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A PROBLEM OF COMPLETE INTERSECTIONS

LORENZO ROBBIANO

Let X be a non-singular projective surface in P_k^3 (k an algebraically closed field of characteristic 0) and C an irreducible curve, which is a set-theoretically complete intersection in X; is it true that C is actually a complete intersection in X?

In this paper we give a positive answer even in a more general hypothesis.

We note that a similar question does not arise for a variety X with dim $X \neq 2$. In fact Lefschetz theorem says that, if X is a non-singular projective variety which is a complete intersection in P_k^N and such that dim $X \ge 3$, any positive divisor on X is a complete intersection in X.

On the other hand, if X is a non-singular conic in P_k^2 and P a point on X, then P is a set-theoretically complete intersection but not a complete intersection in X.

As to the surfaces, it is a well known fact that on a "general" surface of degree ≥ 4 in P_k^3 any curve is a complete intersection, but there are surfaces whose Picard group is different from Z (e.g. non-singular quadric and cubic surfaces) (see [4]).

Nevertheless no example is known of an irreducible curve on a nonsingular surface in P_k^3 , which is a set-theoretically complete intersection in X, but not a complete intersection in X (see [1]), and in fact we are going to prove that such an example cannot exist.

For this we make use of the techniques developed by Grothendieck to prove Lefschetz theorem (see [2] and [3]).

We now state the following

THEOREM. Let k be an algebraically closed field of characteristic 0 and let $X \subset \mathbf{P}_k^N$ be a non-singular projective surface, which is a complete intersection. If C is an irreducible curve on X, which is a set-theoreti-

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cally complete intersection in X, then C is actually a complete intersection in X.

Proof. We shall give the proof in several steps.

Step 1. Pic $(\mathbf{P}^N) \simeq \text{Pic}(\widehat{\mathbf{P}^N})$, where \mathbf{P}^N stands for \mathbf{P}^N_k and $\widehat{\mathbf{P}^N}$ denotes the formal completion of \mathbf{P}^N along X.

The proof is in [3] Ch. IV (essentially Th. 1.5 and Th. 3.1).

Step 2. X is projectively normal.

The proof is in [6] n. 77, 78, p. 272-273.

Step 3. Pic (X) is a finitely generated group.

Indeed $H^1(X, \mathcal{O}_X) = 0$ (see [6] n. 78 p. 273–274), hence $\operatorname{Pic}^0(X)$ is just a point and, calling NS(X) the Neron-Severi group of X, we get $\operatorname{Pic}(X) = NS(X)$ which is finitely generated by classical results.

Step 4. Pic (X) is torsion-free, hence by step 3 Pic (X) is a finitely generated free group.

Let \mathscr{T} be the sheaf of ideals defining X and call X_n the scheme $(X, \mathscr{O}_{\mathbb{P}^N}/\mathscr{T}^n)$. We can use the exact sequences

$$0 \to \mathcal{T}^{n-1}/\mathcal{T}^n \to (\mathcal{O}_P/\mathcal{T}^n)^* \to (\mathcal{O}_P/\mathcal{T}^{n-1})^* \to 0$$

where * denotes the multiplicative group of units and the first map sends x to 1 + x (for more details see [3] Ch. 4 p. 179 and [2] Exp II p. 124). We get long exact sequences

(1)
$$\cdots \longrightarrow H^{1}(\mathbf{P}^{N}, \mathcal{T}^{n-1}/\mathcal{T}^{n}) \longrightarrow \operatorname{Pic}(X_{n}) \xrightarrow{\psi_{n}} \operatorname{Pic}(X_{n-1}) \longrightarrow H^{2}(\mathbf{P}^{N}, \mathcal{T}^{n-1}/\mathcal{T}^{n}) \longrightarrow \cdots$$

But $\mathcal{T}^{n-1}/\mathcal{T}^n \simeq \bigoplus_i \mathcal{O}_{\mathbf{X}}(m_i)$ for suitable integers m_i (see [3] proof of coroll. 3.1. p. 180). Hence $H^1(\mathbf{P}^N, \mathcal{T}^{n-1}/\mathcal{T}^n) = H^1(\mathbf{X}, \mathcal{T}^{n-1}/\mathcal{T}^n) = 0$ (see [6] n. 78 p. 273–274).

On the other hand $H^2(\mathbf{P}^N, \mathcal{T}^{n-1}/\mathcal{T}^n)$ is a vector space over a field of characteristic 0, hence torsion-free. If T_n denotes the torsion subgroup of Pic (X_n) and $T = T_1$, we get $T_n = T_{n-1} = \cdots = T$. Hence T =Tors (lim Pic (X_n)) = Tors Pic (\mathbf{P}^N) = Tors Pic $(\mathbf{P}^N) = 0$.

Step 5. $\lim_{\leftarrow} \operatorname{Pic} (X_n) \simeq \operatorname{Pic} (X_{n_0})$ for $n_0 \gg 0$. From the proof of step 4 we get that $\operatorname{Pic} (X_n) \simeq Z^{\rho_n}(\rho_n = \operatorname{rank} (\operatorname{Pic} (X_n)))$, the canonical map $\operatorname{Pic} (X_n) \xrightarrow{\varphi_n} \operatorname{Pic} (X_{n-1})$ is injective, and coker φ_n is torsion-free. Hence via φ_n $\operatorname{Pic} (X_n)$ is a direct factor subgroup of $\operatorname{Pic} (X_{n-1})$ and therefore φ_n must be an isomorphism for n large.

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Step 6. $[\mathcal{O}_X(1)]$ belongs to a basis of the free group Pic (X). If \mathscr{L} is an invertible sheaf on a scheme, we call $[\mathscr{L}]$ its class in the Picard group. It is well-known that Pic $(\mathbf{P}^N) \simeq \mathbf{Z}$ is generated by $[\mathcal{O}_{\mathbf{P}^N}(1)]$; since by the previous steps we can write the following exact sequence

$$Z \simeq \operatorname{Pic} (\mathbf{P}^{N}) \simeq \operatorname{Pic} (\widehat{\mathbf{P}^{N}}) \simeq \operatorname{Pic} (X_{n_{0}}) \xrightarrow{\varphi_{n_{0}}} \cdots \longrightarrow \operatorname{Pic} (X) \simeq Z^{p}$$

where the maps are canonical, the composite map from $Pic(P^N)$ to Pic(X) sends $[\mathcal{O}_{P^N}(1)]$ to $[\mathcal{O}_X(1)]$ and, since $Pic(X_n)$ is a direct factor subgroup of $Pic(X_{n-1})$, we are through.

Step 7. If \mathscr{L} is an invertible sheaf on X, q, n integers and $[q\mathscr{L}] = [\mathscr{O}_{\mathcal{X}}(n)]$, then there exists an integer r such that n = qr and $[\mathscr{L}] = [\mathscr{O}_{\mathcal{X}}(r)]$.

Indeed, by step 6, $[\mathcal{O}_X(1)]$ belongs to a basis of $\operatorname{Pic}(X)$; let $[\mathcal{O}_X(1)], [\mathcal{L}_2], [\mathcal{L}_3], \dots, [\mathcal{L}_{\rho}]$ be such a basis, then $[\mathcal{L}] = r[\mathcal{O}_X(1)] + \sum_i r_i[\mathcal{L}_i]$ hence $[q\mathcal{L}] = [\mathcal{O}_X(qr)] + \sum_i [r_i q\mathcal{L}_i]$. But $[q\mathcal{L}] = [\mathcal{O}_X(n)]$ and therefore $qr = n, r_i = 0$.

Step 8 (conclusion). Let C be an irreducible curve on X, which is a set-theoretically complete intersection in X, and let $\mathcal{O}_{\mathcal{X}}(C)$ be the associated invertible sheaf. Then $\mathcal{O}_{\mathcal{X}}(qC) \simeq \mathcal{O}_{\mathcal{X}}(n)$ or, which is the same, $[q\mathcal{O}_{\mathcal{X}}(C)] = [\mathcal{O}_{\mathcal{X}}(n)]$. By step 7 we get $[\mathcal{O}_{\mathcal{X}}(C)] = [\mathcal{O}_{\mathcal{X}}(r)]$; combining with step 2 we are done.

COROLLARY. Let k be an algebraically closed field of characteristic 0 and let A be the homogeneous coordinate ring of a non-singular projective surface which is a complete intersection in P_k^N . Then if A is almost factorial, A is factorial.

Proof. We recall that a ring A is called almost factorial ("fastfaktoriell" in German) if A is a Krull domain and the divisor class group C(A) is torsion (see [7]) and that for investigating C(A) it is sufficient to consider homogeneous ideals (see [5] n° 2). Let now \mathfrak{P} be a homogeneous prime ideal of height 1. Since A is almost factorial, $\mathfrak{P} = \sqrt{(F)}$, F being a suitable homogeneous element. The irreducible curve associated to \mathfrak{P} is therefore a set-theoretically complete intersection, hence a complete intersection by the theorem, and so \mathfrak{P} is principal.

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Instituto Matematico dell'Università di Genova-Via