f(x), a),$$

where α_j and Δ_j run over all the a -points in Ω_h . As α_j shrinks to a_j , $\int_{\alpha_j} s(f(x), a) * dv \rightarrow 0$ and, by Lemma 8, $\int_{-\alpha_j} * ds(f(x), f(a_j)) = - \int_{\alpha_j} * dg_{\Delta_j}(x, a)$. Thus, in the shrinking process of $\alpha_j \rightarrow 0$,

$$\int_{-\Sigma \alpha_j} v(x) * ds(f(x), a) - s(f(x), a) * dv(x) \\ = \int_{-\Sigma \alpha_j} v(x) * dg_{\Delta_j}(x, a_j) \rightarrow \sum v(a_j) = \int_0^h (h - r) d\nu(r, a).$$

The integration by part gives

$$\int_0^h (h - r) d\nu(r, a) = -h\nu(0, a) + \int_0^h \nu(r, a) dr.$$

Hence we have from (1)

$$(2) \quad -h\nu(0, a) + \int_0^h \nu(r, a) dr + \int_{\beta_h - \beta_0} s(f(x), a) * du - h \int_{\beta_0} * ds(f(x), a) \\ = \int_{\Omega_h} v(x) \omega(f(x)).$$

Again we apply Stokes' formula to the small region $R_0 - \cup \Delta_j^0$ and the function $s(f(x), a)$, where the $\Delta_j^0 (\subset R_0)$ are the small disjoint parametric balls about the a -points. Then, we have

$$\int_{\beta_0} * ds(f(x), a) + \nu(0, a) = \int_{R_0} \omega(f(x)).$$

From this equation and (2),

$$(3) \quad \int_0^h \nu(r, a) dr + \int_{\beta_h - \beta_0} s(f(x), a) * du = h \int_{R_0} \omega(f(x)) + \int_{\Omega_h} v(x) \omega(f(x)).$$

Next we show that, for almost all $h \in [0, k]$, the h -derivative of the right hand side of (3) is the volume of R_h with respect to $\omega(f(x))$. To show this it suffices to show that, for almost all $h \in [0, k]$,

$$(4) \quad \frac{d}{dh} \left(\int_{\Omega_h} (h - u(x)) \omega(f(x)) \right) = \int_{\Omega_h} \omega(f(x)).$$

For sufficiently small $\Delta h > 0$, let $\Omega_{h+\Delta h}$ be the region with $\Omega_{h+\Delta h} =$

$\{x \in R - \bar{R}_0 : u(x) \leq h + \Delta h\}$. Since $0 < h + \Delta h - u < \Delta h$ on $\Omega_{h+\Delta h} - \Omega_h$,

$$\lim_{\Delta h \rightarrow +0} \int_{\Omega_{h+\Delta h} - \Omega_h} \frac{h + \Delta h - u}{\Delta h} \omega(f(x)) = \lim_{\Delta h \rightarrow +0} \int_{\Omega_{h+\Delta h} - \Omega_h} \omega(f(x)) = 0.$$

Therefore

$$\begin{aligned} & \lim_{\Delta h \rightarrow +0} \frac{1}{\Delta h} \left[\int_{\Omega_{h+\Delta h}} (h + \Delta h - u) \omega(f(x)) - \int_{\Omega_h} (h - u) \omega(f(x)) \right] \\ &= \lim_{\Delta h \rightarrow +0} \int_{\Omega_{h+\Delta h} - \Omega_h} \frac{h + \Delta h - u}{\Delta h} \omega(f(x)) + \int_{\Omega_h} \omega(f(x)) \\ &= \int_{\Omega_h} \omega(f(x)) \end{aligned}$$

is valid for almost all $h \in [0, k]$ by virtue of Sard's theorem. Thus the derivative from the right of $\int_{\Omega_h} (h - u(x)) \omega(f(x))$ is equal to $\int_{\Omega_h} \omega(f(x))$ for almost all $h \in [0, k]$. A similar calculation holds for the derivative from the left. Therefore the equation (4) is valid for almost all $h \in [0, k]$ and we have

$$(5) \quad h \int_{R_0} \omega(f(x)) + \int_{\Omega_h} (h - u(x)) \omega(f(x)) = \int_0^h \left[\int_{R_r} \omega(f(x)) \right] dr.$$

Combining the equations (4) and (5), the proof of Theorem is completed.

REFERENCES

- [1] S. S. Chern: Complex analytic mappings of Riemann surfaces, *Amer. J. Math.*, **82** (1960), 323–337.
- [2] C. Constantinescu—A. Cornea: Compactifications of harmonic spaces, *Nagoya Math. J.*, **25** (1965), 1–57.
- [3] S. Helgason: Differential geometry and symmetric spaces, Academic Press, 1962.
- [4] H. Imai: Sario's potentials on Riemannian spaces, *Pacific J. Math.*, **38** (1971), 441–455.
- [5] M. Nakai: Infinite boundary value problems for second order elliptic partial differential equations, *J. Fac. Sci. Univ. Tokyo, Sec. I. A.*, **17** (1970), 101–121.
- [6] A. de la Pradelle: Approximation et caractère de quasi-analyticité dans la théorie axiomatique des fonctions harmoniques, *Ann. Inst. Fourier, Grenoble*, **17** (1967), 383–399.
- [7] B. Rodin—L. Sario: Principal functions, D. Van Nostland, 1967.
- [8] L. Sario: Value distribution under analytic mappings of arbitrary Riemann surfaces, *Acta Math.*, **109** (1963), 1–10.
- [9] —: General value distribution theory, *Nagoya Math. J.*, **23** (1963), 213–229.
- [10] —: Second main theorem without exceptional intervals on Riemann surfaces, *Michigan Math. J.*, **10** (1963), 1–10.
- [11] —: A theorem on mappings into Riemann surfaces of infinite genus, *Trans. Amer. Math. Soc.*, **117** (1965), 276–284.

- [12] L. Sario—K. Noshiro: The value distribution theory, D. Van Nostland, 1966.
- [13] L. Satio—M. Nakai: Classification theory of Riemann surfaces, Springer-Verlag, 1970.
- [14] H. Wu: Mappings of Riemann surfaces (Nevanlinna theory), Proc. Sympos. Pure Math. vol. XI, Entire functions and related parts of analysis. Amer. Math. Soc. 1968, 480–532.
- [15] —: The equidistribution theory of holomorphic curves, Ann. of Math. Studies No. 64 (1970), Princeton.

Daido Institute of Technology